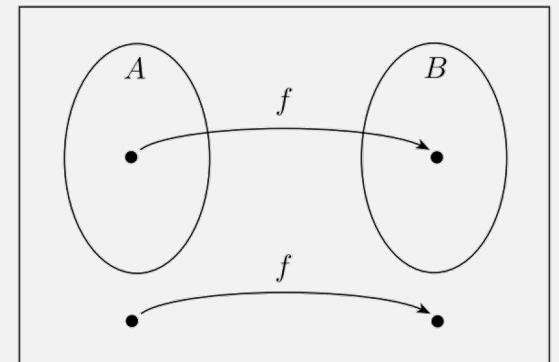


Mapping Reducibility, Metaprogramming

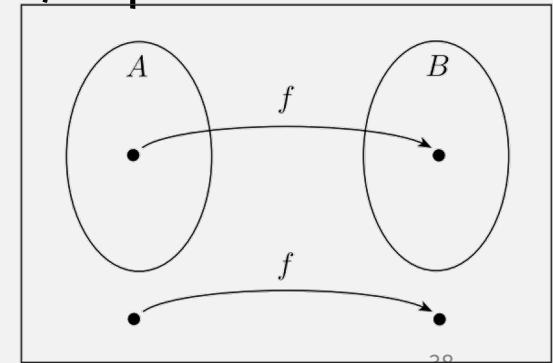


To Update

- HW8/9 out Fri sat – Due Wed right before break
- Thank earlier class, just make HW7 due on Thursday @ 10 before exam
- HW7 input output files slow, behind. I will add up _some_
 - Use JFLAP files posted + examples I give
 - Crowdsource examples and I/O examples as a way to prep for exam.
 - I bless this!
- No Reg Machines on this Exam :/// But on optional final
- Friday I'll record lecture; wed we'll do some exam review
- Autograder retry count for exam – 7 tries
- Exam Friday 8am to Sat 10pm (should not be 2x as long, just more flexible timing)
- Open extra NOT FOR CREDIT dropboxes for HW467

Announcements

- Still behind on autograder, file generating
- W/o tester, I worry I'll hand you out buggy files.
- Some exam q & a, now not @ end of class b/c quiz time is yours



Last time: “Reduced” A_{TM} to HALT_{TM}

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$



$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

Thm: HALT_{TM} is undecidable

Proof, by contradiction:

- Assume HALT_{TM} has decider R ; use to create A_{TM} decider:

S = “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

1. Run TM R on input $\langle M, w \rangle$. ← Use R to first check if M will loop on w
2. If R rejects, *reject*.
3. If R accepts, simulate M on w until it halts. Then run M on w knowing it won’t loop
4. If M has accepted, *accept*; if M has rejected, *reject*.”

- Contradiction: A_{TM} is undecidable and has no decider!

Today: Formalize “reduction” and “reducibilty”

Reducing to non- A_{TM} language

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Thm: EQ_{TM} is undecidable

Proof, by contradiction:

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

- Assume EQ_{TM} has decider R ; use to create ~~A_{TM} decider~~:

S = “On input $\langle M \rangle$, where M is a TM:

1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
2. If R accepts, accept; if R rejects, reject.”

Reducing to non- A_{TM} language

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Thm: EQ_{TM} is undecidable

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~~$S =$ “On input $\langle M \rangle$, where M is a TM:~~

1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
2. If R accepts, accept; if R rejects, reject.”

- Contradiction: E_{TM} is undecidable!

Summary

- | | |
|--|--------------------|
| • $A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$ | Decidable |
| • $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$ | Decidable |
| → • $A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$ | Undecidable |
| • $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$ | Decidable |
| • $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$ | Decidable |
| → • $E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$ | Undecidable |
| • $EQ_{\text{DFA}} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$ | Decidable |
| • $EQ_{\text{CFG}} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$ | Undecidable |
| → • $EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$ | Undecidable |

Observation:
Can we decide
anything about
Turing Machines,
i.e., about programs?

Can't decide anything about TMs?

- $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ Undecidable
- HW9 • $CONTEXTFREE_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a CFL}\}$ Undecidable
- $DECIDABLE_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a decidable language}\}$ Undecidable
- $FINITE_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a finite language}\}$ Undecidable
- ...
Undecidable: Rice's Theorem
- HW9 • $ANYTHING_{TM} = \{\langle M \rangle \mid M \text{ is a TM and “something something” about } L(M)\}$ 46

Today: Computable Functions

- Needed to formalize the notion of “reducibility”

Flashback: A_{NFA} is a decidable language

$$A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$$

Decider (i.e., “run” function) for A_{NFA} :

N = “On input $\langle B, w \rangle$, where B is an NFA and w is a string:

1. Convert NFA B to an equivalent DFA C , using the procedure for this conversion given in Theorem 1.39.
2. Run TM M on input $\langle C, w \rangle$.
3. If M accepts, accept; otherwise, reject.”

We said this NFA \rightarrow DFA algorithm is a TM, but it doesn't accept/reject?

More generally, we've been saying
“**programs = TMs**”,
but programs do more than accept/reject?

Computable Functions

- A TM that, instead of accept/reject, “outputs” final tape contents

DEFINITION 5.17

A function $f: \Sigma^* \rightarrow \Sigma^*$ is a *computable function* if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

- Example 1: All arithmetic operations
- Example 2: Converting between machines, like DFA \rightarrow NFA
 - E.g., adding states, changing transitions, wrapping TM in TM, etc.

Mapping Reducibility

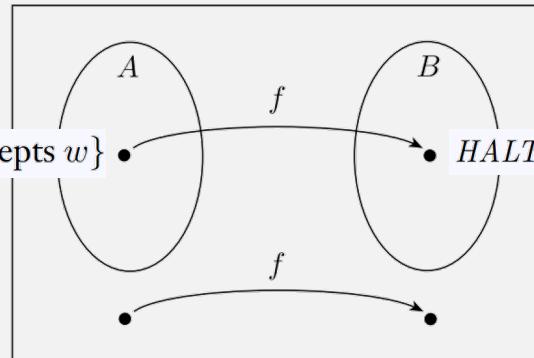
DEFINITION 5.20

Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** from A to B .

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$



DEFINITION 5.17

A function $f: \Sigma^* \rightarrow \Sigma^*$ is a **computable function** if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

Thm: A_{TM} is mapping reducible to HALT_{TM}

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$



$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

- To show: $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$

- Want: computable fn $f : \langle M, w \rangle \rightarrow \langle M', w' \rangle$ where:

$$\langle M, w \rangle \in A_{\text{TM}} \text{ if and only if } \langle M', w' \rangle \in \text{HALT}_{\text{TM}}$$

The following machine F computes a reduction f .

F = “On input $\langle M, w \rangle$:

1. Construct the following machine M'
 M' = “On input x :
 1. Run M on x .
 2. If M accepts, accept.
 3. If M rejects, enter a loop.”
2. Output $\langle M', w \rangle$.“

M accepts w
if and only if
 M' halts on w

Output new M'

M' is like M , except it
always loops when it
doesn't accept

Converts M to M'

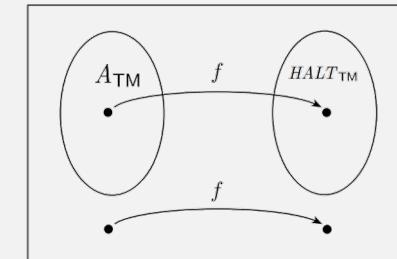
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The function f is called the **reduction** from A to B .

DEFINITION 5.17

A function $f : \Sigma^* \rightarrow \Sigma^*$ is a **computable function** if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.



How is mapping reducibility useful?

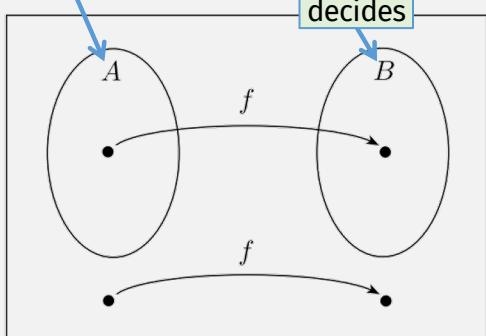
Thm: If $A \leq_m B$ and B is decidable, then A is decidable.

Has a decider

PROOF We let M be the decider for B and f be the reduction from A to B . We describe a decider N for A as follows.

N = “On input w :

1. Compute $f(w)$.
2. Run M on input $f(w)$ and output whatever M outputs.”



DEFINITION 5.20

Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** from A to B .

Coro: If $A \leq_m B$ and A is undecidable, then B is undecidable.

- Proof by contradiction.
- Assume B is decidable.
- Then A is decidable (by the previous thm).
- Contradiction: we already said A is undecidable

If $A \leq_m B$ and B is decidable, then A is decidable.

Summary: Mapping Reducibility Theorems

- If $A \leq_m B$ and B is decidable, then A is decidable.



- If $A \leq_m B$ and A is undecidable, then B is undecidable.



Alternate Proof: The Halting Problem

HALT_{TM} is undecidable

- If $A \leq_m B$ and A is undecidable, then B is undecidable.
- $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$
- Since A_{TM} is undecidable, then HALT_{TM} is undecidable

Alternate Proof: EQ_{TM} is undecidable

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Flashback: proof by contradiction:

- Assume EQ_{TM} has *decider* R ; use to create E_{TM} *decider*:

$$= \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

S = “On input $\langle M \rangle$, where M is a TM:

1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
2. If R accepts, *accept*; if R rejects, *reject*. ”

Alternate proof: Show: $E_{TM} \leq_m EQ_{TM}$

- Computable fn $f: \langle M \rangle \rightarrow \langle M, M_1 \rangle$

DEFINITION 5.20

Language A is *mapping reducible* to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the *reduction* from A to B .

Reducing to complement: E_{TM} is undecidable

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

Proof, by contradiction:

- Assume E_{TM} has *decider* R ; use to create A_{TM} *decider*:

S = “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

1. Use the description of M and w to construct the TM M_1 just described.
2. Run R on input $\langle M_1 \rangle$.
3. If R accepts, *reject*; if R rejects, *accept*.[”]

M_1 = “On input x :
1. If $x \neq w$, *reject*.
2. If $x = w$, run M on input w and *accept* if M does.”

If M accepts w , M_1 not in E_{TM} !

Alternate proof: computable fn: $\langle M, w \rangle \rightarrow \langle M_1 \rangle$???

- So this only reduces A_{TM} to $\overline{E_{\text{TM}}}$
- Still proves E_{TM} is undecidable, since undecidable langs closed under complement

More Helpful Theorems

If $A \leq_m B$ and B is Turing-recognizable, then A is Turing-recognizable.

If $A \leq_m B$ and A is not Turing-recognizable, then B is not Turing-recognizable.

- Same proofs as:

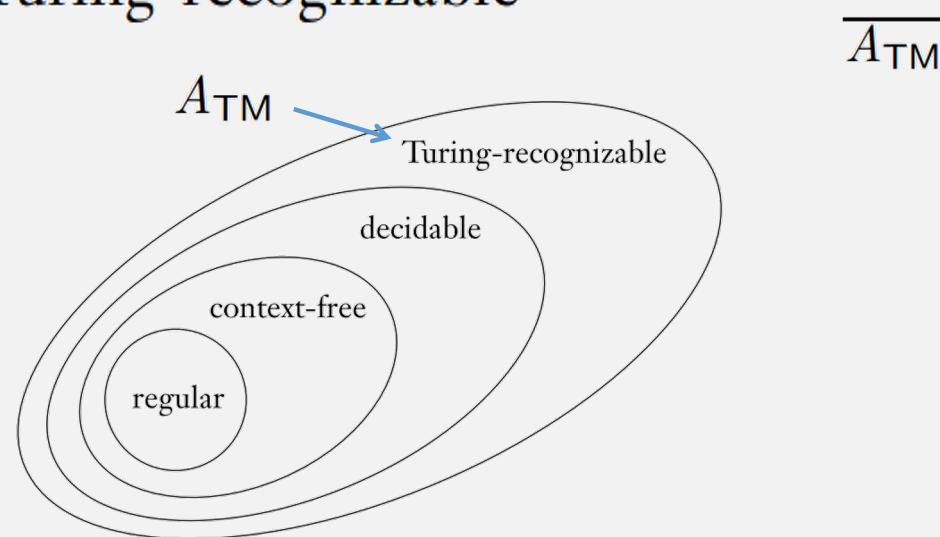
If $A \leq_m B$ and B is decidable, then A is decidable.

If $A \leq_m B$ and A is undecidable, then B is undecidable.

Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable



$\overline{A_{\text{TM}}} \leq_m EQ_{\text{TM}}$ A is not Turing-recognizable, thus EQ_{TM} is not Turing-recognizable.

Mapping Reducibility implies Mapping Red. of Complements

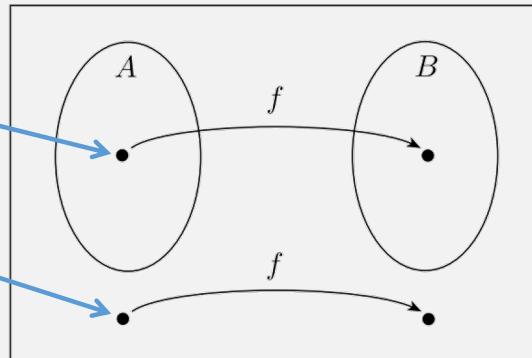
DEFINITION 5.20

Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a **computable function** $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** from A to B .

$A \leq_m B$
implies
 $\overline{A} \leq_m \overline{B}$



DEFINITION 5.17

A function $f: \Sigma^* \rightarrow \Sigma^*$ is a **computable function** if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable

Two Choices:

- Create Computable fn: $\overline{A_{TM}} \rightarrow EQ_{TM}$
- Or Computable fn: $A_{TM} \rightarrow \overline{EQ_{TM}}$

Thm: EQ_{TM} is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

M_1 = “On any input: Accepts nothing
1. *Reject.*”

M_2 = “On any input: Accepts nothing or everything
1. Run M on w . If it accepts, *accept.*”

2. Output $\langle M_1, M_2 \rangle$.

- If M accepts w ,
 M_1 not equal to M_2
- If M does not accept w ,
 M_1 equal to M_2

Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable

- Create Computable fn: $\overline{A_{TM}} \rightarrow EQ_{TM}$
- Or Computable fn: $A_{TM} \rightarrow \overline{EQ_{TM}}$
- **DONE!**

2. \overline{EQ}_{TM} is not ~~cō~~-Turing-recognizable

- (A lang is co-Turing-recog. if it is complement of Turing-recog. lang)

Prev: EQ_{TM} is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

M_1 = “On any input: $\xleftarrow{\text{Accepts nothing}} 1. \text{ Reject.}$ ”

M_2 = “On any input: $\xleftarrow{\text{Accepts nothing or everything}} 1. \text{ Run } M \text{ on } w. \text{ If it accepts, accept.}$ ”

2. Output $\langle M_1, M_2 \rangle$.

DONE!

Now: $\overline{EQ}_{\text{TM}}$ is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ}_{\text{TM}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

M_1 = “On any input: $\xleftarrow{\text{Accepts nothing}} \text{everything}$

1. *Accept.*”

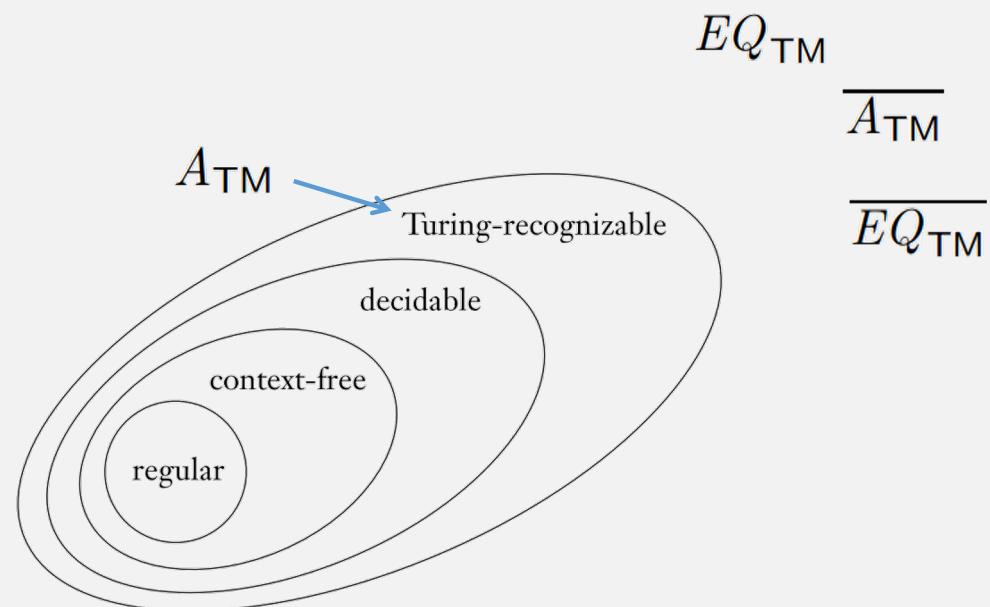
M_2 = “On any input: $\xleftarrow{\text{Accepts nothing or everything}}$

1. Run M on w . If it accepts, *accept*.”

2. Output $\langle M_1, M_2 \rangle$.”

DONE!

Unrecognizable Languages



Register Machines II

Last Time

- TRM – 1# language. Simple instruction set
- Instructions are written in {1,#}. Data are {1,#}.
- Interact via command line operations, and we can see what happens

- $\varphi_{\{\text{program}\}}$: the function computed by that program
- Programs can take other programs as input or produce programs as output.

 + R1



./trm →

 + R1



./trm →

...

Check-in Quiz

On gradescope