# CS2040 – Data Structures and Algorithms

Lecture 10 – Census Problem chongket@comp.nus.edu.sg



### **Outline**

#### **Motivation: Census Problem**

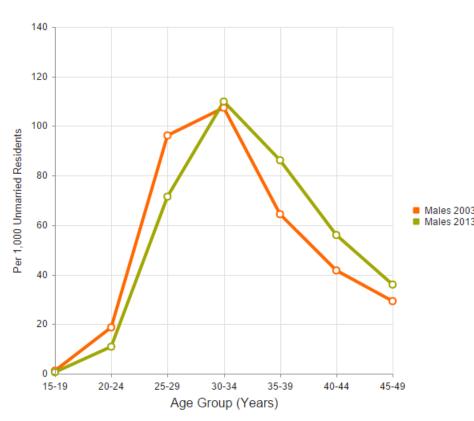
- Abstract Data Type (ADT) Ordered Map
- Solving Census Problem with CS2040 1<sup>st</sup> Half Knowledge
- The "performance issue"

#### Binary Search Tree (BST)

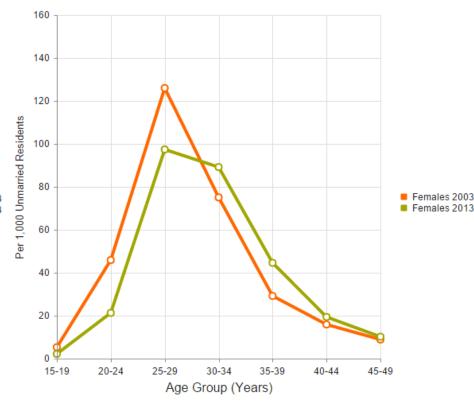
- Heavy usage of <u>VisuAlgo Binary Search Tree Visualization</u>
- Simple analysis of BST operations
- Java Implementation

## **Census is Important!**





#### Age-Specific Marriage Rates (Females)



Source: <a href="http://www.singstat.gov.sg">http://www.singstat.gov.sg</a>



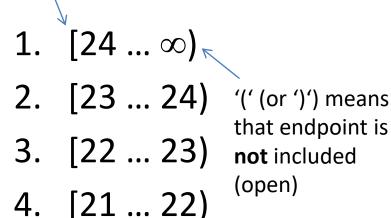
## Sun Tzu's Art of War Chapter 1 "The Calculations"

知彼知己百战不殆 zhī bǐ zhī jǐ bǎi zhàn bù dài

(If you know your enemies and know yourself, you will not be imperiled in a hundred battles)

'[' (or ']') means that endpoint is included (closed)

## Your Age (2016 data)

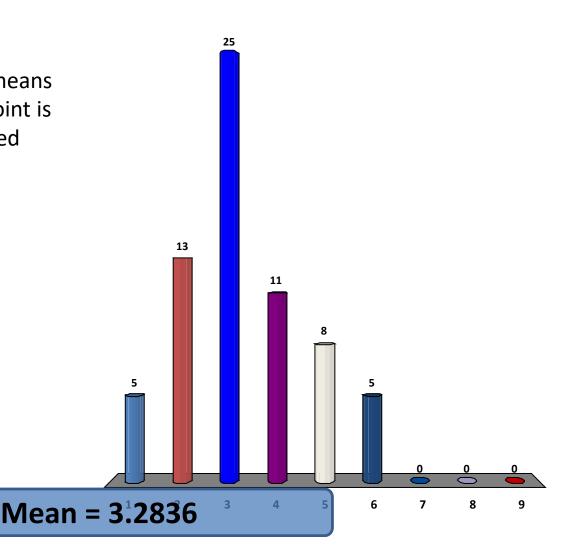


that endpoint is

not included

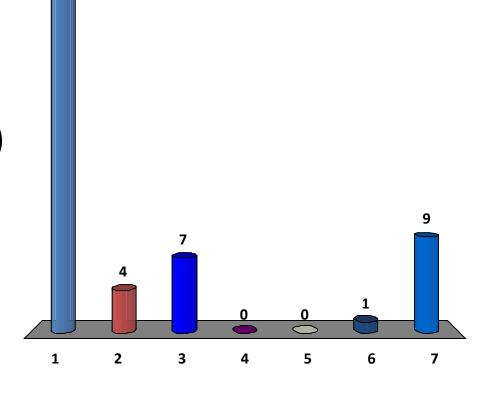
(open)

- 5. [20 ... 21)
- 6. [19 ... 20)
- 7. [18 ... 19)
- 8. [17 ... 18)
- 9. [0 ... 17]



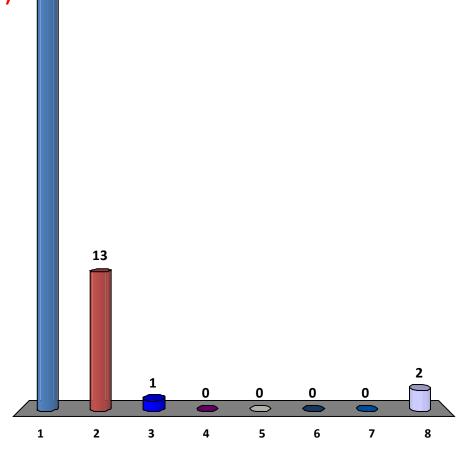
## Your Major (2016 data)

- 1. Computer Science (CS)
- 2. Business Analytics (BZA)
- 3. Computer Engineering (CEG/CEC)
- 4. Comp. Biology (CB)
- 5. Information System (IS)
- 6. Science Maths (SCI)
- 7. None of the above :O



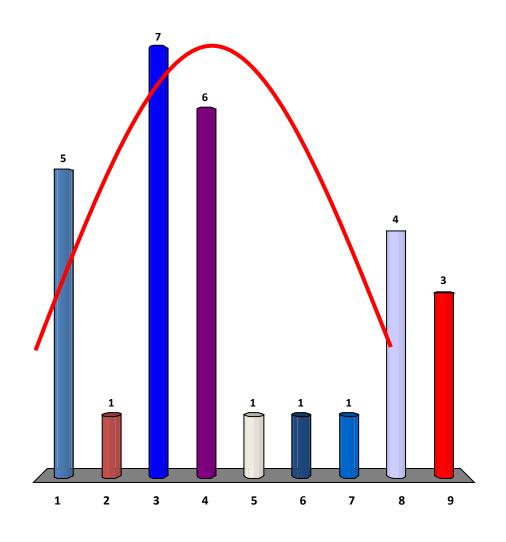
## **Your Nationality (2016 data)**

- Singaporean (should be ≥ 70% according to MOE rules)
- 2. Chinese
- 3. Indian
- 4. Indonesian
- 5. Vietnamese
- 6. Malaysian
- 7. European
- 8. None of the above



## Your CAP (2013 data) <- very old data

- 1. [4.5 ... 5.0]
- 2. [4.25 ... 4.5)
- 3. [4.0 ... 4.25)
- 4. [3.75 ... 4.0)
- 5. [3.5 ... 3.75)
- 6. [3.25 ... 3.5)
- 7. [3.0 ... 3.25)
- 8. [0.0 ... 3.00)
- I do not want to tell



## What Happen After Census?

Data Mining



Statistical Analysis

## Some statistical analysis required

Let's deal with one aspect of our census : Age

To simplify this lecture, we assume that students' age ranges from [0 ... 100), all integers, and distinct

#### Some required operations:

- 1. Search whether there is a student with a certain age?
- 2. Insert a new student (insert using his/her age)
- 3. Determine the youngest and oldest student
- 4. List down the ages of students in sorted order
- 5. Find a student slightly older than a certain age!
- Delete existing student (remove using his/her age)
- 7. Determine the median age of students
- 8. How many students are younger than a certain age?

## Ordered Map ADT

- If we use a Map ADT to store the student data, there are some operations which are not well supported
  - Find a student slightly older than a certain age
  - List down student in sorted order of age

**–** ...

- This is because there is no notion of ordering in a Map
- Instead we required a more advanced Map called an Ordered Map
  - Items in the Ordered Map are still accessed and manipulated using the key (age attribute in our example)
  - In addition the items are also given an ordering

## Ordered Map Implementation – Unsorted Array

Index	0	1	2	3	4	5	6	7	
Α	5	7	71	50	23	4	6	15	

Operation	Time Complexity		
Search(age)	O( <b>N</b> )		
Insert(age)	O( <b>1</b> )		
FindOldest()	O( <b>N</b> )		
ListSortedAges ()	O(N log N)		
NextOlder(age)	O( <b>N</b> )		
Remove(age) Use QuickSele	ct to get median O(N)		
GetMedian() = Expected O(N)	= QuickSelect(N/2) O(N log N)/O(N)		
NumYounger(age)	O( <b>N</b> log <b>N</b> )/O( <b>N</b> )		
	Search(age) Insert(age) FindOldest() ListSortedAges () NextOlder(age) Remove(age) Use QuickSele GetMedian() Expected O(N		

## Ordered Map Implementation – Sorted Array

Index	0	1	2	3	4	5	6	7	
Α	4	5	6	7	15	23	50	71	

No	Operation	Time Complexity		
1	Search(age)	O(log N)		
2	Insert(age)	O(N)		
3	FindOldest()	O( <b>1</b> )		
4	ListSortedAges ()	O( <b>N</b> )		
5	NextOlder(age)	O(log N)		
6	Remove(age)	O( <b>N</b> )		
7	GetMedian()	O( <b>1</b> )		
8	NumYounger(age)	O(log N)		

## With Just 1<sup>st</sup> Half Knowledge

No	Operation	Unsorted Array	Sorted Array
1	Search(age)	O( <b>N</b> )	O(log <b>N</b> )
2	Insert(age)	O( <b>1</b> )	O( <b>N</b> )
3	FindOldest() Dyr	O(N)	O( <b>1</b> )
4	lictCortod A god	lata ucture (N log N)	O( <b>N</b> )
5	NextOlder(age, open	o(N)	O(log <b>N</b> )
6	Remove(age)	O(N)	O( <b>N</b> )
7	GetMedian()	O(N log N)/O(N)	O( <b>1</b> )
8	NumYounger(age)	O( <b>N</b> log <b>N</b> )/O( <b>N</b> )	O(log <b>N</b> )

If N is large, our queries are slow...



## O(N) versus O(log N): A Perspective

$$N = 8$$
 $log_2 N = 3$ 

$$\mathbf{N} = 16$$
$$\log_2 \mathbf{N} = 4$$

$$N = 32$$
 $log_2 N = 5$ 

Try larger N, e.g. N = 1000000...

A Versatile, Non-Linear Data Structure

## **BINARY SEARCH TREE (BST)**

x.key

## Binary Search Tree (BST) Vertex

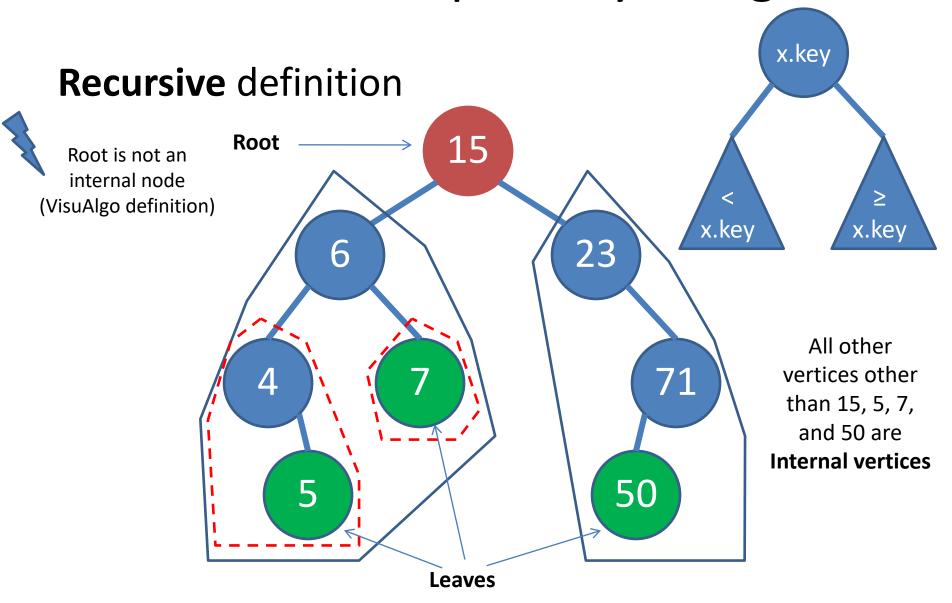
#### For every vertex x, we define:

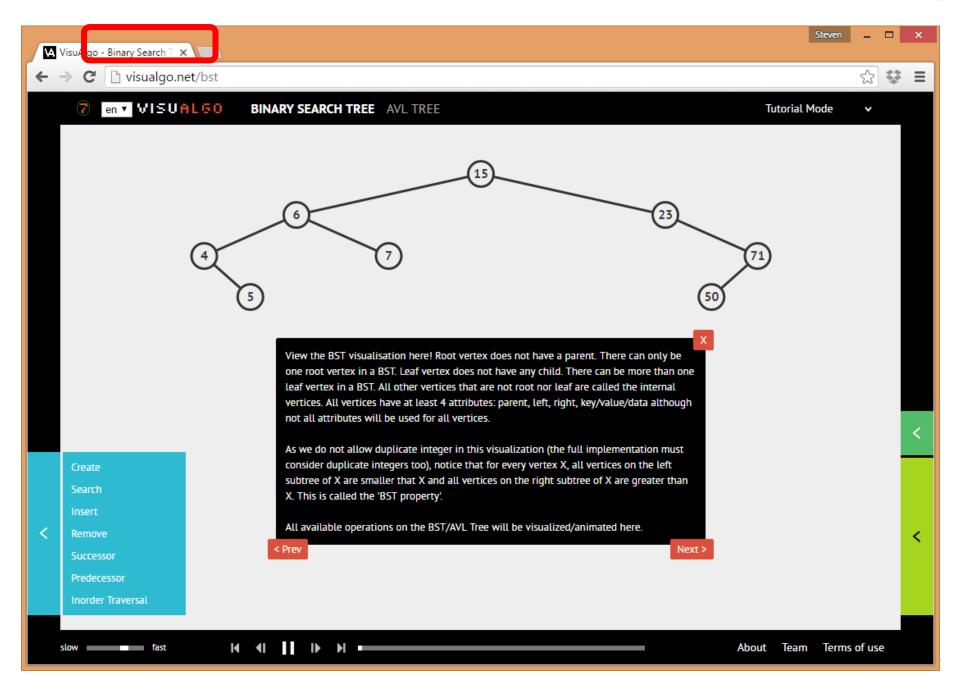
- x.left = the left child of x
- x.right = the right child of x
- x.parent = the parent of x
- x.key (or x.value, x.data) = the value stored at x

#### **BST Property:**

- For every vertex x and y
   y.key < x.key if y is in left subtree of x</li>
   y.key ≥ x.key if y is in right subtree of x
- For simplicity, we assume that the keys are unique so that we can change ≥ to >

BST: An Example, Keys = Ages

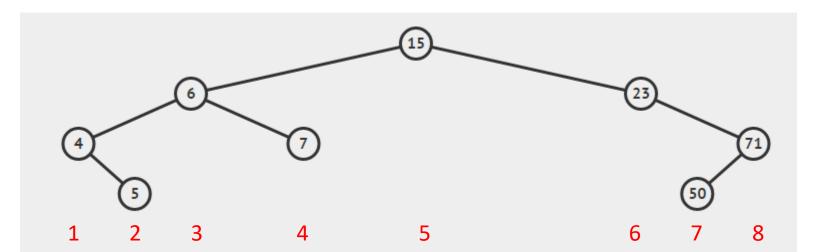




## BST: NEW Select/Rank Operations

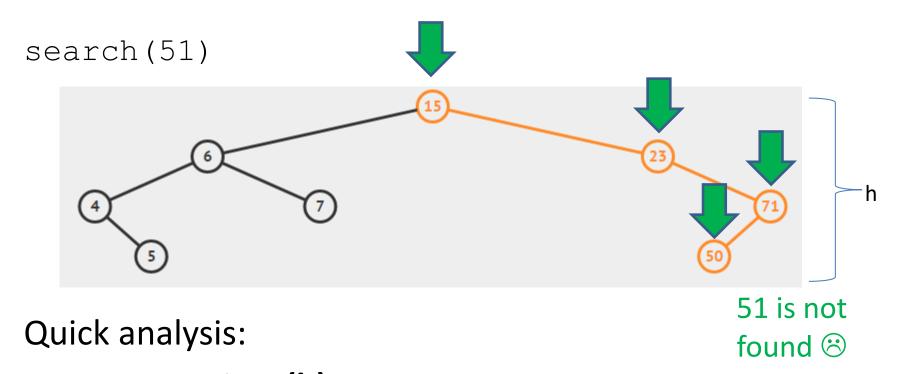
These 2 operations are not yet in VisuAlgo BST visualization; for now, here are the concepts:

- Select(k) Return the value v of k-th smallest\* element
  - Examples: Select(1) = 4, Select(3) = 6, Select(8) = 71, etc (1-based index)
- Rank(v) Return the rank\* k of element with value v
  - Examples: Rank(4) = 1, Rank(6) = 3, Rank(71) = 8, etc
- Details will be discussed in topic for AVL trees



# ANALYSIS OF BST OPERATIONS

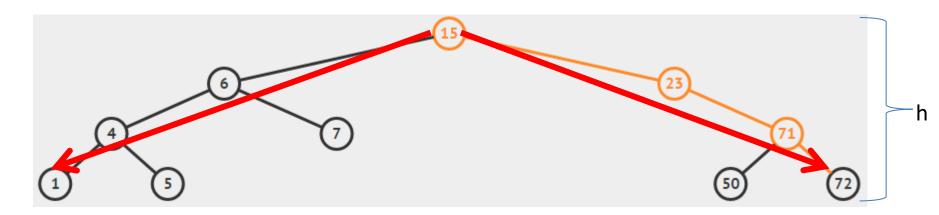
## **BST: Search Analysis**



search runs in O(h)

## BST: FindMin/FindMax Analysis

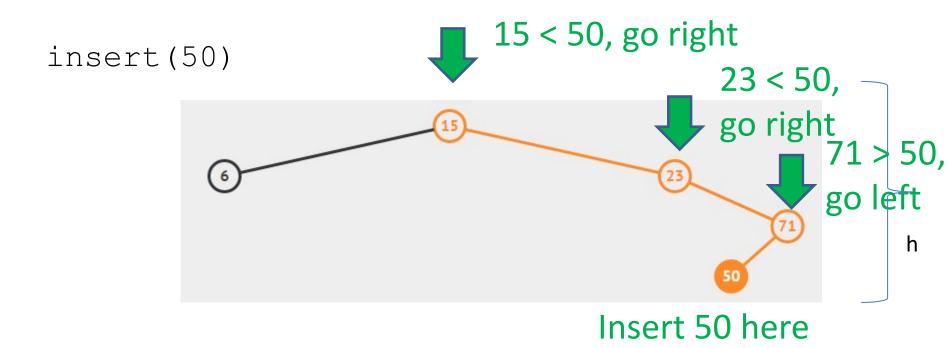
findMin()/findMax()



#### Quick analysis:

findMin()/findMax also runs in O(h)

## **BST: Insertion Analysis**



#### Quick analysis:

insert also runs

in **O(h)** 

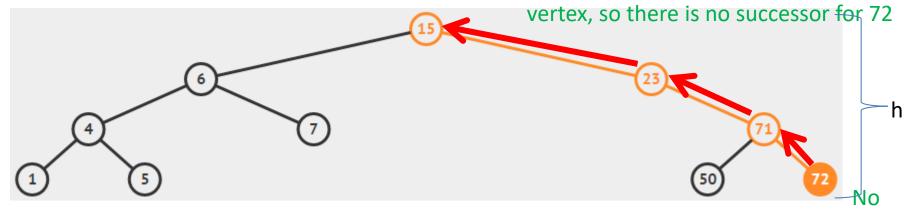
right child

## BST: Successor/Predecessor Analysis

Assumption, we already done an O(h) search(72) before

successor (72)

Keep going up until we make a 'right turn', but here we do not find such



Quick analysis:

**O(h)** again, similarly for predecessor

## **BST: Inorder Traversal Analysis**

Using a new analysis technique

#### Ask this question:

 How many times is a vertex visited during inorder traversal from the start until the end?

#### **Answer:**

- Three times: from parent and from left + right children (even if one or both of them is/are empty/NULL)
- O(3\*N) = O(N)

## Why is successor of x used for deletion of a BST vertex x with 2 children?

Claim: Successor of x has at most 1 child!

Easier to delete and will not violate BST property

#### Proof:

- Vertex x has two children
- Therefore, vertex x must have a right child
- Successor of x must then be the minimum of the right subtree
- A minimum element of a BST has no left child!!
- So, successor of x has at most 1 child!

## **BST: Deletion Analysis**

Delete a BST vertex  $\mathbf{v}$ , find  $\mathbf{v}$  in  $O(\mathbf{h})$ , then three cases:

- Vertex v has no children:
  - Just remove the corresponding BST vertex  $\mathbf{v} \rightarrow O(1)$
- Vertex v has 1 child (either left or right):
  - Connect v.left (or v.right) to v.parent and vice versa  $\rightarrow$  O(1)
  - Then remove  $v \rightarrow O(1)$
- Vertex v has 2 children:
  - Find  $\mathbf{x} = \operatorname{successor}(\mathbf{v}) \rightarrow O(\mathbf{h})$
  - Replace  $\mathbf{v}$ .key with  $\mathbf{x}$ .key  $\rightarrow$  O(1)
  - Then delete  $\mathbf{x}$  in  $\mathbf{v}$ .right (otherwise we have duplicate)  $\rightarrow$  O( $\mathbf{h}$ )

Running time: O(h)

## BST: Select/Rank Analysis

We have not explored the operations in detail yet

This will be discussed in more details in the next lecture

### Now, after we learn BST...

No	Ordered Map Operations	Unsorted Array	Sorted Array	BST
1	Search(age)	O( <b>N</b> )	O(log <b>N</b> )	O(h)
2	Insert(age)	O( <b>1</b> )	O( <b>N</b> )	O(h)
3	FindOldest()	O( <b>N</b> )	O( <b>1</b> )	O(h)
4	ListSortedAges()	O(N log N)	O( <b>N</b> )	O( <b>N</b> )
5	NextOlder(age)	O( <b>N</b> )	O(log <b>N</b> )	O(h)
6	Remove(age)	O( <b>N</b> )	O( <b>N</b> )	O(h)
7	GetMedian()	O( <b>N</b> log <b>N</b> )/O( <b>N</b> )	O( <b>1</b> )	?
8	NumYounger(age)	O( <b>N</b> log <b>N</b> )/O( <b>N</b> )	O(log <b>N</b> )	?

It is all now depends on 'h'... → next topic ©

## Worst case height of a BST

YES,  $h = O(\log N) \rightarrow \text{next topic} \bigcirc$ 

Can you spot one more worst case scenario using the same set of numbers?

Can we do better?