### CS 375 – Analysis of Algorithms

Professor Eric Aaron

Lecture – M W 1:00pm

**Lecture Meeting Location: Davis 117** 

#### Business

- Smaller Assignment 0 returned already
  - Let me know if there are problems accessing it

Please read the emailed Classwide Comments

- Smaller Assignment 1, due already
- Problem Set 0 out, due Sept. 21
- Project 1 due Sept. 28
  - Please direct project-specific questions to me, rather than to TAs
    - Questions about general concepts that show up on the project (e.g., Theta notation), though, rather than specifics, can go to TAs
  - Everyone was on a team as of yesterday
  - Let me know if there are problems / concerns with team assignments

#### Business, pt. 2

- Class will be cancelled Monday, Sept. 26
  - Will be an optional make-up class later in the semester
- Let's go over SA0 Exercise 1.f
  - If  $A=\{x,y,z\}$  and  $B=\{x,y\}$ , what is AxB?
  - AxB is, by definition, a set of ordered pairs—please be sure to use the correct notation and concepts (notation and semantics matter to CS! Just ask your compiler!)

### Asymptotic Analysis / Big-O Notation

• With insertion sort, if we gloss over minor details, we can see the number of operations (worst case) is *on the order of*  $n^2$ 

$$\left(\frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2}\right)n^2 + \left(c_1 + c_2 + c_4 + \frac{c_5}{2} - \frac{c_6}{2} - \frac{c_7}{2} + c_8\right)n - (c_2 + c_4 + c_5 + c_8).$$

- i.e., it is  $c*n^2$  + (lower order terms)
- ... for some constant c
- ... where n is the size of the input
- Definition: An algorithm runs in time O(f(n)) (read: "order of f(n)") means:

  So, we'd say Insertion sort is  $O(n^2)$ 
  - There exist c > 0,  $n_0 > 0$  s.t. ...
  - ...for all  $n \ge n_0$ , the running time of the algorithm is less than c\*f(n)
  - (Basically, that means that for every input "big enough," the running time is less than a constant times f(n))

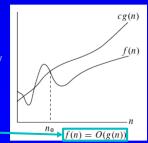
### Asymptotic Analysis / Big-O Notation

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Defn. repeated from prev. slide

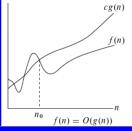
- ... for all  $n \ge n_0$ , the running time of the algorithm is less than c\*f(n)
- (Basically, that means that for every input "big enough," the running time is less than a constant times f(n))
- Informal Intuition: Big-O is about *upper bounds*
  - If a runtime T(n) is O(f(n)), then for "big enough" n, T(n) is upper bounded by c\*f(n) for some leading constant c

Note: This figure from your textbook uses f(n) for runtime and g(n) for the bounding function, but it's the same idea—f(n) is O(g(n)), upper bounded by  $c^*g(n)$  for all  $n \ge n_0$ 



## Breaking Down the Phrase "Big-O Asymptotic Complexity"

- Major takeaways about Big-O Asymptotic Complexity
- In fact, there's one major takeaway for each of the three words in the phrase "Big-O Asymptotic Complexity", based on their meaning.
- It's best to work from the end of that phrase to the beginning...
  - Complexity: It's about describing the resource usage of an algorithm
  - Asymptotic: It describes complexity based on behavior on large input sizes n small inputs aren't really the point
  - Big-O: It's an upper bound on complexity on large inputs



Big-O: In this picture, for large enough n (that is,  $n \ge n_0$ ), f(n) is upper bounded by a leading constant c times g(n)

## Asymptotic Analysis / Big-O Notation

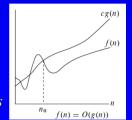
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Recall: Big-O is about upper bounds

- This runtime measure captures some essential characteristic of an algorithm
  - $O(n^2)$  algorithms differ from  $O(n^3)$ , from  $O(n \log n)$ , etc.
- Can talk about asymptotic complexity classes
  - We say Insertion sort is in complexity class  $O(n^2)$



#### Conventional Wisdom about Big-O Classes

- If two algorithms are in different big-O classes, then there seems to be something substantially different about their speeds
  - Even though, for some small values of n, an  $O(2^n)$  algorithm could be faster than an  $O(n^2)$  algorithm...
  - It is nonetheless true that 2<sup>n</sup> grows faster than n<sup>2</sup>...
  - Thus, an  $O(2^n)$  algorithm is, in a relevant sense, *inherently* slower than an  $O(n^2)$  algorithm

Important Vocab (see CLRS, pg. 28): These functions of n have very different orders of growth—i.e., how fast they grow as n gets larger

- For an O(n) algorithm (called "linear")
  - Doubling the input size does what to the running time?
  - Increasing input size by factor of 100 does what to running time?
- For an O(n<sup>2</sup>) algorithm ("quadratic")
  - Doubling the input size does what to the running time?
  - Increasing input size by factor of 100 does what to running time?
- For an O(2<sup>n</sup>) algorithm ("exponential")
  - Doubling the input size does what to the running time?

### Common complexity measures and how they relate to input sizes

- Algorithms are sometimes described by their time complexity. There are
  - Logarithmic algorithms
  - Quadratic algorithms
  - Exponential algorithms
  - Factorial algorithms
  - etc.
- To see which kind is fastest, see how these functions grow with increases in the input size:

n	log <sub>10</sub> n	n <sup>2</sup>	2 <sup>n</sup>	n!
1	0	1	2	1
10	1	100	1024	3628800
50	1.70	2500	1.13e15	3.04e64
100	2	10000	1.27e30	9.44e157

#### Using the Big-O Definition

- Definition:  $O(g(n)) = \{f(n) \mid \text{ \ exists } c, n_0 > 0 \text{ s.t. \ \ forall } n \ge n_0, \\ 0 \le f(n) \le c * g(n) \}$
- Is each of the below statements true? Explain your answers!
  - 1.  $100n + 5 = O(n^2)$
  - 2.  $n^2/2 3n = O(n^2)$
  - 3.  $100n^2 = O(n^2)$
  - 4.  $100n^2 = O(n^3)$
  - 5.  $0.01n^3 = O(n^2)$
  - 6.  $n \lg n = O(\lg^2 n)$
  - 7.  $2^{n+1} = O(2^n)$
  - 8.  $2^{2n} = O(2^n)$

#### Using the Big-O Definition

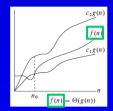
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Pro Tip on how to explain these: In general, when explaining why an existential ("\exists") statement is true, explicitly give some witness value(s) that make it true as part of the explanation.

Here, if a statement is true, can you give specific values for c,  $n_0$  that make it true?

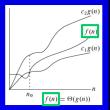
#### Big "Oh... there's more?" Notation

- Theta notation: Asymptotically tight bound
  - Definition:  $\theta(g(n)) = \{f(n) \mid \text{ \exists } c1, c2, n_0 > 0$ s.t. \forall  $n \ge n_0, \ 0 \le c1 * g(n) \le f(n) \le c2 * g(n) \}$

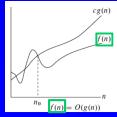


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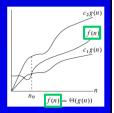


Reminder--defn of Big-O:  $O(g(n)) = \{f(n) \mid \text{ \center} c, \ n_0 > 0 \}$ s.t. \forall  $n \geq n_0$ ,  $0 \leq f(n) \leq c^*g(n)$ 



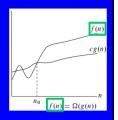
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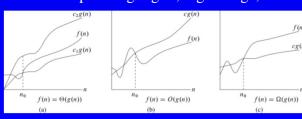
- Big-Omega notation: Asymptotic lower bound



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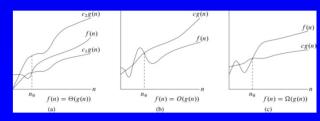
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- Big-Omega notation: Asymptotic lower bound
  - Definition:  $\Omega(g(n)) = \{f(n) \mid \text{ \exists } c, n_0 > 0 \text{ s.t. \exist} \}$  $0 \le c * g(n) \le f(n) \}$
- What is the relationship among big-O, big-Omega, and Theta

classes?



#### A Big-Symbols Theorem

- Definition:  $\theta(g(n))=\{f(n)\mid \text{ exists } c1,\ c2,\ n_0>0 \text{ s.t. } \text{ \forall } n\geq n_0,\ 0\leq c1*g(n)\leq f(n)\leq c2*g(n)\}$
- Definition:  $\Omega(g(n)) = \{f(n) \mid \text{exists } c, n_0 > 0 \text{ s.t. } \text{forall } n \ge n_0, 0 \le c * g(n) \le f(n) \}$
- *Theorem*: For any two functions f(n) and g(n),  $f(n) = \theta(g(n))$  iff f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$ .



### Using the $\theta$ , $\Omega$ Definitions

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  - $6. \quad 0.01n^3 = \Omega(n^2)$
  - $7. \quad 2^{n+1} = \theta(2^n)$
  - $8. 2^{2n} = \Omega(2^n)$

### Conventions: Order of Growth (to within a constant multiple)

- Two different levels of detail can be useful with asymptotic complexity:
  - Formal definitions and detailed explanations
  - Informal, high-level understanding and explanations
- When informally talking about asymptotic complexity, we often talk about the *order of growth* of runtime functions, to *within a (leading) constant multiple* 
  - We don't say exactly what the leading constant c or  $n_0$  threshold is
  - Order of growth of the highest order / dominant term is most important

In CS375, unless specified otherwise, feel free to use the informal, high-level approach

# Log It: Questions about exponents

- When solving equations, we may want to know the value of an exponent
  - E.g., in equation  $2^x=375$ , we might want to ask what value of x makes that true
  - How could we even phrase that question?
- The *logarithm* function lets us ask the question
  - So, for  $2^x = 375$ , we'd say  $x = log_2 375$  (read as "log base 2 of 375")
  - Examples:  $log_3 81 = 4$ ;  $log_4 16 = 2$ ;  $log_2 1024 = 10$
- Logarithms *are* exponents, so rules of exponentiation apply
  - E.g.,  $log_b(m^*n) = log_b m + log_b n$  If  $b^* = m$  and  $b^y = n$ , then  $b^{**}b^y = b^{**}y = m^*n$