

Countability

CMSC 250

Motivation

- Two toddlers want to compare their marbles to see who has more.
- They cannot count yet.



Motivation

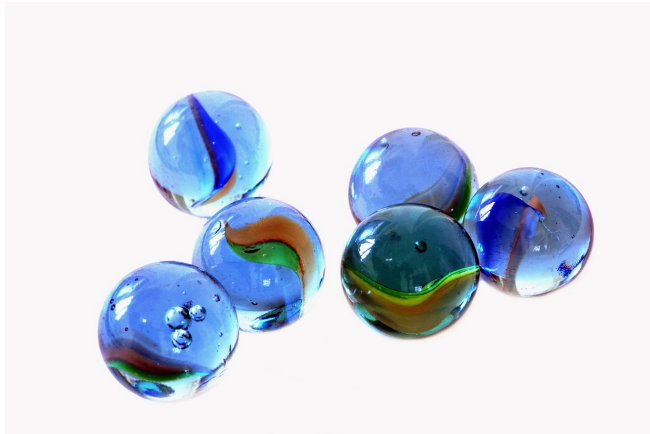
- Two toddlers want to compare their marbles to see who has more.
- They cannot count yet.



How do they
find out who
has more?

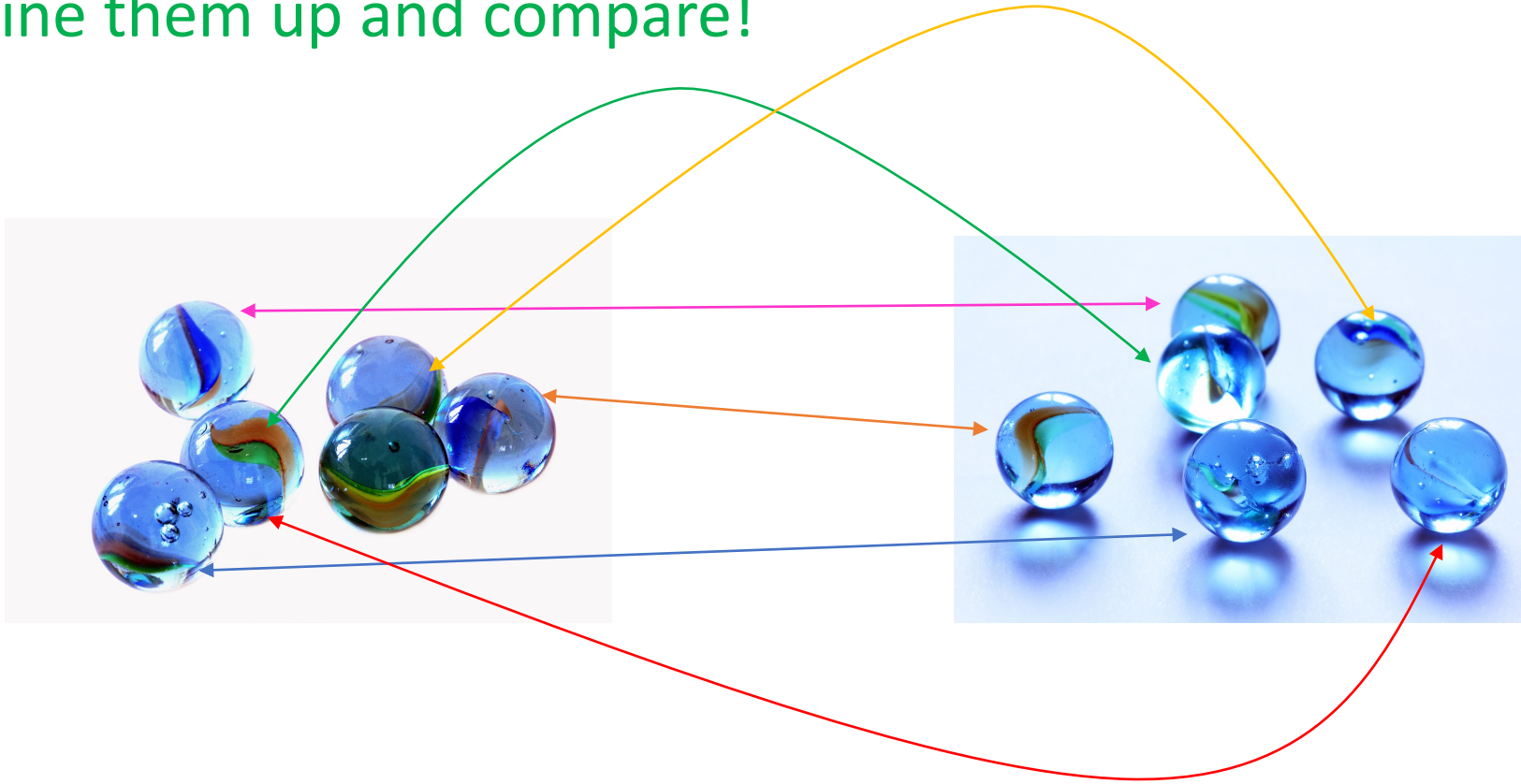
Motivation

- They line them up and compare!



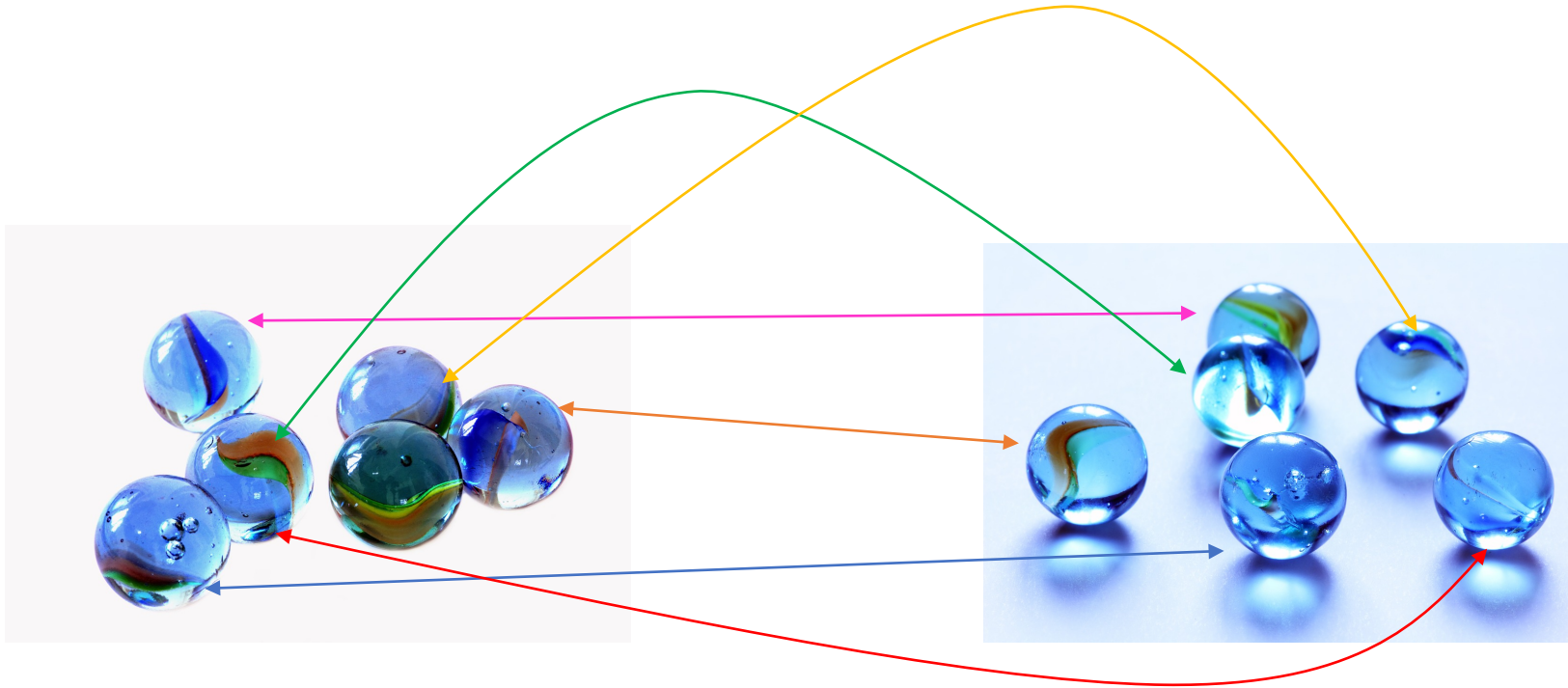
Motivation

- They line them up and compare!



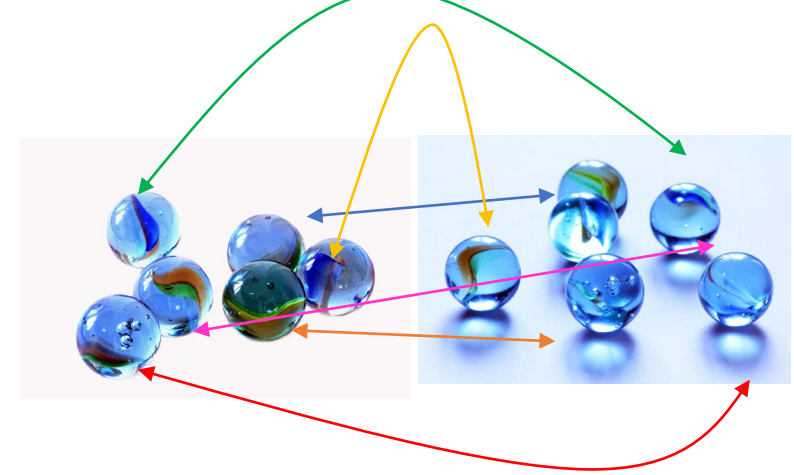
Motivation

- They line them up and compare!



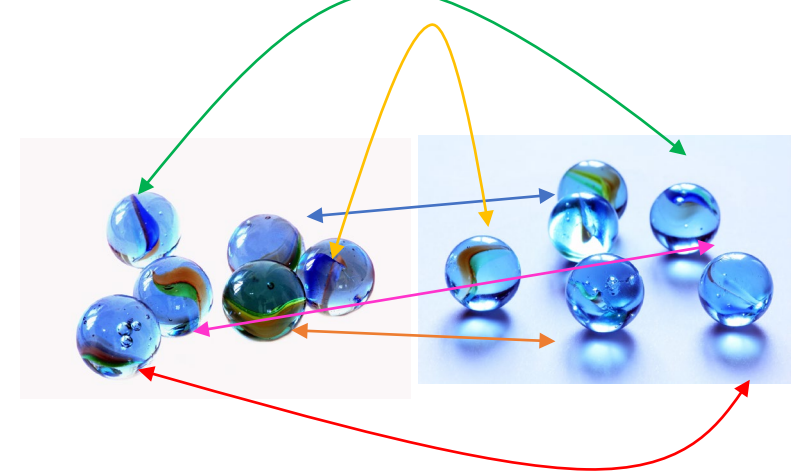
- **Intuition for us:** If we can find such a mapping between two (infinite) sets, we will say that **they have the same** *(infinite)* **cardinality** *(or size)*.

Motivation



- This matching of marbles
 - Every two different marbles on left go to **two different** marbles on right
 - Every marble on right is matched **by some** marble on the left

Motivation



- This matching of marbles
 - Every two different marbles on left go to **two different** marbles on right
 - Every marble on right is matched **by some** marble on the left
- **This is a bijection!**
- **WE DEFINE TWO SETS TO BE THE SAME SIZE IF THERE IS A BIJECTION BETWEEN THEM.**

Refresher on bijections

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$

All domains and
codomains \mathbb{R} , unless
otherwise stated

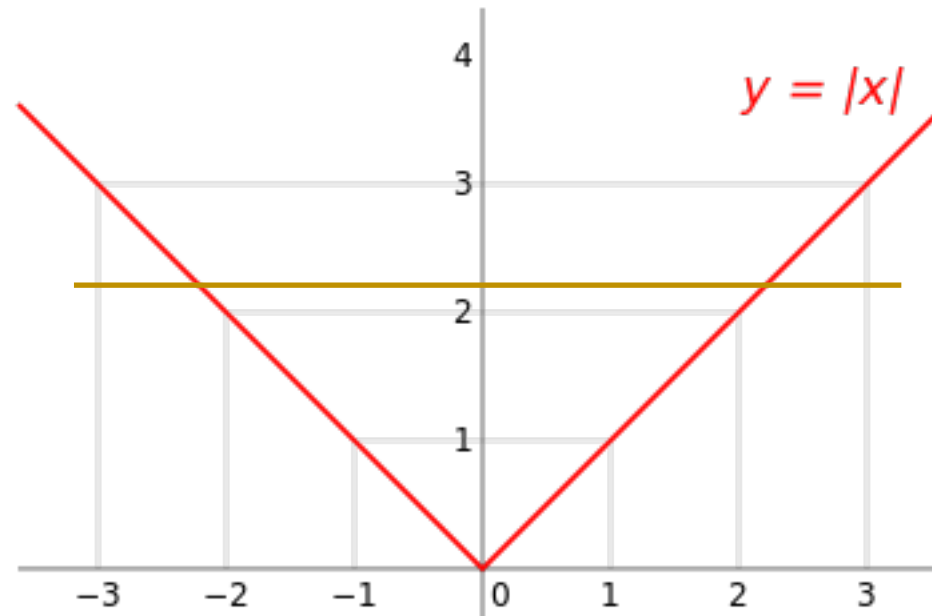
Quiz on bijections

- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$ *No*



Non-injective!

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$ *No*

2. $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

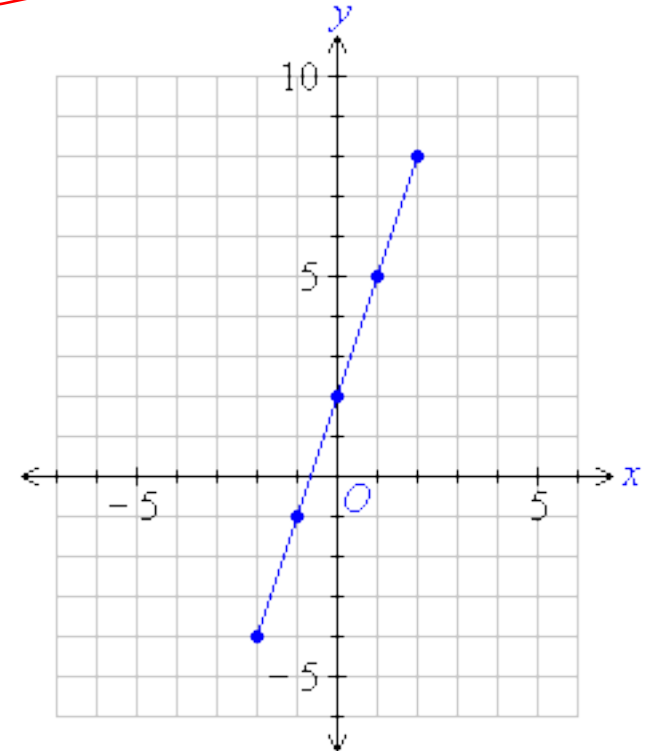
- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$ *No*

2. $f(x) = a \cdot x + b$, $b \in \mathbb{R}$, $a \in \mathbb{R}^{\neq 0}$ *Yes*



Straight line in coordinate plane

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$ *No*
2. $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ *Yes*
3. $g(x) = a \cdot x^2, a > 0$

All domains and
codomains \mathbb{R} , unless
otherwise stated

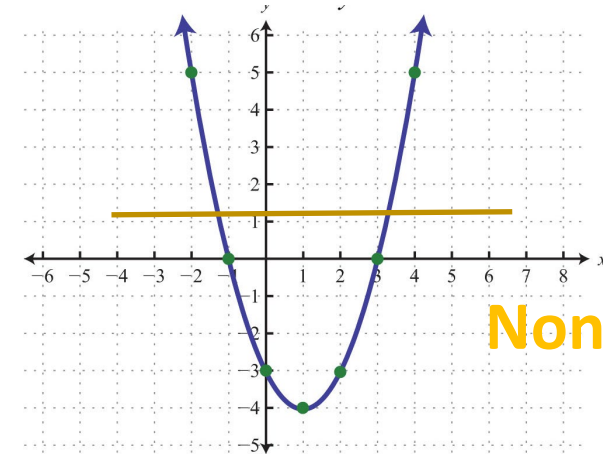
Refresher on bijections

- Are the following functions **bijections**?

Yes

No

- $f(x) = |x|$ **No**
- $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ **Yes**
- $g(x) = a \cdot x^2, a > 0$ **No**



Non-injective!

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

- $f(x) = |x|$ *No*
- $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ *Yes*
- $g(x) = a \cdot x^2, a > 0$ *No*
- $h(n) = 4n - 1, n \in \mathbb{Z}$

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

- $f(x) = |x|$ **No**
- $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ **Yes**
- $g(x) = a \cdot x^2, a > 0$ **No**
- $h(n) = 4n - 1, n \in \mathbb{Z}$ **No**

Non-surjective! Set $h(n) = y$ and
solve for n :

$$(4n - 1 = y) \Rightarrow n = \frac{y + 1}{4}$$

There are infinitely many choices of
 y for which $n \notin \mathbb{Z}$!

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

1. $f(x) = |x|$ *No*
2. $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ *Yes*
3. $g(x) = a \cdot x^2, a > 0$ *No*
4. $h(n) = 4n - 1, n \in \mathbb{Z}$ *No*
5. $h(x) = 4x - 1, x \in \mathbb{R}$

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on bijections

- Are the following functions **bijections**?

Yes

No

- $f(x) = |x|$ **No**
- $f(x) = a \cdot x + b, b \in \mathbb{R}, a \in \mathbb{R}^{\neq 0}$ **Yes**
- $g(x) = a \cdot x^2, a > 0$ **No**
- $h(n) = 4n - 1, n \in \mathbb{Z}$ **No**
- $h(x) = 4x - 1, x \in \mathbb{R}$
- Yes**

Surjective and injective! Surjective, since, if we set $h(n) = y$ and solve for n :

$$(4x - 1 = y) \Rightarrow x = \frac{y + 1}{4}$$

For every real y , there's always a **real** solution n . **Injective**, since it's of the form of (2) with $a \neq 0$.

Countable sets

- **Definition:** A set S is said to be **countable** if **there exists a bijection from a subset of $\mathbb{N}^{\geq 1}$ to S .**
 - Sometimes, this bijection is called an **enumeration**.
 - Alternatively, yet still rigorously: **If we can form some sequence out of its elements** (or, if we can **enumerate** its elements)
 - Equivalently, blending in Physics: If every one of its elements can be reached in **finite time**.

Finite sets and countability

- **Every finite set is countable.**

Finite sets and countability

- Every finite set is countable.
 - *Why?*

Finite sets and countability

- Every finite set is countable.
 - *Why?*
 - Suppose that S is a finite set. Since it's finite, it contains n elements, for $n \in \mathbb{N}$. This means that S can be **enumerated**, like so:

$$S = \{s_1, s_2, s_3, \dots, s_n\}$$

But this means that there exists a bijection from $\{1, 2, \dots, n\}$ to S , where $\{1, 2, \dots, n\} \subseteq \mathbb{N}$!

Infinite sets and countability

- Since all finite sets are countable, might as well limit ourselves to the exploration of **infinite sets** that might also be **countable**.
 - We call those “countably infinite” sets.
- Let such a set be called S . Then, to prove that it's countable, we need to find some bijection b from $\mathbb{N}^{\geq 1}$ to S .

Infinite sets and countability

- Since all finite sets are countable, might as well limit ourselves to the exploration of **infinite sets** that might also be **countable**.
 - We call those “countably infinite” sets.
- Let such a set be called S . Then, to prove that it's countable, we need to find some bijection b from $\mathbb{N}^{\geq 1}$ to S .
- Is $b: \mathbb{N}^{\geq 1} \mapsto \mathbb{N}^{\geq 1}$ such that

$$b(n) = n$$

a bijection?

Yes

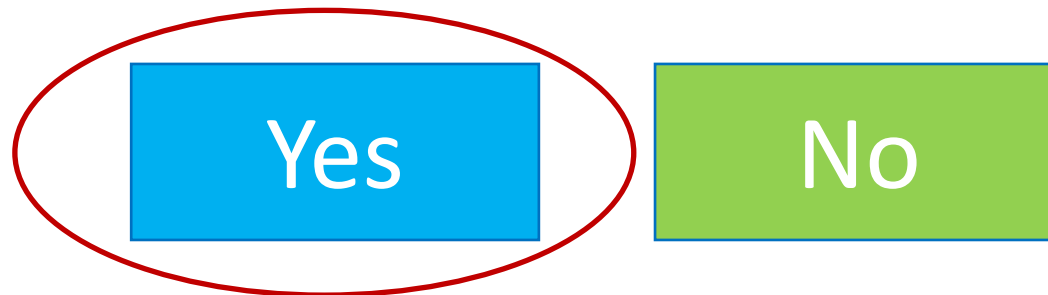
No

Infinite sets and countability

- Since all finite sets are countable, might as well limit ourselves to the exploration of **infinite sets** that might also be **countable**.
 - We call those “countably infinite” sets.
- Let such a set be called S . Then, to prove that it’s countable, we need to find some bijection b from $\mathbb{N}^{\geq 1}$ to S .
- Is $b: \mathbb{N}^{\geq 1} \mapsto \mathbb{N}^{\geq 1}$ such that

$$b(n) = n$$

a bijection?

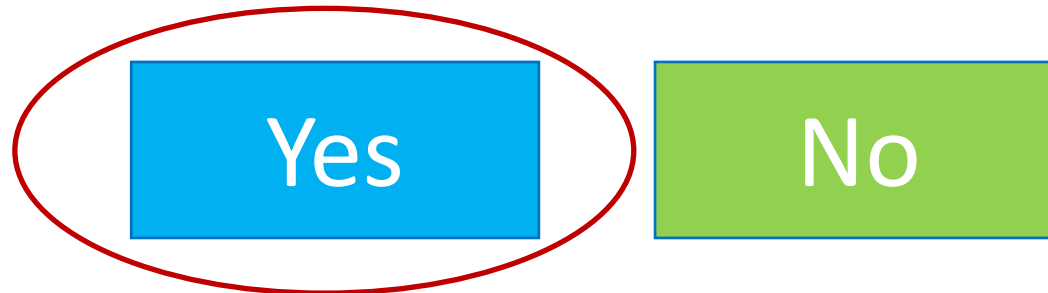


Infinite sets and countability

- Since all finite sets are countable, might as well limit ourselves to the exploration of **infinite sets** that might also be **countable**.
 - We call those “**countably infinite**” sets.
- Let such a set be called S . Then, to prove that it's countable, **we need to find some bijection b from $\mathbb{N}^{\geq 1}$ to S .**
- Is $b: \mathbb{N}^{\geq 1} \mapsto \mathbb{N}^{\geq 1}$ such that

$$b(n) = n$$

a bijection?



**Conclusion: $\mathbb{N}^{\geq 1}$
is countably
infinite**

Countability of \mathbb{N}

- Is \mathbb{N} countable? (recall, $0 \in \mathbb{N}$)

Yes

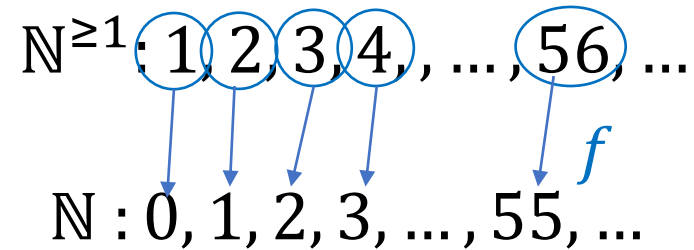
No

Countability of \mathbb{N}

- Is \mathbb{N} countable? (recall, $0 \in \mathbb{N}$)



- Through the bijection $f(n) = n - 1$, like so:



Countability of other $A \subseteq \mathbb{N}$

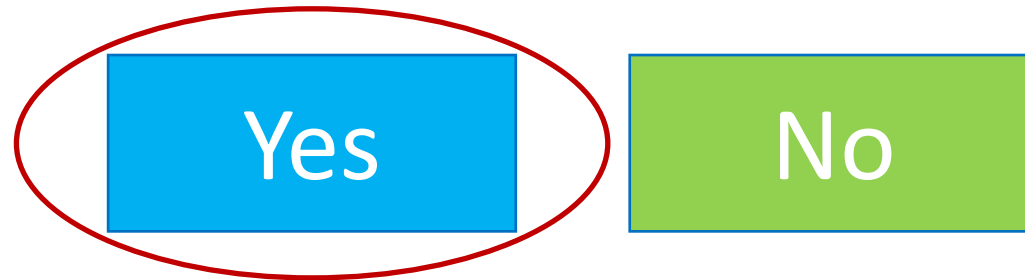
- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \geq 17)\}$ countable?

Yes

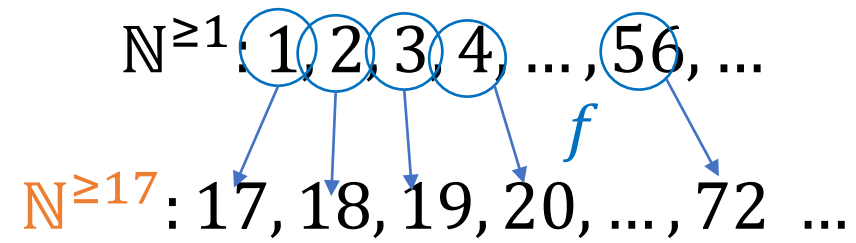
No

Countability of other $A \subseteq \mathbb{N}$

- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \geq 17)\}$ countable?



- Through the bijection $f(n) = n + 16$, like so:



Countability of other $A \subseteq \mathbb{N}$

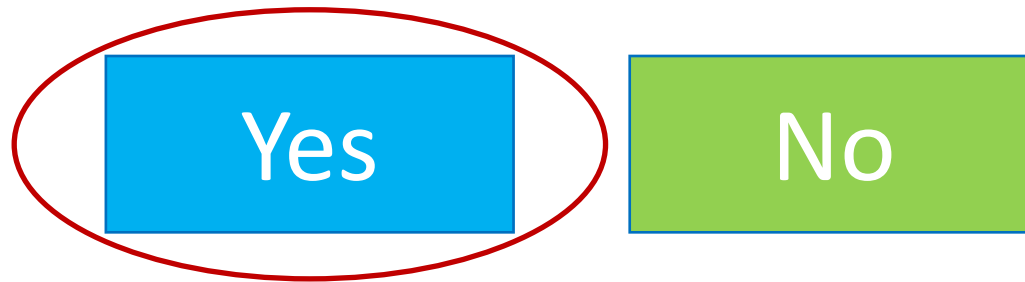
- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \equiv 0 \pmod{2})\}$ countable?

Yes

No

Countability of other $A \subseteq \mathbb{N}$

- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \equiv 0 \pmod{2})\}$ countable?

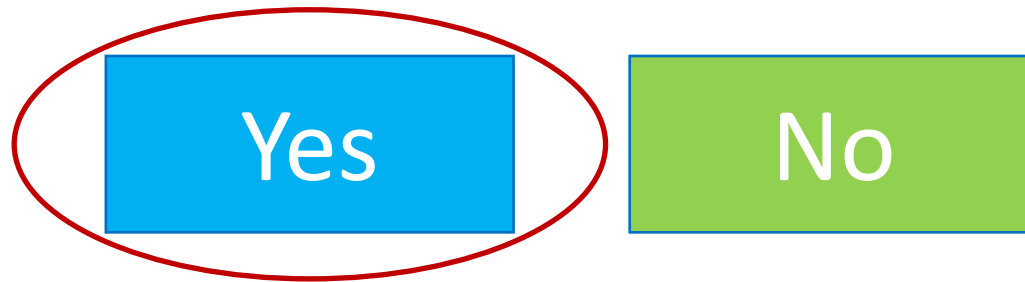


$\mathbb{N}^{\geq 1}$: 1, 2, 3, 4, ...

\mathbb{N}^{even} : 0, 2, 4, 6, ...

Countability of other $A \subseteq \mathbb{N}$

- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \equiv 0 \pmod{2})\}$ countable?



$$\begin{array}{ccccccc} \mathbb{N}^{\geq 1}: & 1 & 2 & 3 & 4 & \dots & \\ & \downarrow & \downarrow & \downarrow & \downarrow & & f \\ \mathbb{N}^{even}: & 0 & 2 & 4 & 6 & \dots & \end{array}$$

$$f(n) = 2(n - 1)$$

Countability of \mathbb{Z}

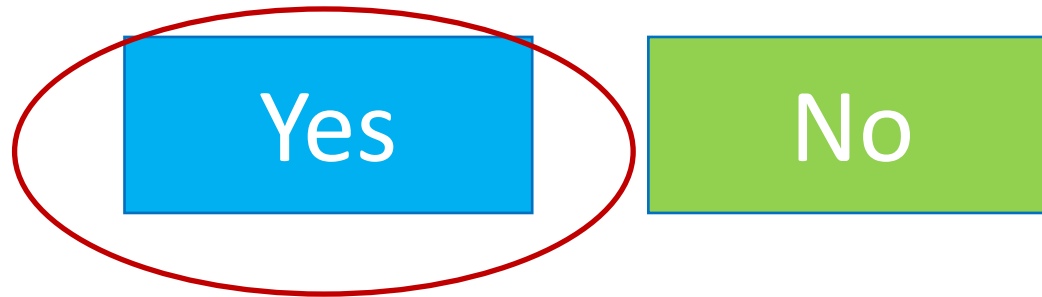
- Is \mathbb{Z} countable?

Yes

No

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?

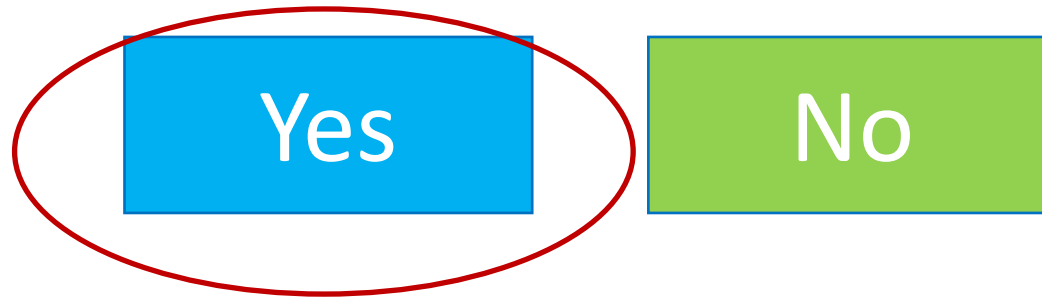


0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?

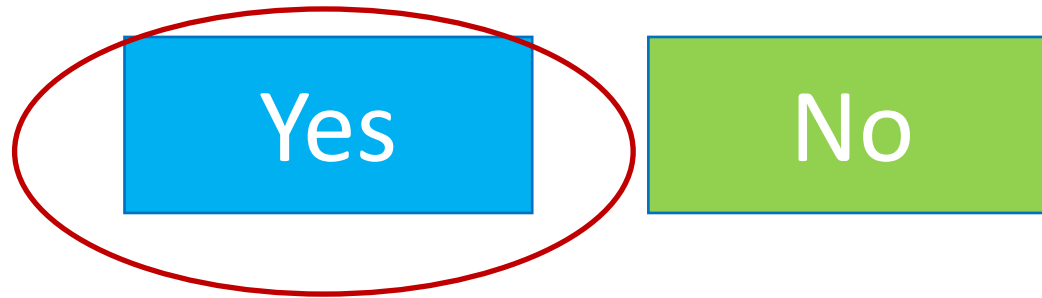


0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7 $f = ?$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



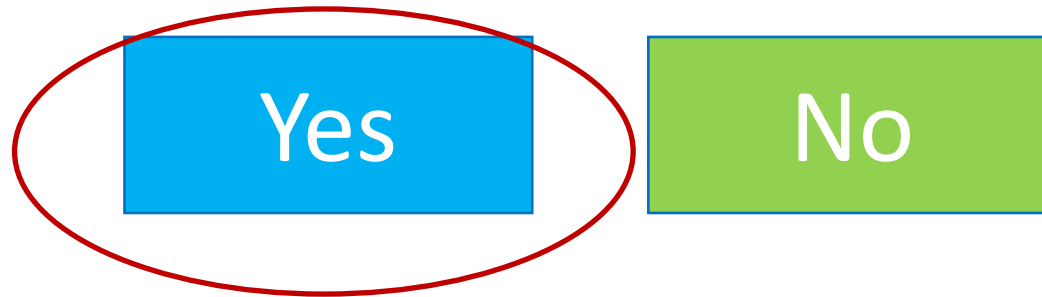
0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

$$f: \mathbb{N}^{\geq 1} \mapsto \mathbb{Z}, f(n) = \begin{cases} 0, & n = 1 \\ \frac{n}{2}, & n \text{ even} \\ -\frac{(n-1)}{2}, & n \text{ odd} \end{cases}$$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

- f is...

$$f: \mathbb{N}^{\geq 1} \mapsto \mathbb{Z}, f(n) = \begin{cases} 0, & n = 1 \\ \frac{n}{2}, & n \text{ even} \\ -\frac{(n-1)}{2}, & n \text{ odd} \end{cases}$$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

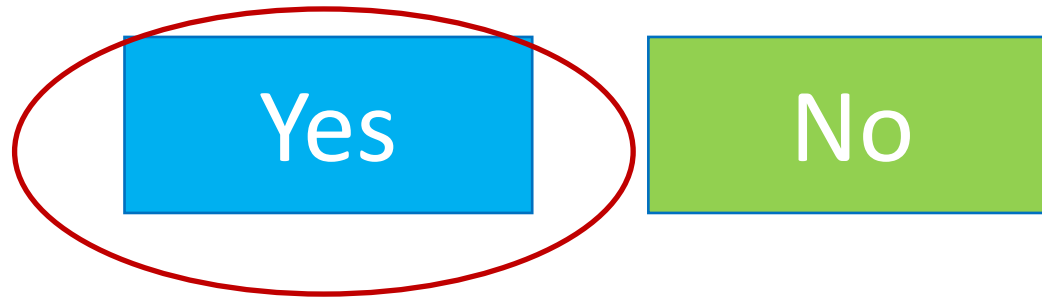
- f is...

- onto, since every integer is mapped to

$$f: \mathbb{N}^{\geq 1} \mapsto \mathbb{Z}, f(n) = \begin{cases} 0, & n = 1 \\ \frac{n}{2}, & n \text{ even} \\ -\frac{(n-1)}{2}, & n \text{ odd} \end{cases}$$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

- f is...

- onto, since every integer is mapped to
- 1-1, since no two naturals map to the same integer

$$f: \mathbb{N}^{\geq 1} \mapsto \mathbb{Z}, f(n) = \begin{cases} 0, & n = 1 \\ \frac{n}{2}, & n \text{ even} \\ \frac{-(n-1)}{2}, & n \text{ odd} \end{cases}$$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

- f is...

- **onto**, since every integer is mapped to
- **1-1**, since no two naturals map to the same integer
- So it's a **bijection**, and \mathbb{Z} is **countable**!

$$f: \mathbb{N}^{\geq 1} \mapsto \mathbb{Z}, f(n) = \begin{cases} 0, & n = 1 \\ \frac{n}{2}, & n \text{ even} \\ \frac{-(n-1)}{2}, & n \text{ odd} \end{cases}$$

Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?

Yes

No

Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?



0, 2, -2, 4, -4, 6, -6 ...
1 2 3 4 5 6 7

Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?



0, 2, -2, 4, -4, 6, -6 ...
1 2 3 4 5 6 7

$$f(n) = \begin{cases} 0, & n = 1 \\ n, & n = 2, 4, 6, \dots \\ -n + 1, & n = 3, 5, 7, \dots \end{cases}$$

Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?



0, 2, -2, 4, -4, 6, -6 ...
1 2 3 4 5 6 7

$$f(n) = \begin{cases} 0, & n = 1 \\ n, & n = 2, 4, 6, \dots \\ -n + 1, & n = 3, 5, 7, \dots \end{cases} \quad \text{Both onto and 1-1}$$

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...
 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...
 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...
 - *If we find a bijection* from \mathbb{Z} to \mathbb{Z}^{even} ...

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...
 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...
 - **If we find a bijection** from \mathbb{Z} to \mathbb{Z}^{even} ...
 - We will have a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z}^{even} , and \mathbb{Z}^{even} is, therefore, countable!

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...
 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...
 - **If we find a bijection** from \mathbb{Z} to \mathbb{Z}^{even} ...
 - We will have a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z}^{even} , and \mathbb{Z}^{even} is, therefore, countable!

..., -6, -4, -2, 0, 2, 4, 6, ...

..., -3, -2, -1, 0, 1, 2, 3, ...

$$f(n) = 2 * n$$

Countability of \mathbb{Z}^{even}

- If f and g are bijections, then $f(g(x)) = (f \circ g)(x)$ is also a bijection
 - Prove this at home!
- So, since we know that \mathbb{Z} is countable...
 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...
 - **If we find a bijection** from \mathbb{Z} to \mathbb{Z}^{even} ...
 - We will have a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z}^{even} , and \mathbb{Z}^{even} is, therefore, countable!

..., -6, -4, -2, 0, 2, 4, 6, ...

..., -3, -2, -1, 0, 1, 2, 3, ...

$$f(n) = 2 * n$$

clearly bijective

Countability of $\mathbb{Q}^{>0}$

- Is $\mathbb{Q}^{>0}$ countable?

Yes

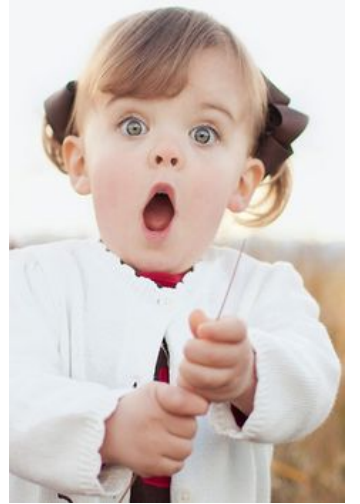
No

Countability of $\mathbb{Q}^{>0}$

- Is $\mathbb{Q}^{>0}$ countable?

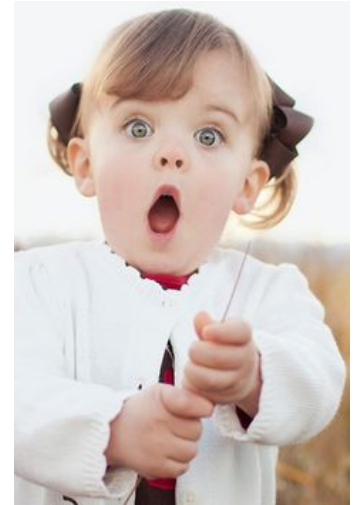
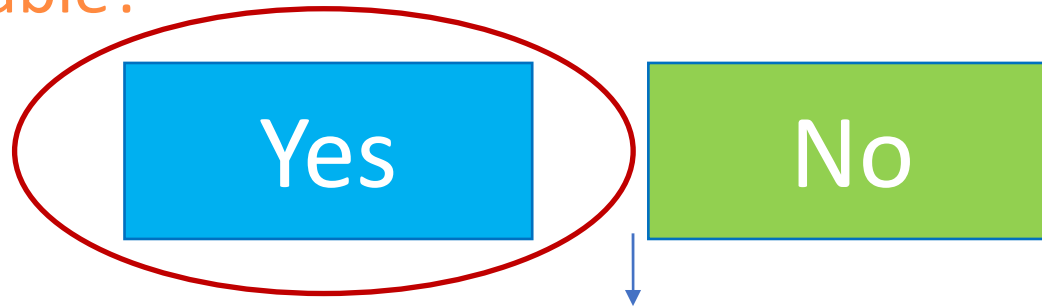
Yes

No



Countability of $\mathbb{Q}^{>0}$

- Is $\mathbb{Q}^{>0}$ countable?

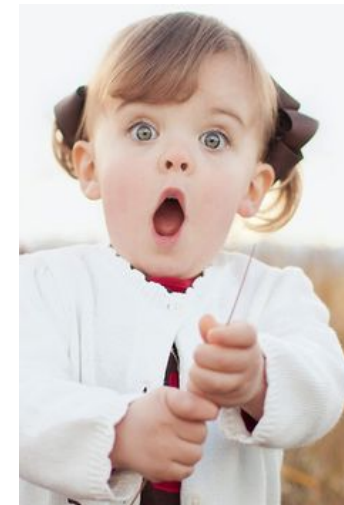
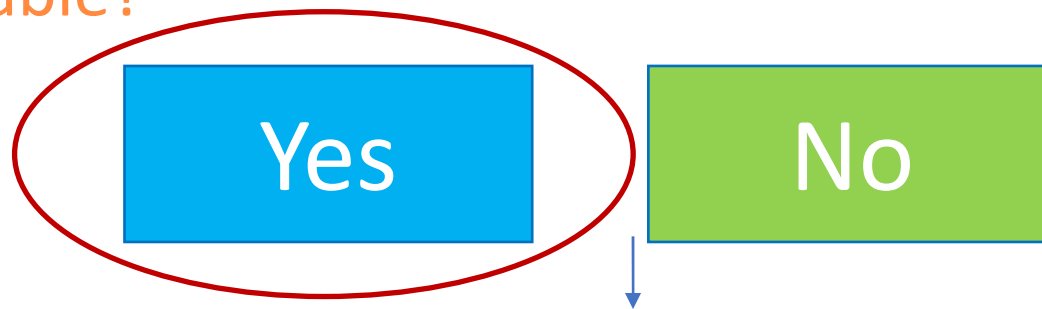


	1	2	3	4	...
1	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$...
2	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\frac{2}{4}$...
3	$\frac{3}{1}$	$\frac{3}{2}$	$\frac{3}{3}$	$\frac{3}{4}$...
4	$\frac{4}{1}$	$\frac{4}{2}$	$\frac{4}{3}$	$\frac{4}{4}$...
...

Blue arrows indicate a diagonal traversal pattern through the grid of fractions, starting from the top-left and moving towards the bottom-right, illustrating a countable sequence.

Countability of $\mathbb{Q}^{>0}$

- Is $\mathbb{Q}^{>0}$ countable?



	1	2	3	4	...
1	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$...
2	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\frac{2}{4}$...
3	$\frac{3}{1}$	$\frac{3}{2}$	$\frac{3}{3}$	$\frac{3}{4}$...
4	$\frac{4}{1}$	$\frac{4}{2}$	$\frac{4}{3}$	$\frac{4}{4}$...
...

All strictly positive rationals are counted exactly once (skipping repetitions like $\frac{1}{1} = \frac{2}{2} = \frac{3}{3} = \dots$), so this “snaking” is a bijection

Countability of $\mathbb{Q}^{>0}$

- If you don't like the proof involving this "snaking" pattern, ProofWiki has 4 (!) different proofs here:
<http://www.homeschoolmath.net/teaching/rational-numbers-countable.php>
 - (1) tries to prove the "snaking" pattern in a way that I don't find very rigorous
 - 2, 3, 4 assume other facts that we won't prove today, but are easy to prove
 - E.g the cartesian product of countable sets is also countable, or the union of countable sets is also a countable set!

Countability of $\mathbb{Q}^{>0}$

- Another way to prove this fact formally is focusing on the diagonals, and realize that the i^{th} diagonal has **an interesting property!**

$$d_i = \left\{ \frac{a}{b} \mid (a, i \in \mathbb{N}) \wedge (b \in \mathbb{N}^{\neq 0}) \wedge (a + b) = i + 2 \right\}$$

Countability of $\mathbb{Q}^{>0}$


- Another way to prove this fact formally is focusing on the diagonals, and realize that the i^{th} diagonal has **an interesting property!**

$$d_i = \left\{ \frac{a}{b} \mid (a, i \in \mathbb{N}) \wedge (b \in \mathbb{N}^{\neq 0}) \wedge \underbrace{(a + b) = i + 2} \right\}$$

Because of zero indexing

Countability of $\mathbb{Q}^{>0}$

- Another way to prove this fact formally is focusing on the diagonals, and realize that the i^{th} diagonal has **an interesting property!**

$$d_i = \left\{ \frac{a}{b} \mid (a, i \in \mathbb{N}) \wedge (b \in \mathbb{N}^{\neq 0}) \wedge (a + b) = i + 2 \right\}$$


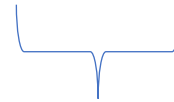
Because of zero indexing

- If we can prove that:

Countability of $\mathbb{Q}^{>0}$

- Another way to prove this fact formally is focusing on the diagonals, and realize that the i^{th} diagonal has **an interesting property!**

$$d_i = \left\{ \frac{a}{b} \mid (a, i \in \mathbb{N}) \wedge (b \in \mathbb{N}^{\neq 0}) \wedge (a + b) = i + 2 \right\}$$



Because of zero indexing

- If we can prove that:
 1. $(\forall i \in \mathbb{N})[d_i \text{ is countable}]$
 2. The **union** of countable sets is **also countable**

- We then have:

$$d_0 \cup d_1 \cup d_2 \cup \dots = \bigcup_{i=0}^{+\infty} d_i = \mathbb{Q}^{>0} \text{ is countable!}$$

Some theorems on countability

- Suppose A is a countable set and $e \notin A$. Is $A \cup \{e\}$ countable?

Yes

No

Unknown
to science

Some theorems on countability

- Suppose A is a countable set and $e \notin A$. Is $A \cup \{e\}$ countable?

Yes

No

Unknown
to science

- Suppose a_1, a_2, a_3, \dots is an enumeration of A .
- We then define a new enumeration b of $A \cup \{e\}$, like so:

$$b_n = \begin{cases} e, & n = 1 \\ a_{n-1}, & n \geq 2 \end{cases}$$

Some theorems on countability

- Suppose A is a countable set and $e \notin A$. Is $A \cup \{e\}$ countable?

Yes

No

Unknown
to science

- Suppose a_1, a_2, a_3, \dots is an enumeration of A .
- We then define a new enumeration b of $A \cup \{e\}$, like so:

$$b_n = \begin{cases} e, & n = 1 \\ a_{n-1}, & n \geq 2 \end{cases}$$

Pretty much like in the case of \mathbb{N} , we just “move one index over”!

Some theorems on countability

- Suppose A and B are countable sets. Is $A \cup B$ countable?

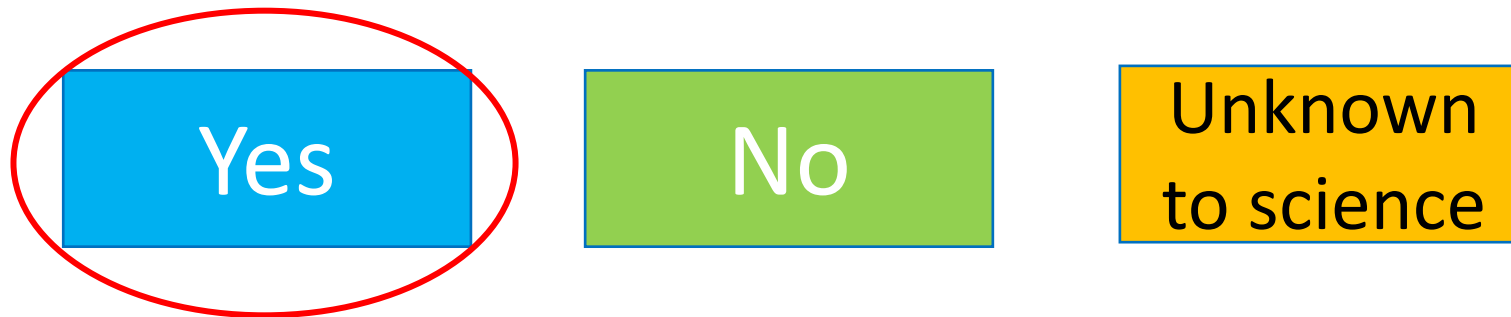
Yes

No

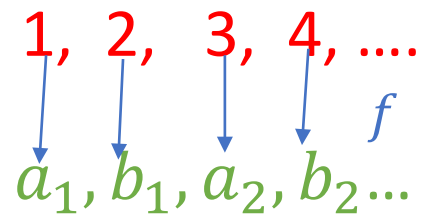
Unknown
to science

Some theorems on countability

- Suppose A and B are countable sets. Is $A \cup B$ countable?



- For simplicity, assume A and B are countably infinite.



Some theorems on countability

- Suppose A and B are countable sets. Is $A \cup B$ countable?

Yes

No

Unknown
to science

- For simplicity, assume A and B are countably infinite.

1, 2, 3, 4,
↓ ↓ ↓ ↓ f
 $a_1, b_1, a_2, b_2, \dots$

$$f(n) = \begin{cases} a_{(n+1)/2}, & n \text{ odd} \\ b_{n/2}, & n \text{ even} \end{cases}$$

What if A or B (or both) finite?

- Caveat: the previous will **not** work if A or B end before the other ends.
 - Because some a_i, b_i might not exist.
- We leave it to you to iron out the details of what happens then.

Countability of \mathbb{R}

- Is \mathbb{R} countable?

Yes

No

Unknown
to science

Countability of \mathbb{R}



- Is \mathbb{R} countable?

Yes

No

Unknown
to science

Countability of \mathbb{R}



- Is \mathbb{R} countable?

Yes

No

Unknown
to science

- Cantor's famous **diagonal argument!**

Countability of \mathbb{R}



- Is \mathbb{R} countable?

Yes

No

Unknown
to science

- Cantor's famous **diagonal argument!**
- The argument actually proves that **the interval $[0,1]$ is uncountable**, but the result generalizes to the **entirety of \mathbb{R}**

Cantor's diagonal argument

- **Proof by contradiction:** Suppose that $[0, 1]$ is countable. Then, there exists some bijection from $\mathbb{N}^{\geq 1}$ to $[0, 1]$, i.e the reals can be enumerated in a sequence:

1. 0.28422856231.....
2. 0.28422856232.....
3. 0.28922856233.....
.....
.....
 n . 0.28422856001.....

Cantor's diagonal argument

$$r_1 = 0.28422856231 \dots$$

$$r_2 = 0.28422856232 \dots$$

$$r_3 = 0.28922856233 \dots$$

$$\begin{pmatrix} \bullet \\ \bullet \\ \bullet \end{pmatrix} = \dots$$

$$\begin{pmatrix} \bullet \\ \bullet \\ \bullet \end{pmatrix} = \dots$$

$$r_n = 0.28422856001 \dots$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_i = 9 \\ r_i + 1, & 0 \leq r_i < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

Cantor's diagonal argument

$$\begin{aligned} r_1 &= 0.28422856231 \dots \\ r_2 &= 0.28422856232 \dots \\ r_3 &= 0.28922856233 \dots \\ &\vdots = \dots\dots\dots \\ &\vdots = \dots\dots\dots \\ r_n &= 0.28422856001 \dots \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\underline{\hspace{2cm}}$

Cantor's diagonal argument

$$\begin{aligned}
 r_1 &= 0.\mathbf{2}8422856231 \dots \\
 r_2 &= 0.28422856232 \dots \\
 r_3 &= 0.28922856233 \dots \\
 &\vdots = \dots\dots\dots \\
 &\vdots = \dots\dots\dots \\
 r_n &= 0.28422856001 \dots
 \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\mathbf{3}$

Cantor's diagonal argument

$$\begin{aligned}
 r_1 &= 0.\mathbf{2}8422856231 \dots \\
 r_2 &= 0.28422856232 \dots \\
 r_3 &= 0.28922856233 \dots \\
 &\vdots = \dots\dots\dots \\
 &\vdots = \dots\dots\dots \\
 r_n &= 0.28422856001 \dots
 \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\mathbf{3}$ _____

First digit yielded by the application of the second rule...

Cantor's diagonal argument

$$\begin{aligned}
 r_1 &= 0.\mathbf{2}8422856231 \dots \\
 r_2 &= 0.2\mathbf{8}422856232 \dots \\
 r_3 &= 0.28922856233 \dots \\
 &\vdots = \dots\dots\dots \\
 &\vdots = \dots\dots\dots \\
 r_n &= 0.28422856001 \dots
 \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\mathbf{39}\underline{\hspace{1cm}}$

Cantor's diagonal argument

$$\begin{aligned}
 r_1 &= 0. \mathbf{2}8422856231 \dots \\
 r_2 &= 0.2 \mathbf{8}422856232 \dots \\
 r_3 &= 0.28922856233 \dots \\
 &\vdots = \dots\dots\dots \\
 &\vdots = \dots\dots\dots \\
 r_n &= 0.28422856001 \dots
 \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\mathbf{39}$

Second digit yielded by second rule again!

Cantor's diagonal argument

$$\begin{aligned}
 r_1 &= 0.\textcolor{blue}{2}8422856231 \dots \\
 r_2 &= 0.2\textcolor{violet}{8}422856232 \dots \\
 r_3 &= 0.28\textcolor{green}{9}22856233 \dots \\
 &\vdots = \dots\dots\dots \\
 &\vdots = \dots\dots\dots \\
 r_n &= 0.28422856001 \dots
 \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_{\textcolor{red}{i}} = \begin{cases} 0, & r_{\textcolor{red}{i}} = 9 \\ \textcolor{red}{r}_{\textcolor{red}{i}} + 1, & 0 \leq r_{\textcolor{red}{i}} < 9 \end{cases}$$

Note: $r_{\textcolor{red}{i}}$ is the $\textcolor{green}{i}^{\text{th}}$ digit of the $\textcolor{brown}{i}^{\text{th}}$ real.

- In this example, $r = 0.\textcolor{blue}{3}\textcolor{violet}{9}\textcolor{green}{0}\underline{\hspace{1cm}}$

Cantor's diagonal argument

$$r_1 = 0.\textcolor{blue}{2}8422856231 \dots$$

$$r_2 = 0.2\textcolor{violet}{8}422856232 \dots$$

$$r_3 = 0.28\textcolor{green}{9}22856233 \dots$$

$$\vdots = \dots\dots\dots$$

$$\vdots = \dots\dots\dots$$

$$r_n = 0.28422856001 \dots$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = 0.\textcolor{blue}{3}\textcolor{violet}{9}\textcolor{green}{0}$

This time we have to use the first rule, since $r_{3_3} = 9$.

Cantor's diagonal argument

$r_1 = 0.28422856231 \dots$
 $r_2 = 0.28422856232 \dots$
 $r_3 = 0.28422856233 \dots$
 $\vdots = \dots\dots\dots$
 $\vdots = \dots\dots\dots$
 $r_n = 0.28422856001 \dots$

The proof moves *diagonally*,
hence the term
“diagonal”
argument!



- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_{\textcolor{red}{i}} = \begin{cases} 0, & r_{\textcolor{red}{i}} = 9 \\ r_{\textcolor{red}{i}} + 1, & 0 \leq r_{\textcolor{red}{i}} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In this example, $r = \underline{0.390}$

Cantor's diagonal argument

$$r_1 = 0.\textcolor{blue}{2}8422856231 \dots$$

$$r_2 = 0.2\textcolor{violet}{8}422856232 \dots$$

$$r_3 = 0.28\textcolor{teal}{9}22856233 \dots$$

$$\vdots = \dots\dots\dots$$

$$\vdots = \dots\dots\dots$$

$$r_n = 0.28422856001 \dots$$

- Somebody claims that $r = 0.395 \dots$ is the 17th real in the list.

Cantor's diagonal argument

$$r_1 = 0.\textcolor{blue}{2}8422856231 \dots$$

$$r_2 = 0.2\textcolor{violet}{8}422856232 \dots$$

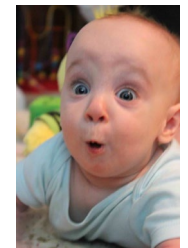
$$r_3 = 0.28\textcolor{teal}{9}22856233 \dots$$

$$\vdots = \dots\dots\dots$$

$$\vdots = \dots\dots\dots$$

$$r_n = 0.28422856001 \dots$$

- Somebody claims that $r = 0.395 \dots$ is the 17th real in the list.
- But this cannot be true, since our real number was constructed such that it **differs from the 17th real in the 17th decimal digit!**



Cantor's diagonal argument

$$\begin{aligned}r_1 &= 0.\textcolor{blue}{2}8422856231 \dots \\r_2 &= 0.2\textcolor{violet}{8}422856232 \dots \\r_3 &= 0.28\textcolor{teal}{9}22856233 \dots \\&\vdots = \dots\dots\dots \\&\vdots = \dots\dots\dots \\r_n &= 0.28422856001 \dots\end{aligned}$$

- Somebody claims that $r = 0.395 \dots$ is the 17^{th} real in the list.
- But this cannot be true, since our real number was constructed such that it **differs from the 17^{th} real in the 17^{th} decimal digit!**
- Generally speaking, r will differ from the i^{th} real in the i^{th} digit!
 - So we **can't** find an $k \in \mathbb{N}$ such that $0.\textcolor{blue}{3}\textcolor{violet}{9}\textcolor{teal}{5} \dots = r_k$.
 - **Contradiction, since we assumed we can enumerate all reals in $[0,1]$.**

$$\text{Is } |\mathbb{N}| < |\mathbb{R}|?$$

- It has to be, since any given natural maps to a real, but we just proved that **not all** reals **can** be mapped to a natural!
- But how can we say this rigorously?
- **Defn:** $|A| \leq |B|$ if there is an **injection** from A into B
- **Defn:** $|A| < |B|$ if there is an **injection** from A into B but there is **no surjection** from A into B !

More theorems on countability

- Is $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ countable?

Yes

No

Unknown
to science

More theorems on countability

- Is $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ countable?

Yes

No

Unknown
to science

- **Proof:** $f: \mathbb{R} \mapsto \mathbb{R} \times \mathbb{R}$ such that $f(x) = (x, 1)$ is an **injection (1-1)**
 - Hence, $\mathbb{R} \times \mathbb{R}$ is **at least as big** as \mathbb{R} , and \mathbb{R} is uncountable.
 - So, $\mathbb{R} \times \mathbb{R}$ is uncountable.

More theorems on countability

- Is \mathbb{C} (set of complex numbers) countable?

Yes

No

Unknown
to science

More theorems on countability

- Is \mathbb{C} (set of complex numbers) countable?



- Remember: complex numbers defined as $a + b \cdot i$ for $a, b \in \mathbb{R}$.
 - $f: \mathbb{R} \times \mathbb{R} \mapsto \mathbb{C}$ such that $f((a, b)) = a + b \cdot i$ is a bijection from $\mathbb{R} \times \mathbb{R}$ to \mathbb{C}
 - But we know that $\mathbb{R} \times \mathbb{R}$ is uncountable. Therefore, \mathbb{C} is uncountable.

More theorems on countability

- Let A be any **uncountable** set. Is there any (infinite) $B \subseteq A$ that is **countable**?

Yes

No

Unknown
to science

More theorems on countability

- Let A be any **uncountable** set. Is there any (infinite) $B \subseteq A$ that is **countable**?

Yes

No

Unknown
to science

- Consider: $[0,1]$ and $\left\{\frac{1}{x} \mid x \in \mathbb{N}^{\geq 1}\right\} \subseteq [0,1]$

More theorems on countability

- Let A be any **uncountable** set. Is there any (infinite) $B \subseteq A$ that is **countable**?

Yes

No

Unknown
to science

- Consider: $[0,1]$ and $\left\{ \frac{1}{x} \mid x \in \mathbb{N}^{\geq 1} \right\} \subseteq [0,1]$
All these are positive rationals!

More theorems on countability

- Let $\{0, 1\}^\infty$ be the set of infinite bitstrings
 - Is it countable?

Yes

No

Unknown
to science

More theorems on countability

- Let $\{0, 1\}^\infty$ be the set of infinite bitstrings
 - Is it countable?

Yes

No

Unknown
to science

- Cantor-like proof in next slide!

The set of infinite bit-strings is uncountable

- Assume that the set is countable, then the strings can be enumerated:

1: 000111010101010...

2: 0101011110001101...

...

n: 010101000011100...

- Construct bit-string s which *differs from the i^{th} string in the list in the i^{th} digit.*
- Since this string is not in the list, we can't enumerate them all.
Contradiction.

More theorems on countability

- Let $A_1, A_2, A_3 \dots$ be an infinite sequence of countable sets.
- Is $A_1 \times A_2 \times A_3 \times \dots$ countable?

Yes

No

Unknown
to science

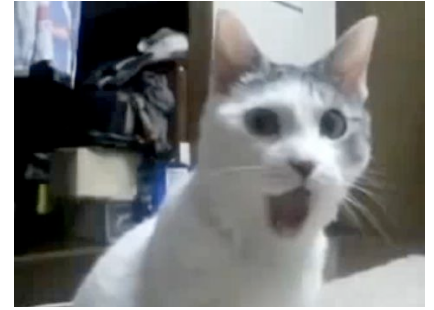
More theorems on countability

- Let $A_1, A_2, A_3 \dots$ be an infinite sequence of countable sets.
- Is $A_1 \times A_2 \times A_3 \times \dots$ countable?

Yes

No

Unknown
to science



- Cantor-like proof in next slide!

Set of infinite cartesian product of countable sets is uncountable

- Notation: $a_i = \{a_{i_1}, a_{i_2}, a_{i_3}, \dots\}$
- Suppose that the set is countable. Then, enumeration:

$$\begin{aligned} & (a_{1_1}, a_{1_2}, a_{1_3}, a_{1_4}, \dots), \\ & (a_{2_1}, a_{2_2}, a_{2_3}, a_{2_4}, \dots), \\ & (a_{3_1}, a_{3_2}, a_{3_3}, a_{3_4}, \dots), \\ & \dots \end{aligned}$$

Set of infinite cartesian product of countable sets is uncountable

- Notation: $a_i = \{a_{i_1}, a_{i_2}, a_{i_3}, \dots\}$
- Suppose that the set is countable. Then, enumeration:

$$\begin{aligned} & (a_{1_1}, a_{1_2}, a_{1_3}, a_{1_4}, \dots), \\ & (a_{2_1}, a_{2_2}, a_{2_3}, a_{2_4}, \dots), \\ & (a_{3_1}, a_{3_2}, a_{3_3}, a_{3_4}, \dots), \\ & \dots \end{aligned}$$

Construct infinite tuple $(a_{1_{x_1}}, a_{2_{x_2}}, a_{3_{x_3}}, \dots)$ such that x_i is an element of A_i different from the element used in the i^{th} position of the i^{th} tuple!

- This tuple cannot be in the list, etc etc etc

More reading

- Epp, 7.4
- Rosen, section 2.5 (Rosen presents Set Theory / Countability **much** earlier than Epp)
- If you're interested in finding out more about the **different kinds of infinities**, check out these VSauce videos:
 - <https://www.youtube.com/watch?v=SrU9YDoXE88>
 - <https://www.youtube.com/watch?v=s86-Z-CbaHA>