

---

# LSTM XOR Project Report

Jayaram Kuchibhotla  
jayaramkuchibhotla@gmail.com

## Abstract

This project report describes the implementation and results of the LSTM model which outputs the parity of the input sequence of binary bits. It has the following sections 1.Introduction 2.Description of the datasets 3.Explanation of model architecture and implementation 4. Results 5.Improvements and Conclusion

## 1 Introduction

RNN(Recurrent Neural Networks) models are useful for training sequential data in the cases where the previous information has to be remembered to predict the output .The basic problem that is solved by RNN is prediction of the next word given few words in a meaningful sentence. Information of the immediate past word is just not sufficient to predict the current word. The context is understood only when the model has knowledge of past few words in the sentence. When it comes to practical implementation, RNN suffers from the problem of vanishing or exploding gradients for data inputs having long sequences. This problem is solved to an extent by LSTM (Long Short Term Memory) models and hence these are preferred over RNN for modeling sequential data.

In the current project, parity has to be predicted (if the count of ones is odd ,output is 1 .It is 0 if the count of ones is even). Mathematically , the bitwise operation XOR between all the bits in data helps to output the parity. The LSTM model has to be trained to approximately implement this XOR functionality

## 2 Description of the datasets

Two types of datasets are used as input to the model in 2 different instances of execution.

Type1 : 100000 binary strings with consistent length value 50 in both input and output.  
Type2 : 100000 binary strings where each data sample length can have any value between 1 to 50 in input and the corresponding output. In both these data sets , the output data bit at index i is the parity of the data bits in the input sample from index 0 to i-1. They are split into 80000 training samples and 20000 test samples.

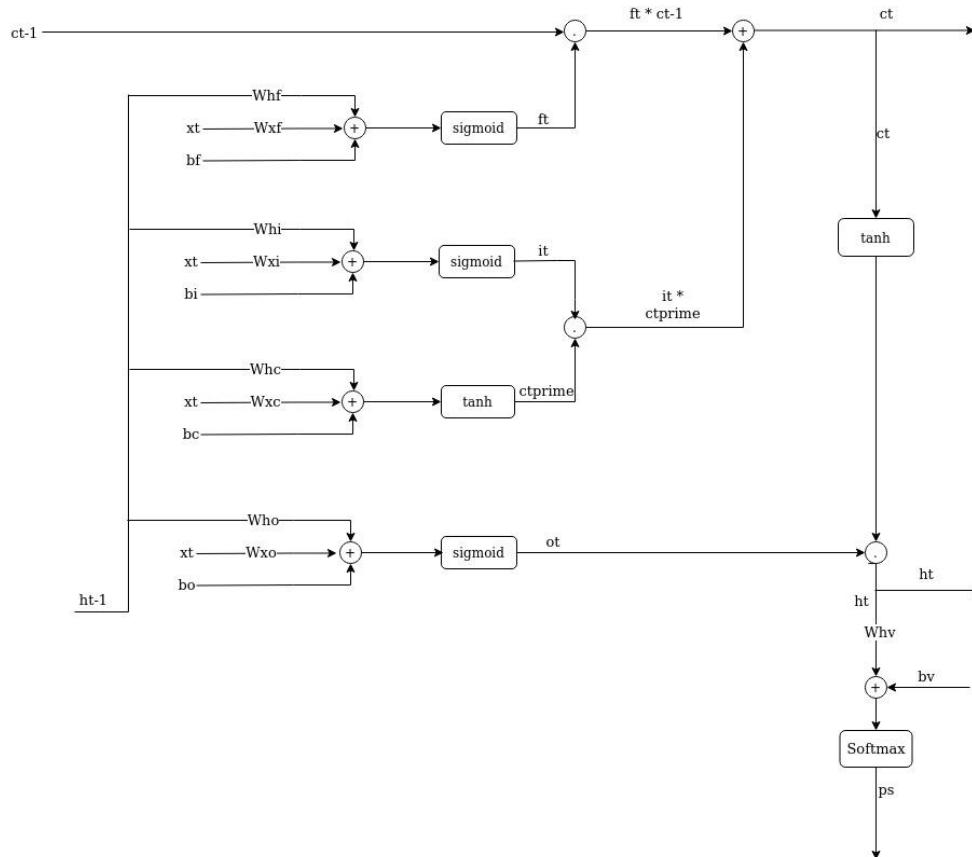
Train dataset split into batches : The 80000 data samples in type1 are divided into 2500 batches each having size of 32. In type2 , 80000 samples are divided into 2521 batches each having variable size that is  $\leq 32$ . The reason for this variability is that the data in type 2 has variable lengths and the batches are divided in such a way that each batch has equal length data samples. This helped in easier implementation of Mini batch gradient descent using 5 different processes. Training and Testing are done separately with Type1 and Type2 batches .Results obtained are independent of each other

When Type1 dataset is used for program execution , each process handled 500 batches of data and in execution with Type2 dataset, 501 batches of data are handled by each process. The 2521th batch having 30 samples of data was not used for training . The train data is equally split among 5 processes and distribution of left over data(30 samples in 2521th batch) is not handled . An effective way is to have right multiple of processes distributing

48 data equally or distribute the left over data equally among the fixed number of processes.  
 49 This is left for future improvement work

50

### 51 3 Explanation of model architecture and implementation



52 The LSTM model has an LSTM cell which is repeated for 'n' times where n is the number of  
 53 timesteps in the input data sample. The previous cell hidden state 'ht-1' and previous cell  
 54 state 'ct-1' (cell state is current long-term memory of the network) are fed as input to the  
 55 current cell along with the current time step data input

56 The above diagram shows the operations in one LSTM cell. It has 3 different gates  
 57 1.Forget gate(ft) 2.Input gate(it) 3.Output gate(ot) along with current cell state  
 58 (ctprime).Each of these units have separate weights and biases associated with it . The  
 59 weights are in dot product with the hidden state inputs and the input data for that particular  
 60 time step . '+' symbol inside a circle is an addition operation while '.' symbol inside a circle  
 61 is the pairwise multiplication operation. Outputs from these 4 units are passed through non  
 62 linear operations such as tanh or sigmoid as shown in the diagram above

63 Forget gate : If the previous hidden state value (ht-1) and the current time step input (xt)are  
 64 given as input , it outputs values which, when passed through the sigmoid function, gives the  
 65 matrix values ft in the range [0,1] .ft\*ct-1 operation helps in forgetting(making them zeros)  
 66 few values and in preserving remaining values in ct-1. Forget gate's weights Wfh,Wfx and  
 67 bias bf will be optimized so as to forget the right values of ct-1 to contribute to the correct  
 68 output

69 Input gate: Current time step input (xt) and previous hidden state value (ht-1) are given as  
 70 inputs to this gate ,uses weights Whi,Wxi and bias bi, the output value is passed through  
 71 sigmoid function to give values 'it' in the range [0,1] .Current cell state (ctprime) is result of  
 72 tanh operation of factor which is again the combination of the current time step input(xt)  
 73 value and previous hidden state value(ht-1) using weights Whc,Wxc and bias bc .The use of  
 74 ctprime is to modify the information in xt given the ht-1 values . Tanh range is (-1,1), the

75 impact of values close to lower bound is reduced while the impact of values closer to upper  
76 bound is remained as it is.

77 The operation  $it * ct_{prime}$  nullifies the effect of few values in current cell state ( $ct_{prime}$ ) and  
78 let other values pass through as they are . This is due to the usage of sigmoid non linear  
79 activation in input gate 'it'.

80  $ft * ct - 1 + it * ct_{prime}$  operation produces the next cell state value 'ct'. ct is group of values  
81 which are obtained by retaining and forgetting certain values from current cell state and  
82 previous cell state.

83 Output gate: Current time step input ( $x_t$ ) and previous hidden state value ( $ht-1$ ) are given as  
84 inputs to this gate ,uses weights  $W_{ho}$ ,  $W_{xo}$  and bias  $b_o$  , the output values are passed through  
85 sigmoid function to give values 'ot' in the range  $[0,1]$  . Tanh operation on the next cell  
86 state(ct) constrains the values to the range  $(-1,1)$  i.e. reduces the impact of few values and  
87 while other values are passed on as they are before.

88 The operation  $ot * \tanh(ct)$  filters out only the needed values from ct and gives them as next  
89 hidden state ( $ht$ ) values. Thereafter, the  $ht$  values passed through a linear layer with weight  
90  $W_{hv}$  and bias  $b_v$  and the resultant outcome is passed through a softmax layer to get the  
91 output probabilities.

92 The 'n' number of cells are cascaded one after another getting the values  $ct-1$  and  $ht-1$  from  
93 previous cell.

94 Weights and bias initialization: Based on references[1][2][3] and[4] , the weights which are  
95 in dot product with hidden state values from previous cell, in every gate and current cell  
96 state are obtained by 'orthogonal initialization'. The number of units in hidden layer is 100  
97 and dimension of these matrices are (100,100). The remaining eights are consequence of  
98 'xavier uniform' initialization. Weights which multiply with input data have the dimension  
99 (batch size ,100) and the weight values in output linear layer have the dimension ( 100,2)

100 Except bias in output linear layer all other bias values have the shape (1,100) and the shape  
101 of bias in output layer is (1,2)

102 Forget gate bias values are set to ones and other bias values are set to zeros.

103 The input data and weights have rows which is equal to batch size but the bias values have  
104 single row . Addition of bias values was possible due to array broadcasting

105 Training: All batches of data are made available in every process . The start and end index of  
106 the group of batches for a process is calculated based on the rank of that process and in this  
107 way the group of batch data used differs from process to process. Mini batch gradient  
108 descent is used for optimization and training is done in parallel for each batch of data in the  
109 groups and the process can be split into 3 main steps a. Forward propagation b. Back  
110 propagation c. Optimization

111 a. Forward Propagation :

112 Before forward propagation , the weights and biases are made sure to be same across all the  
113 processes. MPI methods Send and Recv were used to get the latest parameters from process  
114 with rank 0 to the remaining processes. The previous cell state and previous hidden state  
115 values are initialized to zeros which would be used by forward propagation for 1<sup>st</sup> timestep.

116 The input and output data consists of two types of characters i.e. '0' and '1' . For these 2  
117 classes , softmax classification can be used which necessitates one hot encoding of the input  
118 data. Input character '0' at a time step is encoded as  $[1,0]$  and character '1' is encoded as  
119  $[0,1]$ . The input and output data at every time step in the whole batch is encoded in this  
120 manner .

121 The same weight and bias values are used in the loop for n times where n is the number of  
122 time steps, to predict the output probabilities.

123 The input batch data of size (batch size, 2) is transformed into output probabilities of size  
124 (batch size,2) in the forward propagation

125 Cross entropy loss function is used to calculate the loss and the loss values of all the samples  
126 are summed up to form batch loss based on reference[6]

127 The output result values are returned as dict from the forward propagation function

128 Back Propagation : The gradients of weights and biases are declared in as class members  
129 as they have same dimension as their corresponding parameters. In the back propagation  
130 function ,at the beginning,gradients of biases are declared with row size equaling to the  
131 batch size. After the back prop is complete for a batch ,these 2 steps are followed, a.the  
132 gradients of biases are summed and assigned to bias gradients which are declared before as  
133 class members. b. The weight and bias gradients are sent from every process to process with  
134 rank 0. Here, all the gradients are reduced i.e. summed to form batch gradients that are used  
135 for optimization. Reference[7] was used to arrive on this idea of implementation

136 References [8],[9],[10] ,[11],[12] and figure above were used to derive and implement the  
137 back propagation

138 Optimization: Adam optimizer is implemented from scratch using reference [13] and except  
139 the learning rate, remaining hyper parameters for optimizer in the current implementation  
140 are same as in the Adam optimizer paper.

141

142 Prediction: Two types of output predictions are used .  
143 a. One method is to check if the last bit of the predicted data matches with the last bit of the  
144 output test data sample  
145 b. Another method is to check if every bit in the predicted data matches with every bit in the  
146 relevant time step of the output test data sample

147 Accuracy Calculation :  
148 Accuracy = No. of correctly predicted data samples /No. of output data samples \*100

149

## 150 **4 Results**

151 The batch loss values from all the processes are collected in process with rank 0 and  
152 averaged to get loss value for one iteration. The averaged batch loss from every iteration is  
153 summed up to get the loss for the epoch

154

155 Training and prediction for Fix length batch data :

156 Initially,when uniform random weights were used instead of Xavier uniform and orthogonal  
157 initialized weights , the loss value continued to increase for each epoch(Epoch:0 loss 1176  
158 to epoch:20 loss 8843) .When the latter methods of weight initialization were used , loss  
159 decreased steadily . This shows the exploding gradients problem has occurred when uniform  
160 random weights are used. LSTM models do not solve the vanishing and exploding gradient  
161 problem of RNN completely ,rather they mitigate or delay this problem . Also, there exists at  
162 least one path in LSTM through which gradients can explode . This problem could have  
163 happened in the current implementation and resolved after the correct weight initialization.

164

165 Thereafter , it took 6 runs to achieve the convergence. In all these runs , the learning rate  
166 parameter and epochs are changed , other hyper parameters such as no. of units in hidden  
167 layer =100 , batch size =32 and random seed = 0 remain the same.

168

169 Run1:

170 Learning rate = 0.0005 , epochs =12

171 Loss does not decrease significantly even after 5 epochs

172 Training stopped

173

174 Run2:

175 Learning rate = 0.001 (same as in Adam optimization paper) ,epochs =12

176 Loss increases significantly for every epoch

177 Training stopped

178

179 Run3:

180 Learning rate = 0.00075 ,epochs =12

```

181 Last epoch loss : 36
182 min batch Loss : 29.415
183 correct_prediction_count using last bit =19616
184 Prediction Accuracy using last bit =98.080000
185 correct_prediction_count for full length =7910
186 Prediction Accuracy for full length =39.550000
187
188

```

```

189 Run4:
190 Learning rate = 0.00080 ,epochs =12
191 Last epoch loss = 1780
192 min_batch_loss =1087.689
193 correct_prediction_count using last bit =9922
194 Prediction Accuracy using last bit =49.610000
195 correct_prediction_count for full length =0
196 Prediction Accuracy for full length =0.000000
197
198

```

```

199 Run5:
200 Learning rate = 0.00076 , epochs =12
201 Last epoch loss = 1960
202 min_batch_loss =110.529
203 correct_prediction_count using last bit =10195
204 Prediction Accuracy using last bit =50.975000
205 correct_prediction_count for full length =0
206 Prediction Accuracy for full length =0.000000
207

```

```

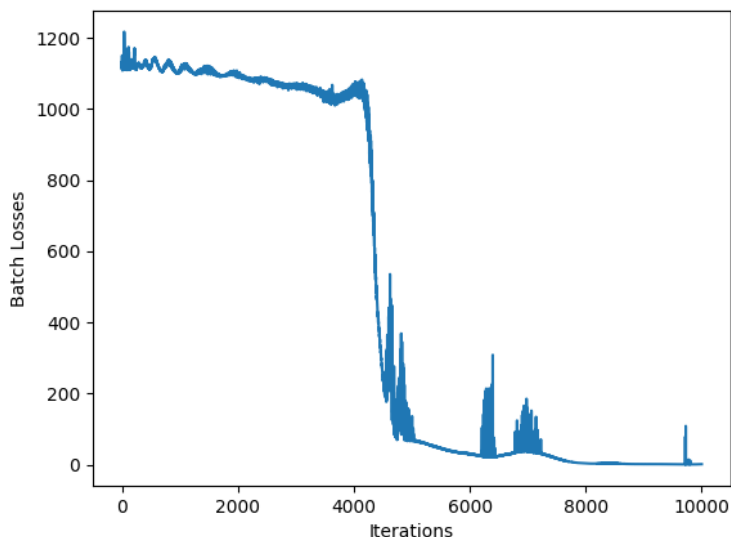
208 Run6 and Final result for fixed length data:
209 Learning rate = 0.00075 , epochs =20
210 Last epoch loss = 1
211 min_batch_loss = 1.075
212 correct_prediction_count using last bit = 19978
213 Prediction Accuracy using last bit = 99.890000
214 correct_prediction_count for full length = 18932
215 Prediction Accuracy for full length = 94.660000
216
217

```

```

218 Below graph is loss plot for Run 6 using number of iterations on x-axis
219 Number of iterations =10000 (500 values of averaged batch losses in 20 epochs)
220

```



237  
238  
239  
240  
241  
242  
243 Training and prediction for Variable length batch data :  
244 Varying learning rates for the ranges of epochs instead of single learning rate helped in  
245 convergence . Loss curve and decrement seem to be complicated when compared to those  
246 of the fixed length data .  
247 The number of epochs required are more in number compared to what is used for the fixed  
248 length data.  
249 It took 27 runs to figure out the correct learning rates and no. of epochs to get prediction  
250 accuracy above 92% for variable length data.  
251 Batch size =32 ,random seed =0 was used in all the runs below  
252 Except for variation in handling data set, number of epochs and learning rates , no other  
253 change is made in the model for training variable length data set.  
254  
255 Run1:  
256 Learning rate = 0.00075 , epochs =20  
257 Training loss for Epoch:11 is 1909  
258 Training stopped after 12 epochs due to high fluctuations in loss  
259  
260 Run2:  
261 Learning rate = 0.00050 ,epochs =20  
262 Training loss for Epoch:8 is 2359  
263 Training stopped after 9 epochs due to high fluctuations in loss  
264  
265 Run 3:  
266 Learning rate = 0.00040 , epochs =20  
267 Training loss for Epoch:11 is 599  
268 Training loss for Epoch:12 is 2280  
269 Training stopped  
270  
271 Run 4:  
272 Learning rate = 0.00035 , epochs = 20  
273 Last epoch loss is 44  
274 min\_batch\_loss :=4.391  
275 correct\_prediction\_count using last bit =18878  
276 Prediction Accuracy using last bit =94.390000  
277 correct\_prediction\_count for full length =11162  
278 Prediction Accuracy for full length =55.810000  
279  
280 Run 5:  
281 Learning rate = 0.00035 ,epochs = 28  
282 Last epoch loss is 464  
283 min\_batch\_loss :=3.319  
284 correct\_prediction\_count using last bit =16397  
285 Prediction Accuracy using last bit =81.985000  
286 correct\_prediction\_count for full length =9081  
287 Prediction Accuracy for full length =45.405000  
288  
289 Run 6:  
290 Learning rate = 0.00030 ,epochs = 20  
291 Training loss for Epoch:16 is 301  
292 Training loss for Epoch:17 is 434

293 Training stopped  
294  
295 Run 7:  
296 Learning rate = 0.00025 ,epochs = 20  
297 Last epoch loss is 479  
298 min\_batch\_loss :=63.931  
299 correct\_prediction\_count using last bit =10828  
300 Prediction Accuracy using last bit =54.140000  
301 correct\_prediction\_count for full length =2140  
302 Prediction Accuracy for full length =10.700000  
303  
304  
305 Run 8:  
306 Learning rate =0.00035 ,epochs=30  
307 Training loss for Epoch:29 is 2119  
308 min\_batch\_loss :=3.319  
309 correct\_prediction\_count using last bit =15151  
310 Prediction Accuracy using last bit =75.755000  
311 correct\_prediction\_count for full length =11532  
312 Prediction Accuracy for full length =57.660000  
313  
314 Run 9:  
315 Learning rate = 0.00034 ,epochs = 20  
316 Training loss for Epoch:15 is 2000  
317 Canceled after 16 epochs  
318  
319 Run 10:  
320 Learning rate = 0.000349, epochs =20  
321 Training loss for last epoch is 1138  
322  
323 Run 11:  
324 Learning rate = 0.00035 for first 20 epochs and 0.00025 for next 10 epochs ,epochs =30  
325 Training loss for Epoch:19 is 44  
326 Training loss for Epoch:20 is 170  
327 Last epoch loss is 213  
328 min\_batch\_loss :=3.289  
329 correct\_prediction\_count using last bit =18832  
330 Prediction Accuracy using last bit =94.160000  
331 correct\_prediction\_count for full length =13775  
332 Prediction Accuracy for full length =68.875000  
333  
334 Run 12:  
335 Learning rate = 0.00035 for first 20 epochs and 0.00012 for next 10 epochs , epochs =30  
336 Training loss for Epoch:19 is 44  
337 Training loss for Epoch:20 is 134  
338 last epoch loss is 48  
339 min\_batch\_loss :=3.199  
340 correct\_prediction\_count using last bit =19350  
341 Prediction Accuracy using last bit =96.750000  
342 correct\_prediction\_count for full length =11238  
343 Prediction Accuracy for full length =56.190000  
344  
345 Run 13:  
346 Learning rate = 0.00035 for first 20 epochs and 0.00006 for next 10 epochs ,epochs =30  
347 Training loss for Epoch:19 is 44  
348 Training loss for Epoch:20 is 131

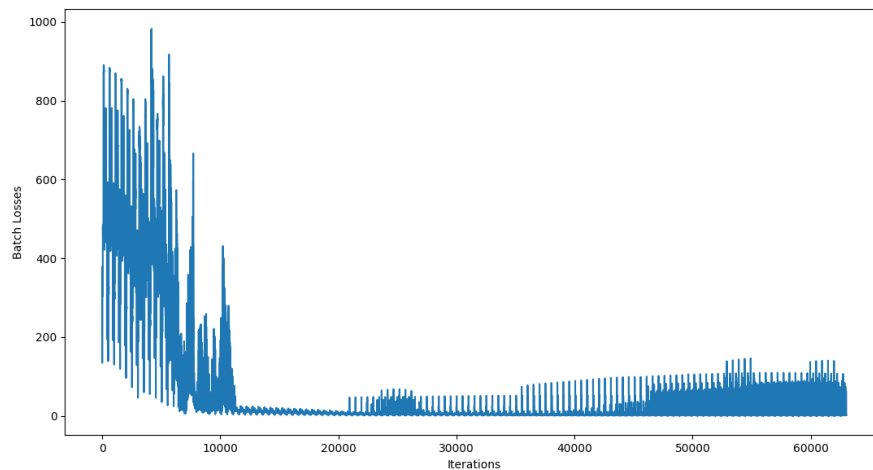
```
349 Last epoch loss is 16
350 min_batch_loss :=3.221
351 correct_prediction_count using last bit =19671
352 Prediction Accuracy using last bit =98.355000
353 correct_prediction_count for full length =13565
354 Prediction Accuracy for full length =67.825000
355
356
357 Run 14:
358 Learning rate = 0.00035 for first 20 epochs and 0.00010 for next 10 epochs , epochs =30
359 Training loss for Epoch:19 is 44
360 Training loss for Epoch:20 is 123
361 Last epoch loss is 23
362 min_batch_loss :=3.219
363 correct_prediction_count using last bit =19597
364 Prediction Accuracy using last bit =97.985000
365 correct_prediction_count for full length =12789
366 Prediction Accuracy for full length =63.945000
367
368 Run 15:
369 Learning rate = 0.00035 for first 20 epochs and 0.00009 for next 10 epochs , epochs =30
370 Training loss for Epoch:19 is 44
371 Training loss for Epoch:20 is 134
372 Last epoch loss is 22
373 min_batch_loss :=3.209
374 correct_prediction_count using last bit =19614
375 Prediction Accuracy using last bit =98.070000
376 correct_prediction_count for full length =12828
377 Prediction Accuracy for full length =64.140000
378
379 Run 16:
380 Learning rate = 0.00035 for first 20 epochs and 0.00001 for next 10 epochs , epochs =30
381 Training loss for Epoch:19 is 44
382 Training loss for Epoch:20 is 129
383 Training loss for Epoch:29 is 14
384 min_batch_loss :=3.182
385 correct_prediction_count using last bit =19702
386 Prediction Accuracy using last bit =98.510000
387 correct_prediction_count for full length =13592
388 Prediction Accuracy for full length =67.960000
389
390 Run 17:
391 Learning rate = 0.00035 for first 20 epochs and 0.000001 for next 10 epochs ,epochs =30
392 Training loss for Epoch:19 is 44
393 Training loss for Epoch:20 is 127
394 Training loss for Epoch:29 is 76
395 min_batch_loss :=3.930
396 correct_prediction_count using last bit =19238
397 Prediction Accuracy using last bit =96.190000
398 correct_prediction_count for full length =11661
399 Prediction Accuracy for full length =58.305000
400
401 Run 18:
402 Learning rate = 0.00035 for first 20 epochs and 0.00004 for next 10 epochs , epochs=30
403 Training loss for Epoch:19 is 44
404 Training loss for Epoch:20 is 132
```



405 Training loss for Epoch:29 is 11  
406 min\_batch\_loss :=2.628  
407 correct\_prediction\_count using last bit =19760  
408 Prediction Accuracy using last bit =98.800000  
409 correct\_prediction\_count for full length =14664  
410 Prediction Accuracy for full length =73.320000  
411  
412  
413 Run 19:  
414 Learning rate = 0.00035 for first 20 epochs and 0.00004 for next 31 epochs ,epochs =51  
415 Training loss for Epoch:19 is 44  
416 Training loss for Epoch:20 is 132  
417 Last epoch loss is 4  
418 min\_batch\_loss :=0.836  
419 correct\_prediction\_count using last bit =19894  
420 Prediction Accuracy using last bit =99.470000  
421 correct\_prediction\_count for full length =17535  
422 Prediction Accuracy for full length =87.675000  
423  
424  
425 Run 20:  
426 Learning rate = 0.00035 for first 20 epochs and 0.00004 for next 45 epochs , epochs =65  
427 Training loss for Epoch:19 is 44  
428 Training loss for Epoch:20 is 132  
429 Last epoch loss is 50  
430 min\_batch\_loss :=0.836  
431 correct\_prediction\_count using last bit =19679  
432 Prediction Accuracy using last bit =98.395000  
433 correct\_prediction\_count for full length =16167  
434 Prediction Accuracy for full length =80.835000  
435  
436  
437 Run 21:  
438 Learning rate = 0.00035 for first 20 epochs , 0.00004 from epoch no:20 to epoch no:45 and  
439 0.000001 from epoch no:46 to epoch no: 54 , epochs = 55  
440 Training loss for Epoch:19 is 44  
441 Training loss for Epoch:20 is 132  
442 Training loss for Epoch:45 is 4  
443 Training loss for Epoch:46 is 4  
444 Last epoch loss is 4  
445 min\_batch\_loss :=0.907  
446 correct\_prediction\_count using last bit =19895  
447 Prediction Accuracy using last bit =99.475000  
448 correct\_prediction\_count for full length =17481  
449 Prediction Accuracy for full length =87.405000  
450  
451 Run 22:  
452 Learning rate = 0.00035 for first 20 epochs ,0.00004 for epoch no:21 to epoch no:45 epochs  
453 0.00001 from epoch no:46 to epoch no: 59 ,epochs =60  
454 Training loss for Epoch:19 is 44  
455 Training loss for Epoch:20 is 132  
456 Training loss for Epoch:45 is 4  
457 Training loss for Epoch:46 is 5  
458 Last epoch loss is 4  
459 min\_batch\_loss :=0.808  
460 correct\_prediction\_count using last bit =19907

461 Prediction Accuracy using last bit =99.535000  
462 correct\_prediction\_count for full length =17667  
463 Prediction Accuracy for full length =88.335000  
464  
465 Run 23:  
466 Learning rate = 0.00035 for first 20 epochs, 0.00004 from epoch no:20 to epoch no:45  
467 and 0.000004 from epoch no:46 to epoch no: 64 ,epochs = 65  
468 Training loss for Epoch:19 is 44  
469 Training loss for Epoch:20 is 132  
470 Training loss for Epoch:45 is 4  
471 Training loss for Epoch:46 is 4  
472 Last epoch loss is 4  
473 min\_batch\_loss :=0.826  
474 correct\_prediction\_count using last bit =19900  
475 Prediction Accuracy using last bit =99.500000  
476 correct\_prediction\_count for full length =17643  
477 Prediction Accuracy for full length =88.215000  
478  
479 Run 24:  
480 Learning rate = 0.00035 for first 20 epochs , 0.00004 from epoch no:20 to epoch no:45,  
481 0.00002 from epoch no:46 to epoch no: 64 ,epochs = 65  
482 Training loss for Epoch:19 is 44  
483 Training loss for Epoch:20 is 132  
484 Training loss for Epoch:45 is 4  
485 Training loss for Epoch:46 is 5  
486 Last epoch loss is 6  
487 min\_batch\_loss :=0.741  
488 correct\_prediction\_count using last bit =19890  
489 Prediction Accuracy using last bit =99.450000  
490 correct\_prediction\_count for full length =17863  
491 Prediction Accuracy for full length =89.31500  
492  
493 Run 25:  
494 Learning rate = 0.00035 for first 20 epochs , 0.00004 from epoch no:21 to epoch no:45 and  
495 0.000014 from epoch no:46 to epoch no: 64 ,epochs = 65  
496 Training loss for Epoch:19 is 44  
497 Training loss for Epoch:20 is 132  
498 Training loss for Epoch:45 is 4  
499 Training loss for Epoch:46 is 5  
500 Last epoch loss is 4  
501 min\_batch\_loss :=0.795  
502 correct\_prediction\_count using last bit =19903  
503 Prediction Accuracy using last bit =99.515000  
504 correct\_prediction\_count for full length =17753  
505 Prediction Accuracy for full length =88.765000  
506  
507 Run 26:  
508 Learning rate = 0.00035 for first 20 epochs , 0.00004 for epoch no:20 to epoch no: 45 and  
509 0.00001 from epoch no:46 to epoch no: 79 ,epochs =80  
510 Training loss for Epoch:19 is 44  
511 Training loss for Epoch:20 is 132  
512 Training loss for Epoch:45 is 4  
513 Training loss for Epoch:46 is 5  
514 Training loss for last epoch is 3  
515 min\_batch\_loss :=0.596  
516 correct\_prediction\_count using last bit =19931

517 Prediction Accuracy using last bit =99.655000  
 518 correct\_prediction\_count for full length =18317  
 519 Prediction Accuracy for full length =91.585000  
 520  
 521  
 522  
 523 Run 27 and Final epoch for variable length data:  
 524 Learning rate = 0.00035 for first 20 epochs ,0.00004 for epoch no:20 to epoch no: 45 and  
 525 0.00001 from epoch no:46 to epoch no: 125 ,epochs = 125  
 526 Training loss for Epoch:19 is 44  
 527 Training loss for Epoch:20 is 132  
 528 Training loss for Epoch:45 is 4  
 529 Training loss for Epoch:46 is 5  
 530 Training loss for last epoch is 5  
 531 min\_batch\_loss :=0.405  
 532 correct\_prediction\_count using last bit =19938  
 533 Prediction Accuracy using last bit =99.690000  
 534 correct\_prediction\_count for full length =18838  
 535 Prediction Accuracy for full length =94.190000  
 536  
 537 Below graph is loss plot for Run 27 using number of iterations on x-axis  
 538 Number of iterations = 63000 (504 values of averaged batch losses in 125 epochs)  
 539



540 The point to note is that the epochs are increased from 80 to 125 and other hyper parameters  
 541 are same when Run 26 and Run27 are compared . Final epoch loss is more in Run 27 by 1  
 542 but the accuracy is high by 2.6 %  
 543  
 544

## 545 **5 Improvements and Conclusion**

546 Following improvements can be further made which are left for future work  
 547 a. Tuning learning rate using learning rate scheduler perhaps can reduce the training time to  
 548 get good results  
 549 b. MPI parallel programming with 5 processes reduced the training time of a single epoch to  
 550 14 min compared to 20 min training time of one epoch when MPI was not used.  
 551 Using GPU based cloud infrastructure such as GCP or AWS can further reduce the  
 552 training time to a large extent . This can help to train using efficient hyper parameter  
 553 tuning methods  
 554 c. All experiments are run with seed 0 . Few other random seeds can be used in different

555 trials.  
556 d. Batch size 32 was used and other batch sizes such as 64,128 ,256 could be tried.  
557  
558 This project implementation helped to understand LSTM model and application of parallel  
559 programming using MPI

## 560 References

561 [1]Orthogonal initialization for hidden to hidden , Xavier uniform initialization for rest of the weights  
562 <https://www.kaggle.com/code/junkoda/pytorch-lstm-with-tensorflow-like-initialization/notebook>  
563 [2]Weight initialization  
564 [https://www.deeplearningwizard.com/deep\\_learning/boosting\\_models\\_pytorch/weight\\_initialization\\_activation\\_functions/](https://www.deeplearningwizard.com/deep_learning/boosting_models_pytorch/weight_initialization_activation_functions/)  
565 <https://wandb.ai/sauravmaheshkar/initialization/reports/A-Gentle-Introduction-To-Weight-Initialization-for-Neural-Networks--Vmlldzo2ODExMTg>  
566 [https://www.reddit.com/r/deeplearning/comments/9vjckm/what\\_is\\_the\\_best\\_way\\_to\\_initialize\\_lstm\\_weights/](https://www.reddit.com/r/deeplearning/comments/9vjckm/what_is_the_best_way_to_initialize_lstm_weights/)  
567  
568 [3] Orthogonal weight initialization  
569 <https://github.com/kastnerkyle/net/blob/d66d533b1ebcc25dd442bdb41431d334b617e80e/net.py#L168>  
570 <https://github.com/nyu-dl/dl4mt-tutorial/blob/master/session3/lm.py>  
571  
572 [4] Fan in Fan out for xavier uniform  
573 <https://stackoverflow.com/questions/42670274/how-to-calculate-fan-in-and-fan-out-in-xavier-initialization-for-neural-networks>  
574 <https://ml-cheatsheet.readthedocs.io/en/latest/forwardpropagation.html>  
575 <https://visualstudiomagazine.com/articles/2019/09/05/neural-network-glorot.aspx>  
576  
577 [5] One hot encoding :  
578 <https://datascience.stackexchange.com/questions/45803/should-we-use-only-one-hot-vector-for-lstm-input-outputs>  
579  
580 [6]Batch Loss  
581 <https://stackoverflow.com/questions/54053868/how-do-i-get-a-loss-per-epoch-and-not-per-batch>  
582  
583 [7] Distibuted training and optimization  
584 Diagram : [https://github.com/vlimant/mpi\\_learn/blob/master/docs/downpour.png](https://github.com/vlimant/mpi_learn/blob/master/docs/downpour.png)  
585 MPI.AllReduce:  
586 [https://github.com/openai/baselines/blob/master/baselines/common/mpi\\_adam\\_optimizer.py](https://github.com/openai/baselines/blob/master/baselines/common/mpi_adam_optimizer.py)  
587  
588 [8]Back propagation flow diagrams  
589 <https://towardsdatascience.com/backpropagation-in-rnn-explained-bdf853b4e1c2>  
590 [https://github.com/christinakouridi/scratchML/blob/master/LSTM/LSTMForward\\_diagram.png](https://github.com/christinakouridi/scratchML/blob/master/LSTM/LSTMForward_diagram.png)  
591  
592 [9] Back propagation for batch  
593 <https://ai.stackexchange.com/questions/11667/is-back-propagation-applied-for-each-data-point-or-for-a-batch-of-data-points>  
594  
595 [10] Modularizing code and RNN Implementation :  
596 <https://github.com/JY-Yoon/RNN-Implementation-using-NumPy/blob/master/RNN%20Implementation%20using%20NumPy.ipynb>  
597  
598 [11] Back prop chain rule, matrix multiply dimension matching and Matrix -Matrix Multiply gradient  
599 : <https://cs231n.github.io/optimization-2/>  
600  
601 [12] Adam Optimizer  
602 <https://towardsdatascience.com/how-to-implement-an-adam-optimizer-from-scratch-76e7b217f1cc>  
<https://stackoverflow.com/questions/70225531/time-step-in-adam-optimizer>  
<https://arxiv.org/pdf/1412.6980.pdf>  
[13]Vanishing and exploding gradients in LSTM ( This problem is not entirely solved in LSTM ,rather

603 it is mitigated and delayed)  
604 [https://www.quora.com/How-does-LSTM-help-prevent-the-vanishing-and-exploding-gradient-](https://www.quora.com/How-does-LSTM-help-prevent-the-vanishing-and-exploding-gradient-problem-in-a-recurrent-neural-network)  
605 [problem-in-a-recurrent-neural-network](https://www.quora.com/How-does-LSTM-help-prevent-the-vanishing-and-exploding-gradient-problem-in-a-recurrent-neural-network)  
606 [14] Cross Entropy  
607 <https://www.quora.com/Whats-an-intuitive-way-to-think-of-cross-entropy>  
608 [15] Matrix and batch dimensions:  
609 <https://www.quora.com/In-LSTM-how-do-you-figure-out-what-size-the-weights-are-supposed-to-be>  
610 [16] Bias gradients sum along axis =0 :  
611 [https://github.com/Erleamar/cs231n\\_self/blob/master/assignment2/cs231n/layers.py#L116](https://github.com/Erleamar/cs231n_self/blob/master/assignment2/cs231n/layers.py#L116)  
612 [17] RNN Theory : <http://karpathy.github.io/2015/05/21/rnn-effectiveness/>  
613 [18] LSTM Theory : <http://colah.github.io/posts/2015-08-Understanding-LSTMs/>  
614 [19] LSTM theory explanation : [https://towardsdatascience.com/lstm-networks-a-detailed-](https://towardsdatascience.com/lstm-networks-a-detailed-explanation-8fae6aefc7f9)  
615 [explanation-8fae6aefc7f9](https://towardsdatascience.com/lstm-networks-a-detailed-explanation-8fae6aefc7f9)  
616 [20] Batch size : <https://ai.stackexchange.com/questions/8560/how-do-i-choose-the-optimal-batch-size>  
617 [21] Bucketing into batches of data for irregular length sequences: [https://rashmi-](https://rashmi-margani.medium.com/how-to-speed-up-the-training-of-the-sequence-model-using-bucketing-techniques-9e302b0fd976)  
618 [margani.medium.com/how-to-speed-up-the-training-of-the-sequence-model-using-bucketing-](https://rashmi-margani.medium.com/how-to-speed-up-the-training-of-the-sequence-model-using-bucketing-techniques-9e302b0fd976)  
619 [techniques-9e302b0fd976](https://rashmi-margani.medium.com/how-to-speed-up-the-training-of-the-sequence-model-using-bucketing-techniques-9e302b0fd976)  
620 [22] Python binary string generation  
621 <https://www.geeksforgeeks.org/python-program-to-generate-random-binary-string/>  
622 [23] Gradient clipping  
623 [https://stackoverflow.com/questions/44796793/difference-between-tf-clip-by-value-and-tf-clip-by-](https://stackoverflow.com/questions/44796793/difference-between-tf-clip-by-value-and-tf-clip-by-global-norm-for-rnns-and-how)  
624 [global-norm-for-rnns-and-how](https://stackoverflow.com/questions/44796793/difference-between-tf-clip-by-value-and-tf-clip-by-global-norm-for-rnns-and-how)