

# ECS 122A Lecture 10

## Greedy Algorithms

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Def (From course textbook)

Construct a <sup>(partial)</sup> solution iteratively,  
via a seq of short-sighted decisions/choices,  
and hope that it works out at the end.

**WARNING:** "Most" greedy algs are wrong!

Note: But greedy algs can be good "approximation" algs  
(Very out of scope for 122A).

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## Fractional knapsack

Setting:

- Knapsack has capacity  $C \geq 0$

- List of  $n$  **divisible** items (think liquid)

  - weight  $w_i \geq 0$

  - Reward  $r_i \geq 0$

can take only a  
fraction  $p_i$  of item  $i$

and get reward  $p_i r_i$ .

Constraint: Total weight  $\leq C$

What are some possible greedy strategies  
(which might be wrong)?

Alg candidates:

Greedy fill knapsack w/:

- Smallest  $w_i$ ?
- Biggest  $r_i$ ?
- Biggest reward density  $r_i/w_i$ ?

Counterexample:

- Smallest  $w_i$ : Capacity 1  
Item 1: weight 1, reward 0  
Item 2: 100, 1

- Biggest  $r_i$ :  
Item 1: weight 100, reward 100  
Item 2: 1, 2

Alg:

Sort items in decreasing  $r_i/w_i$

$\text{total\_weight} \leftarrow 0$

for  $i = 1$  to  $n$

if  $\text{total\_weight} + w_i \leq C$ :

Take  $p_i = 1$

else take  $p_i = \max((C - \text{total\_weight})/w_i, 0)$

Yeah but why is it correct?!

Correctness of greedy alg:

**WRONG** intuition (commonly held):

Make a series of locally opt choices to get a globally opt soln.

Issue:

- Partial solutions might not be evaluable
- Doesn't apply to "find me any soln" problems

Correct intuition: By induction

For every choice we made,  
we did not \*\*\*\* up.

Common framework to turn this into a proof:

Induction variable: # of choices made in  
alg / partial soln

Induction hypothesis:

For the partial soln constructed from the  
first  $i$  choices of the alg, there exists

an optimal / feasible soln that is "compatible"  
w/ the partial soln.

Sometimes  
may not  
want to  
follow this  
template

Need to be specific about what "compatible" means.

Analyzing fractional knapsack alg:

Induction hypothesis for the loop:

Let  $\rho_1, \dots, \rho_i$  be the partial soln constructed at the end of  $i^{\text{th}}$  loop.

Then exists an <sup>not "the"</sup> opt soln  $\rho_1^{(\text{opt})}, \dots, \rho_n^{(\text{opt})}$

s.t.  $\rho_1 = \rho_1^{(\text{opt})}, \dots, \rho_i = \rho_i^{(\text{opt})}$ .

Base case ( $i=0$ ) : trivially true.

Induction step:

Assume there is an opt soln

$\rho_1^{(\text{opt})}, \dots, \rho_n^{(\text{opt})}$

so that  $\rho_1 = \rho_1^{(\text{opt})}, \dots, \rho_i = \rho_i^{(\text{opt})}$

Now consider the  $(i+1)^{\text{st}}$  iter.

Either  $\rho_{i+1} = 1$  or  $\rho_{i+1} < 1$ , either way

$\rho_{i+1} \geq \rho_{i+1}^{(\text{opt})}$ .

If  $\rho_{i+1} = \rho_{i+1}^{(\text{opt})}$  we're done.

If not then  $\rho_{i+1} > \rho_{i+1}^{(\text{opt})}$

There must be  $(\rho_{i+1} - \rho_{i+1}^{(opt)}) \cdot w_i$  weight taken in items  $i+2$  to  $n$  in  $\rho^{(opt)}$ , otherwise just take more items in  $\rho^{(opt)}$  to give it at least as much reward.

Let's now construct a new opt soln  
 $\rho'_1, \rho'_2, \dots, \rho'_n$


s.t.  $\rho'_i = \rho_i, \dots, \rho'_{i+1} = \rho_{i+1}$

Swapping  
argument.  
Common  
proof strategy  
for greedy  
alg.

To do so, start from  $\rho^{(opt)}$  and swap  
 $(\rho_{i+1} - \rho_{i+1}^{(opt)}) \cdot w_i$  weight from items  
 $i+2$  to  $n$  to item  $i+1$ .

Since item  $i+1$  has at least as much  
reward density as items  $i+2$  to  $n$ ,  
 $\rho'$  has reward no worse than  $\rho^{(opt)}$ .

So  $\rho'$  is an opt soln too.

By construction,  $\rho'_i = \rho_i, \dots, \rho'_{i+1} = \rho_{i+1}$  

Wrap up: At the end of  $n^{th}$  loop,

$\exists$  opt soln  $\rho^{(opt)}$  s.t.  $\rho_1 = \rho_1^{(opt)}, \dots, \rho_n = \rho_n^{(opt)}$

So  $\rho$  is an opt soln 

## Choose your team tournament

Setting: 2 teams of  $n$  members each

Members have power levels

$A[1..n]$   
 $B[1..n]$  } not necessarily sorted

Each member competes with 1 member of other team

Team A chooses the matching

Can Team A win all matches?

Alg: (There is at least one other correct greedy alg)

Runtime?

$O(n \log n)$

Sort A  
Binary search  
tree for  
each B  
element

for  $i = 1$  to  $n$

find weakest A member  $n$ -weaker than  $B[i]$ .  
if exists, match w/  $B[i]$  and remove this A member  
if none exists, output "no".

Correctness:

(By induction.) If alg terminates and outputs yes, by construction we have a winning matching.

Need to show: If Team A can win, then we'll find a soln.

Induction hypothesis:

If Team A can win, then  $\exists$  a winning matching that agrees w/ the matches made in

first  $i$  loop iters.

Induction step:

Assume  $\exists$  a winning matching  $M$  agree w/  
the alg's first  $i$  match choices.

Now suppose alg matches  $B[i+1]$  w/  
 $A[j]$ .

If  $M$  matches  $A[j]$  w/  $B[i+1]$ , then done.

Otherwise,  $M$  matches  $B[i+1]$  w/ some  
 $A[j']$ , and  $A[j]$  w/ some  $B[i']$  w/  $i' > i+1$ .

Note that  $A[j'] \geq A[j]$  by alg.

and since  $M$  is a winning matching for team A,

$$\begin{aligned} A[j'] &\geq B[i+1] \\ A[j] &\geq B[i'] \end{aligned}$$

So swap. Know  $A[j] \geq B[i+1]$  since alg chose.

$$A[j'] \geq A[j] \geq B[i'].$$

New matching  $M'$  still winning, and agrees  
w/ alg on first  $i+1$  choices. //

Wrap up: If Team A can win, then  
after  $n^{\text{th}}$  loop will have found  
a winning matching ☺