

**WORD-LEVEL ABSTRACTION FROM COMBINATIONAL
CIRCUITS USING ALGEBRAIC GEOMETRY**

by

Tim Pruss

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THE UNIVERSITY OF UTAH GRADUATE SCHOOL

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Tim Pruss

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Date

Priyank Kalla
Chair, Supervisory Committee

Approved for the Major Department

Gianluca Lazzi
Chair/Dean

Approved for the Graduate Council

David Kieda
Dean of The Graduate School

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ABSTRACT

Abstraction plays an important role in digital design, analysis, and verification, as it allows for the refinement of functions through different levels of conceptualization. This dissertation introduces a new method to compute a symbolic, canonical, word-level abstraction of the function implemented by a combinational logic circuit. This abstraction provides a representation of the function as a *polynomial* $Z = F(A)$ over the Galois field \mathbb{F}_{2^k} , expressed over the k -bit input to the circuit, A . This representation is easily utilized for formal verification (equivalence checking) of combinational circuits.

The approach to abstraction is based upon concepts from commutative algebra and algebraic geometry, notably the Gröbner basis theory. It is shown that the polynomial $F(A)$ can be derived *by computing a Gröbner basis of the polynomials* corresponding to the circuit, using a specific elimination term order based on the circuits topology. However, computing Gröbner bases using elimination term orders is infeasible for large circuits. To overcome these limitations, this work introduces *an efficient symbolic computation* to derive the word-level polynomial. The presented algorithms exploit i) the structure of the circuit, ii) the properties of Gröbner bases, iii) characteristics of Galois fields \mathbb{F}_{2^k} , and iv) modern algorithms from symbolic computation.

While the concept is applicable to any arbitrary combinational logic circuit, it is particularly powerful in verification and equivalence checking of hierarchical, custom-designed and structurally dissimilar Galois field arithmetic circuits. In most applications, the field size and the data-path size k in the circuits is very large, up to 1024 bits.

The proposed abstraction procedure can exploit the hierarchy of the given Galois field arithmetic circuits. A custom abstraction tool is designed to efficiently implement the abstraction procedure. Preliminary experiments show that, using the proposed approach, our tool can abstract and verify Galois field arithmetic circuits *up to 1024 bits in size*. Contemporary techniques fail to verify these types of circuits beyond 163 bits and cannot abstract a canonical representation beyond 32 bits.

CHAPTER 1

INTRODUCTION

There is an ever-increasing need for secure communication within information technology. Security of sensitive information relies more and more heavily on encryption methodologies implemented in hardware by cryptographic circuits. One of the most prominent of these methodologies is Elliptical Curve Cryptography (*ECC*), which provides more strength per encryption bit than other encryption methodologies. The main building blocks of *ECC* hardware implementations are fast, *custom-built Galois field arithmetic circuits*. These circuits are notoriously hard to verify, yet their correctness is vitally important in critical applications. In [1], for example, it is shown that a bug in the hardware could lead to the full leakage of the secret cryptographic key, which could compromise the entire system. Thus, formal verification is imperative in Electronic Design Automation (*EDA*) when dealing with cryptographic circuits.

To facilitate this verification, it is highly desirable to obtain a *word-level representation of the datapath of the ECC arithmetic block* from its bit-level implementation. Ideally, this abstraction should be *canonical*, as this allows the it to be directly applicable to equivalence checking. Such a canonical, word-level abstraction of the Galois field arithmetic block would not only make it easier to verify and reason about the cryptographic system as a whole, but also enable the use of higher level abstraction and synthesis tools. As arithmetic circuits are custom-designed, often modularly, using Galois field arithmetic blocks, the abstraction should also exploit the hierarchical nature of the circuitry. Due to the modular circuit structure, abstraction of each arithmetic block becomes the key in verification of the full circuit. Practical applications of *ECC* dictate a datapath of a minimum of 163-bits, up to 571-bits, as designated by the National Institute for Standards and Technology (NIST). However abstraction of Galois field arithmetic

circuits has been infeasible for data-paths beyond 16 bits.

This dissertation proposes an algebraic geometry based approach to abstract canonical, word-level representations of bit-level Galois field arithmetic circuits. The approach is able to abstract representations for circuits up to 571 bits in size, which is the largest NIST standard for datapath size in ECC. Verification of circuits for which this abstraction has been computed is shown to be trivial; thus, the focus is on deriving the abstraction quickly and efficiently.

1.1 Hardware Design and Verification Overview

The typical design flow of a hardware system, as shown in Fig. 1.1, starts with a hardware system specification, which describes the necessary functions and parameters that the system must perform and adhere to. The specification is typically modelled using a transaction-level model (*TLM*), which describes communication details between large circuit modules. The TLM is then translated into a register-transfer-level (*RTL*) description, which is composed of abstracted, interconnected circuit blocks that compose the entire system. RTL is typically implemented in hardware description languages (*HDL*) such as Verilog and VHDL, which are the most popular choices in the industry. Next, the RTL is optimized and converted into a *netlist*, i.e. a large collection of small physical blocks (MOSFET, Boolean logic gates, etc.) and the inter-connections (wires) between them. Lastly, the netlist is further optimized and then mapped onto a physical space on a chip, which is then sent off for fabrication. This entire design flow is automated by Computer-Aided Design (*CAD*) tools.

When moving from one abstraction level of the hardware design process to the next, an important issue arises: how can one ensure that the functionality of the optimized design matches original spec? Bugs in hardware design which are not caught early can have costly effects later, such as the need for a redesign. Bugs in arithmetic circuits can be especially catastrophic. One infamous example is the 1994 floating point division (FDIV) bug that affected the Intel Pentium chip [2], and subsequently cost the company \$475 million because it was discovered after the chip's release. In another more fatal case, during the Gulf war, an American Patriot Missile battery failed to intercept an

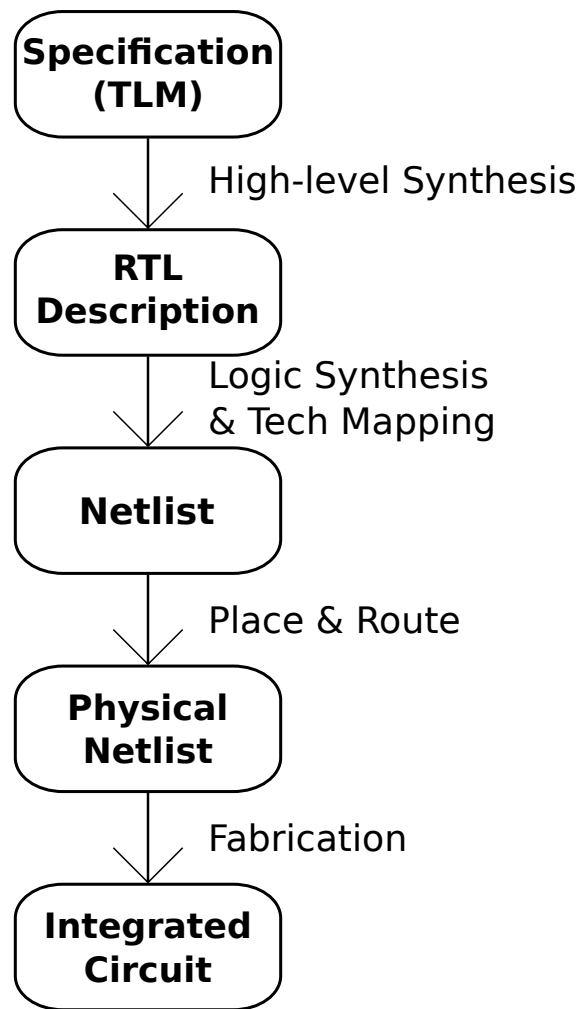


Figure 1.1: Typical hardware design flow.

incoming enemy missile due to an arithmetic error [3]. Since hardware bugs can have significant consequences, there has been extensive work in field of hardware verification to find and eliminate bugs prior to fabrication.

The two main methodologies used in hardware verification are simulation and formal verification. *Simulation* checks correctness by applying exhaustive assignments to the circuit inputs and verifying correctness of the output. This ensures that the circuit performs as designed under all possible inputs. Such exhaustive testing is quite effective for smaller circuits. However, as the size of the circuit increases, it becomes computationally infeasible to simulate all possible test vectors. This is the case with Galois field arithmetic circuits, which are commonly very large in real-world applications. Often for such

large circuits, simulations of a smaller and more manageable subset of test vectors are employed to catch bugs. While these tests can increase confidence in the correctness of the design, *they do not guarantee correctness* since every data-flow of the design hasn't been analyzed.

1.2 Formal Verification

Instead of simulating input vectors, *formal verification* utilizes mathematical theory to reason about the correctness of hardware designs. Formal verification has two main forms: property checking and equivalence checking.

Property checking (or property verification) verifies that a design satisfies certain given properties. Property checking is done mainly in the form of theorem proving, model checking, or approaches which combine the two.

1. *Theorem proving* [4] requires the existence of mathematical descriptors of the specification and implementation of the circuit. Theorem provers apply mathematical rules to these descriptors to derive new properties of the specification. In this way, the tool can reduce a proof goal to simpler sub-goals, which can be automatically verified. However, generating the initial proof-goal requires extensive guidance from the user, so there is an overall lack of automation in theorem proving.
2. *Model checking* [5] is an approach to verifying finite-state systems where specification properties are modeled as a system of logic formulas. The design is then traversed to check if the properties hold. If the design is found to violate a particular property, a counter-example is generated which exercises the incorrect behavior in the design. Such counter-examples allow the designer to trace the behavior and find where the error in the design lies. Modern model checking techniques use the result to automatically refine the system and perform further checking. These tools are typically automated, and thus have found widespread use in CAD tool suites.

Equivalence Checking verifies that two different representations of a circuit design have equivalent functionality. An example of equivalence checking as it applies to the hardware design flow is shown in Fig. 1.2.

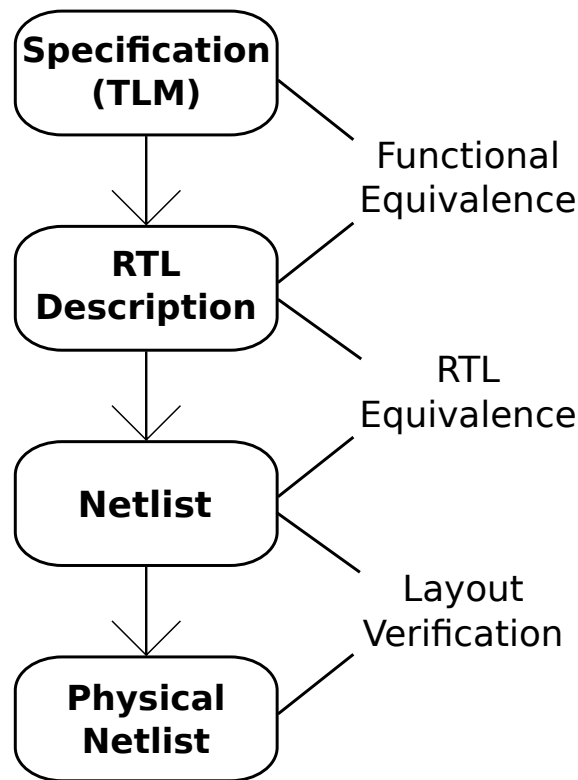


Figure 1.2: Equivalence checking as applied to the hardware design flow.

There are two major equivalence checking techniques: graph-based and satisfiability-based.

1. *Graph-based* techniques construct a canonical graph representation, such as a Binary Decision Diagram (*BDD*) or one of its many variants, of each circuit. A linear comparison is then conducted to determine whether the two graphs are isomorphic. Since the graph representation is canonical, the graphs of the two circuits will be equivalent if and only if the circuits perform the same function.
2. *Satisfiability* techniques construct a miter of the two circuits, typically in a graph such as an And-Inverter graph (*AIG*). A *miter* is a combination of the two circuits with one bit-level output, which is only in a "1" state when the outputs of the circuits differ given the same given input, as shown in Fig. 1.3. A satisfiability (*SAT*) tool [6] is then employed to simplify the graph and find a solution to the miter, i.e. find an input for which the miter output is "1". If a solution is found,

this solution acts as a counter-example of when the circuit outputs differ; otherwise the circuits are functionally equivalent.

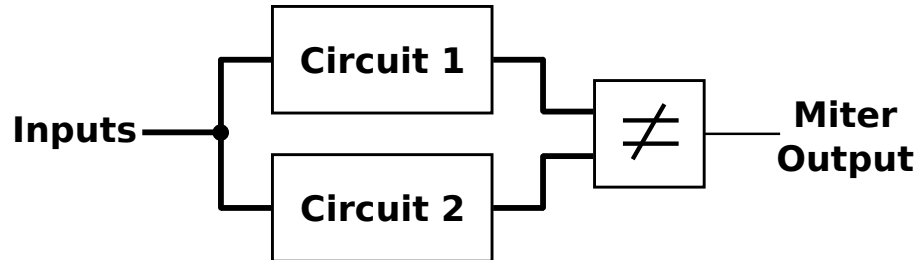


Figure 1.3: A miter of two circuits.

Certain formal verification methods use *computer-algebra* and *algebraic geometry* techniques based on mathematical theories. Unlike SAT-based verification, modern algebraic geometry techniques do not explicitly solve the constraints to find a solution; rather, they reason about the presence or absence of solutions, or explore the geometry of the solutions. These methods [7] [8] [9] transform the circuit design into a polynomial system. Typically, this system of polynomials is then used to compute a Gröbner basis [10]. Computation of Gröbner bases allows for the easy deduction of important properties of a polynomial system, such as the presence or absence of solutions. These properties are then leveraged to perform verification. Unfortunately, such a computation has been shown to be doubly exponential in the worst case, and thus these methods have not been practical for real-world applications. However, recent breakthroughs in computer-algebra hardware verification have shown that it is possible to overcome the complexity of this computation while still utilizing the beneficial properties of a Gröbner bases [11].

1.3 Importance of Word-level Abstraction

Most formal verification techniques can benefit from word-level abstractions of the circuits they verify. Abstraction is defined as state-space reduction, i.e. abstraction reduces state-space by mapping the set of states of a system to a smaller set of states. Because the new representation contains fewer states, it is easier to comprehend and thus

easier to use. Word-level abstraction focuses specifically on abstracting a word-level representation of a circuit out of a bit-level representation. For example, a bit-level representation of an integer multiplier is represented by a collection of Boolean inputs and outputs, whereas a word-level abstraction hides the underlying logic and represents the circuit as two integer inputs and one integer output, e.g. $Z = A \cdot B$. As the bit-size of the multiplier increases, the logical implementation of the multiplier grows (typically exponentially) while the word-level abstraction stays the same.

Word-level abstractions have a wide variety applications in formal verification. Theorem proving techniques can leverage abstraction as an automatic decision procedure or as a canonical reduction engine. For example, since RTL is composed of circuit blocks that represent the underlying circuit, RTL verification methods can exploit abstractions of these blocks. This is seen in the following RTL verification methods:

- Model checking [12], where an approximation abstraction of RTL blocks is generated and then refined.
- Graph-based equivalence checking [13] [14], where abstraction methods are used to generate a canonical word-level graph representation of the circuit.
- Satisfiability-based equivalence checking [15], where abstractions are used identify symmetries and similarities in order to minimize the amount of logic that is sent to the SAT tool.

Other equivalence checking techniques that employ abstractions include satisfiability modulo theory (*SMT*) techniques [16] [17], which are similar to SAT except they operate on higher-level data structures (integers, reals, bit vectors, etc.), as well as constraint solving techniques [18] [19]. In general, RTL equivalence checking approaches would ideally maintain a high-level of abstraction while still retaining sufficient lower-level functional details (such as bit-vector size, precision, etc) [20].

Word-level hardware abstractions also have applications in RTL and datapath synthesis [21] [22] [23]. Abstractions of circuits allow for design reuse, which allows for tool-automated synthesis of larger circuit blocks. Since hardware design specifications tend to be word-level, synthesis tools can use these larger circuit blocks to generate and

optimize the datapaths and create the RTL of the system. Thus, in order for a circuit to be used by these automated synthesis tools, its word-level abstraction must be known.

Finally, abstractions can also be applied to detect malicious modifications to a circuit, potentially inserted as a hardware trojan horse. Hardware trojans, a relatively new security concern in the hardware industry, use certain techniques to add incorrect behavior to a design. This behavior is only activated under certain rare circumstances that only the mal-intent designer has knowledge of. The behavior is purposely hidden and is very difficult to encounter during simulation of the design. A manufactured chip with a subsystem that contains a hardware trojan could compromise the entire system in which it is used. In some hardware trojan cases, formal verification techniques may be applied to catch a bug in a design and provide a counter-example which exercises it. However, it can be difficult to tell whether the bug in the design was introduced intentionally or not. On the other hand, word-level abstractions of bit-level circuits *effectively reverse-engineer the true function implemented by the circuit*, which could be used to determine the designer's true intention.

1.4 Dissertation Objective, Motivation, and Contributions

This dissertation focuses on abstracting a canonical, word-level representation of hardware (bit-level) implementations of combinational circuits. The proposed technique is a full abstraction solution which can be applied to any arbitrary acyclic combinational circuit. It is particularly efficient when applied to Galois field arithmetic circuits. Using this technique, if the abstraction of the circuit's implementation and its specification are found, they can be easily compared to determine equivalence. Implementation of a custom software tool, developed to compute the abstractions, is also described.

1.4.1 Motivating Application

The motivation for this work comes from applications of Galois field arithmetic circuits in elliptical curve cryptography (ECC) hardware systems. The main operations of encryption, decryption, and authentication in ECC rely on operations performed on elliptic curves, which are implemented in hardware as polynomial functions over Galois fields. To be applicable in real-world situations, ECC data-paths should be a minimum

of 163-bits wide, which is the minimum NIST standard, up to a recommended size of 571-bit operand widths. Many non-ECC cryptosystems have datapaths on the order of 1000-bits.

A Galois field arithmetic circuit with a datapath size of k is built as a Boolean function: $\mathbb{B}^k \rightarrow \mathbb{B}^k$. This function is mapped to an operation $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$ over the Galois field \mathbb{F}_{2^k} . These circuits are custom-built, modular systems which cannot be synthesized due to their complex nature. Thus, formal verification is needed to ensure they operate correctly.

Recent computer-algebra based formal verification techniques have been able to perform verification of Galois field arithmetic circuits with a datapath size up to 163-bits [11]. Word-level abstractions of Galois field arithmetic circuits could be used to further improve these formal verification techniques to allow for verification of larger circuits, as well as provide the other benefits of word-level abstraction. However, there is currently no technique for computing word-level abstractions of Galois field circuits of any practical size.

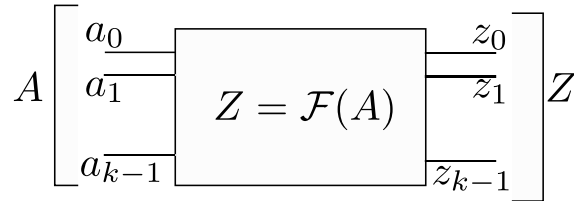


Figure 1.4: Circuit with k -bit input A and k -bit output Z . Abstraction to be derived as $Z = \mathcal{F}(A)$.

While the motivation comes from the need to verify Galois field arithmetic circuits, the presented approach can be generalized to be applicable to any combinational acyclic circuit. Any such circuit with a k -bit input A and a k -bit output Z , such as the one shown in Fig. 1.4, computes $f : \mathbb{B}^k \rightarrow \mathbb{B}^k$ and can thus be analyzed as the function $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$. Over \mathbb{F}_{2^k} this function can be represented as the polynomial $Z = \mathcal{F}(A)$. This is trivially generalized when there are multiple k -bit inputs A_1, A_2, \dots, A_i , i.e. $Z = \mathcal{F}(A_1, \dots, A_i)$. Now assume the word-size of the input differs from the output, that is the circuit computes $f : \mathbb{B}^m \rightarrow \mathbb{B}^n$ for $m \neq n$. This can be represented as a function

over Galois fields as $f : \mathbb{F}_{2^m} \rightarrow \mathbb{F}_{2^n}$. This function can be analyzed over the field \mathbb{F}_{2^k} such that $\mathbb{F}_{2^k} \supset \mathbb{F}_{2^m}$ and $\mathbb{F}_{2^k} \supset \mathbb{F}_{2^n}$, where $k = LCM(m, n)$.

1.4.2 Dissertation Contributions

To solve the problem of word-level abstraction, this dissertation proposes a full solution consisting of three main contributions.

1. A theory for finding the word-level abstraction from a bit-level circuit over Galois fields is created. The given bit-level circuit implementation is modelled as a system of polynomials over the field. This theory is derived using techniques from computer-algebra, notably the theory of Gröbner basis [24].
2. Using this theory, new algorithms based on symbolic computation are developed to derive the word-level abstraction. The algorithms are designed to be applicable to industry-size arithmetic circuits over Galois fields [25] [26]. A complexity analysis of the algorithmic approach is also presented. Furthermore, the approach is also generalized to make it applicable to arbitrary combinational circuits. Finally, we show how the approach can be used to exploit the hierarchical structure of large Galois field multipliers designed over composite fields.
3. A custom software tool implementation of the algorithmic approach is described, including an analysis of efficient data structures designed for this purpose [27].

Experiments show that the proposed solution can abstract canonical, word-level, polynomial representations of Galois field arithmetic circuits up to 1024-bits in size, while other contemporary approaches are infeasible beyond a 32-bit designs.

1.5 Dissertation Organization

The rest of this dissertation is organized as follows. Chapter 2 reviews previous applicable work and highlights their drawbacks with respect to the canonical, word-level abstraction problem. Chapter 3 describes the properties of Galois fields, \mathbb{F}_{2^k} , and explains the process of constructing them. It also describes how to design arithmetic circuits over such fields, their complexities, and the role of these circuits in Elliptic

Curve Cryptography. Chapter 4 provides a theoretical background of computer-algebra and Gröbner bases and explains their application to Galois fields. Chapter ?? describes an approach to abstract word-level polynomial representations of combinational circuits using a Gröbner basis computation. Chapter ?? improves on this word-level abstraction approach to make it applicable to much larger circuits. Chapter ?? generalizes the abstraction approach to make it applicable to circuits with varying operand word-lengths. It also describes how the approach can take advantage of the hierarchy of arithmetic circuits designed over composite fields. Chapter ?? describes the implementation details of a custom abstraction tool and gives experimental results of abstracting large Galois field multiplier circuits. Chapter 6 concludes the dissertation and outlines potential future research for continuation of this work.

CHAPTER 2

PREVIOUS WORK

This chapter covers previous work in the area of canonical representations of functions, word-level abstractions and their application to design verification. Since the application of our approach is targeted towards formal equivalence verification, modern combinational equivalence checking techniques are also reviewed. Finally, formal verification techniques using computer algebra, algebraic geometry, and polynomial interpolation are also considered.

2.1 Canonical Decision Diagrams

Canonical representations of Boolean functions have been the subject of extensive investigation for logic synthesis and design verification. The Reduced Ordered Binary Decision Diagram (ROBDD) [28] was the first significant contribution in this area. Efficient implementation of ROBDDs as a software package [29] allowed for efficient formal verification of combinational and sequential circuits. ROBDDs represent a Boolean function as an implicit set of points on a canonical directed acyclic graph (DAG). Manipulation of Boolean functions can then be carried out as composition operations on their respective DAGs. The decomposition principle behind BDDs is one of Shannon's expansion, i.e.

$$f(x, y, \dots) = xf_x + x'f_{x'} \quad (2.1)$$

where $f_x = f(x = 1)$ and $f_{x'} = f(x = 0)$ denote the positive and negative co-factors of f w.r.t. x , respectively. Motivated by the success of BDDs, variants of the Shannon's decomposition principle (Davio, Reed-Muller, etc.) were explored to develop other functional decision diagrams. For example, the AND-OR-NOT logic based Shannon's expansion is transformed into an AND-XOR logic based decomposition, termed as the Davio's decomposition:

$$f(x, y, \dots) = xf_x + x'f_{x'} \quad (2.2)$$

$$= xf_x \oplus x'f_{x'} \quad (2.3)$$

$$= xf_x \oplus (1 \oplus x)f_{x'} \quad (2.4)$$

$$= f_{x'} \oplus x(f_x \oplus f_{x'}) \quad (2.5)$$

Decision diagrams based on such decompositions include FDDs [30], ADDs [31], MTBDDs [32], and their hybrid edge-valued counterparts, HDDs [33] and EVBDDs [34]. While these are referred to as *Word-Level Decision Diagrams* [13], the decomposition is still point-wise, binary, w.r.t. each Boolean variable. These representations do not serve the purpose of word-level abstraction from bit-level representations.

Binary Moment Diagrams (BMDs) [35], and its derivatives K*BMDs [36] and *PHDDs [37], depart from the Boolean decomposition and perform the decomposition of a *linear* function based on its two moments. BMDs provide a compact representation for integer arithmetic circuits such as multipliers and squarers. However, these are inapplicable to word-level abstraction of modulo-arithmetic circuits over Galois fields.

Taylor Expansion Diagrams (TEDs) [38] are a word-level canonical representation of a *polynomial expression*, based on the Taylor's series expansion of a polynomial. However, they do not represent a *polynomial function* canonically. For example, $f_1 = 0$ and $f_2 = 2x^2 - 2x \pmod{4}$ are two different polynomial representations of the zero function over \mathbb{Z}_4 ; but they are symbolically different polynomials and they have non-isomorphic TED DAGs. While [39] and [40] provide canonical representations of polynomial functions, they do so over finite integer rings \mathbb{Z}_{2^k} and not over Galois fields \mathbb{F}_{2^k} .

MODDs [41] [42] are a DAG representation of the characteristic function of a circuit over Galois fields \mathbb{F}_{2^k} . MODDs come very close to satisfying our requirements as a canonical word-level representation that can be employed over Galois fields, as it essentially interpolates a polynomial from the characteristic function. However, MODDs do not scale very well for large circuits — this is because every node in the DAG can have up to k children and the normalization operations are very complicated for MODDs.

They also suffer from the size explosion problem during intermediate computations. They are known to be infeasible in representing functions over 32-bit operand words.

2.2 Word-Level Techniques in RTL Synthesis and Verification

Other attempts to derive high-level representations of functions, along with associated decision procedures, can be found in the rich domain of formal model checking [43] [44], theorem proving [14], bit-vector SMT-solvers [16] [45] [46] [47], automated decision procedures for Presburger arithmetic [48] [49], algebraic manipulation techniques [50], or the ones based on term re-writing [51], etc. Polynomial, integer and other non-linear representations have also been researched: Difference Decision Diagrams (DDD) [52] [53], interval diagrams [54], interval analysis using polynomials [55], etc. Most of these have found application in constraint satisfaction for simulation-based validation: [56] [57] [15] [58] [59] [47]. Among these, [58] [59] [47] have been used to *solve* integer modular arithmetic on linear expressions - a different application from *representing* finite field modulo-arithmetic on polynomials in a canonical form.

2.3 Combinational Equivalence Checking

The verification problem addressed in this dissertation is a manifestation of the combinational equivalence checking (CEC) problem, where the specification (polynomial) and the implementation (circuit) are custom-designed, structurally very dissimilar circuits. To make use of contemporary gate-level CEC tools, we can take the specification circuit (“golden model”) and check its equivalence against the implementation circuit. Canonical decision diagrams (BDDs [28] and their word-level variants [13]), And-Invert-Graph (AIG) based reductions [60] [61], circuit-SAT solvers [6], etc., are among the many techniques that can be employed for this CEC. When one circuit is synthesized from the other, this problem can be efficiently solved using AIG-based reductions (e.g. the ABC tool [62]) and circuit-SAT solvers (e.g., CSAT [6]). Synthesized circuits generally contain many sub-circuit equivalences which AIG and CSAT based tools can identify and exploit for verification. However, when the circuits are functionally equivalent but structurally very dissimilar (e.g., Mastrovito [63] versus Montgomery implementations [64] of Galois field circuits), none of the contemporary techniques,

including ABC and CSAT, offer a practical solution. Automatic formal verification of large *custom-designed modulo-arithmetic circuits* largely remains unsolved today.

This verification problem is very hard for SAT solvers and also for quantifier-free bit-vector (QF-BV) theory based SMT-solvers, due to the large circuit size, and the presence of AND-XOR-SHIFT structures. Similarly, the Cryptol tool-set [65] also employs AIG-based reductions (SAT-sweeping) and SAT/SMT-solvers for verification of crypto-protocols. For applications where AIGs/SAT/SMT-techniques fail, the *Cryptol* tool-set also does not deliver. As shown in [11], *none of BDDs, SAT, SMT solvers, nor the ABC tool can prove design equivalence beyond 16-bit circuits.*

In [66], the authors present a method for verification of integer multipliers using a data-flow approach. This work abstracts a polynomial function of the given multiplier and then solves the network flow problem using algebraic techniques. However, the abstraction is solely bit-level, and is thus not applicable to deriving a word-level representation of a given design.

2.4 Verification of Galois Field Circuits

Symbolic computer algebra techniques have been employed for formal verification of circuits over \mathbb{Z}_{2^k} and also over Galois fields \mathbb{F}_{2^k} . The work of [67] shows how to use Gröbner basis techniques to count the zeros of an ideal J over \mathbb{F}_q (i.e. count $V_{\mathbb{F}_q}(J)$). The authors then follow-up with an approach for *quantifier elimination* over Galois fields \mathbb{F}_q [68]. However, computing a Gröbner basis is computationally expensive. While these works present the proper theory and algorithms, efficiency/improvements to the Gröbner basis computation is not addressed. This is also the case with other general verification techniques using Gröbner bases [7] [9] [69], etc.

In [70] [71] [72], the authors present the BLUEVERI tool from IBM for verification of Galois field circuits for error correcting codes against an algorithmic spec. The implementation consists of a set of (pre-designed and verified) circuit blocks that are interconnected to form the error correcting system. The spec is given as a set of design constraints on a “check file”. Their objective is to prove the equivalence of the implementation against this check file. They model the verification instance as a data-flow graph,

represent each sub-circuit block with its known (word-level) polynomial over \mathbb{F}_q , and formulate the verification problem using the *Weak Nullstellensatz* — i.e. to check if the *variety* of the algebraic system “*spec* \neq *implementation*” is empty for which they employ a Nullstellensatz formulation. Their main contributions are: i) a “term re-writing” to specify the algorithmic description using polynomials (ideal); and ii) integrating an AIG-style [60] Boolean solver with their word-level decision procedure, with lazy signal computations and Boolean reasoning. For final verification, the polynomial system is given to a computer algebra tool (SINGULAR [73]) to *compute* a reduced Gröbner basis. However, improvements to the core Gröbner basis computational engine are not the subject of their work.

In [11] [74] [75] [76] [77], *Lv et al.* present computer algebra techniques for formal verification of Galois field arithmetic circuits. Given a specification polynomial f , and a circuit C , they formulate the verification problems as an ideal membership test using the Strong Nullstellensatz and Gröbner bases. In [75], the authors show that for any combinational circuit, *there exists a term order $>_1$ that renders the set of polynomials of the circuit itself a Gröbner basis* — and this term order can be easily derived by performing a topological traversal of the circuit. By exploiting this term order, verification can be significantly scaled to 163-bit (NIST-specified) cryptography circuits. In contrast to the work of [11], we are not given a specification polynomial. Instead, given the circuit C , we want to derive (extract) the word-level specification f . In our work, we borrow and further build upon the results of [67] [68] [75] [11].

2.5 Verification of Integer Arithmetic Circuits using Gröbner Bases

Symbolic computer algebra techniques have been used for verification of integer arithmetic circuits [78] and also for decision procedures over Galois fields [67]. The paper [78] addresses verification of finite precision integer datapath circuits using the concepts of Gröbner bases over the ring \mathbb{Z}_{2^k} . This work models the circuit constraints by way of arithmetic-bit-level (ABL) polynomials ($\{G\}$), and formulates the verification test as an equivalent variety subset problem. This problem is solved by deriving a term order that already makes $\{G\}$ a Gröbner basis, then computing a normal form f of

the specification g w.r.t. $\{G\}$. Circuit correctness is established by testing whether or not f is a vanishing polynomial over \mathbb{Z}_{2^k} [79]. In [80], the authors further show that the vanishing polynomial test can be omitted by formulating the problem directly over $Q := \mathbb{Z}_{2^k}[X]/\langle x^2 - x : x \in X \rangle$. However, in these works, the problem requires that the word-level abstraction of the circuit be known, whereas our approach derives this abstraction polynomial.

2.6 Polynomial Interpolation in Symbolic Computation

The problem of polynomial interpolation is a fundamental problem in symbolic and algebraic computing which finds application in modular algorithms, such as the GCD computation and polynomial factorization. The problem is stated as follows: Given n distinct data points x_1, \dots, x_n , and their evaluations at these points y_1, \dots, y_n , *interpolate* a polynomial $\mathcal{F}(X)$ of degree $n - 1$ (or less) such that $\mathcal{F}(x_i) = y_i$ for $1 \leq i \leq n$. Let t be the number of non-zero terms in \mathcal{F} and let T be the total number of possible terms. When $\frac{t}{T} \ll 1$, the polynomial \mathcal{F} is *sparse*, otherwise it is *dense*. Much of the work in polynomial interpolation addresses sparse interpolation using the “black-box” model (also called the algebraic circuit model) as shown in Fig. 2.1.



Figure 2.1: The black-box or the algebraic circuit representation.

Let \mathcal{F} be a multivariate polynomial in n variables $\{x_1, \dots, x_n\}$, with t non-zero terms ($0 < t < T$), represented with a black-box B . On input (x_1, \dots, x_n) , the black-box evaluates $y_i = \mathcal{F}(x_1, \dots, x_n)$. Given also a degree bound d on \mathcal{F} , the goal is to interpolate the polynomial \mathcal{F} with a minimum number of *probes* to the black-box. The early work of Zippel [81] and Ben-Or/Tiwari [82] require $O(ndt)$ and $O(T \log n)$ probes, respectively, to the black-box. These bounds have since been improved significantly; the recent algorithm of [83] interpolates with $O(nt)$ probes.

Our problem of polynomial abstractions of Galois field circuits falls into the category of dense interpolation, as we require a polynomial that describes the function at each of the q points of the field \mathbb{F}_q . Newton’s interpolation technique, with the black-box model, bounds the number of probes by $(d + 1)^n$ — which exhibits very high complexity. In the logic synthesis area, the work of [84] investigates dense interpolation. Due to this high-complexity, their approach is feasible only for applications over small fields, *e.g.* computing Reed-Muller forms for multi-valued logic over \mathbb{F}_2 .

For our problem, we can also employ the black-box model by replacing the black-box (algebraic circuit) by the given circuit C ; then every *probe* of the black-box would correspond to a *simulation of the circuit*. However, as we desire a polynomial representation of the entire function over the Galois field, exhaustive simulation would be required, which is infeasible.

2.7 Concluding Remarks

For the problem of word-level, canonical, polynomial abstractions of Galois field arithmetic circuits over \mathbb{F}_{2^k} , previous related work is either inapplicable or only applicable to circuits no larger than 32-bits in size. Therefore, we propose a *symbolic approach* to polynomial interpolation from a circuit using the Gröbner basis computation. However, the complexity of a Gröbner basis computation is prohibitively expensive; thus, we propose further improvements to this approach by deriving a smaller subset of computations based on a Gröbner basis analysis. These improvements allow for abstractions of flattened Galois field circuits up to 571-bits, which is the largest NIST standard for ECC, or up to 1024-bits when a hierarchy is given. Furthermore, we propose applications of this approach to allow for formal verification of flattened Galois field circuits up to 1024-bits, where current techniques are only applicable for circuits up to 163-bits.

CHAPTER 3

GALOIS FIELDS PRELIMINARIES AND APPLICATION IN HARDWARE DESIGN

This chapter provides a mathematical background for understanding Galois fields and explains how to design Galois field arithmetic circuits. We first introduce the mathematical concepts of groups, rings, fields, and polynomials. We then apply these concepts to create Galois field arithmetic functions and explain how to map them to a Boolean circuit implementation. The material is referred from [85] [86] [87] for Galois field concepts and [63] [88] [64] [89] [90] for hardware design over Galois fields and previous work by Lv [11].

3.1 Rings, Fields and Polynomials

Definition 3.1 *An abelian group is a set \mathbb{S} with a binary operation '+' which satisfies the following properties:*

- *Closure Law: For every $a, b \in \mathbb{S}$, $a + b \in \mathbb{S}$*
- *Associative Law: For every $a, b, c \in \mathbb{S}$, $(a + b) + c = a + (b + c)$*
- *Commutativity: For every $a, b \in \mathbb{S}$, $a + b = b + a$.*
- *Additive Identity: There is an identity element $0 \in \mathbb{S}$ such that for all $a \in \mathbb{S}$; $a + 0 = a$.*
- *Additive Inverse: If $a \in \mathbb{S}$, then there is an element $a^{-1} \in \mathbb{S}$ such that $a + a^{-1} = 0$.*

The set of integers \mathbb{Z} forms an abelian group under the addition operation.

Definition 3.2 *Given a set \mathbb{R} with two binary operations, '+' and '.', and element $0 \in \mathbb{R}$, the system \mathbb{R} is called a **commutative ring with unity** if the following properties hold:*

- \mathbb{R} forms an abelian group under the '+' operation with additive identity element 0.
- **Multiplicative Distributive Law:** For all $a, b, c \in \mathbb{R}$, $a \cdot (b + c) = a \cdot b + a \cdot c$.
- **Multiplicative Associative Law:** For every $a, b, c \in \mathbb{R}$, $a \cdot (b \cdot c) = (a \cdot b) \cdot c$.
- **Multiplicative Commutative Law:** For every $a, b \in \mathbb{R}$, $a \cdot b = b \cdot a$
- **Identity Element:** There exists an element $1 \in \mathbb{R}$ such that for all $a \in \mathbb{R}$, $a \cdot 1 = a = 1 \cdot a$

For the purpose of this dissertation, any time we refer to a **ring**, we are specifically referring to a **commutative ring with unity**. Two common examples of such rings are the set of integers, \mathbb{Z} , and the set of rational numbers, \mathbb{Q} . Note that while both of these examples are rings with an infinite number of elements, the number of elements in a ring can also be finite.

Definition 3.3 The **modular number system** with base n is a set of positive integers $Z_n = \{0, 1, \dots, n-1\}$, with the two operations $+$ and \cdot satisfying the properties below:

$$\begin{aligned} (a + b) \pmod{n} &\equiv ((a \pmod{n}) + (b \pmod{n})) \pmod{n} \\ (a \cdot b) \pmod{n} &\equiv ((a \pmod{n}) \cdot (b \pmod{n})) \pmod{n} \\ (-a) \pmod{n} &\equiv (n - a) \pmod{n} \end{aligned}$$

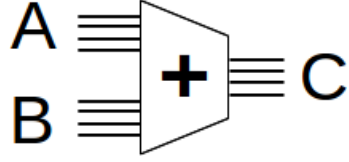
Example 3.1 The set $Z_8 = \{0, 1, \dots, 7\}$ denotes the modular number system with base 8. Examples of some operations performed $\pmod{8}$ are:

$$\begin{aligned} 3 + 6 &= 9 \pmod{8} = 1 \\ 3 \cdot 6 &= 18 \pmod{8} = 2 \\ (-3) &= 8 - 3 \pmod{8} = 5 \end{aligned}$$

The modular number system $Z_n = \{0, 1, \dots, n-1\}$, where n is a positive integer, forms a ring. Since this type of ring contains a finite number of elements n , it is termed a

finite integer ring, where addition and multiplication are computed *modulo* $n \pmod{n}$. In hardware applications, arithmetic over k -bit vectors manifests itself as algebra over the finite integer ring \mathbb{Z}_{2^k} , where the k -bit vector represents integer values from $\{0, \dots, 2^k - 1\}$.

Example 3.2 Consider the following arithmetic circuit:



This circuit takes two 4-bit inputs, A and B , and computes a 4-bit sum C . Since A , B , and C are all bit-vectors of size 4, the addition computation this circuit performs is modulo 2^4 . Hence, this circuit exemplifies arithmetic computations over the ring \mathbb{Z}_{2^4} .

Some examples of possible inputs and outputs of the circuit:

Addition over \mathbb{Z}_{2^4}		Boolean Circuit Implementation	
$5 + 8$	$= 13 \pmod{16} = 13$	$A = 0101, B = 1000$	$\rightarrow C = 1101$
$10 + 9$	$= 19 \pmod{16} = 3$	$A = 1010, B = 1001$	$\rightarrow C = 0011$
$12 + 4$	$= 16 \pmod{16} = 0$	$A = 1100, B = 0100$	$\rightarrow C = 0000$

Definition 3.4 Let \mathbb{R} be a ring. A **polynomial** over \mathbb{R} in the indeterminate x is an expression of the form:

$$a_0 + a_1x + a_2x^2 + \dots + a_kx^k = \sum_{i=0}^k a_ix^i, \forall a_i \in \mathbb{R}. \quad (3.1)$$

The constants a_i are the coefficients and k is the degree of the polynomial. For example, $8x^3 + 6x + 1$ is a polynomial in x over \mathbb{Z} , with coefficients 8, 6, and 1 and degree 3.

Definition 3.5 The set of all polynomials in the indeterminate x with coefficients in the ring \mathbb{R} forms a **ring of polynomials** $\mathbb{R}[x]$. Similarly, $\mathbb{R}[x_1, x_2, \dots, x_n]$ represents the ring of multivariate polynomials with coefficients in \mathbb{R} .

For example, $\mathbb{Z}_{2^4}[x]$ stands for the set of all polynomials in x with coefficients in \mathbb{Z}_{2^4} . $8x^3 + 6x + 1$ is an instance of a polynomial contained in $\mathbb{Z}_{2^4}[x]$.

Definition 3.6 A field \mathbb{F} is a commutative ring with unity, where every non-zero element in \mathbb{F} has a multiplicative inverse; i.e. $\forall a \in \mathbb{F} - \{0\}, \exists \hat{a} \in \mathbb{F}$ such that $a \cdot \hat{a} = 1$.

A field is defined as a ring with one extra condition: the presence of a multiplicative inverse for all non-zero elements. Therefore, a field must be a ring while a ring is not necessarily a field. For example, the set $\mathbb{Z}_{2^k} = \{0, 1, \dots, 2^k - 1\}$ forms a finite ring. However, \mathbb{Z}_{2^k} is not a field because not every element in \mathbb{Z}_{2^k} has a multiplicative inverse. In the ring \mathbb{Z}_{2^3} , for instance, the element 5 has an inverse ($5 \cdot 5 \pmod{8} = 1$) but the element 4 does not.

The main concept of field theory is **Field Extensions**. The idea behind a field extension is to take a base field and construct a larger field which contains the base field as well as satisfies additional properties. For example, the set of real numbers \mathbb{R} forms a field; one common extension of \mathbb{R} is the set of complex numbers $\mathbb{C} = \mathbb{R}(i)$. Every element of \mathbb{C} can be represented as $a + b \cdot i$ where $a, b \in \mathbb{R}$, hence \mathbb{C} is a two-dimensional extension of \mathbb{R} .

Like rings, fields can also contain either an infinite or a finite number of elements. In this dissertation we focus on finite fields, also known as Galois fields, and the construction of their field extensions.

3.2 Galois Fields

Galois fields, also known as finite fields, find widespread applications in many areas of electrical engineering and computer science such as error-correcting codes, elliptic curve cryptography, digital signal processing, testing of VLSI circuits, among others. In this dissertation, we specifically focus on their application to Elliptic Curve Cryptography as Galois field arithmetic circuits. This section describes the relevant Galois field concepts [85] [86] [87] and hardware arithmetic designs over such fields [63] [88] [64] [89] [90].

Definition 3.7 A Galois field, denote \mathbb{F}_q , is a field with a finite number of elements, q .

The number of elements q of the Galois field is a power of a prime integer, i.e. $q = p^k$, where p is a prime integer, and $k \geq 1$. Thus a Galois field can also be denoted as \mathbb{F}_{p^k} .

Fields in the form \mathbb{F}_{p^k} are called Galois extension fields. We are specifically interested in extension fields of type \mathbb{F}_{2^k} , where $k > 1$. These are extensions of the binary field \mathbb{F}_2 .

Example 3.3 Addition and multiplication operations over \mathbb{F}_2 :

+	0	1
0	0	1
1	1	0

Addition over \mathbb{F}_2

·	0	1
0	0	0
1	0	1

Multiplication over \mathbb{F}_2

Notice that addition over \mathbb{F}_2 is a Boolean XOR operation, because it is performed modulo 2. Similarly, multiplication over \mathbb{F}_2 performs a Boolean AND operation.

Algebraic extensions of the binary field \mathbb{F}_2 are generally termed as *binary extension fields* \mathbb{F}_{2^k} . Where elements in \mathbb{F}_2 can only represent 1 bit, elements in \mathbb{F}_{2^k} represent a k -bit vector. This allows them to be widely used in digital hardware applications. In order to construct a Galois field of the form \mathbb{F}_{2^k} , an **irreducible polynomial** is required:

Definition 3.8 A polynomial $P(x) \in \mathbb{F}_2[x]$ is **irreducible** if $P(x)$ is non-constant with degree k and cannot be factored into a product of polynomials of lower degree in $\mathbb{F}_2[x]$.

Therefore, the polynomial $P(x)$ with degree k is irreducible over \mathbb{F}_2 if and only if it has no roots in \mathbb{F}_2 , i.e. if $\forall a \in \mathbb{F}_2, P(a) \neq 0$. For example, $x^2 + x + 1$ is an irreducible polynomial over \mathbb{F}_2 because it has no solutions in \mathbb{F}_2 , i.e. $(0)^2 + (0) + 1 = 1 \neq 0$ and $(1)^2 + (1) + 1 = 1 \neq 0$ over \mathbb{F}_2 . Irreducible polynomials exist for any degree ≥ 2 in $\mathbb{F}_2[x]$.

Given an irreducible polynomial $P(x)$ of degree k in the polynomial ring $\mathbb{F}_2[x]$, we can construct a binary extension field $\mathbb{F}_{2^k} \equiv \mathbb{F}_2[x] \pmod{P(x)}$. Let α be a root of $P(x)$,

i.e., $P(\alpha) = 0$. Since $P(x)$ is irreducible over $\mathbb{F}_2[x]$, $\alpha \notin \mathbb{F}_2$. Instead, α is an element in \mathbb{F}_{2^k} . Any element $A \in \mathbb{F}_{2^k}$ is then represented as:

$$A = \sum_{i=0}^{k-1} (a_i \cdot \alpha^i) = a_0 + a_1 \cdot \alpha + \cdots + a_{k-1} \cdot \alpha^{k-1}$$

where $a_i \in \mathbb{F}_2$ are the coefficients and $P(\alpha) = 0$.

To better understand this field extension, compare its similarities to another commonplace field extension \mathbb{C} , the set of complex numbers. \mathbb{C} is an extension of the field of real numbers \mathbb{R} with an additional element $i = \sqrt{-1}$, which is an imaginary root in \mathbb{R} . Thus $i \notin \mathbb{R}$, rather $i \in \mathbb{C}$. Every element $A \in \mathbb{C}$ can be represented as:

$$A = \sum_{j=0}^1 (a_j \cdot i^j) = a_0 + a_1 \cdot i \quad (3.2)$$

where $a_j \in \mathbb{R}$ are coefficients. Similarly, \mathbb{F}_{2^k} is an extension of \mathbb{F}_2 with an additional element α , which is the “imaginary root” of an irreducible polynomial P in $\mathbb{F}_2[x]$.

Every element $A \in \mathbb{F}_{2^k}$ has a degree less than k because A is always computed modulo $P(x)$, which has degree k . Thus, $A \pmod{P(x)}$ can be of degree at most $k - 1$ and at least 0. For this reason, the field \mathbb{F}_{2^k} can be viewed as a k dimensional vector space over \mathbb{F}_2 . The equivalent bit vector representation for element A is:

$$A = (a_{k-1} a_{k-2} \cdots a_0) \quad (3.3)$$

Example 3.4 A 4-bit Boolean vector, $(a_3 a_2 a_1 a_0)$ can be presented over \mathbb{F}_{2^4} as:

$$a_3 \cdot \alpha^3 + a_2 \cdot \alpha^2 + a_1 \cdot \alpha + a_0 \quad (3.4)$$

For instance, the Boolean vector 1011 is represented as the element $\alpha^3 + \alpha + 1$.

Example 3.5 Let us construct \mathbb{F}_{2^4} as $\mathbb{F}_2[x] \pmod{P(x)}$, where $P(x) = x^4 + x^3 + 1 \in \mathbb{F}_2[x]$ is an irreducible polynomial of degree $k = 4$. Let α be the root of $P(x)$, i.e. $P(\alpha) = 0$.

Any element $A \in \mathbb{F}_2[x] \pmod{x^4 + x^3 + 1}$ has a representation of the type: $A = a_3 x^3 + a_2 x^2 + a_1 x + a_0$ (degree < 4) where the coefficients a_3, \dots, a_0 are in $\mathbb{F}_2 = \{0, 1\}$. Since there are only 16 such polynomials, we obtain 16 elements in the field \mathbb{F}_{2^4} . Each

Table 3.1: Bit-vector, Exponential and Polynomial representation of elements in $\mathbb{F}_{2^4} = \mathbb{F}_2[x] \pmod{x^4 + x^3 + 1}$

$a_3a_2a_1a_0$	Exponential	Polynomial	$a_3a_2a_1a_0$	Exponential	Polynomial
0000	0	0	1000	α^3	α^3
0001	1	1	1001	α^4	$\alpha^3 + 1$
0010	α	α	1010	α^{10}	$\alpha^3 + \alpha$
0011	α^{12}	$\alpha + 1$	1011	α^5	$\alpha^3 + \alpha + 1$
0100	α^2	α^2	1100	α^{14}	$\alpha^3 + \alpha^2$
0101	α^9	$\alpha^2 + 1$	1101	α^{11}	$\alpha^3 + \alpha^2 + 1$
0110	α^{13}	$\alpha^2 + \alpha$	1110	α^8	$\alpha^3 + \alpha^2 + \alpha$
0111	α^7	$\alpha^2 + \alpha + 1$	1111	α^6	$\alpha^3 + \alpha^2 + \alpha + 1$

element in \mathbb{F}_{2^4} can then be viewed as a 4-bit vector over \mathbb{F}_2 . Each element also has an exponential α representation. All three representations are shown in Table 3.1.

We can compute the polynomial representation from the exponential representation. Since every element is computed $\pmod{P(\alpha)} = \pmod{\alpha^4 + \alpha^3 + 1}$, we compute the element α^4 as

$$\alpha^4 \pmod{\alpha^4 + \alpha^3 + 1} = -\alpha^3 - 1 = \alpha^3 + 1 \quad (3.5)$$

Recall that all coefficients of \mathbb{F}_{2^4} are in \mathbb{F}_2 where $-1 = +1$ modulo 2. The next element α^5 can be computed as

$$\alpha^5 = \alpha^4 \cdot \alpha = (\alpha^3 + 1) \cdot \alpha = \alpha^4 + \alpha = \alpha^3 + \alpha + 1 \quad (3.6)$$

Then α^6 can be computed as $\alpha^5 * \alpha$ and so on.

An irreducible polynomial can also be a primitive polynomial.

Definition 3.9 A **primitive polynomial** $P(x)$ is a polynomial with coefficients in \mathbb{F}_2 which has a root $\alpha \in \mathbb{F}_{2^k}$ such that $\{0, 1(= \alpha^{2^k-1}), \alpha, \alpha^2, \dots, \alpha^{2^k-2}\}$ is the set of all elements in \mathbb{F}_{2^k} , where α is a **primitive element** of \mathbb{F}_{2^k} .

A primitive polynomial is guaranteed to generate all distinct elements of a finite field \mathbb{F}_{2^k} while an irreducible polynomial has no such guarantee. Often, there exists more than one irreducible polynomial of degree k . In such cases, any degree k irreducible

polynomial can be used for field construction. For example, both x^3+x+1 and x^3+x^2+1 are irreducible in \mathbb{F}_2 and either one can be used to construct \mathbb{F}_{2^3} . This is due to the following:

Theorem 3.1 *There exist a **unique** field \mathbb{F}_{p^k} , for any prime p and any positive integer k .*

Theorem 3.1 implies that Galois fields with the same number of elements are **isomorphic** to each other up to the labeling of the elements.

Theorem 3.2 provides an important property for investigating solutions to polynomial equations in \mathbb{F}_q .

Theorem 3.2 [*Generalized Fermat's Little Theorem*] *Given a Galois field \mathbb{F}_q , each element $A \in \mathbb{F}_q$ satisfies:*

$$\begin{aligned} A^q &\equiv A \\ A^q - A &\equiv 0 \end{aligned} \tag{3.7}$$

We can extend Theorem 3.2 to polynomials in $\mathbb{F}_q[x]$ as follows:

Definition 3.10 *Let $x^q - x$ be a polynomial in $\mathbb{F}_q[x]$. Every element $A \in \mathbb{F}_q$ is a solution to $x^q - x = 0$. Therefore, $x^q - x$ always vanishes in \mathbb{F}_q . Such polynomials are called **vanishing polynomials** of the field \mathbb{F}_q .*

Example 3.6 *Given $\mathbb{F}_{2^2} = \{0, 1, \alpha, \alpha + 1\}$ with $P(x) = x^2 + x + 1$, where $P(\alpha) = 0$.*

$$\begin{aligned} 0^{2^2} &= 0 \\ 1^{2^2} &= 1 \\ \alpha^{2^2} &= \alpha \pmod{\alpha^2 + \alpha + 1} \\ (\alpha + 1)^{2^2} &= \alpha + 1 \pmod{\alpha^2 + \alpha + 1} \end{aligned}$$

3.2.1 Containment of Galois Fields

A Galois field \mathbb{F}_q can be fully contained within a larger field \mathbb{F}_{q^k} . That is, $\mathbb{F}_q \subset \mathbb{F}_{q^k}$. For example, Fig 3.1 shows the containment of the fields $\mathbb{F}_2 \subset \mathbb{F}_4 \subset \mathbb{F}_{16}$. It's easy to see that since $\mathbb{F}_4 = \mathbb{F}_{2^2}$, it contains \mathbb{F}_2 . Likewise $\mathbb{F}_{16} = \mathbb{F}_{4^2} = \mathbb{F}_{2^4}$ contains \mathbb{F}_4 and \mathbb{F}_2 .

The elements $\{0, 1, \alpha, \dots, \alpha^{14}\}$ designate \mathbb{F}_{16} . Of these, $\{0, 1, \alpha^5, \alpha^{10}\}$ create \mathbb{F}_4 . From these, only $\{0, 1\}$ exist in \mathbb{F}_2 .

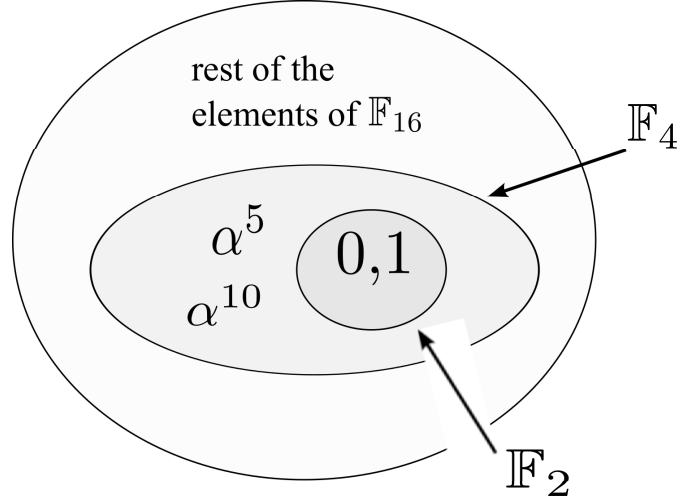


Figure 3.1: Containment of Fields: $\mathbb{F}_2 \subset \mathbb{F}_4 \subset \mathbb{F}_{16}$

Consider the element α^5 of \mathbb{F}_{16} . Deriving all $(\alpha^5)^i$ for $i \geq 0$ over \mathbb{F}_{16} gives the following recurrence:

$$\begin{aligned}
 (\alpha^5)^0 &= 1 \\
 (\alpha^5)^1 &= \alpha^5 \\
 (\alpha^5)^2 &= \alpha^{10} \\
 (\alpha^5)^3 &= \alpha^{15} = 1
 \end{aligned} \tag{3.8}$$

The only elements that are generated in this recurrence are $\{1, \alpha^5, \alpha^{10}\}$. Every field contains $\{0, 1\}$, so the elements $\{0, 1, \alpha^5, \alpha^{10}\}$ form \mathbb{F}_4 . Let $P(x) = x^4 + x^3 + 1$ be the primitive polynomial used to generate $\mathbb{F}_{2^4} = \mathbb{F}_{16}$. A primitive polynomial of degree 2 used to generate $\mathbb{F}_{2^2} = \mathbb{F}_4$ can be found as follows:

$$\begin{aligned}
 &(x + \alpha^5) \cdot (x + \alpha^{10}) \mod P(x) \\
 &= x^2 + (\alpha^{10} + \alpha^5)x + \alpha^{15} \mod P(x) \\
 &= x^2 + x + 1
 \end{aligned} \tag{3.9}$$

Theorem 3.3 $\mathbb{F}_{2^n} \subset \mathbb{F}_{2^m}$ iff $n \mid m$, i.e. if n divides m .

Therefore:

- $\mathbb{F}_2 \subset \mathbb{F}_{2^2} \subset \mathbb{F}_{2^4} \subset \mathbb{F}_{2^8} \subset \dots$
- $\mathbb{F}_2 \subset \mathbb{F}_{2^3} \subset \mathbb{F}_{2^9} \subset \mathbb{F}_{2^{27}} \subset \dots$
- $\mathbb{F}_2 \subset \mathbb{F}_{2^5} \subset \mathbb{F}_{2^{25}} \subset \mathbb{F}_{2^{125}} \subset \dots$, and so on

Definition 3.11 The **algebraic closure** of the Galois field \mathbb{F}_{2^k} , denoted $\overline{\mathbb{F}_{2^k}}$, is the union of all fields \mathbb{F}_{2^n} such that $k \mid n$.

3.2.2 Polynomial Interpolation over Galois Fields

In the construction of digital circuits, arbitrary mappings between two bit-vectors of size k can be constructed. Each such mapping generates a function $f : \mathbb{B}^k \rightarrow \mathbb{B}^k$. As every k -bit vector can be construed as an element in \mathbb{F}_{2^k} (as shown in the previous section), every such function also corresponds to a function over a Galois field: $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$.

Definition 3.12 A function $f : \mathbb{R} \rightarrow \mathbb{R}$ over a ring R is considered a **polynomial function** if there exists a polynomial $\mathcal{F} \in \mathbb{R}[x_1, \dots, x_d]$ such that $\mathcal{F}(x_1, \dots, x_d) = f(x_1, \dots, x_d)$.

Theorem 3.4 From [87]: Let \mathbb{F}_q be a Galois field of q elements where q is a power of a prime integer. Given any function $f : \mathbb{F}_q \rightarrow \mathbb{F}_q$, there exists a polynomial $\mathcal{F} \in \mathbb{F}_q[x]$ such that $f(a) = \mathcal{F}(a)$, for all $a \in \mathbb{F}_q$. Thus, every function $f : \mathbb{F}_q \rightarrow \mathbb{F}_q$ is a polynomial function.

Thus, since every function over a Galois field, $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$, is a polynomial function, every mapping between two bit-vectors of size k is a polynomial function over \mathbb{F}_{2^k} . Furthermore, every polynomial can be derived using Lagrange interpolation.

Theorem 3.5 (Lagrange Interpolation):

Given a set of k data points over a function f ,

$$(x_0, f(x_0)), \dots, (x_{k-1}, f(x_{k-1}))$$

where no two $x_i \in \{x_0, \dots, x_{k-1}\}$ are the same elements, the polynomial representation of f , $\mathcal{F}(x)$, can be interpolated as follows:

$$\mathcal{F}(x) = \sum_{i=0}^{k-1} f(x_i) \cdot L_i(x)$$

$$L_i(x) = \prod_{(0 \leq j \leq k-1), (j \neq i)} \frac{x - x_j}{x_i - x_j}$$

By applying Lagrange interpolation over every element in the Galois field \mathbb{F}_{2^k} , we can derive the polynomial representation \mathcal{F} of any function $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$. Furthermore, \mathcal{F} is a polynomial of degree at most $2^k - 1$ in x and $\mathcal{F}(a) = f(a)$ for all $a \in \mathbb{F}_{2^k}$.

While every function over a Galois field is a polynomial function, not every function over the integer ring \mathbb{Z} is a polynomial function.

Example 3.7 Let $A = \{a_2, a_1, a_0\}$ and $Z = \{z_2, z_1, z_0\}$ be 3-bit vectors. Thus, A and $Z \in \mathbb{B}^3$. Consider the following function:

$$f : Z[2 : 0] = A[2 : 0] \gg 1$$

f is a **bit-vector right shift** operation on A . This function can be analyzed as a mapping over different forms: $\mathbb{B}^3 \rightarrow \mathbb{B}^3$, $\mathbb{Z}_8 \rightarrow \mathbb{Z}_8$, and $\mathbb{F}_{2^3} \rightarrow \mathbb{F}_{2^3}$. These mappings from A to Z are:

$\{a_2 a_1 a_0\} \in \mathbb{B}^3$	$A \in \mathbb{Z}_8$	$A \in \mathbb{F}_{2^3}$	\rightarrow	$\{z_2 z_1 z_0\} \in \mathbb{B}^3$	$Z \in \mathbb{Z}_8$	$Z \in \mathbb{F}_{2^3}$
000	0	0	\rightarrow	000	0	0
001	1	1	\rightarrow	000	0	0
010	2	α	\rightarrow	001	1	1
011	3	$\alpha + 1$	\rightarrow	001	1	1
100	4	α^2	\rightarrow	010	2	α
101	5	$\alpha^2 + 1$	\rightarrow	010	2	α
110	6	$\alpha^2 + \alpha$	\rightarrow	011	3	$\alpha + 1$
111	7	$\alpha^2 + \alpha + 1$	\rightarrow	011	3	$\alpha + 1$

$f : \mathbb{Z}_8 \rightarrow \mathbb{Z}_8$ is not a polynomial function (this can be verified using the results of [91] [92] [93]). However, $f : \mathbb{F}_{2^3} \rightarrow \mathbb{F}_{2^3}$ is a polynomial function. By applying Lagrange's

interpolation formula to f over \mathbb{F}_{2^3} for every element in \mathbb{F}_{2^3} , we obtain the following polynomial function: $Z = (\alpha^2 + 1)A^4 + (\alpha^2 + 1)A^2$, where $P(\alpha) = \alpha^3 + \alpha + 1 = 0$.

Since every function over \mathbb{F}_{2^k} is a polynomial function, the functional mapping of a Galois field arithmetic circuit over \mathbb{F}_{2^k} must exist in polynomial form. Construction of these arithmetic circuits is described next.

3.3 Hardware Implementations of Arithmetic Operations Over Galois Fields

There are two main applications of hardware implementations of Galois field arithmetic. In the first case, Galois field arithmetic computations, such as ADD OR MUL, are implemented in hardware, and algorithms are then implemented in software (e.g. cryptoprocessors [94] [95]). In other cases, the entire design can be implemented in hardware, such as a one-shot Reed-Solomon encoder-decoder chip [96] [97], or point multiplication circuitry [98] used in elliptic curve cryptosystems. Therefore, there has been extensive research in efficient hardware design of primitive arithmetic computations over Galois fields. In this section, we describe the design principles of such circuits with focus on their architecture and verification complexity.

Addition in \mathbb{F}_{2^k} is performed by correspondingly adding the polynomials together and reducing the coefficients of the result modulo 2.

Example 3.8 Given $A = \alpha^3 + \alpha^2 + 1 = (1101)$ and $B = \alpha^2 + 1 = (0101)$ in \mathbb{F}_{2^4} ,

$$A + B = (\alpha^3 + \alpha^2 + 1) + (\alpha^2 + 1) = (\alpha^3) + (\alpha^2 + \alpha^2) + (1 + 1) = \alpha^3 = (1000).$$

Effectively, the addition operation is only performed on the coefficients, which are in \mathbb{F}_2 . As addition over \mathbb{F}_2 performs an XOR operation, constructing an addition circuit over \mathbb{F}_{2^k} is trivial as it only consists of k number of XOR gates. A 4-bit adder over \mathbb{F}_{2^4} is shown in Fig. 3.2.

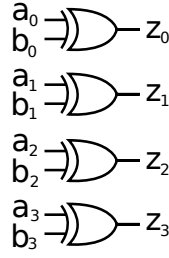


Figure 3.2: 4-bit adder over \mathbb{F}_{2^4} .

Multiplication $Z = A \times B \pmod{P(x)}$ in \mathbb{F}_{2^k} conceptually consists of two steps. In the first step, the multiplication $A \times B$ is performed. In the second step, the result is reduced modulo the irreducible polynomial $P(x)$. This multiplication procedure is shown in Example 3.9.

Example 3.9 Consider the field \mathbb{F}_{2^4} with the irreducible polynomial $P(x) = x^4 + x^3 + 1$ and $P(\alpha) = 0$. We take as inputs: $A = a_0 + a_1 \cdot \alpha + a_2 \cdot \alpha^2 + a_3 \cdot \alpha^3$ and $B = b_0 + b_1 \cdot \alpha + b_2 \cdot \alpha^2 + b_3 \cdot \alpha^3$. We have to perform the multiplication $Z = A \times B \pmod{P(x)}$. The coefficients of $A = \{a_0, \dots, a_3\}$, $B = \{b_0, \dots, b_3\}$ are in $\mathbb{F}_2 = \{0, 1\}$. This multiplication can be performed as shown:

			a_3	a_2	a_1	a_0
			b_3	b_2	b_1	b_0
\times						
			$a_3 \cdot b_0$	$a_2 \cdot b_0$	$a_1 \cdot b_0$	$a_0 \cdot b_0$
		$a_3 \cdot b_1$	$a_2 \cdot b_1$	$a_1 \cdot b_1$	$a_0 \cdot b_1$	
	$a_3 \cdot b_2$	$a_2 \cdot b_2$	$a_1 \cdot b_2$	$a_0 \cdot b_2$		
$a_3 \cdot b_3$	$a_2 \cdot b_3$	$a_1 \cdot b_3$	$a_0 \cdot b_3$			
	s_6	s_5	s_4	s_3	s_2	s_1
						s_0

The result $Sum = s_0 + s_1 \cdot \alpha + s_2 \cdot \alpha^2 + s_3 \cdot \alpha^3 + s_4 \cdot \alpha^4 + s_5 \cdot \alpha^5 + s_6 \cdot \alpha^6$, where

$$\begin{aligned}
s_0 &= a_0 \cdot b_0 \\
s_1 &= a_0 \cdot b_1 + a_1 \cdot b_0 \\
s_2 &= a_0 \cdot b_2 + a_1 \cdot b_1 + a_2 \cdot b_0 \\
s_3 &= a_0 \cdot b_3 + a_1 \cdot b_2 + a_2 \cdot b_1 + a_3 \cdot b_0 \\
s_4 &= a_1 \cdot b_3 + a_2 \cdot b_1 + a_3 \cdot b_1 \\
s_5 &= a_2 \cdot b_3 + a_3 \cdot b_2 \\
s_6 &= a_3 \cdot b_3
\end{aligned}$$

Here the multiply “ \cdot ” and add “ $+$ ” operations are performed modulo 2, so they can be implemented in a circuit using AND and XOR gates respectively. Note that unlike integer multipliers, there are no carry-chains in the design, as the coefficients are always reduced modulo 2. However, the result is yet to be reduced modulo the primitive polynomial $P(x) = x^4 + x^3 + 1$. This transforms every exponent representation, α^d , to a polynomial representation where $d \geq k = 4$.

α^3	α^2	α	1	
s_3	s_2	s_1	s_0	
s_4	0	0	s_4	$s_4 \cdot \alpha^4 \pmod{P(\alpha)} = s_4 \cdot (\alpha^3 + 1)$
s_5	0	s_5	s_5	$s_5 \cdot \alpha^5 \pmod{P(\alpha)} = s_5 \cdot (\alpha^3 + \alpha + 1)$
s_6	s_6	s_6	s_6	$s_6 \cdot \alpha^6 \pmod{P(\alpha)} = s_6 \cdot (\alpha^3 + \alpha^2 + \alpha + 1)$
z_3	z_2	z_1	z_0	

The final result (output) of the circuit is: $Z = z_0 + z_1\alpha + z_2\alpha^2 + z_3\alpha^3$; where $z_0 = s_0 + s_4 + s_5 + s_6$; $z_1 = s_1 + s_5 + s_6$; $z_2 = s_2 + s_6$; $z_3 = s_3 + s_4 + s_5 + s_6$.

The above multiplier design is called the *Mastrovito multiplier* [63] which is the most straightforward way to design a multiplier over \mathbb{F}_{2^k} . A logic circuit for a 4-bit *Mastrovito* multiplier over *Galois field* \mathbb{F}_{2^4} is illustrated in Fig. 3.3.

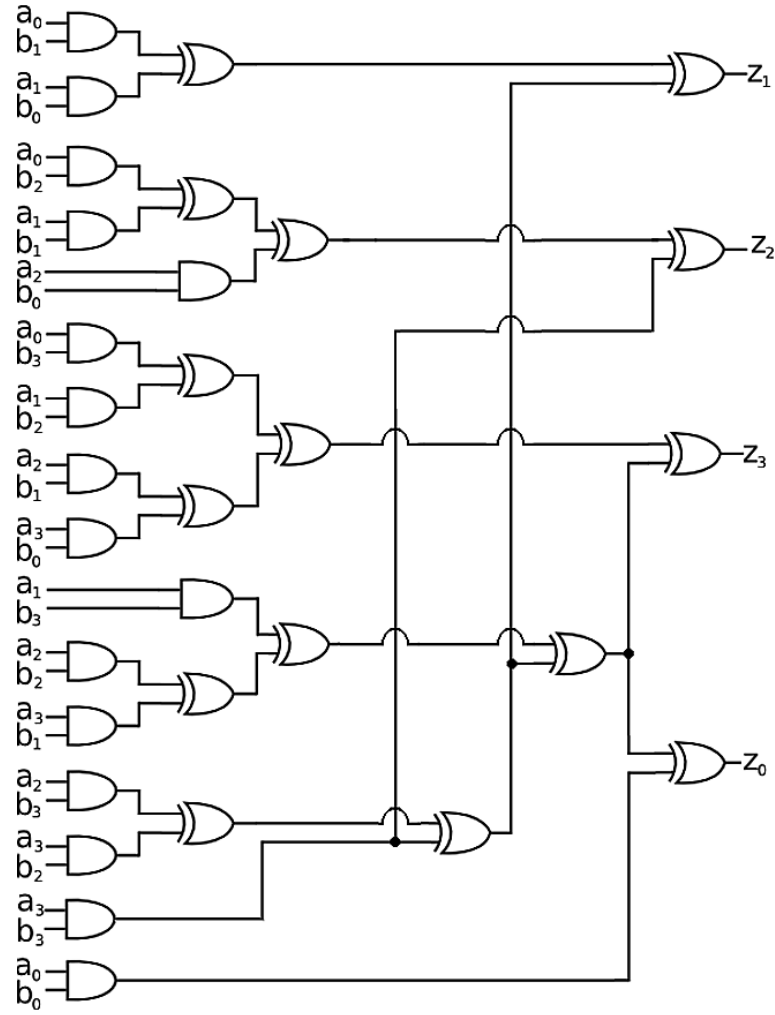


Figure 3.3: Mastrovito multiplier over \mathbb{F}_{2^4} .

Modular multiplication is at the heart of many public-key cryptosystems, such as Elliptic Curve Cryptography (ECC) [99]. Due to the very large field size (and hence the data-path width) used in these cryptosystems, the above *Mastrovito* multiplier architecture is inefficient, especially when exponentiation and repeat multiplications are performed. Therefore, efficient hardware and software implementations of modular multiplication algorithms are used to overcome the complexity of such operations. One such algorithm which we will focus on is the Montgomery reduction [88] [64].

3.3.1 Montgomery Multipliers

Montgomery Reduction (MR) computes:

$$G = MR(A, B) = A \cdot B \cdot R^{-1} \pmod{P(x)} \quad (3.10)$$

where A, B are k -bit inputs, $R = \alpha^k$, R^{-1} is multiplicative inverse of R in \mathbb{F}_{2^k} , and $P(x)$ is the irreducible polynomial for \mathbb{F}_{2^k} . Since Montgomery reduction cannot directly compute $A \cdot B$, we need to pre-compute $A \cdot R$ and $B \cdot R$, as shown in Fig. 3.4.

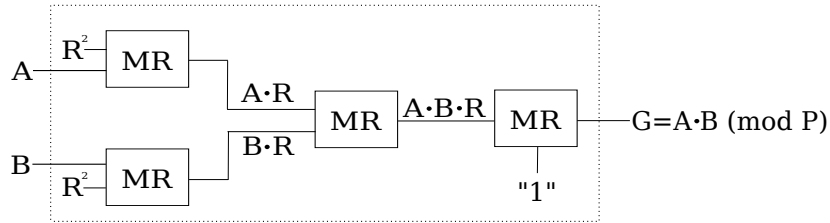


Figure 3.4: Montgomery multiplier over \mathbb{F}_{2^k}

Each *MR* block in Fig. 3.4 represents a Montgomery reduction step which is a hardware implementation of the algorithm shown in Algorithm 1.

Algorithm 1: Montgomery Reduction Algorithm [64]

Input: $A(x), B(x) \in \mathbb{F}_{2^k}$; irreducible polynomial $P(x)$.

Output: $G(x) = A(x) \cdot B(x) \cdot x^{-k} \pmod{P(x)}$.

$G(x) := 0$

for ($i = 0$; $i \leq k - 1$; $++i$) **do**

$G(x) := G(x) + A_i \cdot B(x) /* A_i$ is the i^{th} bit of $A */;$

$G(x) := G(x) + G_0 \cdot P(x) /* G_0$ is the lowest bit of $G */;$

$G(x) := G(x)/x /* Right shift 1 bit */;$

end

The design of Fig. 3.4 is not efficient to computing $A \cdot B \pmod{P(x)}$ when compared to the Mastrovito implementation. However, when these multiplications are performed repeatedly, such as in iterative squaring, then the Montgomery approach speeds-up the computation. As shown in [89], the critical path delay and gate counts of a

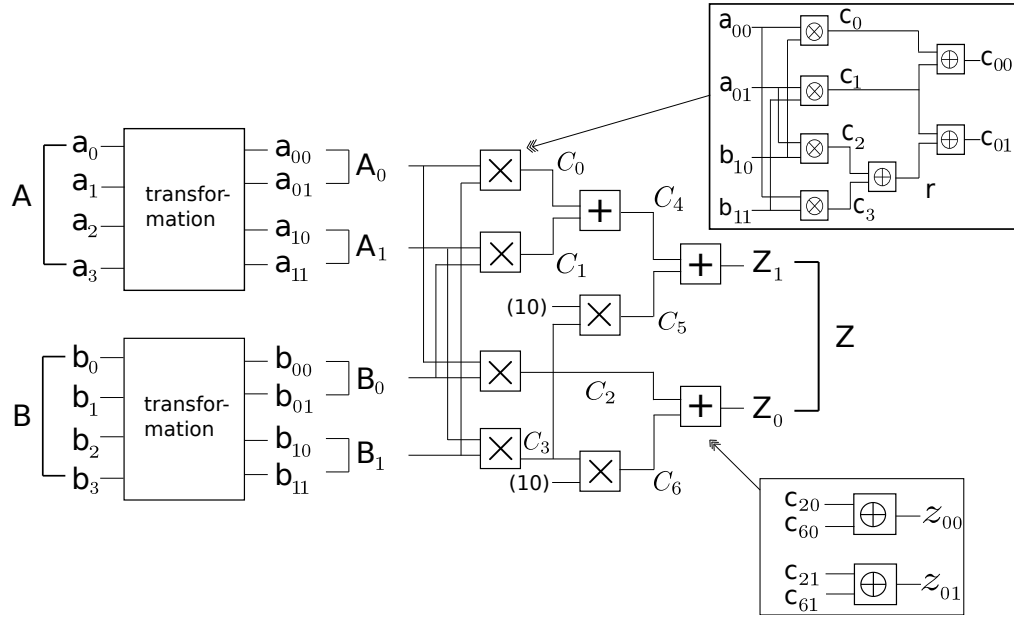


Figure 3.5: 4-bit composite multiplier designed over $\mathbb{F}_{(2^2)^2}$

squarer designed using the Montgomery approach are much smaller than the traditional approaches.

3.3.2 Circuit Designs over Composite Fields

The Galois field \mathbb{F}_{2^k} is a k -dimensional vector space over the sub-field \mathbb{F}_2 . If $k = m \cdot n$, the field \mathbb{F}_{2^k} can be decomposed as $\mathbb{F}_{(2^m)^n}$. Such a field representation is called a **composite field**, and it is constructed as a n -dimensional extension of the sub-field \mathbb{F}_{2^m} . The sub-field \mathbb{F}_{2^m} is called the ground field. Note that we have $\mathbb{F}_2 \subset \mathbb{F}_{2^m} \subset \mathbb{F}_{(2^m)^n}$.

A Galois field arithmetic circuit over \mathbb{F}_{2^k} can thus be composed as circuit over $\mathbb{F}_{(2^m)^n}$ if $k = m \cdot n$. Since the base field is \mathbb{F}_{2^m} , this composite field circuit is composed of blocks of m -bit multipliers and adders, along with m -bit buses that act as the inputs and outputs of these blocks. A \mathbb{F}_{2^4} Galois field multiplier designed over the composite field $\mathbb{F}_{(2^2)^2}$ is shown in Fig. 3.5. Design methodologies of these circuits are examined more closely in Chapter ??.

3.3.3 Applications to Elliptic Curve Cryptography

Elliptic Curve Cryptography (ECC) is one of the most influential applications of Galois fields. ECC is an approach to public-key (or asymmetric-key) cryptography based

on the algebraic structure of elliptic curves over Galois fields. Due to the complex nature of these curves, key sizes in ECC can be smaller than other public-key cryptography techniques while providing the same level of security [100]. The main operations of encryption, decryption and authentication in ECC rely on *point multiplications*.

Point multiplication involves a series of addition and doubling of points on the elliptic curve. A drawback of traditional point multiplication is that each point addition and doubling require a multiplicative inverse operation over Galois fields, the computation of which is costly. Modern methods, however, represent the points in projective coordinate systems [98], which has eliminated the need for a multiplicative inverse operation by replacing it with addition and multiplication operations over Galois fields. This has increased the efficiency of point multiplication operations, but it has also increased the need for fast, custom hardware designs of Galois field arithmetic.

In-depth analysis of elliptic curve theory is beyond the scope of this dissertation. Instead, we will look at some examples of point addition and point doubling to give a general idea of the operations involved in ECC and how they apply to Galois field arithmetic. Our experiments use custom Galois field arithmetic designs based on López-Dahab (LD) coordinate system [101], so these examples will use the same coordinate system.

Example 3.10 *Consider point addition in a LD projective coordinate system, as seen in Fig. 3.6.*

Given an elliptic curve: $Y^2 + XYZ = X^3Z + aX^2Z^2 + bZ^4$ over \mathbb{F}_{2^k} , where X, Y, Z are k -bit vectors that are elements in \mathbb{F}_{2^k} and similarly, a, b are constants from the field. Let $P + Q = R$ represent point addition over the elliptic curve. $P = (X_1, Y_1, Z_1)$ and $Q = (X_2, Y_2, 1)$ are given. Then $R = (X_3, Y_3, Z_3)$ can be computed as follows:

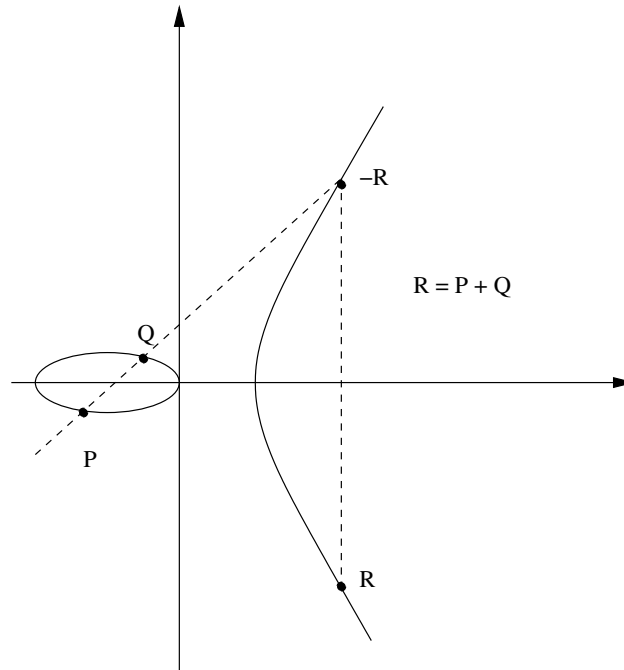


Figure 3.6: Point addition over an Elliptic Curve ($R=P+Q$)

$$A = Y_2 \cdot Z_1^2 + Y_1$$

$$B = X_2 \cdot Z_1 + X_1$$

$$C = Z_1 \cdot B$$

$$D = B^2 \cdot (C + aZ_1^2)$$

$$Z_3 = C^2$$

$$E = A \cdot C$$

$$X_3 = A^2 + D + E$$

$$F = X_3 + X_2 \cdot Z_3$$

$$G = X_3 + Y_2 \cdot Z_3$$

$$Y_3 = E \cdot F + Z_3 \cdot G$$

Example 3.11 Consider point doubling in a LD projective coordinate system. Given an elliptic curve: $Y^2 + XYZ = X^3Z + aX^2Z^2 + bZ^4$. Let $2(X_1, Y_1, Z_1) = (X_3, Y_3, Z_3)$,

then

$$\begin{aligned} X_3 &= X_1^4 + b \cdot Z_1^4 \\ Z_3 &= X_1^2 \cdot Z_1^2 \\ Y_3 &= bZ_1^4 \cdot Z_3 + X_3 \cdot (aZ_3 + Y_1^2 + bZ_1^4) \end{aligned}$$

In the above examples, polynomial multiplication and squaring operations can be implemented in hardware using Montgomery reductions over Galois fields \mathbb{F}_{2^k} . In practical applications, the field size k of \mathbb{F}_{2^k} is 163, or larger. However, there are no word-level abstraction techniques applicable to circuits of such size, so hardware implementations of Galois field arithmetic circuits cannot benefit from the many advantages of abstraction. Thus, we propose a computer-algebra approach to word-level polynomial abstractions of Galois field arithmetic circuits. Recent computer-algebra formal verification techniques [11] have been able to verify these circuits up to 163 bits. We propose an application of our abstraction approach to improve these techniques. These improvements allow us to perform formal verification of these circuits up to 571 bits. These proposals are described in detail in subsequent chapters.

CHAPTER 4

COMPUTER ALGEBRA FUNDAMENTALS

This chapter reviews fundamental concepts of commutative and computer algebra which are used in this work. Specifically, this chapter covers monomial ordering, polynomial ideals and varieties, and the computation of Gröbner bases. It also overviews elimination theory as well as Hilbert's Nullstellensatz theorems and how they apply to Galois fields. The results of these theorems are used in polynomial abstraction and formal verification of Galois field circuits and are discussed in subsequent chapters. The material of this chapter is mostly referred from the textbooks [102] [10] and previous work by Lv [11].

4.1 Monomials, Polynomials, and Term Orderings

Definition 4.1 A monomial in variables x_1, x_2, \dots, x_d is a product of the form:

$$x_1^{\alpha_1} \cdot x_2^{\alpha_2} \cdot \dots \cdot x_d^{\alpha_d}, \quad (4.1)$$

where $\alpha_i \geq 0, i \in \{1, \dots, d\}$. The total degree of the monomial is $\alpha_1 + \dots + \alpha_d$.

Thus, $x^2 \cdot y$ is a monomial in variables x, y with total degree 3. For simplicity, we will henceforth denote a monomial $x_1^{\alpha_1} \cdot x_2^{\alpha_2} \cdot \dots \cdot x_d^{\alpha_d}$ as x^α , where $\alpha = (\alpha_1, \dots, \alpha_d)$ is a vector size d of integers ≥ 0 , i.e., $\alpha \in \mathbb{Z}_{\geq 0}^d$. In the

Definition 4.2 A multivariate polynomial f in variables x_1, x_2, \dots, x_d with coefficients in any given field \mathbb{K} is a finite linear combination of monomials with coefficients in \mathbb{K} :

$$f = \sum_{\alpha} a_{\alpha} \cdot x^{\alpha}, \quad a_{\alpha} \in \mathbb{K}$$

The set of all polynomials in x_1, x_2, \dots, x_d with coefficients in field \mathbb{K} is denoted by $\mathbb{K}[x_1, x_2, \dots, x_d]$. Thus, $f \in \mathbb{K}[x_1, x_2, \dots, x_d]$

1. We refer to the constant $a_\alpha \in \mathbb{K}$ as the **coefficient** of the monomial $a_\alpha x^\alpha$.
2. If $a_\alpha \neq 0$, we call $a_\alpha x^\alpha$ a term of f .

As an example, $2x^2 + y$ is a polynomial with two terms $2x^2$ and y , with 2 and 1 as coefficients respectively. In contrast, $x + y^{-1}$ is not a polynomial because the exponent of y is less than 0.

Since a polynomial is a sum of its terms, these terms have to be arranged unambiguously so that they can be manipulated in a consistent manner. Therefore, we need to establish a concept of **term ordering** (also called monomial ordering). A term ordering, represented by $>$, defines how terms in a polynomial are ordered.

Definition 4.3 Let $\mathbb{T}^d = \{x^\alpha : \alpha \in \mathbb{Z}_{\geq 0}^d\}$ be the set of all monomials in x_1, \dots, x_d . A **monomial order** $>$ on \mathbb{T}^d is a total well-ordering satisfying:

- For all $x^\alpha, x^\beta \in \mathbb{T}^d$, x^α and x^β are comparable
- For any $x^\alpha \in \mathbb{T}^d$, $x^\alpha > 1$
- For all $x^\alpha, x^\beta, x^\gamma \in \mathbb{T}^d$, $x^\alpha > x^\beta \Rightarrow x^\alpha \cdot x^\gamma > x^\beta \cdot x^\gamma$

Term-orderings are totally ordered, i.e. anti-symmetric with constant terms last in the ordering. A total-order ensures that there is no ambiguity with respect to where a term is found in the term-ordering. Total orderings for monomials come in different forms, notably lexicographic orderings (lex), and its variants: degree-lexicographic ordering (deglex) and reverse degree-lexicographic ordering (degrevlex).

A **lexicographic ordering** (lex) is a total-ordering $>$ such that variables in the terms are lexicographically ordered, i.e. simply based on when the variables appear in the ordering. Higher variable-degrees take precedence over lower degrees for equivalent variables (e.g. $a^3 > a^2$ due to $a \cdot a \cdot a > a \cdot a \cdot 1$).

Definition 4.4 Lexicographic order: Let $x_1 > x_2 > \dots > x_d$ lexicographically. Also let $\alpha = (\alpha_1, \dots, \alpha_d)$; $\beta = (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d$. Then we have:

$$x^\alpha > x^\beta \iff \begin{cases} \text{Starting from the left, the first co-ordinates of } \alpha_i, \beta_i \\ \text{that are different satisfy } \alpha_i > \beta_i \end{cases} \quad (4.2)$$

A **degree-lexicographic ordering** (deglex) is a total-ordering $>$ such that the total degree of a term takes precedence over the lexicographic ordering. A **degree-reverse-lexicographic ordering** (degrevlex) is the same as a deglex ordering, however terms are lexed in reverse.

Definition 4.5 Degree Lexicographic order: Let $x_1 > x_2 > \dots > x_d$ lexicographically. Also let $\alpha = (\alpha_1, \dots, \alpha_d); \beta = (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d$. Then we have:

$$x^\alpha > x^\beta \iff \begin{cases} \sum_{i=1}^d \alpha_i > \sum_{i=1}^d \beta_i & \text{or} \\ \sum_{i=1}^d \alpha_i = \sum_{i=1}^d \beta_i \text{ and } x^\alpha > x^\beta & \text{w.r.t. lex order} \end{cases} \quad (4.3)$$

Definition 4.6 Degree Reverse Lexicographic order: Let $x_1 > x_2 > \dots > x_d$ lexicographically. Also let $\alpha = (\alpha_1, \dots, \alpha_d); \beta = (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d$. Then we have:

$$x^\alpha > x^\beta \iff \begin{cases} \sum_{i=1}^d \alpha_i > \sum_{i=1}^d \beta_i \text{ or} \\ \sum_{i=1}^d \alpha_i = \sum_{i=1}^d \beta_i \text{ and the first co-ordinates} \\ \alpha_i, \beta_i \text{ from the right, which are different, satisfy } \alpha_i < \beta_i \end{cases} \quad (4.4)$$

Applying these term orderings, we have the following relations, where $a > b > c$.

$$\text{lex: } a^2b > a^2 > abc > ab > ac^2 > ac > b^2c > b^2 > bc^3 > 1 \quad (4.5)$$

$$\text{deglex: } bc^3 > a^2b > abc > ac^2 > b^2c > a^2 > ab > ac > b^2 > 1 \quad (4.6)$$

$$\text{degrevlex: } bc^3 > a^2b > abc > b^2c > ac^2 > a^2 > ab > b^2 > ac > 1 \quad (4.7)$$

The difference between the *lex* and two *deg*- orderings is obvious, while the difference between the two degree-based orderings can be seen by considering from which direction the term is lexed, e.g. $a \cdot c \cdot c > b \cdot b \cdot c$ (deglex, left-to-right) versus $b \cdot b \cdot c > a \cdot c \cdot c$ (degrevlex, right-to-left).

Example 4.1 Let $f = 2x^2yz + 3xy^3 - 2x^3$. The effects of different term orderings on f are:

- *lex* $x > y > z$: $f = -2x^3 + 2x^2yz + 3xy^3$
- *deglex* $x > y > z$: $f = 2x^2yz + 3xy^3 - 2x^3$

- *degrevlex* $x > y > z$: $f = 3xy^3 + 2x^2yz - 2x^3$

Definition 4.7 The **leading term** is the first term in a term-ordered polynomial. Likewise, the **leading coefficient** is the coefficient of the leading term. Finally, a **leading monomial** is the leading term lacking the coefficient. We use the following notation:

$$lt(f) \quad \text{— Leading Term} \quad (4.8)$$

$$lc(f) \quad \text{— Leading Coefficient} \quad (4.9)$$

$$lm(f) \quad \text{— Leading Monomial} \quad (4.10)$$

$$tail(f) \quad f - lt(f) \quad (4.11)$$

Example 4.2

$$f = 3a^2b + 2ab + 4bc \quad (4.12)$$

$$lt(f) = 3a^2b \quad (4.13)$$

$$lc(f) = 3 \quad (4.14)$$

$$lm(f) = a^2b \quad (4.15)$$

$$tail(f) = 2ab + 4bc \quad (4.16)$$

Polynomial division is an operation over polynomials that is dependent on the imposed monomial ordering. Dividing a polynomial f by another polynomial g cancels the leading term of f to derive a new polynomial.

Definition 4.8 Let \mathbb{K} be a field and let $f, g \in \mathbb{K}[x_1, x_2, \dots, x_d]$ be polynomials over the field. **Polynomial division** of f by g computes following:

$$f - \frac{lt(f)}{lt(g)} \cdot g \quad (4.17)$$

This polynomial division is denoted

$$f \xrightarrow{g} r \quad (4.18)$$

where r is the resulting polynomial of the division. If $\frac{lt(f)}{lt(g)}$ is non-zero, then f is considered divisible by g , i.e. $g \mid f$.

Notice that if $g \nmid f$, that is if f is not divisible by g , then the division operation gives $r = f$.

Example 4.3 Over $\mathbb{R}[x, y, z]$, set the lex term order $x > y > z$. Let $f = -2x^3 + 2x^2yz + 3xy^3$ and $g = x^2 + yz$.

$$\frac{lt(f)}{lt(g)} = \frac{-2x^3}{x^2} = -2x \quad (4.19)$$

Since $\frac{lt(f)}{lt(g)}$ is non-zero $g \mid f$. The division, $f \xrightarrow{g} r$, is computed as:

$$\begin{aligned} r &= f - \frac{lt(f)}{lt(g)} \cdot g = -2x^3 + 2x^2yz + 3xy^3 - (-2x \cdot (x^2 + yz)) \\ &= -2x^3 + 2x^2yz + 3xy^3 - (-2x^3 - 2xyz) = 2x^2yz + 3xy^3 + 2xyz \end{aligned} \quad (4.20)$$

Notice that the division cancels the leading term of f .

4.2 Varieties and Ideals

In computer-algebra based formal verification, it is often necessary to analyze the presence or absence of solutions to a given system of constraints. In our applications, these constraints are polynomials and their solutions are modeled as **varieties**.

Definition 4.9 Let \mathbb{K} be a field, and let $f_1, \dots, f_s \in \mathbb{K}[x_1, x_2, \dots, x_d]$. We call $V(f_1, \dots, f_s)$ the **affine variety** defined by f_1, \dots, f_s as:

$$V(f_1, \dots, f_s) = \{(a_1, \dots, a_d) \in \mathbb{K}^d : f_i(a_1, \dots, a_d) = 0, \forall i, 1 \leq i \leq s\} \quad (4.21)$$

$V(f_1, \dots, f_s) \in \mathbb{K}^d$ is **the set of all solutions** in \mathbb{K}^d of the system of equations: $f_1(x_1, \dots, x_d) = \dots = f_s(x_1, \dots, x_d) = 0$.

Example 4.4 Given $\mathbb{R}[x, y]$, $V(x^2 + y^2)$ is the set of all elements that satisfy $x^2 + y^2 = 0$ over \mathbb{R}^2 . So $V(x^2 + y^2) = \{(0, 0)\}$. Similarly, in $\mathbb{R}[x, y]$, $V(x^2 + y^2 - 1) = \{\text{all points on the circle} : x^2 + y^2 - 1 = 0\}$. Note that varieties depend on which field we are operating on. For the same polynomial $x^2 + 1$, we have:

- In $\mathbb{R}[x]$, $V(x^2 + 1) = \emptyset$.
- In $\mathbb{C}[x]$, $V(x^2 + 1) = \{(\pm i)\}$.

The above example shows the variety can be infinite, finite (non-empty set) or empty. It is interesting to note that since we will be operating over finite fields \mathbb{F}_q , and any finite set of points is a variety. Likewise, any variety over \mathbb{F}_q is finite (or empty). Consider the points $\{(a_1, \dots, a_d) : a_1, \dots, a_d \in \mathbb{F}_q\}$ in \mathbb{F}_q^d . Any single point is a variety of some polynomial system: e.g. (a_1, \dots, a_d) is a variety of $x_1 - a_1 = x_2 - a_2 = \dots = x_d - a_d = 0$. **Finite unions and finite intersections** of varieties are also varieties.

Example 4.5 Let $U = V(f_1, \dots, f_s)$ and $W = V(g_1, \dots, g_t)$ in \mathbb{F}_q . Then:

- $U \cap W = V(f_1, \dots, f_s, g_1, \dots, g_t)$
- $U \cup W = V(f_i g_j : 1 \leq i \leq s, 1 \leq j \leq t)$

One important distinction we need to make about varieties is that a variety depends not just on the given system of polynomial equations, but rather on the **ideal** generated by the polynomials.

Definition 4.10 A subset $I \subset \mathbb{K}[x_1, x_2, \dots, x_d]$ is an **ideal** if it satisfies:

- $0 \in I$
- I is closed under addition: $x, y \in I \Rightarrow x + y \in I$
- If $x \in \mathbb{K}[x_1, x_2, \dots, x_d]$ and $y \in I$, then $x \cdot y \in I$ and $y \cdot x \in I$.

An ideal is generated by its *basis* or *generators*.

Definition 4.11 Let f_1, f_2, \dots, f_s be polynomials of the ring $\mathbb{K}[x_1, x_2, \dots, x_d]$. Let I be an ideal generated by f_1, f_2, \dots, f_s . Then:

$$I = \langle f_1, \dots, f_s \rangle = \{h_1 f_1 + h_2 f_2 + \dots + h_s f_s : h_1, \dots, h_s \in \mathbb{K}[x_1, \dots, x_d]\}$$

then, f_1, \dots, f_s are called the **basis (or generators)** of the ideal I and correspondingly I is denoted as $I = \langle f_1, f_2, \dots, f_s \rangle$.

Example 4.6 The set of even integers, which is a subset of the ring of integers \mathbb{Z} , forms an ideal of \mathbb{Z} . This can be seen from the following;

- 0 belongs to the set of even integers.
- The sum of two even integers x and y is always an even integer.
- The product of any integer x with an even integer y is always an even integer.

Example 4.7 Given $\mathbb{R}[x, y]$, $I = \langle x, y \rangle$ is an ideal containing all polynomials generated by x and y , such as $x^2 + y$ and $x + x \cdot y$. $J = \langle x^2, y^2 \rangle$ is an ideal containing all polynomials generated by x^2 and y^2 , such as $x^2 + y^3$ and $x^{10} + x^2 \cdot y^2$. Notice that $J \subset I$ because every polynomial generated by J can be generated by I . But $I \neq J$ because $x + y$ can only be generated by I .

The same ideal may have many different bases. For instance, it is possible to have different sets of polynomials $\{f_1, \dots, f_s\}$ and $\{g_1, \dots, g_t\}$ that may generate the same ideal, i.e., $\langle f_1, \dots, f_s \rangle = \langle g_1, \dots, g_t \rangle$. Since variety depends on the ideal, these sets of polynomials have the same solutions.

Proposition 4.1 If f_1, \dots, f_s and g_1, \dots, g_t are bases of the same ideal in $\mathbb{F}[x_1, \dots, x_d]$, so that $\langle f_1, \dots, f_s \rangle = \langle g_1, \dots, g_t \rangle$, then $V(f_1, \dots, f_s) = V(g_1, \dots, g_t)$.

Example 4.8 Consider the two bases $F_1 = \{(2x^2 + 3y^2 - 11, x^2 - y^2 - 3)\}$ and $F_2 = \{x^2 - 4, y^2 - 1\}$. These two bases generate the same ideal, i.e., $\langle F_1 \rangle = \langle F_2 \rangle$. Therefore, they represent the same variety, i.e.,

$$V(F_1) = V(F_2) = \{\pm 2, \pm 1\}. \quad (4.22)$$

Ideals and their varieties are a key part of computer-algebra based formal verification. A given hardware design can be transformed into a set of polynomials over a field, $f_1, \dots, f_s \in F$ (we showed how this is done for Galois field arithmetic circuits in the previous chapter). This set of polynomials gives the system of equations:

$$\begin{aligned} f_1 &= 0 \\ &\vdots \\ f_s &= 0 \end{aligned}$$

Using algebra, it is possible to derive new equations from the original system. The ideal $\langle f_1, \dots, f_s \rangle$ provides a way of analyzing such *consequences* of a system of polynomials.

Example 4.9 Given two equations in $\mathbb{R}[x, y, z]$:

$$\begin{aligned} x &= z + 1 \\ y &= x^2 + 1 \end{aligned}$$

we can eliminate x to obtain a new equation:

$$y = (z + 1)^2 + 1 = z^2 + 2z + 2$$

Let $f_1, f_2, h \in \mathbb{R}[x, y, z]$ be polynomials based on these equations:

$$\begin{aligned} f_1 &= x - z - 1 = 0 \\ f_2 &= y - x^2 - 1 = 0 \\ h &= y - z^2 - 2z - 2 = 0 \end{aligned}$$

If I is the ideal generated by f_1 and f_2 , i.e. $I = \langle f_1, f_2 \rangle$, then we find $h \in I$ as follows:

$$\begin{aligned} g_1 &= x + z + 1 \\ g_2 &= 1 \\ h &= g_1 \cdot f_1 + g_2 \cdot f_2 = y - z^2 - 2z - 2 \end{aligned}$$

where $g_1, g_2 \in \mathbb{R}[x, y, z]$. Thus, we call h a **member of the ideal I** .

Let \mathbb{K} be any field and let $\mathbf{a} = (a_1, \dots, a_d) \in \mathbb{K}^d$ be a point, and $f \in \mathbb{K}[x_1, \dots, x_d]$ be a polynomial. We say that f *vanishes* on \mathbf{a} if $f(\mathbf{a}) = 0$, i.e., \mathbf{a} is in the variety of f .

Definition 4.12 For any variety V of \mathbb{K}^d , the ideal of polynomials that vanish on V , called the *vanishing ideal* of V , is defined as $I(V) = \{f \in \mathbb{K}[x_1, \dots, x_d] : \forall \mathbf{a} \in V, f(\mathbf{a}) = 0\}$.

Proposition 4.2 If a polynomial f vanishes on a variety V , then $f \in I(V)$.

Example 4.10 Let ideal $J = \langle x^2, y^2 \rangle$. Then $V(J) = \{(0, 0)\}$. All polynomials in J will obviously agree with the solution and vanish on this variety. However, the polynomials x, y are not in J but they also vanish on this variety. Therefore, $I(V(J))$ is the set of all polynomials that vanish on $V(J)$, and the polynomials x, y are members of $I(V(J))$.

Definition 4.13 Let $J \subset \mathbb{K}[x_1, \dots, x_d]$ be an ideal. The radical of J is defined as $\sqrt{J} = \{f \in \mathbb{K}[x_1, \dots, x_d] : \exists m \in \mathbb{N}, f^m \in J\}$.

Example 4.11 Let $J = \langle x^2, y^2 \rangle \subset \mathbb{K}[x, y]$. Note neither x nor y belongs to J , but they belong to \sqrt{J} . Similarly, $x \cdot y \notin J$, but since $(x \cdot y)^2 = x^2 \cdot y^2 \in J$, therefore, $x \cdot y \in \sqrt{J}$.

When $J = \sqrt{J}$, then J is said to be a *radical ideal*. Moreover, $I(V)$ is a radical ideal. By analyzing the ideal J , generated by a system of polynomials derived from a hardware design, its variety $V(J)$, and the ideal of polynomials that vanish over this variety, $I(V(J))$, we can reason about the existence of certain properties of the design. To check for the existence of a property, we formulate the property as a polynomial and then perform an **ideal membership test** to determine if this polynomial is contained within the ideal $I(V(J))$. A **Gröbner basis** provides a decision procedure for performing this test, which is described in the following section. A future section focuses on **Hilbert's Nullstellensatz**, which describes the properties of the ideal of a variety, $I(V(J))$.

4.3 Gröbner Bases

As mentioned earlier, different polynomial sets may generate the same ideal. Some of these generating sets may be a better representation of the ideal, and thus provide more information and insight into the properties of ideal. One such ideal representation is a **Gröbner basis**, which has a number of important properties that can solve numerous polynomial decision questions:

- Presence or absence of solutions (varieties)
- Dimension of the varieties
- Ideal membership of a polynomial

In essence, a Gröbner basis is a canonical representation of an ideal. There are many equivalent definitions of Gröbner bases, so we start with the definition that best describes their properties:

Definition 4.14 A set of non-zero polynomials $G = \{g_1, \dots, g_t\}$ which generate the ideal $I = \langle g_1, \dots, g_t \rangle$, is called a **Gröbner basis** for I if and only if for all $f \in I$ where $f \neq 0$, there exists a $g_i \in G$ such that $lm(g_i)$ divides $lm(f)$.

$$G = \text{GröbnerBasis}(I) \iff \forall f \in I : f \neq 0, \exists g_i \in G : lm(g_i) \mid lm(f) \quad (4.23)$$

The foundation for computing the Gröbner basis of an ideal was laid out by Buchberger [103]. Given a set of polynomials $F = \{f_1, \dots, f_s\}$ that generate ideal $I = \langle f_1, \dots, f_s \rangle$, Buchberger gives an algorithm to compute a Gröbner basis $G = \langle g_1, \dots, g_t \rangle$. This algorithm relies on the notions of S -polynomials and polynomial reduction.

Definition 4.15 For $f, g \in \mathbb{K}[x_1, \dots, x_d]$, an **S-polynomial** $Spoly(f, g)$ is defined as:

$$Spoly(f, g) = \frac{L}{lt(f)} \cdot f - \frac{L}{lt(g)} \cdot g \quad (4.24)$$

$$\text{where } L = lcm(lt(f), lt(g))$$

Note lcm denotes least common multiple.

Definition 4.16 The **reduction** of a polynomial f , by another polynomial g , to a reduced polynomial r is denoted:

$$f \xrightarrow{g} r$$

Reduction is carried out using multivariate, polynomial long division.

For sets of polynomials, the notation

$$f \xrightarrow{F}_+ r$$

represents the reduced polynomial r resulting from f as reduced by a set of non-zero polynomials $F = \{f_1, \dots, f_s\}$. The polynomial r is considered **reduced** if $r = 0$ or no term in r is divisible by a $lm(f_i), \forall f_i \in F$.

The reduction process $f \xrightarrow{F}_+ r$, of dividing a polynomial f by a set of polynomials of F , can be modeled as repeated long-division of f by each of the polynomials in F until no further reductions can be made. The result of this process is then r . This reduction process is shown in Algorithm 2.

Algorithm 2: Polynomial Reduction

Input: f, f_1, \dots, f_s

Output: r, a_1, \dots, a_s , such that $f = a_1 \cdot f_1 + \dots + a_s \cdot f_s + r$.

$a_1 = a_2 = \dots = a_s = 0; r = 0;$

$p := f;$

while $p \neq 0$ **do**

$i=1;$

 divisionmark = false;

while $i \leq s$ && *divisionmark* = false **do**

if f_i can divide p **then**

$a_i = a_i + lt(p)/lt(f_i);$

$p = p - lt(p)/lt(f_i) \cdot f_i;$

 divisionmark = true;

else

$i=i+1;$

end

end

if *divisionmark* = false **then**

$r = r + lt(p);$

$p = p - lt(p);$

end

end

The reduction algorithm keeps canceling the leading terms of polynomials until no more leading terms can be further canceled. So the key step is $p = p - lt(p)/lt(f_i) \cdot f_i$, as the following example shows.

Example 4.12 Given $f = y^2 - x$ and $f_1 = y - x$ in $\mathbb{Q}[x, y]$ with *deglex*: $y > x$, perform $f \xrightarrow{f_1}_+ r$:

$$1. f = y^2 - x, f/f_1 = f - lt(f)/lt(f_1) \cdot f_1 = y^2 - x - (y^2/y) \cdot (y - x) = y \cdot x - x$$

$$2. f = y \cdot x - x, f/f_1 = f - lt(f)/lt(f_1) \cdot f_1 = (y \cdot x - x)/f_1 = x^2 - x$$

$$3. f = x^2 - x, \text{ no more operations possible, so } r = x^2 - x$$

With the notions of S -polynomials and polynomial reduction in place, we can now present Buchberger's Algorithm for computing Gröbner bases [103]. Note that a fixed monomial (term) ordering is required for a Gröbner basis computation to ensure that polynomials are manipulated in a consistent manner.

Algorithm 3: Buchberger's Algorithm

Input: $F = \{f_1, \dots, f_s\}$, such that $I = \langle f_1, \dots, f_s \rangle$
, and term order $>$ **Output:** $G = \{g_1, \dots, g_t\}$, a Gröbner basis of I
 $G := F$;
repeat
 $G' := G$;
 for each pair $\{f_i, f_j\}, i \neq j$ in G' **do**
 $Spoly(f_i, f_j) \xrightarrow{G'}_+ r$;
 if $r \neq 0$ **then**
 $G := G \cup \{r\}$;
 end
 end
until $G = G'$;

Buchberger's algorithm takes pairs of polynomials (f_i, f_j) in the basis G and combines them into “ S -polynomials” ($Spoly(f_i, f_j)$) to cancel leading terms. The S -polynomial is then reduced (divided) by all elements of G to a remainder r , denoted as $Spoly(f_i, f_j) \xrightarrow{G}_+ r$. This process is repeated for all unique pairs of polynomials, including those created by newly added elements, until no new polynomials are generated; ultimately constructing the Gröbner basis.

Example 4.13 Consider the ideal $I \subset \mathbb{Q}[x, y]$, $I = \langle f_1, f_2 \rangle$, where $f_1 = yx - y$, $f_2 = y^2 - x$. Assume a degree-lexicographic term ordering with $y > x$ is imposed.

First, we need to compute $Spoly(f_1, f_2) = x \cdot f_2 - y \cdot f_1 = y^2 - x^2$. Then we conduct a polynomial reduction $y^2 - x^2 \xrightarrow{f_2} x^2 - x \xrightarrow{f_1} x^2 - x$. Let $f_3 = x^2 - x$. Then G is updated as $\{f_1, f_2, f_3\}$. Next we compute $Spoly(f_1, f_3) = 0$. So there is no new polynomial generated. Similarly, we compute $Spoly(f_2, f_3) = x \cdot y^2 - x^3$, followed by $x \cdot y^2 - x^3 \xrightarrow{f_1} y^2 - x^3 \xrightarrow{f_2} x - x^3 \xrightarrow{f_2} 0$. Again, no polynomial is generated. Finally, $G = \{f_1, f_2, f_3\}$.

When computing a Gröbner basis, it's important to note that if $lt(f_i)$ and $lt(f_j)$ have no common variables, the S -poly reduction step in Buchberger's algorithm, $Spoly(f_i, f_j) \xrightarrow{G'}_+$

r , will produce $r = 0$.

Proof. If $lt(f)$ and $lt(g)$ have no common variables, $L = lcm(lt(f), lt(g)) = lt(f) \cdot lt(g)$. Then:

$$Spoly(f, g) = \frac{L}{lt(f)} \cdot f - \frac{L}{lt(g)} \cdot g = \frac{lt(f) \cdot lt(g)}{lt(f)} \cdot f - \frac{lt(f) \cdot lt(g)}{lt(g)} \cdot g = lt(g) \cdot f - lt(f) \cdot g$$

Thus, every monomial in $Spoly(f, g)$ is divisible by either $lt(f)$ or $lt(g)$, so computing $Spoly(f, g) \xrightarrow{f, g} r$ will give $r = 0$. ■

As mentioned previously, a Gröbner basis gives a decision procedure to test for polynomial membership in an ideal. This is explained in the following Theorem.

Theorem 4.1 Ideal Membership Test *Let $G = \{g_1, \dots, g_t\}$ be a Gröbner basis for an ideal $I \subset \mathbb{K}[x_1, \dots, x_d]$ and let $f \in \mathbb{K}[x_1, \dots, x_d]$. Then $f \in I$ if and only if the remainder on division of f by G is zero.*

In other words,

$$f \in I \iff f \xrightarrow{G} 0 \quad (4.25)$$

Example 4.14 *Consider Example 4.13. Let $f = y^2x - x$ be another polynomial. Note that $f = yf_1 + f_2$, so $f \in I$. If we divide f by f_1 first and then by f_2 , we will obtain a zero remainder. However, since the set $\{f_1, f_2\}$ is not a Gröbner basis, we find that the reduction $f \xrightarrow{f_2} x^2 - x \xrightarrow{f_1} x^2 - x \neq 0$; i.e. dividing f by f_2 first and then by f_1 does not lead to a zero remainder. However, if we compute the Gröbner basis G of I , $G = \{x^2 - x, yx - y, y^2 - x\}$, dividing f by polynomials in G in any order will always lead to the zero remainder. Therefore, one can decide ideal membership unequivocally using the Gröbner basis.*

A Gröbner basis is not a canonical representation of an ideal, but a **reduced Gröbner basis** is. To compute a reduced Gröbner basis, we first must compute a minimal Gröbner basis.

Definition 4.17 *A minimal Gröbner basis for a polynomial ideal I is a Gröbner basis G for I such that*

- $lc(g_i) = 1, \forall g_i \in G$
- $\forall g_i \in G, lt(g_i) \notin \langle lt(G - \{g_i\}) \rangle$

A **minimal** Gröbner basis is a Gröbner basis such that all polynomials have a coefficient of 1 and no leading term of any element in G divides another in G . Given a Gröbner basis G , a minimal Gröbner basis can be computed as follows:

1. Minimize every $g_i \in G$, i.e $g_i = g_i/lc(g_i)$
2. For $g_i, g_j \in G$ where $i \neq j$, remove g_i from G if $lt(g_i) \mid lt(g_j)$, i.e. remove every polynomial in G whose leading term is divisible by the leading term of some other polynomial in G .

A minimal Gröbner basis can then be further reduced.

Definition 4.18 A **reduced Gröbner basis** for a polynomial ideal I is a Gröbner basis $G = \{g_1, \dots, g_t\}$ such that:

- $lc(g_i) = 1, \forall g_i \in G$
- $\forall g_i \in G, \text{no monomial of } g_i \text{ lies in } \langle lt(G - \{g_i\}) \rangle$

G is a reduced Gröbner basis when no monomial of any element in G divides the leading term of another element. This reduction is achieved as follows:

Definition 4.19 Let $H = \{h_1, \dots, h_t\}$ be a minimal Gröbner basis. Apply the following reduction process:

- $h_1 \xrightarrow{G_1}_+ g_1$, where g_1 is reduced w.r.t. $G_1 = \{h_2, \dots, h_t\}$
- $h_2 \xrightarrow{G_2}_+ g_2$, where g_2 is reduced w.r.t. $G_2 = \{g_1, h_3, \dots, h_t\}$
- $h_3 \xrightarrow{G_3}_+ g_3$, where g_3 is reduced w.r.t. $G_3 = \{g_1, g_2, h_4, \dots, h_t\}$
- \vdots
- $h_t \xrightarrow{G_t}_+ g_t$, where g_t is reduced w.r.t. $G_t = \{g_1, g_2, g_3, \dots, g_{t-1}\}$

Then $G = \{g_1, \dots, g_t\}$ is a **reduced Gröbner basis**.

Subject to the given term order $>$, such a reduced Gröbner basis $G = \{g_1, \dots, g_t\}$ is a **unique canonical representation of the ideal**, as given by Proposition 4.3 below.

Proposition 4.3 [10] *Let $I \neq \{0\}$ be a polynomial ideal. Then, for a given monomial ordering, I has a unique reduced Gröbner basis.*

Gröbner basis computation depends on the *Spoly* computation, which in turn depends on the leading terms of polynomials. Thus, different monomial orderings can result in different Gröbner basis computations for the same ideal. Computation using a degrevlex ordering tends to be least difficult, while lex ordering tends to be computationally complex. However, lex ordering used in the computation of Gröbner basis is an **elimination ordering**; that is, the polynomials contained in the resulting Gröbner basis have continuously eliminated variables in the ordering. This is the topic of elimination theory, which is described in the following section.

4.4 Elimination Theory

Elimination theory uses **elimination ordering** to systematically eliminate variables from a system of polynomial equations.

Definition 4.20 *Let I be an ideal in $\mathbb{K}[x_1, \dots, x_k]$. The i -th **elimination ideal** I_i is the ideal of $\mathbb{K}[x_{i+1}, \dots, x_k]$ defined by*

$$I_k = I \cap \mathbb{K}[x_{i+1}, \dots, x_k] \quad (4.26)$$

The elimination ideal I_i has eliminated all the variables x_1, \dots, x_i , i.e. it only contains polynomials with variables in x_{i+1}, \dots, x_k . We can generate elimination ideals by computing Gröbner bases using elimination orderings.

Theorem 4.2 [Elimination Theorem] *Let I be an ideal in $\mathbb{K}[x_1, \dots, x_k]$ and let G be the Gröbner basis of I with respect to the lex order (elimination order) $x_1 > x_2 > \dots > x_k$. Then, for every $0 \leq i \leq k$,*

$$G_k = G \cap \mathbb{K}[x_{i+1}, \dots, x_k] \quad (4.27)$$

is a Gröbner basis of the i -th elimination ideal I_i .

This can be better visualized using the following example.

Example 4.15 Given the following equations in $\mathbb{R}[x, y, z]$

$$x^2 + y + z = 1$$

$$x + y^2 + z = 1$$

$$x + y + z^2 = 1$$

let I be the ideal generated by these equations:

$$I = \langle x^2 + y + z - 1, x + y^2 + z - 1, x + y + z^2 - 1 \rangle$$

The Gröbner basis for I with respect to lex order $x > y > z$ is found to be $G = \{g_1, g_2, g_3, g_4\}$ where

$$g_1 = x + y + z^2 - 1$$

$$g_2 = y^2 - y - z^2 + z$$

$$g_3 = 2yz^2 + z^4 - z^2$$

$$g_4 = z^6 - 4z^4 + 4z^3 - z^2$$

Notice that while g_1 has variables in $\mathbb{R}[x, y, z]$, g_2 and g_3 only have variables in $\mathbb{R}[y, z]$ and g_4 only has variables in $\mathbb{R}[z]$. Thus, $G_1 = G \cap \mathbb{R}[y, z] = \{g_2, g_3, g_4\}$ and $G_2 = G \cap \mathbb{R}[z] = \{g_4\}$

Also notice that since g_4 only contains variable z , and since $g_4 = 0$, a solution for z can be obtained. This solution can then be applied to g_2 and g_3 to obtain solutions for y , and so on.

Elimination theory provides the basis for our abstraction approach.

4.5 Hilbert's Nullstellensatz

In this section, we further describe some correspondence between ideals and varieties in the context of algebraic geometry. The celebrated results of Hilbert's Nullstellensatz establish these correspondences.

Definition 4.21 A field $\overline{\mathbb{K}}$ is an **algebraically closed** field if every polynomial in one variable with degree at least 1, with coefficients in $\overline{\mathbb{K}}$, has a root in $\overline{\mathbb{K}}$.

In other words, any non-constant polynomial equation over $\overline{\mathbb{K}}[x]$ always has at least one root in $\overline{\mathbb{K}}$. Every field \mathbb{K} is contained in an algebraically closed one $\overline{\mathbb{K}}$. For example, the field of real numbers \mathbb{R} is not an algebraically closed field, because $x^2 + 1 = 0$ has no root in \mathbb{R} . However, $x^2 + 1 = 0$ has roots in the field of complex numbers \mathbb{C} , which is an algebraically closed field. In fact, \mathbb{C} is the algebra closure of \mathbb{R} . Every algebraically closed field is an infinite field.

An interesting result is one of **Strong Nullstellensatz**. The strong Nullstellensatz establishes the correspondence between radical ideals and varieties.

Theorem 4.3 (The Strong Nullstellensatz [10]) Let $\overline{\mathbb{K}}$ be an algebraically closed field, and let J be an ideal in $\overline{\mathbb{K}}[x_1, \dots, x_d]$. Then we have $I(V_{\overline{\mathbb{K}}}(J)) = \sqrt{J}$.

Strong Nullstellensatz holds a special form over Galois fields \mathbb{F}_q . Recall the notion of vanishing polynomials over Galois fields from the previous chapter: for every element $A \in \mathbb{F}_q$, $A - A^q = 0$; then the polynomial $x^q - x$ in $\mathbb{F}_q[x]$ vanishes over \mathbb{F}_q . Thus, if $J_0 = \langle x^q - x \rangle$ is the ideal generated by the vanishing polynomial, $V(J_0) = \mathbb{F}_q$. Similarly, over $\mathbb{F}_q[x_1, \dots, x_d]$, J_0 is $\langle x_1^q - x_1, \dots, x_d^q - x_d \rangle$ and $V(J_0) = (\mathbb{F}_q)^d$.

Definition 4.22 Given two ideals, $I_1 = \langle f_1, \dots, f_s \rangle$ and $I_2 = \langle g_1, \dots, g_t \rangle$, then the **sum of ideals** $I_1 + I_2 = \langle f_1, \dots, f_s, g_1, \dots, g_t \rangle$

Theorem 4.4 (Strong Nullstellensatz over \mathbb{F}_q) For any Galois field \mathbb{F}_q , let $J \subset \mathbb{F}_q[x_1, \dots, x_d]$ be any ideal and let $J_0 = \langle x_1^q - x_1, x_d^q - x_d \rangle$ be the ideal of all vanishing polynomials. Let $V_{\mathbb{F}_q}(J)$ denote the variety of J over \mathbb{F}_q . Then, $I(V_{\mathbb{F}_q}(J)) = J + J_0$.

The proof is given in [67]. Here, we provide a proof outline.

Proof.

1. $\sqrt{J + J_0} = J + J_0$. That is, $J + J_0$ is a radical ideal.
2. $V_{\mathbb{F}_q}(J) = V_{\mathbb{F}_q}(J + J_0)$.

3. Due to (2), $I(V_{\mathbb{F}_q}(J)) = I(V_{\mathbb{F}_q}(J + J_0))$. By Strong Nullstellensatz, this is equivalent to $\sqrt{J + J_0}$. Finally, due to (1), this is equivalent to $J + J_0$.

■

4.6 Concluding Remarks

Our approach to word-level abstraction of Galois field arithmetic circuits applies concepts of polynomial ideals, varieties, Gröbner basis, and elimination theory to abstract a word-level representation of the circuit. This approach is described in the next chapter. However, a Gröbner basis computation is prohibitively expensive; thus we propose improvements to our original approach in a subsequent chapter.

CHAPTER 5

FUNCTIONAL VERIFICATION OF SEQUENTIAL NORMAL BASIS MULTIPLIER

In order to utilize our traversal algorithm, it is necessary to find out a sort of suitable circuit benchmarks which is easy to compute its Grbner basis (GB). From the work of Lv et al. [?], we learn that arithmetic circuits in Galois field (GF) is convertible to an ideal of circuit polynomials, and the ideal generators form a GB themselves when applying reverse topological term order. Furthermore, according to the work of Pruss et al. [?], with a limited computation complexity, we can abstract the word-level signature of an arithmetic component working in GF. Thus, we consider the possibility of applying our traversal algorithm on sequential Galois field circuits. In each frame, we can use the techniques from [?] to abstract the word-level signature of the combinational logic, which corresponds to the transition function in our traversal algorithm. As a result, we manage to find a type of sequential GF multiplier which we can apply our traversal algorithm to actually verify its functional correctness.

5.1 Motivation

From the preliminaries (Chapter 3) about FSMs, we learn that the Moore machine does not rely on inputs for state transitions. As depicted in Figure 5.1(a), a typical Moore machine implementation consists of combinational logic component and register files, where r_0, \dots, r_k are present state (PS) variables standing for state inputs (SI), and r'_0, \dots, r'_k are next state (NS) variables standing for state outputs (SO). Figure 5.1(b) shows the state transition graph (STG) of a Moore machine with $k + 1$ distinct states. We notice that it forms a simple chain, with k consecutive transitions the machine reaches final state R_k .

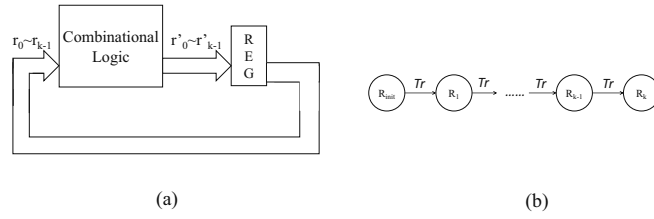


Figure 5.1: A typical Moore machine and its state transition graph

In practice, some arithmetic components are designed in sequential circuits similar to the structure in Figure 5.1(a). Initially the operands are loaded into the registers, then the closed circuit executes without taking any additional information from outside, and store the results in registers after k clock cycles. Its behavior can be described using STG in Figure 5.1(b): state R denotes the bits stored in registers. Concretely, R_{init} is the initial state (usually reset to all zeros), R_1 to R_{k-1} are intermediate results stored as SO of current state and SI for next state, and R_k (or R_{final}) is the final result given by arithmetic circuits (and equals to the answer to arithmetic function when circuit is working functional correctly). This kind of design results in reusing a smaller combinational logic component such that the area cost is greatly optimized. However, it also brings difficulties in verifying the the circuit functions.

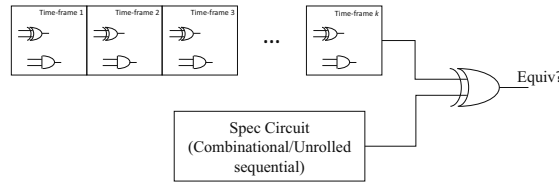


Figure 5.2: Conventional verification techniques based on bit-level unrolling and equivalence checking

Conventional methods to such a sequential circuit may consist of unrolling the circuit for k time-frames, and performing an equivalence checking between the unrolled

machine and the specification function. However, the number of gates will grow fast when doing unrolling on bit-level. Meanwhile the structural similarity based equivalence checking techniques will fail when the sequential circuit is highly customized and optimized from the naive specification function. As a result, conventional techniques is grossly inefficient for large circuits. Therefore, a new method based on our proposed word-level FSM traversal technique is worthy to be explored.

5.2 Normal Basis Multiplier over Galois Field

Given a Galois field (GF) \mathbb{F}_{2^k} is a finite field with 2^k elements and characteristic equals to 2. Its elements can be written in polynomials of α , when there is an irreducible polynomial $p(\alpha)$ defined.

If we use a basis $(1, \alpha, \alpha^2, \alpha^3, \dots, \alpha^{k-1})$, we can easily transform polynomial representations to binary bit-vector representations by recording the coefficients. For example,

Table 5.1: Bit-vector, Exponential and Polynomial representation of elements in $\mathbb{F}_{2^4} = \mathbb{F}_2[x] \pmod{x^4 + x^3 + 1}$

$a_3a_2a_1a_0$	Polynomial	$a_3a_2a_1a_0$	Polynomial
0000	0	1000	α^3
0001	1	1001	$\alpha^3 + 1$
0010	α	1010	$\alpha^3 + \alpha$
0011	$\alpha + 1$	1011	$\alpha^3 + \alpha + 1$
0100	α^2	1100	$\alpha^3 + \alpha^2$
0101	$\alpha^2 + 1$	1101	$\alpha^3 + \alpha^2 + 1$
0110	$\alpha^2 + \alpha$	1110	$\alpha^3 + \alpha^2 + \alpha$
0111	$\alpha^2 + \alpha + 1$	1111	$\alpha^3 + \alpha^2 + \alpha + 1$

Basis $(1, \alpha, \alpha^2, \alpha^3, \dots, \alpha^{k-1})$ is called **standard basis** (StB), which results in a straightforward representation for elements, and operations of elements such as addition and subtraction. The addition/subtraction of GF elements in StB follows the rules of polynomial addition/subtraction where coefficients belong to \mathbb{F}_2 . In other words, using the definition of *exclusive or* in Boolean algebra, element A add/subtract by element B in StB is defined as

$$\begin{aligned} A + B = A - B &= (a_0, a_1, \dots, a_{k-1}) \bigoplus (b_0, b_1, \dots, b_{k-1}) \\ &= (a_0 \oplus b_0, a_1 \oplus b_1, \dots, a_{k-1} \oplus b_{k-1}) \end{aligned}$$

CHAPTER 6

CONCLUSIONS AND FUTURE WORK

A combinational circuit with k -inputs and k -outputs implements Boolean functions $f : \mathbb{B}^k \rightarrow \mathbb{B}^k$, where $\mathbb{B} = \{0, 1\}$. The function can also be construed as a mapping $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$, where \mathbb{F}_{2^k} denotes the Galois field of 2^k elements. A circuit with differing input and output sizes computes $f : \mathbb{B}^m \rightarrow \mathbb{B}^n$, which can be represented as a function over Galois fields $f : \mathbb{F}_{2^m} \rightarrow \mathbb{F}_{2^n}$. This circuit can also be analyzed as the function $f : \mathbb{F}_{2^k} \rightarrow \mathbb{F}_{2^k}$, where $\mathbb{F}_{2^k} \supset \mathbb{F}_{2^m}$ and $\mathbb{F}_{2^k} \supset \mathbb{F}_{2^n}$.

Every function f over \mathbb{F}_{2^k} is a polynomial function — i.e., there exists a unique, minimal, canonical polynomial \mathcal{F} that describes f . This dissertation presented novel techniques based on computer-algebra and algebraic-geometry to derive the canonical (word-level) polynomial representation from the circuit as $Z = \mathcal{F}(A)$ over \mathbb{F}_{2^k} , where A and Z denote, respectively, the input and output bit-vectors of the circuit.

A theory for word-level polynomial abstraction of bit-level circuits over Galois fields is first developed. This theory is derived using techniques from computer-algebra, notably the theory of Gröbner basis. However, due to the computational complexity of computing a Gröbner basis, the solution is not scalable to large designs. In order to overcome these limitations, new symbolic computational algorithms are developed and refined. The algorithms employ techniques from the binomial expansion over \mathbb{F}_{2^k} and \mathbb{F}_4 -style reduction and can exploit hierarchy in a given circuit. Finally, an efficient implementation of the algorithmic approach is presented.

Experiments show that the proposed approach works exceptionally well for abstracting word-level Galois field arithmetic circuits. It has been shown that the approach can abstract and verify these types of circuits with up to 1024-bit datapaths. Other contemporary techniques cannot to verify these types for circuits beyond 163-bits and fail to abstract them beyond 32-bits.

However, in cases of random logic, the abstraction approach can generate high-degree polynomials:

$$X^{q-1} + X^{q-2} \dots \quad (6.1)$$

In these cases, the polynomials derived during the computation are dense, and the computational complexity of manipulating such polynomials makes abstraction infeasible.

6.1 Future Work

Due to the modular nature of the proposed solution, there are many potential future research directions that can be explored.

6.1.1 Hardware Acceleration

The first reduction step, $f_Z \xrightarrow{F-f_{z_i}, F_0} r$ is the most computationally complex part of the proposed abstraction approach. This reduction could be implemented using a hardware accelerator. Significant speed-ups have been observed in GPU implementations of circuit simulation algorithms [104]. Furthermore, this work has shown cases where multiple, independent abstractions need to be computed at the same time, such as when abstracting a word-level representation of a composite field multiplier.

These abstractions can be computed in parallel with one another, and this parallelism could then be exploited using a GPU. Furthermore, our approach to compute the abstractions uses an $F4$ -style reduction procedure, which performs many complex computations over a large matrix. Operations over matrices can be suitably implemented using a GPU. Lastly, the substitution by $a_i = \mathcal{F}(A)$ is trivially parallelized. Thus, further study is proposed to implement word-level abstraction on a general purpose GPU.

6.1.2 Integration with EDA Tools

The proposed canonical word-level abstraction approach is a full, self-contained solution. It can thus be integrated into other EDA tools. There are direct applications of word-level abstractions to design synthesis. For instance, the approach can compute a functional decomposition of a logic or it could be used in high-level RTL synthesis. Since the derived abstraction is canonical, it can also be used in verification engines such as SMT solvers. The abstraction approach most efficiently handles AND/XOR logic, so

it could be used to complement approaches in the mentioned tools that are efficient over AND/OR logic.

6.1.3 Polynomial Reductions using Data-Structures

The abstraction approach poorly handles chains of OR gates due to their representation as polynomials of Galois fields. Other polynomial-based tools [105] have shown that it can be beneficial to represent polynomials internally as decision diagrams. Thus, it is worthwhile to explore whether it is possible to implement the algorithmic approach in a different data-structure that is better-suited for handling this type of logic. One candidate data-structure is the And-Invert-Graph, as this structure efficiently handles OR gates. The widely-used tool ABC [62] provides a very efficient, flexible, and open-source implementation of the AIG data structure.

Recall that a one-step reduction of the polynomial f by polynomial g , $f \xrightarrow{g} r$, is computed as:

$$r = f - \frac{LT(f)}{LT(g)} \cdot g \quad (6.2)$$

Over Boolean circuits, $\mathbb{B} \equiv \mathbb{F}_2$. Since the leading term of any monomial in \mathbb{B} is 1, and $-1 \equiv +1$, then

$$f - \left(\frac{LT(f)}{LT(g)} \cdot g\right) \equiv f + \left(\frac{LM(f)}{LM(g)} \cdot g\right) \quad (6.3)$$

which computes an XOR operation

$$f \oplus \left(\frac{LM(f)}{LM(g)} \cdot g\right) \quad (6.4)$$

while the \cdot operator acts as an AND operation \wedge . So one step of the reduction procedure can be computed as the following AND/XOR operation:

$$r = f \oplus \frac{LM(f)}{LM(g)} \wedge g \quad (6.5)$$

Thus, we propose an investigation into implementing the algorithms presented in this dissertation over AIGs.

6.1.4 Application to Sequential Circuit Verification

Sequential Galois field arithmetic circuits over \mathbb{F}_{2^k} take k -bit inputs and produce a k -bit result after k -clock cycles of operation. Formal verification of sequential arithmetic

circuits with large datapath sizes is beyond the capabilities of contemporary verification techniques. To address this problem, we described a verification method in [26] which uses the presented abstraction approach to implicitly unroll the sequential arithmetic circuit over multiple (k) clock-cycles. The resulting function computed by the state-registers of the circuit is represented canonically as a multi-variate word-level polynomial over \mathbb{F}_{2^k} . While directly applicable to sequential Galois field arithmetic circuits, this work needs to be further generalized in order to make applicable to any sequential state machine.

6.1.5 Application to Formal Software Verification

Computer algebra techniques based on Gröbner basis theory have been used in formal software verification [69]. In this work, a Gröbner basis computation is used to derive *loop invariants*. However, the derived invariants are not bit-precise, so not every invariant that is computed can be applied to the verification. As our approach maintains the input-output relationship in the abstraction, it could be applied to find bit-precise invariants.

6.1.6 Application to Integer Arithmetic Circuits

The abstraction approach derives a word-level representation of circuits over Galois fields, \mathbb{F}_{2^k} . In order to expand its usability, we conjecture whether it is possible to apply concepts from this approach to **abstract word-level representations of circuits over integer rings**, \mathbb{Z}_{2^k} . As any function over a Galois field \mathbb{F}_{2^k} is a polynomial function, there exists a polynomial which describes the word-level function of a given circuit over \mathbb{F}_{2^k} . However, not every function over an integer ring \mathbb{Z}_{2^k} is a polynomial function. Thus, a single polynomial which describes the function of a circuit over \mathbb{Z}_{2^k} is not guaranteed to exist. Even though there may not exist a single polynomial which describes the entire function over \mathbb{Z}_{2^k} , elimination theory and Gröbner basis still applies over this ring. Thus, it may be possible to modify the theory and implementation of our word-level abstraction approach in order to abstract a set of word-level polynomials over \mathbb{Z}_{2^k} .

APPENDIX

A.1 Representations of Base Field Elements over Extension Fields

Consider a field \mathbb{F}_q and a k -bit extension of this field, \mathbb{F}_{q^k} . This extension is created using a primitive, irreducible polynomial $P(x)$ of degree k over $\mathbb{F}_q[x]$. Any element $A \in \mathbb{F}_{q^k}$ can be represented as

$$A = a_0 + a_1\alpha + \cdots + a_{k-1}\alpha^{k-1} \quad (\text{A.1})$$

where $\{a_0, \dots, a_{k-1}\} \in \mathbb{F}_q$ and α is the primitive element of \mathbb{F}_{q^k} , i.e. $P(\alpha) = 0$. The goal is to derive the polynomial functions $a_i = \mathcal{F}(A)$ for all $0 \leq i < k$. Note that \mathbb{F}_q could itself be an extension field of a base field \mathbb{F}_p where $q = p^l$ for some $l \geq 1$.

Each a_i has the following property:

$$a_i = a_i^q \quad (\text{A.2})$$

Element A has a similar property:

$$A = A^{q^k} \quad (\text{A.3})$$

Examine what happens when Equation A.1 is raised by the power q . The following lemma is applied.

Lemma A.1 [85] *Let $\alpha_1, \dots, \alpha_t$ be any elements in \mathbb{F}_{p^k} . Then*

$$(\alpha_1 + \alpha_2 + \cdots + \alpha_t)^{p^i} = \alpha_1^{p^i} + \alpha_2^{p^i} + \cdots + \alpha_t^{p^i} \quad (\text{A.4})$$

for all integers $i \geq 0$.

Corollary A.1 *Since $q = p^l$ for some $l \geq 1$, Lemma A.1 is applicable to \mathbb{F}_{q^k} . Let $\alpha_1, \dots, \alpha_t$ be any elements in \mathbb{F}_{q^k} . Then*

$$(\alpha_1 + \alpha_2 + \cdots + \alpha_t)^{q^i} = \alpha_1^{q^i} + \alpha_2^{q^i} + \cdots + \alpha_t^{q^i} \quad (\text{A.5})$$

for all integers $i \geq 0$.

Applying Corollary A.1 to Equation gives:

$$A^{q^i} = a_0^{q^i} + a_1^{q^i} \alpha^{q^i} + \dots + a_{k-1}^{q^i} \alpha^{(k-1)q^i} \quad (\text{A.6})$$

Then, applying Equation A.2 to this result gives:

$$A^{q^i} = a_0 + a_1 \alpha^{q^i} + \dots + a_{k-1} \alpha^{(k-1)q^i} \quad (\text{A.7})$$

In this way, k unique equations are derived, $\{A, A^q, \dots, A^{q^{k-1}}\}$, along with k unknowns, $\{a_0, \dots, a_{k-1}\}$. These equations can be represented in matrix form, $\mathbf{A} = \mathbf{M}\mathbf{a}$, where $\mathbf{A} = \{A, A^q, \dots, A^{q^{k-1}}\}^T$, \mathbf{M} is a k by k matrix of coefficients $\in \mathbb{F}_{q^k}$, and $\mathbf{a} = \{a_0, \dots, a_{k-1}\}^T$:

$$\begin{bmatrix} 1 & \alpha & \alpha^2 & \dots & \alpha^{k-1} \\ 1 & \alpha^q & \alpha^{2q} & \dots & \alpha^{(k-1)q} \\ \vdots & \vdots & \vdots & & \vdots \\ 1 & \alpha^{q^{k-1}} & \alpha^{2q^{k-1}} & \dots & \alpha^{(k-1)q^{k-1}} \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ \vdots \\ a_{k-1} \end{bmatrix} = \begin{bmatrix} A \\ A^q \\ \vdots \\ A^{q^{k-1}} \end{bmatrix} \quad (\text{A.8})$$

Treat \mathbf{a} as a vector of unknowns, \mathbf{M} and \mathbf{A} as constants. This system of equations can be solved using Gaussian elimination. However, this system also has a special structure which can be exploited. \mathbf{M} is a k by k Vandermonde matrix of the form $V(\alpha, \alpha^q, \dots, \alpha^{q^{k-1}})$. Due to the property of Vandermonde matrices:

$$|\mathbf{M}| = \prod_{0 \leq i < j < k} (\alpha^{q^j} - \alpha^{q^i}) \quad (\text{A.9})$$

Since the elements $\{\alpha, \alpha^q, \dots, \alpha^{q^{k-1}}\}$ are distinct:

$$|\mathbf{M}| \neq 0 \quad (\text{A.10})$$

Thus, Cramer's rule can be applied to derive an equation for every a_i :

$$a_i = \frac{|\mathbf{M}_i|}{|\mathbf{M}|} \quad (\text{A.11})$$

where \mathbf{M}_i is \mathbf{M} with the column $\{\alpha^i, \alpha^{iq}, \dots, \alpha^{iq^{k-1}}\}^T$ replaced by \mathbf{A} .

$$\mathbf{M}_i = \begin{bmatrix} 1 & \alpha & \dots & \alpha^{i-1} & A & \alpha^{i+1} & \dots & \alpha^{k-1} \\ 1 & \alpha^q & \dots & \alpha^{(i-1)q} & A^q & \alpha^{(i+1)q} & \dots & \alpha^{(k-1)q} \\ \vdots & \vdots & \cdot & \vdots & \vdots & \vdots & \cdot & \vdots \\ 1 & \alpha^{q^{k-1}} & \dots & \alpha^{(i-1)q^{k-1}} & A^{q^{k-1}} & \alpha^{(i+1)q^{k-1}} & \dots & \alpha^{(k-1)q^{k-1}} \end{bmatrix} \quad (\text{A.12})$$

Computing $|\mathbf{M}_i|$ by interpolating along the A' column gives

$$|\mathbf{M}_i| = \sum_{j=0}^{k-1} (-1)^{(i+j)} A^{q^j} |V_{i+1}(\alpha, \dots, \alpha^{q^{(j-1)}}, \alpha^{q^{(j+1)}}, \dots, \alpha^{q^{(k-1)}})| \quad (\text{A.13})$$

where $V_i(x_1, \dots, x_n)$ is the Vandermonde matrix $V(x_1, \dots, x_n)$ with the i -th column skipped and an extra column added to the end.

$$V_i(x_1, \dots, x_n) = \begin{bmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{i-1} & x_1^{i+1} & \dots & x_1^n \\ 1 & x_2 & x_2^2 & \dots & x_2^{i-1} & x_2^{i+1} & \dots & x_2^n \\ \vdots & \vdots & \vdots & \cdot & \vdots & \vdots & \cdot & \vdots \\ 1 & x_n & x_n^2 & \dots & x_n^{i-1} & x_n^{i+1} & \dots & x_n^n \end{bmatrix} \quad (\text{A.14})$$

The computation of determinant of V_i is known to the linear algebra community to be

$$|V_i(x_1, \dots, x_n)| = |V(x_1, \dots, x_n)| \cdot S_{n-i}(x_1, \dots, x_n) \quad (\text{A.15})$$

where $S_i(x_1, \dots, x_n)$ is the i -th fundamental symmetric polynomial in $\{x_1, \dots, x_n\}$.

Thus, $|\mathbf{M}_i|$ is computed as.

$$\begin{aligned} |\mathbf{M}_i| &= \sum_{j=0}^{k-1} [(-1)^j A^{q^j} \\ &\quad \cdot |V(\alpha, \dots, \alpha^{q^{(j-1)}}, \alpha^{q^{(j+1)}}, \dots, \alpha^{q^{(k-1)}})| \\ &\quad \cdot S_{n-1-i}(\alpha, \dots, \alpha^{q^{(j-1)}}, \alpha^{q^{(j+1)}}, \dots, \alpha^{q^{(k-1)}})] \end{aligned} \quad (\text{A.16})$$

Lastly, this work has discovered the following proposition for the determinant $|\mathbf{M}|$.

Proposition A.1 *The determinant $|\mathbf{M}|$ has the property*

$$|\mathbf{M}|^q = (-1)^{k-1} |\mathbf{M}| \quad (\text{A.17})$$

Proof. Since \mathbf{M} is a Vandermonde matrix of the form $V(\alpha, \alpha^q, \dots, \alpha^{q(k-1)})$, then

$$|\mathbf{M}| = \prod_{0 \leq i < j < k} (\alpha^{q^j} - \alpha^{q^i}) \quad (\text{A.18})$$

Computing $|\mathbf{M}|^q$ gives

$$|\mathbf{M}|^q = \left[\prod_{0 \leq i < j < k} (\alpha^{q^j} - \alpha^{q^i}) \right]^q \quad (\text{A.19})$$

Applying Corollary A.1 to Equation A.19 gives

$$|\mathbf{M}|^q = \prod_{0 \leq i < j < k} (\alpha^{q^{j+1}} - \alpha^{q^{i+1}}) \quad (\text{A.20})$$

When $j = k - 1$, the product term is in the form $(\alpha^{q^k} - \alpha^{q^{i+1}})$. Since $\alpha^{q^k} = \alpha$ over \mathbb{F}_{q^k} , this term equivalent to $-(\alpha^{q^{i+1}} - \alpha)$. This gives the property:

$$|\mathbf{M}|^q = (-1)^{k-1} |\mathbf{M}| \quad (\text{A.21})$$

■

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