

Design & Analysis of Algorithms

Lecture 9

Mathematical Analysis of Recursive Algorithms-II

Mathematical Analysis of Recursive Algorithms

General Plan for Analyzing the Time Efficiency

- **1.** Decide on a parameter (or parameters) indicating an input's size.
- **2.** Identify the algorithm's basic operation.
- **3.** Check whether the number of times the basic operation is executed can vary on different inputs of the same size; if it can, the **worst-case**, **average-case**, and **best-case** efficiencies must be investigated separately.

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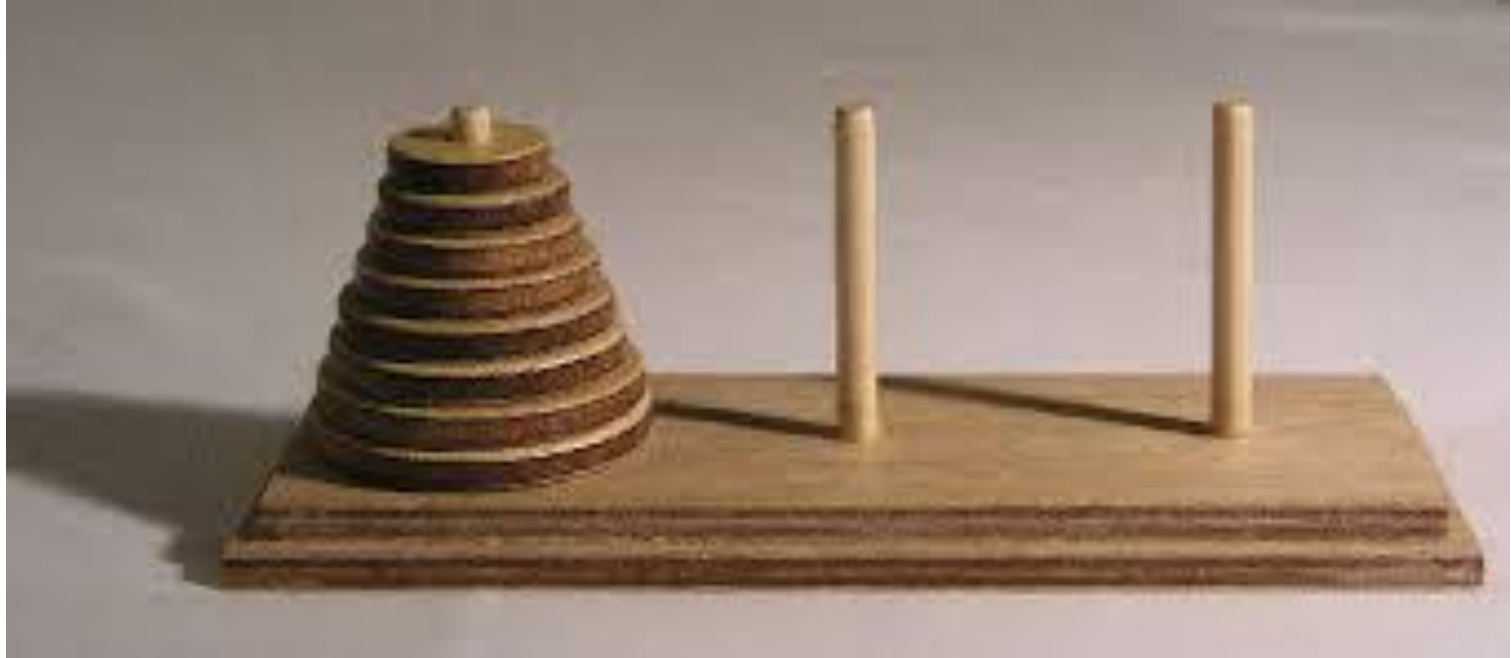
General Plan for Analyzing the Time Efficiency

- **4.** Set up a recurrence relation, with an appropriate initial condition, for the number of times the basic operation is executed.
- **5.** Solve the recurrence or, at least, ascertain the order of growth of its solution.

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Example 2

- Solving the **Tower of Hanoi** puzzle.

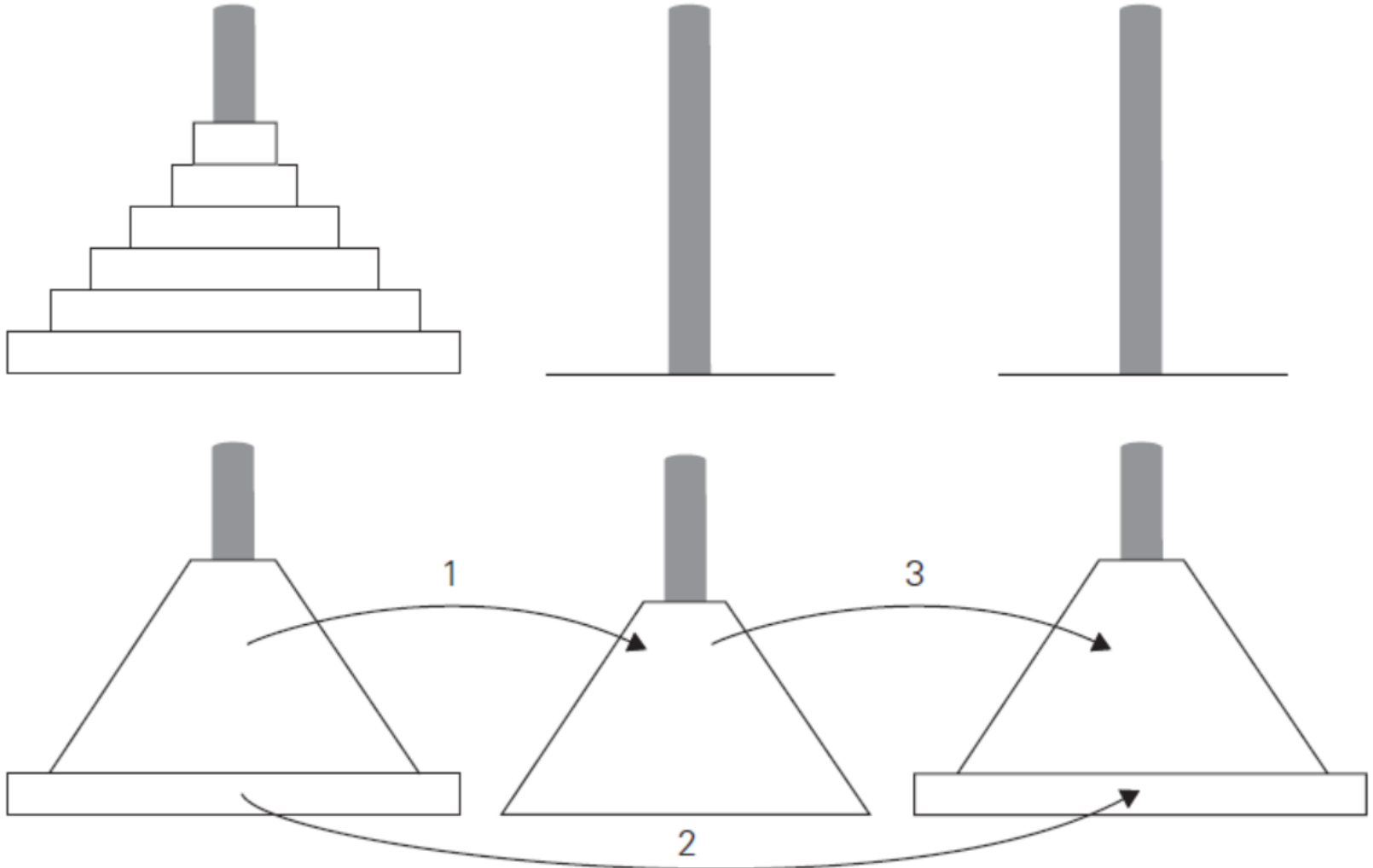


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Tower of Hanoi

- In this puzzle, we have n disks of different sizes that can slide onto any of three pegs.
- Initially, all the disks are on the first peg in order of size, the largest on the bottom and the smallest on top.
- The **goal** is to move all the disks to the third peg, using the second one as an auxiliary, if necessary.
- We can move only one disk at a time, and it is forbidden to place a larger disk on top of a smaller one.

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Recursive solution to the Tower of Hanoi puzzle

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Recursive solution to the Tower of Hanoi puzzle

- To move $n > 1$ disks from peg 1 to peg 3 (with peg 2 as auxiliary), we first move recursively $n - 1$ disks from peg 1 to peg 2 (with peg 3 as auxiliary), then move the largest disk directly from peg 1 to peg 3, and, finally, move recursively $n - 1$ disks from peg 2 to peg 3 (using peg 1 as auxiliary).
- Of course, if $n = 1$, we simply move the single disk directly from the source peg to the destination peg.

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Recursive solution to the Tower of Hanoi puzzle

- The input's size indicator- the number of disks **n**
- Basic operation- **moving one disk**
- The number of moves **$M(n)$** depends on **n** only, and the recurrence equation is the following:
$$M(n) = M(n - 1) + 1 + M(n - 1) \quad \text{for } n > 1.$$
- With the **initial condition**, we get the recurrence relation as

$$M(n) = 2M(n - 1) + 1 \quad \text{for } n > 1,$$
$$M(1) = 1.$$

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Recursive solution to the Tower of Hanoi puzzle

$$M(n) = 2M(n-1) + 1 \quad \text{sub. } M(n-1) = 2M(n-2) + 1$$

$$= 2[2M(n-2) + 1] + 1 = 2^2M(n-2) + 2 + 1 \quad \text{sub. } M(n-2) = 2M(n-3) + 1$$

$$= 2^2[2M(n-3) + 1] + 2 + 1 = 2^3M(n-3) + 2^2 + 2 + 1.$$

after i substitutions, we get

$$M(n) = 2^i M(n-i) + 2^{i-1} + 2^{i-2} + \dots + 2 + 1 = 2^i M(n-i) + 2^i - 1$$

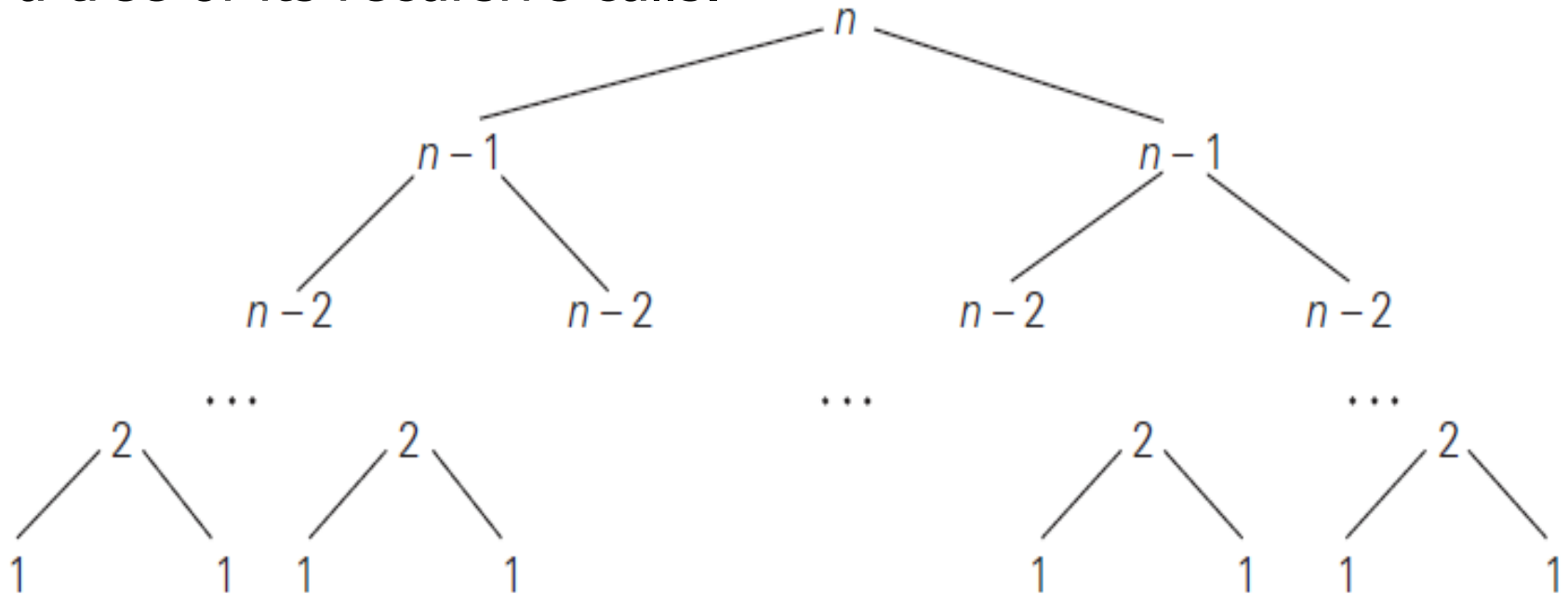
for $n = 1$, which is achieved for $i = n - 1$, we

$$\begin{aligned} M(n) &= 2^{n-1} M(n - (n-1)) + 2^{n-1} - 1 \\ &= 2^{n-1} M(1) + 2^{n-1} - 1 = 2^{n-1} + 2^{n-1} - 1 = 2^n - 1 \end{aligned}$$

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Recursive solution to the Tower of Hanoi puzzle

- When a recursive algorithm makes more than a single call to itself, it can be useful for analysis purposes to construct a tree of its recursive calls.



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Recursive solution to the Tower of Hanoi puzzle

- By counting the number of nodes in the tree, we can get the total number of calls made by the Tower of Hanoi algorithm:

$$C(n) = \sum_{l=0}^{n-1} 2^l \text{ (where } l \text{ is the level in the tree in Figure)} = 2^n - 1$$

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Example 3

- Binary number representation.

ALGORITHM *BinRec*(n)

//Input: A positive decimal integer n

//Output: The number of binary digits in n 's binary representation

if $n = 1$ **return** 1

else return *BinRec*($\lfloor n/2 \rfloor$) + 1

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Binary number representation.

- Recurrence relation

$$A(n) = A(\lfloor n/2 \rfloor) + 1 \quad \text{for } n > 1$$

$$A(1) = 0$$

- Standard approach to solving such a recurrence is to solve it only for $n = 2^k$ and then take advantage of the theorem called the ***smoothness rule***.

$$A(2^k) = A(2^{k-1}) + 1 \quad \text{for } k > 0,$$

$$A(2^0) = 0.$$

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■ Recurrence relation Solution

$$\begin{aligned} A(2^k) &= A(2^{k-1}) + 1 && \text{substitute } A(2^{k-1}) = A(2^{k-2}) + 1 \\ &= [A(2^{k-2}) + 1] + 1 = A(2^{k-2}) + 2 && \text{substitute } A(2^{k-2}) = A(2^{k-3}) + 1 \\ &= [A(2^{k-3}) + 1] + 2 = A(2^{k-3}) + 3 && \dots \\ &\dots && \\ &= A(2^{k-i}) + i \\ &\dots && \\ &= A(2^{k-k}) + k. \end{aligned}$$

Thus, we end up with

$$A(2^k) = A(1) + k = k,$$

or, after returning to the original variable $n = 2^k$ and hence $k = \log_2 n$,

$$A(n) = \log_2 n \in \Theta(\log n).$$

References

- **Chapter 2:** Anany Levitin, “Introduction to the Design and Analysis of Algorithms”, Pearson Education, Third Edition, 2017.
- **Chapter 2:** Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest and Clifford Stein, “Introduction to Algorithms”, MIT Press/PHI Learning Private Limited, Third Edition, 2012.

Homework

- Fibonacci Number Generation
 - Non-recursively
 - Recursively