

# Linear MIM-Width of Trees <sup>★</sup>

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**Abstract.** We provide an  $O(n \log n)$  algorithm computing the linear maximum induced matching width of a tree and an optimal layout.

## 1 Introduction

The study of structural graph width parameters like tree-width, clique-width and rank-width has been ongoing for a long time, and their algorithmic use has been steadily increasing [11, 17]. The maximum induced matching width, denoted MIM-width, and the linear variant LMIM-width, are graph parameters having very strong modelling power introduced by Vatshelle in 2012 [19]. The LMIM-width parameter asks for a linear layout of vertices such that the bipartite graph induced by edges crossing any vertex cut has a maximum induced matching of bounded size. Belmonte and Vatshelle [2] <sup>1</sup> showed that INTERVAL graphs, BI-INTERVAL graphs, CONVEX graphs and PERMUTATION graphs, where clique-width can be proportional to the square root of the number of vertices [10], all have LMIM-width 1 and an optimal layout can be found in polynomial time.

Since many well-known classes of graphs have bounded MIM-width or LMIM-width, algorithms that run in XP time in these parameters will yield polynomial-time algorithms on several interesting graph classes at once. Such algorithms have been developed for many problems: by Bui-Xuan et al [4] for the class of LCVS-VP - Locally Checkable Vertex Subset and Vertex Partitioning - problems, by Jaffke et al for non-local problems like FEEDBACK VERTEX SET [14, 13] and also for GENERALIZED DISTANCE DOMINATION [12], by Golovach et al [9] for output-polynomial ENUMERATION OF MINIMAL DOMINATING sets, by Bergougnoux and Kanté [3] for several Connectivity problems and by Galby et al for SEMITOTAL DOMINATION [8]. These results give a common explanation for many classical results in the field of algorithms on special graph classes and extends them to the field of parameterized complexity.

Note that very low MIM-width or LMIM-width still allows quite complex cuts compared to similarly defined graph parameters. For example, carving-width 1 allows just a single edge, maximum matching-width 1 a star graph, and rank-width 1 a complete bipartite graph. In contrast, LMIM-width 1 allows any cut

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<sup>★</sup> This is the appendix of our WG submission, the long version with extra figures and full proofs

<sup>1</sup> In [2], results are stated in terms of  $d$ -neighborhood equivalence, but in the proof, they actually gave a bound on LMIM-width.

where the neighborhoods of the vertices in a color class can be ordered linearly w.r.t. inclusion. In fact, it is an open problem whether the class of graphs having LMIM-width 1 can be recognized in polynomial-time or if this is NP-complete. Sæther et al [18] showed that computing the exact MIM-width and LMIM-width of general graphs is W-hard and not in APX unless NP=ZPP, while Yamazaki [20] shows that under the small set expansion hypothesis it is not in APX unless P=NP. The only graph classes where we know an exact polynomial-time algorithm computing LMIM-width are the above-mentioned classes INTERVAL, BI-INTERVAL, CONVEX and PERMUTATION that all have structured neighborhoods implying LMIM-width 1 [2]. Belmonte and Vatshelle also gave polynomial-time algorithms showing that CIRCULAR ARC and CIRCULAR PERMUTATION graphs have LMIM-width at most 2, while DILWORTH  $k$  and  $k$ -TRAPEZOID have LMIM-width at most  $k$  [2]. Recently, Fomin et al [7] showed that LMIM-width for the very general class of  $H$ -GRAPHS is bounded by  $2|E(H)|$ , and that a layout can be found in polynomial time if given an  $H$ -representation of the input graph. However, none of these results compute the exact LMIM-width. On the negative side, Menger [15] has shown that STRONGLY CHORDAL SPLIT graphs, CO-COMPARABILITY graphs and CIRCLE graphs all can have MIM-width, and LMIM-width, linear in the number of vertices.

Just as LMIM-width can be seen as the linear variant of MIM-width, path-width can be seen as the linear variant of tree-width. Linear variants of other well-known parameters like clique-width and rank-width have also been studied. Arguably, the linear variant of MIM-width commands a more noteworthy position, since in contrast to these other linear parameters, for almost all well-known graph classes where the original parameter (MIM-width) is bounded then also the linear variant (LMIM-width) is bounded.

In this paper we give an  $O(n \log n)$  algorithm computing the LMIM-width of an  $n$ -node tree. This is the first graph class of LMIM-width larger than 1 having a polynomial-time algorithm computing LMIM-width and thus constitutes an important step towards a better understanding of this parameter. The path-width of trees was first studied in the early 1990s by Möhring [16], with Ellis et al [6] giving an  $O(n \log n)$  algorithm computing an optimal path-decomposition, and Bodlaender [?] an  $O(n)$  algorithm. In 2013 Adler and Kanté [1] gave linear-time algorithms computing the linear rank-width of trees and also the linear clique-width of trees, by reduction to the path-width algorithm. Even though LMIM-width is very different from path-width, the basic framework of our algorithm is similar to the path-width algorithm in [6].

In Section 2 we give some standard definitions and prove the Path Layout Lemma, that if a tree  $T$  has a path  $P$  such that all components of  $T \setminus N[P]$  have LMIM-width at most  $k$  then  $T$  itself has a linear layout with LMIM-width at most  $k+1$ . We use this to prove a classification theorem stating that a tree  $T$  has LMIM-width at least  $k+1$  if and only if there is a node  $v$  such that after rooting  $T$  in  $v$ , at least three children of  $v$  *themselves have at least one child* whose rooted subtree has LMIM-width at least  $k$ . From this it follows that the LMIM-width of an  $n$ -node tree is no more than  $\log n$ . Our  $O(n \log n)$  algorithm computing

LMIM-width of a tree  $T$  picks an arbitrary root  $r$  and proceeds bottom-up on the rooted tree  $T_r$ . In Section 3 we show how to assign labels to the rooted subtrees encountered in this process giving their LMIM-width. However, as with the algorithm computing pathwidth of a tree, the label is sometimes complex, consisting of LMIM-width of a sequence of subgraphs, of decreasing LMIM-width, that are not themselves full rooted subtrees. Proposition 1 is an 8-way case analysis giving a subroutine used to update the label at a node given the labels at all children. In Section 4 we give our bottom-up algorithm, which will make calls to the subroutine underlying Proposition 1 in order to compute the complex labels and the LMIM-width. Finally, we use all the computed labels to lay out the tree in an optimal manner.

## 2 Classifying LMIM-width of Trees

We use standard graph theoretic notation, see e.g. [5]. For a graph  $G = (V, E)$  and subset of its nodes  $S \subseteq V$  we denote by  $N(S)$  the set of neighbors of nodes in  $S$ , by  $N[S] = S \cup N(S)$  its closed neighborhood, and by  $G[S]$  the graph induced by  $S$ . For a bipartite graph  $G$  we denote by  $\text{MIM}(G)$ , or simply  $\text{MIM}$  if the graph is understood, the size of its Maximum Induced Matching, the largest number of edges whose endpoints induce a matching. Let  $\sigma$  be the linear order corresponding to the enumeration  $v_1, \dots, v_n$  of the nodes of  $G$ , this will also be called a linear layout of  $G$ . For any index  $1 \leq i < n$  we have a cut of  $\sigma$  that defines the bipartite graph on edges "crossing the cut" i.e. edges with one endpoint in  $\{v_1, \dots, v_i\}$  and the other endpoint in  $\{v_{i+1}, \dots, v_n\}$ . The maximum induced matching of  $G$  under layout  $\sigma$  is denoted  $\text{mim}(\sigma, G)$ , and is defined as the maximum, over all cuts of  $\sigma$ , of the value attained by the  $\text{MIM}$  of the cut, i.e. of the bipartite graph defined by the cut. The linear induced matching width – LMIM-width – of  $G$  is denoted  $\text{lmw}(G)$ , and is the minimum value of  $\text{mim}(\sigma, G)$  over all possible linear orderings  $\sigma$  of the vertices of  $G$ .

We start by showing that if we have a path  $P$  in a tree  $T$  then the LMIM-width of  $T$  is no larger than the largest LMIM-width of any component of  $T \setminus N[P]$ , plus 1. To define these components the following notion is useful.

**Definition 1 (Dangling tree).** *Let  $T$  be a tree containing the adjacent nodes  $v$  and  $u$ . The dangling tree from  $v$  in  $u$ ,  $T\langle v, u \rangle$ , is the component of  $T \setminus (u, v)$  containing  $u$ .*

Given a node  $x \in T$  with neighbours  $\{v_1, \dots, v_d\}$ , the forest obtained by removing  $N[x]$  from  $T$  is a collection of dangling trees  $\{T\langle v_i, u_{i,j} \rangle\}$ , where  $u_{i,j} \neq x$  is some neighbour of  $v_i$ . We can generalise this to a path  $P = (x_1, \dots, x_p)$  in place of  $x$ , such that  $T \setminus N[P] = \{T\langle v_{i,j}, u_{i,j,m} \rangle\}$ , where  $v_{i,j} \in N(P)$  is a neighbour of  $x_i$  and  $u_{i,j,m} \notin N[P]$ . See top part of Figure 1. This naming convention will be used in the following.

**Lemma 1 (Path Layout Lemma).** *Let  $T$  be a tree. If there exists a path  $P = (x_1, \dots, x_p)$  in  $T$  such that every connected component of  $T \setminus N[P]$  has*

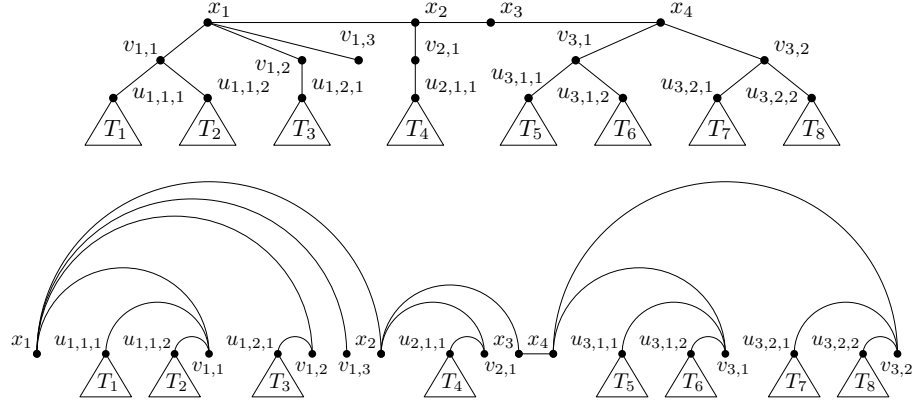
*LMIM-width*  $\leq k$  then  $lmw(T) \leq k + 1$ . Moreover, given the layouts for the components we can in linear time compute the layout for  $T$ .

*Proof.* Using the optimal linear orderings of the connected components of  $T \setminus N[P]$ , we give the below algorithm LINORD constructing a linear order  $\sigma_T$  on the nodes of  $T$  showing that  $lmw(T)$  is  $\leq k + 1$ . The ordering  $\sigma_T$  starts out empty and the algorithm has an outer loop going through vertices in the path  $P = (x_1, \dots, x_p)$ . When arriving at  $x_i$  it uses the concatenation operator  $\oplus$  to add the path node  $x_i$  before looping over all neighbors  $v_{i,j}$  of  $x_i$  adding the linear orders of each dangling tree from  $v_{i,j}$  and then  $v_{i,j}$  itself. See Figure 1 for an illustration.

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function LINORD( $T$ : tree,  $P = (x_1, \dots, x_p)$ : path,  $\{\sigma_{T\langle v_{i,j}, u_{i,j,m} \rangle}$ : lin-ords)
   $\sigma_T \leftarrow \emptyset$  ▷ The list starts out empty
  for  $i \leftarrow 1, p$  do ▷ For all nodes on path  $(x_1, \dots, x_p)$ 
     $\sigma_T \leftarrow \sigma_T \oplus x_i$  ▷ Append path node
    for  $j \leftarrow 1, |N(x_i) \setminus P|$  do ▷ For all nbs of  $x_i$  not on path:  $v_{i,j}$ 
      for  $m \leftarrow 1, |N(v_{i,j}) \setminus x_i|$  do ▷ For all dangling trees from  $v_{i,j}$ 
         $\sigma_T \leftarrow \sigma_T \oplus \sigma_{T\langle v_{i,j}, u_{i,j,m} \rangle}$  ▷ Append given order of  $T\langle v_{i,j}, u_{i,j,m} \rangle$ 
       $\sigma_T \leftarrow \sigma_T \oplus v_{i,j}$  ▷ Append  $v_{i,j}$ 

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**Fig. 1.** A tree with a path  $P = (x_1, x_2, x_3, x_4)$ , with nodes in  $N[N[P]]$  and dangling trees featured, and below it the order given by the Path Layout Lemma

Firstly, from the algorithm it should be clear that each node of  $T$  is added exactly once to  $\sigma_T$ , that it runs in linear time, and that there is no cut containing two crossing edges from two separate dangling trees. Now we must show that  $\sigma_T$  does not contain cuts with MIM larger than  $k + 1$ . By assumption the layout of each dangling tree has no cut with MIM larger than  $k$ , and since these layouts can be found as subsequences of  $\sigma_T$  it follows that then also  $\sigma_T$  has no cut with more than  $k$  edges from a single dangling tree  $T\langle v_{i,j}, u_{i,j,m} \rangle$ . Also, we know that

edges from two separate dangling trees cannot both cross the same cut. The only edges of  $T$  left to account for, i.e. not belonging to one of the dangling trees, are those with both endpoints in  $N[N[P]]$ , the nodes at distance at most 2 from a node in  $P$ . For every cut of  $\sigma_T$  that contains more than a single crossing edge  $(x_i, x_{i+1})$  there is a unique  $x_i \in P$  and a unique  $v_{i,j} \in N(x_i)$  such that every edge with both endpoints in  $N[N[P]]$  that crosses the cut is incident on either  $x_i$  or  $v_{i,j}$ , and since the edge connecting  $x_i$  and  $v_{i,j}$  also crosses the cut at most one of these edges can be taken into an induced matching. With these observations in mind, it is clear that  $lmw(T) \leq mim(\sigma_T, T) \leq k + 1$ .

**Definition 2 ( $k$ -neighbour and  $k$ -component index).** *Let  $x$  be a node in the tree  $T$  and  $v$  a neighbour of  $x$ . If  $v$  has a neighbour  $u \neq x$  such that  $lmw(T \setminus \langle v, u \rangle) \geq k$ , then we call  $v$  a  $k$ -neighbour of  $x$ . The  $k$ -component index of  $x$  is equal to the number of  $k$ -neighbours of  $x$  and is denoted  $D_T(x, k)$ , or shortened to  $D(x, k)$ .*

**Theorem 1 (Classification of LMIM-width of Trees).** *For a tree  $T$  and  $k \geq 1$  we have  $lmw(T) \geq k + 1$  if and only if  $D(x, k) \geq 3$  for some node  $x$ .*

*Proof.* We first prove the backward direction by contradiction. Thus we assume  $D(x, k) \geq 3$  for a node  $x$  and there is a linear order  $\sigma$  such that  $mim(\sigma, T) \leq k$ .

Let  $v_1, v_2, v_3$  be the three  $k$ -neighbors of  $x$  and  $T_1, T_2, T_3$  the three trees of  $T \setminus N[x]$  each of LMIM-width  $k$ , with  $v_i$  connected to a node of  $T_i$  for  $i = 1, 2, 3$ , that we know must exist by the definition of  $D(x, k)$ . We know that for each  $i = 1, 2, 3$  we have a cut  $C_i$  in  $\sigma$  with  $MIM=k$  and all  $k$  edges of this induced matching coming from the tree  $T_i$ . Wlog we assume these three cuts come in the order  $C_1, C_2, C_3$ , i.e. with the cut having an induced matching of  $k$  edges of  $T_2$  in the middle. Note that in  $\sigma$  all nodes of  $T_1$  must appear before  $C_2$  and all nodes of  $T_3$  after  $C_2$ , as otherwise, since  $T$  is connected and the distance between  $T_2$  and the two trees  $T_1$  and  $T_3$  is at least two, there would be an extra edge crossing  $C_2$  that would increase MIM of this cut to  $k + 1$ . It is also clear that  $v_1$  has to be placed before  $C_2$  and  $v_3$  has to be placed after  $C_2$ , for the same reason, e.g. the edge between  $v_1$  and a node of  $T_1$  cannot cross  $C_2$  without increasing MIM. But then we are left with the vertex  $x$  that cannot be placed neither before  $C_2$  nor after  $C_2$  without increasing MIM of this cut by adding at least one of  $(v_1, x)$  or  $(v_3, x)$  to the induced matching. We conclude that  $D(x, k) \geq 3$  for a node  $x$  implies LMIM-width at least  $k + 1$ .

To prove the forward direction we first show the following partial claim: if  $lmw(T) \geq k + 1$  then there exists a node  $x \in T$  such that  $D(x, k) \geq 3$ ; or there exists a strict subtree  $S$  of  $T$  with  $lmw(S) \geq k + 1$ . We will prove the contrapositive statement, so let us assume that every node in  $T$  has  $D(x, k) < 3$  and no strict subtree of  $T$  has LMIM-width  $\geq k + 1$  and show that then  $lmw(T) \leq k$ . For every node  $x \in T$ , it must then be true that  $D(x, k) \leq 2$  and that  $D(x, k + 1) = 0$ . The strategy of this proof is to show that there is always a path  $P$  in  $T$  such that all the connected components in  $T \setminus N[P]$  have LMIM-width  $\leq k - 1$ . When we have shown this, we proceed to use the Path

Layout Lemma, to get that  $lmw(T) \leq k$ . To prove this, we define the following two sets of vertices:

$$X = \{x | x \in V(T) \text{ and } D(x, k) = 2\}, Y = \{y | y \in V(T) \text{ and } D(y, k) = 1\}$$

Case 1:  $X \neq \emptyset$

If  $x_i$  and  $x_j$  are in  $X$ , then every vertex on the path  $P(x_i, \dots, x_j)$  connecting  $x_i$  and  $x_j$  must be elements of  $X$ , as every node on this path clearly has a dangling tree with LMIM-width  $k$  in the direction of  $x_i$  and in the direction of  $x_j$ . The fact that every pair of vertices in  $X$  are connected by a path in  $X$  means that  $X$  must be a connected subtree of  $T$ . Furthermore, this subtree must be a path, otherwise there are three disjoint dangling trees  $T\langle v_1, u_1 \rangle, T\langle v_2, u_2 \rangle, T\langle v_3, u_3 \rangle$ , each with LMIM-width  $k$ , and each hanging from a separate node. But then there is some vertex  $w$  such that  $T\langle v_1, u_1 \rangle, T\langle v_2, u_2 \rangle$  and  $T\langle v_3, u_3 \rangle$  are subtrees of dangling trees from different neighbours of  $w$ . But this implies that  $D(w, k) \geq 3$ , which we assumed were not the case, so this leads to a contradiction. We therefore conclude that all nodes in  $X$  must lie on some path  $P = (x_1, \dots, x_p)$ . The final part of the argument lies in showing that we can apply the Path Layout Lemma. For some  $x_i \in P, i \in \{2, \dots, p-1\}$ , its  $k$ -neighbours are  $x_{i-1}$  and  $x_{i+1}$ . For  $x_1$ , these neighbours are  $x_2$  and some  $x_0 \notin X$ . For  $x_p$ , these neighbours are  $x_{p-1}$  and some  $x_{p+1} \notin X$ .  $x_0$  and  $x_{p+1}$  may only have one  $k$ -neighbour –  $x_1$  and  $x_p$  respectively – or else they would be in  $X$ . If we make  $P' = (x_0, \dots, x_{p+1})$ , we then see that every connected component in  $T \setminus N[P']$  must have LMIM-width  $\leq k-1$ . By the Path Layout Lemma,  $lmw(T) \leq k$ .

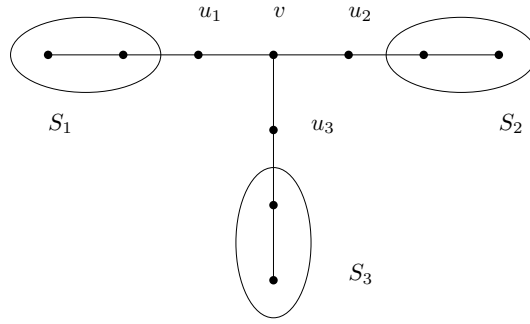
Case 2:  $X = \emptyset, Y \neq \emptyset$

We construct the path  $P$  in a simple greedy manner as follows. We start with  $P = (y_1, y_2)$ , where  $y_1$  is some arbitrary node in  $Y$ , and  $y_2$  its only  $k$ -neighbour. Then, if the highest-numbered node in  $P$ , call it  $y_q$ , has a  $k$ -neighbour  $y' \notin P$ , then we assign  $y_{q+1}$  to  $y'$ , and repeat this process exhaustively. Since we look at finite graphs, we will eventually reach some node  $y_p$  such that either  $y_p \notin Y$  or  $y_p$ 's  $k$ -neighbour is  $y_{p-1}$ . We are then done and have  $P = (y_1, \dots, y_p)$ , which must be a path in  $T$ , since every node  $y_{i+1} \in P$  is a neighbour of  $y_i$  and for  $y_i$  we only assign maximally one such  $y_{i+1}$ . Also, every connected component of  $T \setminus N[P]$  must have LMIM-width  $\leq k-1$ . If not, some node  $y_i \in P$  would have a  $k$ -neighbour  $y' \notin P$ , but by the assumption  $X = \emptyset$  this is impossible, since then either  $i < p$  and  $y_i$  has two  $k$ -neighbours  $y'$  and  $y_{i+1}$ , or else  $i = p$  and  $y_p \in Y$  and  $y_i$  has the two  $k$ -neighbors  $y'$  and  $y_{i-1}$  (in case  $i = p$  and  $y_p \notin Y$  then by definition of  $Y$  the node  $y_i$  could not have a  $k$ -neighbor  $y'$ ). By the Path Layout Lemma,  $lmw(T) \leq k$ .

Case 3:  $X = \emptyset, Y = \emptyset$

If you make  $P = (x)$  for some arbitrary  $x \in T$ , it is obvious that every connected component of  $T \setminus N[P]$  has LMIM-width  $\leq k-1$ . By the Path Layout Lemma,  $lmw(T) \leq k$ .

We have proven the partial claim that if  $lmw(T) \geq k + 1$  then there exists a node  $x \in T$  such that  $D(x, k) \geq 3$ ; or there exists a strict subtree  $S$  of  $T$  with  $lmw(S) \geq k + 1$ . To finish the backward direction of the theorem we need to show that if  $lmw(T) \geq k + 1$  then there exists a node  $x \in T$  with  $D(x, k) \geq 3$ . Assume for a contradiction that there is no node with  $k$ -component index at least 3 in  $T$ . By the partial claim, there must then exist a strict subtree  $S$  with  $lmw(S) \geq k + 1$ . But since we look at finite trees, we know that there in  $S$  must exist a minimal subtree  $S_0$ ,  $lmw(S_0) = k + 1$  with no strict subtree with LMIM-width  $> k$ . By the partial claim,  $S_0$  must contain a node  $x_0$  with  $D_{S_0}(x_0, k) \geq 3$ . But every dangling tree  $S_0(v, u)$  is a subtree of  $T(v, u)$ , and so if  $D_{S_0}(x_0, k) \geq 3$ , then  $D_T(x_0, k) \geq 3$  contradicting our assumption.



**Fig. 2.** The smallest tree with LMIM-width 2, having a node  $v$  with three 1-neighbors  $u_1, u_2, u_3$  having dangling trees  $S_1, S_2, S_3$ , respectively, so that  $D(v, 1) = 3$

By Theorem 1, every tree with LMIM-width  $k \geq 2$  must be at least 3 times bigger than the smallest tree with LMIM-width  $k - 1$ , which implies the following.

*Remark 1.* The LMIM-width of an  $n$ -node tree is  $\mathcal{O}(\log n)$ .

### 3 Rooted trees, $k$ -critical nodes and labels

Our algorithm computing LMIM-width will work on a rooted tree, processing it bottom-up. We will choose an arbitrary node  $r$  of the tree  $T$  and denote by  $T_r$  the tree rooted in  $r$ . For any node  $x$  we denote by  $T_r[x]$  the standard *complete subtree* of  $T_r$  rooted in  $x$ . During the bottom-up processing of  $T_r$  we will compute a label for various subtrees. The notion of a  $k$ -critical node is crucial for the definition of labels.

**Definition 3 ( $k$ -critical node).** Let  $T_r$  be a rooted tree with  $lmw(T_r) = k$ . We call a node  $x$  in  $T_r$   *$k$ -critical* if it has exactly two children  $v_1$  and  $v_2$  that each has at least one child,  $u_1$  and  $u_2$  respectively, such that  $lmw(T_r[u_1]) = lmw(T_r[u_2]) = k$ . Thus  $x$  is  $k$ -critical if and only if  $lmw(T) = k$  and  $D_{T_r[x]}(x, k) = 2$ .

*Remark 2.* If  $T_r$  has LMIM-width  $k$  it has at most one  $k$ -critical node.

*Proof.* For a contradiction, let  $x$  and  $x'$  be two  $k$ -critical nodes in  $T_r$ . There are then four nodes,  $v_l, v_r, v'_l, v'_r$ , the two  $k$ -neighbours of  $x$  and  $x'$  respectively, such that there exist dangling trees  $T\langle v_l, u_l \rangle, T\langle v_r, u_r \rangle, T\langle v'_l, u'_l \rangle, T\langle v'_r, u'_r \rangle$  that all have LMIM-width  $k$ . If  $x$  and  $x'$  have a descendant/ancestor relationship in  $T_r$ , then assume wlog that  $x'$  is a descendant of  $v_l$ , and note that  $T\langle v_r, u_r \rangle, T\langle v'_l, u'_l \rangle$  and  $T\langle v'_r, u'_r \rangle$  are disjoint trees in different neighbours of  $x'$ , thus  $D_{T_r}(x', k) = 3$  and by Theorem 1  $T_r$  should have LMIM-width  $k + 1$ . Otherwise, all the dangling trees are disjoint, thus  $D_T(x, k) = D_T(x', k) = 3$  and we arrive at the same conclusion.

**Definition 4 (label).** Let rooted tree  $T_r$  have  $lmw(T_r) = k$ . Then  $\mathbf{label}(T_r)$  consists of a list of decreasing numbers,  $(a_1, \dots, a_p)$ , where  $a_1 = k$ , appended with a string called *last\_type*, which tells us where in the tree an  $a_p$ -critical node lies, if it exists at all. If  $p = 1$  then the label is simple, otherwise it is complex. The  $\mathbf{label}(T_r)$  is defined recursively, with type 0 being a base case for singletons and for stars, and with type 4 being the only one defining a complex label.

- Type 0:  $r$  is a leaf, i.e.  $T_r$  is a singleton, then  $\mathbf{label}(T_r) = (0, t.0)$ ;  
or all children of  $r$  are leaves, then  $\mathbf{label}(T_r) = (1, t.0)$
- Type 1: No  $k$ -critical node in  $T_r$ , then  $\mathbf{label}(T_r) = (k, t.1)$
- Type 2:  $r$  is the  $k$ -critical node in  $T_r$ , then  $\mathbf{label}(T_r) = (k, t.2)$
- Type 3: A child of  $r$  is  $k$ -critical in  $T_r$ , then  $\mathbf{label}(T_r) = (k, t.3)$
- Type 4: There is a  $k$ -critical node  $u_k$  in  $T_r$  that is neither  $r$  nor a child of  $r$ .  
Let  $w$  be the parent of  $u_k$ . Then  $\mathbf{label}(T_r) = k \oplus \mathbf{label}(T_r \setminus T_r[w])$

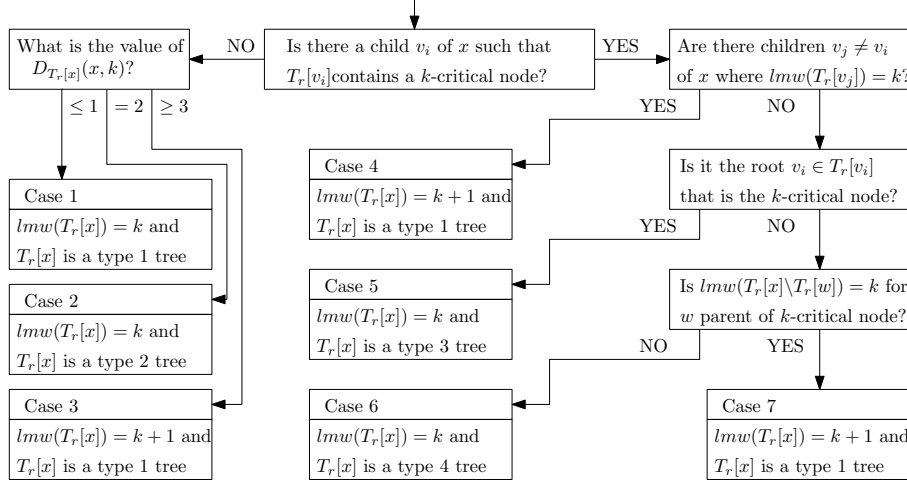
In type 4 we note that  $lmw(T_r \setminus T_r[w]) < k$  since otherwise  $u_k$  would have three  $k$ -neighbors (two children in the tree and also its parent) and by Theorem 1 we would then have  $lmw(T_r) = k + 1$ . Therefore, all numbers in  $\mathbf{label}(T_r \setminus T_r[w])$  are smaller than  $k$  and a complex label is a list of decreasing numbers followed by *last\_type*  $\in \{t.0, t.1, t.2, t.3\}$ . We now give a Proposition that for any node  $x$  in  $T_r$  will be used to compute  $\mathbf{label}(T_r[x])$  based on the labels of the subtrees rooted at the children and grand-children of  $x$ . The subroutine underlying this Proposition, see the decision tree in Figure 3, will be used when reaching node  $x$  in the bottom-up processing of  $T_r$ .

**Proposition 1.** Let  $x$  be a node of  $T_r$  with children  $Child(x)$ , and given  $\mathbf{label}(T_r[v])$  for all  $v \in Child(x)$ . We define (and compute)  $k = \max_{v \in Child(x)} \{lmw(T_r[v])\}$  and  $N_k = \{v \in Child(x) \mid lmw(T_r[v]) = k\}$  and denote by  $N_k = \{v_1, \dots, v_q\}$  and by  $l_i = \mathbf{label}(T_r[v_i])$ . Define (compute)  $t_k = D_{T_r[x]}(x, k)$  by noting that  $t_k = |\{v_i \in N_k \mid v_i \text{ has child } u_j \text{ with } lmw(T_r[u_j]) = k\}|$ . Given this information, we can find  $\mathbf{label}(T_r[x])$  as follows:

- **Case 0:** if  $|Child(x)| = 0$  then  $\mathbf{label}(T_r[x]) = (0, t.0)$ ;  
else if  $k = 0$  then  $\mathbf{label}(T_r[x]) = (1, t.0)$
- **Case 1:** Every label in  $N_k$  is simple and has *last\_type* equal to  $t.1$  or  $t.0$ , and  $t_k \leq 1$ . Then,  $\mathbf{label}(T_r[x]) = (k, t.1)$



- **Case 2:** Every label in  $N_k$  is simple and has *last\_type* equal to *t.1* or *t.0*, but  $t_k = 2$ . Then,  $\text{label}(T_r[x]) = (k, t.2)$
- **Case 3:** Every label in  $N_k$  is simple and has *last\_type* equal to *t.1* or *t.0*, but  $t_k \geq 3$ . Then,  $\text{label}(T_r[x]) = (k + 1, t.1)$
- **Case 4:**  $|N_k| \geq 2$  and for some  $v_i \in N_k$ , either  $l_i$  is a complex label, or  $l_i$  has *last\_type* equal to either *t.2* or *t.3*. Then,  $\text{label}(T_r[x]) = (k + 1, t.1)$
- **Case 5:**  $|N_k| = 1$ ,  $l_1$  is a simple label and  $l_1$  has *last\_type* equal to *t.2*. Then,  $\text{label}(T_r[x]) = (k, t.3)$
- **Case 6:**  $|N_k| = 1$ ,  $l_1$  is either complex or has *last\_type* equal to *t.3*, and  $k \notin \text{label}(T_r[x] \setminus T_r[w])$ , where  $w$  is the parent of the  $k$ -critical node in  $T_r[v_1]$ . Then,  $\text{label}(T_r[x]) = k \oplus \text{label}(T_r[x] \setminus T_r[w])$
- **Case 7:**  $|N_k| = 1$ ,  $l_1$  is either complex or has *last\_type* equal to *t.3*, and  $k \in \text{label}(T_r[x] \setminus T_r[w])$ , where  $w$  is the parent of the  $k$ -critical node in  $T_r[v_1]$ . Then,  $\text{label}(T_r[x]) = (k + 1, t.1)$



**Fig. 3.** A decision tree corresponding to the case analysis of Proposition 1

*Proof.* We show that exactly one case applies to every rooted tree and in each case we assign the label according to Definition 4. First the base case: either  $x$  is a leaf or all its children are leaves and we are in Case 0 and the label is assigned according to Def. 4. Otherwise, observe the decision tree in Figure 3. It follows from Def. 4,  $k$ ,  $N_k$  and  $t_k$  that cases 1 up to 7 of Prop. 1 corresponds to cases 1 up to 7 in the decision tree - we mention this correspondence in the below - and this proves that exactly one case applies to every rooted tree. The following facts simplify the case analysis:  $\text{lmw}(T_r[x])$  is equal to either  $k$  or  $k + 1$ , and since no subtree rooted in a child of  $x$  has LMIM-width  $k + 1$  there cannot be any  $(k + 1)$ -critical node in  $T_r[x]$ , therefore if  $\text{lmw}(T_r[x]) = k + 1$ ,  $T_r[x]$  is always a type 1

tree and by Theorem 1 it must contain a node  $v$  such that  $D_{T_r[x]}(v, k) \geq 3$ . This node must either be a  $k$ -critical node in a rooted subtree of  $T_r[x]$ , or  $x$  itself. We go through the cases 1 to 7 in order.

Note that in Cases 1, 2, and 3 the condition 'Every label in  $N_k$  is simple and has *last\_type* equal to  $t.1$  or  $t.0$ ' means there are no  $k$ -critical nodes in any subtree of  $T_r[x]$ , because every  $T_r[v]$  for  $v \in \text{Child}(x)$  is either of type 1 or has LMIM-width  $< k$ :

**Case 1:** By definition of  $t_k$ ,  $D_{T_r[x]}(x, k) \leq 1$ . Therefore,  $lmw(T_r[x]) = k$ , and  $T_r[x]$  is a type 1 tree.

**Case 2:** By definition of  $t_k$ ,  $D_{T_r[x]}(x, k) = 2$ , and no other nodes are  $k$ -critical, therefore  $lmw(T_r[x]) = k$ . But now  $x$  is  $k$ -critical in  $T_r[x]$  so  $T_r[x]$  is a type 2 tree.

**Case 3:** By definition of  $t_k$ ,  $D_{T_r[x]}(x, k) = 3$  and  $lmw(T_r[x]) = k + 1$ .

For the remaining Cases 4, 5, 6 and 7, some  $T_r[v]$  for  $v \in \text{Child}(x)$  has LMIM-width  $k$  and is of type 2, 3 or 4, so at least one  $k$ -critical node exists in some subtree of  $T_r[x]$ :

**Case 4:** There is a  $k$ -critical node  $u_k$  in some  $T_r[v_i]$  (not of type 1), and some other  $v_j$  has  $lmw(T_r[v_j]) = k$  (because  $|N_k| \geq 2$ ). Now observe  $w$  the parent of  $u_k$ . The dangling tree  $T_r[x] \setminus T_r[w]$  is a supertree of  $T_r[v_j]$  and thus has LMIM-width  $\geq k$ . Therefore  $w$  is a  $k$ -neighbour of  $u_k$  and by Theorem 1  $lmw(T_r[x]) = k + 1$ .

**Case 5:**  $x$  has only one child  $v$  with  $lmw(T_r[v]) = k$ , and  $v$  is itself  $k$ -critical ( $T_r[v]$  is type 2).  $x$  cannot be a  $k$ -neighbour of  $v$  in the unrooted  $T_r[x]$ , because every dangling tree from  $x$  is some  $T_r[v_i]$ ,  $v_i \neq v$  of  $x$ , which we know has LMIM-width  $< k$ . Since no other node in  $T$  is  $k$ -critical,  $lmw(T_r[x]) = k$ , and since  $v$ , a child of  $x$ , is  $k$ -critical in  $T_r[x]$ ,  $T_r[x]$  is a type 3 tree.

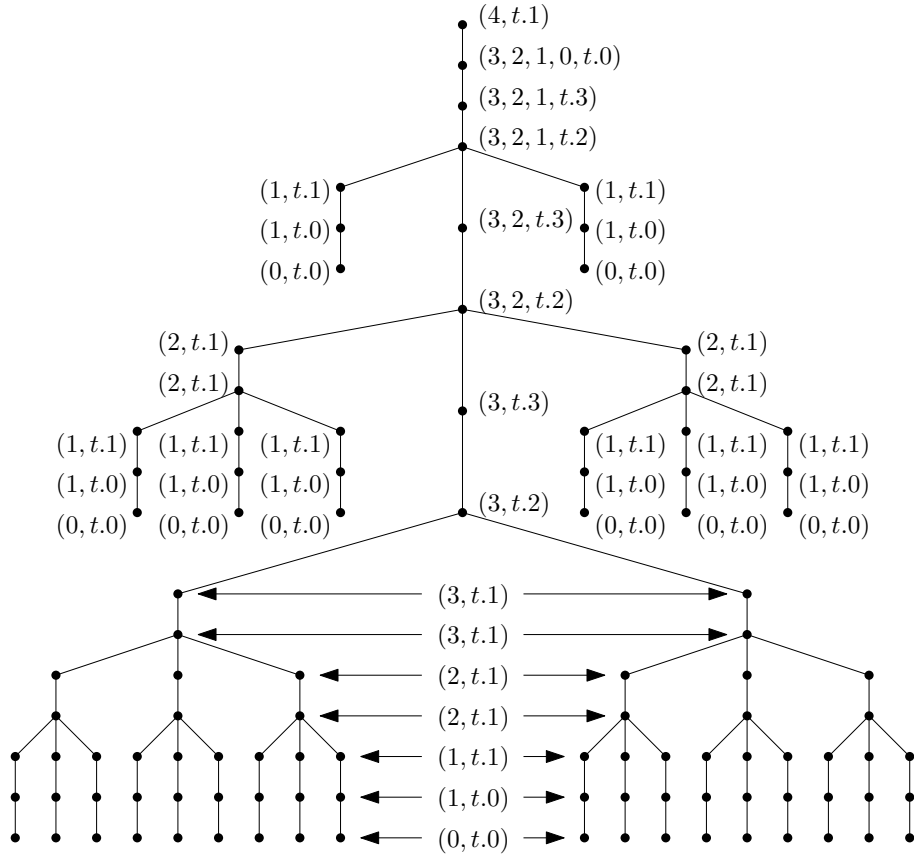
**Case 6:**  $x$  has only one child  $v$  with  $lmw(T_r[v]) = k$ , and there is a  $k$ -critical node  $u_k$  with parent  $w$  – neither of which are equal to  $x$  – in  $T_r[v]$  ( $T_r[v]$  is a type 3 or type 4 tree). Moreover, no tree rooted in another child of  $w$ , apart from  $u_k$ , can have LMIM-width  $\geq k$ , since this would imply  $D_{T_r[v]}(u_k, k) = 3$  and thus  $lmw(T_r[v]) > k$ ; nor can  $T_r[x] \setminus T_r[w]$  have LMIM-width  $= k$ , since then we would have  $k$  in  $\text{label}(T_r[x] \setminus T_r[w])$  disagreeing with the condition of Case 6. Therefore  $D_{T_r[x]}(u, k) = 2$ , and  $lmw(T_r[x]) = k$ .  $T_r[x]$  is thus a type 4 tree and the label is assigned according to the definition.

**Case 7:**  $T_r[v]$ ,  $u_k$  and  $w$  are as described in Case 6. But here,  $lmw(T_r[x] \setminus T_r[w]) = k$  (since the condition says that  $k$  is in its label), and thus  $w$  is a  $k$ -neighbour of its child  $u_k$  and by Theorem 1  $lmw(T_r[x]) = k + 1$ .

We conclude that  $\text{label}(T_r[x])$  has been assigned the correct value in all possible cases.

## 4 Computing LMIM-width of Trees and Finding a Layout

The subroutine underlying Prop. 1 will be used in a bottom-up algorithm that starts out at the leaves and works its way up to the root, computing labels



**Fig. 4.** A rooted tree of LMIM-width 4 with labels of subtrees. We explain the labels  $(3, t.2)$ ,  $(3, t.3)$ ,  $(3, 2, t.2)$  assigned to subtrees rooted at the nodes we call  $a, b, c$ , with  $\text{parent}(a) = b$  and  $\text{parent}(b) = c$ . The sub-tree rooted at  $a$ , with label  $(3, t.2)$  has precisely two children that have a child-tree each of LMIM-width 3, hence  $a$  is 3-critical and it is a type 2 tree (Case 2 of Prop. 1). The sub-tree rooted at  $b$ , labelled  $(3, t.3)$ , is thus the parent of a 3-critical node, and so it is of type 3 (Case 5 of Prop. 1). The sub-tree rooted at  $c$  with label  $(3, 2, t.2)$  has maximum LMIM-width of a child-tree being 3, and it has a 3-critical node  $a$  which is neither  $c$  nor a child of  $c$ , so it is of type 4 (Case 6 of Prop. 1); and moreover the subtree  $T_r[c] \setminus T_r[a]$  has LMIM-width 2 with node  $c$  as 2-critical so it is of type 2 (Case 2 of Prop. 1), and the label of  $T_r[c]$  becomes  $3 \oplus (2, t.2)$ .

of subtrees  $T_r[x]$ . However, in two cases (Case 6 and 7) we need the label of  $T_r[x] \setminus T_r[w]$ , which is not a complete subtree rooted in any node of  $T_r$ . Note that the label of  $T_r[x] \setminus T_r[w]$  is again given by a (recursive) call to Prop. 1 and is then stored as a suffix of the complex label of  $T_r[x]$ . We will compute these labels by iteratively calling Prop. 1 (substituting the recursion by iteration). We first need to carefully define the subtrees involved when dealing with complex labels.

From the definition of labels it is clear that only type 4 trees lead to a complex label. In that case we have a tree  $T_r[x]$  of LMIM-width  $k$  and a  $k$ -critical node  $u_k$  that is neither  $x$  nor a child of  $x$ , and the recursive definition gives  $label(T_r[x]) = k \oplus label(T_r[x] \setminus T_r[w])$  for  $w$  the parent of  $u_k$ . Unravelling this recursive definition, this means that if  $label(T_r[x]) = (a_1, \dots, a_p, last\_type)$ , we can define a list of nodes  $(w_1, \dots, w_{p-1})$  where  $w_i$  is the parent of an  $a_i$ -critical node in  $T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_{i-1}])$ . We expand this list with  $w_p = x$ , such that there is one node in  $T_r[x]$  corresponding to each number in  $label(T_r[x])$ , and  $T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_p]) = \emptyset$ .

Now, in the first level of a recursive call to Prop. 1 the role of  $T_r[x]$  is taken by  $T_r[x] \setminus T_r[w_1]$ , and in the next level it is taken by  $(T_r[x] \setminus T_r[w_1]) \setminus T_r[w_2]$  etc. The following definition gives a shorthand for denoting these trees.

**Definition 5.** Let  $x$  be a node in  $T_r$ ,  $label(T_r[x]) = (a_1, a_2, \dots, a_p, last\_type)$  and the corresponding list of vertices  $(w_1, \dots, w_p)$  is as we describe in the above text. For any non-negative integer  $s$ , the tree  $\mathbf{T}_r[\mathbf{x}, \mathbf{s}]$  is the subtree of  $T_r[x]$  obtained by removing all trees  $T_r[w_i]$  from  $T_r[x]$ , where  $a_i \geq s$ . In other words, if  $q$  is such that  $a_q \geq s > a_{q+1}$ , then  $T_r[x, s] = T_r[x] \setminus (T_r[w_1] \cup T_r[w_2] \cup \dots \cup T_r[w_q])$

*Remark 3.* Some important properties of  $T_r[x, s]$  are the following. Let  $T_r[x, s]$ ,  $label(T_r[x, s])$ ,  $(w_1, \dots, w_p)$  and  $q$  as in the definition. Then

1. if  $s > a_1$ , then  $T_r[x, s] = T_r[x]$
2.  $label(T_r[x, s]) = (a_{q+1}, \dots, a_p, last\_type)$
3.  $lmw(T_r[x, s]) = a_{q+1} < s$
4.  $lmw(T_r[x, s+1]) = s$  if and only if  $s \in label(T_r[x])$
5.  $T_r[x, s+1] \neq T_r[x, s]$  if and only if  $s \in label(T_r[x])$

*Proof.* These follow from the definitions, maybe the last one requires a proof:

*Backward direction:* Let  $s = a_q$  for some  $1 \leq q \leq p$ . Then  $T_r[x, s+1] = T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_{q-1}])$  and  $T_r[x, s] = T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_q])$ . These two trees are clearly different.

*Forward direction:* Let  $T_r[x, s] = T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_q])$  and  $T_r[x, s+1] = T_r[x] \setminus (T_r[w_1] \cup \dots \cup T_r[w_{q'}])$  with  $q' < q$  and  $a_{q'} > a_q$  (because numbers in a label are strictly descending).  $a_q < s+1$  and  $a_q \geq s$ , ergo  $a_q = s$ .

Note that for any  $s$  the tree  $T_r[x, s]$  is defined only after we know  $label(T_r[x])$ . In the algorithm, we compute  $label(T_r[x])$  by iterating over increasing values of  $s$  (until  $s > lmw(T_r[x])$ ) since by Remark 3.1 we then have  $T_r[x, s] = T_r[x]$  and we could hope for a loop invariant saying that we have correctly computed  $label(T_r[x, s])$ . However,  $T_r[x, s]$  is only known once we are done. Instead, each iteration of the loop will correctly compute the label of the following subtree called  $T_{union}[x, s]$ , which is not always equal to  $T_r[x]$ , but importantly for  $s > lmw(T_r[x])$ , we will have  $T_{union}[x, s] = T_r[x, s] = T_r[x]$ .

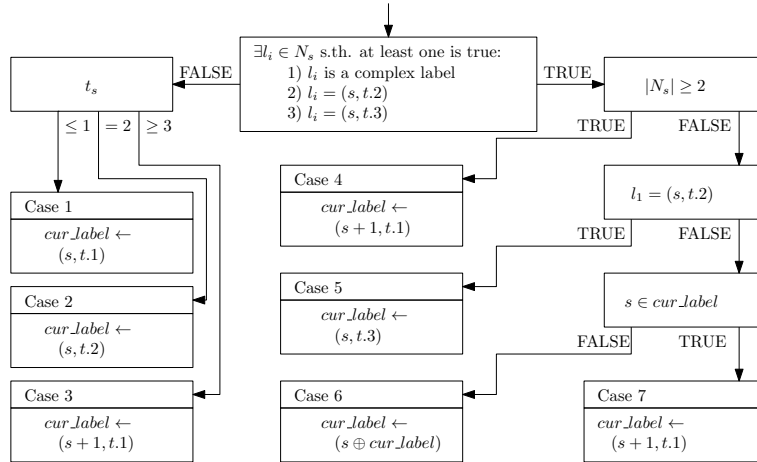
**Definition 6.** Let  $x$  be a node in  $T_r$  with children  $v_1, \dots, v_d$ .  $T_{union}[x, s]$  is then equal to the tree induced by  $x$  and the union of all  $T_r[v_i, s]$  for  $1 \leq i \leq d$ . More technically,  $T_{union}[x, s] = T_r[V']$  where  $V' = x \cup V(T_r[v_1, s]) \cup \dots \cup V(T_r[v_d, s])$ .

Given a tree  $T$ , we find its LMIM-width by rooting it in an arbitrary node  $r$ , and computing labels by processing  $T_r$  bottom-up. The answer is given by the first element of  $label(T_r[r])$ , which by definition is equal to  $lmw(T)$ . At a leaf  $x$  of  $T_r$  we initialize by  $label(T_r[x]) \leftarrow (0, t.0)$ , and at a node  $x$  for which all children are leaves we initialize by  $label(T_r[x]) \leftarrow (1, t.0)$ , according to Definition 4. When reaching a higher node  $x$  we compute label of  $T_r[x]$  by calling function  $MAKELABEL(T_r, x)$ .

```

function MAKELABEL( $T_r, x$ )                                 $\triangleright$  finds  $cur\_label = label(T_r[x])$ 
   $cur\_label \leftarrow (0, t.0)$                                  $\triangleright$  This is  $label(T_{union}[x, 0])$ 
   $\{v_1, \dots, v_d\} = \text{children of } x$ 
  if  $0 \in label(T_r[v_i])$  for some  $i$  then
     $cur\_label \leftarrow (1, t.0)$                                  $\triangleright$  This is then  $label(T_{union}[x, 1])$ 
  for  $s \leftarrow 1, \max_{i=1}^d \{\text{first element of } label(T_r[v_i])\}$  do
     $\{l'_1, \dots, l'_d\} = \{label(T_r[v_i, s+1]) \mid 1 \leq i \leq d\}$ 
     $N_s = \{v_i \mid 1 \leq i \leq d, s \in l'_i\}$ 
     $t_s = |\{v_i \mid v_i \in N_s, v_i \text{ has child } u_j \text{ s.t. } s \in label(T_r[u_j, s+1])\}|$ 
    if  $|N_s| > 0$  then
       $case \leftarrow$  the case from Prop. 1 applying to  $s, \{l'_1, \dots, l'_d\}, N_s$  and  $t_s$ 
       $cur\_label \leftarrow$  as given by  $case$  in Prop. 1 ( $s \oplus cur\_label$  if Case 6)

```



**Fig. 5.** The same decision tree as shown in Prop. 1, but adapted to MAKELABEL

**Lemma 2.** Given labels at descendants of node  $x$  in  $T_r$ ,  $MAKELABEL(T_r, x)$  computes  $label(T_r[x])$  as the value of  $cur\_label$ .

*Proof.* Assume that  $x$  has the children  $v_1, \dots, v_d$ , and denote their set of labels as  $L = \{l_1, \dots, l_d\}$ . MAKELABEL keeps a variable  $cur\_label$  that is updated

maximally  $k$  times in a for loop, where  $k$  is the biggest number in any label of children of  $x$ . The following claim will suffice to prove the lemma, since for  $s > \text{lmw}(T_r[x])$ , we have  $T_{\text{union}}[x, s] = T_r[x]$ .

**Claim:** At the end of the  $s$ 'th iteration of the for loop the value of  $\text{cur\_label}$  is equal to  $\text{label}(T_{\text{union}}[x, s + 1])$ .

*Base case:* We have to show that before the first iteration of the loop we have  $\text{cur\_label} = \text{label}(T_{\text{union}}[x, 1])$ . If some label  $l_i \in L$  has 0 as an element then  $T_{\text{union}}[x, 1]$  is isomorphic to a star with  $x$  as the center and  $v_i$  as a leaf. By Prop. 1, in this case  $\text{label}(T_{\text{union}}[x, 1]) = (1, t.0)$  and this is what  $\text{cur\_label}$  is initialized to. If no  $l_i \in L$  has 0 as an element, then by Remark 3.5  $T_{\text{union}}[x, 1] = T_{\text{union}}[x, 0]$  which by definition is the singleton node  $x$  and by Prop. 1 the label of this tree is  $(0, t.0)$  and this is what  $\text{cur\_label}$  is initialized to.

*Induction step:* We assume  $\text{cur\_label} = \text{label}(T_{\text{union}}[x, s])$  at the start of the  $s$ 'th iteration of the for loop and show that at the end of the iteration,  $\text{cur\_label} = \text{label}(T_{\text{union}}[x, s + 1])$ .

The first thing done in the for loop is the computation of  $\{l'_i \mid 1 \leq i \leq d, l'_i = \text{label}(T_r[v_i, s + 1])\}$ . By Remark 3.2,  $\text{label}(T_r[v_i, s + 1]) \subseteq \text{label}(T_r[v_i])$  for all  $i$ , therefore  $l'_1, \dots, l'_d$  are trivial to compute. The second thing done is to set  $N_s$  as the set of all children of  $x$  whose labels contain  $s$ , and  $t_s$  as the number of nodes in  $N_s$  that themselves have children whose labels contain  $s$ . Let us first look at what happens when  $|N_s| = 0$ :

By Remark 3.5, for every child  $v_i$  of  $x$ ,  $T_r[v_i, s + 1] = T_r[v_i, s]$  if  $s \notin \text{label}(T_r[v_i])$ . Therefore, if  $|N_s| = 0$ , then  $T_{\text{union}}[x, s + 1] = T_{\text{union}}[x, s]$ , and from the induction assumption,  $\text{label}(T_{\text{union}}[x, s + 1]) = \text{cur\_label}$ , and indeed when  $|N_s| = 0$  then iteration  $s$  of the loop does not alter  $\text{cur\_label}$ .

Otherwise, we have  $|N_s| > 0$  and make a call to the subroutine given by Prop. 1, see the decision tree in Figure 5, to compute  $\text{label}(T_{\text{union}}[x, s + 1])$  and argue first that the variables used in that call correspond to the variables used in Prop. 1 to compute  $\text{label}(T_r[x])$ . The correspondence is given in Table 4. Most of these are just observations:  $T_{\text{union}}[x, s + 1]$  corresponds to  $T_r[x]$

Proposition 1	for loop iteration $s$	Explanation
$T_r[x], k$	$T_{\text{union}}[x, s + 1], s$	Tree needing label, max $\text{lmw}$ of children
$T_r[v_1], \dots, T_r[v_d]$	$T_r[v_i, s], \dots, T_r[v_d, s]$	Subtrees of children
$l_1, \dots, l_d, N_k, t_k$	$l'_1, \dots, l'_d, N_s, t_s$	Child labels, those with max, root comp. index
$\text{label}(T_r[x] \setminus T_r[w])$	$\text{cur\_label}$	This is also $\text{label}(T_{\text{union}}[x, s + 1] \setminus T_r[w, s + 1])$

in Prop. 1, and  $T_r[v_1, s + 1], \dots, T_r[v_d, s + 1]$  corresponds to  $T_r[v_1], \dots, T_r[v_d]$ .  $\{l'_i \mid 1 \leq i \leq d, l'_i = \text{label}(T_r[v_i, s + 1])\}$  correspond to  $\{\text{label}(T_r[v]) \mid v \in \text{Child}\}$  in Prop. 1.  $N_s$  is defined in the algorithm so that it corresponds to  $N_k$  in Prop. 1. Since  $|N_s| > 0$ , some  $v_i$  has  $s$  in its label  $l'_i$ . By Remark 3.3 and 3.4, we can infer that  $s$  is the maximum LMIM-width of all  $T_r[v_i, s + 1]$ , therefore  $s$  corresponds

to  $k$  in Proposition 1.

It takes a bit more effort to show that  $t_s$  computed in iteration  $s$  of the for loop corresponds to  $t_k = D_{T_r[x]}(x, k)$  in Prop. 1 – meaning we need to show that  $t_s = D_{T_{union}[x, s+1]}(x, s)$ . Consider  $v_i$ , a child of  $x$ . In accordance with MAKELABEL we say that  $v_i$  contributes to  $t_s$  if  $v_i \in N_s$  and  $v_i$  has a child  $u_j$  with  $s$  in its label. We thus need to show that  $v_i$  contributes to  $t_s$  if and only if  $v_i$  is an  $s$ -neighbour of  $x$  in  $T_{union}[x, s+1]$ . Observe that by Remark 3.4,  $lmw(T_r[v_i, s+1]) = lmw(T_r[u_j, s+1]) = s$  if and only if  $s$  is in the labels of both  $T_r[v_i]$  and  $T_r[u_j]$ . If  $s \notin \text{label}(T_r[u_j, s+1])$ , then  $lmw(T_r[u_j, s+1]) < s$ , and if this is true for all children of  $v_i$ , then  $v_i$  is not an  $s$ -neighbour of  $x$  in  $T_{union}[x, s+1]$ . If  $s \notin \text{label}(T_r[v_i, s+1])$ , then  $lmw(T_r[v_i, s+1]) < s$  and no subtree of  $T_r[v_i, s+1]$  can have LMIM-width  $s$ . However, if  $s \in \text{label}(T_r[u_j, s+1])$  and  $s \in \text{label}(T_r[v_i, s+1])$  (this is when  $v_i$  contributes to  $t_s$ ), then  $T_r[v_i, s+1] \cap T_r[u_j]$  must be equal to  $T_r[u_j, s+1]$  and  $T_r[u_j, s+1] \subseteq T_{union}[x, s+1]$ , and we conclude that  $v_i$  is an  $s$ -neighbour of  $x$  in  $T_{union}[x, s+1]$  if and only if  $v_i$  contributes to  $t_s$ , so  $t_s = D_{T_{union}[x, s+1]}(x, s)$ .

Lastly, we show that if  $T_{union}[x, s+1]$  is a Case 6 or Case 7 tree – that is,  $|N_s| = 1$ , and  $T_r[v_1, s+1]$  is a type 3 or type 4 tree, with  $w$  being the parent of an  $s$ -critical node – then the algorithm has  $\text{label}(T_{union}[x, s+1] \setminus T_r[w, s+1])$  available for computation, indeed that this is the value of  $\text{cur\_label}$ . We know, by definition of label and Remark 3.5 that  $T_r[v_i, s+1] \setminus T_r[v_i, s] = T_r[w, s+1]$ . But since  $|N_s| = 1$ , for every  $j \neq i$ ,  $T_r[v_j, s+1] \setminus T_r[v_j, s] = \emptyset$ . Therefore  $T_{union}[x, s+1] \setminus T_{union}[x, s] = T_r[w, s+1]$  and  $T_{union}[x, s+1] \setminus T_r[w, s+1] = T_{union}[x, s]$ . But by the induction assumption,  $\text{cur\_label} = \text{label}(T_{union}[x, s])$ . Thus  $\text{cur\_label}$  corresponds to  $\text{label}(T_r[x] \setminus T_r[w])$  in Prop. 1.

We have now argued for all the correspondences in Table 4. By that, we conclude from Prop. 1 and Definition ?? and the inductive assumption that  $\text{cur\_label} = \text{label}(T_{union}[x, s+1])$  at the end of the  $s$ 'th iteration of the for loop in MAKELABEL. It runs for  $k$  iterations, where  $k$  is equal to the biggest number in any label of the children of  $x$ , and  $\text{cur\_label}$  is then equal to  $\text{label}(T_{union}[x, k+1])$ . Since  $k \geq lmw(T_r[v_i])$  for all  $i$ , by definition  $T_r[v_i, k+1] = T_r[v_i]$  for all  $i$ , and thus  $T_{union}[x, k+1] = T_r[x]$ . Therefore, when MAKELABEL finishes,  $\text{cur\_label} = \text{label}(T_r[x])$ .

**Theorem 2.** *Given any tree  $T$ ,  $lmw(T)$  can be computed in  $\mathcal{O}(n \log(n))$ -time.*

*Proof.* We find  $lmw(T)$  by bottom-up processing of  $T_r$  and returning the first element of  $\text{label}(T_r)$ . After correctly initializing at leaves and nodes whose children are all leaves, we make a call to MAKELABEL for each of the remaining nodes. Correctness follows by Lemma 2 and induction on the structure of the rooted tree. For the timing we show that each call runs in  $\mathcal{O}(\log n)$  time. For every integer  $s$  from 1 to  $m$ , the biggest number in any label of children of  $x$ , which is  $\mathcal{O}(\log n)$  by Remark 1, the algorithm checks how many labels of children of  $x$  contain  $s$  (to compute  $N_s$ ), and how many labels of grandchildren of  $x$  contain  $s$  (to compute  $t_s$ ). The labels are sorted in descending order, therefore the whole loop goes only once through each of these labels, each of length

$O(\log n)$ . Other than this, MAKELABEL only does a constant amount of work. Therefore, MAKELABEL( $T_r, x$ ), if  $x$  has  $a$  children and  $b$  grandchildren, takes time proportional to  $O(\log n)(a + b)$ . As the sum of the number of children and grandchildren over all nodes of  $T_r$  is  $O(n)$  we conclude that the total runtime to compute  $lmw(T)$  is  $\mathcal{O}(n \cdot \log n)$ .

**Theorem 3.** *A layout of LMIM-width  $lmw(T)$  of a tree  $T$  can be found in  $\mathcal{O}(n \cdot \log n)$ -time.*

*Proof.* Given  $T$  we first run the algorithm computing  $lmw(T)$  by finding labels of all nodes and various subtrees. Given  $T$  we first run the algorithm computing  $lmw(T)$  finding the label of every full rooted subtree in  $T_r$ . We give a recursive layout-algorithm that uses these labels in tandem with LINORD presented in the Path Layout Lemma. We call it on a rooted tree where labels of all subtrees are known. For simplicity we call this rooted tree  $T_r$  even though in recursive calls this is not the original root  $r$  and tree  $T$ . The layout-algorithm goes as follows:

- 1) Let  $lmw(T_r) = k$  and find a path  $P$  in  $T_r$  such that all trees in  $T_r \setminus N[P]$  have LMIM-width  $< k$ . The path depends on the type of  $T_r$  as explained in detail below.
- 2) Call this layout-algorithm recursively on every rooted tree in  $T_r \setminus N[P]$  to obtain linear layouts; to this end, we need the correct label for every node in these trees.
- 3) Call LINORD on  $T_r, P$  and the layouts provided in step 2.

Every tree in the forest  $T \setminus N[P]$  is equal to a dangling tree  $T\langle v, u \rangle$ , where  $v$  is a neighbour of some  $x \in P$ .

We observe that if  $lmw(T) = k$ , then by definition  $lmw(T\langle v, u \rangle) = k$  if and only if  $v$  is a  $k$ -neighbour of  $x$ . It follows that every tree in  $T \setminus N[P]$  has LMIM-width at most  $k - 1$  if and only if no node in  $P$  has a  $k$ -neighbour that is not in  $P$ . We use this fact to show that for every type of tree we can find a satisfying path in the following way:

*Type 0 trees:* Choose  $P = (r)$ . Since  $T \setminus N[r] = \emptyset$  in these trees, this must be a satisfying path.

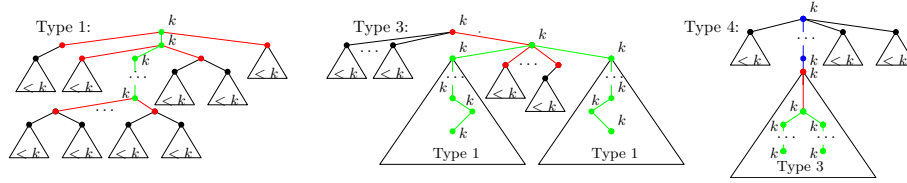
*Type 1 trees:* These trees contain no  $k$ -critical nodes, which by definition means that for any node  $x$  in  $T_r$ , at most one of its children is a  $k$ -neighbour of  $x$ . Choose  $P$  to start at the root  $r$ , and as long as the last node in  $P$  has a  $k$ -neighbour  $v$ ,  $v$  is appended to  $P$ . This set of nodes is obviously a path in  $T_r$ . No node in  $P$  can possibly have a  $k$ -neighbour outside of  $P$ , therefore all connected components of  $T \setminus N[P]$  have LMIM-width  $\leq k - 1$ . Furthermore, all components of  $T - N[P]$  are full rooted sub-trees of  $T_r$  and so the labels are already known.

*Type 2 trees:* In these trees the root  $r$  is  $k$ -critical. We look at the trees rooted in the two  $k$ -neighbours of  $r$ ,  $T_r[v_1]$  and  $T_r[v_2]$ . By Remark 2 these must both be Type 1 trees, and so we find paths  $P_1, P_2$  in  $T_r[v_1]$  and  $T_r[v_2]$  respectively, as described above. Gluing these paths together at  $r$  we get a satisfying path for  $T_r$ , and we still have correct labels for the components  $T \setminus N[P]$ .



*Type 3 trees:* In these trees,  $r$  has exactly one child  $v$  such that  $T_r[v]$  is of type 2 and none of its other children have LMIM-width  $k$ . We choose  $P$  as we did above for  $T_r[v]$ .  $r$  is clearly not a  $k$ -neighbour of  $v$ , or else  $D_T(v, k) = 3$ . Every other node in  $P$  has all their neighbours in  $T_r[v]$ . Again, every tree in  $T \setminus N[P]$  is a full rooted subtree, and every label is known.

*Type 4 trees:* In these trees,  $T_r$  contains precisely one node  $w \neq r$  such that  $w$  is the parent of a  $k$ -critical node,  $x$ . This  $w$  is easy to find using the labels, and clearly the tree  $T_r[w]$  is a type 3 tree with LMIM-width  $k$ . We find a path  $P$  that is satisfying in  $T_r[w]$  as described above.  $w$  is still not a  $k$ -neighbour of  $x$ , therefore  $P$  is a satisfying path. In this case, we have one connected component of  $T \setminus N[P]$  that is not a full rooted subtree of  $T_r$ , that is  $T_r \setminus T_r[w]$ . Thus for every ancestor  $y$  of  $w$  (the blue path in Figure 6)  $T_r[y] \setminus T_r[w]$  is not a full rooted subtree either, and we need to update the labels of these trees. However,  $T_r[y] \setminus T_r[w]$  is by definition equal to  $T_r[y, k]$ , whose label is equal to  $label(T_r[y])$  without its first number. Thus we quickly find the correct labels to do the recursive call.



**Fig. 6.** The path  $P$  in green for the proof of Theorem 3.

## 5 Conclusion

We have given an  $O(n \log n)$  algorithm computing the LMIM-width and an optimal layout of an  $n$ -node tree. This is the first graph class of LMIM-width larger than 1 having a polynomial-time algorithm computing LMIM-width and thus constitutes an important step towards a better understanding of LMIM-width. Indeed, for the development of FPT algorithms computing tree-width and path-width of general graphs, one could argue that the algorithm of [6] computing optimal path-decompositions of a tree in time  $O(n \log n)$  was a stepping stone. The situation is different for MIM-width and LMIM-width, as it is W-hard to compute these parameters [18], but it is similar in the sense that our objective has been to achieve an understanding of how to take a graph and assemble a decomposition of it, in this case a linear one, such that it has cuts of low MIM. To achieve this objective a polynomial-time algorithm for trees has been our main goal.

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