

# ECS 122A Lecture 15 Jasper Lee

Complexity classes      Lecture \*slightly\* imprecise.

Decision problems : Boolean function

$$f(x) \mapsto T/F$$

Complexity class  $P$  - Set of decision problems computable in  $\text{poly}(|x|)$  time.

Examples

- Does there exist MST of weight  $\leq 5$ ?
- Is there a winning permutation in midterm problem?

Definition (Class  $NP$ ) ↖ nondeterministic polynomial

A problem  $f$  is in  $NP$  if there exists a deterministic alg  $V(x, w)$  and polynomials  $p, q$  s.t.

1.  $V(x, w)$  runs in  $p(|x| + |w|)$  time.

2. For all  $x$  s.t.  $f(x) = 1$ ,  $\exists w$  of length  $q(|x|)$  s.t.  $V(x, w) = 1$

3. For all  $x$  s.t.  $f(x) = 0$ ,  $\forall w$ ,  $V(x, w) = 0$ .

$x$  is called "instance"  
 $w$  "witness"

## Examples

SAT - Given Boolean formula, is there a satisfying assignment?

Formula

$V(x, w)$

assignment

Check if assignment makes formula true.

3-colouring : Given undirected, unweighted graph  $G$ , can we colour vertices by  $\{0, 1, 2\}$

colouring

Graph

$c: V \rightarrow \{0, 1, 2\}$

s.t. for all  $(u, v) \in E$ ,  $u, v$  have different colours?

$V(x, w)$

Check if colouring violates any edge

Every problem in P

$V(x, w)$  - Ignore  $w$  and just compute  $f(x)$ .

Informally, NP is the set of problems where it is possible to check solns efficiently

vs P : can find solns efficiently.

## Definition (NP-hard) Slightly informal

Includes  
non-decision  
problems  
like  
opt prob

A problem  $f$  is NP-hard if for every problem  $g \in \text{NP}$ ,  $g$  can be reduced to  $f$ .  
( $f$  is harder than every  $g \in \text{NP}$ ).

Q: Very stringent defn, do NP-hard problems even exist?

## Theorem (Cook - Levin)

SAT is NP-hard.

EC5 120 probably proves it.

The OG NP-hard problem, everything else derived from SAT.

## Definition (NP-complete)

A decision problem  $f$  is NP-complete if  $f \in \text{NP} \cap \text{NP-hard}$ .

(NP-complete problems are the hardest problems in NP)

Corollary  $P=NP$  iff  $\exists$  NP-complete problem w/ poly-time alg.

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## Approx Alg

Many opt problems are NP-hard!

Examples:

- Max Independent Set

- Max Clique

- 0-1 knapsack

Surprising, since we had  $O(Cn)$  DP alg

"Pseudo polynomial" algorithm

But  $C$  is capacity, takes  $\log C$  bits to specify in input.

Life lesson: if you can't beat the game, change the game.

Idea: Compute soln not too far from opt.

Alg (2-approx for 0-1 knapsack)

Hacky greedy alg

1. Sort items in non-increasing order of  $\frac{r_i}{w_i}$

2. Compute smallest  $i$  s.t.  $\sum_{j=1}^i w_j > C$

3. Pick better of  $\sum_{j=1}^{i-1} r_j$ ,  $r_i$  <sup>can change to  $r_{\max}$</sup>

Thm If OPT is opt reward, then  
alg gets obj  $\geq \text{OPT}/2$

Proof

Idea: Compare w/ fractional knapsack opt  
Let  $\text{OPT}_{\text{frac}}$  be opt for fractional knapsack  
for same instance,

achieved by  $p_1 = \dots = p_{i-1} = 1$

$$p_i = \frac{C - \sum_{j=1}^{i-1} w_j}{w_i}$$

$$\text{So } \sum_{j=1}^{i-1} r_j + p_i r_i = \text{OPT}_{\text{frac}} \geq \text{OPT}$$

By averaging argument,  $\max \left( \sum_{j=1}^{i-1} r_j, p_i r_i \right)$   <sup>$\leq 1$</sup>   
 $\geq \frac{1}{2} \text{OPT} \quad \square$

Q: Is approx factor of 2 the best we can do in poly time?

No ☺

Notion: Fully Polynomial Time Approx Scheme

Given any  $\epsilon > 0$ , find  $(1-\epsilon)$ -approx soln in  $\text{poly}(\frac{1}{\epsilon}, n)$  time.

Idea: Use <sup>different</sup> 0-1 knapsack DP, plus rounding.  
integer reward, real weights  
that takes  $O(r_{\max} \text{poly}(n))$  time.

DP:  $T[i, r] = \min$  weight subset of items  $1..i$  w/ reward at least  $r$

$$T[i, r] = \min(T[i-1, r], w_i + T[i-1, r-r_i])$$

$$\text{Size } n \times \sum r_i \leq n \times n \times r_{\max}$$

Rounding: Need to make sure  $r_{\max}$  is  $\text{poly}(\frac{1}{\epsilon}, n)$

$$\text{Let } k = \epsilon r_{\max} / n$$

$$\text{Then consider } r'_i = \left\lceil \frac{r_i}{k} \right\rceil k$$

$$\text{The number of reward values} \leq r_{\max} / k = \frac{n}{\epsilon}$$

So runtime =  $O(n^3/\epsilon)$  for rounded DP.

Approx ratio can be calculated to be  $(1-\epsilon)$ .

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### In approximability

Some NP-hard optimisation problems have  
no good approx alg!

Travelling Salesman Problem (TSP):

Given an undirected weighted graph  $G$ ,  
find a min weight "Hamiltonian" cycle.

Hamiltonian Cycle: Cycle on graph visiting  
every vertex exactly once.

NP-hard just to find a Ham cycle,  
so TSP is also NP-hard.

Thm (Inapproximability of TSP)

For any  $\alpha \in (1, 2^n)$ , there is  
no poly time  $\alpha$ -approx alg for TSP  
unless  $P=NP$ .

Proof

Suppose there is a poly time  $\alpha$ -approx alg  
for TSP.

Given unweighted graph  $G$ ,

construct new graph  $G' = (V', E')$

where  $V' = V$

$E' = E$  w/ weight 1

plus  
 $(V \times V) \setminus E$  w/ weight  $\alpha n + 2$

If  $G$  has Hamiltonian cycle

then  $G'$  TSP must be a Ham Cycle on  $G$

(any non- $G$  edge adds  $\alpha n + 1$  cost  
to TSP,  
 $> \alpha \cdot \text{length of cycle}$ )



If  $G$  has no Ham cycle,

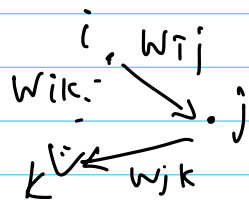
$G'$  TSP must contain non- $G$  edge  $\square$

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Problem solving lesson:

If you can't beat the game, look for rules / assumptions you missed.

Metric TSP: Graph weights satisfy



triangle inequality.

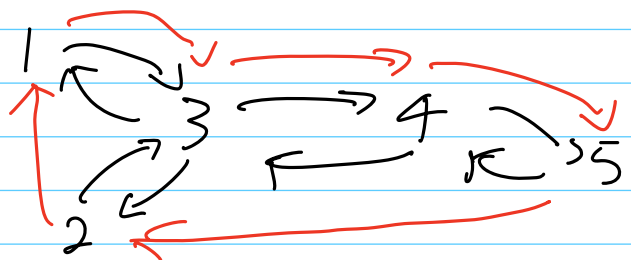
$$w_{ik} \leq w_{ij} + w_{jk} \text{ for all } i, j, k$$

Alg (2-Approx for metric TSP)

1. Compute MST  $T$  of graph  $G$
2. Compute DFS tour of  $T$ .
3. Turn DFS tour into Hamiltonian cycle by skipping visited vertices

Example MST + Tour

Ham cycle



Thm Alg returns Ham cycle w/ cost  
at most 2 times min TSP tour.

Proof

Consider min TSP tour. Delete any edge,  
this path is a spanning tree.

So  $w(\text{min TSP tour}) \geq w(\text{MST})$

Now  $w(\text{alg output}) \leq 2 \cdot w(\text{MST}) \quad \square$