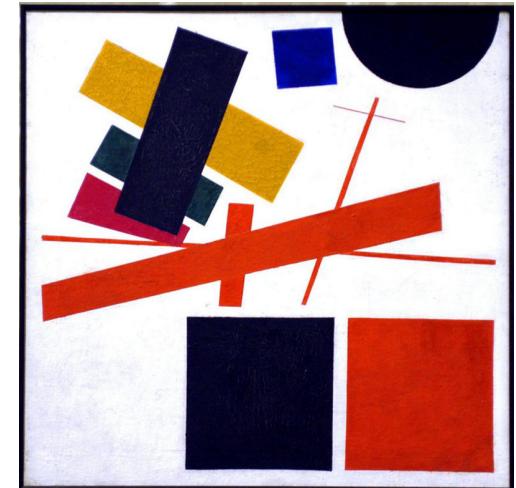


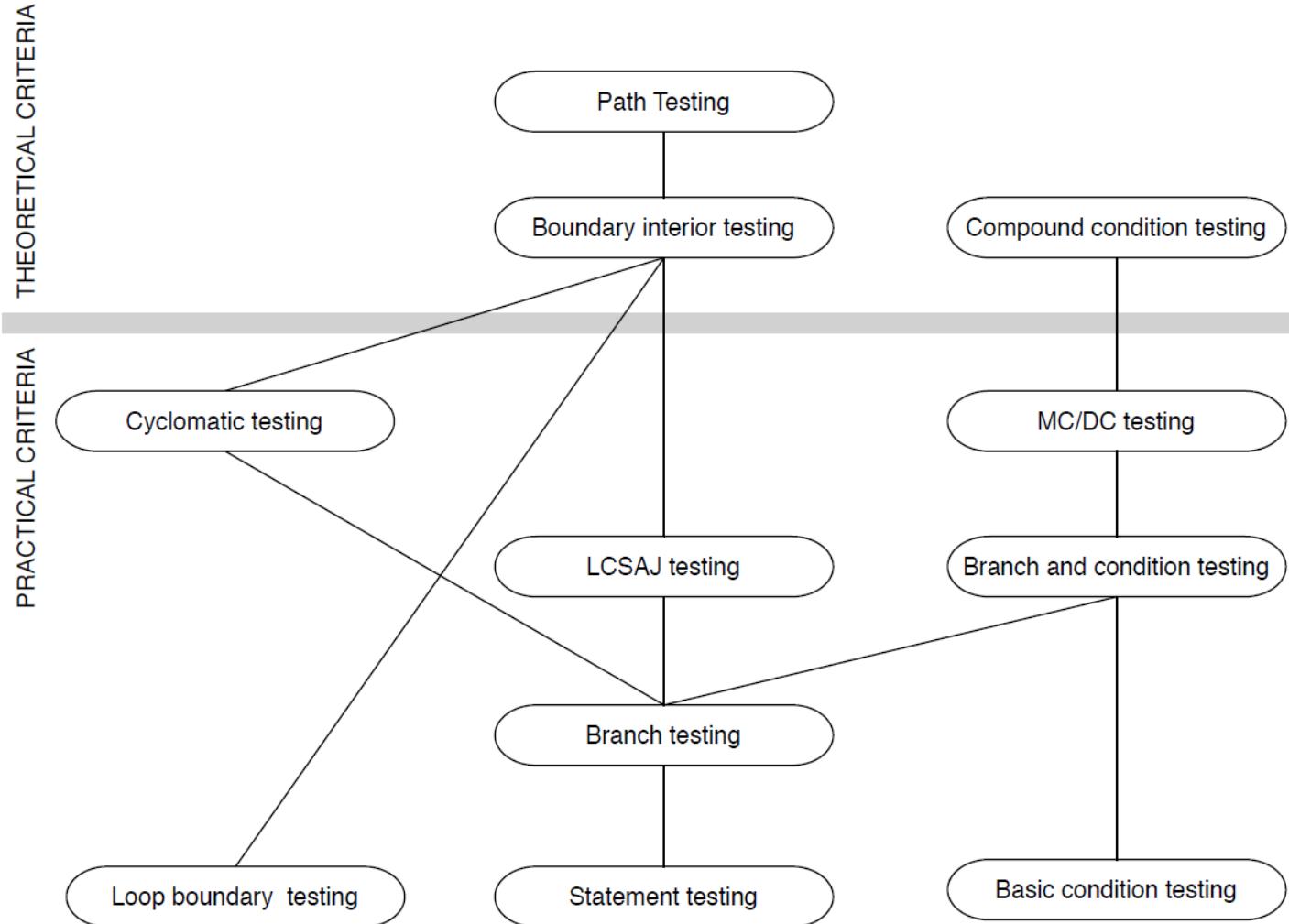
# CPEN 422

## Software Testing and Analysis



Fault-based Adequacy and  
Mutation Testing

# How Good Are My Test Cases?



```
/* Make sure Double.NaN is returned iff n = 0 */
public void testNaN1() {
    StandardDeviation std = new StandardDeviation();
    assertTrue(Double.isNaN(std.getResult()));
    std.increment(1d);
    assertEquals(0d, std.getResult(), 0);
}
```

```
public void testNaN2() {
    StandardDeviation std = new StandardDeviation();
    Double.isNaN(std.getResult());
    std.increment(1d);
    std.getResult();
}
```



Coverage is not changed!

# How Good Are My Test Cases?

- Executing all the code is not enough
  - Coverage = how much of the code is executed
  - But how much of the code is checked?
- We don't know where the bugs are
- But we know the bugs we have made in the past

# Learning from Mistakes

- Key idea: Learning from earlier mistakes to prevent them from happening again
- Key technique: Simulate earlier mistakes and see whether the resulting defects are found
- Known as **fault-based testing** or **mutation testing**

# Competent Programmer Hypothesis

A programmer writes a program that is **in** the general neighborhood of the set of correct programs



# Coupling Effect

Test data that detects all programs differing from a correct one by only simple errors is so sensitive that it also implicitly distinguishes more complex errors.

```
int do_something(int x, int y)
{
    if(x < y)
        return x+y;
    else
        return x*y;
}
```

Program

```
int a = do_something(5, 10);
assertEquals(a, 15);
```

Test



```
int do_something(int x, int y)
{
    if(x < y)
        return x-y;
    else
        return x*y;
}
```

Mutant

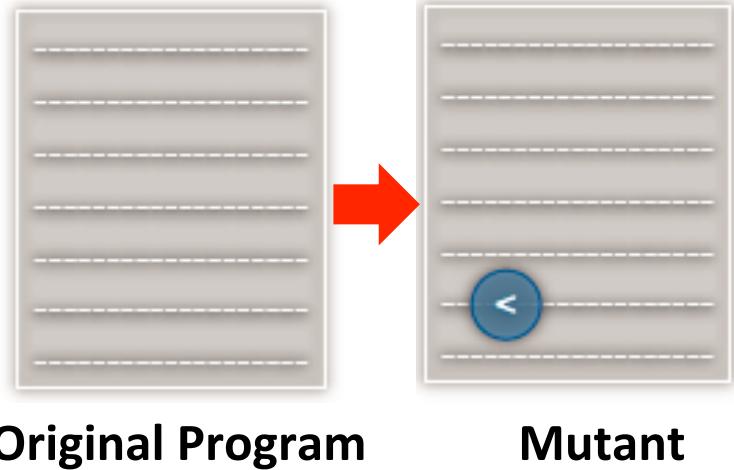
```
int a = do_something(5, 10);
assertEquals(a, 15);
```

Test



# Mutants

- Slightly changed version of original program
- Syntactic change
  - Valid (compilable code)
- Simple
  - Programming “glitch”, “typo”



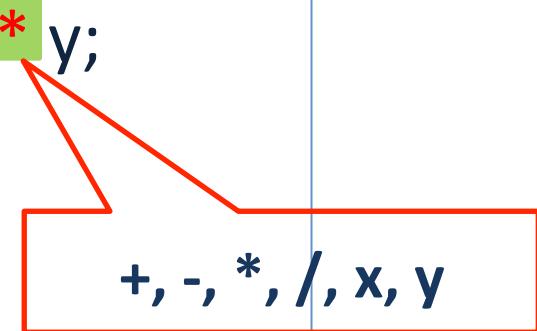
# Generating Mutants

- Mutation operator
  - Rule to derive mutants from a program
- Mutations based on real faults
  - Mutation operators represent typical errors
- Dedicated mutation operators have been defined for most languages
  - For example, > 100 operators for C

# AOR – Arithmetic Operator Replacement

```
int gcd(int x, int y) {  
    int tmp;  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```

```
int gcd(int x, int y) {  
    int tmp;  
    while(y != 0) {  
        tmp = x * y; // *  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```



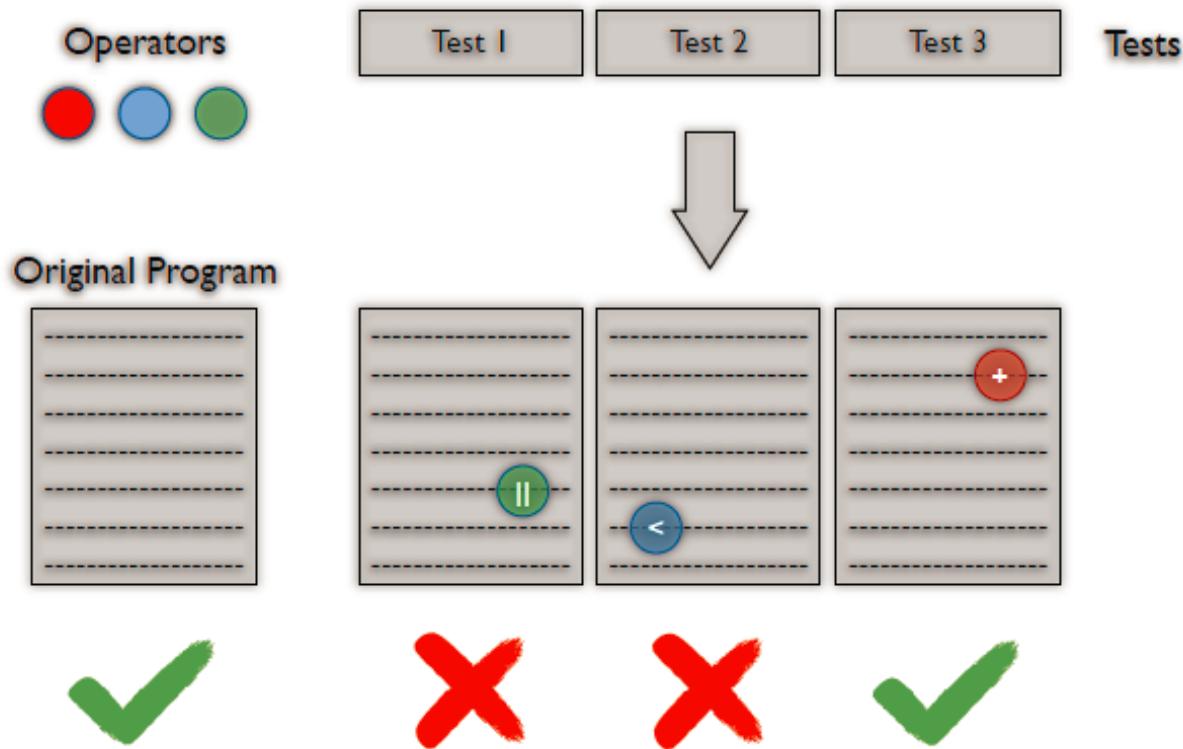
# SVR - Scalar Variable Replacement

```
int gcd(int x, int y) {  
    int tmp;  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```

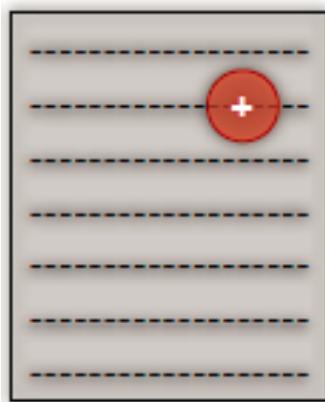
```
int gcd(int x, int y) {  
    int tmp;  
    while(y != 0) {  
        tmp = y % y; // y is highlighted in green  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```

tmp = x % y  
tmp = x % x  
tmp = y % y  
x = x % y  
y = y % x  
tmp = tmp % y  
tmp = x % tmp

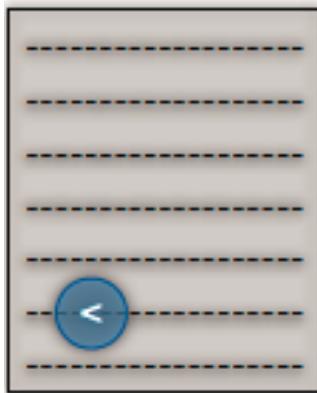
# Evaluating the Adequacy of a Test Suite



- Executing each mutant against tests in  $T$  until the mutant is detected or we have exhausted all tests.
- Detected Mutant == Killed Mutant
- Undetected Mutant == Live Mutant



Live mutant - we need more tests



Killed mutant - of no further use



**foo** is required to return the sum of two integers  $x$  and  $y$ . Clearly **foo** is **incorrect**.

```
int foo(int x, y){  
    return (x-y); ←  
}
```

Foo should return  $(x+y)$

foo has been tested using a test suite T:

T={ t1: <x=1, y=0>, assert(1),  
t2: <x=-1, y=0>, assert(-1) }

```
int foo(int x, y){  
    return (x-y);  
}
```

- foo returns the expected value for each test case.
- T is adequate with respect to all control and data flow based test adequacy criteria.

# Evaluating the adequacy of T using mutation

Three Mutants: M1, M2, M3

```
int foo(int x, y){  
    return (x-y);  
}
```

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

M3

```
int foo(int x, y){  
    return (0-y);  
}
```

# Foo should return (x+y)

```
int foo(int x, y){  
    return (x-y);  
}
```

$T = \{ t1: \langle x=1, y=0 \rangle, \text{assert}(1),$   
 $t2: \langle x=-1, y=0 \rangle, \text{assert}(-1) \}$

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

M3

```
int foo(int x, y){  
    return (0-y);  
}
```

Test (t)	foo(t)	M1(t)	M2(t)	M3(t)
$t1 \langle x=1, y=0 \rangle \text{ e:1}$	1			
$t2 \langle x=-1, y=0 \rangle \text{ e:-1}$	-1			
Live/Killed?	Live			

# Foo should return (x+y)

```
int foo(int x, y){  
    return (x-y);  
}
```

$T = \{ t1: \langle x=1, y=0 \rangle, \text{assert}(1),$   
 $t2: \langle x=-1, y=0 \rangle, \text{assert}(-1) \}$

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

M3

```
int foo(int x, y){  
    return (0-y);  
}
```

Test (t)	foo(t)	M1(t)	M2(t)	M3(t)
$t1 \langle x=1, y=0 \rangle \text{ e:1}$	1	1	1	0
$t2 \langle x=-1, y=0 \rangle \text{ e:-1}$	-1	-1	-1	0
Live/Killed?	Live	Live	Live	Killed

M1:

```
int foo(int x, y){  
    return (x+y);  
}
```

t1: <x=1, y=0>, assert(1),  
t2:<x=-1, y=0>, assert(-1)

A test that detects M1 from `foo` must satisfy the following condition:  $x-y \neq x+y$  implies  $y \neq 0$

M1:

```
int foo(int x, y){  
    return (x+y);  
}
```

t1:  $\langle x=1, y=0 \rangle$ , assert(1),  
t2:  $\langle x=-1, y=0 \rangle$ , assert(-1)

A test that detects M1 from foo must satisfy the following condition:  $x-y \neq x+y$  implies  $y \neq 0$



t3:  $\langle x=1, y=1 \rangle$

M1:

```
int foo(int x, y){  
    return (x+y);  
}
```

t1: <x=1, y=0>, assert(1),  
t2:<x=-1, y=0>, assert(-1)

A test that detects M1 from foo must satisfy the following condition:  $x-y \neq x+y$  implies  $y \neq 0$



t3: <x=1, y=1>, assert(2)



foo(t3)=0  $\neq$  2

```
int foo(int x, y){  
    return (x-y);  
}
```

M1:

```
int foo(int x, y){  
    return (x+y);  
}
```

t1:  $\langle x=1, y=0 \rangle$ , assert(1),  
t2:  $\langle x=-1, y=0 \rangle$ , assert(-1)

A test that detects M1 from foo must satisfy the following condition:  $x-y \neq x+y$  implies  $y \neq 0$



t3:  $\langle x=1, y=1 \rangle$



$\text{foo}(t3)=0 \neq 2$



t3 distinguishes M1 from foo and also reveals the error<sub>23</sub>

# Guaranteed error detection

Let  $P'$  be a mutant of  $P$  and  $t$  a test in the input domain of  $P$ :

- $P'$  is an **error revealing mutant** if any test  $t$  that distinguishes  $P'$  from  $P$  ( $P'(t) \neq P(t)$ ), also causes  $P$  to fail ( $P(t) \neq \text{the expected response}$ ).

*Is M1 an error revealing mutant? What about M2?*

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

M1 and M2 are error-revealing mutants;

Consider M2:

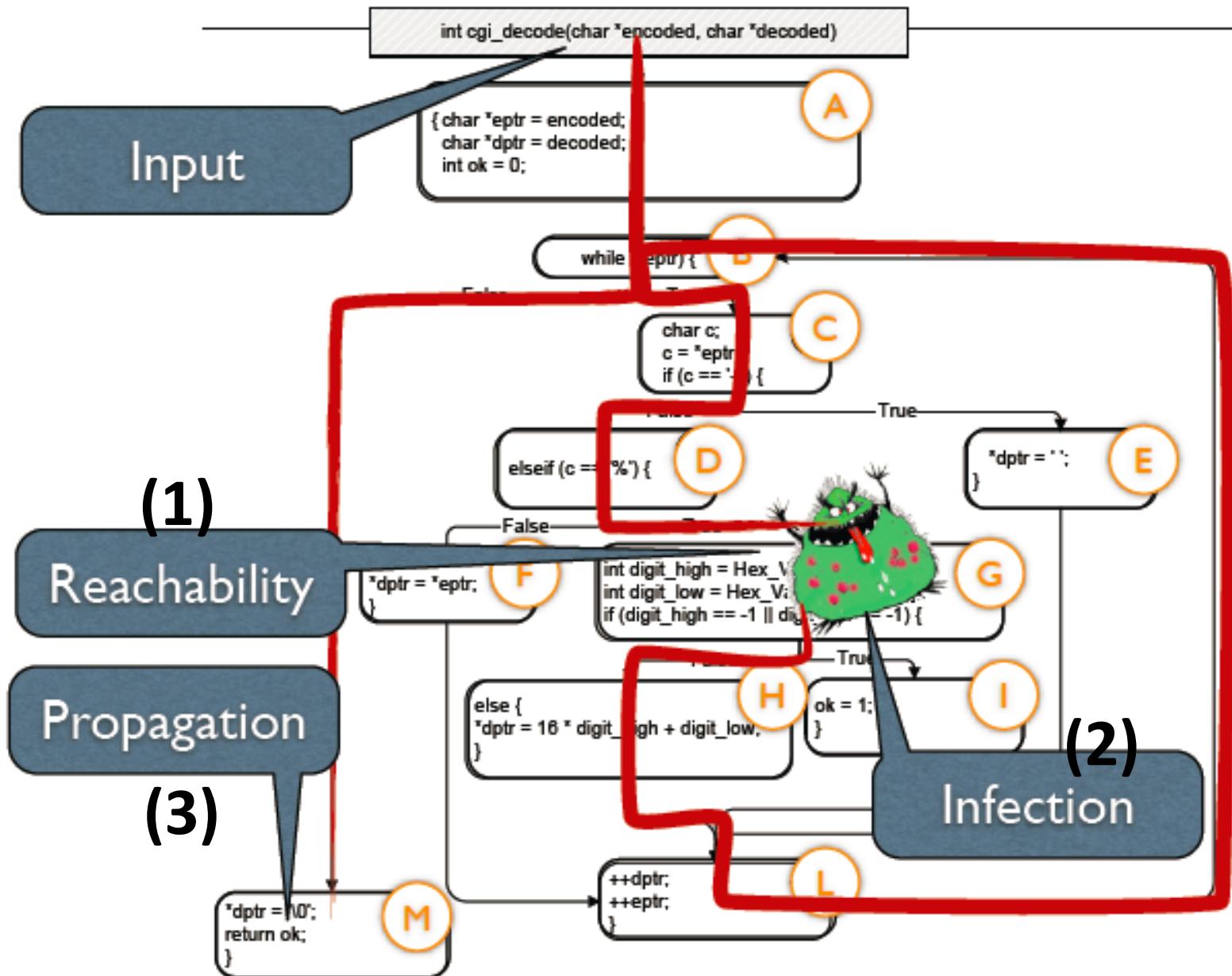
Expected:  $x+y$

P:  $x-y$

P':  $x-0$

$x-y \neq x-0 \Rightarrow y \neq 0 \Rightarrow x-y \neq x+y$  for test cases in which  $y \neq 0$ .

# Detecting a mutant:



*Do t1 and t2 satisfy reachability, infection, propagation for M3?*

t1: <x=1, y=0>

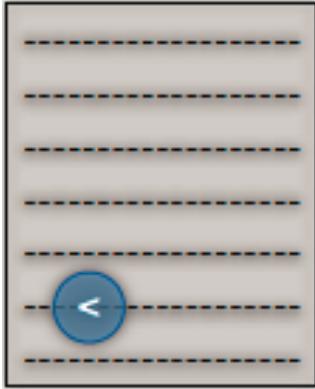
t2: <x=-1, y=0>

M3

```
int foo(int x, y){  
    return (x-y);  
}
```

```
int foo(int x, y){  
    return (0+y);  
}
```

**As long as x != 0 it infects the internal state of the mutated part of the code.**



Mutation Score =  $\frac{\text{Killed Mutants}}{\text{Total Mutants}}$



# Equivalent Mutants

- Mutation = **syntactic change**
- The change might leave the **semantics unchanged**



# Equivalent Mutants

- Mutation = **syntactic change**
- The change might leave the **semantics unchanged**
- Equivalent mutants are hard to detect



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- The change might leave the **semantics unchanged**
- Equivalent mutants are hard to detect
- Might be reached, but **no infection**



# Equivalent Mutants

- Mutation = **syntactic change**
- The change might leave the **semantics unchanged**
- Equivalent mutants are hard to detect
- Might be reached, but **no infection**
- Might infect, but **no propagation**



```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

```
int Min (int A, int B)
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int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

*What is the infection condition?  
Can the mutant be killed?*

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < minVal)
    {
        minVal = B;
    }
    return (minVal);
}
```

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

Infection condition:  $(B < A) \neq (B < \text{minVal})$

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < minVal)
    {
        minVal = B;
    }
    return (minVal);
}
```

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

Infection condition:  $(B < A) != (B < minVal)$

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < minVal)
    {
        minVal = B;
    }
    return (minVal);
}
```

$minVal == A$



$(B < A) == (B < minVal)$



No input can kill this mutant

The mutant and the original program always produce the same output

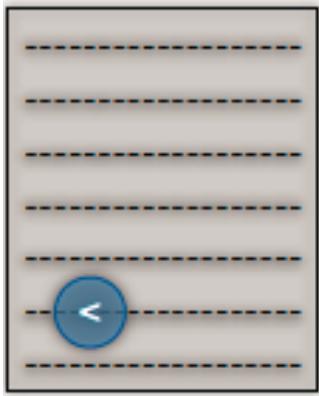
- No test data can distinguish between the two

The mutant and the original program always produce the same output

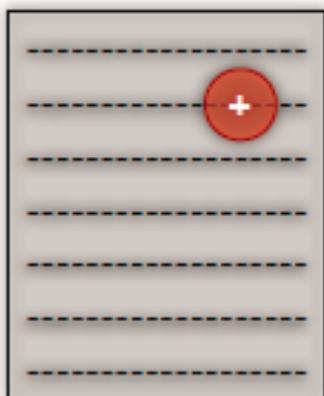
- No test data can distinguish between the two

We only want to kill the non-equivalent mutants, else:

Reaching 100% mutation score would be impossible



$$\text{Mutation Score} = \frac{\text{Killed Mutants}}{\text{Total Mutants} - \text{Equivalent Mutants}}$$



*What is the mutation score of test suite T?*

```
int foo(int x, y){  
    return (x-y);  
}
```

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

M3

```
int foo(int x, y){  
    return (0-y);  
}
```

Test (t)	foo(t)	M1(t)	M2(t)	M3(t)
t1<x=1,y=0>	1	1	1	0
t2<x=-1,y=0>	-1	-1	-1	0
		Live	Live	Killed

*What is the mutation score of test suite T?*

$$\frac{1}{3 - 0} = 0.33$$

```
int foo(int x, y){  
    return (x-y);  
}
```

M1

```
int foo(int x, y){  
    return (x+y);  
}
```

M2

```
int foo(int x, y){  
    return (x-0);  
}
```

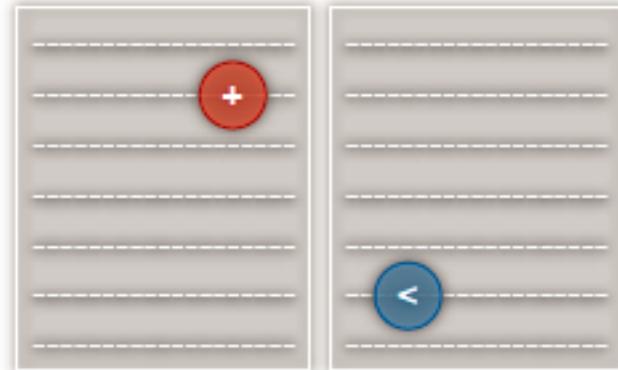
M3

```
int foo(int x, y){  
    return (0-y);  
}
```

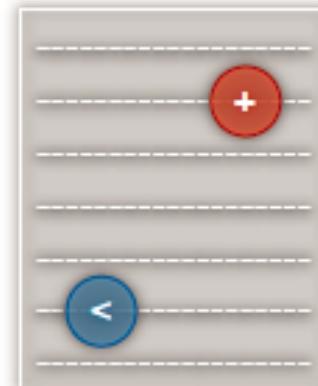
Test (t)	foo(t)	M1(t)	M2(t)	M3(t)
t1<x=1,y=0>	1	1	1	0
t2<x=-1,y=0>	-1	-1	-1	0
		Live	Live	Killed

# Order of mutants

- First order mutant (FOM)
  - Exactly one mutation



- Higher order mutant (HOM)
  - Mutant of mutant



# Coupling Effect

Test data that detects all programs differing from a correct one by only simple errors is so sensitive that it also implicitly distinguishes more complex errors.

Coupling Effect + Competent Programmer



Focus on First Order Mutants

# Performance Problems

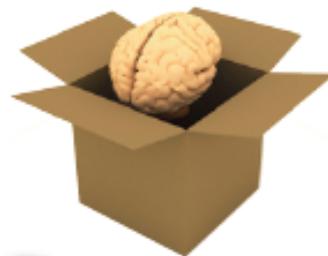
- Many mutation operators possible
  - Each mutation operator results in many mutants
- Each test case needs to be executed against every mutant



# Improvements



**Do fewer**



**Do smarter**



**Do faster**

- Mutant sampling
- Selective mutation

- Weak mutation
- Use coverage
- Impact

- Mutate bytecode
- Parallelize

# Selective Mutation

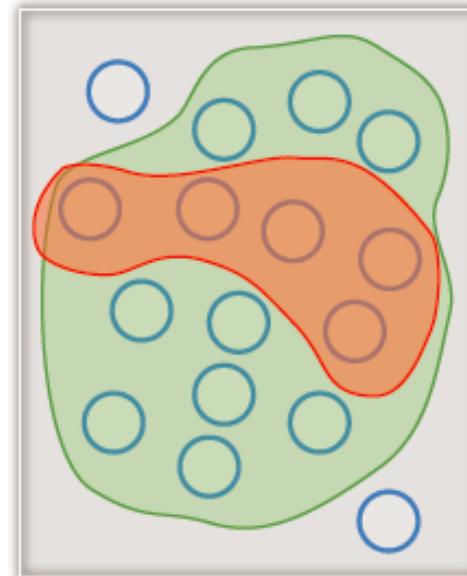
- Use only a subset of mutation operators instead of all operators

**Full Set:**

Test cases that kill all mutants

**Sufficient Subset:**

Test cases that kill these mutants will kill all mutants

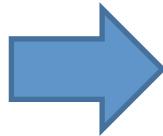


# Strong vs. Weak Mutation

- Strong mutation
  - Mutation has propagated to some observable behavior
- Weak mutation
  - Mutation has affected state (infection)
  - Compare internal state after mutation
  - Easier to kill: Less analysis
  - Does not guarantee propagation

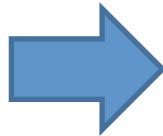


```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```



```
int Min (int A, int B)
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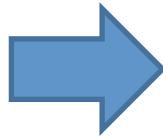
```
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    {
        minVal = B;
    }
    return (minVal);
}
```

Reachability : ?

Infection : ?

Propagation: ?

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```



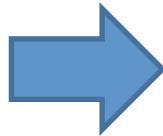
```
int Min (int A, int B)
{
    int minVal;
    minVal = B;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

Reachability : true

Infection : ?

Propagation: ?

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```



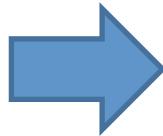
```
int Min (int A, int B)
{
    int minVal;
    minVal = B;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

Reachability : true

Infection :  $A \neq B$

Propagation: ?

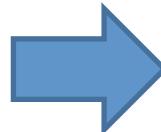
```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
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```



```
int Min (int A, int B)
{
    int minVal;
    minVal = B;
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    {
        minVal = B;
    }
    return (minVal);
}
```

Reachability : true  
Infection :  $A \neq B$   
Propagation:  $(B < A) = \text{false}$

```
int Min (int A, int B)
{
    int minVal;
    minVal = A;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```



```
int Min (int A, int B)
{
    int minVal;
    minVal = B;
    if (B < A)
    {
        minVal = B;
    }
    return (minVal);
}
```

Reachability : true  
Infection :  $A \neq B$   
Propagation:  $(B < A) = \text{false}$



$(A = 5, B = 3)$  will weakly kill the mutant but not strongly!

# Available Mutation Testing Tools

- Mutandis (JavaScript)
- MutateMe (PHP)
- CSAW (C)
- Java
  - PIT (<http://pitest.org/quickstart/mutators/>)
  - MuJava (Java)
    - Class level and method level mutation operators
  - Javalanche (Java)
    - Invariant and impact analysis
  - MuClipse (Java)
    - Weak mutation, Eclipse plug-in

# Mutandis: JavaScript Mutation Testing Tool

- Developed at SALT lab @ UBC
- JavaScript specific mutation operators
  - <https://github.com/saltlab/mutandis/>
- Leverages static and dynamic program analysis
- Guided mutation generation
  - Error-prone parts of the code
  - Likely to influence the program's output



# Mutation Testing in Summary

## Pros:

- Great degree of automation
- Providing an interactive test environment
  - Tester can locate and remove errors

# Mutation Testing in Summary

## Pros:

- Great degree of automation
- Providing an interactive test environment
  - Tester can locate and remove errors

## Cons:

- Large computation resources (time and space)
- Human cost of examining large numbers of mutants for possible equivalence

# Questions?