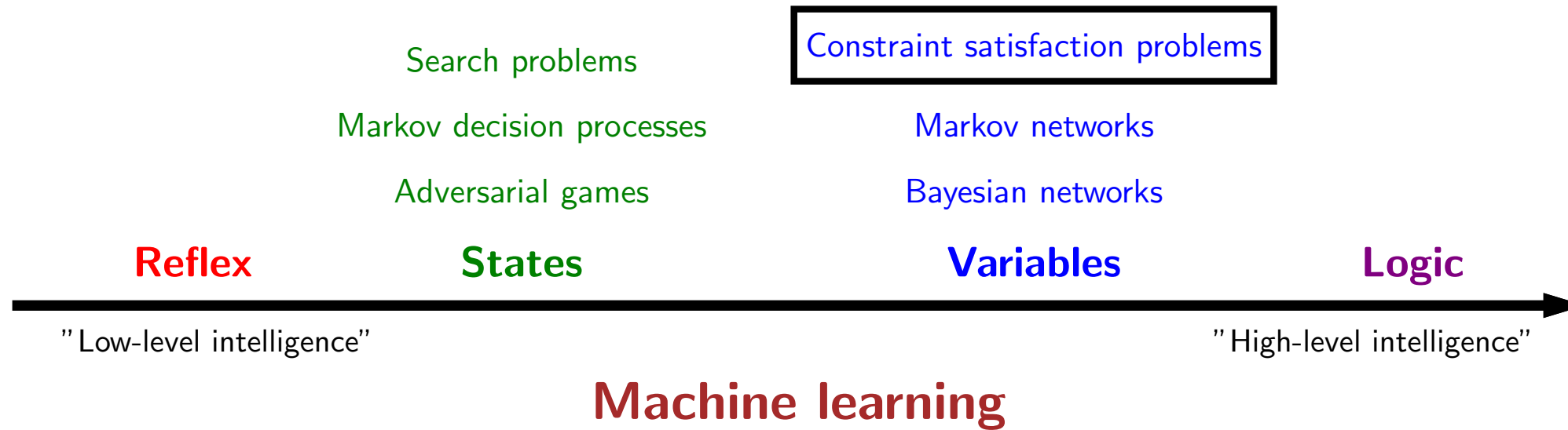




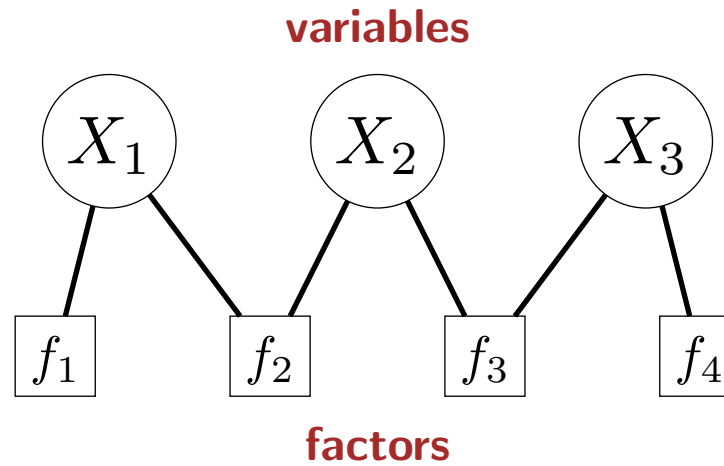
# CSPs: overview



# Course plan



# Factor graphs



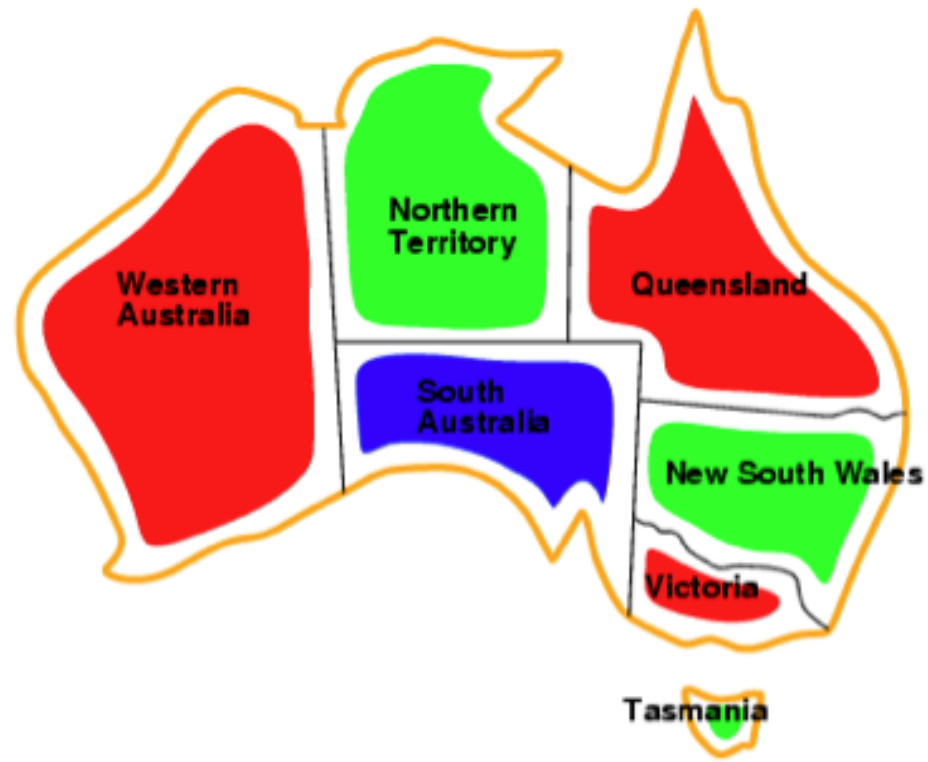
**Objective:** find the best assignment of values to the variables

# Map coloring

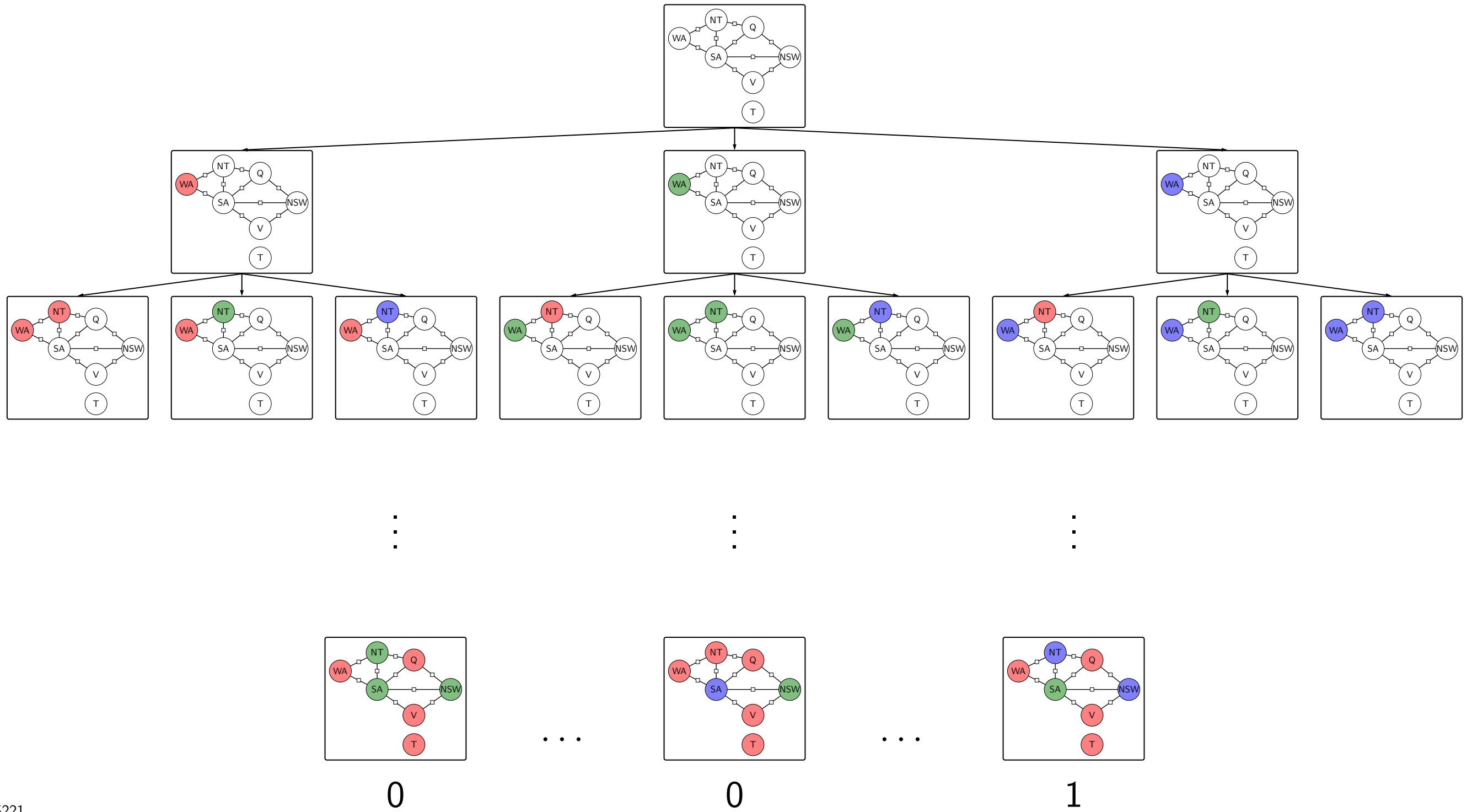


**Question:** how can we color each of the 7 provinces {red, green, blue} so that no two neighboring provinces have the same color?

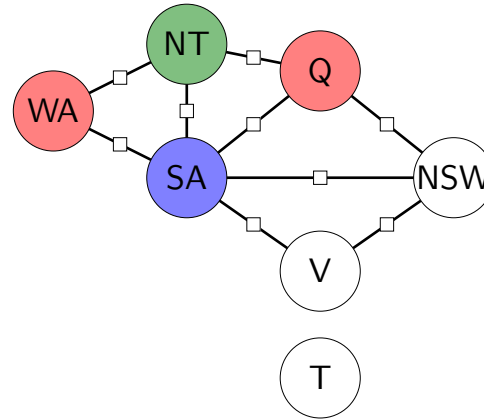
# Map coloring



(one possible solution)



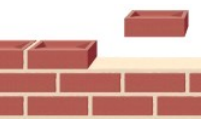
# As a search problem



- **State:** partial assignment of colors to provinces
- **Action:** assign next uncolored province a compatible color

What's missing? There's more problem structure!

- Variable ordering doesn't affect correctness, can optimize
- Variables are interdependent in a local way, can decompose



# Variable-based models

## Special cases:

- Constraint satisfaction problems
- Markov networks
- Bayesian networks



### Key idea: variables

- Solutions to problems  $\Rightarrow$  assignments to variables (**modeling**).
- Decisions about variable ordering, etc. chosen by **inference**.

Higher-level modeling language than state-based models



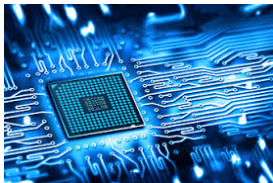
# Applications



**Delivery/routing:** how to assign packages to trucks to deliver to customers



**Sports scheduling:** when to schedule pairs of teams to minimize travel



**Formal verification:** ensure circuit/program works on all inputs

# Roadmap

## Modeling

Definitions

Examples

## Backtracking (exact) search

Dynamic ordering

Arc consistency

## Approximate search

Beam search

Local search






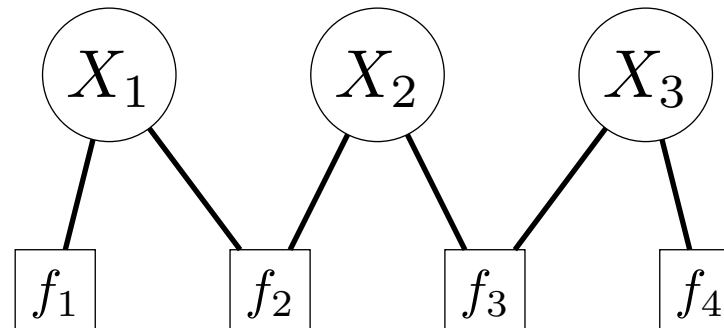
# CSPs: definitions

A 9x9 grid representing a Sudoku puzzle, drawn on a chalkboard. The grid is divided into 3x3 sub-grids. The numbers are written in white chalk on a dark green background.

2			5		1		9	
	5			3				6
	6		4					
						1	3	7
		6				9		
5	9	3						
					4		8	
8				5			2	
	1		7	8				4

# Factor graph example: voting

*definitely blue*  B or R?    
 *must agree*  B or R?    
 *tend to agree*  B or R?    
 *leaning red*



$x_1$	$f_1(x_1)$
R	0
B	1

$x_1$	$x_2$	$f_2(x_1, x_2)$
R	R	1
R	B	0
B	R	0
B	B	1

$x_2$	$x_3$	$f_3(x_2, x_3)$
R	R	3
R	B	2
B	R	2
B	B	3

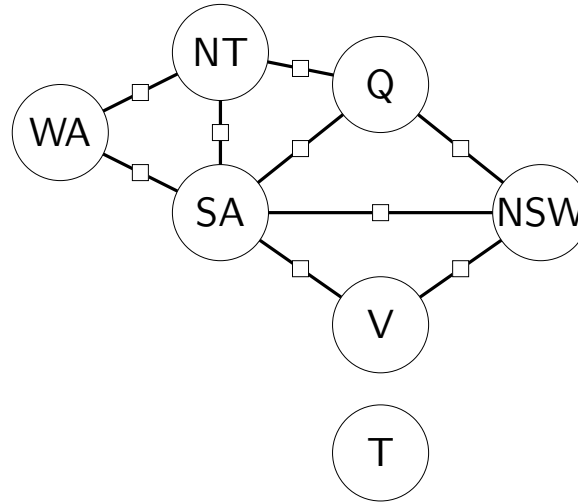
$x_3$	$f_4(x_3)$
R	2
B	1

$$f_1(x_1) = [x_1 = \text{B}] \quad f_2(x_1, x_2) = [x_1 = x_2] \quad f_3(x_2, x_3) = [x_2 = x_3] + 2 \quad f_4(x_3) = [x_3 = \text{R}] + 1$$

[demo]



## Example: map coloring



Variables:

$$X = (\text{WA}, \text{NT}, \text{SA}, \text{Q}, \text{NSW}, \text{V}, \text{T})$$

$$\text{Domain}_i \in \{\text{R}, \text{G}, \text{B}\}$$

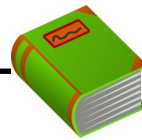
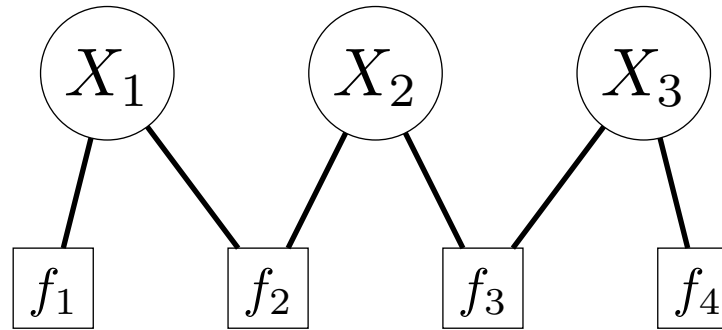
Factors:

$$f_1(X) = [\text{WA} \neq \text{NT}]$$

$$f_2(X) = [\text{NT} \neq \text{Q}]$$

...

# Factor graph



## Definition: factor graph

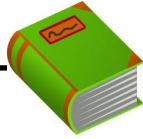
Variables:

$$X = (X_1, \dots, X_n), \text{ where } X_i \in \text{Domain}_i$$

Factors:

$$f_1, \dots, f_m, \text{ with each } f_j(X) \geq 0$$

# Factors



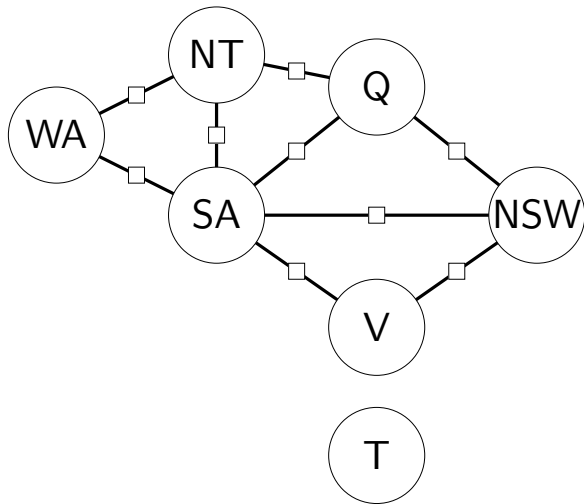
## Definition: scope and arity

**Scope** of a factor  $f_j$ : set of variables it depends on.

**Arity** of  $f_j$  is the number of variables in the scope.

**Unary** factors (arity 1); **Binary** factors (arity 2).

**Constraints** are factors that return 0 or 1.



## Example: map coloring

Scope of  $f_1(X) = [WA \neq NT]$  is  $\{WA, NT\}$   
 $f_1$  is a binary constraint

# Assignment weights example: voting

$x_1$	$f_1(x_1)$
R	0
B	1

$x_1$	$x_2$	$f_2(x_1, x_2)$
R	R	1
R	B	0
B	R	0
B	B	1

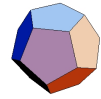
$x_2$	$x_3$	$f_3(x_2, x_3)$
R	R	3
R	B	2
B	R	2
B	B	3

$x_3$	$f_4(x_3)$
R	2
B	1

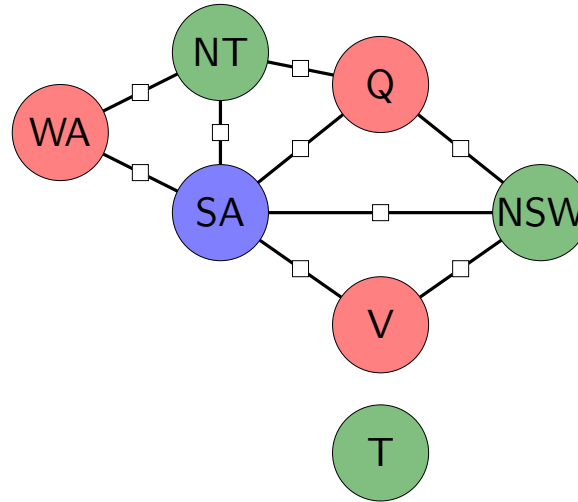
$x_1$	$x_2$	$x_3$	Weight
R	R	R	$0 \cdot 1 \cdot 3 \cdot 2 = 0$
R	R	B	$0 \cdot 1 \cdot 2 \cdot 1 = 0$
R	B	R	$0 \cdot 0 \cdot 2 \cdot 2 = 0$
R	B	B	$0 \cdot 0 \cdot 3 \cdot 1 = 0$
B	R	R	$1 \cdot 0 \cdot 3 \cdot 2 = 0$
B	R	B	$1 \cdot 0 \cdot 2 \cdot 1 = 0$
B	B	R	$1 \cdot 1 \cdot 2 \cdot 2 = 4$
B	B	B	$1 \cdot 1 \cdot 3 \cdot 1 = 3$

[demo]





## Example: map coloring



Assignment:

$$x = \{WA : \text{R}, NT : \text{G}, SA : \text{B}, Q : \text{R}, NSW : \text{G}, V : \text{R}, T : \text{G}\}$$

Weight:

$$\text{Weight}(x) = 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 = 1$$

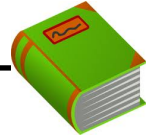
Assignment:

$$x' = \{WA : \text{R}, NT : \text{R}, SA : \text{B}, Q : \text{R}, NSW : \text{G}, V : \text{R}, T : \text{G}\}$$

Weight:

$$\text{Weight}(x') = 0 \cdot 0 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 1 = 0$$

# Assignment weights



## Definition: assignment weight

Each **assignment**  $x = (x_1, \dots, x_n)$  has a **weight**:

$$\text{Weight}(x) = \prod_{j=1}^m f_j(x)$$

An assignment is **consistent** if  $\text{Weight}(x) > 0$ .

**Objective:** find the maximum weight assignment

$$\arg \max_x \text{Weight}(x)$$

A CSP is **satisfiable** if  $\max_x \text{Weight}(x) > 0$ .

# Constraint satisfaction problems

Boolean satisfiability (SAT):

variables are booleans, factors are logical formulas  $[X_1 \vee \neg X_2 \vee X_5]$

Linear programming (LP):

variables are reals, factors are linear inequalities  $[X_2 + 3X_5 \leq 1]$

Integer linear programming (ILP):

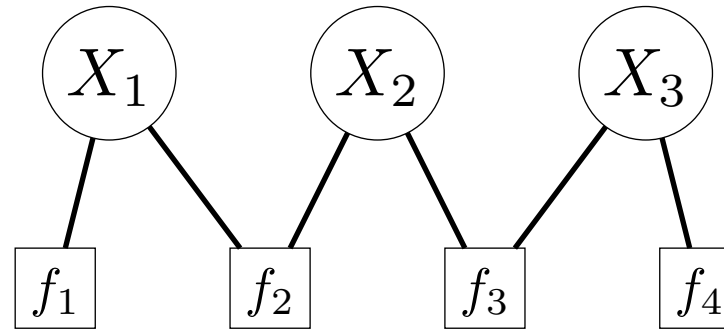
variables are integers, factors are linear inequalities

Mixed integer programming (MIP):

variables are reals and integers, factors are linear inequalities



# Summary



Variables, factors: specify locally

$$\text{Weight}(\{X_1 : \text{B}, X_2 : \text{B}, X_3 : \text{R}\}) = 1 \cdot 1 \cdot 2 \cdot 2 = 4$$

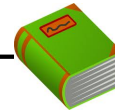
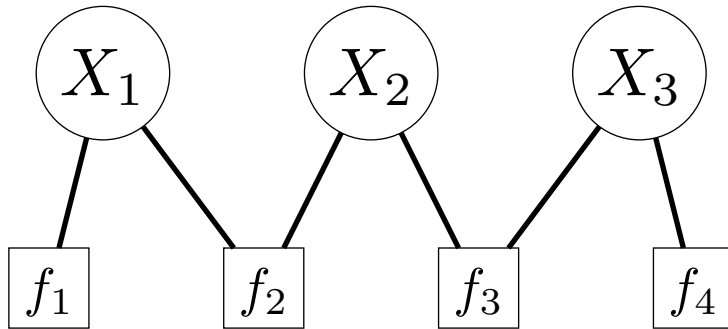
Assignments, weights: optimize globally



# CSPs: dynamic ordering

2			5		1		9	
	5			3				6
	6		4					
						1	3	7
		6				9		
5	9	3						
					4		8	
8				5			2	
	1		7	8				4

# Review: CSPs



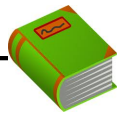
## Definition: factor graph

Variables:

$X = (X_1, \dots, X_n)$ , where  $X_i \in \text{Domain}_i$

Factors:

$f_1, \dots, f_m$ , with each  $f_j(X) \geq 0$



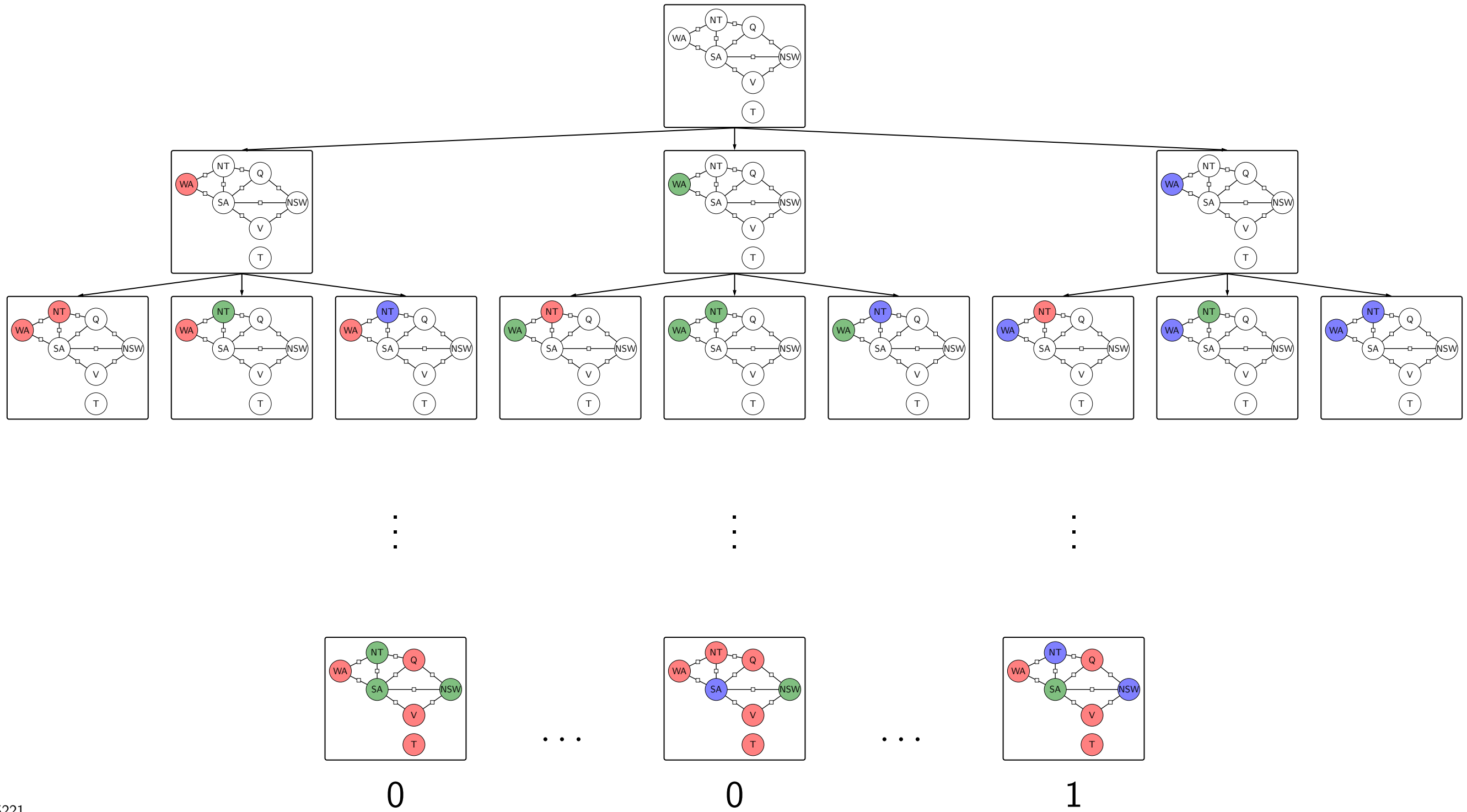
## Definition: assignment weight

Each **assignment**  $x = (x_1, \dots, x_n)$  has a **weight**:

$$\text{Weight}(x) = \prod_{j=1}^m f_j(x)$$

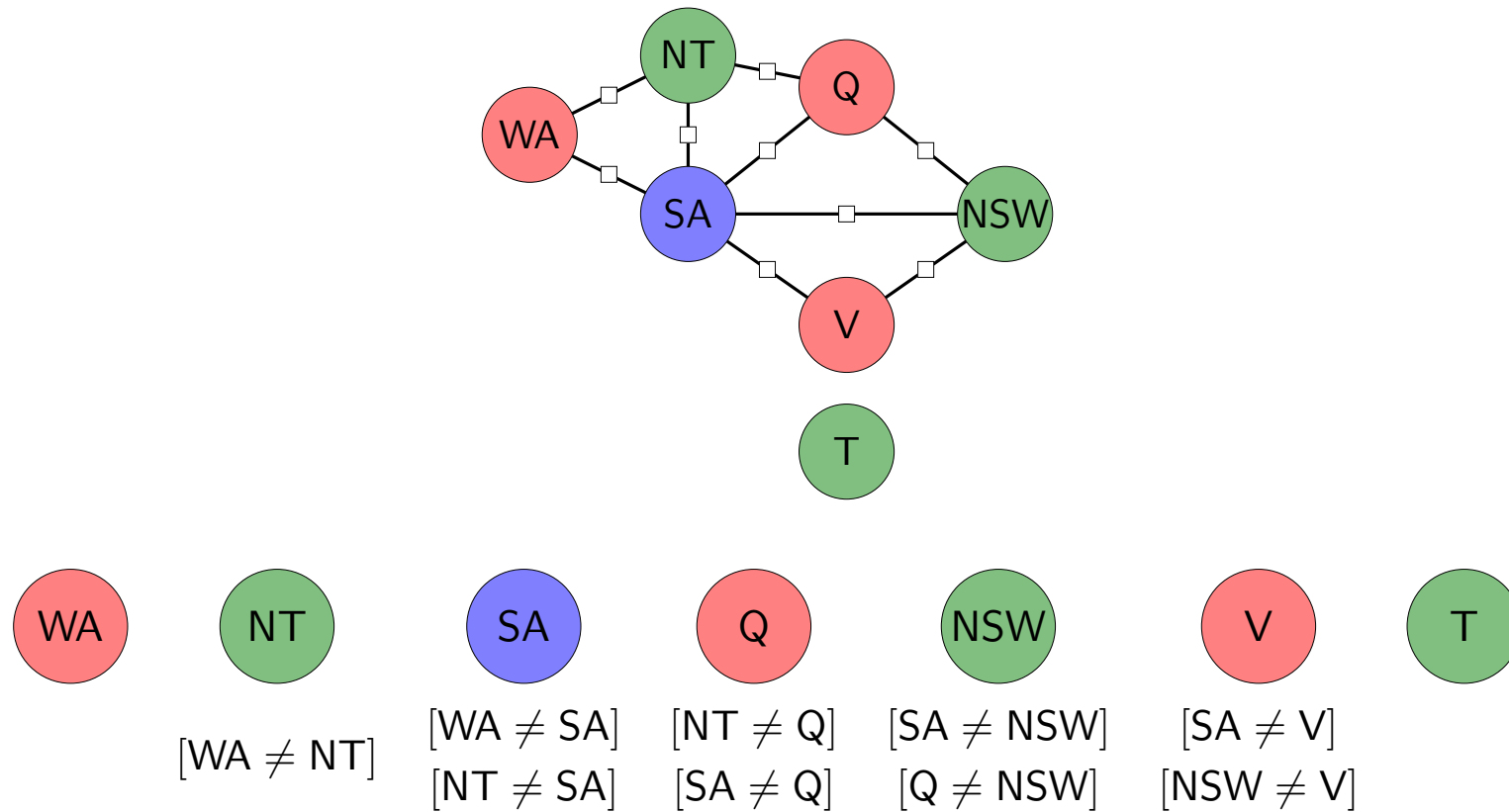
Objective:

$$\arg \max_x \text{Weight}(x)$$



# Partial assignment weights

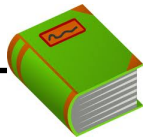
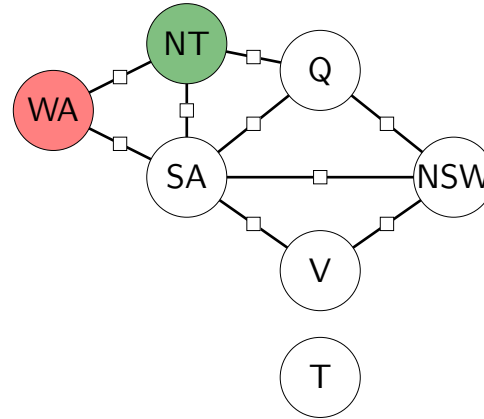
Idea: compute weight of partial assignment as we go





# Dependent factors

- Partial assignment (e.g.,  $x = \{\text{WA} : \text{R}, \text{NT} : \text{G}\}$ )



## Definition: dependent factors

Let  $D(x, X_i)$  be set of factors depending on  $X_i$  and  $x$  but not on unassigned variables.

$$D(\{\text{WA} : \text{R}, \text{NT} : \text{G}\}, \text{SA}) = \{[\text{WA} \neq \text{SA}], [\text{NT} \neq \text{SA}]\}$$

# Backtracking search



## Algorithm: backtracking search

Backtrack( $x, w, \text{Domains}$ ):

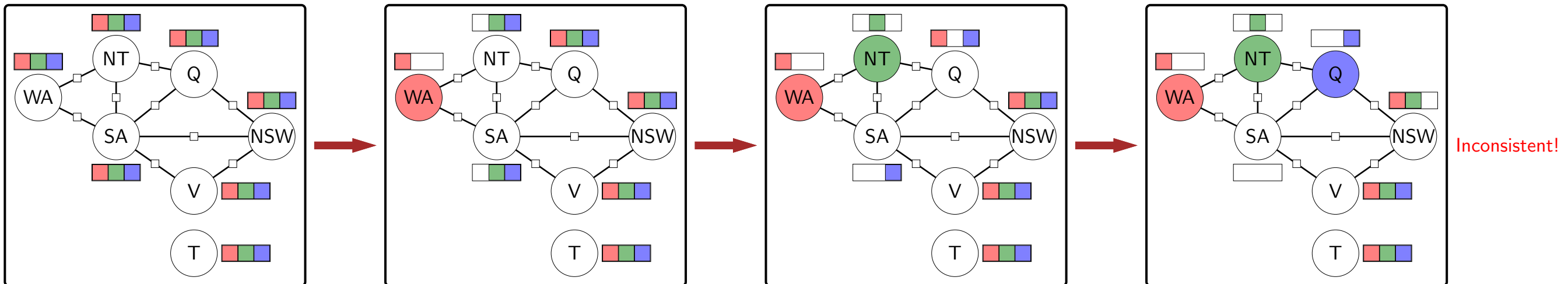
- If  $x$  is complete assignment: update best and return
- Choose unassigned **VARIABLE**  $X_i$
- Order **VALUES**  $\text{Domain}_i$  of chosen  $X_i$
- For each value  $v$  in that order:
  - $\delta \leftarrow \prod_{f_j \in D(x, X_i)} f_j(x \cup \{X_i : v\})$
  - If  $\delta = 0$ : continue
  - $\text{Domains}' \leftarrow \text{Domains}$  via **LOOKAHEAD**
  - If any  $\text{Domains}'_i$  is empty: continue
  - Backtrack( $x \cup \{X_i : v\}, w\delta, \text{Domains}'$ )

# Lookahead: forward checking

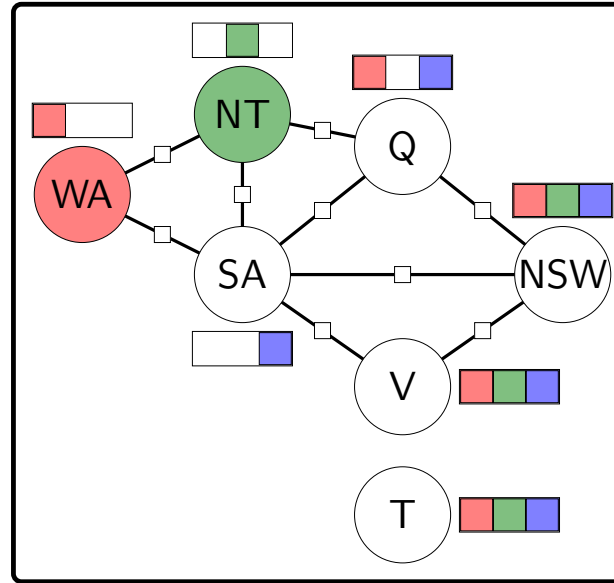


## Key idea: forward checking (one-step lookahead)

- After assigning a variable  $X_i$ , eliminate inconsistent values from the domains of  $X_i$ 's neighbors.
- If any domain becomes empty, return.



# Choosing an unassigned variable



Which variable to assign next?



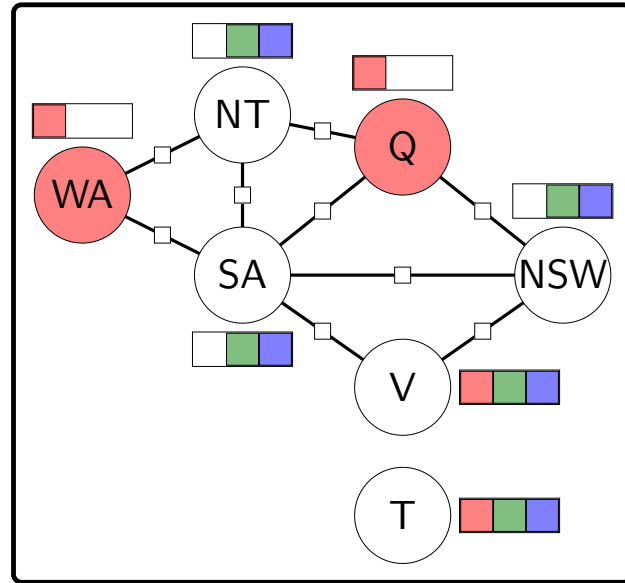
**Key idea: most constrained variable**

Choose variable that has the smallest domain.

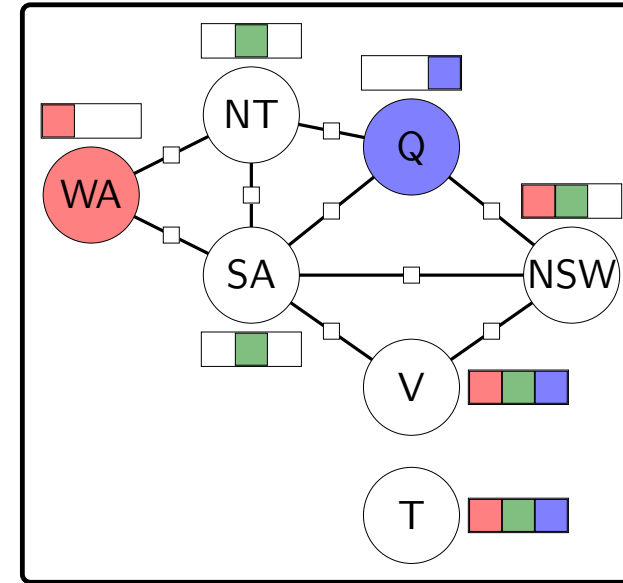
**This example:** SA (has only one value)

# Ordering values of a selected variable

What values to try for Q?



$2 + 2 + 2 = 6$  consistent values



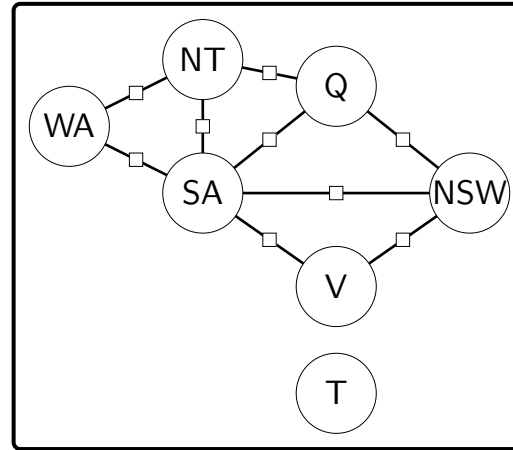
$1 + 1 + 2 = 4$  consistent values



**Key idea: least constrained value**

Order values of selected  $X_i$  by decreasing number of consistent values of neighboring variables.

# When to fail?



Most constrained variable (MCV):

- Must assign **every** variable
- If going to fail, fail early  $\Rightarrow$  more pruning

Least constrained value (LCV):

- Need to choose **some** value
- Choose value that is most likely to lead to solution

# When do these heuristics help?

- **Most constrained variable**: useful when **some** factors are constraints (can prune assignments with weight 0)

$$[x_1 = x_2]$$

$$[x_2 \neq x_3] + 2$$

- **Least constrained value**: useful when **all** factors are constraints (all assignment weights are 1 or 0)

$$[x_1 = x_2]$$

$$[x_2 \neq x_3]$$

- **Forward checking**: needed to prune domains to make heuristics useful!



# Summary



## Algorithm: backtracking search

Backtrack( $x, w, \text{Domains}$ ):

- If  $x$  is complete assignment: update best and return
- Choose unassigned **VARIABLE**  $X_i$  (MCV)
- Order **VALUES**  $\text{Domain}_i$  of chosen  $X_i$  (LCV)
- For each value  $v$  in that order:
  - $\delta \leftarrow \prod_{f_j \in D(x, X_i)} f_j(x \cup \{X_i : v\})$
  - If  $\delta = 0$ : continue
  - $\text{Domains}' \leftarrow \text{Domains}$  via **LOOKAHEAD** (forward checking)
  - If any  $\text{Domains}'_i$  is empty: continue
  - Backtrack( $x \cup \{X_i : v\}, w\delta, \text{Domains}'$ )





# CSPs: arc consistency

2			5		1		9	
	5			3				6
	6		4					
						1	3	7
		6				9		
5	9	3						
					4		8	
8				5			2	
	1		7	8				4

# Review: backtracking search



## Algorithm: backtracking search

Backtrack( $x, w, \text{Domains}$ ):

- If  $x$  is complete assignment: update best and return
- Choose unassigned **VARIABLE**  $X_i$  (MCV)
- Order **VALUES**  $\text{Domain}_i$  of chosen  $X_i$  (LCV)
- For each value  $v$  in that order:
  - $\delta \leftarrow \prod_{f_j \in D(x, X_i)} f_j(x \cup \{X_i : v\})$
  - If  $\delta = 0$ : continue
  - $\text{Domains}' \leftarrow \text{Domains}$  via **LOOKAHEAD** (AC-3)
  - If any  $\text{Domains}'_i$  is empty: continue
  - Backtrack( $x \cup \{X_i : v\}, w\delta, \text{Domains}'$ )

# Arc consistency: example



## Example: numbers

Before enforcing arc consistency on  $X_i$ :

$$X_i \in \text{Domain}_i = \{1, 2, 3, 4, 5\}$$

$$X_j \in \text{Domain}_j = \{1, 2\}$$

$$\text{Factor: } [X_i + X_j = 4]$$

After enforcing arc consistency on  $X_i$ :

$$X_i \in \text{Domain}_i = \{2, 3\}$$

$$X_i \quad 1 \quad 2 \quad 3 \quad 4 \quad 5$$

$$X_j \quad 1 \quad 2$$

# Arc consistency



## Definition: arc consistency

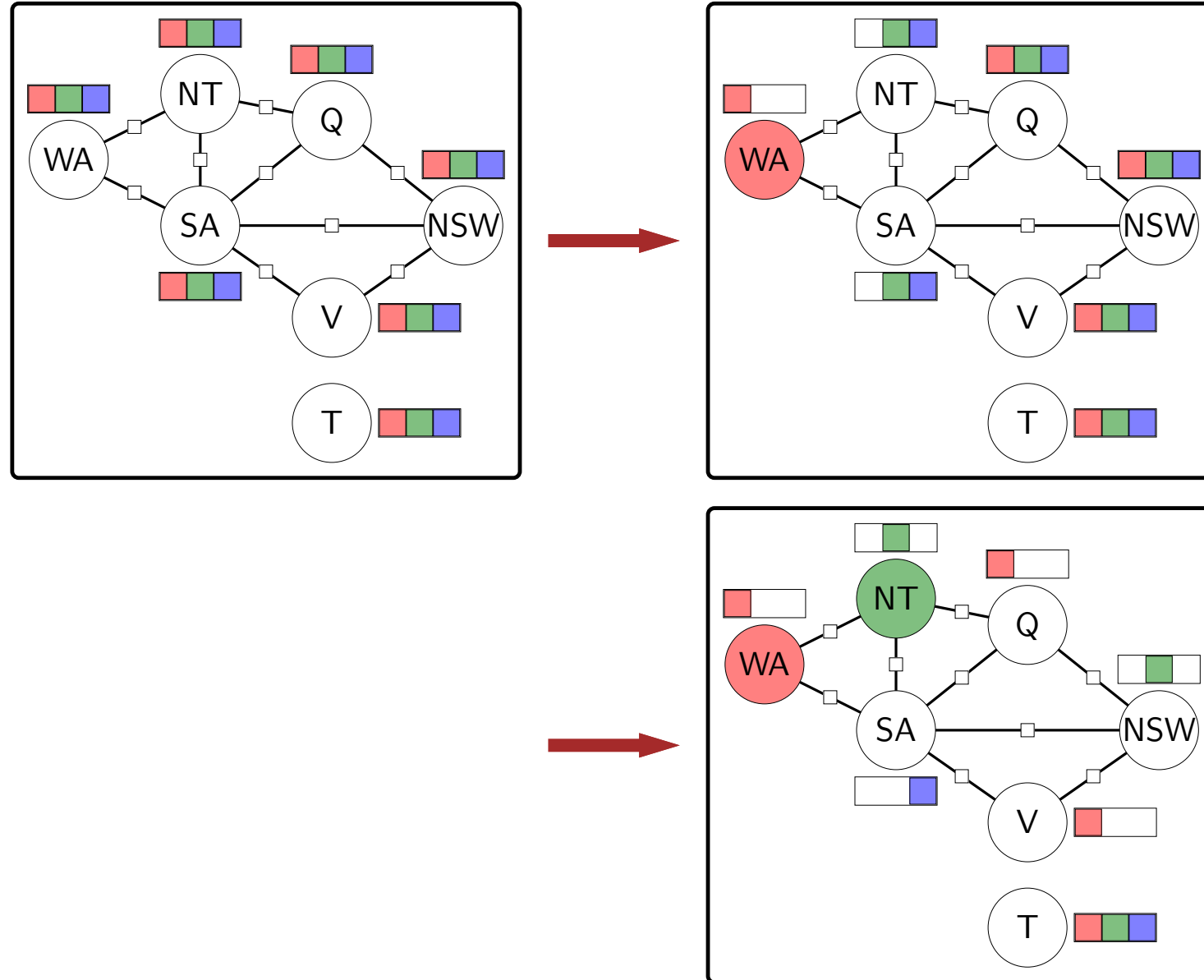
A variable  $X_i$  is **arc consistent** with respect to  $X_j$  if for each  $x_i \in \text{Domain}_i$ , there exists  $x_j \in \text{Domain}_j$  such that  $f(\{X_i : x_i, X_j : x_j\}) \neq 0$  for all factors  $f$  whose scope contains  $X_i$  and  $X_j$ .



## Algorithm: enforce arc consistency

$\text{EnforceArcConsistency}(X_i, X_j)$ : Remove values from  $\text{Domain}_i$  to make  $X_i$  arc consistent with respect to  $X_j$ .

# AC-3 (example)



# AC-3

**Forward checking:** when assign  $X_j : x_j$ , set  $\text{Domain}_j = \{x_j\}$  and enforce arc consistency on all neighbors  $X_i$  with respect to  $X_j$

**AC-3:** repeatedly enforce arc consistency on all variables



## Algorithm: AC-3

$S \leftarrow \{X_j\}$ .

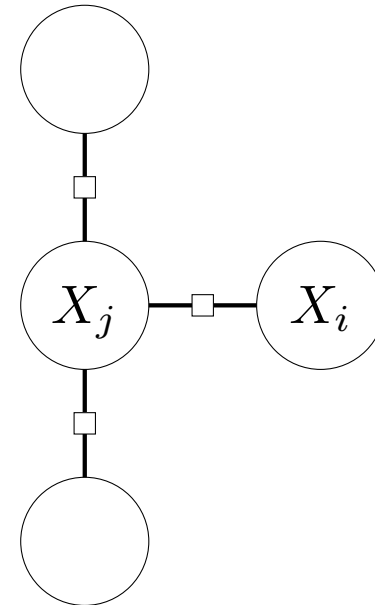
While  $S$  is non-empty:

    Remove any  $X_j$  from  $S$ .

    For all neighbors  $X_i$  of  $X_j$ :

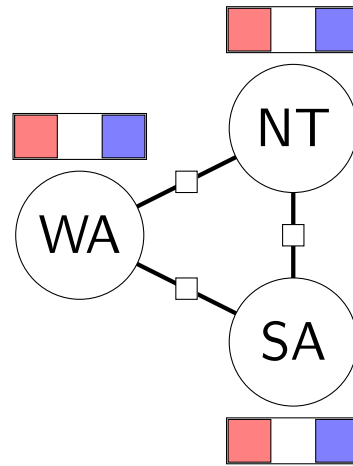
        Enforce arc consistency on  $X_i$  w.r.t.  $X_j$ .

        If  $\text{Domain}_i$  changed, add  $X_i$  to  $S$ .



# Limitations of AC-3

- AC-3 isn't always effective:



- No consistent assignments, but AC-3 doesn't detect a problem!
- **Intuition**: if we look locally at the graph, nothing blatantly wrong...



# Summary

- **Enforcing arc consistency**: make domains consistent with factors
- **Forward checking**: enforces arc consistency on neighbors
- **AC-3**: enforces arc consistency on neighbors and their neighbors, etc.
- Lookahead very important for backtracking search!





# CSPs: examples

2			5		1		9	
	5			3				6
	6		4					
						1	3	7
		6				9		
5	9	3						
					4		8	
8				5			2	
	1		7	8				4



## Example: LSAT question

Three sculptures (A, B, C) are to be exhibited in rooms 1, 2 of an art gallery.

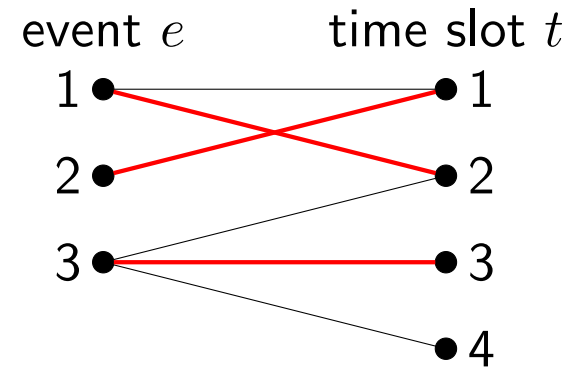
The exhibition must satisfy the following conditions:

- Sculptures A and B cannot be in the same room.
- Sculptures B and C must be in the same room.
- Room 2 can only hold one sculpture.

[demo]



# Example: event scheduling



## Problem: Event scheduling

Have  $E$  events and  $T$  time slots

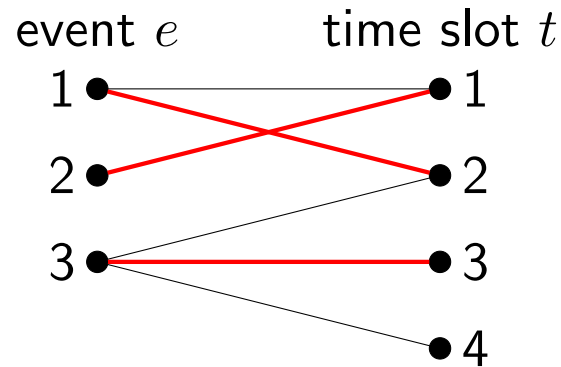
(C1) Each event  $e$  must be put in **exactly one** time slot

(C2) Each time slot  $t$  can have **at most one** event

(C3) Event  $e$  allowed in time slot  $t$  only if  $(e, t) \in A$



# Example: event scheduling (formulation 1)



## Problem: Event scheduling

Have  $E$  events and  $T$  time slots

(C1) Each event  $e$  must be put in **exactly one** time slot

(C2) Each time slot  $t$  can have **at most one** event

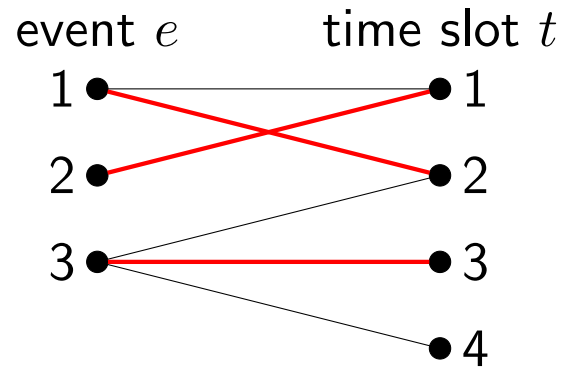
(C3) Event  $e$  allowed in time slot  $t$  only if  $(e, t) \in A$

## CSP formulation 1:

- Variables: for each event  $e$ ,  $X_e \in \{1, \dots, T\}$ ; satisfies (C1)
- Constraints (only one event per time slot): for each pair of events  $e \neq e'$ , enforce  $[X_e \neq X_{e'}]$ ; satisfies (C2)
- Constraints (only scheduled allowed times): for each event  $e$ , enforce  $[(e, X_e) \in A]$ ; satisfies (C3)



# Example: event scheduling (formulation 2)



## Problem: Event scheduling

Have  $E$  events and  $T$  time slots

(C1) Each event  $e$  must be put in **exactly one** time slot

(C2) Each time slot  $t$  can have **at most one** event

(C3) Event  $e$  allowed in time slot  $t$  only if  $(e, t) \in A$

## CSP formulation 2:

- Variables: for each time slot  $t$ ,  $Y_t \in \{1, \dots, E\} \cup \{\emptyset\}$ ; satisfies (C2)
- Constraints (each event is scheduled exactly once): for each event  $e$ , enforce  $[Y_t = e \text{ for exactly one } t]$ ; satisfies (C1)
- Constraints (only schedule allowed times): for each time slot  $t$ , enforce  $[Y_t = \emptyset \text{ or } (Y_t, t) \in A]$ ; satisfies (C3)

# Example: object tracking

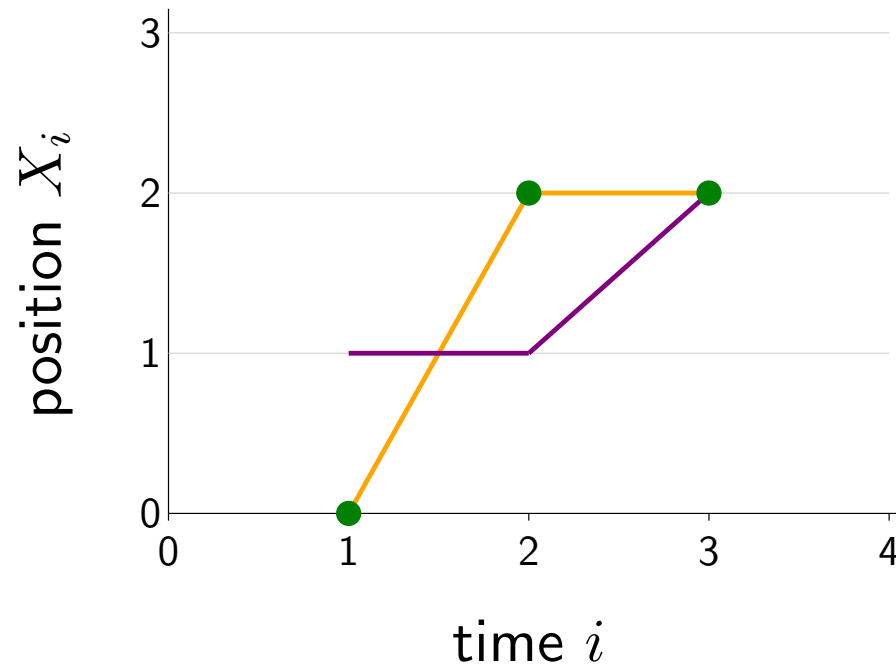


## Problem: object tracking

(O) Noisy sensors report positions: 0, 2, 2.

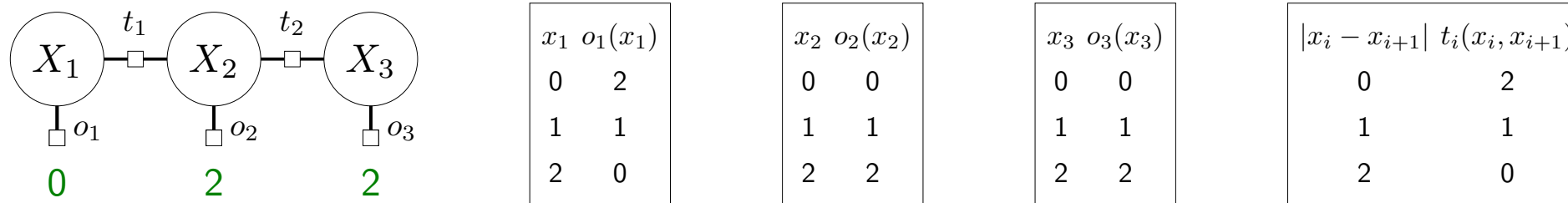
(T) Objects can't teleport.

What trajectory did the object take?



# Example: object tracking CSP

Factor graph:



[demo]

- Variables  $X_i \in \{0, 1, 2\}$ : position of object at time  $i$
- Observation factors  $o_i(x_i)$ : noisy information compatible with position
- Transition factors  $t_i(x_i, x_{i+1})$ : object positions can't change too much

# Example: program verification

```
def foo(x, y):  
    a = x * x  
    b = a + y * y  
    c = b - 2 * x * y  
    return c
```

Specification:  $c \geq 0$  for all  $x$  and  $y$

## CSP formulation:

- Variables:  $x, y, a, b, c$
- Constraints (program statements):  $[a = x^2]$ ,  $[b = a + y^2]$ ,  $[c = b - 2xy]$

Note: program (= is assignment), CSP (= is mathematical equality)

- Constraint (negation of specification):  $[c < 0]$

Program satisfies specification iff CSP has no consistent assignment





# Summary

- Decide on variables and domains
- Translate each desideratum into a set of factors
- Try to keep CSP small (variables, factors, domains, arities)
- When implementing each factor, think in terms of checking a solution rather than computing the solution