Manuscript Number: COGPSY-D-22-00129    
  
The Spatiotemporal Gradient of Intrusion Errors in Continuous Outcome Source Memory: Source Retrieval is Affected by both Guessing and Intrusions    
  
Dear Mr. Zhou,    
  
Thank you for submitting your manuscript to Cognitive Psychology. We have now obtained comments from three reviewers, appended below. The reviewers are generally positively inclined towards your manuscript, but as usual, they also have some points of critique. After my own reading of your manuscript, I agree that this is excellent modelling work based on a solid experimental basis. I see some room for improvement, largely overlapping with the issues raised by the reviewers, and these issues could be addressed in a revision. My one big concern is whether your manuscript makes a sufficiently large theoretical contribution to warrant publication in Cognitive Psychology, given that you already published a closely related article (Zhou et al., 2021). It looks as if the present work primarily addresses a limitation of your earlier work by now accounting for intrusion errors. At the same time, it leaves other limitations unaddressed, in particular the one pointed out by Reviewer 2:

Variable-precision models of visual WM are able to account for the fat tails of the error distribution without assuming a mixture, whereas your model (with the circular diffusion model as decision model, and variability in drift rate) does not, and it is not clear why not. It could be because the RT data add constraints for the trial-by-trial variability in memory precision that models fitting only error distributions are lacking (for which they have been criticized, e.g., Adam et al., 2017, Cognitive Psychology). But it could also be that your way of introducing trial-by-trial variability is not a faithful implementation of the variable-precision idea. My worry that it might be the latter – or some other mis-specification in the model – is reinforced by the fact, which Reviewer 2 pointed out, that the circular-diffusion model fits the error distribution much more poorly than the simpler models fitting only errors. One way forward would be to analyze in more detail what causes the systematic mis-predictions of the error distribution, and to demonstrate more convincingly that the trial-by-trial variability in drift rate is actually equivalent to the precision variability in the van den Berg et al. (2012) model, and related models.  
To conclude, I’m rejecting the present version of your manuscript, but I invite you to submit a revision, which addresses the issues raised in the reviews, as well as my concern explained above.

## A few additional points of my own that I hope you will address in a revision:

(1) Concerning the trial-by-trial variability in drift rate: Whereas in the initial description of the circular diffusion model, the drift rate was a vector of two mu parameters, when you introduce the variability (p. 39), you refer to a single mu parameter. At this point it is not clear to me how the variability is applied: Is the mu parameter on each dimension drawn independently from a Gaussian distribution, or is the same Gaussian error term added to both of them on each trial? The latter would introduce variability only in the norm, but not the angle; the former would introduce variability in both. Either way, there appears to be no variability in the angle that’s independent of the variability in the norm – and I’m wondering whether that could constrain the variability in the angle in a way that makes it difficult for the model to fit the error distributions as well as a variable-precision model fit only to the errors.

True that we assume eta components in x and y are the same. I think it would make sense if we allowed drift magnitude and angle to vary to separate degrees that would help? At the moment, v2 is fixed at 0, but varies by eta2. I recall in 2018 working with separate eta components and freeing v1 and v2 drift components, but I don’t think that looked that much better?

(2) Is the response time measured until the mouse hits the circumference, or until the person confirms their response with a mouse click (assuming they did that)?

(3) The means given for absolute error on p. 23 (M = 0.06 and 0.08) can’t be correct, unless I completely misunderstand the scale on which they are measured (certainly not radians or degrees).

(4) You treat space and time as if they were equivalent, which they often are in memory, but in your case, spatial location is the to-be-retrieved information, whereas time is incidental information. They probably play different roles. The temporal context of an item could be used as a mediating retrieval cue (if people use the word to retrieve its temporal context, then use the temporal context to retrieve the associated location), but spatial location can’t have that role. Therefore, it is not clear to me through which mechanism spatial proximity could affect the prevalence of intrusion errors.

(5) Your explanation for the absence of a semantic-similarity effect did not convince me. When words are used as retrieval cues, increasing their similarity should lead to more confusions, simply because more similar cues lead to more confusions. That prediction does not hinge on semantic associations between the words being formed.  
  
To conclude, I invite you to resubmit your manuscript after addressing the comments below. Please resubmit your revised manuscript by Dec 30, 2022.

# Reviewer's Responses to Questions

## Are the objectives and the rationale of the study clearly stated? Reviewer #1: yes Reviewer #2: Yes. Reviewer #3: I think the paper is structured very effectively. I liked certain stylistic/rhetorical choices on the part of the authors, including the decision to describe the task prior to describing the modeling framework. Periodic annotations regarding simulations that were examined but not included (and where to find them online) also helped justify the decisions made in terms of which model variants are described in detail.

## If applicable, is the application/theory/method/study reported in sufficient detail to allow for its replicability and/or reproducibility? Reviewer #1: Yes [X] No [] N/A [] Provide further comments here:

Reviewer #2  
1. The authors need to describe how the models were fit to data. What steps did the authors take to avoid local optima? Given the scale of the models (the largest has 14 parameters) I'd say it's essential to perform model recovery analyses on simulated data to validate the model comparison results.

I did do a model recovery, spatiotemporal and orthographic models recovered but not semantic. Is the reviewer asking for more detail, or more models included in the exercise? Maybe they missed it because it’s too brief?

2. p21 There was no time limit, but were participants given any instructions about how to weigh speed vs accuracy?

Reviewer #3:   
  
1) Page 45. Methods. Could help to say under procedure how many trials total, or range of trials, across the many sessions.  
  
2) Page 45. Results section. It could help to orient the reader if you present some brief descriptive text regarding the performance of the different participants, making note, for example, of the range of performance. With P5 performing more poorly and P2 performing particularly well.

## If applicable, are statistical analyses, controls, sampling mechanism, and statistical reporting (e.g., P-values, CIs, effect sizes) appropriate and well described? Reviewer #1: Mark as appropriate with an X: Yes [X] No [] N/A [] Provide further comments here: Reviewer #2: Mark as appropriate with an X: Yes X No [] N/A [] Provide further comments here: Reviewer #3: Mark as appropriate with an X: Yes [X] No [] N/A [] Provide further comments here:

## Could the manuscript benefit from additional tables or figures, or from improving or removing (some of the) existing ones?

Reviewer #1: It’s very hard to see the temporal and spatiotemporal models in Figures 9 & 10. Maybe they could be shown in a duplicate figure, or the authors could note that they overlap almost entirely with the predictions of other models to make it clear that they are there (just hardly visible)?  
  
Reviewer #2: No.  
  
Reviewer #3: 1) Page 31. Table 1 gives a number and descriptive label to each model. There are some points later in the paper where models 6, 7, and 8 are referred to, but their descriptive labels aren't handy, and I had to come back many pages to remind myself which one was which. It would help the reader a lot to make these labels more locally accessible in the paper. There are a few ways to do this. One could involve giving each model variant a letter-based code, like Intrusion+Guessing: IG; Temporal: T; Spatiotemporal: ST; Spatiotemporal-Orthographic: STO, Spatiotemporal-Semantic: STS, Four-factor: 4F. That does add extra acronyms though. But it would make Table 4, 5, 6, 8 easier to read by putting the short code next to the model number. Alternatively, you could just have some table captions, where the text just lists the relevant model numbers and their full labels, for that specific table.

## If applicable, are the interpretation of results and study conclusions supported by the data? Reviewer #1: Mark as appropriate with an X: Yes [] No [] N/A [] Provide further comments here: Reviewer #2: Mark as appropriate with an X: Yes [] No X N/A [] Provide further comments here: The mixture model results are consistent with typical findings for VWM in that some - but not all - of the trials a normal+uniform fit ascribes to the uniform component are in fact better described as intrusions/swap errors. However, describing the remaining uniform component as "guessing" is contentious because, as the authors are aware, the all-or-nothing nature of the normal+uniform mixture has come in for a great deal of criticism in the VWM literature, and in particular compared unfavourably to models in which precision varies continuously. Without evaluating this alternative, the claim that "a purely continuous view of source memory retrieval is incompatible with the data" (p43) is premature. The authors may respond that the diffusion model, which forms the second element of the analysis, does allow for variability in precision. However (while mathematically elegant) the circular diffusion model provides a qualitatively poor fit to the data - at both group and individual level - with or without the addition of a uniform component. While I agree with the authors' argument that we should expect a poorer fit to the marginal distributions of error and RT compared to the mixture model (which models only error), this is insufficient explanation for the consistent qualitative failure of the diffusion model and its variants to capture key elements of these distributions (visible in e.g. almost every panel of Fig 11). I don't see how strong conclusions can be drawn from model comparison under these circumstances. On a more minor note, Schneegans & Bays (J Neurosci, 2018) previously proposed a continuous model that at least qualitatively captured error and RT in a VWM task. It would be interesting to know how this compares to the circular diffusion model both conceptually and in terms of fit.

Quote from Schneegans & Bays (2018):

To focus our analysis on trials in which saccades were directed toward the target item, we fit the three-component mixture model of [**Bays et al. (2009)**](https://www.jneurosci.org/content/38/21/4859?etoc=&utm_source=TrendMD&utm_medium=cpc&utm_campaign=JNeurosci_TrendMD_0#ref-11), which distinguishes between responses directed toward the target, responses directed toward one of the nontarget items, and random responses. Using the method of [**Schneegans and Bays (2016)**](https://www.jneurosci.org/content/38/21/4859?etoc=&utm_source=TrendMD&utm_medium=cpc&utm_campaign=JNeurosci_TrendMD_0#ref-42), we classified as target trials those with a probability exceeding 75% of arising from the target component of the model. Code is available at <http://paulbays.com/code/CO16/>. Only these trials were included in the analysis of response measures.

Might be worth removing suspected guesses/intrusions? But they’re fitting a different kind of data, one with the heavy tails lopped off.

## Reviewer #3: Mark as appropriate with an X: Yes [X] No [] N/A [] Provide further comments here: 1) Page 49. Regarding how in both cases the joint response and RT model does worse at capturing the distribution of response errors. This could make a useful discussion point, some discussion of the worse joint fit. Specifically, I'd be interested to hear a bit more about the misfit in terms of the model mechanisms. Like is it that the model can't fit a distribution with the observed shape, and this is it doing the best it can? Or like if the model could fit the response distribution but this would cause a specific worsening of the RT fit. Or whether there are any promising modifications or additional parameters for future work that could increase the model's flexibility and help it improve the misfit.

## Have the authors clearly emphasized the strengths of their study/theory/methods/argument?

Reviewer #1: See below in reviewer comments to author.  
  
Reviewer #2: This manuscript provides an important caveat to previous similar studies that have overlooked the possibility of intrusion errors. The careful consideration of spatial, temporal, orthographic etc influences on intrusion errors is also a strength. I found it a little unclear how exactly the intrusions were incorporated into the circular diffusion model (was there a separate drift process for each item?) and also how successful the model was at capturing the intrusion element of the data - perhaps this could be developed in more detail.  
  
Reviewer #3: 1) Page 25, introduction of the Pure Intrusions model. Towards the end of the discussion, there was a description of how the current modeling framework relates to decision models with multiple competing choices. I think the idea was that the current model can be thought of as representing multiple accumulators. I think the idea was to get across how an equation like Eq 4, which has a term for each of the list items, could involve giving each item an accumulator, and cases in which the participant makes an error that's due to a different list item than the one being probed, that would be like if that item's accumulator crossed threshold prior to the accumulator assigned to the target item. It could be that I'm mangling the details here, but that is ok, because my suggestion is to add a bit of extra description here (or earlier in the intro I suppose?) that foreshadows that point from the discussion. Here, the description of the equations and how they produce  
model behavior was clear, but I could have used a bit more detail regarding the broader cognitive theory. Specifically, just setting up some of the ideas that will be important in the discussion. I think I'm just asking for a bit of description of the corresponding process model involving multiple racing accumulators. I would leave it to the authors whether and how to best accomplish this.  
  
2) Page 27. As someone who cares about free recall, I thought Figure 5 was very interesting. Fig 5A shows examples of how this lag-based intrusion probability function is affected by the model parameters. It would really pay off nicely if there was a panel here, or another figure that shows the best-fit version of this function for one or both of the experiments.

## Have the authors clearly stated the limitations of their study/theory/methods/argument? Reviewer #1: Yes, but see reviewer comments below Reviewer #2: The authors significantly understate the challenge of obtaining reliable RT measures in online experiments. They cite a paper that found an ~25ms lag was introducing by using JavaScript to PsychToolbox (on one specific model of PC), but do not consider the considerable and machine-specific display lag (>100ms is not unusual on an LCD display) or response lag (which can also be significant). Relevant to this, did the experimenters obtain any information about specs of the machines the experiments were run on? As a case in point, the Methods say "mouse", but is it possible some participants used trackpads? Reviewer #3: Authors are appropriately measured in claims

## Does the manuscript structure, flow or writing need improving (e.g., the addition of subheadings, shortening of text, reorganization of sections, or moving details from one section to another? Reviewer #1: No, structure is well-organized and I have no changes to suggest. Reviewer #2: 1. p5 It seems odd to provide references for VWM models that combine slot and resource concepts, but not for the slot (e.g. Luck, Vogel, Pashler, Cowan) or resource (e.g. Palmer, Bays, Ma) models themselves. 2. I found the first full sentence on p4 hard to parse - maybe split into two sentences? Reviewer #3: Structure and flow looked good to me

# Reviewer Comments:

### Reviewer #1:

In this paper, the authors take a look at the patterns of responses in a continuous-response memory task to understand the roles of intrusions and guessing. The authors do a good job of demonstrating how "pure" guesses alone cannot account for the patterns of errors observed in the experiment (e.g., Figure 6B) and quantitatively showing that this is the case in model comparisons, illustrating the importance of intrusions in the decision process. They also develop and test several dynamic models - using the circular diffusion model - instantiating hypotheses about intrusions due to spatial, temporal, orthographic, and semantic similarities among stimuli. While the results with respect to semantic intrusions seem somewhat inconclusive (given they were not manipulated), the authors were able to show that intrusions constitute a significant portion of responses and that they are influenced by spatiotemporal relationships among stimuli during the experiment.  
  
In general, I think the experiments and modeling are carried out very carefully, and the results clearly and logically correspond to the conclusions that the authors have drawn. I also think the work addresses a clearly defined issue in the memory literature regarding intrusions versus guessing. The justifications for the experiments (and particularly the small-N design in Experiment 2) are convincing, although in retrospect I imagine the authors would agree it would have been good to explicitly manipulate semantic similarity. Otherwise, I have relatively few remarks, mainly about contrast effects and the nature of "pure" guesses, that I think could be easily addressed in a revision. I outline these below.  
  
1. This is maybe just my eye / mind playing tricks on me, but it almost looks like there is a suppression or maybe contrast effect in the data , shown by "dips" in response frequencies at angles around +/- pi/2 (Figure 7). Given the assimilation effects resulting from the spatiotemporal gradient, is it also possible that there is a contrast effect in the spatial and temporal domain? For example, is a participant less likely to respond consistent with an intrusion from a distractor placed at 90 degrees versus one placed at 45 degrees? It also seems possible that this could happen with semantic similarity. For example, if a very different word to the target was recently presented at a nearby location, do participants shy away from that contrast-word location and become more likely to respond toward the opposing side of the target? If either of these types of contrasts appear in the data, it might be worth incorporating these kinds of effects into an additional model or showing  
that the present models can capture them.  
  
1b. It might be difficult to detect the semantic effects, since (as the authors note) semantic similarity wasn't intentionally manipulated. So I could see these effects not showing up. If semantic contrast effects are of interest, I could certainly see the authors testing these effects by deliberately manipulating them in an additional experiment, although I would understand if they prefer to save this for future work.  
  
2. Maybe it's just how I've thought about it, but "guessing" has always seemed like a blanket term covering a bunch of processes including intrusions, anchor effects, and other idiosyncratic processes that we don't feel like modeling (possibly because doing so would be totally impractical!). In that sense, I am glad the authors are examining the distinction between "pure" guessing and intrusions and demonstrating that intrusions are a core part of the data. At the same time, it may be worth a mention that the guessing component of the model almost certainly covers some other idiosyncratic elements that aren't completely stochastic and uniformly distributed (which is what I think of as "pure" guesses) but participants doing things like repeating previous responses, making responses in cardinal directions because memory strength is too low, or something else that is inconvenient to try and model at present. In that sense, the intrusion + guessing model might be thought of as  
intrusions + [we aren't sure yet, but let's assume it follows a uniform distribution]. Calling it pure guessing makes it sound like there isn't any more theoretical work to be done to understand that latter component, which seems (to me, at least) like it isn't the case.  
  
3. Minor notes:  
Abstract: "circular diffusion [model] of decision making"  
It's very hard to see the temporal and spatiotemporal models in Figures 9 & 10. Maybe they could be shown in a duplicate figure, or the authors could note that they overlap almost entirely with the predictions of other models to make it clear that they are there (just hardly visible)?  
  
  
  
Reviewer #2: This field is optional. If you have any additional suggestions beyond those relevant to the questions above, please number and list them here.

### Reviewer #3:

The paper is very impressive in its technical achievement, and well organized in terms of getting the message of the modeling work across to the reader. It uses an important modeling framework (the circular diffusion model for continuous judgments), and demonstrates how the model can be constrained by both continuous responses and their latencies. The paper makes a solid contribution, and is relevant to several ongoing theoretical debates regarding memory for source characteristics of studied materials. It will certainly be of interest to a wide group of cognitive modelers of memory, attention, and decision making. It will also be of interest to researchers in the visual working memory community, and the general community of psychologists interested in behavioral analysis of list-learning tasks (recognition, cued recall, free recall, and other tasks).  
  
Minor and typographical  
  
Page 36, line 5, missing close parenthesis after "1 and 2"  
Page 36, line 10, "that this" -> "that is"