

Pigeonhole Principle

CMSC250

Look at these pigeons.



Figure: Look.

Examples first

- 1 Is there a pair of you with the same birthday month?

Examples first

- ① Is there a pair of you with the same birthday month? Yes, since there are more than 12 of you!
- ② Is there a pair of you with the same birthday week?

Examples first

- ① Is there a pair of you with the same birthday month? Yes, since there are more than 12 of you!
- ② Is there a pair of you with the same birthday week? Yes, since there are more than 52 of you!
- ③ Is there a pair of New Yorkers with the same number of hairs on their heads?

Examples first

- ① Is there a pair of you with the same birthday month? Yes, since there are more than 12 of you!
- ② Is there a pair of you with the same birthday week? Yes, since there are more than 52 of you!
- ③ Is there a pair of New Yorkers with the same number of hairs on their heads? Yes! Number of hairs on your head $\leq 300,000$, New Yorkers $\geq 8,000,000$.

Examples first

- ④ Let $A = \{1, 2, 3, 4, 5, 6, 7, 8\}$. If I pick 5 integers, is it the case that at least one pair of integers has a sum of 9?

Examples first

- ④ Let $A = \{1, 2, 3, 4, 5, 6, 7, 8\}$. If I pick 5 integers, is it the case that at least one pair of integers has a sum of 9? **Yes. Pigeonholes = pairs of ints that sum to 9:**

(1, 8)

(2, 7)

(3, 6)

(4, 5)

and pigeons = ints to pick.

Examples first

- ⑤ Let $A \subseteq \{1, 2, \dots, 10\}$, and $|A| = 6$. Is there a pair of subsets of A that have the same sum?

Examples first

- ⑤ Let $A \subseteq \{1, 2, \dots, 10\}$, and $|A| = 6$. Is there a pair of subsets of A that have the same sum? **Yes.**

There are $2^6 = 64$ subsets of A . Max sum: $10 + 9 + \dots + 5 = 45$

Min sum: 0

46 different sums (pigeonholes)

64 different subsets (pigeons).

Examples first

- ⑥ Is it true that within a group of 700 people, there must be 2 who have the same **first** and **last** initials?

Examples first

- ⑥ Is it true that within a group of 700 people, there must be 2 who have the same **first** and **last** initials? **Yes.**

There are $26^2 = 676$ different sets of first and last initials
(pigeonholes)

There are 700 people (pigeons).

Formal Statement of the principle

Pigeonhole Principle

Let $m, n \in \mathbb{N}^{\geq 1}$. If n pigeons fly into m pigeonholes and $n > m$, then **at least one** pigeonhole will contain more than one pigeon.

Formal Statement of the principle

Pigeonhole Principle

Let $m, n \in \mathbb{N}^{\geq 1}$. If n pigeons fly into m pigeonholes and $n > m$, then **at least one** pigeonhole will contain more than one pigeon.

- Can I have empty pigeonholes?

Yes

No

Formal Statement of the principle

Pigeonhole Principle

Let $m, n \in \mathbb{N}^{\geq 1}$. If n pigeons fly into m pigeonholes and $n > m$, then **at least one** pigeonhole will contain more than one pigeon.

- Can I have empty pigeonholes?

Yes

No

Absolutely. Only thing we need is one pigeonhole with at least 2 pigeons.

- Example: There might not be somebody with initials (X, Y) .

Pigeonhole Principle (in functions)

Let A and B be finite sets such that $|A| > |B|$. Then, there does not exist a one-to-one function $f : A \mapsto B$.

Some more advanced examples

- ① If there are 105 of you, do at least **9** of you have the same birthday month?

Some more advanced examples

- ① If there are 105 of you, do at least **9** of you have the same birthday month? **Yes.** If there are at most 8, then $8 \times 12 = 96 < 105$, but $9 \times 12 = 108 > 105$
- ② If there are 105 of you, are there at least **3** of you with the same birthday week?

Some more advanced examples

- ① If there are 105 of you, do at least **9** of you have the same birthday month? **Yes. If there are at most 8, then $8 \times 12 = 96 < 105$, but $9 \times 12 = 108 > 105$**
- ② If there are 105 of you, are there at least **3** of you with the same birthday week? **Yes. If there are at most 2, then $2 \times 52 = 104 < 105$**
- ③ Is it true that within a group of 86 people, there exist **at least 4** with the same **last initial** (e.g **B** for Justin **B**ieber).

Some more advanced examples

- ① If there are 105 of you, do at least **9** of you have the same birthday month? **Yes.** If there are at most 8, then $8 \times 12 = 96 < 105$, but $9 \times 12 = 108 > 105$
- ② If there are 105 of you, are there at least **3** of you with the same birthday week? **Yes.** If there are at most 2, then $2 \times 52 = 104 < 105$
- ③ Is it true that within a group of 86 people, there exist **at least 4** with the same **last initial** (e.g **B** for Justin **B**ieber). **Yes.** Pigeonholes = #initials=26. For $k = 3$, $86 > 3 \times 26 = 78$

Another interesting example

- ④ Let $M = \{1, 2, 3, \dots, 1000\}$ and suppose $A \subseteq M$ such that $|A| = 20$. How many **subsets of A** sum to the same number?

Another interesting example

- ④ Let $M = \{1, 2, 3, \dots, 1000\}$ and suppose $A \subseteq M$ such that $|A| = 20$. How many **subsets of A** sum to the same number?

There are 2^{20} subsets of A . The max sum is

$$1000 + 999 + \dots + 981 = \sum_{i=1}^{1000} i - \sum_{i=1}^{980} i \stackrel{\text{Gauss}}{=} \frac{1000 \cdot 1001}{2} - \frac{980 \cdot 981}{2} =$$

19810. The min sum is 0, corresponding to $\emptyset \subseteq A$. So 19811 sums. Since $\lceil 2^{20}/19811 \rceil = 53$ (yes, you may totally use a calculator here), there are 53 subsets of A that sum to the same number.

Another interesting example

- 4 Let $M = \{1, 2, 3, \dots, 1000\}$ and suppose $A \subseteq M$ such that $|A| = 20$. How many **subsets of A** sum to the same number?

There are 2^{20} subsets of A . The max sum is

$$1000 + 999 + \dots + 981 = \sum_{i=1}^{1000} i - \sum_{i=1}^{980} i \stackrel{\text{Gauss}}{=} \frac{1000 \cdot 1001}{2} - \frac{980 \cdot 981}{2} =$$

19810. The min sum is 0, corresponding to $\emptyset \subseteq A$. So 19811 sums. Since $\lceil 2^{20}/19811 \rceil = 53$ (yes, you may totally use a calculator here), there are 53 subsets of A that sum to the same number.

- 5 What kind of proof is this?

By cases

Non-constructive

By contradiction

Something Else

Another interesting example

- ④ Let $M = \{1, 2, 3, \dots, 1000\}$ and suppose $A \subseteq M$ such that $|A| = 20$. How many **subsets of A** sum to the same number?

There are 2^{20} subsets of A . The max sum is

$$1000 + 999 + \dots + 981 = \sum_{i=1}^{1000} i - \sum_{i=1}^{980} i \stackrel{\text{Gauss}}{=} \frac{1000 \cdot 1001}{2} - \frac{980 \cdot 981}{2} =$$

19810. The min sum is 0, corresponding to $\emptyset \subseteq A$. So 19811 sums. Since $\lceil 2^{20}/19811 \rceil = 53$ (yes, you may totally use a calculator here), there are 53 subsets of A that sum to the same number.

- ⑤ What kind of proof is this?

By cases

Non-constructive

By contradiction

Something Else

Non-constructive! It proves that it's a **logical necessity** that 53 subsets map to the same sum, but doesn't tell you **anything** (e.g. cardinality) of the subsets.

Generalization

Generalized Pigeonhole Principle

Let n and m be positive integers. Then, if there exists a positive integer k such that $n > km$ and n pigeons fly into m pigeonholes, there will be **at least one** pigeonhole with **at least** $k + 1$ pigeons.

- Our second example set consisted of examples of the **generalized** form of the principle.