

# Continuum Mechanics Equations

## General:

1. Kinematics – describing deformation and velocity without considering forces
2. Dynamics – equations that describe force balance, conservation of linear and angular momentum
3. Thermodynamics – relations temperature, heatflux, stress, entropy

## Material-specific

4. Constitutive equations – relations describing how material properties vary as a function of T,P, stress,..... Such material properties govern dynamics (e.g., *density*), response to stress (*viscosity, elastic parameters*), heat transport (*thermal conductivity, heat capacity*)

# Thermal parameters

Can you name 4 material parameters that affect temperatures  
or how material responds to changes in temperature

*Each of these may depend on  $T$ ,  $P$ , phase,  
composition, ...*

# Thermal parameters

**k** - thermal conductivity (W/m/K)

**A** - heat production (W/m<sup>3</sup>)

**C<sub>P</sub>** - heat capacity (specific heat) at constant pressure (J/kg/K)

**α** - thermal expansion coefficient (1/K)

$$\alpha = (1/V)[\partial V/\partial T]_P = (1/\rho)[\partial \rho/\partial T]_P$$

**κ** - thermal diffusivity  $k/\rho/C_P$  (m<sup>2</sup>/s)

*Each of these may depend on T, P, phase, composition, ...*

# Learning Objectives

- Learn main conservation equations used in continuum mechanics modelling and understand what different terms in these equations represent
- Be able to solve conservation equations for basic analytical solutions given boundary/initial conditions.
- Understand basic properties of elastic and viscous rheology and understand how the choice of rheology leads to different forms of the momentum conservation equation
- Using tensor analysis to obtain relations between the main isotropic elastic parameters

# Rheology

$$\text{deformation } (\epsilon) = \text{rheology} \cdot \text{stress } (\sigma)$$

material response to stress, depends on  
material, P,T, time, deformation  
history, environment (volatiles,  
water)

- *elastic*
- *viscous*
- *brittle*
- *plastic*

- experiments under simple stress conditions  
 $\Rightarrow$  strain evolution under constant stress,  
stress-strain rate diagrams

- thermodynamics + experimental parameters
- ab-initio calculations

# Recap Fluid - Solid

- What is a solid?

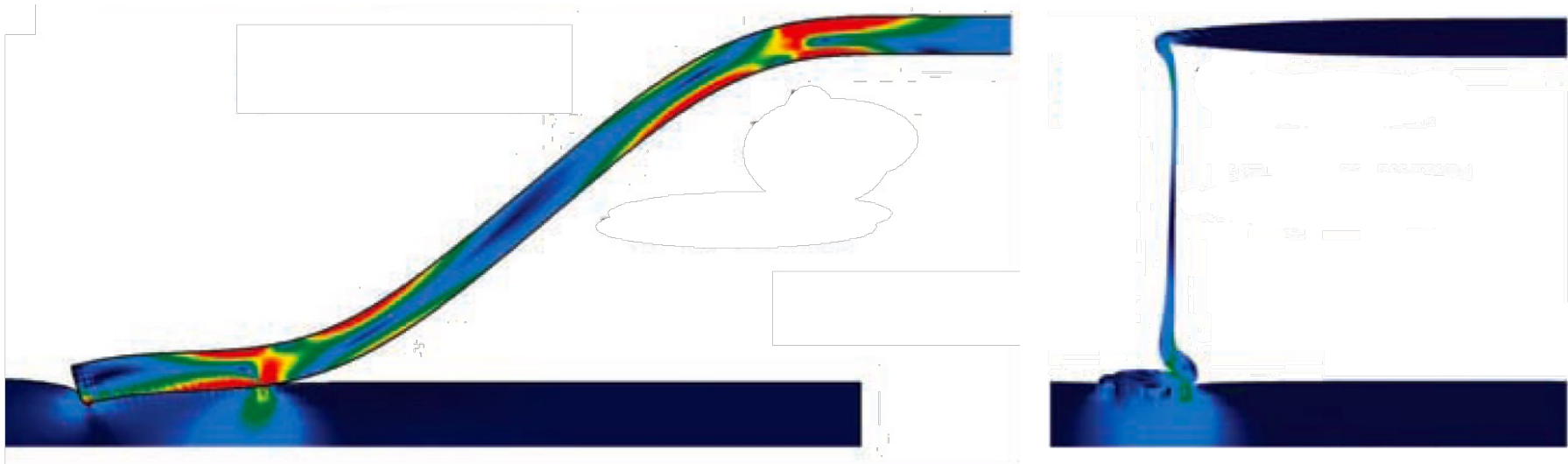
A solid acquires finite deformation under stress

*stress  $\sigma \sim \text{strain } \varepsilon$*

- What is a fluid?

A material that flows in response to applied stress

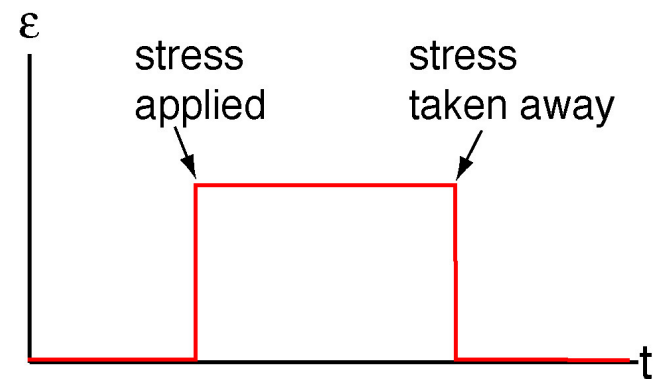
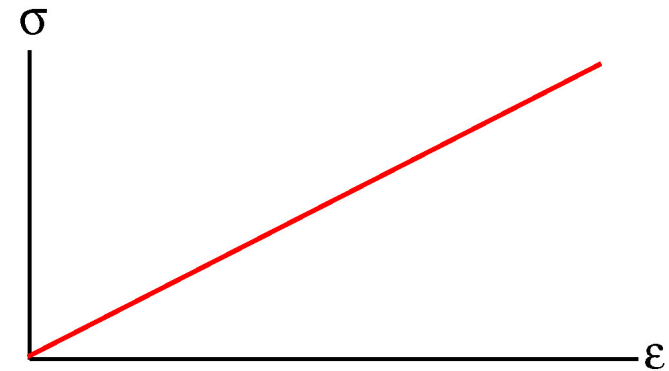
*stress  $\sigma \sim \text{strain rate } D$*



*Figures from Funiciello et al. (2003a)*

# Elasticity

- linear response to load applied
- instantaneous
- completely recoverable
- below threshold (yielding) stress
- *dominates behaviour of coldest part of tectonic plates on time scales of up to 100 m.y. => fault loading*
- *on time scale of seismic waves, the whole Earth is elastic*
- $\sigma_{ij} = C_{ijkl}\epsilon_{kl}$  - Hooke's law  
 $C_{ijkl}$  - rank 4 elasticity tensor  
 $3^4$  elements, up to 21 independent



## Elasticity tensor

$C_{ijkl}$   $3^4=81$  elements (for  $n=3$ )

- symmetry of  $\sigma_{ij}$  and  $\varepsilon_{kl}$   
 $\Rightarrow$  only 36 independent elements

$$P = \sigma : \mathbf{D} \approx \sigma : D\varepsilon/Dt = DU/Dt$$

- conservation of elastic energy  $U = \sigma : \varepsilon = \mathbf{C} : \varepsilon : \varepsilon \geq 0$

$$\Rightarrow C_{ijkl} = C_{klij}$$

$\Rightarrow$  only 21 independent elements - most general form of  $\mathbf{C}$

- other symmetries further reduce the number of independent elements



# Elasticity tensor

For example, for *isotropic* media  
only 2 independent elements ( $\lambda, \mu$ ):

$$\begin{aligned}\sigma_{ij} &= \lambda \delta_{ij} \delta_{kl} \varepsilon_{kl} + \alpha \delta_{ik} \delta_{jl} \varepsilon_{kl} + \beta \delta_{il} \delta_{jk} \varepsilon_{kl} \\ &= \lambda \delta_{ij} \varepsilon_{kk} + \alpha \varepsilon_{ij} + \beta \varepsilon_{ji} \\ &= \lambda \delta_{ij} \theta + (\alpha + \beta) \varepsilon_{ij}\end{aligned}$$

$$\Rightarrow \sigma_{ij} = \lambda \theta \delta_{ij} + 2\mu \varepsilon_{ij}$$

What is isotropic?

3 isotropic rank

4 tensors:

$$\delta_{ij} \delta_{kl}, \delta_{ik} \delta_{jl}, \delta_{il} \delta_{jk}$$

## Hooke's law for isotropic material: 2 independent coefficients

*Lamé constants*

$\lambda$  and  $\mu$  :  $\sigma_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2\mu \varepsilon_{ij}$

*Bulk and shear modulus*

$\mathbf{K}$  and  $\mathbf{G}$  :  $-p = K \theta$  *isotropic*  $-p = \sigma_{kk}/3$   
 $\theta = \varepsilon_{kk}$   
 $\sigma'_{ij} = G \varepsilon'_{ij}$  *deviatoric*

Determine relation to Lamé constants in Exercise 5

*Young's modulus and Poisson's ratio*

$\mathbf{E}$  and  $\nu$  :  $E = \sigma_{11}/\varepsilon_{11}$  ,  $\nu = -\varepsilon_{33}/\varepsilon_{11}$  (uniaxial stress)

Determine in optional Exercise 6

# Wave equation

For infinitesimal deformation:

spatial coordinates  $\approx$  material coordinates

$$v_i \text{ (spatial)} \approx \partial u_i / \partial t$$

$$a_i \text{ (spatial)} \approx \partial v_i / \partial t = \partial^2 u_i / \partial t^2$$

$$\text{Equation of motion: } f_i + \partial \sigma_{ji} / \partial x_j = \rho \partial^2 u_i / \partial t^2 \quad (1)$$

$$\text{Elastic rheology: } \sigma_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2\mu \varepsilon_{ij} \quad (2)$$

Substitute (2) in (1) if (infinitesimal) deformation is consequence of force balance

# Wave equation

Equation of motion:  $f_i + \partial \sigma_{ji} / \partial x_j = \rho \partial^2 u_i / \partial t^2$

Elastic rheology:  $\sigma_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2\mu \varepsilon_{ij}$

$$\begin{aligned} \partial \sigma_{ji} / \partial x_j &= \lambda \partial \varepsilon_{kk} / \partial x_i + \mu \partial (\partial u_i / \partial x_j + \partial u_j / \partial x_i) / \partial x_j \\ &= \lambda \partial (\partial u_k / \partial x_k) / \partial x_i + \mu \partial^2 u_i / \partial^2 x_j + \mu \partial (\partial u_j / \partial x_j) / \partial x_i \end{aligned}$$

$\nabla \cdot \sigma =$  Write vector equation (*see notebook*)

$$\begin{aligned} \frac{\partial u_k}{\partial x_k} &= \frac{\partial u_j}{\partial x_j} = \nabla \cdot \mathbf{u} \\ \frac{\partial^2}{\partial x_j^2} &= \nabla^2 \end{aligned}$$

# Wave equation

Equation of motion:  $\mathbf{f}_i + \partial \sigma_{ji} / \partial x_j = \rho \partial^2 \mathbf{u}_i / \partial t^2$

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$$\nabla \cdot \boldsymbol{\sigma} = (\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \nabla^2 \mathbf{u}$$

Using:  $\nabla^2 \mathbf{u} = \nabla (\nabla \cdot \mathbf{u}) - \nabla \times \nabla \times \mathbf{u}$

$$\Rightarrow \boxed{\rho \partial^2 \mathbf{u} / \partial t^2 = \mathbf{f} + (\lambda + 2\mu) \nabla (\nabla \cdot \mathbf{u}) - \mu \nabla \times \nabla \times \mathbf{u}}$$

*what type of deformation do the two terms represent?*

# Wave equation

Equation of motion:  $\mathbf{f}_i + \partial \sigma_{ji} / \partial x_j = \rho \partial^2 \mathbf{u}_i / \partial t^2$

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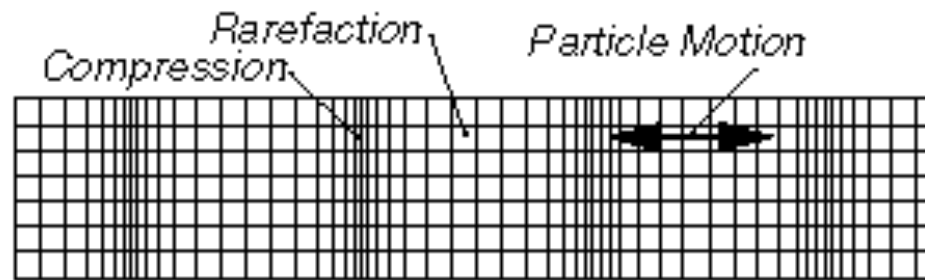
$$\nabla \cdot \boldsymbol{\sigma} = (\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \nabla^2 \mathbf{u}$$

Using:  $\nabla^2 \mathbf{u} = \nabla (\nabla \cdot \mathbf{u}) - \nabla \times \nabla \times \mathbf{u}$

$$\Rightarrow \boxed{\rho \partial^2 \mathbf{u} / \partial t^2 = \mathbf{f} + (\lambda + 2\mu) \nabla (\nabla \cdot \mathbf{u}) - \mu \nabla \times \nabla \times \mathbf{u}}$$

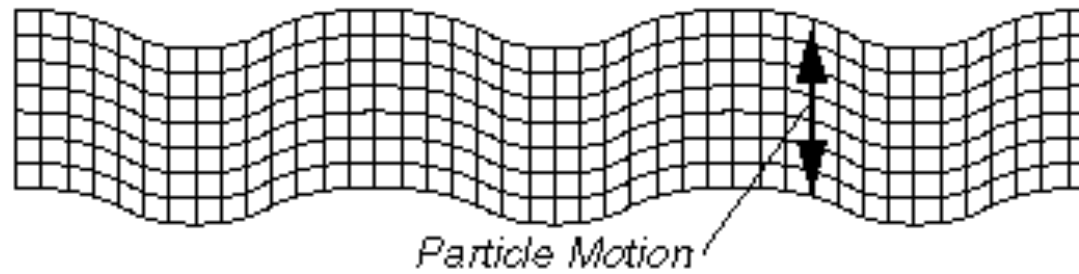
*compressional*                      *shear*

## P wave



Travel Direction →

## S wave



# Recap Fluid - Solid

- What is a solid?

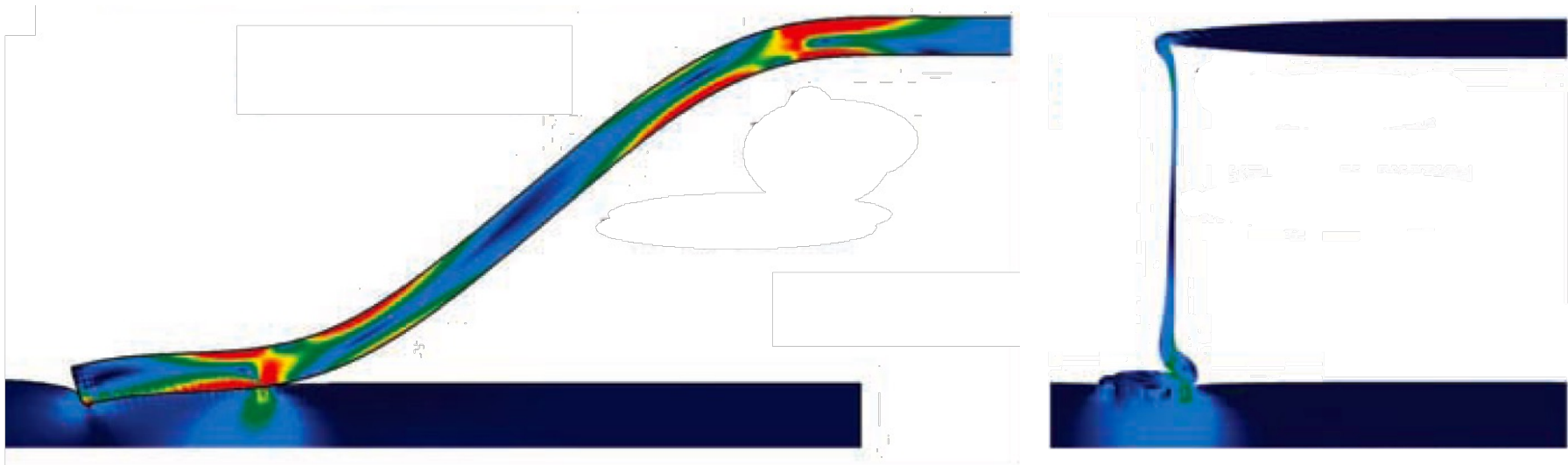
A solid acquires finite deformation under stress

*stress  $\sigma \sim \text{strain } \epsilon$*

- What is a fluid?

A material that flows in response to applied stress *stress*

*$\sigma \sim \text{strain rate } D$*

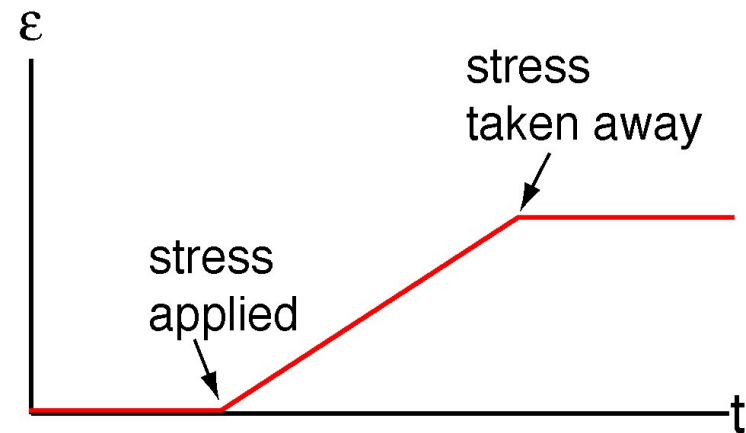
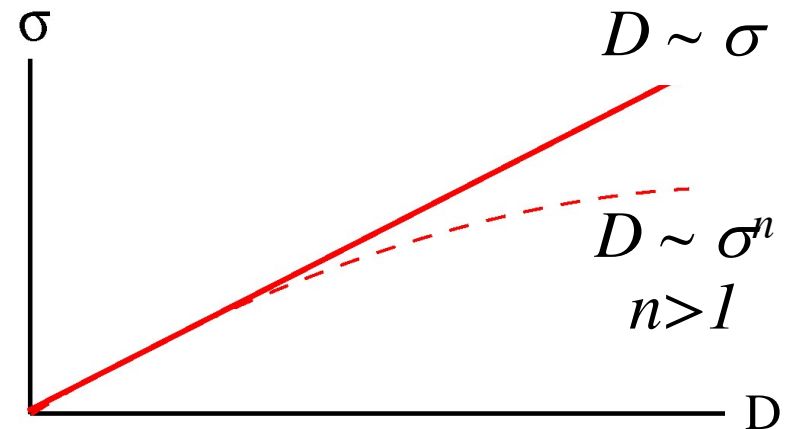


*Figures from Funiciello et al. (2003a)*



# Viscous Flow

- steady state flow at constant stress
- permanent deformation
- linear (Newtonian) or non-linear (e.g., Powerlaw) relation between strain rate and stress
- isotropic stress does not cause flow
- *on timescales > years base tectonic plates and mantle deform predominantly viscously -> plate motions, postseismic deformation, but also glaciers, magmas*



# Hydrostatics

Fluids can not support shear stresses

i.e. if in rest/rigid body motion:  $\boldsymbol{\sigma} \cdot \hat{\mathbf{n}} = \lambda \hat{\mathbf{n}}$   
and this normal stress is the same on any plane:  $\boldsymbol{\sigma} = -p\mathbf{I}$

$p$  is *hydrostatic pressure*

In force balance:  $\nabla \cdot \boldsymbol{\sigma} + \mathbf{f} = 0$   
 $-\nabla p = -\mathbf{f}$

In gravity field  $\frac{\partial p}{\partial z} = \rho g \quad \Rightarrow \quad p_2 - p_1 = \rho g h$   
where  $h = z_2 - z_1$

# Newtonian Fluids

In general motion:  $\boldsymbol{\sigma} = -p\mathbf{I} + \boldsymbol{\sigma}'$

In Newtonian fluids,  
deviatoric stress varies *linearly* with *strain rate*,  $\mathbf{D}$

$$D_{ij} = (\partial v_i / \partial x_j + \partial v_j / \partial x_i) / 2$$

For *isotropic*, Newtonian fluids, 2 *material parameters*:

$$\text{Viscous stress tensor } \sigma'_{ij} = \zeta D_{kk} \delta_{ij} + 2\eta D_{ij}$$

where  $\zeta$  is *bulk viscosity* and  $\eta$  (*shear*) *viscosity*,  $\Delta = D_{kk} = \nabla \cdot \mathbf{v}$

$$\boldsymbol{\sigma} = (-p + \zeta \Delta) \mathbf{I} + 2\eta \mathbf{D}$$

$p$  not always mean normal stress:  $\sigma_{kk} = -3p + (3\zeta + 2\eta) D_{kk}$

Consider a Newtonian shear flow with  
velocity field  $v_1(x_2)$ ,  $v_2=v_3=0$

What is **D**? What is  **$\sigma$** ?

## Exercise 7

Illustrates that  $\eta$  represents resistance to shearing

# Navier-Stokes for incompressible Newtonian Flow

For incompressible fluids  $\Delta=0$ , so that:  $\boldsymbol{\sigma} = -p\mathbf{I} + 2\eta\mathbf{D}$

Force balance:  $\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} + \mathbf{f} = \rho \frac{D\mathbf{v}}{Dt}$

Show that:  $\frac{\partial \sigma_{ij}}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \eta \frac{\partial^2 v_i}{\partial x_j \partial x_j}$  Assuming constant  $\eta$

$$\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} = -\nabla p + \eta \nabla^2 \mathbf{v}$$

# Navier-Stokes for incompressible Newtonian Flow

For incompressible fluids  $\Delta=0$ , so that:  $\boldsymbol{\sigma} = -p\mathbf{I} + 2\eta\mathbf{D}$

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Show that:  $\frac{\partial \sigma_{ij}}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \eta \frac{\partial^2 v_i}{\partial x_j \partial x_j}$  Assuming constant  $\eta$

$$\sigma_{ij} = -p\delta_{ij} + \eta \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$$

$$\frac{\partial \sigma_{ij}}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \eta \left( \frac{\partial^2 v_i}{\partial x_j \partial x_j} + \cancel{\frac{\partial^2 v_j}{\partial x_i \partial x_j}} \right)$$

Because  $\frac{\partial v_j}{\partial x_j} = \Delta = 0$

$$\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} = -\nabla p + \eta \nabla^2 \mathbf{v}$$

# Navier-Stokes for incompressible Newtonian Flow

For incompressible fluids  $\Delta=0$ , so that:  $\boldsymbol{\sigma} = -p\mathbf{I} + 2\eta\mathbf{D}$

Force balance:  $\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} + \mathbf{f} = \rho \frac{D\mathbf{v}}{Dt}$

Navier Stokes equation of motion:

$$-\nabla p + \eta \nabla^2 \mathbf{v} + \mathbf{f} = \rho \left[ \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right] \quad \text{Assuming constant } \eta$$

Together with continuity, 4 equations, 4 unknowns ( $p, v_x, v_y, v_z$ )

$$\nabla \cdot \mathbf{v} = 0$$

# Navier-Stokes for compressible Newtonian Flow

For compressible fluids:  $\boldsymbol{\sigma} = (-p + \zeta\Delta)\mathbf{I} + 2\eta\mathbf{D}$

Force balance:  $\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} + \mathbf{f} = \rho \frac{D\mathbf{v}}{Dt}$

$$\sigma_{ij} = -p\delta_{ij} + \zeta \frac{\partial v_k}{\partial x_k} \delta_{ij} + \eta \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$$

Assuming  
constant

$\eta, \zeta$

$$\frac{\partial \sigma_{ij}}{\partial x_j} = -\frac{\partial p}{\partial x_i} + \zeta \frac{\partial^2 v_j}{\partial x_i \partial x_j} + \eta \left( \frac{\partial^2 v_i}{\partial x_j \partial x_j} + \frac{\partial^2 v_j}{\partial x_i \partial x_j} \right)$$

$$\frac{\partial \sigma_{ij}}{\partial x_j} = -\frac{\partial p}{\partial x_i} + (\zeta + \eta) \frac{\partial}{\partial x_i} \frac{\partial v_j}{\partial x_j} + \eta \frac{\partial^2 v_i}{\partial x_j \partial x_j}$$

$$\nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} = -\nabla p + (\zeta + \eta) \nabla (\nabla \cdot \mathbf{v}) + \eta \nabla^2 \mathbf{v}$$



# Navier-Stokes for compressible Newtonian Flow

$$\boldsymbol{\sigma} = (-p + \zeta \Delta) \mathbf{I} + 2\eta \mathbf{D} \qquad \nabla \cdot \underline{\underline{\boldsymbol{\sigma}}} + \mathbf{f} = \rho \frac{D\mathbf{v}}{Dt}$$

Navier Stokes equation of motion:

$$-\nabla p + (\zeta + \eta) \nabla \Delta + \eta \nabla^2 \mathbf{v} + \mathbf{f} = \rho \left[ \frac{\partial \mathbf{v}}{\partial t} + \nabla \mathbf{v} \cdot \mathbf{v} \right] \quad \begin{array}{l} \text{Assuming} \\ \text{constant} \\ \zeta, \eta \end{array}$$

+ Conservation of mass:

$$\frac{D\rho}{Dt} + \rho \nabla \cdot \mathbf{v} = 0$$

6 equations

6 unknowns

$$(p, v_x, v_y, v_z, \rho, T)$$

+ Energy equation

+ Equation of state for  $\rho(T,p)$

# Continuum Mechanics Equations

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## Material-specific

4. Constitutive equations – relations describing how material properties vary as a function of T,P, stress,..... Such material properties govern dynamics (e.g., *density*), response to stress (*viscosity, elastic parameters*), heat transport (*thermal conductivity, heat capacity*)

# Learning Objectives

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# Outline

- Conservation equations
- Energy equation
- Rheology
- Elasticity and Wave Equation
- Newtonian Viscosity and Navier Stokes

*More reading on the topics covered in this lecture can be found in, for example: Lai et al. Ch 4.14-4-16, 6.18, Ch 5.1-5.6, Ch 6.1-6.7; Reddy parts of Ch 5 & Ch 6*

# Try yourself

For this part of the lecture, first try **Exercise 5** and **7** in *chapter4.ipynb*

Then complete any remaining exercises in *chapter4.ipynb*:

**Exercise 1, 2, 3, 4, 5, 7, 8**

- Additional practise: *in the text*
- Advanced practise: **Exercise 6**

# Coursework 1

- In-class test, pen-paper, not open book.
- Wednesday 25 January 10:00-11:30
- Will be based on analytical content of all lectures, in particular lectures 1-5
- Understand material covered in lectures and slides, practise class exercises (with answers)
- Study guide released next week

# Outline of course

## ➤ *Part 1: Analytical background*

1. Intro vector/tensor calculus (*SG*)
2. Stress tensor (*SG*)
3. Kinematics and strain (*SG*)
4. Conservation equations (*SG*)
5. Dimensional Analysis (*SN*)

## ➤ *Part 2: Numerical techniques (advanced)*

6. Interpolation and quadrature (*MP*)
7. Ordinary differential equations (*MP*)
8. Partial differential equations and finite difference (*MP*)

## ➤ *Part 3: Numerical solutions*

9. Potential flow (*SN*)
10. Navier-Stokes (*SN*)
11. Nonlinear rheology and turbulence (*SN*)
12. Finite Element Method (*MP*)