

# Generalized Master Theorem

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**Lemma 1.** *Given a polynomial  $p(x)$  such that  $p(0) = 0$  then for any  $0 < t < 1$ ,  $p(tx) \leq tp(x)$ .*

*Proof.* Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x$ .

Now,

$$\begin{aligned} p(tx) &= a_n (tx)^n + a_{n-1} (tx)^{n-1} + \dots + a_1 (tx) \\ &= a_n t^n x^n + a_{n-1} t^{n-1} x^{n-1} + \dots + a_1 t x \\ &\leq a_n t x^n + a_{n-1} t x^{n-1} + \dots + a_1 t x \quad (\because t^n \leq t \ \forall n \geq 1) \\ &= t(a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x) = tp(x) \end{aligned}$$

□

**Theorem 1.** *Given a recursive function  $T(x)$  defined as*

$$\begin{aligned} T(x) &= \sum_{i=1}^m T(x_i) + f(x) && \text{if } x > K \\ T(x) &= b && \text{if } 1 \leq x \leq K \end{aligned}$$

where  $x$  and  $x_i$ 's are positive integers, there exists functions  $g_i(\cdot)$  such that  $x_i = g_i(x)$  for all  $i = 1, \dots, m$ ; and  $K$ ,  $b$  and  $m$  are positive integer constants.

If  $f(x)$  is a polynomial defined on positive integers such that  $f(0) \geq 0$  and  $f(x) \geq d > 0$  for all  $1 \leq x \leq K$ , and  $x > \sum_{i=1}^m x_i$  then there exists a constant  $c \geq 1$  such that  $T(x) \leq cxf(x)$  for all  $x \geq 1$ .

*Proof.* Choose  $c = \max\{1, b/d\}$ . We shall prove by induction.

Base case: When  $1 \leq x \leq K$ ,  $T(x) = b = (b/d)d \leq cxf(x) \leq cxf(x)$ .

Induction case: When  $x > K$ ,

$$\begin{aligned} T(x) &= \sum_{i=1}^m T(x_i) + f(x) \\ &\leq \sum_{i=1}^m c x_i f(x_i) + f(x) \\ &\quad \text{(Using Induction Hypothesis on } x_i < x: T(x_i) \leq c x_i f(x_i)) \\ &= c \sum_{i=1}^m x \left( \frac{x_i}{x} \right) f\left(x \frac{x_i}{x}\right) + f(x) \\ &\leq c \sum_{i=1}^m \left( \frac{x_i}{x} \right) x f(x) + f(x) \\ &\quad \text{(Let } t = \left( \frac{x_i}{x} \right) < 1 \text{ and } p(x_i) = x_i f(x_i) \text{ in Lemma 1)} \\ &= c x f(x) \sum_{i=1}^m \left( \frac{x_i}{x} \right) + f(x) \\ &= x f(x) \left( c \sum_{i=1}^m \frac{x_i}{x} + \frac{1}{x} \right) \\ &\leq c x f(x) \quad (\because x > \sum_{i=1}^m x_i) \end{aligned}$$

□