

# Machine Learning Lab Report

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**Jason Dominguez**

ID 10154707

**THE UNIVERSITY OF MANCHESTER**

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# 1 Single Perceptron

## 1.1 Aim and understanding

The aim of this experiment was to train a single perceptron model for the binary classification of 'handwritten' digits. These digits were simulated  $5 \times 5$  images, with black represented by a value of zero and white represented by a one for each pixel.

The input of the single perceptron model is a 25 value feature vector corresponding to a single example image. 25 comes from the  $5 \times 5$  images being flattened into a vector. The perceptron computes

$$y_{\text{output}} = \text{sign}(\mathbf{x}^T \mathbf{W} + b) \quad (1)$$

[1], where  $\mathbf{x}$  is a single example vector and  $T$  denotes the transpose.  $\mathbf{W}$  and  $b$  are the weights, of size  $25 \times 1$ , and bias, a scalar, of the perceptron, respectively. The sign function returns a 1, for arguments  $> 0$ , or  $-1$ , for arguments  $< 0$ . A  $y_{\text{output}}$  of 1 corresponds to a prediction of a one and  $-1$  corresponds to a zero.

The process of training is to update the weights and biases with the aim of improving the accuracy of predicted image labels. First, the perceptron starts with randomly initialized  $\mathbf{W}$  and  $b$ . From this, a predicted label for a single training image is calculated,  $y_{\text{output}}$ . Using this, the weights and bias of the network are updated,

$$\mathbf{W} := \mathbf{W} + \alpha \mathbf{x}(y_{\text{true}} - y_{\text{output}}), \quad (2)$$

$$b := b + \alpha(y_{\text{true}} - y_{\text{output}}) \quad (3)$$

[1], where  $y_{\text{true}}$  is the correct label for the image and  $\alpha$  is the learning rate. This optimization method is known as stochastic gradient descent.  $\alpha$  must be positive and can be chosen to get the best performance. These new weights and biases are used get a prediction for another image. This is done for each image in the training set, known as a single epoch. This is repeated either for a pre-determined number of epochs, or until a threshold accuracy or error has been passed. Training is performed using training images, whereas some images are left unseen by the model during training. This set of images is called a validation set (or sometimes a test set) and, once trained, the model is used to get predictions for the labels of these images to see if the model can generalize to identify unseen ones and zeros.

## 1.2 Implementation, Results and Analysis

This task was implemented using the code shown in A.3, making use of the ImageData class and Model class (see A.1 and A.2). These classes were used for sections 1, 2 and 3 of this lab report.

Firstly, the images needed to be preprocessed to be in a format compatible with the single perceptron model. The images of ones and zeros were represented as  $6 \times 5 \times 5$  NumPy arrays as there were 6 examples each of ones and of zeros and the images were  $5 \times 5$ . The corresponding labels, 1 for ones and  $-1$  for zeros, were defined as  $6 \times 1$  NumPy arrays.

For preprocessing these image and label arrays, an instance of the ImageData class was used. This was responsible for: arranging the ones and zeros images into training and validation sets,

with two-thirds of ones and zeros being added to the training set and the last third being added to the validation set; flattening each image into a  $1 \times 25$ ; and finally, reshaping the arrays of images to be of size  $25 \times 8$  and  $25 \times 4$  for training and validation, respectively.

After preprocessing, the model was trained. This was done using an instance of the Model class. For this task, the model was defined with an input size of 25, the size of the flattened images. The output size and output activation were set to 1 and "sign", respectively.

The model was then trained as described in Section 1.1, using the train method of the Model class object. The train method was also given the validation images and labels, so that, at the end of each epoch, the accuracy of predictions on both the training set and validation set could be calculated and stored for analyzing the results. By setting the 'max\_acceptable\_error' to be 0, training would stop when training accuracy reached 100%.

One experiment conducted with this single perceptron model was training the model with randomly assigned training and validation image sets fifteen times. The average number of epochs, iterations through entire set of training images, before training accuracy reached 100% and average final validation accuracy are shown in Table 1.

Table 1: Average epochs for training accuracy to reach 100% and average final validation accuracy for fifteen different trained perceptrons. Errors were calculated from standard error of repeated results.

Learning rate	Average epochs until 100% training accuracy	Average validation accuracy (%)
0.1	$1.5 \pm 0.2$	$92 \pm 4$
0.01	$2.9 \pm 0.2$	$85 \pm 5$

Another experiment was to hand engineer the training and validation sets, to see how this affected training and the validation accuracy. The results of this are shown in figure 1.

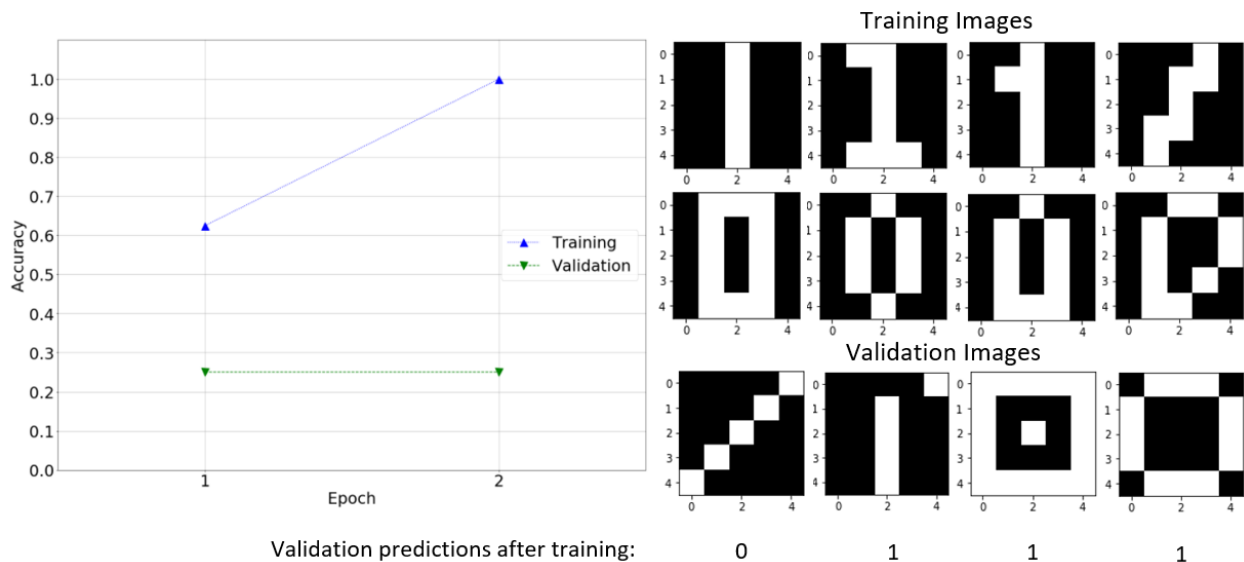


Figure 1: Graph showing the training and validation accuracy of the single perceptron model for each epoch. Next to the graph are the images, grouped into the training and validation set.

From table 1, it can be seen that a smaller learning rate resulted in more training epochs being needed, on average, for the training accuracy to reach 100%. This was expected as a larger

learning rate means a larger 'step size' in gradient descent. Also seen in table 1 is that the average validation accuracy upon training completion was less than 100%. When training accuracy is higher than validation accuracy, a model is said to be overfitting the training data. Although it performs well on the training data, it does not generalize as well to unseen data. The results shown in figure 1 help explain this.

Figure 1 shows an example of a particular training and validation image split which was tested and the corresponding accuracy against epoch graph. The graph shows that training accuracy reached 100% at epoch 2, however the validation accuracy is 25%. The training/validation split of images is also shown in figure 1. The label predictions on the validation images shown in figure 1 show that a diagonal one was incorrectly categorized as a zero and both zeros as ones. A possible explanation for the mislabelled diagonal one is that the examples of ones in the training set do not show the same kind of diagonal pattern, whereas some zeros in the training set do show this pattern, such as the bottom right zero in the training images in figure 1. The mislabelled zero with the dot in the middle can be similarly explained as, during training, the perceptron model has never seen a zero with a pixel in the centre and only ones have pixels with value 1 in the centre.

Overall, the number of images for training and validation in this task was small, meaning that performance of the single perceptron model on the unseen images was very dependent on the choice of validation set. In most real-life applications, thousands or millions of images are normally used to help reduce overfitting in image classification.

## 2 Single Layer Perceptrons

### 2.1 Aim and understanding

The aim of this experiment was to train a single layer perceptron model to classify "handwritten" digits. The "handwritten" digits used were from the MNIST dataset, a dataset of 70,000  $28 \times 28$  images of hand drawn digits from 0 to 9.

This task is a multiclass image classification problem. Instead of predicting whether an image was a one or a zero, the model now needed to predict if it was one of ten possible outcomes, digits 0 through to 9. As a result, the single perceptron model needed to be extended to have ten outputs. Each of these outputs corresponds to the prediction of a single outcome. In order for the labelling of images to be compatible with the model, the labels needed to be in a one-hot-encoded (or 1-of-c) format. For example, a label of 3 would be encoded as the vector  $[0, 0, 0, 1, 0, 0, 0, 0, 0, 0]$ . A single layer perceptron model predicts this label when the 4<sup>th</sup> output perceptron gives the largest value.

The  $10 \times 1$  output vector of the single layer perceptron model,  $y_{\text{output}}$ , is calculated for a single example  $\mathbf{x}$  by

$$y_{\text{output}} = \sigma(\mathbf{x}^T \mathbf{W} + \mathbf{b}) = \frac{1}{1 + \exp^{-(\mathbf{x}^T \mathbf{W} + \mathbf{b})}}, \quad (4)$$

where  $\sigma$  is the sigmoid function. The weights and biases,  $\mathbf{W}$  and  $\mathbf{b}$ , are of dimensions  $625 \times 10$  and  $1 \times 10$ .  $\mathbf{x}$  is  $625 \times 1$  with 625 corresponding to the flattened size of the MNIST  $28 \times 28$  images.

To work out the weight update rule we need to consider the backward propagation through the single layer perceptron model. The weight update rule has the form

$$\mathbf{W} := \mathbf{W} - \alpha \frac{\partial \mathcal{L}}{\partial \mathbf{W}}, \quad (5)$$

$$\mathbf{b} := \mathbf{b} - \alpha \frac{\partial \mathcal{L}}{\partial \mathbf{b}}, \quad (6)$$

where  $\mathcal{L}$  is the loss function. Two loss functions were implemented in this task: mean-square error (MSE) and cross entropy/log loss. The MSE loss has the form

$$\mathcal{L} = \frac{1}{2} \|\mathbf{y}_{\text{true}} - \mathbf{y}_{\text{output}}\|^2, \quad (7)$$

where  $\mathbf{y}_{\text{true}}$  is the true, one-hot-encoded, image label. By using the chain rule of differentiation, along with the fact that the derivative of the sigmoid function,  $\sigma$ , is  $\sigma(1 - \sigma)$ ,

$$\frac{\partial \mathcal{L}}{\partial \mathbf{W}} = \frac{\partial \mathcal{L}}{\partial \mathbf{y}_{\text{output}}} \frac{\partial \mathbf{y}_{\text{output}}}{\partial \mathbf{W}} = \mathbf{x}((\mathbf{y}_{\text{output}} - \mathbf{y}_{\text{true}}) * \mathbf{y}_{\text{output}} * (1 - \mathbf{y}_{\text{output}})) \quad (8)$$

and

$$\frac{\partial \mathcal{L}}{\partial \mathbf{b}} = \frac{\partial \mathcal{L}}{\partial \mathbf{y}_{\text{output}}} \frac{\partial \mathbf{y}_{\text{output}}}{\partial \mathbf{b}} = (\mathbf{y}_{\text{output}} - \mathbf{y}_{\text{true}}) * \mathbf{y}_{\text{output}} * (1 - \mathbf{y}_{\text{output}}) \quad (9)$$

[1], where  $*$  is element-wise multiplication. Log loss has the form

$$\mathcal{L} = - \sum_i y_{\text{true},i} \log(y_{\text{output},i}) + (1 - y_{\text{true},i}) \log(1 - y_{\text{output},i}), \quad (10)$$

where  $i$  corresponds to each output perceptron. From this loss we arrive at

$$\frac{\partial \mathcal{L}}{\partial \mathbf{W}} = \mathbf{x} \left( \frac{\mathbf{y}_{\text{output}} - \mathbf{y}_{\text{true}}}{\mathbf{y}_{\text{output}} * (1 - \mathbf{y}_{\text{output}})} * \mathbf{y}_{\text{output}} * (1 - \mathbf{y}_{\text{output}}) \right) = \mathbf{x}(\mathbf{y}_{\text{output}} - \mathbf{y}_{\text{true}}) \quad (11)$$

and

$$\frac{\partial \mathcal{L}}{\partial \mathbf{b}} = \frac{\partial \mathcal{L}}{\partial \mathbf{y}_{\text{output}}} \frac{\partial \mathbf{y}_{\text{output}}}{\partial \mathbf{b}} = \mathbf{y}_{\text{output}} - \mathbf{y}_{\text{true}}. \quad (12)$$

## 2.2 Implementation, Results and Analysis

This task was implemented using the code shown in A.4, making use of the ImageData class and Model class (see A.1 and A.2).

Like in Section 1.2, the images first needed to be preprocessed. The MNIST contained 60,000  $25 \times 25$  grayscale images in a training set and 10,000 images in a validation set. The only preprocessing needed on the images was flattening and reshaping the arrays to be  $625 \times 60,000$  and  $625 \times 10,000$ . The images also needed to be normalised. This meant dividing the values in the array by 255, the maximum possible pixel value of the images, so that the data values were between 0 and 1. Finally, the image labels were one-hot-encoded.

For this experiment, the input value for the model was 625, the output value was 10 and the output activation was "sigmoid". The model was trained using the equations in Section 2.1. As 100% accuracy may not have been achieved in training, training was done for a set number of

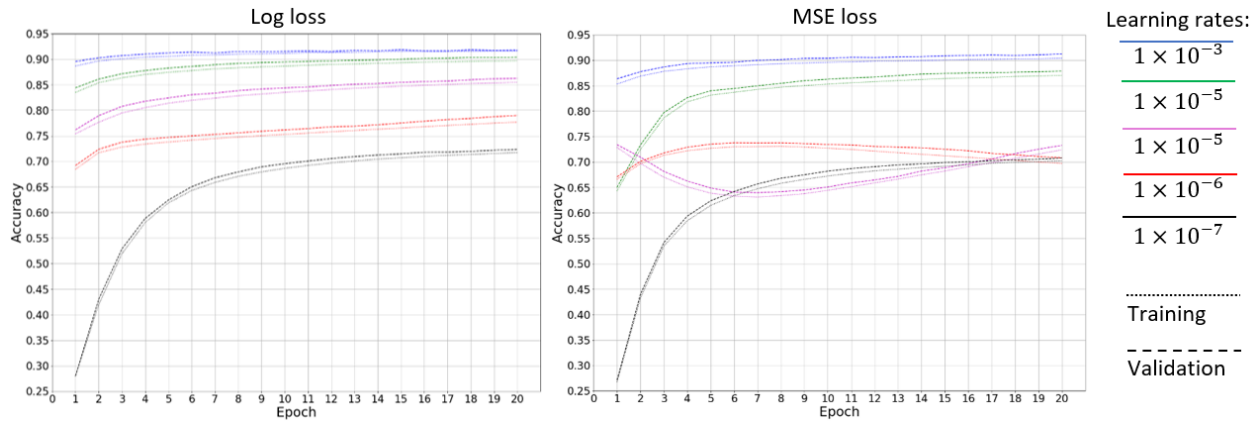


Figure 2: Graphs showing the training and validation accuracy for each training epoch, when using different learning rates. At some points, training and validation accuracy are too close to be seen separately.

epochs. For this task the learning rate was varied from  $1 \times 10^{-7}$  to  $1 \times 10^{-3}$ . The training and validation accuracy results for these learning rates are shown in figure 2, for MSE and log loss.

Alongside this, the effect of too large a learning rate was studied, as well as the effect of using learning rate decay. These results are shown in figure 3.

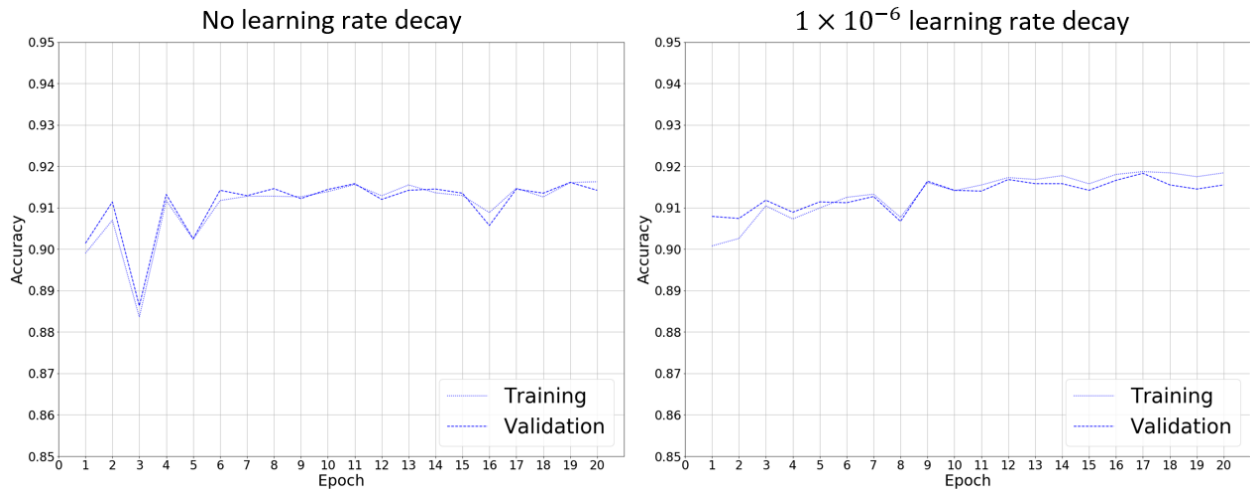


Figure 3: Graphs showing the training and validation accuracy for each training epoch, using an initial learning rate of 0.5. For the graph on the right, a learning rate decay of  $1 \times 10^{-6}$  was used.

Figure 2 shows that larger learning rates lead to both training and validation accuracy beginning to plateau to its highest accuracy at an earlier epoch. Figure 2 also shows that a learning rate that is too small can have adverse effects. This is shown for the MSE loss graph by the decreasing accuracy for  $1 \times 10^{-5}$  and  $1 \times 10^{-6}$  or the plateauing at a much lower accuracy for  $1 \times 10^{-7}$ . The explanation for this is the training of the model becoming stuck in a less optimal local minimum, whereas larger learning rates can effectively skip over these local minima and eventually settle in a more favourable local minimum, with a higher accuracy.

Figure 2 also shows a comparison of the performance of the single layer perceptrons model when using log loss and MSE loss. For all learning rates, log loss showed a slight improvement in highest accuracy achieved and also it did not show the decreasing accuracy effects seen with



MSE loss at small learning rates. For a learning rate of  $1 \times 10^{-3}$ , with MSE loss the training and validation accuracy were 90.4% and 91.2% respectively, whereas for log loss they were 91.6% and 91.8%.

Figure 3 shows how too large a learning rate, such as 0.5, can cause fluctuations in accuracy. This is due to the large learning rate leading to oscillations about a minimum in the loss. A common practice in machine learning is to gradually decrease the learning rate (learning rate decay), to make the most of the early benefits of a large learning rate, but avoid fluctuations when settling at a minimum. Figure 3 shows this smoothing effect using a learning rate decay equation

$$\alpha = \frac{\alpha_{\text{initial}}}{1 + \nu t}, \quad (13)$$

where  $\alpha_{\text{initial}}$  is the starting learning rate,  $\nu$  is the decay, set to  $1 \times 10^{-6}$  in this example, and  $t$  is the iteration number, which increases after every weight update.

## 3 Multilayer Perceptron

### 3.1 Aim and understanding

The aim of this experiment was to train a multilayer perceptron (MLP) model for the multiclass classification the MNIST dataset.

The MLP differs from the single layer perceptron model by including a 'hidden' layer of perceptrons before the output layer of perceptron. This layer means there are now two steps in the forward and backward propagation. The output of the hidden layer,  $\mathbf{y}^{[1]}$  is

$$\mathbf{y}^{[1]} = \sigma(\mathbf{x}^T \mathbf{W}^{[1]} + \mathbf{b}^{[1]}), \quad (14)$$

where  $\mathbf{W}^{[1]}$  and  $\mathbf{b}^{[1]}$  are the weights and biases for the hidden layer. The dimensions of  $\mathbf{y}^{[1]}$ ,  $\mathbf{W}^{[1]}$  and  $\mathbf{b}^{[1]}$  depend on the choice of number of hidden perceptrons,  $n_h$ . Their dimensions are  $1 \times n_h$ , for  $\mathbf{y}^{[1]}$ ,  $625 \times n_h$ , for  $\mathbf{W}^{[1]}$  and  $1 \times n_h$  for  $\mathbf{b}^{[1]}$ , where 625 is the input size of the MLP when using the MNIST images. The output from the hidden layer is then fed-forward into the output layer, where the output of the MLP,  $\mathbf{y}^{[2]}$  is calculated as

$$\mathbf{y}^{[2]} = \sigma(\mathbf{y}^{[1]} \mathbf{W}^{[2]} + \mathbf{b}^{[2]}), \quad (15)$$

where  $\mathbf{W}^{[2]}$  and  $\mathbf{b}^{[2]}$  are the weights and biases associated with the output layer of perceptrons, of dimensions  $n_h \times 10$  and  $1 \times 10$ . The output of the network is a predicted 10 class one-hot-encoded label for the example image.

The weight update rule is derived via backward pass through the MLP. For this task, MSE loss was used. The weight update rule for the output layer is derived using equations 5 and 6 from section 2.2, so that

$$\mathbf{W}^{[2]} := \mathbf{W}^{[2]} + \alpha \mathbf{y}^{[1]T} ((\mathbf{y}_{\text{true}} - \mathbf{y}^{[2]}) * \mathbf{y}^{[2]} * (1 - \mathbf{y}^{[2]})), \quad (16)$$

$$\mathbf{b}^{[2]} := \mathbf{b}^{[2]} + \alpha ((\mathbf{y}_{\text{true}} - \mathbf{y}^{[2]}) * \mathbf{y}^{[2]} * (1 - \mathbf{y}^{[2]})). \quad (17)$$

For updating the weights and biases of the hidden layer, the derivatives  $\frac{\partial \mathcal{L}}{\partial \mathbf{W}^{[1]}}$  and  $\frac{\partial \mathcal{L}}{\partial \mathbf{b}^{[1]}}$  need to be computed, leading to the following hidden layer weight update equations,

$$\mathbf{W}^{[1]} := \mathbf{W}^{[1]} + \alpha \mathbf{x} [((\mathbf{y}_{\text{true}} - \mathbf{y}^{[2]}) * \mathbf{y}^{[2]} * (1 - \mathbf{y}^{[2]})) \mathbf{W}^{[2]T} * \mathbf{y}^{[1]} * (1 - \mathbf{y}^{[1]})], \quad (18)$$

$$\mathbf{b}^{[1]} := \mathbf{b}^{[1]} + \alpha [((\mathbf{y}_{\text{true}} - \mathbf{y}^{[2]}) * \mathbf{y}^{[2]} * (1 - \mathbf{y}^{[2]})) \mathbf{W}^{[2]T} * \mathbf{y}^{[1]} * (1 - \mathbf{y}^{[1]})]. \quad (19)$$

### 3.2 Implementation, Results and Analysis

Image preprocessing for this experiment was the same as was carried out in section 2.3. For defining the model however, the number of perceptrons in the hidden layer needed to be set. The experiments conducted were: varying the number of perceptrons in the hidden layer, with results shown in figure 4; and using different scale factors for weight initialization, shown in figure 5.

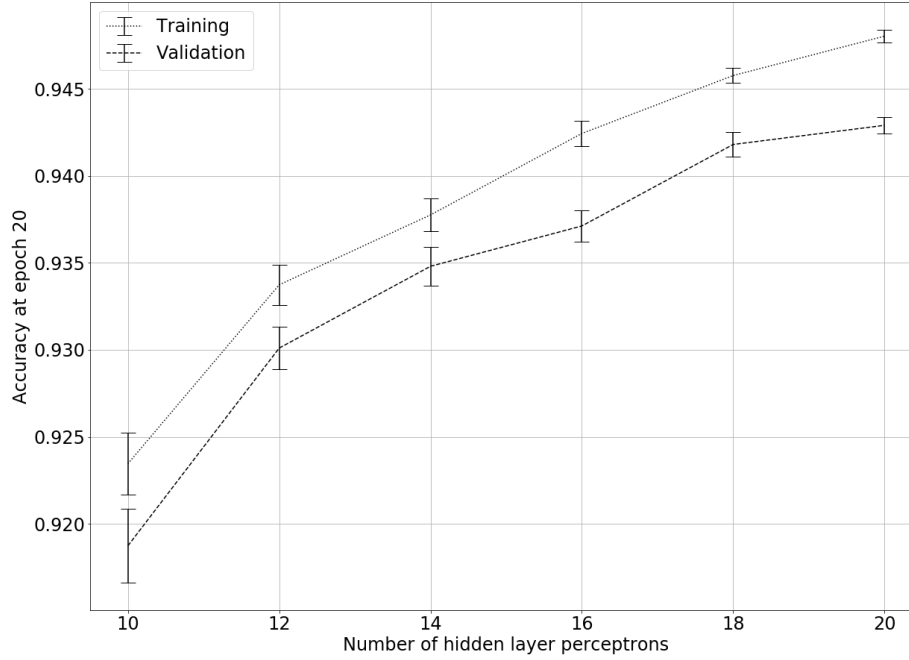


Figure 4: Graph showing the mean training and validation accuracy after twenty epochs for an MLP with a number of hidden perceptrons between 10 and 20. Error bars were calculated from the standard error of six repeated results. learning rate and weight initialization factor of  $1 \times 10^{-2}$  and  $1 \times 10^{-3}$ , respectively, were kept constant.

Figure 4 shows that the accuracy at epoch twenty increased when using more hidden layer perceptrons. This is likely due to the fact that increasing the number of hidden perceptrons increases the complexity of the MLP, meaning that it can model more complex detail. The figure also shows that the training accuracy is higher than the validation accuracy, indicating that overfitting is starting to occur at epoch twenty for all numbers of hidden perceptrons tested, however only slightly.

The most important result from figure 5 is that there was no increase in accuracy for a weight initialization of 10, meaning that weights were initialized with values between  $-10$  and  $10$ . This is evidence of the 'vanishing gradients' problem. With larger weight values, when computing the output of layers in an MLP, the sigmoid function can become saturated. At these points, the gradient of the sigmoid function is essentially zero and, as backpropagation is a form of gradient-based learning, no gradient means no learning. Figure 5 justifies why small values are often used to initialize weight values, as for  $0.1$  or smaller, the performance of the MLP is relatively unaffected, achieving very similar accuracies after twenty epochs. A weight initialization of  $1$  still shows an increase in accuracy, however is slower than for smaller weight initializations, again due to the smaller gradient for larger inputs to the sigmoid function.

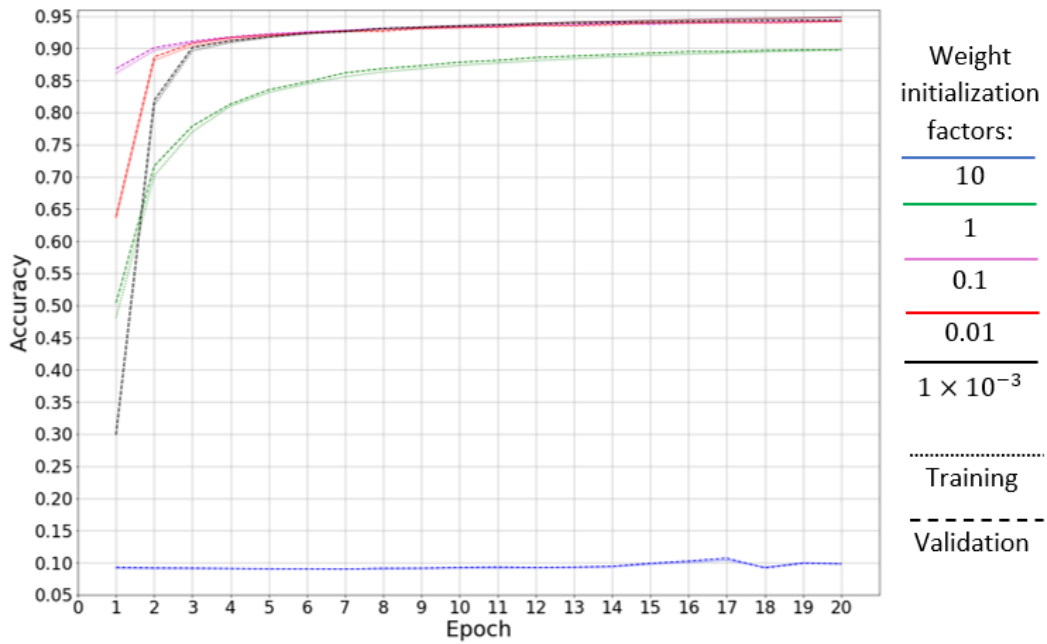


Figure 5: Graph showing the training and validation accuracy as a function of training epoch for different weight initialization factors. The learning rate and number of hidden layer perceptrons were kept constant at  $1 \times 10^{-2}$  and 20, respectively.

## 4 Conclusion

In conclusion, three different machine learning approaches to the classification of digits were implemented. For the binary classification of  $5 \times 5$  images of ones and zeros, a single perceptron model was capable of achieving 100% accuracy on training images and unseen images. However, due to the small dataset size for this task, the performance on the unseen images varied depending on which images were chosen for training.

Two different models were trained for the multiclass image classification of the MNIST handwritten digit dataset. Using a single layer perceptron model, the effect of varying learning rate and loss function on training and validation accuracy were studied. The use of log loss, compared to MSE loss, showed a slight increase in accuracy after twenty epochs and no decreasing accuracy for small learning rates. When experimenting with learning rates, it was shown that a value of learning rate that was too small could show adverse affects such as decreasing accuracy and settling to less optimal local minima. Too large a learning rate however could result in overall higher accuracy achieved in the same number of epochs, but fluctuations in accuracy. Learning rate decay was shown as one way of gaining the benefits of a larger learning rate whilst reducing these fluctuations.

When using an MLP model on the MNIST images, it was shown that a higher accuracy training and validation accuracy could be achieved by using more perceptrons in the hidden layer. Overall, comparing the performance of the single layer perceptron model and the MLP, the MLP could achieve a higher accuracy, 94% compared to 92% validation accuracy for the same learning rate, weight initialization and loss function, but the MLP started to show overfitting. Finally, the vanishing gradient problem was visualized by varying the weight initialization of the MLP, showing that too large an initialization value could result in saturated sigmoid output, meaning small gradients, leading to slower, or no, learning.

## 5 LeNet 5 for handwritten digit classification (on MNIST dataset)

### 5.1 Aim and understanding

The aim of this experiment was to use a convolutional neural network (CNN) in the style of LeNet-5, see figure 6, for the classification of digits in the MNIST dataset.

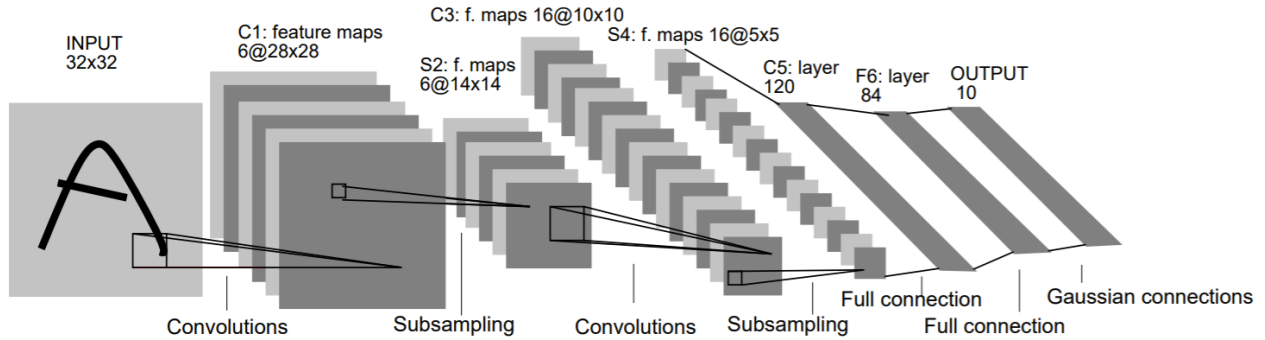


Figure 6: The LeNet-5 CNN architecture, taken from [2].

The first difference between CNNs and MLP is that the input is not a flattened image, but just the image itself. Some of the layers in a CNN consist of filters which perform a convolution to the input, rather than only layers of fully connected perceptrons. Alongside this, convolution layers like these are often followed immediately by pooling layers, where a kernel size is defined. This kernel passes over the input to the layer and outputs either the maximum or average value in that region, depending on whether the pooling is max pooling or average pooling. Figure 7 shows an example of the procedure of convolution and pooling layers. In LeNet 5, after some convolution and pooling layers, the output is flattened and input into a MLP style portion of the network. In the convolution layers of the CNN, the trainable parameters are the values in the filters.

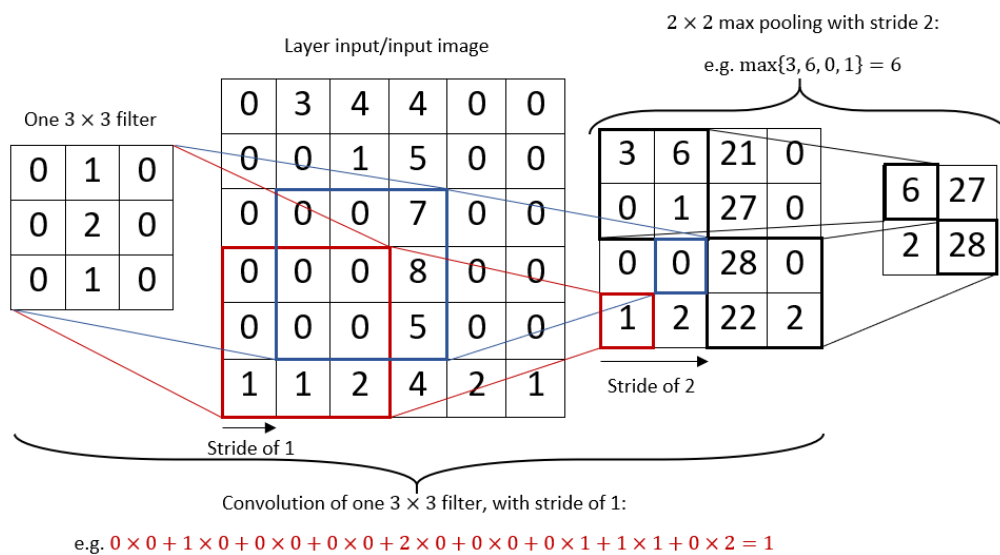


Figure 7: Examples of the mathematical procedure of a convolution and max pooling used in CNNs. Figure taken from [3].

## 5.2 Implementation, Results and Analysis

To implement this experiment, I used the Keras deep learning framework [4], with the code shown in A.6. To preprocess the MNIST images for the Keras LeNet 5 model, the image were required to be in the format of  $m_{\text{examples}} \times 25 \times 25 \times 1$ . Figure 8 shows the results of using the LeNet 5 model on the MNIST images, compared to using an MLP. For both, the MSE loss funtion was used, as well as a learning rate of  $1 \times 10^{-2}$  and stochastic gradient descent.

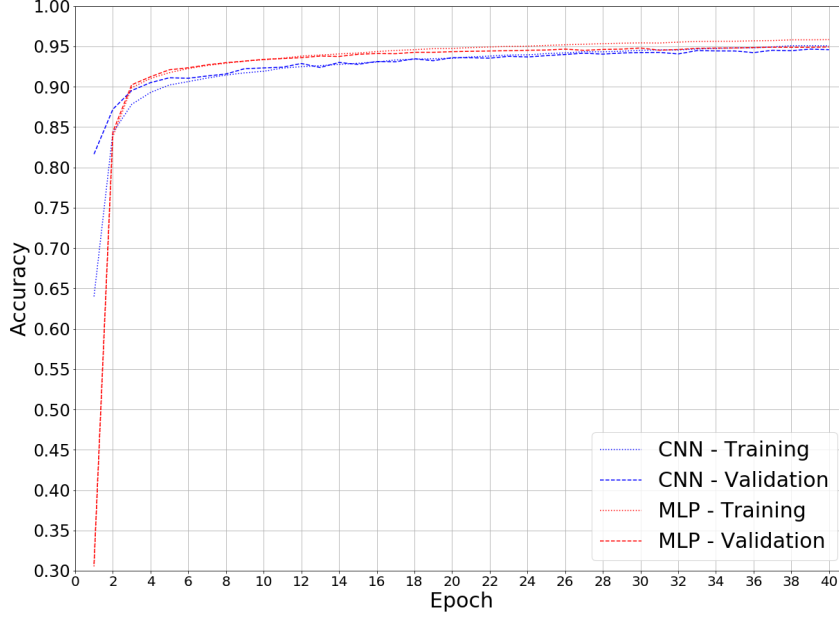


Figure 8: Graph comparing the accuracy on training and validation images for the LeNet-5 CNN and an MLP, for forty epochs.

Figure 8 shows that the performance of an MLP and LeNet-5 was very similar. In the case of the MNIST dataset, the images are very ideal. For example, all the images are centred. As a results, an MLP can achieve a high accuracy on this dataset. However, in more complex image recognition tasks, for example with images where the main object in the image is not centred, CNN can often outperform MLPs.

## 6 Self-Organizing Map (SOM)

### 6.1 Aim and understanding

Self-organizing map is a form of dimensional reduction, where objects represented in a high-dimensional space are mapped to a two-dimensional space. The method of learning this mapping starts with a random initialization of weight vectors associated with each point on the SOM,  $\mathbf{W}_{ij}$ . From this, the euclidean distance between a high-dimensional vector,  $\mathbf{x}$ , and each weight vector is computed. The weights are then update via the equation

$$\mathbf{W}_{ij} := \mathbf{W}_{ij} + \alpha(t)\eta(\mathbf{x} - \mathbf{W}_{ij}) \quad (20)$$

[1], where  $t$  is the iteration number,  $\alpha(t)$  is a variable learning rate, varying as

$$\alpha(t) = \frac{100}{200 + t} \quad (21)$$

and  $\eta$  is a neighborhood function. The role of  $\eta$  is to ensure that positions closer to the point on the SOM which had the smallest distance to the vector,  $(u, v)$ , are updated more than others. It has the form

$$\eta = \exp - \frac{(i - u)^2 - (j - v)^2}{2\sigma^2} \quad (22)$$

[1], where  $\sigma$  controls the spread of the neighborhood function, with a larger  $\sigma$  meaning the weights for further away points on the map are affected more. After this weight update, the procedure is repeated for a different high-dimensional vector. Once a set number of iterations has been completed, high-dimensional vectors can be mapped to the two-dimensional SOM using the trained weights.

For this task, the aim was to train an SOM for a series of vectors representing animals, as shown in table 2

Table 2: Table showing the attributes of animals used for creating their vector representations. Taken from [1].

	is			has						likes to			
	small	medium	big	2legs	4legs	hair	hooves	mane	feather	hunt	run	fly	swim
Dove	1	0	0	1	0	0	0	0	1	0	0	1	0
Hen	1	0	0	1	0	0	0	0	1	0	0	0	0
Duck	1	0	0	1	0	0	0	0	1	0	0	1	1
Goose	1	0	0	1	0	0	0	0	1	0	0	1	1
Owl	1	0	0	1	0	0	0	0	1	1	0	1	0
Hawk	1	0	0	1	0	0	0	0	1	1	0	1	0
Eagle	0	1	0	1	0	0	0	0	1	1	0	1	0
Fox	0	1	0	0	1	1	0	0	0	1	0	0	0
Dog	0	1	0	0	1	1	0	0	0	0	1	0	0
Wolf	0	1	0	0	1	1	0	1	0	1	1	0	0
Cat	1	0	0	0	1	1	0	0	0	1	0	0	0
Tiger	0	0	1	0	1	1	0	0	0	1	1	0	0
Lion	0	0	1	0	1	1	0	1	0	1	1	0	0
Horse	0	0	1	0	1	1	1	1	0	0	1	0	0
Zebra	0	0	1	0	1	1	1	1	0	0	1	0	0
Cow	0	0	1	0	1	1	1	0	0	0	0	0	0

## 6.2 Implementation, Results and Analysis

The SOM was implemented using the code in A.7, following the procedure outlined in the previous section. *sigma* was varied linearly from an initial value of 3 to 1 at the last iteration in order to help convergence. The SOM was trained for 100,000 iterations and was plotted every 2000 iterations, shown in figure 9.

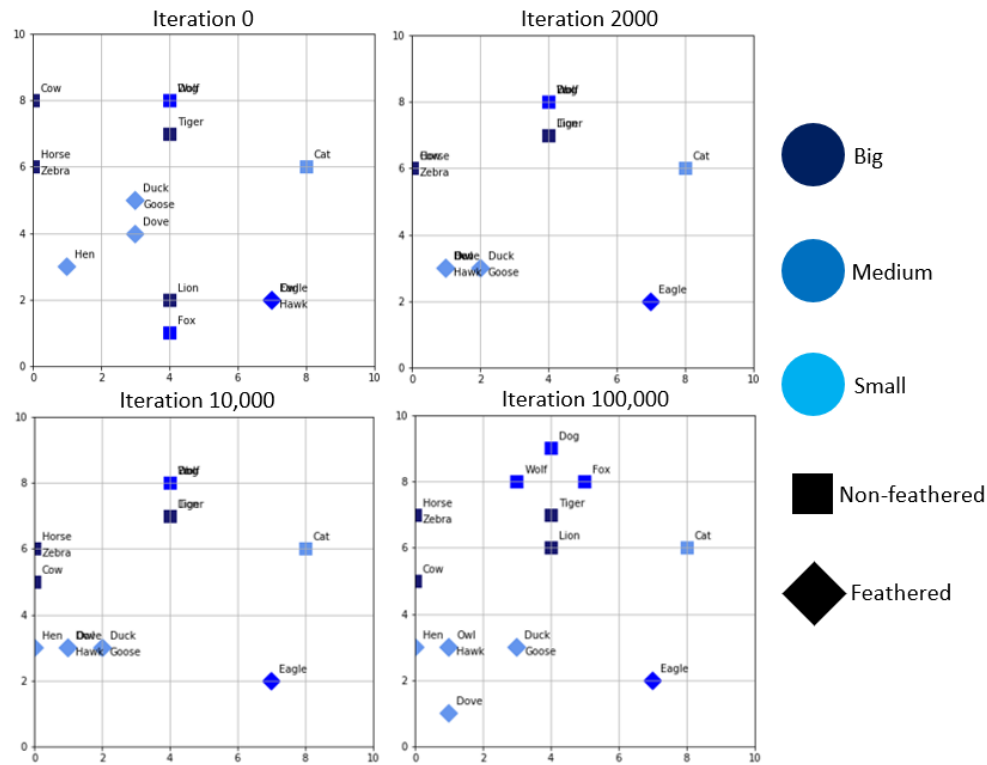


Figure 9: Graphs showing the different stages of learning the SOM mapping, from the first random initialization of weight, until the iteration 100, 000.

Figure 9 shows how similar animals have been grouped together in the SOM, by the last iteration. For example, the bottom half of the SOM contains feathered animals and the top half contains non-feathered. Furthermore, it can be seen that animals such as dog, fox and wolf have been placed very close to each other, whereas dog and cat are further away. Whilst SOM cannot be directly used for image classification, it does provide a form of dimensional reduction which can be used as the first step in image classification. From this learned mapping, clustering techniques, such as k-nearest-neighbours could be used to then group the animals.

## References

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- [3] J. Dominguez, "Developing a convolutional neural network for the classification of liquid crystal textures and phase transitions," Master's thesis, Department of Physics and Astronomy, The University of Manchester, 2021.
- [4] "Keras API," <https://keras.io/>.

# Appendices

## A Code

### A.1 ImageData class

```
1 # -*- coding: utf-8 -*-
2 """
3 Created on Fri Nov 20 17:36:15 2020
4
5 @author: Jason
6 """
7
8 import numpy as np
9
10
11 class ImageData:
12     """
13     Objects of the ImageData class are used for preprocessing image data and
14     their corresponding labels for use in Single Perceptron, Single Layer
15     Perceptron, Multilayer Perceptron and LeNet5 task for Machine Learning
16     Laboratory (Tasks 1, 2, 3, 4, respectively).
17     """
18
19     def __init__(self):
20         self.train_data = []
21         self.train_labels = []
22         self.val_data = []
23         self.val_labels = []
24         self.unsorted_data1 = []
25         self.unsorted_data_labels1 = []
26         self.unsorted_data2 = []
27         self.unsorted_data_labels2 = []
28
29
30     def get_data(self, dataset=None, images1=None, image_labels1=None,
31                 images2=None, image_labels2=None):
32         """
33         Method that assigns the input dataset, or unsorted data and labels, to
34         their corresponding object attributes.
35
36         Parameters
37         -----
38         dataset : Object of some dataset class, optional
39                 Dataset including sorted training and validation sets of images
40                 and their corresponding labels (e.g. MNIST dataset, mnist).
41                 The default is None.
42         images1 : np.array, optional
43                 Array of images belonging to a single label (e.g. all images of
44                 zeros). The default is None.
45         image_labels1 : np.array, optional
46                 Array of labels corresponding to images1 - all of the same label
47                 (e.g. a (number_of_examples, 1) array of all zeros). The default
48                 is None.
49         images2 : np.array, optional
```



```

50     Array of images belonging to a single label. The default is None.
51     image_labels2 : np.array, optional
52     Array of labels corresponding to images1 - all of the same label.
53     The default is None.
54
55     Returns
56     -----
57     None.
58
59     """
60     if dataset == None:
61         self.unsorted_data1 = images1
62         self.unsorted_data_labels1 = image_labels1
63         self.unsorted_data2 = images2
64         self.unsorted_data_labels2 = image_labels2
65     else:
66         self.train_data = dataset.train_images()
67         self.train_labels = dataset.train_labels()
68         self.val_data = dataset.test_images()
69         self.val_labels = dataset.test_labels()
70
71
72     @staticmethod
73     def one_hot_encode(labels):
74         """
75         Static method which one-hot (or 1-to-c) encodes the input labels.
76         If an example has the label is 3 and there are 5 possible label values,
77         (0,1,2,3,4), the encoded label for that example will be [0,0,0,1,0]
78
79         Parameters
80         -----
81         labels : np.array
82             Labels which will be one-hot encoded.
83
84         Returns
85         -----
86         labels_enc : np.array
87             The one-hot encoded labels.
88
89         """
90         m = labels.shape[0] # Number of example labels to be encoded
91         values_to_encode = np.unique(labels)
92         size = len(values_to_encode) # Number of values to be encoded
93
94         labels_enc = np.zeros((m, size))
95         for i in range(m):
96             labels_enc[i, int(labels[i])] = 1
97
98         return labels_enc
99
100
101     def train_val_split(self, pre_shuffle=False):
102         """
103         Method that combines the unordered_data1 and unordered_data2 and
104         random splits into training and validation sets with a split ratio of
105         2/3 training, 1/3 validation. The labels are correspondingly split.
106
107         Parameters
108         -----

```

```

109     pre_shuffle : boolean, optional
110         Indicates whether shuffling before splitting data is required.
111         The default is False.
112     Returns
113     -----
114     None.
115
116     """
117     # If unsorted image data is not in a flattened format it must be
118     # flatten before combining
119     if len(self.unsorted_data1.shape) > 2:
120         # Get height and width of images
121         h1 = self.unsorted_data1.shape[1]
122         w1 = self.unsorted_data1.shape[2]
123         self.unsorted_data1 = self.unsorted_data1.reshape((-1, h1*w1))
124         # Now in format (examples, height*width)
125     if len(self.unsorted_data2.shape) > 2:
126         h2 = self.unsorted_data2.shape[1]
127         w2 = self.unsorted_data2.shape[2]
128         self.unsorted_data2 = self.unsorted_data2.reshape((-1, h2*w2))
129
130     m1 = self.unsorted_data1.shape[0]
131     # Number of examples belonging to dataset 1
132     m2 = self.unsorted_data2.shape[0]
133
134     # Combine images and labels for consistent shuffling, so labels stay
135     # with their associated image
136     data_with_labels1 = np.hstack((self.unsorted_data_labels1,
137                                     self.unsorted_data1))
138     data_with_labels2 = np.hstack((self.unsorted_data_labels2,
139                                     self.unsorted_data2))
140
141     if pre_shuffle:
142         # Want the particular images in training and validation sets to be
143         # random each time
144         np.random.shuffle(data_with_labels1)
145         np.random.shuffle(data_with_labels2)
146
147     # 2/3 of total images and their labels will be put into training set,
148     # the rest into validation set
149     train_ratio = 2/3
150     train_split1 = int(train_ratio * m1)
151     train_split2 = int(train_ratio * m2)
152
153     train_labels1 = data_with_labels1[:train_split1,
154                                         0].reshape(train_split1, 1)
155     train_data1 = data_with_labels1[:train_split1, 1:]
156     val_labels1 = data_with_labels1[train_split1:,
157                                     0].reshape(m1 - train_split1, 1)
158     val_data1 = data_with_labels1[train_split1:, 1:]
159
160     train_labels2 = data_with_labels2[:train_split2,
161                                         0].reshape(train_split2, 1)
162     train_data2 = data_with_labels2[:train_split2, 1:]
163     val_labels2 = data_with_labels2[train_split2:,
164                                     0].reshape(m2 - train_split2, 1)
165     val_data2 = data_with_labels2[train_split2:, 1:]
166
167     self.train_data = np.vstack((train_data1, train_data2))

```

```

168 self.train_labels = np.vstack((train_labels1, train_labels2))
169 self.val_data = np.vstack((val_data1, val_data2))
170 self.val_labels = np.vstack((val_labels1, val_labels2))
171
172 # Return images to their original unflatten format (they'll be
173 # flattened again in image_preprocess method if required)
174 self.train_data = self.train_data.reshape((self.train_data.shape[0],
175                                             h1, w1))
176 self.val_data = self.val_data.reshape((self.val_data.shape[0],
177                                         h2, w2))
178
179
180 def image_preprocess(self, split_data=False, pre_shuffle=False,
181                     normalize=True, flatten=False, pad=False,
182                     img_first_format=True):
183     """
184     Method which preprocesses the train_data and val_data attributes of
185     the ImageData object. Possible preprocessing includes: splitting
186     unordered data into training and validation sets, flattening images,
187     padding images and reshaping into (image, examples) array format.
188
189     Parameters
190     -----
191     split_data : boolean, optional
192         Indicates whether splitting the data into training and validation
193         sets is required. The default is False.
194     pre_shuffle : boolean, optional
195         Indicates whether shuffling before splitting data is required.
196         The default is False.
197     normalize : boolean, optional
198         Indicates whether normalizing the images by 1/255 is required.
199         The default is True.
200     flatten : boolean, optional
201         Indicates whether flattening the images from (height, width) into
202         a (height*width) vector is required. The default is False.
203     pad : boolean, optional
204         Indicates whether padding the images is required.
205         The default is False.
206     img_first_format : boolean, optional
207         Indicates whether image first format (image, examples) is required.
208         The alternative is image last format (examples, image).
209         The default is True.
210
211     Returns
212     -----
213     None.
214
215     """
216     # If data is not yet sorted, split and sort into training and
217     # validation sets
218     if split_data:
219         self.train_val_split(pre_shuffle)
220
221     # Normalize images
222     if normalize:
223         self.train_data = self.train_data/255
224         self.val_data = self.val_data/255
225
226     # Flatten images (no further flattening or dimension expansion needed

```

```

227 # if data was split into training and validation sets)
228 if flatten:
229     img_height = self.train_data.shape[1]
230     img_width = self.train_data.shape[2]
231     self.train_data = self.train_data.reshape((-1,
232                                                img_height*img_width))
233     self.val_data = self.val_data.reshape((-1, img_height*img_width))
234 elif not flatten:
235     # If not flattening images, need a 4D-array of size
236     # (examples, img_height, img_width, n_channels) for Keras input
237     self.train_data = np.expand_dims(self.train_data, 3)
238     self.val_data = np.expand_dims(self.val_data, 3)
239
240 # Pad images with a 2 pixel thick border of zeros
241 if pad:
242     self.train_data = np.pad(self.train_data, ((0,0),(2,2),(2,2),(0,0)))
243     self.val_data = np.pad(self.val_data, ((0,0),(2,2),(2,2),(0,0)))
244
245 # Get images into the correct format, either (examples, images) or
246 # (images, examples)
247 if img_first_format:
248     self.train_data = self.train_data.T
249     self.val_data = self.val_data.T
250
251 print("\nPreprocessed images shapes:")
252 print("Training images shape: " + str(self.train_data.shape))
253 print("Validation images shape: " + str(self.val_data.shape))
254
255
256 def label_preprocess(self, one_hot_encode=True):
257     """
258     Method which preprocesses the train_labels and val_labels attributes
259     of the ImageData object. This may include one-hot-encoding the labels
260     if required.
261
262     Parameters
263     -----
264     one_hot_encode : boolean, optional
265         Indicates whether labels should be one-hot-encoded.
266         The default is True.
267
268     Returns
269     -----
270     None.
271
272     """
273     # Ensure that labels are in the required (examples, label) format
274     m_train = len(self.train_labels)
275     m_val = len(self.val_labels)
276     self.train_labels = self.train_labels.reshape((m_train, 1))
277     self.val_labels = self.val_labels.reshape((m_val, 1))
278
279     # One-hot encode the labels
280     if one_hot_encode:
281         # Resultant labels array will be of size (examples, number of
282         # unique labels)
283         self.train_labels = self.one_hot_encode(self.train_labels)
284         self.val_labels = self.one_hot_encode(self.val_labels)
285

```

```

286     print("\nPreprocessed labels shapes:")
287     print("Training labels shape: " + str(self.train_labels.shape))
288     print("Validation labels shape: " + str(self.val_labels.shape))
289
290
291     def data_preprocess(self, split_data=False, pre_shuffle=False,
292                         normalize=False, flatten=False, pad=False,
293                         img_first_format=True, one_hot_encode=False):
294         """
295         Method that performs image and label preprocessing in sequence to get
296         data and labels consistent and ready for any machine learning using
297         this data.
298
299         Parameters
300         -----
301         split_data : boolean, optional
302             Indicates whether splitting the data into training and validation
303             sets is required. The default is False.
304         pre_shuffle : boolean, optional
305             Indicates whether shuffling before splitting data is required.
306             The default is False.
307         normalize : boolean, optional
308             Indicates whether normalizing the images by 1/255 is required.
309             The default is True.
310         flatten : boolean, optional
311             Indicates whether flattening the images from (height, width) into
312             a (height*width) vector is required. The default is False.
313         pad : boolean, optional
314             Indicates whether padding the images is required.
315             The default is False.
316         img_first_format : boolean, optional
317             Indicates whether image first format (image, examples) is required.
318             The alternative is image last format (examples, image).
319             The default is True.
320         one_hot_encode : boolean, optional
321             Indicates whether labels should be one-hot-encoded.
322             The default is True.
323
324         Returns
325         -----
326         None.
327
328         """
329         self.image_preprocess(split_data, pre_shuffle, normalize,
330                               flatten, pad, img_first_format)
331         self.label_preprocess(one_hot_encode)

```

## A.2 Model class

```

1  # -*- coding: utf-8 -*-
2  """
3  Created on Fri Nov 20 18:15:09 2020
4
5  @author: Jason
6  """
7
8  import numpy as np
9  import matplotlib.pyplot as plt
10 import pandas as pd

```

```

11
12
13 class Model:
14     """
15     Objects of the Model class can be used to train a single perceptron,
16     single layer of perceptrons or two-level multilayer perceptron machine
17     learning model/network.
18     """
19
20     def __init__(self, input_size, output_size, hidden_size=None,
21                 output_activation="sigmoid"):
22         """
23         Initialization method called upon creating an object of the class.
24
25         Parameters
26         -----
27         input_size : int
28             The number of input features for the network.
29         output_size : int
30             The number of output values from the network. e.g for a binary
31             classification this will be 1 (0 or 1), but for n class
32             classification it will be n.
33         hidden_size : int, optional
34             If a MLP network is required, this is the number of perceptron in
35             the hidden layer. The default is None.
36         output_activation : string, optional
37             The activation function for the output perceptrons. For binary
38             classification, "sign" can be used. For single layer perceptrons
39             or MLP, use "sigmoid". The default is "sigmoid".
40
41         Returns
42         -----
43         None.
44
45         """
46         self.input_size = input_size
47         self.hidden_size = hidden_size
48         self.output_size = output_size
49         self.output_activation = output_activation
50         self.train_acc_log = []
51         self.val_acc_log = []
52
53
54     def initialize_parameters(self, factor):
55         """
56         Method to initialize the weights and biases of the model network.
57         Initialization is random, from a normal distribution between factor
58         and -factor. If the model is initialized with no hidden layer, then
59         only one weight matrix and bias vector is intialized. If not, two
60         weight matrices and bias vectors are initialized corresponding to
61         the hidden and output layers.
62
63         Parameters
64         -----
65         factor : float
66             If using random intialization this number scales the random
67             value to be between -factor and factor.
68
69         Returns

```

```

70 -----
71 W : np.array
72     Weight matrix corresponding to output layer, of size (n_in, n_out).
73 b : np.array
74     Bias vector corresponding to the output layer, of size (1, n_out).
75 Or
76 W1 : np.array
77     Weight matrix corresponding to hidden layer,
78     of size (n_in, n_hidden).
79 b1 : np.array
80     Bias vector corresponding to the hidden layer,
81     of size (1, n_hidden).
82 W2 : np.array
83     Weight matrix corresponding to output layer,
84     of size (n_hidden, n_out).
85 b2 : np.array
86     Bias vector corresponding to the output layer, of size (1, n_out).
87
88 """
89 n_in = self.input_size # Number of input features
90 n_hidden = self.hidden_size # Number of hidden layer perceptrons
91 n_out = self.output_size # Number of output perceptrons
92
93 if n_hidden == None:
94     # Network only has an output layer
95     W = np.random.randn(n_in, n_out)*factor
96     b = np.random.randn(1, n_out)*factor
97
98     return W, b
99
100 else:
101     # Network has a hidden layer and output layer
102     W1 = np.random.randn(n_in, n_hidden)*factor
103     b1 = np.random.randn(1, n_hidden)*factor
104     W2 = np.random.randn(n_hidden, n_out)*factor
105     b2 = np.random.randn(1, n_out)*factor
106
107     return W1, b1, W2, b2
108
109
110 @staticmethod
111 def sigmoid(x):
112     """
113     Method that computes the sigmoid of the input x.
114
115     Parameters
116     -----
117     x : np.array
118         Input array for which the element-wise sigmoid is required.
119
120     Returns
121     -----
122     s : np.array
123         Array corresponding to the element-wise sigmoid of the elements
124         of x.
125
126     """
127     s = 1/(1 + np.exp(-x))
128     return s

```

```

129
130
131 def forward_propagation(self, data, W1, b1, W2=None, b2=None):
132     """
133     Computes the forward propagation through the model using one or more
134     training examples and the weights and biases of the model.
135
136     Parameters
137     -----
138     data : np.array
139         DESCRIPTION.
140     W1 : np.array
141         Weight matrix corresponding to first layer.
142     b1 : np.array
143         Bias vector corresponding to the first layer.
144     W2 : np.array, optional
145         Weight matrix corresponding to second layer, if MLP.
146         The default is None.
147     b2 : np.array, optional
148         Bias vector corresponding to the second layer, if MLP.
149         The default is None.
150
151     Returns
152     -----
153     y1 : np.array or float
154         Output of first layer of model for the image(s) in data.
155     y2 : np.array
156         Output of second layer of model for the image(s) in data., if MLP.
157
158     """
159     m = data.shape[1] # Number of examples in the input data
160     hidden = self.hidden_size # Number of hidden perceptrons in the
161                               # network
162     outputs = self.output_size # Number of output perceptrons in the
163                                # network
164
165     if hidden == None:
166         # Network only has an output layer
167         # Apply the chosen activation function to the linear function of
168         # the form  $x_{\text{transpose}} W + b$ , where  $x$  is the input data
169         if self.output_activation == "sign":
170             y1 = np.sign(np.dot(data.T, W1) + b1).reshape(m, outputs)
171             # Reshapes are used to ensure after manipulation that the
172             # array shape is correct
173         elif self.output_activation == "sigmoid":
174             y1 = self.sigmoid(np.dot(data.T, W1) + b1).reshape(m, outputs)
175
176         return y1
177     else:
178         # Network has a hidden layer and output layer
179         y1 = self.sigmoid(np.dot(data.T, W1) + b1).reshape(m, hidden)
180
181         # Outputs of the output perceptrons are computed as the sigmoid of
182         # the linear function  $\text{hidden\_activation} W2 + b2$ 
183         y2 = self.sigmoid(np.dot(y1, W2) + b2).reshape(m, outputs)
184
185         return y1, y2
186
187

```



```

188 def update_weights(self, y1, x, d, loss, lrn_rate,
189                     W1, b1, W2=None, b2=None, y2=None):
190     """
191     Updates the the current weights and biases of the model using the
192     stochastic or batch gradient descent learning rule. This can be done
193     for log loss or MSE loss.
194
195     Parameters
196     -----
197     y1 : np.array or float
198         y1 : np.array or float
199         Output of first layer of model for the image(s) in x.
200     x : np.array
201         Image or images used for updating the weights.
202     d : np.array or int
203         True labels of image/images in x.
204     loss : string
205         The loss function used for defining the weight update rules. Can
206         be either "log_loss" or mean-square error loss, "mse.
207     lrn_rate : float
208         The learning rate for the weight update rule.
209         Indicates how large steps are taken.
210     W1 : np.array
211         Current weights for the first layer of the model.
212     b1 : np.array
213         Current bias(es) for the first layer of the model.
214     W2 : np.array, optional
215         Current weights for the second layer of the model, if MLP.
216         The default is None.
217     b2 : np.array, optional
218         Current biases for the second layer of the model, if MLP.
219         The default is None.
220     y2 : np.array, optional
221         Output of second layer of model for the image(s) in x, if MLP
222         The default is None.
223
224     Returns
225     -----
226     W_new : np.array
227         Updated weights for the first layer of the model.
228     b_new : np.array
229         Updated biases for the first layer of the model.
230     or
231     W1_new : np.array
232         Updated weights for the first layer of the model, if MLP.
233     b1_new : np.array
234         Updated biases for the first layer of the model, if MLP.
235     W2_new : np.array
236         Updated weights for the second layer of the model, if MLP.
237     b2_new : np.array
238         Updated biases for the second layer of the model, if MLP.
239
240     """
241     m = x.shape[1]
242
243     if self.hidden_size == None:
244         # Network only has an output layer
245         # Single layer perceptron
246         diff = d - y1

```

```

247
248     # Perform the weight update rule depending on what
249     # loss function is being used
250     if loss == "log_loss":
251         W_new = W1 + lrn_rate*(1/m)*np.dot(x, diff)
252         b_new = b1 + lrn_rate*(1/m)*np.sum(diff,
253                                             axis=0, keepdims=True)
254     elif loss == "mse":
255         W_new = W1 + lrn_rate*(1/m)*np.dot(x, diff*y1*(1 - y1))
256         b_new = b1 + lrn_rate*(1/m)*np.sum(diff*y1*(1 - y1),
257                                             axis=0, keepdims=True)
258
259     return W_new, b_new
260 else:
261     # Network has a hidden layer and output layer (MLP)
262     diff = d - y2
263
264     # Perform the weight update rule depending on what
265     # loss function is being used
266     if loss == "log_loss":
267         W2_new = W2 + lrn_rate*np.dot(y1.T, diff).reshape(W2.shape)
268         b2_new = b2 + lrn_rate*np.sum(
269             diff, axis=0, keepdims=True).reshape(b2.shape)
270         W1_new = W1 + lrn_rate*np.dot(
271             x, np.dot(diff, W2.T)*y1*(1 - y1)).reshape(W1.shape)
272         b1_new = b1 + lrn_rate*np.sum(
273             np.dot(diff, W2.T)*y1*(1 - y1),
274             axis=0, keepdims=True).reshape(b1.shape)
275     elif loss == "mse":
276         W2_new = W2 + lrn_rate*np.dot(
277             y1.T, diff*y2*(1 - y2)
278             ).reshape(W2.shape)
279         b2_new = b2 + lrn_rate*np.sum(
280             diff*y2*(1 - y2), axis=0, keepdims=True).reshape(b2.shape)
281         W1_new = W1 + lrn_rate*np.dot(
282             x, np.dot(diff*y2*(1 - y2), W2.T)*y1*(1 - y1)
283             ).reshape(W1.shape)
284         b1_new = b1 + lrn_rate*np.sum(
285             np.dot(diff*y2*(1 - y2), W2.T)*y1*(1 - y1),
286             axis=0, keepdims=True).reshape(b1.shape)
287
288     return W1_new, b1_new, W2_new, b2_new
289
290
291 def evaluate(self, epoch, train_or_val, data, true_labels,
292             W1, b1, W2=None, b2=None):
293     """
294     Evaluate the performance (accuracy) of the model on the entire
295     training or validation dataset, using the current weights and biases
296     of the model. Values of the accuracy are stored in arrays for writing
297     to a csv file after training and plotting graphs.
298
299     Parameters
300     -----
301     epoch : int
302         At which epoch the accuracy is being evaluated, used for storing
303         accuracies for plotting later.
304     train_or_val : string
305         Indicated whether that data is training or validation, used for

```

```

306         storing accuracies for plotting later. Possible values are
307         "train" or "val".
308     data : np.array
309         Image data, either entire training or validation set.
310     true_labels : np.array
311         The true labels corresponding to images in data.
312     W1 : np.array
313         Current weights for the first layer of the model.
314     b1 : np.array
315         Current bias(es) for the first layer of the model.
316     W2 : np.array, optional
317         Current weights for the second layer of the model, if MLP.
318         The default is None.
319     b2 : np.array, optional
320         Current biases for the second layer of the model, if MLP.
321         The default is None.
322
323     Returns
324     -----
325     acc : float
326         Accuracy of model predictions on all data images, between 0 and 1.
327
328     """
329     m = data.shape[1]
330
331     if self.hidden_size == None:
332         # Network only has an output layer, no hidden layer
333         # Single layer perceptron
334         output = self.forward_propagation(data, W1, b1)
335     else:
336         # Network has a hidden layer and output layer (MLP)
337         _, output = self.forward_propagation(data, W1, b1, W2, b2)
338
339     if output.shape[1] > 1:
340         # For multiclass problems, one-hot-encoded labels are used, so
341         # to compute accuracy argmax must see which element is maximum
342         # and hence the correct label.
343         # e.g. output = [0,0,1,0] ----> output_labels = [2]
344         output = np.argmax(output, axis = 1).reshape(m, 1)
345         true_labels = np.argmax(true_labels, axis = 1).reshape(m, 1)
346
347     # Compute the accuracy
348     acc = float(np.sum(output == true_labels))/m
349
350     # Record and display the accuracy
351     if train_or_val == "train":
352         # Forward propagation using training data
353         # Store the training accuracy in train_acc_log for plotting etc
354         # after training
355         self.train_acc_log.insert(epoch - 1, acc)
356
357     elif train_or_val == "val":
358         # Forward propagation using validation data
359         # Store the validation accuracy in val_acc_log for plotting etc
360         # after training
361         self.val_acc_log.insert(epoch - 1, acc)
362         # Display the prediction vectors and true label vectors
363         #print("True labels:")
364         #print(true_labels)

```

```

365         #print("Output:")
366         #print(output)
367
368     return acc
369
370
371 def train(self, train_data, train_labels, val_data, val_labels,
372           init_factor=1e-3, loss = "log_loss", lrn_rate=0.01, lr_decay=None,
373           optimizer="sgd", epochs=20, max_accept_error=0, print_epochs=1):
374     """
375     Train the model for either a set number of epochs or until a maximum
376     acceptable error has been reached. Training is done via forward
377     and backward propagation through the model and using gradient-based
378     weight update.
379
380     Parameters
381     -----
382     train_data : np.array
383         The images used for training the network, of shape
384         (features, examples).
385     train_labels : np.array
386         Labels corresponding to the images in train_data, of
387         shape (examples, n_output).
388     val_data : np.array
389         The images used for validation of the network, of shape
390         (features, examples).
391     val_labels : np.array
392         Labels corresponding to the images in val_data, of
393         shape (examples, n_output).
394     init_factor : float, optional
395         For weight intialization this number scales the random values to
396         be between 0 and factor.
397         The default is 1e-3.
398     loss : string, optional
399         The loss function used for defining the weight update rules. Can
400         be either "log_loss" or mean-square error loss, "mse.
401         The default is "log_loss".
402     lrn_rate : float, optional
403         The learning rate for the weight update rule.
404         Indicates how large steps are taken. The default is 0.01.
405     lr_decay : float, optional
406         The value used in the weight decay equation to gradually reduce
407         the learning rate from its initial value after each weight update.
408         The default is None.
409     optimizer : string, optional
410         The optimization technique used for updating the weights. Can
411         be either batch gradient descent "batch_gd", looking at all
412         training images before updating the weights, or stochastic
413         gradient descent "sgd", looking at a single training images, then
414         updating the weights. The default is "sgd".
415     epochs : int, optional
416         Number of iterations through whole dataset should
417         before training is complete. The default is 20.
418     max_accept_error : float, optional
419         When training error reaches this value, training will stop.
420         The default is 0.
421     print_epochs : int, optional
422         Number of how often the accuracies and epoch number
423         should be displayed. The default is 1.

```

```

424
425 Returns
426 -----
427 None.
428
429 """
430 m = train_data.shape[1]
431 initial_lr_rate = lrn_rate # This is needed if using learning rate decay
432                             # so as to not overwrite the initial learning
433                             # rate
434
435 # Initialize the weights of the network
436 if self.hidden_size == None:
437     # Network only has an output layer
438     W, b = self.initialize_parameters(init_factor)
439 else:
440     # Network has a hidden layer and output layer
441     W1, b1, W2, b2 = self.initialize_parameters(init_factor)
442
443
444 print("\nTraining has started...")
445 i = 0 # Variable to increment upon weight updates, used for weight
446       # decay equation
447 # Each epoch use all the training data to update the weights of the
448 # network
449 for epoch in range(1, epochs + 1):
450
451     if epoch%print_epochs == 0:
452         # Only print the iteration number every print_epochs
453         # iteration
454         print("Epoch " + str(epoch) + ":")
455
456     if self.hidden_size == None:
457         # Network has no hidden layer, just an output
458         if optimizer == "sgd":
459             for example in np.random.permutation(m):
460                 # Select images in random order
461                 # Forward propagation to compute output
462                 # Feed in an image at a time and update the weights
463                 train_example = train_data[:, example].reshape(
464                     train_data.shape[0], 1)
465                 train_label = train_labels[example, :].reshape(
466                     1, train_labels.shape[1])
467                 y = self.forward_propagation(train_example,
468                                             W, b)
469                 # Backward propagation to update weights
470                 if lr_decay != None:
471                     # Using learning rate decay, so calculated reduced
472                     # learning rate based on what iteration it is
473                     lrn_rate = initial_lr_rate*(1/(1 + lr_decay*i))
474                 W, b = self.update_weights(y, train_example,
475                                           train_label,
476                                           loss, lrn_rate, W, b)
477                 i += 1
478             elif optimizer == "batch_gd":
479                 # Update weights using all examples at a time
480                 # Forward propagation to compute output
481                 y = self.forward_propagation(train_data, W, b)
482                 # Backward propagation to update weights

```

```

483         W, b = self.update_weights(y, train_data, train_labels,
484                                     loss, lrn_rate, W, b)
485
486     # Calculate, store and display the training and
487     # validation accuracy each epoch
488     train_acc = self.evaluate(epoch, "train", train_data,
489                               train_labels, W, b)
490     val_acc = self.evaluate(epoch, "val", val_data,
491                             val_labels, W, b)
492
493     if epoch%print_epochs == 0:
494         # Only display the accuracy every print_epochs iteration
495         print("train_accuracy = " + str(train_acc))
496         print("validation_accuracy = " + str(val_acc))
497     train_error = 1 - train_acc
498     if train_error <= max_accept_error:
499         # When max_accept_error is given exit the epoch loop
500         # prematurely based on whether the error is small enough
501         break
502
503     else:
504         # Network has a hidden layer
505         if optimizer == "sgd":
506             for example in np.random.permutation(m):
507                 # Select images in random order
508                 # Forward propagation to compute output
509                 # Feed in an image at a time and update the weights
510                 train_example = train_data[:,example].reshape(
511                     train_data.shape[0], 1)
512                 train_label = train_labels[example, :].reshape(
513                     1, train_labels.shape[1])
514                 y1, y2 = self.forward_propagation(train_example,
515                                                     W1, b1, W2, b2)
516                 # Backward propagation to update weights
517                 if lr_decay != None:
518                     # Using learning rate decay, so calculated reduced
519                     # learning rate based on what iteration it is
520                     lrn_rate = initial_lrate*(1/(1 + lr_decay*i))
521                 W1, b1, W2, b2 = self.update_weights(y1, train_example,
522                                                         train_label,
523                                                         loss, lrn_rate,
524                                                         W1, b1,
525                                                         W2, b2, y2)
526
527                 i += 1
528             elif optimizer == "batch_gd":
529                 # Update weights using all examples at a time
530                 # Forward propagation to compute output
531                 y1, y2 = self.forward_propagation(train_data,
532                                                     W1, b1, W2, b2)
533                 # Backward propagation to update weights
534                 W1, b1, W2, b2 = self.update_weights(y1, train_data,
535                                                         train_labels,
536                                                         loss, lrn_rate,
537                                                         W1, b1,
538                                                         W2, b2, y2)
539
540     # Calculate, store and display the training and validation
541     # accuracy each epoch and return error to compare with
542     # max_accept_error, if given

```

```

542         train_acc = self.evaluate(epoch, "train", train_data,
543                                   train_labels, W1, b1, W2, b2)
544         val_acc = self.evaluate(epoch, "val", val_data,
545                                 val_labels, W1, b1, W2, b2)
546
547         if epoch%print_epochs == 0:
548             # Only display the accuracy every print_epochs iteration
549             print("train accuracy = " + str(train_acc))
550             print("validation accuracy = " + str(val_acc))
551         train_error = 1 - train_acc
552         if train_error <= max_accept_error:
553             # When max_accept_error is given exit the epoch loop
554             # prematurely based on whether the error is small enough
555             break
556
557         print("\nTraining has finished")
558         print("\nFinal training accuracy: " + str(self.train_acc_log[-1]))
559         print("\nFinal validation accuracy: " + str(self.val_acc_log[-1]))
560
561
562     def plot(self, file_path, epoch_steps=1):
563         """
564         Method to plot the training and validation accuracy,
565         for each epoch, against the epoch number and save the image.
566
567         Parameters
568         -----
569         file_path : string
570             File path where the csv will be saved.
571         epoch_steps : int, optional
572             The interval steps on the x axis of the graph.
573             The default is 1.
574
575         Returns
576         -----
577         None.
578
579         """
580         # Retrieve the training accuracy and validation accuracy data
581         acc = self.train_acc_log
582         val_acc = self.val_acc_log
583
584         epochs = range(1, len(acc) + 1)
585
586         # Plot the graph
587         plt.figure(figsize=(20,15))
588         plt.plot(epochs, acc, 'r', label="Training accuracy")
589         plt.plot(epochs, val_acc, 'b', label="Validation accuracy")
590         plt.legend(loc=0, fontsize=18)
591         plt.grid(True)
592         plt.xticks(np.arange(0, epochs[-1] + 1, step=epoch_steps),
593                   fontsize=18)
594         plt.xlim(1, epochs[-1] + 1)
595         plt.xlabel("Epochs", fontsize=20)
596         plt.yticks(np.arange(0, 1.1, step=0.1), fontsize=18)
597         plt.ylim(0, 1)
598         plt.ylabel("Accuracy", fontsize=20)
599
600         # Save the file and display

```

```

601     plt.savefig(file_path)
602     print("\nTraining and validation accuracy graph printed successfully!")
603     plt.show()
604
605
606     def save(self, file_path):
607         """
608         Method to save training and validation accuracy data,
609         for each epoch, as a csv file.
610
611         Parameters
612         -----
613         file_path : string
614             File path where the csv will be saved.
615
616         Returns
617         -----
618         None.
619
620         """
621         # Retrieve the training accuracy and validation accuracy
622         acc = np.array(self.train_acc_log).reshape(len(self.train_acc_log), 1)
623         val_acc = np.array(self.val_acc_log).reshape(len(self.val_acc_log), 1)
624         training_log = np.hstack((acc, val_acc))
625
626         # Store data into a pandas dataframe and save to a csv file
627         column_headers = ["Training accuracy", "Validation accuracy"]
628         df = pd.DataFrame(data = training_log, columns = column_headers)
629         df.index += 1
630         df.to_csv(file_path)
631         print("\nData saved in a csv file to file path successfully!")

```

### A.3 Task 1: Single Perceptron

```

1  # -*- coding: utf-8 -*-
2  """
3  Created on Fri Nov 20 17:48:37 2020
4
5  @author: Jason
6  """
7
8  # EEEN4/60151 Machine Learning Laboratory
9  # 1. Single Perceptron
10
11
12  import numpy as np
13  from Model_class import Model
14  from ImageData_class import ImageData
15
16  # Create the 0 and 1 images as numpy array and the corresponding labels
17  ones = np.array([[0,0,1,0,0],
18                  [0,0,1,0,0],
19                  [0,0,1,0,0],
20                  [0,0,1,0,0],
21                  [0,0,1,0,0]],
22                  [[0,1,1,0,0],
23                  [0,0,1,0,0],
24                  [0,0,1,0,0],
25                  [0,0,1,0,0],

```



```

26         [0,1,1,1,0]],
27         [[0,0,1,0,0],
28         [0,1,1,0,0],
29         [0,0,1,0,0],
30         [0,0,1,0,0],
31         [0,0,1,0,0]],
32         [[0,0,0,1,0],
33         [0,0,1,1,0],
34         [0,0,1,0,0],
35         [0,1,1,0,0],
36         [0,1,0,0,0]],
37         [[0,0,0,0,1],
38         [0,0,0,1,0],
39         [0,0,1,0,0],
40         [0,1,0,0,0],
41         [1,0,0,0,0]],
42         [[0,0,0,0,1],
43         [0,0,1,0,0],
44         [0,0,1,0,0],
45         [0,0,1,0,0],
46         [0,0,1,0,0]]
47     ])
48
49 zeros = np.array([[0,1,1,1,0],
50                   [0,1,0,1,0],
51                   [0,1,0,1,0],
52                   [0,1,0,1,0],
53                   [0,1,1,1,0]],
54                   [[0,0,1,0,0],
55                   [0,1,0,1,0],
56                   [0,1,0,1,0],
57                   [0,1,0,1,0],
58                   [0,0,1,0,0]],
59                   [[0,0,1,0,0],
60                   [0,1,0,1,0],
61                   [0,1,0,1,0],
62                   [0,1,0,1,0],
63                   [0,1,1,1,0]],
64                   [[0,0,1,1,0],
65                   [0,1,0,0,1],
66                   [0,1,0,0,1],
67                   [0,1,0,1,0],
68                   [0,1,1,0,0]],
69                   [[1,1,1,1,1],
70                   [1,0,0,0,1],
71                   [1,0,1,0,1],
72                   [1,0,0,0,1],
73                   [1,1,1,1,1]],
74                   [[0,1,1,1,0],
75                   [1,0,0,0,1],
76                   [1,0,0,0,1],
77                   [1,0,0,0,1],
78                   [0,1,1,1,0]]
79     ])
80
81 one_labels = np.array([[1],
82                        [1],
83                        [1],
84                        [1],

```

```

85         [1],
86         [1]
87     ])
88
89 zero_labels = np.array([[ -1],
90                         [ -1],
91                         [ -1],
92                         [ -1],
93                         [ -1],
94                         [ -1]
95                     ])
96
97 # Preprocess the images and labels using the ImageData class
98 # For this task the image will need to be in the format
99 # (image, example) with flattened images
100 # The training set will have 8 examples (4 1s, 4 0s) and the
101 # validation set will have 4 examples (2 1s, 2 0s)
102 data = ImageData()
103 data.get_data(images1=ones,
104              image_labels1=one_labels,
105              images2=zeros,
106              image_labels2=zero_labels
107             )
108 data.data_preprocess(split_data=True,
109                    normalize=False,
110                    flatten=True,
111                    img_first_format=True,
112                    one_hot_encode=False
113                   )
114
115 # Define and train a single perceptron model for learning this dataset, then
116 # plot the training and validation accuracy as a function of epoch and save
117 # this data to a csv file
118 input_size = data.train_data.shape[0]
119 output_size = data.train_labels.shape[1]
120 save_dir = "C:/Users/Jason/Documents/"
121 graph_save_path = save_dir + "graph.png"
122 data_save_path = save_dir + "data.csv"
123
124 single_layer_perceptrons = Model(input_size,
125                                output_size,
126                                hidden_size=None,
127                                output_activation="sign"
128                               )
129 single_layer_perceptrons.train(data.train_data,
130                              data.train_labels,
131                              data.val_data,
132                              data.val_labels,
133                              init_factor=0.1,
134                              lrn_rate=1e-2,
135                              max_accept_error=0
136                             )
137 single_layer_perceptrons.plot(graph_save_path)
138 single_layer_perceptrons.save(data_save_path)

```

## A.4 Task 2: Single Layer Perceptrons

```

1 # -*- coding: utf-8 -*-
2 """

```

```

3 Created on Fri Nov 20 18:00:48 2020
4
5 @author: Jason
6 """
7
8 # EEEN4/60151 Machine Learning Laboratory
9 # 2. Single Layer Perceptrons
10
11 import mnist
12 from ImageData_class import ImageData
13 from Model_class import Model
14
15 # Preprocess the images and labels using the ImageData class
16 # For this task the image will need to be in the format
17 # (image, example) with flattened images and one-hot-encoded
18 # labels (of size (n_examples, 10) as there are 10 possible labels 0-9)
19 # MNIST has 60,000 training examples and 10,000 validation examples
20 data = ImageData()
21 data.get_data(dataset=mnist)
22 data.data_preprocess(pre_shuffle=False,
23                     normalize=True,
24                     flatten=True,
25                     img_first_format=True,
26                     one_hot_encode=True
27                     )
28
29 # Define and use a single layer of perceptrons model for learning the MNIST
30 # data, then plot the training and validation accuracy as a function of epoch
31 # and save this data to a csv file
32 input_size = data.train_data.shape[0]
33 output_size = data.train_labels.shape[1]
34 save_dir = "C:/Users/Jason/Documents/"
35 save_name = "save_name"
36 graph_save_path = save_dir + save_name + "-graph.png"
37 data_save_path = save_dir + save_name + "-data.csv"
38
39 single_layer_perceptrons = Model(input_size,
40                                 output_size,
41                                 hidden_size=None,
42                                 output_activation="sigmoid"
43                                 )
44 single_layer_perceptrons.train(data.train_data,
45                               data.train_labels,
46                               data.val_data,
47                               data.val_labels,
48                               init_factor=1e-3,
49                               loss="mse",
50                               lrn_rate=5e-1,
51                               lr_decay=1e-6,
52                               epochs=20
53                               )
54 single_layer_perceptrons.plot(graph_save_path, epoch_steps=20)
55 single_layer_perceptrons.save(data_save_path)

```

## A.5 Task 3: Multilayer Perceptron

```

1 # -*- coding: utf-8 -*-
2 """
3 Created on Fri Nov 20 18:07:44 2020

```

```

4
5 @author: Jason
6 """
7
8 # EEEN4/60151 Machine Learning Laboratory
9 # 3. Multilayer Perceptrons
10
11 import mnist
12 from Model_class import Model
13 from ImageData_class import ImageData
14
15 # Preprocess the images and labels using the ImageData class
16 # For this task the image will need to be in the format
17 # (image, example) with flattened images and one-hot-encoded
18 # labels (of size (n_examples, 10) as there are 10 possible labels 0-9)
19 # MNIST has 60,000 training examples and 10,000 validation examples
20 data = ImageData()
21 data.get_data(dataset=mnist)
22 data.data_preprocess(pre_shuffle=False,
23                     normalize=True,
24                     flatten=True,
25                     pad=False,
26                     img_first_format=True,
27                     one_hot_encode=True
28                     )
29
30 # Define and use a multi layer perceptrons (MLP) model for learning the MNIST
31 # data, then plot the training and validation accuracy as a function of epoch
32 # and save this data to a csv file
33 input_size = data.train_data.shape[0]
34 hidden_size = 20
35 output_size = data.train_labels.shape[1]
36 save_dir = "C:/Users/Jason/Documents/"
37 save_name = "save_name"
38 graph_save_path = save_dir + save_name + "-graph.png"
39 data_save_path = save_dir + save_name + "-data.csv"
40
41 multi_layer_perceptrons = Model(input_size=input_size,
42                                 output_size=output_size,
43                                 hidden_size=hidden_size,
44                                 output_activation="sigmoid"
45                                 )
46 multi_layer_perceptrons.train(data.train_data,
47                               data.train_labels,
48                               data.val_data,
49                               data.val_labels,
50                               init_factor=1e-3,
51                               loss="mse",
52                               lrn_rate=1e-2,
53                               optimizer="sgd",
54                               epochs=20
55                               )
56 multi_layer_perceptrons.plot(graph_save_path, epoch_steps=1)
57 multi_layer_perceptrons.save(data_save_path)

```

## A.6 Task 4: LeNet 5 for handwritten digit classification (on MNIST dataset)

```

1 # -*- coding: utf-8 -*-
2 """

```

```

3 Created on Fri Nov 20 18:09:20 2020
4
5 @author: Jason
6 """
7
8 # EEEN4/60151 Machine Learning Laboratory
9 # 4. LeNet 5 for handwritten digit classification
10 # (on MNIST dataset)
11
12 import mnist
13 import keras
14 from keras import layers
15 from ImageData_class import ImageData
16 from Model_metric_plotter_saver import save_history_to_csv
17
18 # Preprocess the images and labels using the ImageData class
19 # For this task the image will need to be in the format
20 # (example, img_height, img_width, 1) with padding to make the
21 # images 32x32 rather than 28x28.
22 # Labels will be one-hot-encoded (of size (n_examples, 10) as
23 # there are 10 possible labels 0-9)
24 # MNIST has 60,000 training examples and 10,000 validation
25 # examples
26 data = ImageData()
27 data.get_data(dataset=mnist)
28 data.data_preprocess(split_data=False,
29                      flatten=False,
30                      pad=True,
31                      img_first_format=False,
32                      one_hot_encode=True
33                      )
34
35 # Defin a LeNet 5 inspired CNN model using the keras deep learning library
36 LeNet5 = keras.Sequential([
37     layers.Conv2D(filters=6, kernel_size=(5,5),
38                  name="C1", input_shape=(32,32,1)),
39     layers.AveragePooling2D((2,2), (2,2), name="S2"),
40
41     layers.Conv2D(filters=16, kernel_size=(5,5), name="C3"),
42     layers.AveragePooling2D((2,2), (2,2), name="S4"),
43
44     layers.Flatten(),
45
46     layers.Dense(units=120, activation="tanh", name="C5"),
47
48     layers.Dense(units=84, activation="tanh", name="F6"),
49
50     layers.Dense(units=10, activation="softmax", name="OUTPUT")
51 ])
52
53 LeNet5.compile(optimizer="sgd", loss="mse", metrics=["accuracy"])
54 history = LeNet5.fit(x=data.train_data,
55                    y=data.train_labels,
56                    epochs=40,
57                    validation_data=(data.val_data, data.val_labels)
58                    )
59
60
61 # Plot the training and validation accuracies as a function of epoch

```

```

62 # and save the graph
63 save_dir = "C:/Users/Jason/Documents/"
64 save_path = save_dir + "LeNet5.csv"
65
66
67 save_history_to_csv(history, save_path, ["accuracy"])

```

## A.7 Task 5: Self-Organising Map (SOM)

```

1  # -*- coding: utf-8 -*-
2  """
3  Created on Sat Nov 21 21:52:43 2020
4
5  @author: Jason
6  """
7
8  # EEN4/60151 Machine Learning Laboratory
9  # 5. Self-Organizing Map (SOM)
10
11
12 import numpy as np
13 import matplotlib.pyplot as plt
14
15
16 # FUNCTION DEFINITIONS
17
18 def generate_vector(dims, index_list):
19     """
20     Funtion for generating the vectors which represent the animals.
21     Parameters
22     -----
23     dims : int
24         Desired dimensions of the output vector.
25     index_list : list
26         List of which indices in the output vector are to be set to 1.
27
28     Returns
29     -----
30     vector : np.array
31         Vector representing an animal, made of 0s expect where index_list
32         specified there should be a 1.
33
34     """
35     vector = np.zeros((dims,1))
36     for index in index_list:
37         vector[index,0] = 1
38     return vector
39
40
41 def initialize_weights(height, width, depth):
42     """
43     Random initializes a weight tensor of size (l,m,n) for a
44     self-organizing map (SOM)
45
46     Parameters
47     -----
48     height : int
49         height of weight tensor (l)
50     width : int

```

```

51         Width of weight tensor (m)
52     depth : int
53         Depth of weight tensor - to be equal to SOM input vector dimensions(n)
54
55     Returns
56     -----
57     np.array
58         The randomly initialized weight tensor.
59
60     """
61     return np.random.rand(height, width, depth)
62
63
64 def winning_neuron(input_vec, weights):
65     """
66     Selects the i and j index values of weights which has the smallest
67     Euclidean distance to input_vec
68
69     Parameters
70     -----
71     input_vec : np.array
72         Animal vector.
73     weights : np.array
74         SOM weights.
75
76     Returns
77     -----
78     u : int
79         The ith component index of the winning neuron.
80     v : int
81         The jth component index of the winning neuron.
82
83     """
84     # Compute the Euclidean distance between input_vec and each of the
85     # vectors at position ij in the weights tensor.
86     d = np.zeros((weights.shape[0], weights.shape[1]))
87     for i in range(weights.shape[0]):
88         for j in range(weights.shape[1]):
89             weight_ij = weights[i,j,:].reshape(input_vec.shape)
90             diff = input_vec - weight_ij
91             d[i,j] = np.sqrt(np.dot(diff.T, diff))
92     # Select the winning neuron
93     u, v = np.unravel_index(np.argmin(d, axis=None), d.shape)
94
95     return u, v
96
97
98 def update_weights(u, v, t, sigma, input_vec, old_weights):
99     """
100     Update the weights corresponding to position in the SOM map.
101
102     Parameters
103     -----
104     u : int
105         The ith component index of the winning neuron.
106     v : int
107         The jth component index of the winning neuron.
108     t : int
109         Iteration number

```

```

110 sigma : TYPE
111     DESCRIPTION.
112 input_vec : np.array
113     Vector of the animal being used to update the SOM weights.
114 old_weights : np.array
115     Current weight tensor for the SOM.
116
117 Returns
118 -----
119 new_weights : np.array
120     Weight tensor with updated values.
121
122 """
123 alpha = 100/(200 + t)
124 height = old_weights.shape[0]
125 width = old_weights.shape[1]
126
127 new_weights = np.zeros(old_weights.shape)
128 for i in range(height):
129     for j in range(width):
130         old_weight_ij = old_weights[i,j,:].reshape(input_vec.shape)
131         eta = np.exp(-((i - u)**2 + (j - v)**2) / 2*sigma**2)
132         diff = input_vec - old_weight_ij
133         new_weights[i,j,:] = (old_weight_ij + alpha*eta*(diff)).reshape(
134             new_weights[i,j,:].shape)
135
136 return new_weights
137
138
139 def select_rand_row(input_vectors):
140     """
141     Selects a random rows of a stack of vectors, i.e chooses a random animal
142     vector.
143
144     Parameters
145     -----
146     input_vectors : np.array
147         Stack of animal vectors.
148
149     Returns
150     -----
151     random_vec : np.array
152         One animal vector randomly chosen from input_vectors.
153
154     """
155     rand_int = np.random.randint(0, input_vectors.shape[1])
156     random_vec = input_vectors[:,rand_int].reshape(input_vectors.shape[0],1)
157
158     return random_vec
159
160
161 def plot_SOM(input_vectors, input_labels, label_colors, label_markers,
162             trained_weights, save_path, iteration):
163     """
164
165     Parameters
166     -----
167     input_vectors : np.array

```



```

169     Stacked animal vectors.
170     input_labels : list
171         List of animal names corresponding to the components of input_vectors.
172     label_colors : list
173         List of colors for plotting points corresponding to each animal.
174     label_markers : list
175         List of maker types for plotting point corresponding to each animal.
176     trained_weights : np.array
177         The trained weights for the SOM.
178     save_path : string
179         Where to save the plots of the SOM.
180     iteration : int
181         Iteration number, used for the save name of the plotted graph.
182
183     Returns
184     -----
185     None.
186
187     """
188     fig, ax = plt.subplots(figsize = (6,6))
189
190     # Plot points for each animal vector on SOM
191     for i in range(input_vectors.shape[1]):
192         label = input_labels[i]
193
194         marker = label_markers[i]
195
196         color = label_colors[i]
197
198         input_vec = input_vectors[:,i]
199         u, v = winning_neuron(input_vec, trained_weights)
200         ax.scatter(v, u, s=150, marker=marker, color=color)
201
202         if label == "Zebra" or label == "Hawk" or label == "Goose":
203             plt.annotate(label, (v, u),
204                          xytext=(8,-8), textcoords="offset points")
205         else:
206             plt.annotate(label, (v, u),
207                          xytext=(8,8), textcoords="offset points")
208
209     plt.xlim(0,10)
210     plt.ylim(0,10)
211     plt.grid(True)
212
213     plt.savefig(save_path + "Pass_" + str(iteration))
214     plt.show()
215
216
217
218 def train_and_plot_SOM(save_path, input_vectors, labels,
219                        label_colors, label_markers,
220                        map_size=(10,10), start_sigma=3,
221                        num_iter=100001, plot_iter=2000):
222     """
223     Train the SOM and plot the SOM multiple times during training.
224
225     Parameters
226     -----
227     save_path : string

```

```

228     Where to save the plots of the SOM.
229     input_vectors : np.array
230         Stacked animal vectors.
231     labels : list
232         List of animal names corresponding to the components of input_vectors.
233     label_colors : list
234         List of colors for plotting points corresponding to each animal.
235     label_markers : list
236         List of maker types for plotting point corresponding to each animal.
237     map_size : tuple, optional
238         Size of the SOM. The default is (10,10).
239     start_sigma : float, optional
240         The starting value of sigma, which will be gradually decreased to 1.
241         The default is 3.
242     num_iter : int, optional
243         How many iteration of training the SOM. The default is 10000.
244     plot_iter : int, optional
245         After how many iterations the SOM should be plotted and saved.
246         The default is 2000.
247
248     Returns
249     -----
250     None.
251
252     """
253     print("Training has started...")
254
255
256     height, width = map_size
257     n = input_vectors.shape[0]
258
259     weights = initialize_weights(height, width, n)
260
261     for t in range(num_iter):
262         # Vary sigma from 3 to 1 as iterations go on
263         sigma = start_sigma - (start_sigma - 1)*(t/num_iter)
264
265         input_vec = select_rand_row(input_vectors)
266         u, v = winning_neuron(input_vec, weights)
267         weights = update_weights(u, v, t, sigma, input_vec, weights)
268
269         if t%1000 == 0:
270             print("Iteration: " + str(t))
271         if t % plot_iter == 0:
272             plot_SOM(input_vectors, labels, label_colors, label_markers,
273                     weights, save_path, t)
274
275     print("\nTraining Completed!")
276
277
278
279 # MAIN
280
281 # Represent the animals as 13-dimensional vectors
282 dims = 13
283 Dove = generate_vector(dims, [0,3,8,11])
284 Hen = generate_vector(dims, [0,3,8])
285 Duck = generate_vector(dims, [0,3,8,11,12])
286 Goose = generate_vector(dims, [0,3,8,11,12])

```

```

287 Owl = generate_vector(dims, [0,3,8,9,11])
288 Hawk = generate_vector(dims, [0,3,8,9,11])
289 Eagle = generate_vector(dims, [1,3,8,9,11])
290 Fox = generate_vector(dims, [1,4,5,9])
291 Dog = generate_vector(dims, [1,4,5,10])
292 Wolf = generate_vector(dims, [1,4,5,7,9,10])
293 Cat = generate_vector(dims, [0,4,5,9])
294 Tiger = generate_vector(dims, [2,4,5,9,10])
295 Lion = generate_vector(dims, [2,4,5,7,9,10])
296 Horse = generate_vector(dims, [2,4,5,6,7,10])
297 Zebra = generate_vector(dims, [2,4,5,6,7,10])
298 Cow = generate_vector(dims, [2,4,5,6])
299
300 # Put vector representation together in shuffled matrix
301 animal_inputs = np.hstack((Dove,Hen,Duck,Goose,Owl,Hawk,Eagle,Fox,Dog,Wolf,
302                             Cat,Tiger,Lion,Horse,Zebra,Cow))
303
304 # Define lists for plotting and annotating SOM plot
305 animal_labels = ["Dove","Hen","Duck","Goose","Owl","Hawk","Eagle","Fox","Dog",
306                  "Wolf","Cat","Tiger","Lion","Horse","Zebra","Cow"]
307 color1 = "cornflowerblue"
308 color2 = "blue"
309 color3 = "midnightblue"
310 label_colors = [color1,color1,color1,color1,color1,color1,color2,color2,
311                 color2,color2,color1,color3,color3,color3,color3,color3]
312 label_markers = ["D","D","D","D","D","D","D",
313                  "s","s","s","s","s","s","s","s","s"]
314
315 # Train, plot and save SOM
316 save_path = "C:/Users/Jason/Documents/SOM7-"
317 train_and_plot_SOM(save_path, animal_inputs, animal_labels,
318                    label_colors, label_markers)

```