

- ↳ An operating sys^m is a prog^m that manages the computer hardware and provides a basis for application progs^m, it acts as an intermediary b/w computer hardware and computer user.
- Goals: ① convenience ② efficiency of task.
- Objectives: ③ ability to evolve

- Functions of os:
 - ① Memory management
 - ② Device management
 - ③ Processor management
 - ④ Security
 - ⑤ Error detection
 - ⑥ Co-ordination b/w the software & user.
 - ⑦ Job Scheduling
 - ⑧ File management

- ① Job scheduling (CPU scheduling):
If several jobs are ready to be brought to main memory and if there is not enough space in MM then the system must choose among them. This task is called Job scheduling.
- CPU scheduling: If several jobs are ready to run at the same time the sys^m must choose among them.

- ⇒ User View | System View:

AOS

7-state process model

→ while executing a process, it changes its states.

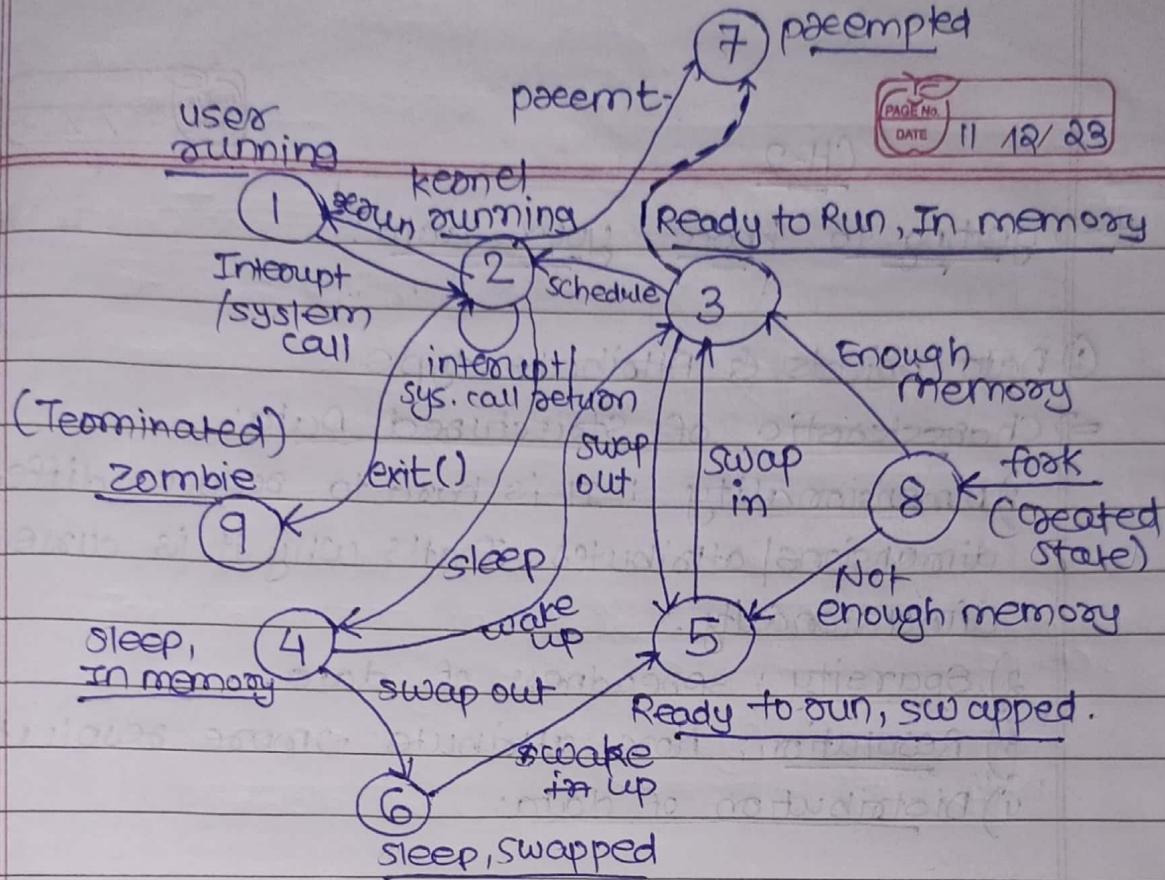
- ① New: a process is being created.
- ② Ready: process is loaded in the main memory and waiting to be assigned to a processor
- ③ Running: Instructions of the process are being executed.
- ④ Terminated: process finished its execution.
- ⑤ Waiting: When a process is waiting for some event or i/p, o/p device to be completed then process is called in waiting state.

⑥ Suspended-wait: when the i/p-o/p device is not available, the process gets suspended and goes to the suspended-wait state. And when i/p o/p device is available, the process gets resumed and goes to waiting state.

⑦ Suspended-ready: when the enough space is not available in MM, it goes to susp-ready state. And whenever the memory gets available the process will get resumed and go to the Ready state again.

⇒ Process: It is a program in execution and a program is a piece of code. A process includes process stack and data section.

↓ ↓
 temp vars, local vars, global vars.
 return values



⇒ 9-state system:

- ① user running: process is running in user mode.
- ② kernel running: process is "in line" in kernel.
- ③ Ready to Run, In memory: process is not executing but ready to run as soon as the kernel schedules it.
- ④ Sleep, In memory: process is sleeping but it is in MM.
- ⑤ Ready to run, Swapped: a process is ready to run but the swapper must swap the process into NM before the kernel can schedule it for execution.
- ⑥ Sleep, Swapped: The process is sleeping and swapper has swapped the process to Secondary Memory to make space for the execution of other processes in NM.
- ⑦ preempted: kernel preempts a running process for allocation of another process. While the 1st process is moving from kernel mode to user mode.
- ⑧ fork created state: a new process is created and

it is not running.

⑨ Zombie: A process has been executed and exit system call has been enabled.

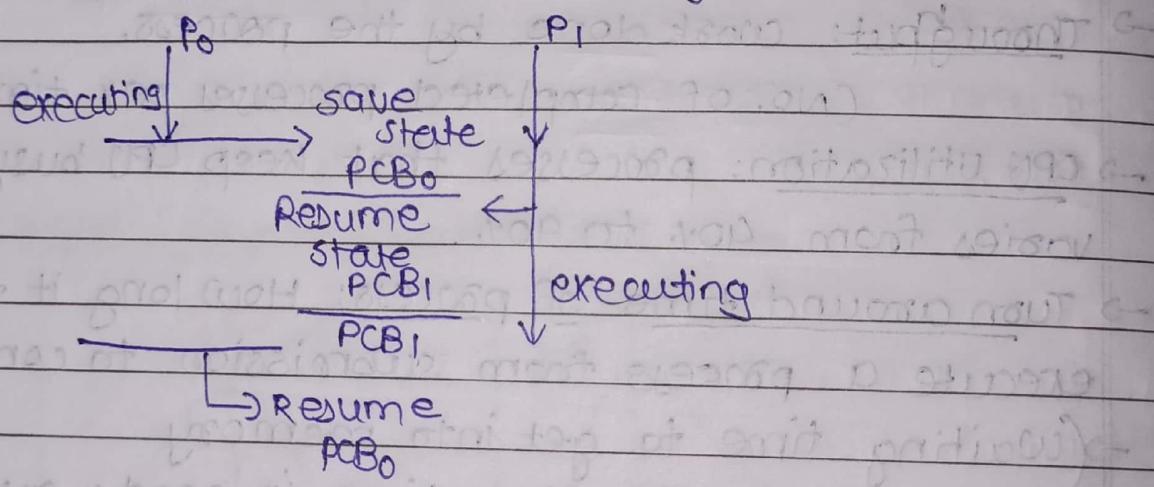
AOS

process control block:

process ID
process state
Program counter (PC)
Registers
memory limits
list of open files
...

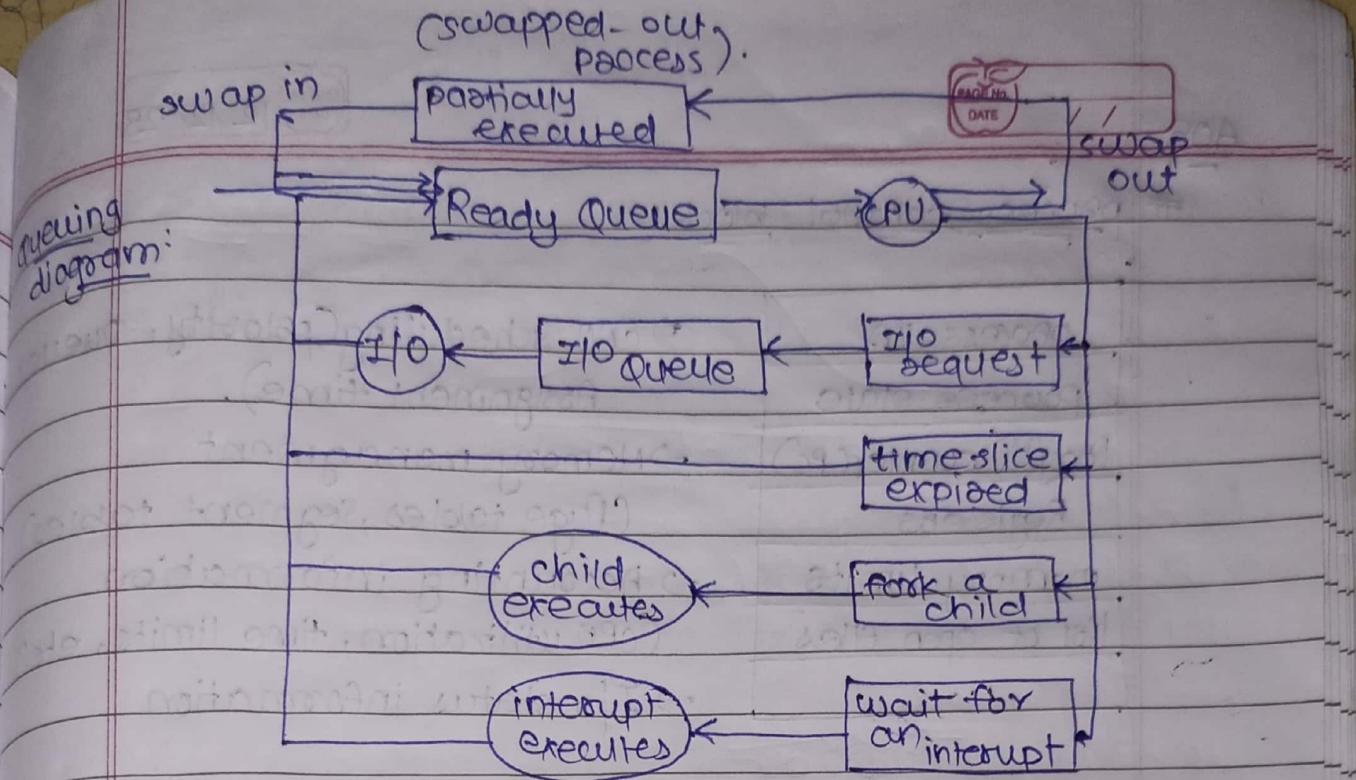
- CPU scheduling (Priority, Queue Assignment time).
- Memory management (Page tables, Segment tables)
- Accounting information (CPU utilization, time limits, etc.)
- I/O status information

→ switching b/w processes: (diagram).



⇒ Multiprogramming & time sharing:

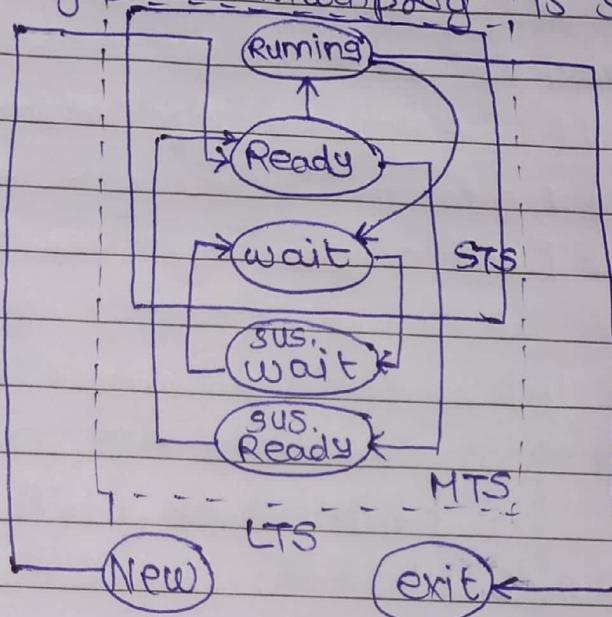
- Job queue: It will contain all the processes in the system.
- Ready queue: processes which are ready and waiting for the execn.
- Device queue: processes which are waiting for I/O devices.



- Throughput: work done by the process.
(No. of completed processes per time unit).
- CPU utilization: processes that keep CPU busy. It varies from 40% to 90%.
- T turnaround time of process: How long it takes to execute a process from submission to completion
- Waiting time to get into memory
 - + Waiting time in ready queue
 - + execution time in CPU
 - + I/O e) = Turnaround time.
- Waiting time: sum of periods spent in the ready queue and time it takes for the I/O.
- Response time: Time from submission of process to first response produced.

→ Scheduler → long-term → maintains degree of multiprogramming.
 → short-term → decides the processes brought to the ready state.
 → medium-term → most preferred.

- Avg. age of process = Avg. departure rate of creation process leaving the system
 means, degree of multiprog^m is stable.



⇒ Levels of Scheduler.

* First comes first serves: (FCFS) \Rightarrow (Non-preemptive).

<u>eg.</u>	<u>process</u>	<u>burst time</u>	<u>arrival time</u>
	P ₁	4	2
	P ₂	3	1
	P ₃	3	0

* \Rightarrow [AWT (Avg. waiting time) = Turn Around Time - completion time - arrival time]

* \Rightarrow [ATT (Avg. turnaround time) = completion time - arrival time]

→ Gantt chart:

	P ₁	P ₂	P ₃
0	24	27	30

→ $ATAT_{P_1}$ = 24 - 0
(Arr. time around time)

$$= 24 - 0$$

$$= 24 \text{ sec}$$

without

considering arrival time.

$ATAT_{P_2}$ =

⇒ Waiting time P_1 = 20

$$WTP_{P_2} = 24$$

$$\text{Avg. WIT} = \frac{24 + 27 + 0}{3}$$

$$WTP_{P_3} = 27$$

⇒ considering arrival time:-

Gantt chart:

	P ₃	P ₂	P ₁
0	1	2	3

6 30

$$\left. \begin{array}{l} \{WTP_3 = 0, WTP_2 = 3, WTP_1 = 6\} \\ \text{Avg. WIT} = \frac{0+3+6}{3} = 3. \end{array} \right\}$$

$$ATTP_{P_3} = 3 - 0 = 3$$

$$ATTP_1 = 30 - 2 = 28$$

$$ATTP_2 = 6 - 1 = 5$$

$$WTP_3 = 0, WTP_2 = 3 - 1 = 2$$

$$\text{Avg. WIT} = \frac{4+2}{3} = 2$$

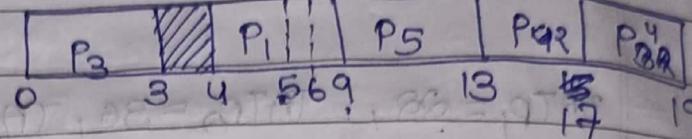
$$WTP_1 = 6 - 2 = 4$$

→ convoy effect: huge difference b/w avg. waiting time considering and without considering the arrival time.

→ Whenever longer process is executing, shorter process needs to wait, which degrades the CPU.

AOSe.g.

A.T. B.T.

P₁ 4 5P₂ 6 4P₃ 0 3P₄ 6 2P₅ 5 4⇒ Gantt chart:Note:when two processes have same AT,
then give priority to lower process id

$$\Rightarrow ATT_{P_1} = 9 - 4 = 5 \quad ATT_{P_4} = 19 - 6 = 13 \quad Avg. = \frac{40}{5} = 8$$

$$ATT_{P_2} = 17 - 6 = 11 \quad ATT_{P_5} = 18 - 5 = 13$$

$$ATT_{P_3} = 3 - 0 = 3$$

$$\Rightarrow CCT_{P_1} = 0 \quad CCT_{P_2} = 18 - 13 = 5 \quad CCT_{P_3} = 18 - 13 = 5$$

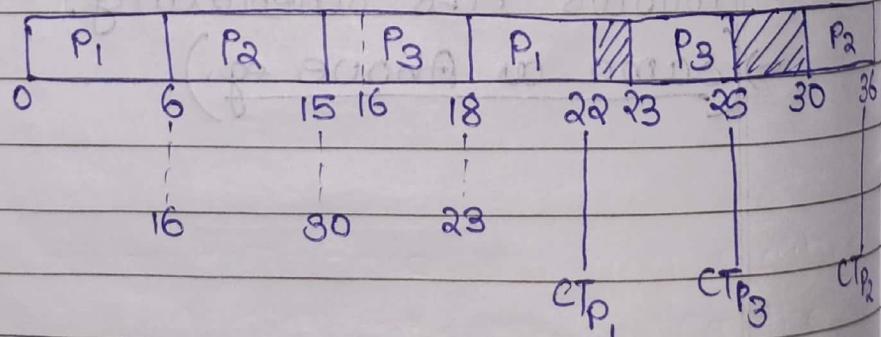
$$CCT_{P_4} = 0 \quad CCT_{P_5} = 17 - 6 = 11$$

$$CCT_{P_3} = 9 - 5 = 4$$

$$ACWT = 9 + 4 + 7 = [4] \quad (RT = ST - AT)$$

			start time	response time
			18	5

eg.	A.T.	B.T.	CPU	IO-BT.	CPU-BT	ST	CT	TAT	WT	RT
P ₁	0	6	4	10	4	0	22	22	22	0
P ₂	0	9	6	15	6	6	26	36	36	6
P ₃	0	3	5	20	15	18	25	25	25	15

Gantt chart:

$$\rightarrow AWT = TAT - CPU_BT - IO_BT$$

$$TAT = CT - AT$$

$$TAT_{P_1} = 22, TAT_{P_2} = 36, TAT_{P_3} = 26$$

$$[ATAT = 27.667]$$

$$[AWT = 7.667].$$

* \Rightarrow CPU Utilization = $\frac{\text{total time} - \text{idle time}}{\text{total time}}$

$$= \frac{36 - 6}{36} = \frac{30}{36} = \frac{5}{6} \times 100 \\ = 83.33\%$$

* \Rightarrow Throughput = $\frac{\text{process no.}}{\text{total time}}$

$$[Max(CT) - Min(AT)]$$

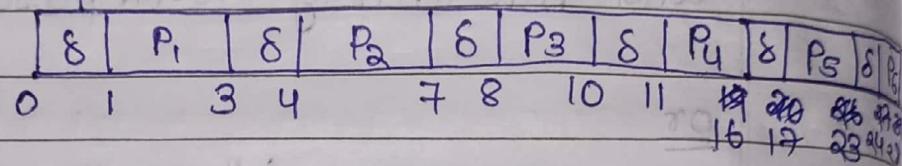
$$= \frac{36}{36} = 8.33\% = 0.0833$$

e.g. 8 processes P_1, P_2, P_3 with process time 20, 30, 10 ms respectively. Each process uses the first 30% of its process time in CPU, then 50% in IO and last 20% in CPU. find AWT, ATAT, RT if the system follows FCFS scheduling.

\Rightarrow (Same as Above e.g.)

	<u>AT.</u>	<u>BT.</u>	<u>FCFS</u> ⇒ with 1 unit CPU overhead time, whenever process is switched.
P ₁	0	2	
P ₂	1	3	
P ₃	2	2	
P ₄	3	5	
P ₅	4	6	can be considered as CPU ideal time.
P ₆	5	4	↑ overhead time

→ Gantt chart:



SJF

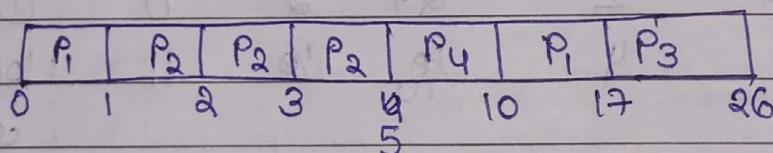
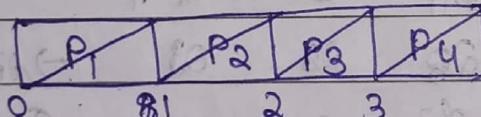
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<u>AOS</u>	<u>BT</u>	<u>CT</u>	<u>TAT</u>	<u>(non preemptive)</u>
<u>eg</u> \Rightarrow	P ₁ 6	3	6	
	P ₂ 8	16	0	P ₄ P ₁ P ₃ P ₂
	P ₃ 7	9	3	9
	P ₄ 3	0	16	16 24

$$AWT = \frac{3+16+9}{4} = 7$$

eg * preemptive SJF: (SRTF - Shortest Remaining Time First)

	<u>AT</u>	<u>BT</u>	<u>CT</u>	<u>TAT</u>	<u>WT</u>
P ₁	0	8-1=7	17	17	9
P ₂	1	4-1=3	5	4	0
P ₃	2	9-8=1	26	24	15
P ₄	3	5	10	7	2



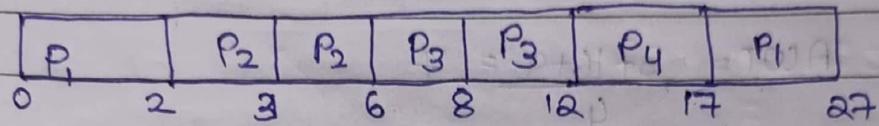
WT = TAT - Total time of execution $\leq BT$.

TAT = CT - AT

$$AWT = \frac{9+15+2}{4} = \frac{26}{4} = 6.5$$

$$ATAT = \frac{81+24+7}{4} = \frac{52}{4} = 13.$$

	SJF	AT	BT	TAT	CT	OT
eg.	P ₁	0	10	27	27	15
	P ₂	2	4	8	6	2
	P ₃	3	4	9	12	3
	P ₄	8	5	9	17	4



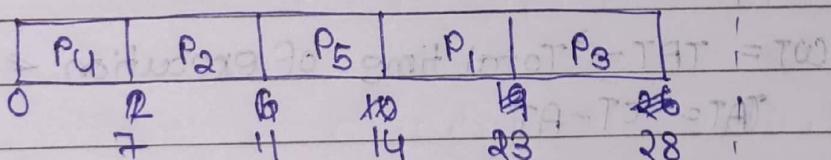
$$AVGOT = \frac{15+3+4}{4} = \frac{22}{4} = 5.5$$

$$AVAT = \frac{27+4+18}{4} = \frac{49}{4} = 12.25$$

Priority Scheduling: (lesses the no. \rightarrow higher the priority) \Rightarrow if 2 proc's have same priority then FCFS.

eg.	B.T.	Priority	CT	TAT	OT
	P ₁	9	5	19	14
	P ₂	4	3	6	2
	P ₃	5	7	26	23
	P ₄	7	2	27	0
	P ₅	3	4	10	11

↑ disadvantages:
① processes with lower priority will starve.



$$AVOT = \frac{14+7+23+11}{5} = \frac{55}{5} = 11$$

$$AVAT = \frac{23+11+7+14+28}{5} = \frac{83}{5} = 16.6$$

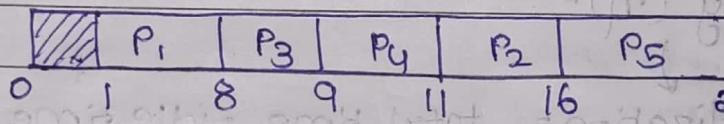
* Shortest Job First (SJF): [used in batch system when used for Benchmarking.
LTS is used.]

→ Criteria: Burst Time(BT)

→ Non-preemption mode.

<u>ID</u>	<u>AT</u>	<u>BT</u>	<u>CT</u>	<u>TAT</u>	<u>WT</u>
P ₁	1	7	8	7	0
P ₂	2	5	16	14	9
P ₃	3	1	9	6	5
P ₄	4	2	11	7	5
P ₅	5	8	24	19	8 = TAT - 11

⇒



At 8th second, these will be all processes arrived from them, process with less / smallest BT. will be executed first.

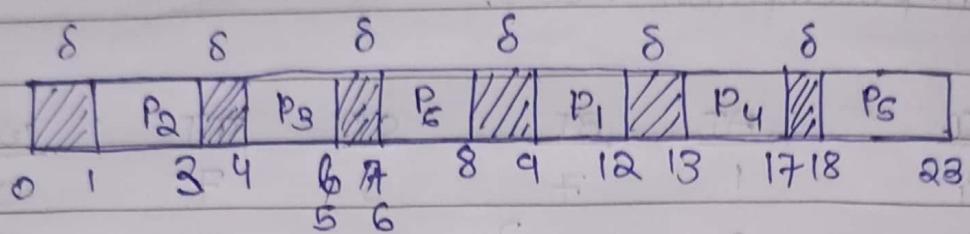
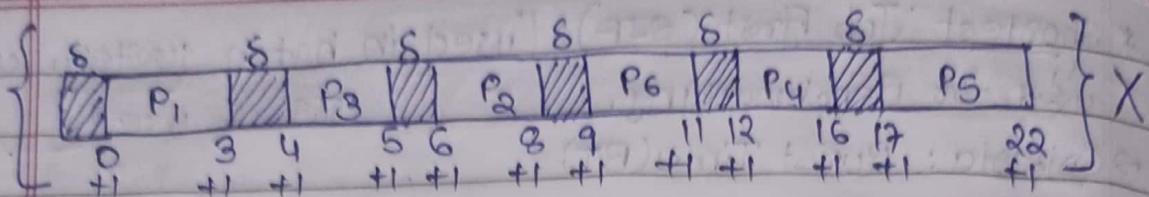
$$ATAT = 10.6, AWT = 6.$$

⇒ Advantages: ① Max^m throughput. ② Min^m W.T.

⇒ disadvantages: ① computing BT is nearly impossible.
② Longest Jobs will starve.

<u>ID</u>	<u>AT</u>	<u>BT</u>	<u>CT</u>	<u>TAT</u>	<u>WT</u>
P ₁	0	3	12	12	9
P ₂	1	2	3	2	0
P ₃	2	1	5	3	2
P ₄	3	4	17	14	10
P ₅	4	5	23	19	14
P ₆	5	2	8	3	5

with 1 sec overhead of CPU.



$$AWT = 6$$

$$ATAT = 8.83$$

efficiency (η) =

CPU utilization = $\frac{\text{total time}}{\text{idle time}}$

$$\text{CPU utilization} = \frac{23 - 6}{23} = \frac{17}{23} \times 100\% \\ = [73.9\%]$$

$$\text{throughput} = \frac{\text{no. of processes}}{\max(CT) - \min(AT)} = \frac{6}{23 - 0} = \frac{6}{23}$$

$$= [26.1\%]$$

* \Rightarrow static Methods: (to calculate BT):

\Rightarrow based on Process type

\Rightarrow based on Process size.

\Rightarrow Dynamic Methods:

\Rightarrow based on past experience with the process and predict the future of the process.

① simple averaging ② exponential averaging.

→ simple averaging: It is avg. of all the processes executed till now. and based on that we'll predict.

e.g. 100 ms, 250 ms, 200 ms, 10 ms.

$$\text{avg} = \frac{100 + 250 + 200 + 10}{4} = 140. \text{ms.}$$

$$T_{n+1} = \frac{1}{n} \sum_{i=1}^n t_i$$

→ exponential averaging:

$$* \left[T_{n+1} = \alpha t_n + (1-\alpha) T_n \right]^*$$

; T_{n+1} = Predicted BT. for P_{n+1} process.

t_n = actual BT. of P_n process.

T_n = predicted BT. of P_n process.

α = smoothing factor.

Q. Calculate the predicted BT. using exponential avg^{ng}.
 for 5th process, if the predicted BT. for 1st process
 is 10 and actual BT. of first four processes
 are $4, 8, 6, 7$ resp. ($\alpha = 0.5$)

⇒

$$T_2 = \frac{1}{2}(4) + \frac{1}{2}(10) = 7$$

$$T_3 = \frac{1}{2}(8) + \frac{1}{2}(7) = 7.5$$

$$T_4 = \frac{1}{2}(6) + \frac{1}{2}(7.5) = 3 + 3.75 = 6.75$$

$$T_5 = \frac{1}{2}(7) + \frac{1}{2}(6.75) = 3.5 + 3.375 = 6.875 \text{ Ans.}$$