

## CANDIDATE APPLICATION DISCLOSURE QUESTIONS

Unless prohibited by local law, as part of the recruiting / selection process and prior to an offer being extended to a candidate, Human Resources shall oversee that all candidates are asked the disclosure questions. These questions shall be provided to the candidates with the complete definitions of Referral, Relative, Senior Government Official, and Senior Commercial Person.

To the best of your knowledge:

1. Do you currently hold, or have you held within the last five years, a position as a Senior Government Official ("SGO") (e.g., a senior-level person in the government or a senior executive of a government-controlled corporation, institution, or charity)? YES \_\_\_\_\_ NO ✓

a. If yes, provide the:

- Most recent job title
- Name of the government entity
- Dates of service
- Country/Countries of the government entity

(For public international organizations, please indicate the country in which you are/were employed and that the organization is international.)

2. Are you a Referral or Relative of a current SGO? YES \_\_\_\_\_ NO ✓

a. If yes, provide the:

- SGO Name
- Relationship or other connection to the SGO
- SGO's most recent job title
- Name of the government entity of the SGO
- Dates of the SGO's service
- Country/Countries of the government entity

(For public international organizations, please indicate the country in which the SGO is employed and that the organization is international.)

3. Are you a Referral of a current Senior Commercial Person ("SCP") (e.g., the Chief Executive Officer, Board of Directors voting member, Chief Financial Officer, Treasurer, investment banker, or an officer managing the investments or transactions for or on behalf of a commercial business organization)?

YES \_\_\_\_\_ NO ✓

a. If yes, provide the:

- SCP Name

- Relationship or other connection to the SCP
- SCP's most recent job title
- Name of the commercial business organization of the SCP
- Dates of the SCP's service
- Country/Countries of the commercial business organization

(For private international organizations, please indicate the country in which the SCP is employed and that the organization is international.)

APPLICANT SIGNATURE: \_\_\_\_\_



APPLICANT NAME (Print): Andrew Kowuoche

DATE OF DISCLOSURE: 20 / 03 / 2018

## DEFINITIONS



"Referral" means an individual recommended for employment or work experience at Citi.

"Relative" means spouses, domestic partners, civil union partners, parents, grandparents, great-grandparents, children, grandchildren, siblings, aunts, uncles, nieces, nephews, cousins, wards, or equivalent relationships, and any person (other than a tenant or domestic help or employee) who shares the person's household. Relative also includes "in-laws" and "step" in these same relationships.

"Senior Commercial Person" or "SCP" is a non-Citi employee of a commercial (i.e., non-governmental) business organization who occupies an influential position within a commercial business organization and makes, or has the ability or authority to make, decisions for or on behalf of a commercial business organization in relation to transactions, assets, funds, and/or direction of a commercial business organization with relation to business with Citi. For purposes of these Procedures, the following individuals are considered to be SCPs:

- Chief Executive Officer ("CEO"), the deputy of the CEO, or a person with a similar level of management responsibilities and authority, of an organization;
- A voting member of the Board of Directors (whether in an executive or non-executive capacity) of an organization; or
- Chief Financial Officer, Treasurer, investment banker, or an investment officer managing the investments of a commercial business organization.

"Senior Government Official" or "SGO" is a Government Official or U.S. Public Official who occupies an influential position within a government entity and makes, or has the ability or authority to make, decisions for or on behalf of a government entity in relation to transactions, assets, funds, and/or direction of a government entity with relation to business with Citi.

This does not include a Government Official or U.S. Public Official in a junior or non-managerial position who does not have influence over any business conducted with Citi. Examples of Government Officials and U.S. Public Officials that generally are not considered SGOs include **non-managerial** police officers, firefighters, correctional officers, postal employees, clerical workers, teachers, librarians, and public transportation employees.