

Object Classification using Capsule Network

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<https://capsule-networks.herokuapp.com>

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Capsule networks are an improvement on Convolutional Neural Networks (CNN). CNNs are now widely used in image recognition. Steps for CNN are as follows:

- 1 Given an input image, a set of filters scan it and perform convolution operation.
- 2 This creates a feature map inside the network. These features will next pass via activation (ex. ReLU) and pooling layers. Activation gives non-linearity. Pooling helps in reducing the training time. Pooling make summaries of each sub-region.
- 3 At the end, it will pass via a sigmoid classifier.

Limitations of CNN

- Inability to recognize pose, texture and deformations of an image or parts of the image
- The pooling operation in CNN loses some features in the image.
- They therefore require lots of training data in order to compensate for this loss.
- CNNs are more prone to adversarial attacks such as pixel perturbations resulting in wrong classifications.

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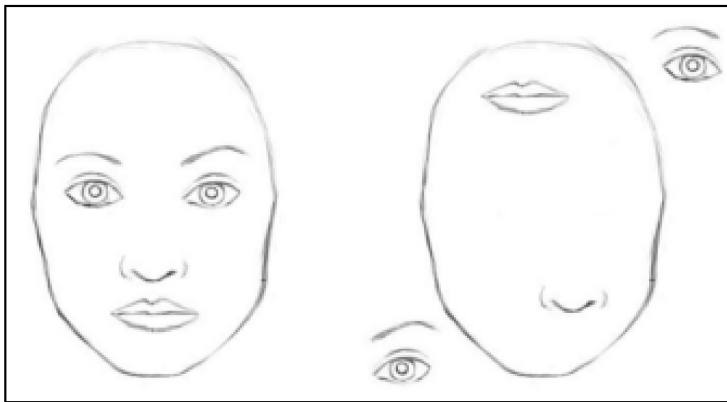


Figure: CNN will classify right image also as a face

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Capsule Networks

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- Hinton and his colleagues proposed Capsule Networks as an alternative to CNNs.
- In a capsule network, each capsule is made up of a group of neurons with each neuron's output representing a different property of the same feature.
- This provides the advantage of recognizing the whole entity by first recognizing its parts.
- Activity vector represents the instantiation parameters of a specific type of entity such as an object.
- Capsnet replace scalar-output feature detectors with vector-output capsules and max-pooling with routing-by-agreement mechanism.

Capsule Networks - Squashing

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- We use **squashing** function to make length of output vector represent the probability of entity represented by that vector.

$$\mathbf{v}_j = \frac{\|\mathbf{s}_j\|^2}{1 + \|\mathbf{s}_j\|^2} \frac{\mathbf{s}_j}{\|\mathbf{s}_j\|} \quad (1)$$

- where \mathbf{v}_j is the vector output of capsule j and \mathbf{s}_j is its total input.

Capsule Networks - Dynamic Routing

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- For all but the first layer of capsules, the total input to a capsule s_j is a weighted sum over all “prediction vectors” $\hat{\mathbf{u}}_{j|i}$ from the capsules in the layer below and is produced by multiplying the output \mathbf{u}_i of a capsule in the layer below by a weight matrix \mathbf{W}_{ij}

$$\mathbf{s}_j = \sum_i c_{ij} \hat{\mathbf{u}}_{j|i} , \quad \hat{\mathbf{u}}_{j|i} = \mathbf{W}_{ij} \mathbf{u}_i \quad (2)$$

- where the c_{ij} are coupling coefficients that are determined by the iterative dynamic routing process.

Capsule Networks - Dynamic Routing Between Capsules

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Algorithm 1 Routing algorithm.

```
1: procedure ROUTING( $\hat{\mathbf{u}}_{j|i}, r, l$ )
2:   for all capsule  $i$  in layer  $l$  and capsule  $j$  in layer  $(l+1)$ :
3:      $b_{ij} \leftarrow 0$ .
4:   for  $r$  iterations do
5:     for all capsule  $i$  in layer  $l$ :  $\mathbf{c}_i \leftarrow \text{softmax}(\mathbf{b}_i)$ 
6:     for all capsule  $j$  in layer  $(l+1)$ :  $\mathbf{s}_j \leftarrow \sum_i c_{ij} \hat{\mathbf{u}}_{j|i}$ 
7:     for all capsule  $j$  in layer  $(l+1)$ :  $\mathbf{v}_j \leftarrow \text{squash}(\mathbf{s}_j)$ 
8:     for all capsule  $i$  in layer  $l$  and capsule  $j$  in layer
       $(l+1)$ :  $b_{ij} \leftarrow b_{ij} + \hat{\mathbf{u}}_{j|i} \cdot \mathbf{v}_j$ 
9:   return  $\mathbf{v}_j$ 
```

Dynamic Routing between Capsules

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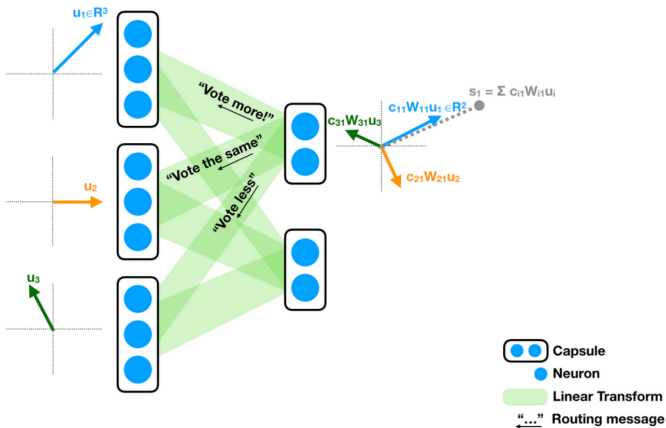


Figure: Dynamic Routing between Capsules

Capsule Networks - Margin Loss

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- The margin loss is given by

$$L_k = T_k \max(0, m^+ - \|\mathbf{v}_k\|)^2 + \lambda (1 - T_k) \max(0, \|\mathbf{v}_k\| - m^-)^2 \quad (3)$$

- where $T_k = 1$ iff a digit of class k is present and $m^+ = 0.9$, $m^- = 0.1$ and λ is the down-weighting constant.
- The total loss is simply the sum of the losses of all digit capsules.

Capsule Networks - Pros

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- 1 Reaches high accuracy (state-of-the-art) on MNIST, and promising on CIFAR-10.
- 2 Requires less training data and more resistant against adversarial attacks.
- 3 Position and pose information are preserved. (property of equivariance)
- 4 Capsnets proved to be effective when data is class imbalanced.
- 5 This is promising for image segmentation and object detection.
- 6 Capsule activations nicely map the hierarchy of parts.
- 7 Activation Vectors are easier to interpret.

Capsule Networks - Cons

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- 1 Not the state of the art on CIFAR10 (Can be improved with modification).
- 2 Slow training, due to the inner loop. (Routing by agreement algorithm)(Can be improved by using EM algorithm)
- 3 Suffers from the problem of crowding (inability to recognize two or more objects if they are very closely spaced or overlapping to each other).

Capsule Networks - Applications

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- 1 The Architecture of the capsule network has a property of rotational invariance and spatial awareness. In the field of astronomy, the capsule network is deployed to understand the morphological types of galaxies.
- 2 Protein family structure classification is another area where CapsNets have been applied.
- 3 Autonomous cars will benefit hugely from computer vision applications such as CapsNets that can be used for predicting traffic speed.
- 4 In medical imaging, It is used extensively in applications like lung cancer detection and brain tumour detection.

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- We used PyTorch[1] library to implement capsule networks
- We implemented models for MNIST[2] and CIFAR 10 [3] datasets.
- For training we used atleast 20 epochs with a batch size of 100.

Handwritten Digit Recognizer

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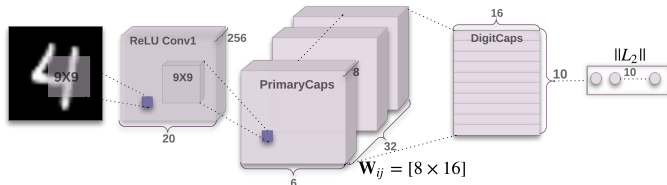


Figure: Architecture of Handwritten Digit Recognizer

Handwritten Digit Recognizer - Decoder

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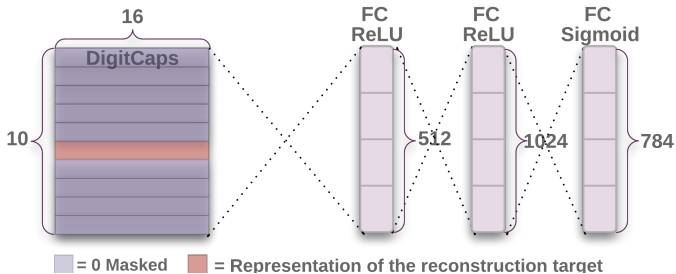


Figure: Decoder Architecture

Image Recognizer

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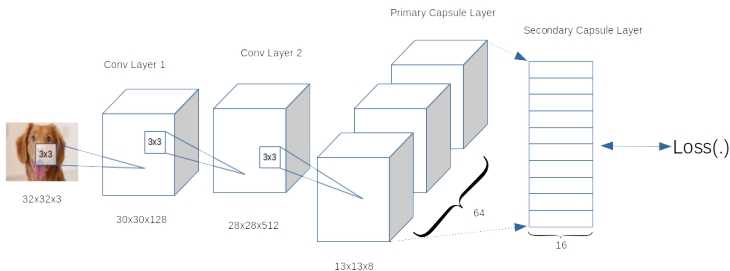


Figure: Architecture of Image Recognizer. Decoder section is not shown. But it is similar to that of handwritten digit recognizer except the size of decoder output is $32 \times 32 \times 3 = 3072$.

Web App

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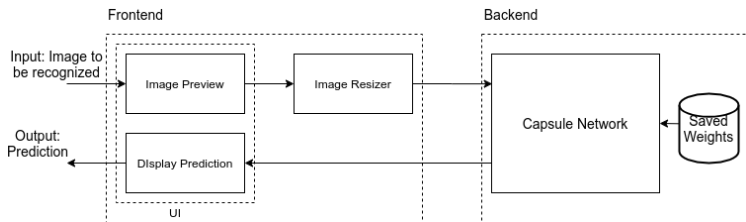


Figure: Architecture of Web Application

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Results - Overview

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Dataset	Model Description	Accuracy
MNIST	Implementation of the paper [4], 9x9 kernels	99.44%
	9x9 kernels	62.02%
CIFAR 10	3x3 kernels, 2 Conv layers	76.42%

Table: Some models we implemented and validation accuracy at the end of training

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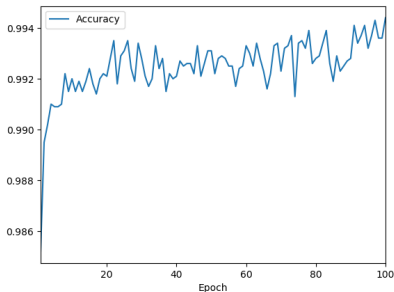


Figure: Validation accuracy vs epochs

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Figure: The top half shows target images and bottom half shows reconstructed images

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Figure: Reconstructions from perturbations of dimensions of output vector

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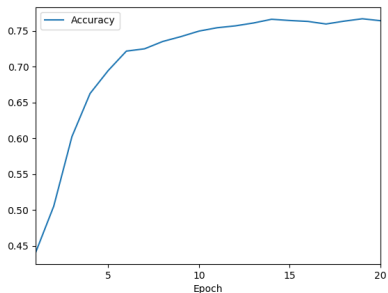


Figure: Validation accuracy vs Epochs

Results - CIFAR 10

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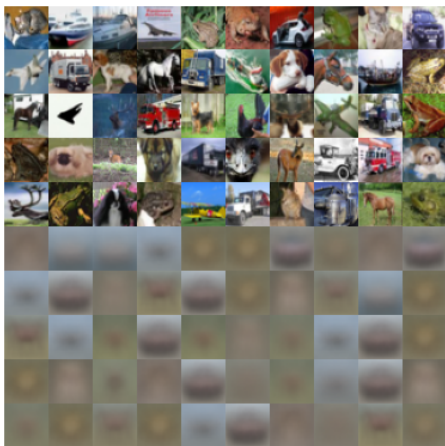


Figure: The top half shows target images and bottom half shows reconstructed images

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- We adapted the capsule network implementation of [Dulat Yerzat](https://github.com/higgsfield/Capsule-Network-Tutorial/blob/master/Capsule%20Network.ipynb). The source code is available at <https://github.com/higgsfield/Capsule-Network-Tutorial/blob/master/Capsule%20Network.ipynb>.

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- [1] Adam Paszke et al. “PyTorch: An Imperative Style, High-Performance Deep Learning Library”. In: *Advances in Neural Information Processing Systems* 32. Ed. by H. Wallach et al. Curran Associates, Inc., 2019, pp. 8026–8037. URL: <http://papers.nips.cc/paper/9015-pytorch-an-imperative-style-high-performance-deep-learning-library.pdf>.
- [2] Yann LeCun, Corinna Cortes, and Christopher JC Burges. *The mnist database of handwritten digits*. 1998. URL: <http://yann.lecun.com/exdb/mnist/>.
- [3] Alex Krizhevsky, Vinod Nair, and Geoffrey Hinton. *The CIFAR-10 dataset*. URL: <https://www.cs.toronto.edu/~kriz/cifar.html>.

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- [4] Sara Sabour, Nicholas Frosst, and Geoffrey E Hinton.
Dynamic Routing Between Capsules. 2017. arXiv: 1710.09829 [cs.CV].

Thank You