

PREDICTING PHONON PROPERTIES FROM AB-INITIO MOLECULAR DYNAMICS AND NORMAL MODE DECOMPOSITION

Jason Larkin¹, Alex Massicotte¹, Alan J.H. McGaughey¹, Wissam A. Al-Saidi² ¹Department of Mechanical Engineering, Carnegie Mellon University, ²Department of Chemistry, University of Pittsburgh Supported by AFSOR FA95501010098 (2010 YIP)

Background

- Thermal conductivity of Si (dielectric) is determined by phonons:

$$\kappa = \frac{1}{V} \sum_{i} c_{v}(\omega_{i}) v_{g}^{2}(\omega_{i}) \tau_{i}(\omega_{i})$$

- Classical molecular dynamics simulation over-predicts experiment at 300K:

$$\kappa_{SW} \sim 400 \text{W/m} - \text{K}^1$$

$$\kappa_{EXP} \sim 130 \text{W/m} - \text{K}$$

- Ab-Initio molecular dynamics can model Silicon accurately to measure phonon properties:

$$\omega_i, \tau_i(\omega_i), v_g(\omega_i)$$

-Phonon lifetime/mean free path:

$$\Lambda_{i}(\omega_{i}) = \tau_{i}(\omega_{i}) | v_{g}(\omega_{i}) |$$

$$\tilde{g}_{i}$$

$$\tilde{g}_{$$

Normal Mode Decomposition

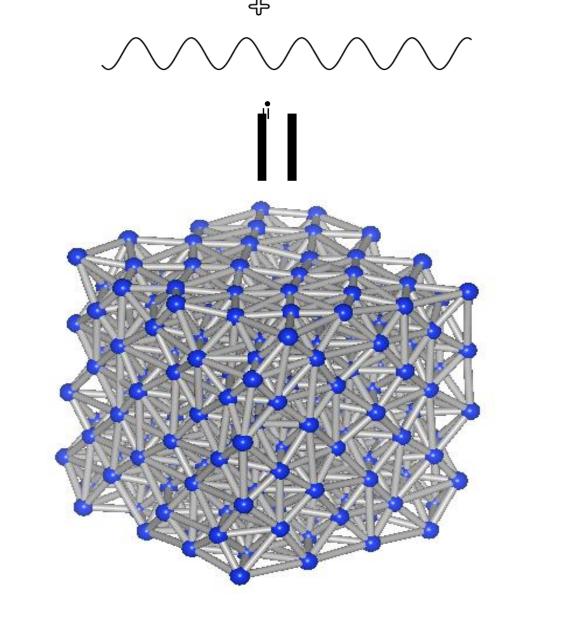
- Atomic motion is a superposition of normal modes:

$$\dot{u}_{\alpha}\binom{l}{b};t = \frac{1}{\sqrt{Nm_{b}}} \sum_{\kappa',\nu} e^{\binom{\kappa'b}{\nu\alpha}} \exp\left[i\mathbf{\kappa} \cdot \mathbf{r}_{0}\binom{l}{0}\right] \dot{q}\binom{\kappa'}{\nu};t$$

$$\dot{H}\Psi = \left[\sum_{i}^{N} -\frac{\hbar^{2}}{2m} \nabla_{i}^{2} + \sum_{i}^{N} V(\vec{r}_{i}) + \sum_{i < j}^{N} U(\vec{r}_{i}, \vec{r}_{j})\right] \Psi = E\Psi$$

NEED:

- -Equilibrium positions
- Eigenvectors from Lattice Dynamics
- Velocities from Molecular Dynamics



- Map atomic motion onto normal modes:

$$\left|\dot{q}\binom{\kappa}{\nu};t\right| = \sum_{\alpha,b,l}^{3,n,N} \sqrt{\frac{m_b}{N}} \dot{u}_{\alpha}\binom{l}{b};t e^*\binom{\kappa b}{\nu \alpha} \exp\left[i\mathbf{\kappa}\cdot\mathbf{r}_0\binom{l}{0}\right] \right| \psi_{nk}(\vec{r}) \propto \exp(-\alpha\vec{r}^2) \propto \sum_{k} C_k \exp(i\vec{k}\cdot\vec{r})$$

- Power spectrum of normal modes:

$$\Phi\begin{pmatrix} \kappa \\ \nu; \omega \end{pmatrix} = \left| \int_{-\infty}^{\infty} \dot{q} \begin{pmatrix} \kappa \\ \nu; t \end{pmatrix} \exp(i\omega t) dt \right|^{2}$$

$$\tau_{i}(\omega_{i}) = 1/\Gamma_{i}$$

$$\tau_{i}(\omega_{i}) = 1/\Gamma_{i}$$

$$\sigma_{i}(\omega_{i}) = 1/\Gamma_{i}$$

$$\sigma_{i}(\omega_{i}) = 1/\Gamma_{i}$$

$$\sigma_{i}(\omega_{i}) = 1/\Gamma_{i}$$

Normal Mode Spectral Energy is Lorentzian with peak at phonon frequency.

Ab-Initio Molecular Dynamics

Density Functional Theory:

$$\hat{H}\Psi = \left[\sum_i^N -\frac{\hbar^2}{2m}\nabla_i^2 + \sum_i^N V(\vec{r_i}) + \sum_{i < j}^N U(\vec{r_i}, \vec{r_j})\right]\Psi = E\Psi$$

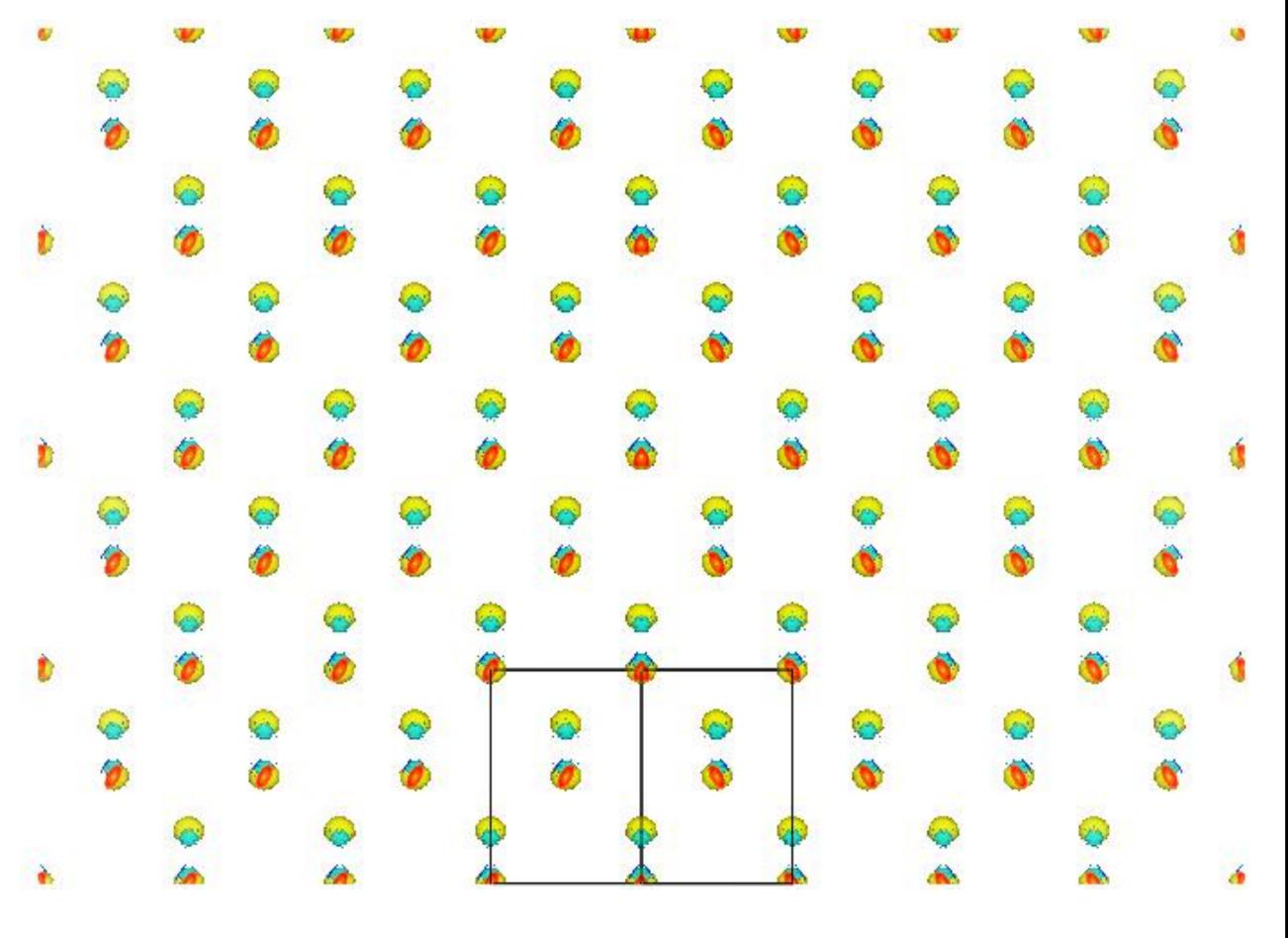
Molecular Dynamics:

$$\vec{F} = m\vec{a} = -\nabla E = \left\langle \psi \mid \frac{\partial \hat{H}}{\partial \vec{R}} \mid \psi \right\rangle$$

CP2K²:

- Used 128 CPU on Diamond and Garnet
- Mixed Gaussian and Plane Wave code:

$$\psi_{nk}(\vec{r}) \propto \exp(-\alpha \vec{r}^2) \propto \sum_{k} C_k \exp(i\vec{k} \cdot \vec{r})$$



Si electronic kinetic energy density calculated using Density **Functional Theory**

²http://cp2k.berlios.de/

Simulation Expense

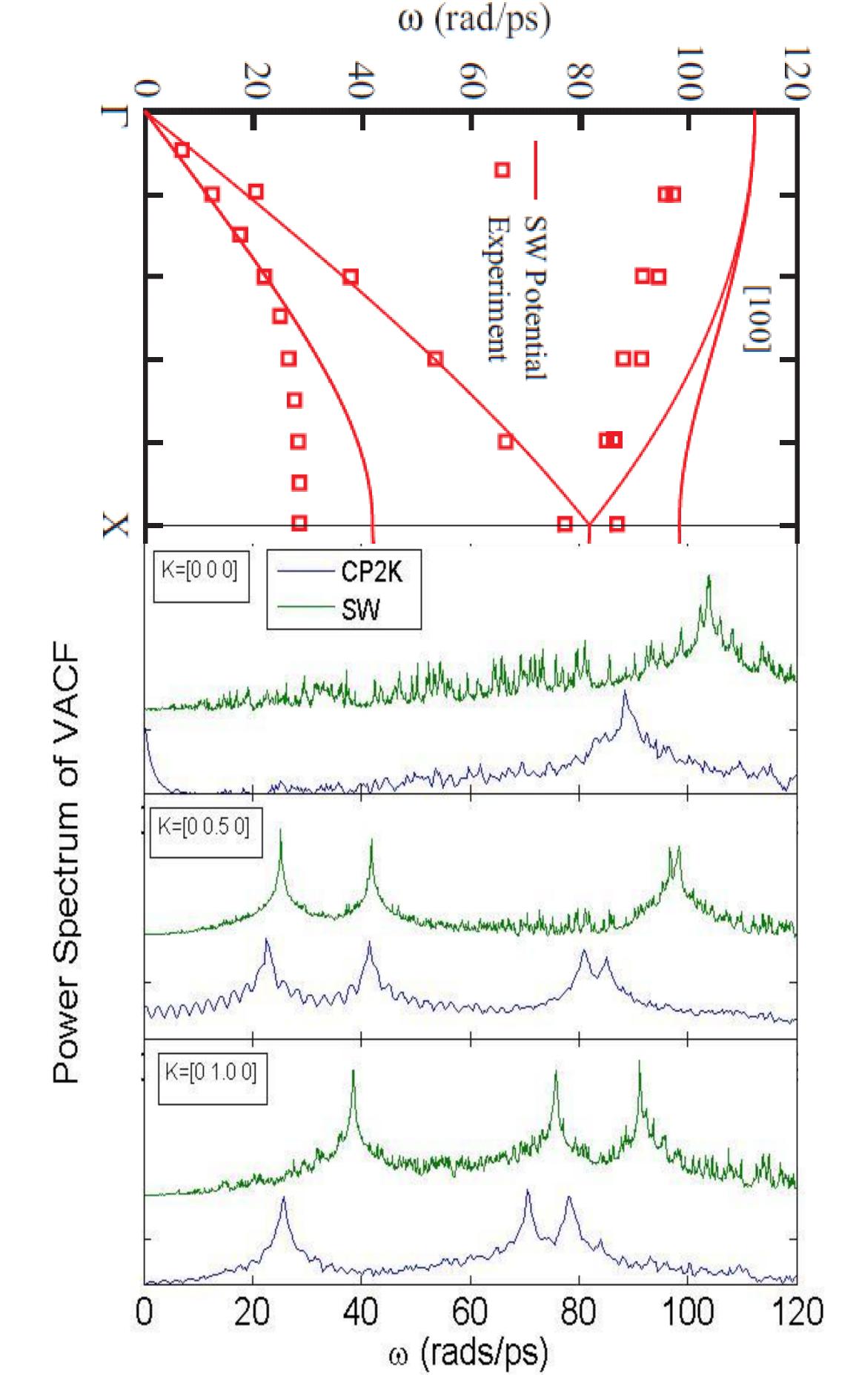
CP2K

- 3 steps/min/128 cpu =
- 0.023 steps/min/cpu

Stillinger-Weber

- •6E4 steps/min/4 cpu =
- 15000 steps/min/cpu

Power Spectrum



Vibrational power spectrum for Si from CP2K and

Stillinger-Weber with matching dispersion curve.

¹E. S. Landry, Ph.D. Thesis, Carnegie Mellon University 2009

Frequency, $\omega(\kappa, \nu)$ (THz)