

# Math188 - HW #2

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## 1.

By definition, a partition of  $n$  is a strong composition of  $n$ . Namely, a partition of  $n$  into  $k$  parts is a strong composition of  $n$  into  $k$  parts. Thus, the number of partitions of  $n$  into  $k$  parts is at most the number of compositions of  $n$  into  $k$  parts, which is given by  $\binom{n-1}{k-1}$ , i.e.,

$$p(n, k) \leq \binom{n-1}{k-1}$$

Note that equality is indeed possible:  $p(n, n) = 1 = \binom{n-1}{n-1}$ , for example.

The lower bound for  $p(n, k)$  is given by noting that at most  $k!$  distinct  $k$ -part compositions of  $n$  correspond to a single  $k$ -part partition of  $n$ ; namely, the  $k$ -part compositions of  $n$  that are rearrangements of each other correspond to a single  $k$ -part partition of  $n$  whose elements are the elements of the compositions in a weakly decreasing order. There are *at most*  $k!$  distinct compositions corresponding to a single partition because if each element in the composition was unique, then  $k!$  rearrangements would be possible. However, the compositions may have repeating elements. Thus dividing the total number of compositions of  $n$  into  $k$  parts by  $k!$  potentially undercounts the number of distinct partitions of  $n$  into  $k$ , i.e.,

$$\frac{1}{k!} \binom{n-1}{k-1} \leq p(n, k)$$

Once again, equality is possible. For example,  $p(n, 1) = 1 = \frac{1}{1!} \binom{n-1}{1-1}$

## 2.

I couldn't reach a solution, but the work below may perhaps be relevant to a working solution.

Given a polynomial  $f(x)$ , let its degree be  $m-1$  and its leading coefficient  $A$ . If  $A < 0$ , then  $f(x) \rightarrow -\infty$  as  $x \rightarrow \infty$ , so  $f(n) < p(n)$  is satisfied automatically for arbitrarily big  $n$ 's.

Suppose  $A > 0$ . One knows from calculus that  $g(x) = Bx^m + \text{lower degree terms}$ , where  $B > 0$ , grows faster than  $f$  as  $x \rightarrow \infty$  and thus  $g(n) > f(n)$  for arbitrarily big integers. Particularly, choose  $g(x) = (x+1)^m$

Using the binomial theorem and the inequality from Q1,

$$\begin{aligned}
 (n+1)^m &= \sum_{t=0}^m \binom{m}{t} n^t \\
 &\leq \sum_{t=0}^m n^t (t+1)! p(m+1, t+1) \\
 &\leq M_n \sum_{t=0}^m p(m+1, t+1) \\
 &= M_n p(2m+2, m+1) \\
 &< M_n p(2m+2)
 \end{aligned}$$

where  $M_n = n^m (m+1)!$ .

Unfortunately, this is a poor bound. Here, the size of the partition, pertaining to the term  $p(2m+2)$ , is independent of  $n$ , and as far as I know, there is no way to relate the term  $M_n$  to the number of partitions of  $n$ . By definition, the growth of  $M_n$  as  $n$  increases scales with  $n^m$ , where  $m$  is fixed as initially defined. If I could count some partition of a quantity related to  $n$ , perhaps I could control  $M_n$  to make further progress.

### 3.

The equality to demonstrate is

$$c(n, k) = c(n-1, k-1) + c(n-1, k)$$

Starting from the definition of the left hand side,

$$\begin{aligned}
 c(n, k) &= \underbrace{\# \text{ of permutations in } S_n \text{ with } k \text{ cycles}}_{\text{---"---}} \\
 &= (\text{---"--- such that } n \mapsto n) + (\text{---"--- such that } n \mapsto m, n \neq m) \\
 &= (\# \text{ of permutations in } S_{n-1} \text{ with } k-1 \text{ cycles}) \tag{1} \\
 &\quad + (n-1)(\# \text{ of permutations in } S_{n-1} \text{ with } k \text{ cycles}) \tag{2} \\
 &= c(n-1, k-1) + c(n-1, k)
 \end{aligned}$$

where the equality between (1) and (2) is interpreted as the following: the first term in (1) can be thought of as counting permutations in  $S_{n-1}$  with  $k-1$  cycle since  $n$  forms a single cycle mapping to itself; for the second term in (1), consider  $\sigma \in S_{n-1}$  with  $k$  cycles. Since  $n \mapsto m$ ,  $m \neq n$ , “concatenating”  $n$  into any of the  $k$  cycles of  $\sigma$  yields a permutation in  $S_n$  with  $k$  cycles. namely, there are  $n-1$  ways to create distinct permutations of  $S_n$  from  $\sigma$  since there are  $n-1$  choices for  $m$ .

### 4.

I unfortunately couldn't find the combinatorial proof for the equality of the two polynomials, so I use induction instead. The equality to demonstrate is

$$\sum_{k=0}^n c(n, k) x^k = x(x+1) \cdots (x+n-1)$$

$n = 1$  is trivial because the left hand side is equal to  $c(1, 0) + c(1, 1)x = x$ , where  $c(n, 0) = 0$  for any  $n$ . Next, suppose  $\sum_{k=0}^n c(n, k)x^k = x(x+1)\cdots(x+n-1)$ ; the identity must be demonstrated for  $n+1$ . By Q3),

$$\begin{aligned}\sum_{k=0}^{n+1} c(n+1, k)x^k &= \sum_{k=0}^{n+1} [c(n, k-1) + nc(n, k)]x^k \\ &= \sum_{k=-1}^n c(n, k)x^{k+1} + n \sum_{k=0}^{n+1} c(n, k)x^k \\ &= x \cdot x(x+1)\cdots(x+n-1) + nx(x+1)\cdots(x+n-1) \\ &= x(x+1)\cdots(x+n-1)(x+n)\end{aligned}$$

where the third equality follows from  $c(n, k) = 0$  if  $k \leq 0$  or  $k > n$ . This proves the inductive step, so the identity follows.

## 5.

The equality to demonstrate is

$$C_{n+1} = \sum_{k=0}^n C_k \cdot C_{n-k}$$

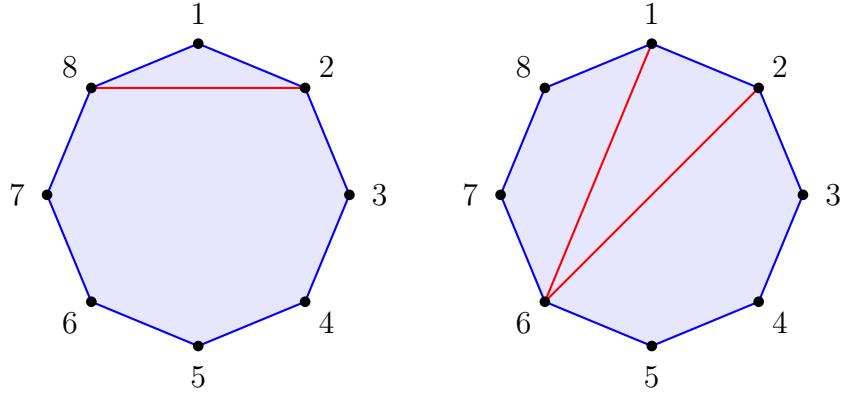
Any Dyck path of size  $n+1$  visits a coordinate  $(k, k)$  at least once, where  $0 \leq k \leq n$ . Thus, denoting  $C_{n+1,k}$  to be the number of Dyck paths of size  $n+1$  where  $k$  denotes the most Northeast  $(k, k)$  coordinate ( $0 \leq k \leq n$ ), visited by the path,

$$C_{n+1} = \sum_{k=0}^n C_{n+1,k}$$

Let  $p$  be one of the Dyck paths belonging to  $C_{n+1,k}$ . Then  $p$ 's path from  $(0, 0)$  to  $(k, k)$ , then from  $(k, k)$  to  $(n+1, n+1)$  uniquely describes  $p$  amongst all Dyck paths of size  $n+1$ . Namely, there are  $C_k$  paths from  $(0, 0)$  to  $(k, k)$ ; after reaching the  $(k, k)$  coordinate, the path never goes strictly below the line  $y = x+1$  unless  $p$  reaches  $y = n+1$ . The number of such paths from  $(k, k)$  to  $(n, n)$  is equivalent to the number of modified Dyck paths from  $(k, k+1)$  to  $(n, n+1)$  that never goes strictly below the shifted diagonal  $y = x+1$ ; this is equivalent to the number of regular Dyck paths from  $(0, 0)$  to  $(n-k, n-k)$ . So,  $C_{n+1,k} = C_k \cdot C_{n-k}$ , giving the desired identity

$$C_{n+1} = \sum_{k=0}^n C_k \cdot C_{n-k}$$

6.



Let  $t_n$  be the number of triangulations of an  $n$ -gon, where  $n \geq 2$ . Trivially,  $t_2 = t_3 = 1$ . Next, consider an arbitrary  $n \geq 2$ . Fix any vertex as vertex 1, then label the vertices clockwise. First, note that in any triangulation of the  $n$ -gon, vertex 1 can either have indegree 0 or indegree  $\geq 1$ . If the indegree of vertex 1 is 0, then edges  $(12)$  and  $(1n)$  are necessarily part of a single triangle (else, the triangulation of the  $n$ -gon fails). This further implies that the triangulation includes an edge  $(2n)$  such that  $\Delta(12n)$  exists. This construction is demonstrated in the top left figure for  $n = 8$ . Now, the remaining  $n - 1$  vertices, vertices  $2, \dots, n$ , must be triangulated; the number of ways to do so is exactly  $t_{n-1}$  because vertex 1 is completely irrelevant now, while the remaining  $n - 1$  vertices remain unconstrained.

Next, let's count the number of ways to triangulate the  $n$ -gon given that vertex 1 is connected to at least one other vertex (other than the adjacent vertices 2 and  $n$ ). This is given by

$$\sum_{k=3}^{n-1} (\text{\# of ways to triangulate the } n\text{-gon where } k \text{ is the lowest-valued vertex connected to vertex 1})$$

Suppose  $k \in \{3, \dots, n - 1\}$  is the lowest-valued vertex connected to vertex 1. Because vertex 1 is not connected to any of the vertices  $3, \dots, k - 1$ , the triangulation includes a triangle  $\Delta(12k)$ . This construction is demonstrated in the top right figure for  $n = 8$ ,  $k = 6$ . This leaves the sub-polygons  $(23 \cdots k)$  and  $(k(k+1) \cdots n1)$  to be freely triangulated; furthermore, the triangulation of the sub-polygons are independent of each other. Thus, for a fixed  $k$ , the number of ways to triangulate the  $n$ -gon is given by  $t_{k-1} \cdot t_{n-k+2}$ .

Putting this all together,

$$\begin{aligned} t_n &= t_{n-1} + \sum_{k=3}^{n-1} t_{k-1} \cdot t_{n-k+2} \\ &= \sum_{k=3}^n t_{k-1} \cdot t_{n-k+2} \\ &= \sum_{k=2}^{n-1} t_k \cdot t_{n-k+1} \end{aligned}$$

where the second equality is obtained by using the fact that  $t_2 = 1$  and absorbing the initial term  $t_{n-1} = t_{n-1} \cdot t_2$  into the sum.

This is exactly the same recursion rule for the catalan numbers.

$$c_n = t_{n+2}$$

Verifying this identity, first note that the  $n = 0, 1$  cases are trivial since  $c_0 = c_1 = t_2 = t_3 = 0$  by definition. Next, using strong induction for  $n$  where  $c_k = t_{k+2}$  for  $k \leq n$ ,

$$\begin{aligned} t_{n+3} &= \sum_{k=2}^{n+2} t_k \cdot (t_{n-k+4}) \\ &= \sum_{k=0}^n t_{k+2} \cdot t_{n-k+2} \\ &= \sum_{k=0}^n c_n \cdot c_{n-k} \\ &= c_{n+1} \end{aligned}$$

where the final equality is the result shown in Q5. This shows that the Catalan number  $C_n$  counts the number of triangulations of a  $n + 2$ -gon.

## 7.

First, I define a parallel definition of **ascents**: given a fixed  $\text{win}_S_n$ , an index  $1 \leq i \leq n - 1$  is an ascent if  $w(i) < w(i + 1)$ .

(a)

Suppose  $w \in S_n$  has  $k$  descents; let  $\{i_1, \dots, i_k\} \subset [n - 1]$  be the indices satisfying the descent condition. Then for any index  $j$  in the complement  $[n - 1] \setminus \{i_1, \dots, i_k\}$ ,

$$w(j) < w(j + 1)$$

because  $w(j) > w(j + 1)$  is ruled out by definition of  $j$  and  $w(j) = w(j + 1)$  is ruled out by the fact that  $w$  is a permutation (bijection) of  $[n]$ . Hence there are  $n - k - 1$  ascents in  $w$ , and it is clear that

$$w = [w(1), w(2), \dots, w(n)] \mapsto [w(n), w(n - 1), \dots, w(2), w(1)]$$

sends a permutation of  $[n]$  with  $k$  descents to a permutation of  $[n]$  with  $n - k - 1$  descents since the map essentially flips descents into ascents and vice versa.

The reverse map follows the exact same logic. If  $w \in S_n$  has  $n - k - 1$  descents, send

$$w = [w(1), w(2), \dots, w(n)] \mapsto [w(n), w(n - 1), \dots, w(2), w(1)]$$

to obtain a permutation of  $[n]$  with  $k$  descents. This establishes the bijection that proves  $A(n, k) = A(n, n - k - 1)$ .

(b)

It is not difficult to see that one can obtain all elements of  $S_n$  in the following way: if  $w = [w(1), \dots, w(n-1)] \in S_{n-1}$ , then pick any  $i \in [n]$ . Then define

$$w' = \begin{cases} [w(1), \dots, w(i-1), n, w(i), \dots, w(n-1)] & (1 < i < n) \\ [n, w(1), \dots, w(n-1)] & (i = 1) \\ [w(1), \dots, w(n-1), n] & (i = n) \end{cases} \quad (3)$$

Spanning  $i$  over all of  $[n]$  and  $w$  over all of  $S_{n-1}$  produces all the elements of  $S_n$ .

Now, I demonstrate the identity

$$A(n, k) = (n - k)A(n - 1, k - 1) + (k + 1)A(n - 1, k)$$

The left hand side, by definition, counts the number of  $w' \in S_n$  with exactly  $k$  descents. Consider all possible  $w \in S_{n-1}$  that produces a  $w \in S_n$  with  $k$  descents via the method described above. Well, if  $w'$  is defined as (3), with  $1 < i < n$ , then index  $i$  is a descent since  $i \mapsto n$  and  $n$  is guaranteed to be the unique maximum value of  $w'$  due to  $w' \in S_n$ . By similar reasoning, index  $i - 1$  is guaranteed to be an ascent of  $w'$ . Of course, if  $i = 1$ , then index  $i$  is again a descent, while if  $i = n$ , then  $i - 1$  is an ascent while  $i$  itself is neither an ascent nor descent by definition. Thus, “inserting”  $n$  to  $w$  at position  $i$  to form  $w'$  either adds one additional descending point if  $i = 1$  or  $i - 1$  is an ascent of  $w$ , or it preserves the number of descents if  $i = n$  or  $i - 1$  is already a descent of  $w$ .

By the analysis above, the only way to get  $w' \in S_n$  with  $k$  descents is to form  $w'$  from  $w \in S_{n-1}$ , where either

1.  $w$  has  $k - 1$  descents and  $n$  is inserted at some position  $i$  such that  $i = 1$  or if  $i - 1$  is an ascent
2.  $w$  has  $k$  descents and  $n$  is inserted at some position  $i$  such that  $i = n$  or  $i - 1$  is a descent.

For case 1, there are  $A(n - 1, k - 1)$  ways to choose  $w$  and  $(n - 1) - (k - 1) - 1 + 1 = n - k$  ways to choose  $i$  since  $w$  having  $k - 1$  descents means it has  $(n - 1) - (k - 1) - 1 = n - k - 1$  ascents; one of the ascents can be chosen, or position can be chosen. For case 2, there are  $A(n - 1, k)$  ways to choose  $w$  and  $k + 1$  ways to choose  $i$  since either one of the  $k$  ascents or position 1 can be chosen. Cases 1 and 2 are mutually exclusive, so we get the desired identity

$$A(n, k) = (n - k)A(n - 1, k - 1) + (k + 1)A(n - 1, k)$$

## Collaboration Disclosure

I used Claude.ai to help with the figures in Q6.