



SYBASE

**TECHNICAL EXPERTS COMMUNITY**



# NEW KERNEL ARCHITECTURE AND NEW FEATURES IN ASE 15.7

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# AGENDA

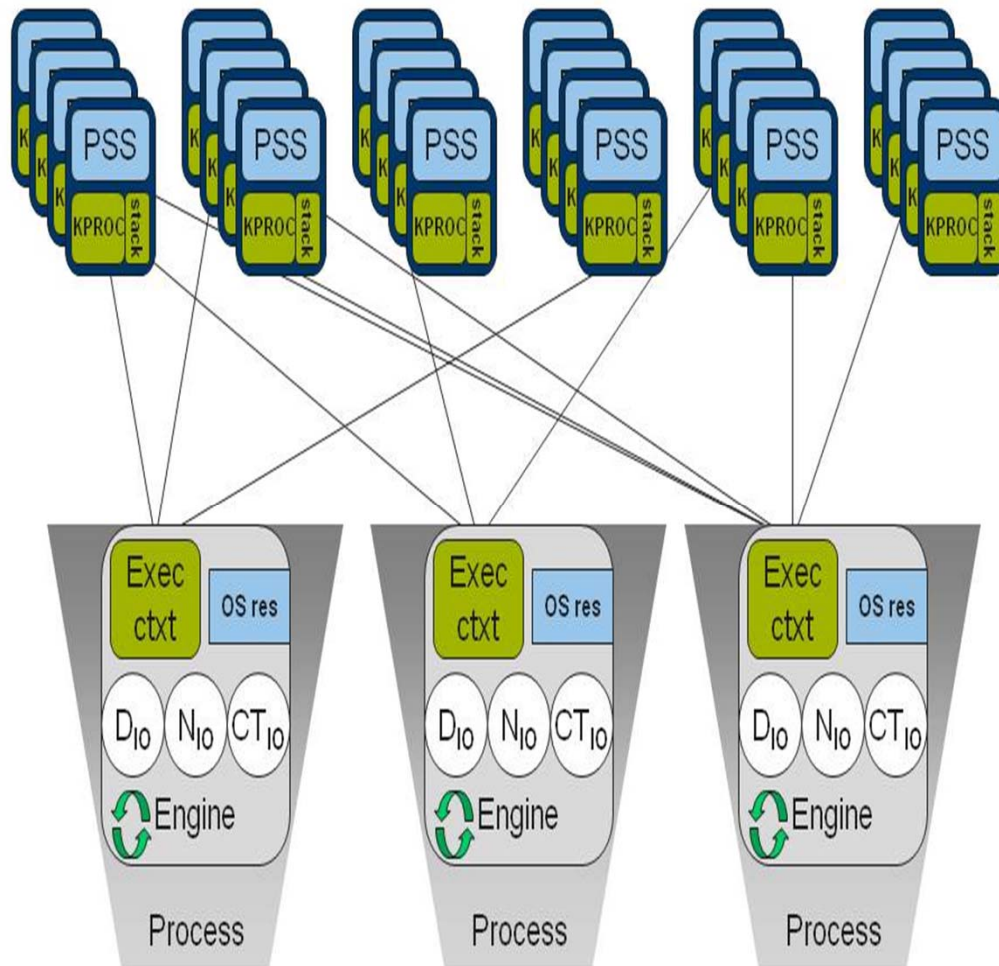
- New Kernel Architecture in ASE 15.7
  - Pre-ASE 15.7 Kernel Architecture
  - ASE 15.7 Kernel Architecture
- New Features in ASE 15.7
  - SFU(SELECT FOR UPDATE)
  - Enhanced LOB support
  - Non-materialized column support for not NULL
  - Fully recoverable DDL
  - UPSERT/MERGE statement
  - Enforce dump transaction sequence
  - Shrink log devices with alter database command
  - Caching CURSOR statement as a LWP

1. 똑같은 bcp-in에 대하여 속도 차이가 심하다
2. 처리속도가 불규칙하다
3. 특정 sessions의 응답속도가 현저히 느리다
4. Big table에 대한 full scan 시 ASE에 주는 영향은?

# NEW KERNEL ARCHITECTURE IN ASE 15.7

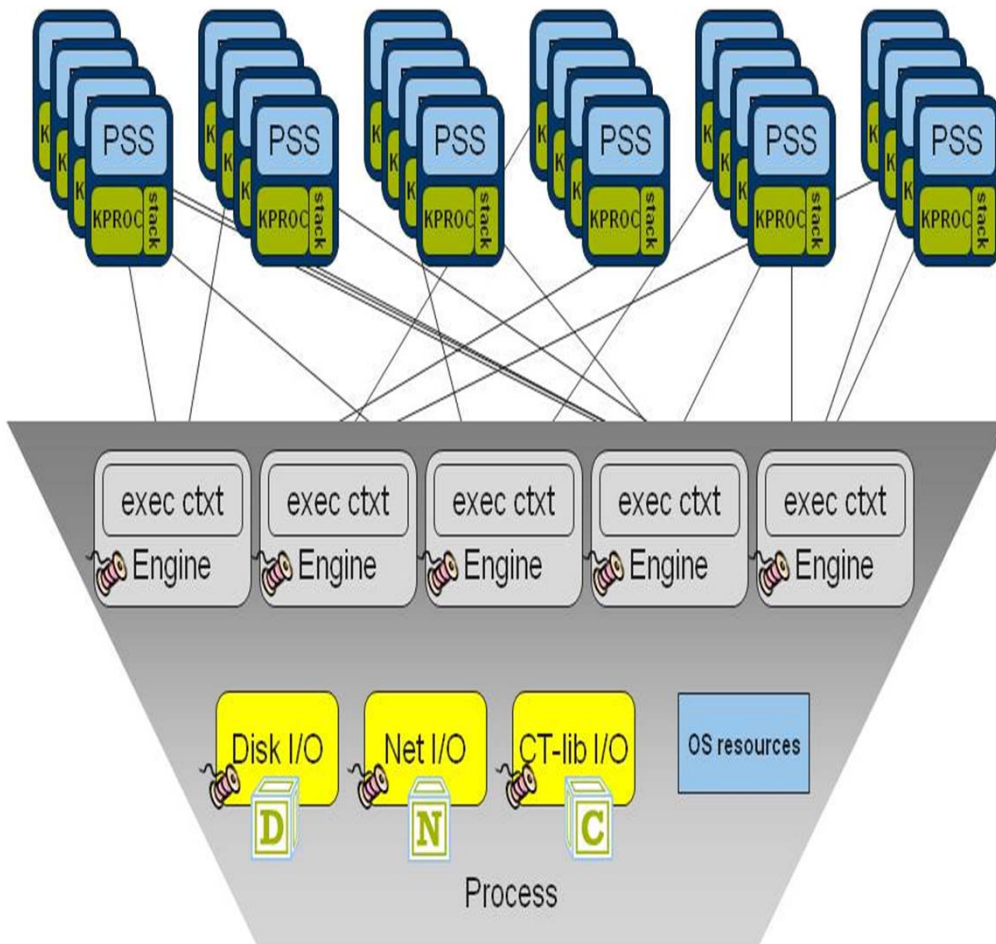
- ◆ Pre-ASE 15.7 Kernel
- ◆ ASE 15.7 Kernel

# PRE-ASE 15.7 KERNEL



- Virtual Server architecture
- Multi-processes engines
- Engine Affinity
  - Query Execution Engine
  - Network Engine
- Engine?
  - scheduler
  - running system and user tasks
  - disk & network i/o
  - Ct-lib service(CIS)
  - clock service

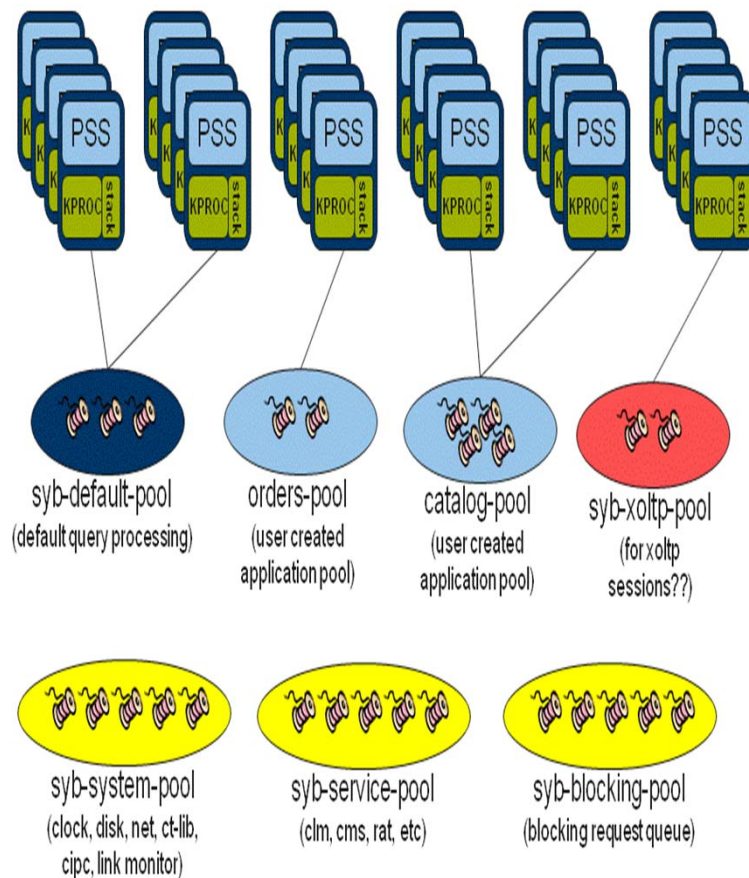
# ASE 15.7 KERNEL



- ASE Kernel mode
  - **Threaded**
  - Process
- Background
  - H/W trend
    - CPU clock
    - CPU cores
    - Multi-threading
  - Parallel processing with threads
    - SMT
    - Hyper-Threading(HT),
    - VMT(Virtual Multithread)
- virtualized environment:
  - VMware, IBM PowerVM, ...
  - Dynamically resource management
- Performance
  - TPC-C, Network, CT-LIB I/O
- Scalability(engine#)
  - 128 => 1024

# ASE 15.7 KERNEL

## Threaded kernel mode



❖ 1 process with native multiple threads

❖ Thread pool

- Engine group
  - LPM(Logical Process Manager)
- Thread pools
  - syb\_default\_thread: Default pool to run query sessions
  - syb\_system\_pool: I/O and system task pool
  - syb\_blocking\_pool: Dedicated to executing blocking calls
  - \*syb\_aio\_pool: Async file I/O pool on Solaris
- user-defined pool

# ASE 15.7 KERNEL

## Threaded kernel mode

```
1> sp_helpthread
```

```
2> go
```

Name	Type	Size	IdleTimeout	Description
jseo_pool	Engine (Multiplexed)	4	20	Test Thread Pool
syb_aio_pool	Run To Completion	25	0	The async file I/O pool on Solaris
syb_blocking_pool	Run To Completion	10	0	A pool dedicated to executing blocking calls
syb_default_pool	Engine (Multiplexed)	4	100	The default pool to run query sessions
syb_system_pool	Run To Completion	14	0	The I/O and system task pool

```
(return status = 0)
```

```
1> sp_helpthread syb_default_pool
```

```
2> go
```

Name	Type	Size	IdleTimeout	Description
syb_default_pool	Engine (Multiplexed)	4	100	The default pool to run query sessions

thread_id	osthread_id	state	affinity	instance_id
1	12	IDLE	NULL	0
2	13	ACTIVE	NULL	0
3	14	IDLE	NULL	0
4	15	IDLE	NULL	0





# ASE 15.7 KERNEL

## Threaded kernel mode

### ❖ No Changes(DBA)

- ASE Server/Kernel process
- User connections(PSS)
- User task scheduling(time slice, CPU grace time)



# ASE 15.7 KERNEL

## Threaded kernel mode- Advantages

- ❖ Engine(scheduler) load 분산
  - lightweight query execution Engine/Scheduler
  - disk i/o, network i/o
  - asynchronous i/o
  - system clock
  - Ct-lib(CIS) tasks
  - ASE CE 관련된 service threads
- ❖ Disk/Network I/O performance
  - Adding additional tasks dynamically
- ❖ Steady query performance
- ❖ Low CPU usage
  - Blocking calls instead of polling



# ASE 15.7 KERNEL

## Threaded kernel mode- Disadvantages

### ❖ Complicated resource management: Tuning

- number of engines?
- number of other tasks?
  - Disk, Network, Async. device, ct-lib
- More memory resources usage
- More dependency of system resources

# ASE 15.7 KERNEL

## Threaded kernel mode- sp\_sysmon

-kernel utilization

-Task Management

Engine Utilization (Tick %)		User Busy	System Busy	I/O Busy	Idle
ThreadPool : jseo_pool					
Engine 4		0.0 %	0.0 %	0.3 %	99.7 %
Engine 5		0.0 %	0.0 %	0.3 %	99.7 %
Engine 6		0.0 %	0.0 %	0.3 %	99.7 %
Engine 7		0.0 %	0.0 %	0.3 %	99.7 %
Pool Summary					
	Total	0.0 %	0.0 %	1.3 %	398.7 %
	Average	0.0 %	0.0 %	0.3 %	99.7 %
ThreadPool : syb_default_pool					
Engine 0		1.3 %	0.0 %	0.3 %	98.4 %
Engine 1		0.0 %	0.0 %	0.3 %	99.7 %
Engine 2		0.0 %	0.0 %	0.3 %	99.7 %
Engine 3		0.0 %	0.0 %	0.3 %	99.7 %
Pool Summary					
	Total	1.3 %	0.0 %	1.3 %	397.4 %
	Average	0.3 %	0.0 %	0.3 %	99.3 %
Server Summary					
	Total	1.3 %	0.0 %	2.6 %	796.1 %
	Average	0.2 %	0.0 %	0.3 %	99.5 %
Average Runnable Tasks		1 min	5 min	15 min	% of total
ThreadPool : jseo_pool					
Engine 4		0.0	0.0	0.0	0.0 %
Engine 5		0.0	0.0	0.0	99.4 %
Engine 6		0.0	0.0	0.0	0.6 %
Engine 7		0.0	0.0	0.0	0.0 %
Pool Summary					
	Total	0.0	0.0	0.0	
	Average	0.0	0.0	0.0	

ThreadPool : syb_default_pool				
Global Queue	0.0	0.0	0.0	0.0 %
Engine 0	0.0	0.0	0.0	27.3 %
Engine 1	0.0	0.0	0.0	0.0 %
Engine 2	0.0	0.0	0.0	1.5 %
Engine 3	0.0	0.0	0.0	71.2 %
Pool Summary				
	Total	0.0	0.0	0.0
	Average	0.0	0.0	0.0

Server Summary				
	Total	0.1	0.1	0.0
	Average	0.0	0.0	0.0

CPU Yields by Engine		per sec	per xact	count	% of total
ThreadPool : jseo_pool					
Engine 4					
Full Sleeps	21.3	58.2	640	23.9 %	
Interrupted Sleeps	0.0	0.0	0	0.0 %	
Engine 5					
Full Sleeps	21.9	59.6	656	24.5 %	
Interrupted Sleeps	0.0	0.0	0	0.0 %	
Engine 6					
Full Sleeps	22.0	59.9	659	24.6 %	
Interrupted Sleeps	0.0	0.0	0	0.0 %	
Engine 7					
Full Sleeps	23.6	64.3	707	26.4 %	
Interrupted Sleeps	0.4	1.1	12	0.4 %	
Pool Summary		89.1	243.1	2674	

# ASE 15.7 KERNEL

## Threaded kernel mode- sp\_sysmon

ThreadPool : syb\_default\_pool

Engine 0				
Full Sleeps	22.4	61.2	673	25.0 %
Interrupted Sleeps	0.5	1.3	14	0.5 %
Engine 1				
Full Sleeps	22.3	60.9	670	24.9 %
Interrupted Sleeps	0.1	0.3	3	0.1 %
Engine 2				
Full Sleeps	22.5	61.4	675	25.1 %
Interrupted Sleeps	0.0	0.0	0	0.0 %
Engine 3				
Full Sleeps	21.7	59.2	651	24.2 %
Interrupted Sleeps	0.2	0.5	6	0.2 %

Pool Summary	89.7	244.7	2692	
--------------	------	-------	------	--

Total CPU Yields	178.9	487.8	5366	
------------------	-------	-------	------	--

Thread Utilization (OS %)	User Busy	System Busy	Idle
---------------------------	-----------	-------------	------

ThreadPool : jseo_pool			
Thread 15 (Engine 4)	0.1 %	0.1 %	99.9 %
Thread 16 (Engine 5)	0.1 %	0.1 %	99.9 %
Thread 17 (Engine 6)	0.0 %	0.1 %	99.9 %
Thread 18 (Engine 7)	0.1 %	0.1 %	99.9 %

Pool Summary	Total	0.2 %	0.3 %	399.5 %
	Average	0.1 %	0.1 %	99.9 %

ThreadPool : syb\_aio\_pool

Thread 33 (AIO Worker)	0.0 %	0.0 %	100.0 %
...			
Thread 105 (AIO Worker)	0.0 %	0.0 %	100.0 %

Pool Summary	Total	0.0 %	0.2 %	9699.8 %
	Average	0.0 %	0.0 %	100.0 %

ThreadPool : syb\_blocking\_pool

Thread 5 (Work Queue Task)	0.0 %	0.0 %	100.0 %
...			
Thread 14 (Work Queue Task)	0.0 %	0.0 %	100.0 %

Pool Summary	Total	0.0 %	0.0 %	1000.0 %
	Average	0.0 %	0.0 %	100.0 %

ThreadPool : syb\_default\_pool

Thread 1 (Engine 0)	0.8 %	0.1 %	99.1 %
Thread 2 (Engine 1)	0.1 %	0.1 %	99.9 %
Thread 3 (Engine 2)	0.1 %	0.1 %	99.9 %
Thread 4 (Engine 3)	0.1 %	0.1 %	99.9 %

Pool Summary	Total	1.0 %	0.3 %	398.8 %
	Average	0.2 %	0.1 %	99.7 %

ThreadPool : syb\_system\_pool

Thread 19 (Signal Handler)	0.1 %	0.0 %	99.9 %
Thread 20 (CtlibController)	0.0 %	0.0 %	100.0 %
...			
Thread 27 (NetController)	0.0 %	0.0 %	99.9 %
...			
Thread 32 (DiskController)	0.0 %	0.0 %	100.0 %

Pool Summary	Total	0.2 %	0.2 %	1399.6 %
	Average	0.0 %	0.0 %	100.0 %

Server Summary	Total	1.4 %	0.9 %	12897.7 %
	Average	0.0 %	0.0 %	100.0 %

Adaptive Server threads are consuming 0.0 CPU units.  
Throughput is 15.8 committed xacts per CPU unit.

# ASE 15.7 KERNEL

## Threaded kernel mode- sp\_sysmon

Context Switches at OS	per sec	per xact	count	% of total
Voluntary	258.9	706.0	7766	98.0 %
Non-Voluntary	5.4	14.6	161	2.0 %
Total Context Switches	264.2	720.6	7927	100.0 %

CtlibController Activity	per sec	per xact	count	% of total
Polls	3.0	8.3	91	n/a
Polls Returning Events	0.0	0.0	0	0.0 %

DiskController Activity	per sec	per xact	count	% of total
Polls	1101.2	3003.3	33036	n/a
Polls Returning Events	11.2	30.5	335	1.0 %
Polls Returning Max Events	0.0	0.0	0	0.0 %
Total Events	11.2	30.5	335	n/a
Events Per Poll	n/a	n/a	0.010	n/a

NetController Activity	per sec	per xact	count	% of total
Polls	5.2	14.1	155	n/a
Polls Returning Events	0.0	0.0	0	0.0 %

Blocking Call Activity	per sec	per xact	count	% of total
Total Requests	0.0	0.0	0	n/a



# ASE 15.7 KERNEL

## Threaded kernel mode- configuration parameters

kernel mode

kernel resource memory

max online engines:                      total threads to run query sessions

number of ctlib tasks

number of disk tasks

number of network tasks

\*number of engines at startup

\* runnable process search count

## Application Functionality Group: SAP porting

enable functionality group

enable inline default sharing

enable permissive unicode

quoted identifier enhancements

select for update

streamlined dynamic SQL

# NEW FEATURES IN ASE 15.7

- ◆ SFU(SELECT FOR UPDATE)
- ◆ Enhanced LOB support
- ◆ Non-materialized column support for not NULL
- ◆ Fully recoverable DDL
- ◆ MERGE statement
- ◆ Enforce dump transaction sequence
- ◆ Shrink log devices with alter database command
- ◆ Caching CURSOR statement as a LWP





# NEW FEATURES IN ASE 15.7

## SFU(SELECT FOR UPDATE)

- ❖ SELECT 문의 result set에 대하여 Exclusive lock
- ❖ Transaction 내에서만 동작함
- ❖ SFU를 사용하지 않는 sessions은 SFU result set에 대하여 READ 가능함

```
create table jseo(a int, b varchar(100) null)
go
insert jseo values(1, 'test1')
insert jseo values(2, 'test2')
insert jseo values(3, 'test3')
go
```

# NEW FEATURES IN ASE 15.7

## SFU(SELECT FOR UPDATE)

### \*session#1

```
1> begin tran
```

```
2> go
```

```
1> select * from jseo where a=1 for update
```

```
2> go
```

```
a      b
```

```
-----  
1 test1
```

(1 row affected)

```
1> sp_lock
```

```
2> go
```

The class column will display the cursor name for locks associated with a cursor for the current user and the cursor id for other users.

fid	spid	loid	locktype	table_id	page	row	dbname	class	context
0	17	34	Sh_intent	1163148158	0	0	master	Non Cursor Lock	
0	17	34	Ex_intent	576002052	0	0	mydb	Non Cursor Lock	
0	17	34	Ex_row	576002052	841	0	mydb	Non Cursor Lock	

(3 rows affected)

(return status = 0)

```
1>
```

### \*session#2

```
<case#1>
```

```
1> select * from jseo where a=1
```

```
2> go
```

```
a      b
```

```
-----  
1 test1
```

(1 row affected)

```
<case#2>
```

```
1> begin tran
```

```
2> go
```

```
1> select * from jseo where a=1
```

```
2> go
```

```
a      b
```

```
-----  
1 test1
```

(1 row affected)

```
<case#3>
```

```
1> begin tran
```

```
2> go
```

```
1> select * from jseo where a=1 for update
```

```
2> go
```

**<===== blocking**



# NEW FEATURES IN ASE 15.7

## Enhanced LOB support

### ❖ In-row/off-row data

- Database, table level

```
create table textimage(a text in row (1000), b image in row(2000) , c text off row)
go
```

### ❖ Compression

- Set enable compression to 1
- Level: 0 ~ 9, data compression: page, row

```
create table xb(a int, b varchar(100), c text in row (100))
with compression=page, lob_compression= 9
go
insert xb values(1, 'Compression support', 'text data')
go
```

### ❖ LOB Locator

- text\_locator, image\_locator, unitext\_locator
- As input parameter of stored procedure

### ❖ As input parameter of stored procedure

- Declare LOB data type: text, image, unitext

### ❖ Isnull(), Substring(), datalength()

# NEW FEATURES IN ASE 15.7

## Non-materialized column support for not NULL

- ❖ Alter table을 수행할 때 Not NULL column에 대한 non-materialization
- ❖ 즉, Table schema만 변경하고 실제 데이터 변경이 발생하지 않음
  - Default 값을 추가된 column에 넣지 않음
  - 해당 칼럼을 SELECT 할 때 default value를 return함

```
1> alter table jseo add c decimal(12,5) default -1
```

```
2> go
```

```
(3 rows affected)
```

```
1> alter table jseo drop c
```

```
2> go
```

```
(3 rows affected)
```

```
1> alter table jseo add c decimal(12,5) default -1 not null not materialized
```

```
2> go
```

```
1> select * from jseo
```

```
2> go
```

a	b	c
-----		
1 test1		-1.00000
2 test2		-1.00000
3 test3		-1.00000

```
(3 rows affected)
```

# NEW FEATURES IN ASE 15.7

## Fully recoverable DDL

❖ 다음의 commands에 대하여 Minimal logging을 수행하지 않음

- SELECT INTO
- ALTER TABLE
- REORG REBUILD
- PARALLEL CREATE INDEX

❖ Dump tran 가능

❖ Database option으로 제공함

```
1> sp_dboption
2> go
Settable database options.
database_options
-----
abort tran on log full
...
unique auto_identity index
(19 rows affected)
full logging for options
-----
select into
pll create index
alter table
reorg rebuild
```

```
1> sp_dboption mydb, 'full logging for select into', true
2> go
Database option 'full logging for select into' turned ON for database 'mydb'.
Running CHECKPOINT on database 'mydb' for option 'full logging for select into' to take effect.
(return status = 0)
1> sp_dboption mydb, 'full logging for alter table', true
2> go
Database option 'full logging for alter table' turned ON for database 'mydb'.
Running CHECKPOINT on database 'mydb' for option 'full logging for alter table' to take effect.
(return status = 0)
1> sp_helpdb mydb
2> go
name db_size  owner dbid created  durability status
-----
mydb  15.0 MB sa  4 Apr 08, 2011 full  full logging for select into/alter table
(1 row affected)
device_fragments  size  usage  created  free kbytes
-----
mydb_dev          10.0 MB data only  Apr 8 2011 6:46PM  6824
mydb_log2         5.0 MB log only   Apr 8 2011 6:48PM  not applicable
-----
log only free kbytes = 5064, log only unavailable kbytes = 5120
(return status = 0)
```

# NEW FEATURES IN ASE 15.7

## UPSERT/MERGE statement

### ❖ Transferring rows from source to target table

```
create table A(a int, b varchar(100) null)
go
create table B(a int, b varchar(100) null)
go
```

```
insert A values(1, 'test1')
insert A values(2, 'test1')
insert A values(3, 'test1')
```

```
insert B values(1, 'test2')
go
```

```
1> MERGE INTO B USING A ON A.a=B.a
2> WHEN NOT MATCHED THEN insert (a, b) values(A.a, A.b)
3> WHEN MATCHED THEN update set B.b=A.b
```

```
4> go
(3 rows affected)
```

```
1> select * from B
```

```
2> go
```

a	b
---	---

1	test1
---	-------

2	test1
---	-------

3	test1
---	-------

```
(3 rows affected)
```

```
1>
```



# NEW FEATURES IN ASE 15.7

## Enforce dump transaction sequence

- ❖ Before setting it, run dump database.
- ❖ Set db option

```
1> sp_dboption mydb,'enforce dump tran sequence',true
```

```
2> go
```

Msg 17957, Level 16, State 1:

Server 'jseo\_ase157', Procedure 'sp\_dboption', Line 842:

You cannot set the 'enforce dump tran sequence' database option because the database 'mydb' is either marked as needing a database dump, an unlogged

operation was performed or the transaction log was truncated. Dump the database, then retry setting the option.

(return status = 1)

```
dump database mydb to '/tmp/mydb.dump'
```

```
go
```

```
1> sp_dboption mydb,'enforce dump tran sequence',true
```

```
2> go
```

Database option 'enforce dump tran sequence' turned ON for database 'mydb'.

Running CHECKPOINT on database 'mydb' for option 'enforce dump tran sequence' to take effect.

(return status = 0)

```
1> dump tran mydb with truncate_only
```

```
2> go
```

Msg 4243, Level 16, State 1:

Server 'jseo\_ase157', Line 1:

DUMP TRANSACTION WITH NO\_LOG or DUMP TRANSACTION WITH TRUNCATE\_ONLY is not allowed in database 'mydb' while the 'enforce dump tran sequence' database

option is enabled. Disable the option with sp\_dboption to truncate the log.

```
1>
```

# NEW FEATURES IN ASE 15.7

## Shrink log devices with alter database command

```
create database mydb on mydb_dev=10 log on mydb_log1=5
```

```
alter database mydb log on mydb_log2=5
```

```
go
```

```
select * from sysusages where dbid=4
```

dbid	segmap	lstart	size	vstart	location	unreservedpgs	crdate	vdevno
4	3	0	2560	0	0	1714	Apr 8 2011 6:46PM	4
4	4	2560	1280	0	0	1275	Apr 8 2011 6:46PM	5
4	4	3840	1280	0	0	1275	Apr 8 2011 6:48PM	6

```
1> alter database mydb log off mydb_log2
```

```
2> go
```

Removing 1280 pages (5.0 MB) from disk 'mydb\_log2' in database 'mydb'.

```
1> sp_helpdb mydb
```

```
2> go
```

name	db_size	owner	dbid	created	durability	status
mydb	15.0 MB	sa	4	Apr 08, 2011	full	no options set
device_fragments	size	usage	created	free kbytes		
mydb_dev	10.0 MB	data only	Apr 8 2011 6:46PM	6856		
mydb_log1	5.0 MB	log only	Apr 8 2011 6:46PM	not applicable		

log only free kbytes = 5068

```
1> alter database mydb log off mydb_log1
```

```
2> go
```

Msg 5053, Level 16, State 1:

Server 'jseo\_ase157', Line 1:

You cannot shrink the log in database 'mydb' because there is at least one page to be removed (2568) that is part of the log. Dump the transaction log

and retry the ALTER DATABASE command.

```
1> dump tran mydb with truncate_only
```

```
2> go
```

```
1> alter database mydb log off mydb_log1
```

```
2> go
```

Removing 1280 pages (5.0 MB) from disk 'mydb\_log1' in database 'mydb'.

```
1> select * from sysusages where dbid=4
```

```
2> go
```

dbid	segmap	lstart	size	vstart	location	unreservedpgs	crdate	vdevno
4	3	0	2560	0	0	1714	Apr 8 2011 6:46PM	4
4	0	2560	1280	2560	4	1275	Apr 8 2011 6:46PM	-4
4	4	3840	1280	0	0	1275	Apr 8 2011 6:48PM	6





# NEW FEATURES IN ASE 15.7

## Caching CURSOR statement as a LWP

- ❖ Caching in Statement cache as a LWP
- ❖ Performance improvement
- ❖ Monitoring at monCachedStatement

```
1> declare cur_A cursor for select * from A for read only
```

```
2> go
```

```
1> sp_cursorinfo
```

```
2> go
```

Cursor name 'cur\_A' is declared on procedure '**\*sq1531522171\_0269623816ss\***'.

The cursor is declared as NON-SCROLLABLE cursor.

The cursor id is 1114121.

The cursor has been successfully opened 0 times.

The cursor will remain open when a transaction is committed or rolled back.

The number of rows returned for each FETCH is 1.

The cursor is read only.

This cursor is declared on a stored procedure. It is presently using '1000' bytes. However, the memory usage will increase when the cursor is opened

because the query plan will be associated with the cursor at that time.

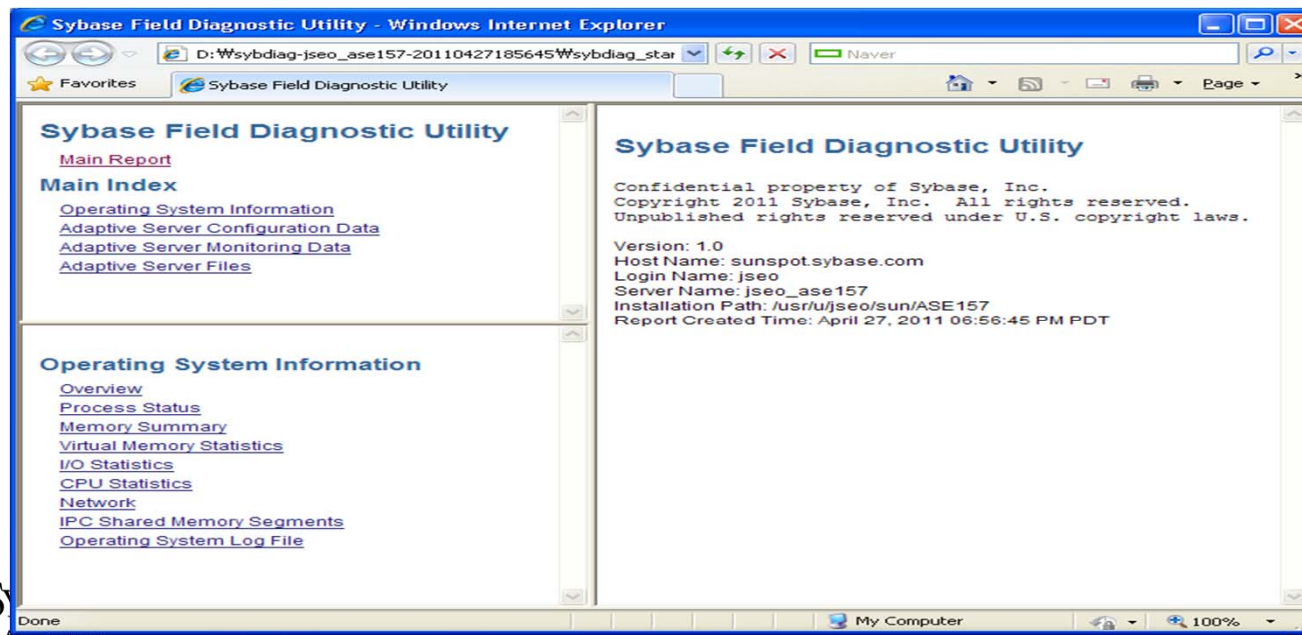
```
(return status = 0)
```

```
1>
```

# NEW FEATURES IN ASE 15.7

Etc.

- ❖ Online reorg rebuild
- ❖ Transfer database object ownership: alter ... modify owner
- ❖ Str() extention: select STR(123, 10, '\*')
- ❖ Enable/Disable truncating trailing zeros for varbinary type
- ❖ sybdiag : Sybase Field Diagnostic Utility
  - asecore - Adaptive Server configuration data
  - osdata - Operating System data
  - keyfile - Adaptive Server files
  - aseadd - Adaptive Server monitoring data.



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