

# Polytime checker for LF

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We denote natural numbers by  $\bar{0}, \bar{1}, \dots$ . Additionally, we assume that we have inference rules for basic arithmetic and comparison operators, in particular addition (+), equality (=) and less than (<).

Let  $M, N, \dots$  denote LF objects and  $A, B, \dots$  LF types.

**Definition 0.1** For an LF object  $M$ , we define  $\tau(M) = a$  if  $M$  has type  $aN_1N_2 \dots N_k$ .

**Definition 0.2** If  $a$  denotes a LF type family, we define a size function  $\#_a(\cdot)$  for every canonical LF object as shown below:

$$\begin{array}{ll}
 \#_a(M) &= \bar{0} && \text{if } \tau(M) \prec a \\
 \text{Otherwise,} &&& \\
 \#_a(c) &= \bar{1} && \text{if } \tau(c) \succeq a \text{ for an LF object constant } c \\
 \#_a(x) &= \bar{1} && \text{if } \tau(x) \succeq a \text{ for an LF object variable } x \\
 \#_a(M_1M_2) &= \begin{cases} \#_a(M_1) & \text{if } \tau(M_1) \succ \tau(M_2) \\ \infty & \text{otherwise} \end{cases} && \text{if } M_1 \text{ is of the form } \lambda x.M'_1 \\
 \#_a(M_1M_2) &= \#_a(M_1) + \#_b(M_2) && \text{if } M_1 \text{ is not of the form } \lambda x.M'_1, \tau(M_2) = bN_1N_2 \dots N_{k_1} \\
 &&& \text{and } \tau(M_1) = bN_1N_2 \dots N_{k_1} \rightarrow aP_1P_2 \dots P_{k_2} \\
 \#_a(M_1M_2) &= \#_a(M_1) + \#_a(M_2) && \text{if } M_1 \text{ is not of the form } \lambda x.M'_1, \tau(M_2) = bN_1N_2 \dots N_{k_1} \\
 &&& \text{and } \tau(M_1) = \Pi x : bN_1N_2 \dots N_{k_1}.aP_1P_2 \dots P_{k_2} \\
 \#_a(\lambda x.M) &= \#_a(M)
 \end{array}$$

The Horn fragment of LF is given as:

$$\begin{array}{ll}
 D &::= H \mid \Pi x : A.D \mid G \rightarrow D \\
 G &::= H \\
 N &::= \#_a(M) \mid N_1 + N_2 \mid \bar{n} \mid \infty
 \end{array}$$

where  $H$  denotes LF types and  $N$  are natural numbers.

## 1 Polytime checker

A judgment in the polytime checker is given by  $\Gamma/\Delta \vdash_a D/N$ , where  $\Gamma$  and  $\Delta$  are LF type contexts,  $a$  is LF type family,  $N$  is a natural number and  $D$  is a LF type.

**Definition 1.1** We define head of a LF type  $\Pi x_1 : A_1. \Pi x_2 : A_2. \dots \Pi x_n : A_n. aM_1M_2 \dots M_n$  as  $\text{head}(\Pi x_1 : A_1. \Pi x_2 : A_2. \dots \Pi x_n : A_n. aM_1M_2 \dots M_n) = a$

For a type family  $b$ , let  $\Sigma_b \subseteq \Sigma$  be all objects  $c : D \in \Sigma$  such that  $\text{head}(D) = b$ .

[[[ Is our distinction between  $\Pi$  and  $\rightarrow$  type accurate? After all, everything is a  $\Pi$  type ]]]  
 [[[ Exactly how is the type subordination used in poly-time checker? Does it correspond to unary/binary encodings? I do not seem to need it for the proof ]]]

**Definition 1.2** [Ver97, p. 576-577] Let function  $g$  be given. We say that function  $f$  is  $g$ -star-shaped iff for all  $x \geq 1$  and  $0 < t < 1$ ,  $f(tx) \leq g(t)f(x)$ . We say that  $f$  is  $g$ -co-star-shaped iff for all  $x$  and  $0 < t < 1$ ,  $f(tx) \geq g(t)f(x)$

$\overline{\vdash \cdot \text{poly}} \text{poly}_z$	$\frac{\vdash \Sigma \text{poly} \quad \cdot/\cdot \vdash_{\text{head}(D)} D/\bar{0}}{\vdash \Sigma, c : D \text{poly}} \text{poly}_s$
$\frac{\Gamma/\Delta \vdash a : A \rightarrow B \rightarrow \text{Type} \quad \Gamma \vdash M_1 : A \quad \Gamma/\Delta \vdash M_2 : B \quad N \leq \#_a(M_1)}{\Gamma/\Delta \vdash_a aM_1M_2/N} \text{poly}_{base}$	
$\frac{\Gamma/\Delta \vdash a : A \rightarrow B \rightarrow \text{Type} \quad \Gamma \vdash M_1 : A \quad \Delta \vdash M_2 : B \quad \Gamma/\Delta \vdash_a D/N + \#_a(M_1)}{\Gamma/\Delta \vdash_a aM_1M_2 \rightarrow D/N} \text{poly}_{recur-fn}$	
$\frac{\Gamma/\Delta \vdash b : C_1 \rightarrow C_2 \rightarrow \text{Type} \quad \Gamma/\Delta \vdash M_1 : C_1 \quad \Gamma/\Delta \vdash M_2 : C_2 \quad \vdash \Sigma_b \text{poly} \quad \vdash \Sigma_b \text{nsi} \quad \Gamma/\Delta \vdash_a D/N}{\Gamma/\Delta \vdash_a bM_1M_2 \rightarrow D/N} \text{poly}_{nsi-fn}$	
$\frac{\Gamma/\Delta \vdash b : C_1 \rightarrow C_2 \rightarrow \text{Type} \quad \Gamma \vdash M_1 : C_1 \quad \Gamma \vdash M_2 : C_2 \quad \vdash \Sigma_b \text{poly} \quad \Gamma/\Delta \vdash_a D/N}{\Gamma/\Delta \vdash_a bM_1M_2 \rightarrow D/N} \text{poly}_{normal-fn}$	
$\frac{\Gamma, x : A/\Delta \vdash_a D/N}{\Gamma/\Delta \vdash_a \Pi x : A. D/N} \text{poly}_{var-normal}$	$\frac{\Gamma/\Delta, x : A \vdash_a D/N}{\Gamma/\Delta \vdash_a \Pi x : A. D/N} \text{poly}_{var-recur}$

Figure 1: Inference rules for identifying LF signatures representing polynomial time logic programs

$\overline{\vdash \cdot \text{nsi}} \text{nsi}_z$	$\frac{\vdash \Sigma \text{nsi} \quad \cdot/\cdot \vdash_{\text{head}(D)} D}{\vdash \Sigma, c : D \text{nsi}} \text{nsi}_s$
$\frac{\Gamma \vdash_a M_1 : A \quad \#_a(M_2) \leq \#_a(M_1)}{\Gamma \vdash_a aM_1M_2 \text{nsi}} \text{nsi}_{base}$	
$\frac{\Gamma \vdash_a M_1 : A \quad \vdots \quad \Gamma \vdash_a D \text{nsi}}{\Gamma \vdash_a aM_1M_2 \rightarrow D \text{nsi}} \text{nsi}_{recur}$	
$\frac{\Gamma \vdash \text{head}(D) : A \rightarrow B \rightarrow \text{Type} \quad \vdash \Sigma_b \text{nsi} \quad \#_a(M_2) \leq \#_a(M_1) \quad \vdots \quad \Gamma \vdash_a D \text{nsi}}{\Gamma \vdash_a bM_1M_2 \rightarrow D \text{nsi}} \text{nsi}_{fn}$	
$\frac{\Gamma, x : A \vdash_a D \text{nsi}}{\Gamma \vdash_a \Pi x : A. D \text{nsi}} \text{nsi}_{var}$	

Figure 2: Inference rules for identifying LF signatures representing non-size increasing logic programs

**Theorem 1.3** [Ver97, p. 576-577] Given a recurrence  $T(x) = \sum_{i=1}^k a_i T(x/c_i) + f(x)$  for all reals  $x > K$ ,  $T(x) = b$  for all reals  $1 \leq x \leq K$  for some real constants  $a_i \geq 1$ ,  $c_i > 1$  for  $1 \leq i \leq k$  and  $b > 0$  and  $f$  is a function defined on reals. Also,  $K \geq \max_i \{c_i\}$

1. If  $f(x) \geq d$  over  $[1, K]$  for some  $d > 0$ , there exists  $g$  such that  $f$  is  $g$ -star-shaped, and  $\sum_{i=1}^k a_i g(1/c_i) < 1$  then  $T(x) = \Theta(f(x))$ .
2. If  $f(x) = h(x)(\log x)^l + d(x \geq 1)$  for some  $l \geq 0, d > 0$ , there is a  $g$  such that  $h$  is  $g$ -star-shaped, and  $\sum_{i=1}^k a_i g(1/c_i) = 1$ , then  $T(x) = O(f(x) \log x)$ .
3. If  $f(x) = h(x)(\log x)^l (x \geq 1, l \geq 0)$ , there exists  $g$  such that  $h$  is  $g$ -co-star-shaped, and  $\sum_{i=1}^k a_i g(1/c_i) \geq 1$ , then  $T(x) = \Omega(f(x) \log x)$ .

**Corollary 1.4** Given a recurrence  $T(n) = \sum_{i=1}^k T(x_i) + f(n)$  for all reals  $n > 0$ ,  $T(1) = b$  for some real constants  $x_i > 0$  for  $1 \leq i \leq k$  and function  $f$  is a polynomial such that  $f(1) > 0$ .

1. If  $n > \sum_{i=1}^k x_i$ , then  $T(n) = \Theta(f(n))$ .
2. If  $n = \sum_{i=1}^k x_i$ , then  $T(n) = O(f(n) \log n) = O(nf(n))$ .

**Proof:** Choose  $g(x) = x$  and  $c_i = n/x_i$  for  $1 \leq i \leq k$  in Theorem 1.3. □

**Theorem 1.5** Given a LF type signature  $\Sigma$  such that  $\mathcal{D} :: \vdash \Sigma$  poly. Then for all  $D$  such that  $c : D \in \Sigma$  with  $\text{head}(D) = f : A \rightarrow B \rightarrow \text{Type}$  and mode  $m_f = \langle +, - \rangle$  there exists a polynomial  $p(n)$  such that for all LF objects  $M_1 : A$ , if there exists  $M_2 : B$  and  $N : f M_1 M_2$  then  $\#_f(N) = p(\#_f(M_1))$ .

**Proof:** Induction on size of  $\Sigma$  and then for  $c : D \in \Sigma$  on size of  $M_1$ . □

**Theorem 1.6** Given a LF type signature  $\Sigma$  such that  $\mathcal{D} :: \vdash \Sigma$  nsi. Then for all  $D$  such that  $c : D \in \Sigma$  with  $\text{head}(D) = f : A \rightarrow B \rightarrow \text{Type}$  and mode  $m_f = \langle +, - \rangle$ , if for all LF objects  $M_1 : A$ , there exists  $M_2 : B$  and  $N : f M_1 M_2$  then  $\#_f(M_2) \leq \#_f(M_1)$ .

**Proof:** Induction on size of  $\Sigma$  and then for  $c : D \in \Sigma$  on size of  $M_1$ . □

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## References

- [Ver97] Rakesh M. Verma. General techniques for analyzing recursive algorithms with applications. *SIAM Journal on Computing*, 26(2):568–581, 1997.