# **Kathmandu University**

# Department of Computer Science and Engineering Dhulikhel, Kavre



# Three Dimensional Transformations Lab Report Five [COMP342]

(For partial fulfillment of  $3^{rd}$  Year/ $1^{st}$  Semester in Computer Science)

# **Submitted by:**

Yugesh Upadhyaya Luitel (38)

#### **Submitted to:**

Mr. Dhiraj Shrestha

Department of Computer Science and Engineering

Submission Date: December 15, 2022

# **Table of Contents**

CHAPTER 1: THREE DIMENSIONAL TRANSFORMATION	1
1.1 Introduction	1
1.2 Additional Tools	1
1.3 SETUP AND HELPERS	2
CHAPTER 2: GENERAL 3D TRANSFORMATION	6
2.1 GENERAL INFORMATION	6
2.2 Source Code	6
2.3 OUTPUT	10
CHAPTER 3: TRANSLATION	11
3.1 MATRIX	11
3.2 CODE SNIPPET FOR TRANSLATION	11
3.3 Output	12
CHAPTER 4: ROTATION	13
4.1 Matrix	13
4.2 CODE SNIPPET FOR ROTATION	13
4.3 Output	15
CHAPTER 5: SCALING	17
5.1 Matrix	17
5.2 CODE SNIPPET FOR SCALING	17
5.3 OUTPUT	18
CHADTER 6: CONCLUSION	10

**Chapter 1: Three Dimensional Transformation** 

1.1 Introduction

3D models are a mathematical representation of a physical entity that occupies space. Three

Dimensional Transformations are the processes of manipulating the view of a three-

dimensional object with respect to its original position by modifying its physical attributes.

These processes when applied to a point on three-dimensional space (or 3D shape, constructed

using 3D points) will map it into another point in the same three-dimensional space (or 3D

shape, made out of the mapped 3D points). Essentially, Three Dimensional Transformations

map a set of Original Points O of a 3D Space into a set of Transformed Points T into the same

3D space.

There are various transformations that can be obtained in three-dimensional space, and through

this particular lab work we aim to explore these transformations and their effects to a Three-

**Dimensional Cube** of our choice. Amongst the various transformations, we will be looking at:

Translation

Rotation

Scaling

1.2 Additional Tools

The Programming Language, Graphics Library and Tools used for the Transformations are as

follows:

**Programming Language:** Python 3.10

Graphics Library: PyOpenGL 3.1.6

Window Renderer: GLUT

Helper Library: ctypes, numpy

1

#### 1.3 Setup and Helpers

For the purpose of demonstrating the effect of various transformations, we will be using a 3D Cube with 8 vertices at (-0.5, 0.5, 0.5),(0.5, 0.5, 0.5),(-0.5, -0.5, 0.5),(0.5, -0.5, 0.5),(0.5, -0.5),(0.5, -0.5),(0.5, -0.5),(0.5, -0.5),(0.5, -0.5),(0.5, -0.5) in a three dimensional space. Since OpenGL does not provide its user with default three-dimensional object, the cube is drawn manually using the OpenGL's gl.GL\_TRIANGLES primitive along with indicesData to specify the vertices of 12 triangles to be drawn in order to create the various faces for a cube.

Moreover, for the purpose of implementing 3D Graphical elements, the <code>glut.glutDisplayMode</code> is set to <code>glut.GLUT\_DEPTH</code> for depth buffer creation and updating. Also, to mitigate the overlapping effects, the Z Buffer test has been enabled using <code>gl.glenable(gl.GL\_DEPTH\_TEST)</code> command.

A helper function generateCubeData() is used for the generation of the vertices of Cube, the color value for each of these vertices, and the indicesData necessary for plotting the Cube.

#### **Code Snippet for Build Data Helper**

```
# -- Building Data --
def generateCubeData():
    data = np.zeros(8, [("position", np.float32, 3),
                     ("color",
                                   np.float32, 4)])
    data["position"] = (
         (-0.5, 0.5, 0.5),
        (0.5, 0.5, 0.5),
         (-0.5, -0.5, 0.5),
        (0.5, -0.5, 0.5),
         (-0.5, 0.5, -0.5),
         (0.5, 0.5, -0.5),
         (-0.5, -0.5, -0.5),
        (0.5, -0.5, -0.5))
    data['color'] = (
                 (0.114, 0.505, 0.345, 1.0),
                 (0.483, 0.290, 0.734, 1.0),
                 (0.097, 0.513, 0.064, 1.0),
                 (0.245, 0.719, 0.592, 1.0),
                 (0.583, 0.771, 0.014, 1.0),
                 (0.473, 0.211, 0.457, 1.0),
                 (0.322, 0.245, 0.574, 1.0),
                 (0.083, 0.071, 0.014, 1.0))
    indicesData = np.array([0, 1, 2, 1, 2, 3,
                              4, 5, 6, 5, 6, 7,
                              4, 2, 5, 2, 5, 1,
                              5, 1, 3, 5, 3, 7,
0, 4, 2, 4, 2, 6,
2, 6, 3, 6, 3, 7], np.int32)
    return data, indicesData
```

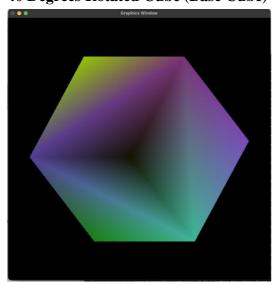
```
def display():
    gl.glClear(gl.GL_COLOR_BUFFER_BIT)
    gl.glDrawElements(gl.GL_TRIANGLES, len(indicesData),gl.GL_UNSIGNED_INT, None)
    gl.glFlush()
    glut.glutSwapBuffers()
```

#### **Output Cube**



At first glance, this **Output Cube** looks like a two-dimensional square. However, it is indeed a Cube, which looks like a square due to an orthogonal viewing onto a face of the cube, where the vertices of the faces at the back, top, bottom and sides overlap with the front face. And, since the front face has a lower z value it is displayed by the Graphical Library such that the front face blocks all other faces from appearing. Hence, for the purpose of this Lab Work we will be rotating this cube along both x and y axes by 40 degrees. This rotated cube will be considered the base cube for the future transformations.

#### **40 Degrees Rotated Cube (Base Cube)**



**Moreover**, we will be utilizing the generateTransforms() helper to build our transformation matrix based upon the transformationType and transformationData provided to it as parameters.

This helper functions looks at the transformationType parameter which is a string representing one of our 3 transformations. If it does not match with any of our defined transformations it returns an identity matrix of size (4, 4), which represents a transformation that maps the given points to themselves. Upon transformationType match, the function uses the transformationData parameter, which is a list containing all the required data necessary for that transformation, to create a transformationMatrix and return it.

The data required for transformationData parameter depending upon the type of transformation are as follows:

- a. Translation: transformationData=[translation in X, translation in Y, translation in Z]
- b. Rotation: transformationData=[("pitch" or "yaw" or "roll"), degree of rotation]
- c. Scaling: transformationData=[scaling in X, scaling in Y, scaling in Z]

#### **Code Snippet for Transformation Generation Helper**

```
def generateTransforms(transformationType = None, transformationData = None):
    transformationMatrix = np.identity(4, dtype = np.float32)
    transformationMatrix = transformationMatrix.flatten()
    if not transformationType:
        return transformationMatrix
    if transformationType == "translation":
        transformationMatrix = np.array([ 1.0,0.0,0.0,transformationData[0],
                                             0.0,1.0,0.0, transformationData[1],
                                             0.0,0.0,1.0, transformationData[2],
                                             0.0,0.0,0.0,1.0], np.float32)
    elif transformationType == "rotation":
        cTheta = np.cos(transformationData[1]/180 * math.pi)
        sTheta = np.sin(transformationData[1]/180 * math.pi)
        # x - axis rotation
        if transformationData[0] == "pitch":
            transformationMatrix = np.array([1.0,0.0,0.0,0.0,
                                             0.0, cTheta, -sTheta, 0.0,
                                             0.0,sTheta,cTheta,0.0,
                                             0.0,0.0,0.0,1.0], np.float32)
        # y - axis rotation
        elif transformationData[0] == "yaw":
            transformationMatrix = np.array([cTheta, 0.0, sTheta, 0.0,
```

**Similarly,** for a 3D Transformation we will be to using 4 x 4 Homogeneous Matrix to represent the Transformation Matrices. And, the data points given to the vertex shader is multiplied by these homogeneous transformation matrices to get the mapped data points, which is then plotted by the vertex shader itself.

### **Code Snippet for Vertex Shader**

# **Chapter 2: General 3D Transformation**

#### 2.1 General Information

Since, the transformations using the Homogeneous Coordinate System works by cross multiplying the transformation function to the data points of our 3D Shape, we can write a general program for this multiplication. And, upon need we can vary the transformation matrix parameter to our desired one to achieve any of the described transformations, namely:

- a. Translation
- b. Rotation
- c. Scaling

#### 2.2 Source Code

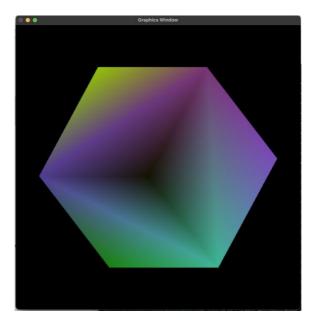
```
import os
import sys
import ctypes
import numpy as np
import math
import OpenGL.GL as gl
import OpenGL.GLUT as glut
import OpenGL.GLU as glu
vertexShaderCode = """
    attribute vec3 position;
    attribute vec4 color;
    varying vec4 vColor;
    uniform mat4 rotationMatrix[2];
    uniform mat4 transformationMatrix;
    void main(){
       gl_Position = transformationMatrix * rotationMatrix[1] * rotationMatrix[0] *
vec4(position, 1.0);
       vColor = color;
fragmentShaderCode = """
    varying vec4 vColor;
    void main(){
       gl_FragColor = vColor;
# -- Building Data --
def generateCubeData():
   data["position"] = (
       (-0.5, 0.5, 0.5),
       (0.5, 0.5, 0.5),
       (-0.5, -0.5, 0.5),
       (0.5, -0.5, 0.5),
```

```
(-0.5, 0.5, -0.5),
        (0.5, 0.5, -0.5),
        (-0.5, -0.5, -0.5),
        (0.5, -0.5, -0.5),
    )
    data['color'] = (
                 .
(0.114, 0.505, 0.345, 1.0),
                (0.483, 0.290, 0.734, 1.0),
                (0.097, 0.513, 0.064, 1.0),
                (0.245, 0.719, 0.592, 1.0),
                (0.583, 0.771, 0.014, 1.0),
                (0.473, 0.211, 0.457, 1.0),
                (0.322, 0.245, 0.574, 1.0),
                (0.083, 0.071, 0.014, 1.0),
    indicesData = np.array([0, 1, 2, 1, 2, 3,
                             4, 5, 6, 5, 6, 7,
                             4, 2, 5, 2, 5, 1,
                             5, 1, 3, 5, 3, 7,
                             0, 4, 2, 4, 2, 6,
                             2, 6, 3, 6, 3, 7], np.int32)
    return data, indicesData
def generateTransforms(transformationType = None, transformationData = None):
    transformationMatrix = np.identity(4, dtype = np.float32)
    transformationMatrix = transformationMatrix.flatten()
    if not transformationType:
        return transformationMatrix
    if transformationType == "translation":
        transformationMatrix = np.array([ 1.0,0.0,0.0,transformationData[0],
                                             0.0,1.0,0.0, transformationData[1],
                                             0.0,0.0,1.0,transformationData[2],
                                             0.0,0.0,0.0,1.0], np.float32)
    elif transformationType == "rotation":
        cTheta = np.cos(transformationData[1]/180 * math.pi)
        sTheta = np.sin(transformationData[1]/180 * math.pi)
        # x - axis rotation
        if transformationData[0] == "pitch":
            transformationMatrix = np.array([1.0,0.0,0.0,0.0,0.0]
                                             0.0, cTheta, -sTheta, 0.0,
                                             0.0,sTheta,cTheta,0.0,
                                             0.0,0.0,0.0,1.0], np.float32)
        # y - axis rotation
        elif transformationData[0] == "yaw":
            transformationMatrix = np.array([cTheta, 0.0, sTheta, 0.0,
                                             0.0,1.0,0.0,0.0,
                                             -sTheta, 0.0, cTheta, 0.0,
                                             0.0,0.0,0.0,1.0], np.float32)
        # z - axis rotation
        elif transformationData[0] == "roll":
            transformationMatrix = np.array([cTheta, -sTheta, 0.0, 0.0,
                                             sTheta, cTheta, 0.0, 0.0,
                                             0.0,0.0,1.0,0.0,
                                             0.0,0.0,0.0,1.0], np.float32)
    elif transformationType == "scaling":
```

```
transformationMatrix = np.array([transformationData[0],0.0,0.0,0.0,
                                         0.0, transformationData[1], 0.0, 0.0,
                                         0.0,0.0, transformationData[2],0.0,
                                         0.0,0.0,0.0,1.0], np.float32)
    return transformationMatrix
# function to request and compiler shader slots from GPU
def createShader(source, type):
    # request shader
    shader = gl.glCreateShader(type)
    # set shader source using the code
    gl.glShaderSource(shader, source)
    gl.glCompileShader(shader)
    if not gl.glGetShaderiv(shader, gl.GL_COMPILE_STATUS):
        error = gl.glGetShaderInfoLog(shader).decode()
        print(error)
        raise RuntimeError(f"{source} shader compilation error")
    return shader
# func to build and activate program
def createProgram(vertex, fragment):
    program = gl.glCreateProgram()
    # attach shader objects to the program
    gl.glAttachShader(program, vertex)
    gl.glAttachShader(program, fragment)
    gl.glLinkProgram(program)
    if not gl.glGetProgramiv(program, gl.GL_LINK_STATUS):
        print(gl.glGetProgramInfoLog(program))
        raise RuntimeError('Linking error')
    # Get rid of shaders (no more needed)
    gl.glDetachShader(program, vertex)
    gl.glDetachShader(program, fragment)
    return program
# initialization function
def initialize(transformationMatrix):
    global program
    global data
    gl.glEnable(gl.GL_DEPTH_TEST)
    gl.glClear(gl.GL_COLOR_BUFFER_BIT | gl.GL_DEPTH_BUFFER_BIT)
    gl.glClearColor(0.0, 0.0, 0.0, 0.0)
    gl.glLoadIdentity()
    program = createProgram(
        createShader(vertexShaderCode, gl.GL_VERTEX_SHADER),
        createShader(fragmentShaderCode, gl.GL_FRAGMENT_SHADER),
    )
    degrees = 40
    cTheta = np.cos(degrees/180 * math.pi)
    sTheta = np.sin(degrees/180 * math.pi)
    initialRotation = np.array([1.0,0.0,0.0,0.0,0.0,
                                 0.0, cTheta, -sTheta, 0.0,
                                 0.0, sTheta, cTheta, 0.0,
                                 0.0,0.0,0.0,1.0], np.float32)
    secondRotation = np.array([cTheta, 0.0, sTheta, 0.0,
                                 0.0,1.0,0.0,0.0,
                                 -sTheta, 0.0, cTheta, 0.0,
```

```
0.0,0.0,0.0,1.0], np.float32)
    rotation = np.array([initialRotation, secondRotation])
    # make program the default program
    gl.glUseProgram(program)
    buffer = gl.glGenBuffers(1)
    indicesBuffer = gl.glGenBuffers(1)
    # make these buffer the default one
    gl.glBindBuffer(gl.GL ARRAY BUFFER, buffer)
    gl.glBindBuffer(gl.GL ELEMENT ARRAY BUFFER, indicesBuffer)
    # bind the position attribute
    stride = data.strides[0]
    offset = ctypes.c_void_p(⊘)
    loc = gl.glGetAttribLocation(program, "position")
    gl.glEnableVertexAttribArray(loc)
    gl.glBindBuffer(gl.GL_ARRAY_BUFFER, buffer)
    gl.glVertexAttribPointer(loc, 3, gl.GL_FLOAT, False, stride, offset)
    offset = ctypes.c_void_p(data.dtype["position"].itemsize)
    loc = gl.glGetAttribLocation(program, "color")
    gl.glEnableVertexAttribArray(loc)
    gl.glBindBuffer(gl.GL_ARRAY_BUFFER, buffer)
    gl.glVertexAttribPointer(loc, 4, gl.GL FLOAT, False, stride, offset)
    loc = gl.glGetUniformLocation(program, "rotationMatrix")
    gl.glUniformMatrix4fv(loc, 2, gl.GL TRUE, rotation)
    loc = gl.glGetUniformLocation(program, "transformationMatrix")
    gl.glUniformMatrix4fv(loc, 1, gl.GL_TRUE, transformationMatrix)
    # Upload data
    gl.glBufferData(gl.GL_ARRAY_BUFFER, data.nbytes, data, gl.GL_DYNAMIC_DRAW)
    gl.glBufferData(gl.GL_ELEMENT_ARRAY_BUFFER, indicesData.nbytes, indicesData,
gl.GL STATIC DRAW)
def display():
    gl.glClear(gl.GL COLOR BUFFER BIT)
    gl.glDrawElements(gl.GL_TRIANGLES, len(indicesData),gl.GL_UNSIGNED_INT, None)
    gl.glFlush()
    glut.glutSwapBuffers()
def reshape(width,height):
    gl.glViewport(0, 0, width, height)
def keyboard( key, x, y):
    if key == b'\x1b':
       os._exit(1)
# GLUT init
glut.glutInit()
glut.glutInitDisplayMode(glut.GLUT_DOUBLE | glut.GLUT_RGBA | glut.GLUT_DEPTH)
glut.glutCreateWindow('Graphics Window')
glut.glutReshapeWindow(800,800)
glut.glutReshapeFunc(reshape)
data, indicesData = generateCubeData()
# generate transformation matrix through paramter provision
transformationMatrix = generateTransforms()
initialize(transformationMatrix = transformationMatrix)
glut.glutDisplayFunc(display)
glut.glutPostRedisplay()
glut.glutKeyboardFunc(keyboard)
# enter the mainloop
glut.glutMainLoop()
```

Upon execution of the above source code from the command line using python threedtransforms.py command produces the following output:



This output cube is the same one that we initially assumed to be the base cube with no further transformations applied to it. This is because in the general program we have not provided any input parameters to the generateTransforms() function.

```
# generate transformation matrix through paramter provision
transformationMatrix = generateTransforms()
```

Looking at the definition of <code>generateTransforms()</code> function, we can see that the transformation matrices are set to identity matrix by default meaning that upon no <code>transformationType</code> our <code>transformationMatrix</code> will return and perform identity transformation to our shape.

```
def generateTransforms(transformationType = None, transformationData = None):
    transformationMatrix = np.identity(4, dtype = np.float32)
    transformationMatrix = transformationMatrix.flatten()
    if not transformationType:
        return transformationMatrix
initialize(transformationMatrix = transformationMatrix)
```

Now, in the upcoming sections, we will be varying these transformation matrices using our generateTransforms() helper function and pass it whilst calling the initialize function to achieve our desired transformations.

# **Chapter 3: Translation**

#### 3.1 Matrix

To translate our Cube 0.15 in x-direction, 0.2 in y-direction and 0.2 in z-direction we generate the following 4 x 4 Homogeneous Translation Matrix:

1	0	0	Tx
0	1	0	Ту
0	0	1	Tz
0	0	0	1

where, Tx = 0.15, Ty = 0.2, Tz = 0.2

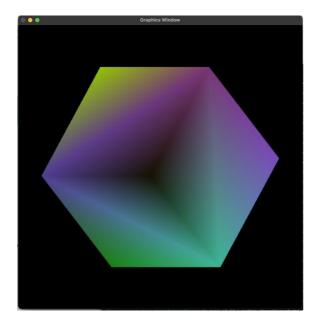
# 3.2 Code Snippet for Translation

```
# Calculate the Transformation Matrix as follows:
transformationMatrix = generateTransforms("translation", [0.15, 0.2, 0.2])
# Pass the Transformation Matrix and Translation Matrix
# as Parameters to initialize if need be
initialize(transformationMatrix = transformationMatrix)
```

#### **Description**

Our code will produce the following translation numpy matrix:

And, pass it to transformationMatrix parameter of the initialize() function during the call, which then feeds the matrix to the vertex shader code. Eventually, the vertex shader handles the translation through matrix multiplication.



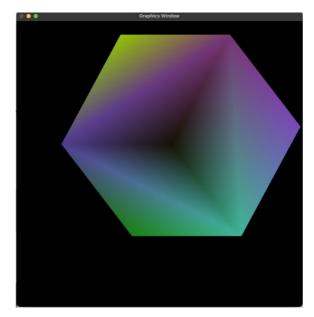


fig 3.3.1 : Before Translation

fig 3.3.2 : After Translation [0.15, 0.2, 0.2]

We can see that the Cube has been translated into x and y direction by the mentioned amount. But, we see no difference due to the z direction translation. This is because the view we are looking the Cube from is using parallel projection so the size of the object remains the same despite the change in the z-direction values for the vertices. Since, all the vertices data are translated in z direction by same amount, the actual values at z buffer does change. However, they change by the same amount, meaning that there appears to be no changes in the cube faces and z direction based parameters.

# **Chapter 4: Rotation**

#### 4.1 Matrix

We can rotate the Cube about 3 primary axes, namely: x-axis (pitch), y-axis (yaw), and z-axis (roll). To rotate our Cube 30 degrees in each axis we generate the following 4 x 4 Homogeneous Rotation Matrices:

#### **Rotation about x-axis**

1	0	0	0
0	сθ	-sθ	0
0	sθ	сθ	0
0	0	0	1

#### **Rotation about y-axis**

сθ	0	sθ	0
0	1	0	0
-sθ	0	сθ	0
0	0	0	1

#### **Rotation about z-axis**

сθ	-sθ	0	0
sθ	сθ	0	0
0	0	1	0
0	0	0	1

where,  $c\theta = \cos(\theta)$  and  $s\theta = \sin(\theta)$  and  $\theta = 30$  degrees

### **4.2 Code Snippet for Rotation**

#### **Rotation about x-axis (Pitch)**

```
# Calculate the Transformation Matrix as follows:
transformationMatrix = generateTransforms("rotation", ["pitch", 30])
# Pass the Transformation Matrix and Translation Matrix
# as Parameters to initialize if need be
initialize(transformationMatrix= transformationMatrix)
```

#### Rotation about y-axis (Yaw)

```
# Calculate the Transformation Matrix as follows:
transformationMatrix = generateTransforms("rotation", ["yaw". 30])
# Pass the Transformation Matrix and Translation Matrix
# as Parameters to initialize if need be
initialize(transformationMatrix= transformationMatrix)
```

#### **Rotation about z-axis (Roll)**

```
# Calculate the Transformation Matrix as follows:
transformationMatrix = generateTransforms("rotation", ["roll", 30])
# Pass the Transformation Matrix and Translation Matrix
# as Parameters to initialize if need be
initialize(transformationMatrix= transformationMatrix)
```

#### **Description**

Our code will produce the following rotation numpy matrices:

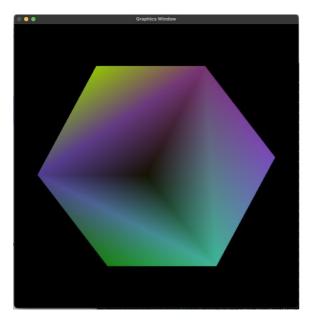
#### **Rotation about x-axis (Pitch)**

#### **Rotation about y-axis (Yaw)**

#### **Rotation about z-axis (Roll)**

And, pass it to transformationMatrix parameter of the initialize() function during the call, which then feeds the matrix to the vertex shader code. Eventually, the vertex shader handles the rotation about the respective axes through matrix multiplications.

## **Rotation about x-axis (Pitch)**



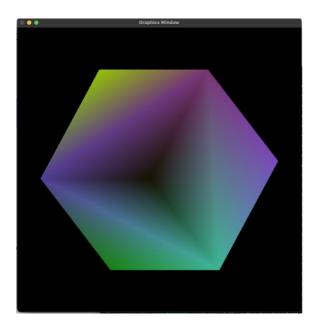
Graphics Window

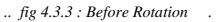
Graphics Window

.. fig 4.3.1 : Before Rotation

fig 4.3.2 : After 30 degrees Rotation about x-axis

# Rotation about y-axis (yaw)





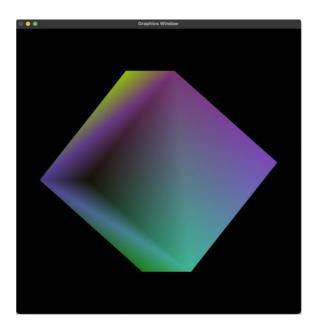
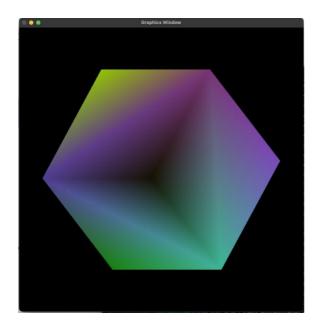
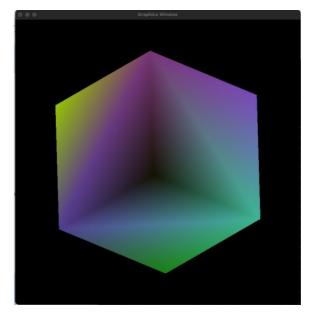


fig 4.3.4: After 30 degrees Rotation about y-axis

# Rotation about z-axis (Roll)





.. fig 4.3.5 : Before Rotation .

fig 4.3.6 : After 30 degrees Rotation about z-axis

# **Chapter 5: Scaling**

#### 5.1 Matrix

To Scale our Cube by 0.8 times in x-direction, 0.6 times in y-direction and 0.6 times in z-direction we generate following 4 x 4 Homogeneous Translation Matrix and Scaling Matrix:

#### **Scaling Matrix**

Sx	0	0	0
0	Sy	0	0
0	0	0	0
0	0	0	1

where, 
$$Sx = 0.8$$
,  $Sy = 0.6$  and  $Sz = 0.6$ 

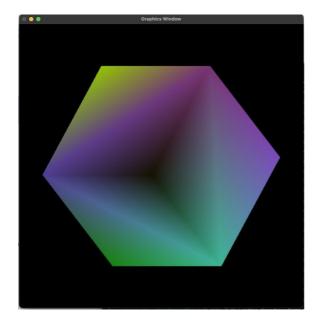
# **5.2 Code Snippet for Scaling**

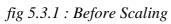
```
# Calculate the Transformation Matrix as follows:
transformationMatrix = generateTransforms("scaling", [0.8, 0.6, 0.6])
# Pass the Transformation Matrix and Translation Matrix
# as Parameters to initialize if need be
initialize(transformationMatrix = transformationMatrix)
```

#### **Description**

Our code will produce the following translation numpy matrix:

And, pass it to transformationMatrix and translationMatrix parameter of the initialize() function during the call, which then feeds these matrices to the vertex shader code. Eventually, the vertex shader handles scaling of the cube through matrix multiplication.





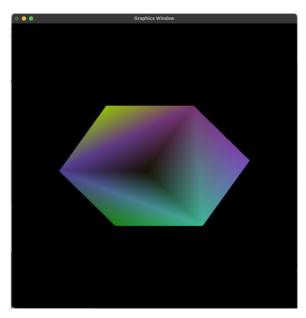


fig 5.3.2 : After Scaling [0.8, 0.6, 0.6]

# **Chapter 6: Conclusion**

Through this Lab Work, I was able to realize the ease of using homogeneous coordinate and matrix system for implementing various transformations for a 3D Shape (Cube) in three-dimensional space. Moreover, I was also able to picturize the unrealistic appearance of a 3D Shape portrayed using parallel projection method, and the need to have an implementation for perspective projections for all 3D Graphical Systems.

Also, GLSL (OpenGL Shading Language) is written for the purpose of graphical manipulation, it automatically handles the matrix multiplications meaning transformations of a shape only needed the correct creation of transformation matrix and identification of correct order for matrix multiplications. Even though, achieving various transformations were simple, this comprehensive lab work will be extremely crucial for the implementation of our selected topic for the mini project.