KOTAK EMERGING EQUITY SCHEME

Mid cap fund - An open-ended equity scheme predominantly investing in mid cap stocks

Investment style

	Value	Blend	Growth	Size
				Large
		▓	▓	Mid
				Small

Fund Manager: Mr. Pankaj Tibrewal*
AAUM: ₹4,387.25 crs
AUM: ₹4,500.54 crs
Benchmark: Nifty Free Float Midcap 100 TRI $
Allotment date: March 30, 2007
Folio count: 3,18,078

Investment Objective

The investment objective of the scheme is to generate long-term capital appreciation from a portfolio of equity and equity related securities, by investing predominantly in mid companies. The scheme may also invest in debt and money market instruments, as per the asset allocation table. There is no assurance that the investment objective of the scheme will be achieved.

Available Plans/Options

A) Regular Plan B) Direct Plan

Options: Dividend Payout, Dividend Reinvestment & Growth (applicable for all plans)

Dividend Frequency

Trustee's Discretion

Ratios

Portfolio Turnover	20.58%
$Beta	0.78
$Sharpe##	0.35
$Standard Deviation	14.82%

Source: $ICRA MFI Explorer.

Minimum Investment Amount

Initial Investment: ₹5000 and in multiple of ₹1 for purchase and for ₹0.01 for switches
Additional Investment: ₹1000 & in multiples of ₹1
Ideal Investments Horizon: 3 years & above

Load Structure

Entry Load: Nil. (applicable for all plans)
Exit Load:
a) For redemptions / switch outs (including SIP/STP) within 1 year from the date of allotment of units:1%.
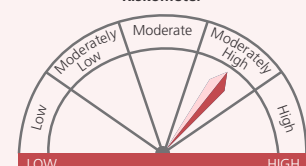b) For redemption/switch outs (including SIP/STP) after 1 year from the date of allotment of units: Nil.

Total Expense Ratio**

Regular: 2.02%; Direct: 0.70%

Data as on June 30, 2019

Product Label

Riskometer

Investors understand that their principal will be at moderately high risk
This product is suitable for investors who are seeking*:
- Long term capital growth
- Investment in equity & equity related securities predominantly in mid cap companies.
* Investors should consult their financial advisors if in doubt about whether the product is suitable for them.
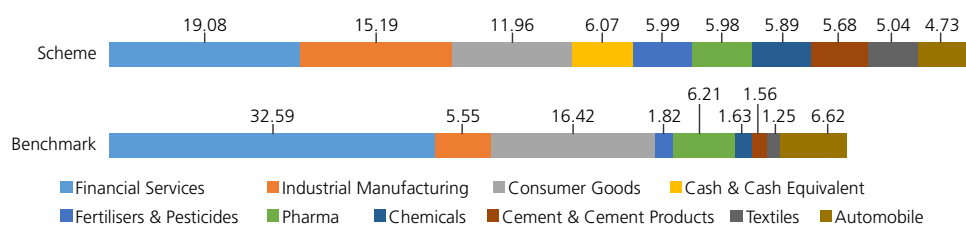
PORTFOLIO

Issuer/Instrument	Industry/Rating	% to Net Assets
Equity & Equity related		
P I INDUSTRIES LTD	Pesticides	3.62
Supreme Industries Limited	Industrial Products	3.57
The Ramco Cements Ltd	Cement	3.30
Atul Ltd.	Chemicals	3.23
AU Small Finance Bank Ltd.	Banks	3.20
Schaeffler India Ltd	Industrial Products	2.98
Thermax Ltd.	Industrial Capital Goods	2.82
SRF Ltd.	Textile Products	2.68
Solar Industries India Limited	Chemicals	2.64
RBL Bank Ltd	Banks	2.53
Shree Cement Ltd.	Cement	2.36
Coromandel International Ltd.	Fertilisers	2.35
Apollo Hospitals Enterprises Ltd.	Healthcare Services	2.16
Bharat Financial Inclusion Limited	Finance	2.09
Tata Global Beverages Limited	Consumer Non Durables	2.09
Kajaria Ceramics Ltd.	Construction	1.82
Shriram City Union Finance Ltd.	Finance	1.82
Torrent Pharmaceuticals Ltd.	Pharmaceuticals	1.80
Federal Bank Ltd.	Banks	1.76
APL Apollo Tubes Limited	Ferrous Metals	1.72
Indraprastha Gas Ltd.	Gas	1.67
Finolex Cables Ltd.	Industrial Products	1.62
Mahindra & Mahindra Financial Services Ltd.	Finance	1.60
Voltas Ltd.	Consumer Durables	1.56
Sheela Foam Ltd	Consumer Durables	1.51
City Union Bank Ltd.	Banks	1.50
Sundaram Finance Ltd.	Finance	1.47
Future Retail Ltd.	Retailing	1.45
Ratnamani Metals & Tubes Ltd.	Ferrous Metals	1.40
Exide Industries Ltd	Auto Ancillaries	1.37
V-Guard Industries Ltd.	Consumer Durables	1.30
Bharat Electronics Ltd	Industrial Capital Goods	1.24
Oberoi Realty Ltd	Construction	1.24
SKF India Ltd	Industrial Products	1.24
Godrej Agrovet Ltd	Consumer Non Durables	1.23

Issuer/Instrument	Industry/Rating	% to Net Assets
MRF Limited	Auto Ancillaries	1.18
Amara Raja Batteries Ltd.	Auto Ancillaries	1.14
Cadila Healthcare Ltd.	Pharmaceuticals	1.14
Emami Ltd.	Consumer Non Durables	1.12
Motherson Sumi Systems Ltd.	Auto Ancillaries	1.03
Bata India Ltd.	Consumer Durables	1.02
Edelweiss Financial Services Ltd	Finance	0.98
Divi s Laboratories Limited	Pharmaceuticals	0.97
Jindal Steel & Power Ltd.	Ferrous Metals	0.91
Kewal Kiran Clothing Limited.	Textile Products	0.86
Lux Industries Limited	Textile Products	0.85
State Bank Of India	Banks	0.80
Gujarat State Petronet Ltd.	Gas	0.77
Eris Lifesciences Ltd	Pharmaceuticals	0.74
Hawkins Cooker Ltd	Household Appliances	0.74
Cholamandalam Financial Holdings Limited	Finance	0.72
Navneet Education Ltd.	Media and Entertainment	0.70
PNC Infratech Ltd	Construction	0.66
Whirlpool of India Ltd.	Consumer Durables	0.66
BEML Ltd	Industrial Capital Goods	0.65
Page Industries Ltd	Textile Products	0.64
Persistent Systems Limited	Software	0.57
Kirloskar Oil Engines Ltd.	Industrial Products	0.52
Laurus Labs Ltd	Pharmaceuticals	0.51
Abbott India Ltd.	Pharmaceuticals	0.44
Ramkrishna Forgings Ltd.	Industrial Products	0.40
Alkem Laboratories Ltd	Pharmaceuticals	0.37
ICICI Securities Ltd	Finance and Investments	0.32
Max Financial Services Ltd.	Finance	0.23
WPIL Ltd	Industrial Products	0.12
Equity & Equity Related - Total		**93.70**
TERM DEPOSITS		
HDFC Bank Ltd.		0.11
Term Deposits - Total		**0.11**
Triparty Repo		**6.14**
Net Current Assets/(Liabilities)		**0.05**
Grand Total		**100.00**

Notes: Term Deposit as provided above is towards margin for derivatives transactions.
Large Cap, Midcap and Small cap stocks as a % age of Net Assets: 8.12%, 69.62% & 15.94%.

SECTOR ALLOCATION (%)

Scheme: 19.08, 15.19, 11.96, 6.07, 5.99, 5.98, 5.89, 5.68, 5.04, 4.73

Benchmark: 32.59, 5.55, 16.42, 1.82, 1.63, 6.21, 1.25, 1.56, 6.62

■ Financial Services ■ Industrial Manufacturing ■ Consumer Goods ■ Cash & Cash Equivalent
■ Fertilisers & Pesticides ■ Pharma ■ Chemicals ■ Cement & Cement Products ■ Textiles ■ Automobile

SYSTEMATIC INVESTMENT PLAN (SIP)

Systematic Investment Plan (SIP) If you had invested ₹10,000 every month

Monthly SIP of (₹) 10000	Since Inception	10 years	7 years	5 years	3 years	1 year
Total amount invested (₹)	14,80,000	12,00,000	8,40,000	6,00,000	3,60,000	1,20,000
Total Value as on June 28, 2019 (₹)	40,88,615	28,41,271	15,33,849	7,75,731	3,90,456	1,25,253
Scheme Returns (%)	15.42	16.46	16.94	10.25	5.38	8.37
Nifty Midcap 100 (TRI) (₹)#	33,59,031	22,48,469	13,02,659	7,18,842	3,68,182	1,18,950
Nifty Midcap 100 (TRI) Returns (%)	12.54	12.09	12.35	7.19	1.47	-1.65
Nifty 50 (TRI) (₹)^	31,56,806	22,20,809	13,18,296	8,03,422	4,35,060	1,27,755
Nifty 50 (TRI) Returns (%)	11.63	11.85	12.68	11.67	12.78	12.43

Scheme Inception : - March 30, 2007. The returns are calculated by XIRR approach assuming investment of ₹10,000/- on the 1st working day of every month. XIRR helps in calculating return on investments given an initial and final value and a series of cash inflows and outflows and taking the time of investment into consideration. Since inception returns are assumed to be starting from the beginning of the subsequent month from the date of inception. # Benchmark ; ^ Additional Benchmark
TRI – Total Return Index, In terms of SEBI circular dated January 4, 2018, the performance of the scheme is benchmarked to the Total Return variant (TRI) of the Benchmark Index instead of Price Return Variant (PRI).
Kotak Emerging Equity Scheme NAV as on Jun 28, 2019: ₹22.118 (Dividend Option), ₹25.845 (Direct Dividend Option), ₹39.053 (Growth Option), ₹42.066 (Direct Growth Option)
*For Fund Manager experience, please refer page 61-63. ## Risk rate assumed to be 5.97% (FBIL Overnight MIBOR rate as on 28th Jun 2019).**Total Expense Ratio includes applicable B30 fee and GST.
For last three dividends, please refer page no 64-66. For scheme performance, please refer page no 54-60. $ With Effect from 25th May 2018, the Benchmark is changed to Nifty Free Float Midcap 100.