# "Attention Is All You Need" (Transformers)

# 1) Big idea

- A **Transformer** turns an input sequence (e.g., a sentence) into an output sequence (e.g., a translation) using only **attention** and small feed-forward networks—**no recurrence**, **no convolutions**.
- It processes the **whole input in parallel** (great for speed) and uses attention to decide **which tokens should look at which other tokens**.

## 2) Model layout (encoder-decoder)

• The original paper stacks N = 6 encoders and N = 6 decoders.

N is a **hyperparameter**; you can choose other depths.

- Each encoder layer has:
  - 1. Self-Attention
  - Position-wise Feed-Forward Network (FFN)
     Each sublayer is wrapped with Add & LayerNorm (residual connection + normalization).
- Each decoder layer has three sublayers:
  - 1. Masked Self-Attention (can't look at future tokens)
  - 2. **Encoder-Decoder (Cross) Attention** (queries from the decoder attend to the encoder's outputs)
  - Feed-Forward Network
     Again, each sublayer uses Add & LayerNorm.

## 3) From tokens to vectors

- 1. **Tokenization** → integers.
- Embedding maps each token to a vector of size d\_model (paper uses d\_model = 512).
- 3. **Positional encoding** is **added** to embeddings so the model knows order and relative distance (see §7).

So the input to the first encoder layer is a matrix  $X \in \mathbb{R}^{L} \times d_{model}$  where L is the sequence length.

# 4) Scaled dot-product self-attention (inside a layer)

For each token's vector x we make three projections:

- Queries:  $Q = XW^Q$
- Keys:  $K = XW^K$
- Values:  $V = XW^V$

Typical shapes (paper defaults):

- $oldsymbol{W}^Q, W^K \in \mathbb{R}^{d_{model} imes d_k}, W^V \in \mathbb{R}^{d_{model} imes d_v}$
- With 8 heads, usually  $d_k=d_v=d_{model}/8=64$ .

Scores (how much each token should attend to each other token):

$$ext{Scores} = rac{QK^ op}{\sqrt{d_k}} \quad ext{(shape } L imes L)$$

• The division by  $\sqrt{d_k}$  keeps the dot products from getting too large, so **Softmax** doesn't saturate and gradients stay healthy.

### Attention weights:

$$A = \text{Softmax}(\text{Scores})$$

#### Weighted combination of values:

$$Z = AV \quad (\mathrm{shape}\ L imes d_v)$$

Intuition with the sentence "The animal didn't cross the street because it was too tired."

• When computing the representation for "it", attention will allocate **higher weights** to the token that best explains "it" (likely "animal") and lower weights elsewhere. This disambiguation emerges from training.

Everything above is done for all tokens at once using matrices (parallel, not step-by-step like RNNs).

# 5) Multi-head attention (why and how)

- One attention head may focus on only a single relation.
- Multi-head attention uses h heads (paper: h = 8), each with its own  $W^Q, W^K, W^V$ .
- Each head sees lower-dimensional projections (e.g., 64 dims) and learns to focus on different patterns (syntax, long-range links, etc.).

#### Process:

- **1.** Compute  $Z_1, Z_2, \ldots, Z_h$  from the h heads.
- 2. Concatenate:  $\mathrm{Concat}(Z_1,\ldots,Z_h) \in \mathbb{R}^{L \times (h \cdot d_v)}$ .
- 3. Project back to model size with  $W^O \in \mathbb{R}^{(hd_v) imes d_{model}}$ .

# 6) Feed-Forward Network (FFN)

- Applied independently to each position (same weights for all positions).
- Two linear layers with a nonlinearity:

$$FFN(x) = max(0, xW_1 + b_1) W_2 + b_2$$

Paper defaults:  $d_ff = 2048$  (hidden size), going back to  $d_model = 512$ .

 Think of FFN as a small MLP that mixes features within a token after attention has mixed information across tokens.

## 7) Positional encoding (ordering & distance)

Because attention itself is order-agnostic, we add a positional signal to each embedding.

- **Sinusoidal** encodings in the paper: fixed sine/cosine waves with different frequencies so the model can infer **relative distances**.
- Many implementations use **learned** positional embeddings; both work.

Result: the network knows that "animal" is **before** "it", and how far apart they are.

# 8) Residual connections & LayerNorm (Add & Norm)

• For every sublayer, we do:

$$LayerNorm(x + sublayer(x))$$

• Residuals don't "skip if a part fails"; they always add the original input back in.

Benefits:

- · Preserve the original signal,
- Improve gradient flow,
- Let sublayers learn refinements instead of having to recreate the whole representation.
- The original paper used **post-norm** (norm after adding). Many modern models use **pre-norm** (norm before) for training stability; both follow the same idea.

# 9) Masks (very important)

Two kinds are common:

- Padding mask: prevents attending to padding tokens added to equalize lengths.
   Used in encoder & decoder.
- Look-ahead (causal) mask: in decoder self-attention, prevents a position from attending to future positions.

○ This ensures generation is **autoregressive**: token ttt can only use tokens  $\leq t \leq t$ .

Masks are applied by setting the corresponding score entries to a very negative number **before Softmax** so their attention weight becomes (essentially) zero.

## 10) Encoder vs Decoder roles

#### **Encoder**

- Self-attention is **unmasked** (except for padding), so each token can look **anywhere** in the input.
- The stack outputs a **memory** (context representations) for all input positions.

#### Decoder

- 1. **Masked self-attention** produces a representation for each output position that only sees **previous** outputs.
- 2. Encoder-decoder (cross) attention:
  - Queries come from the decoder's current representations,
  - Keys/Values come from the encoder memory.
     This lets the decoder pull in the relevant parts of the input while generating each word.
- 3. **FFN**, then a final **Linear + Softmax** over the vocabulary to pick the next token.

**Training**: uses **teacher forcing** (the gold previous token is provided), so all time steps can be computed **in parallel** with the mask.

**Inference**: generates **one token at a time** (greedy, beam search, etc.), feeding each new token back in.

### 11) Why attention helps with pronouns ("it" example)

- The representation for "it" forms a **query** that matches best with the **key** for "animal" (and poorly with "street").
- After Softmax, the **weight on "animal" is high**, so the resulting vector is a mixture that strongly reflects "animal".
- Deeper layers refine this: early layers may capture **local** relations; later layers can integrate **long-range** and **semantic** cues.

# 12) Typical default hyperparameters (paper)

- Layers: N = 6 encoders, 6 decoders
- Model size:  $d_{model} = 512$
- Heads: h=8  $\rightarrow$   $d_k=d_v=64$
- ullet FFN hidden:  $d_{ff}=2048$
- Dropout: 0.1 (applied in attention and FFN)
- Optimization: Adam with a "warm-up then decay" learning-rate schedule

$$lr = d_{model}^{-0.5} \cdot \min(step^{-0.5}, \, step \cdot warmup^{-1.5})$$

• Label smoothing around 0.1 is often used.

These are starting points, not rules.

# 13) Putting it all together (encoder-decoder pass)

- 1. Inputs → embeddings → add positional encodings.
- 2. Encoder stack (Self-Attention → Add&Norm → FFN → Add&Norm) × N → memory.
- 3. Start token enters the decoder.
- 4. For each output position (masked):
  - Decoder self-attention attends to earlier generated tokens,
  - · Cross-attention attends over the encoder memory,
  - FFN refines the representation,
  - Linear + Softmax chooses the next token.
- 5. Stop at end-of-sequence token.

### 14) Practical notes & limits

- Complexity of attention is O(L²) in time and memory because of the L×LL \times
   LL×L score matrix; very long sequences are expensive. (Many later variants reduce
   this, but that's beyond the original paper.)
- Residual paths mean information can flow unchanged if a sublayer isn't helpful, while still letting the sublayer add useful adjustments.
- Multi-head lets the model track **different relations simultaneously** (syntax, agreement, coreference, etc.).

### 15) Mini glossary (plain language)

- Query (Q): "What am I looking for?"
- Key (K): "What do I offer?"
- Value (V): "What information should be taken if I'm chosen?"
- **Softmax**: turns raw scores into **probabilities** that sum to 1.
- **Masked attention**: forces the model to **ignore** some positions (future tokens or padding).
- Residual connection: add input back to output: output + input, then normalize.