



# **Networks of Group Equivariant Non-Expansive Operators for Artificial Intelligence**

Models, applications and interpretability

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#### **Outline**



#### 1. Introduction

Group Equivariant Non-Expansive Operators

#### 2. Permutants

Permutants and representation theorem

#### 3. GENEOnet

- Protein pocket detection
- GENEOnet development and results

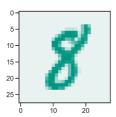
- Graph isomorphism
- GENEO based isomorphism test

# Introduction

#### Some initial motivation



Many data that we encounter can be expressed using real valued functions. The similarities between such functions usually strongly depend on the observer.



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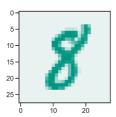
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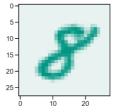
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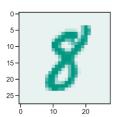
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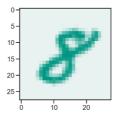


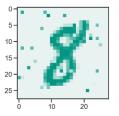
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Since, quite often, data are affected by some kind of noise, we also want to have agents that are robust to small perturbations in the data functions.







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#### For introducing Group Equivariant Non-Expansive Operators



To formally introduce Group Equivariant Non-Expansive Operators, I will consider the ingredients listed below:

• A first space of real valued functions  $\Phi = \{\varphi \colon X \to \mathbb{R}\}$  with domain X, dom $(\Phi) = X$ .

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- An analogous subgroup K of Homeo $_{\Psi}(Y)$ .
- A linking group homomorphism  $T: G \rightarrow K$ .

#### **GENEOs definition**



Given such ingredients, the formal definition of Group Equivariant Non-Expansive Operator (GENEO) was first given in (Bergomi, Frosini, et al., 2019):

#### **Definition (GENEO)**

A Group Equivariant Non-Expansive Operator F is a map between  $\Phi$  and  $\Psi$  that, for a fixed homomorphism of groups T, has these two properties:

- **Equivariance:**  $F(\varphi \circ g) = F(\varphi) \circ T(g)$  for all  $\varphi \in \Phi$  and for all  $g \in G$ .
- Non-Expansivity:  $||F(\varphi_1) F(\varphi_2)||_{\infty} \le ||\varphi_1 \varphi_2||_{\infty}$  for all  $\varphi_1, \varphi_2 \in \Phi$ .

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Usually if  $dom(\Phi) = dom(\Psi)$  and G = K the natural choice is to consider  $T = id_G$ .

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# **GENEOs properties**Interpretation



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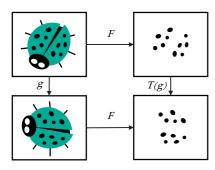
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# **GENEOs properties**

#### Interpretation



**Equivariance:** GENEOs must commute with a specific group of transformations of the data domain called equivariance group. We may say that GENEOs are able to filter out those transformations.



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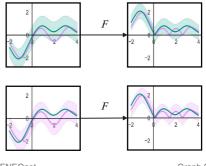
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Non-expansivity: GENEOs simplify the metric structure of data, also providing stability to small perturbations. Non-expansivity is also crucial to derive topological properties of GENEO's space.



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**GENFOnet** 



Once fixed two pairs  $(\Phi, G)$  and  $(\Psi, K)$  and a homomorphism T we can consider  $\mathscr{F}$  the space of all GENEOs between  $\Phi$  and  $\Psi$  with respect to T. Moreover it is possible to introduce a metric for such space:

$$d_{\mathsf{GENEO}}(F_1,F_2) = \sup_{\varphi \in \Phi} ||F_1(\varphi) - F_2(\varphi)||_{\infty}$$

It can be shown that the metric space  $(\mathcal{F}, D_{GENEO})$  possesses interesting topological properties:

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If both  $\Phi$  and  $\Psi$  are compact in the topology induced by the sup norm distance then also  $\mathscr{F}$  is compact in the topology induced by the metric  $d_{GENEO}$ .

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#### Theorem (Convexity of $\mathscr{F}$ )

If  $\Psi$  is convex than the space  $\mathscr{F}$  is also convex.

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# **GENEO** space and its properties Interpretation



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#### Interpretation



**Compactness**: must be intended as finite approximability of the space  $\mathscr{F}$ . In the sense that, for every tolerance  $\varepsilon > 0$  it exists a finite number n of operators  $F_1, \ldots, F_n$  such that:

$$\mathscr{F}\subseteq\bigcup_{i=1}^nB(F_i,\varepsilon)$$

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**Convexity**: Given non-negative weights  $\alpha_1, \ldots, \alpha_n$ such that  $\sum_{i=1}^{n} \alpha_i = 1$  and the same number of GENEOs, the operator

$$F_{\alpha}(\cdot) = \sum_{i=1}^{n} \alpha_i F_i(\cdot)$$

is still a GENEO. This property can be seen as the precursor of other compositional rules that in the thesis I called aggregation functions:

min. max. etc.

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Graph GENEOs

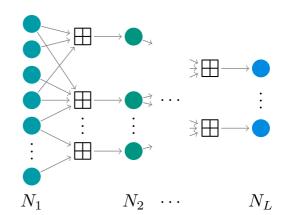
Interpretation

#### **GENEO** networks



Aggregation functions allow to aggregate the information coming from different operators, in particular they enable to link different operators in order to obtain networks where:

- nodes are families of GENEOs (possibly parameter dependent).
- arrows represents aggregation functions between elements of different families.



# 

# [Generalized] permutants



In the applications it is crucial to find the adequate GENEOs, a first option to explore the space is to use aggregation functions. Another noteworthy algebraic tool for obtaining GENEOs are **permutants**. Permutants and their generalizations have been introduced and studied in (Camporesi, Frosini, and Quercioli, 2018; Conti, Frosini, and Quercioli, 2022; Ahmad, Ferri, and Frosini, 2023).

#### Definition (Generalized permutant)

A finite subset  $H \subseteq X^Y$  is a generalized permutant for  $T: G \to K$  if  $g H T(g^{-1}) \subseteq H$  for every  $g \in G$  or if H is the empty set.

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If particular if  $dom(\Phi) = dom(\Psi) = X$  and  $T = id_G$  we instead require that  $H \subseteq Homeo(X)$  and we call them simply permutants.

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# **Building GENEOs with permutants**



Given a permutant, we have a straightforward way to obtain a GENEO for the corresponding homomorphism T.

#### Proposition (A permutant defines a GENEO)

If H is a generalized permutant for T:  $G \to K$  then the operator  $F_H: \Phi \to \Psi$ 

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Since H must be finite, the previous proposition provides a practical and possibly also efficient way of **computing** GENEOs: when G is infinite, but also when G is finite and very large provided that  $|H| \ll |G|$ .

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# Representation theorem



Given a permutant, we can always define a GENEO, is it also true that a GENEOs are always obtained from permutants? In the context of a finite X with  $\Phi = \Psi = \mathbb{R}^X$ , we could establish the following **representation** result appeared in (Bocchi, Botteghi, et al., 2023):

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#### Theorem (G.B., S. Botteghi, M. Brasini, P. Frosini, N. Quercioli)

If G acts transitively on the finite set X then an operator F from  $\Phi$  to  $\Phi$  is a linear GENEO if and only if it exists a permutant measure  $\mu$  such that  $\sum_{h\in \operatorname{Aut}(X)}|\mu(h)|\leq 1$  that allows writing F as:

$$F(\varphi) = \sum_{h \in \operatorname{Aut}(X)} (\varphi \circ h^{-1}) \mu(h)$$

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# **GENEOnet**

## **Protein pocket detection**



The second and main instance of a GENEO network considered in the thesis, is linked to an industrial problem that was studied in collaboration with the pharmaceutical company Dompé Farmaceutici S.p.A.

https://geneonet.exscalate.eu

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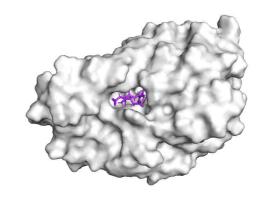
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#### **Model construction Data and GENEOs**



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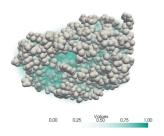
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## Model construction Data and GENEOs



The input functions  $\varphi_i \colon B \subseteq \mathbb{R}^3 \to \mathbb{R}$  are modeled as discretizations of 8 potential functions on a grid of voxels.



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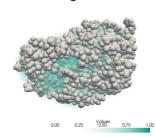
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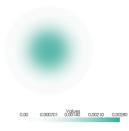
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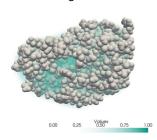
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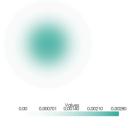
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The properties of convolution, combined with the kernels invariance to rotations, guarantee that  $F_i$  are **equivariant** with respect to the group G of rigid motions of the space  $\mathbb{R}^3$ .

Moreover, by normalizing the kernels with respect to  $L^1$  norm,  $F_i$  also acquire non-expansivity.

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#### **Model construction**

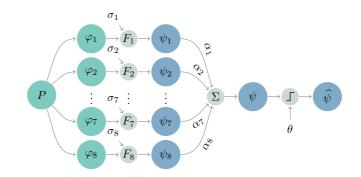
#### **Architecture**



The eight GENEOs  $F_i$  are aggregated using convex combination with convex coefficients  $\alpha_1, \ldots, \alpha_8$ .

The network combines the intermediate outputs  $\psi_i$  into a global output  $\psi: B \subset \mathbb{R}^3 \to [0,1]$  which encodes the **likelihood** that a

voxel belongs to a pocket.



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#### **Prediction**



From the global output  $\psi$  the binary output  $\widehat{\psi}$  is obtained by considering all the voxels x such that  $\psi(x) \geq \theta$ . By segmenting such set into connected components, we obtain the final prediction of GENEOnet.

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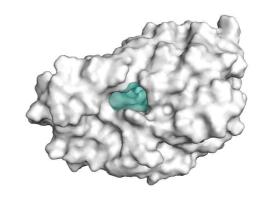
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#### **Training**



The model called GENEOnet (Bocchi, Frosini, et al., 2022; Bocchi, Frosini, et al., 2024) has 17 **learnable parameters** that can be estimated by optimizing a loss function of the binary prediction  $\widehat{\psi}$  and the ground truth  $\Pi \colon B \subseteq \mathbb{R}^3 \to \{0,1\}$  on a training set of data.

$$\mathcal{L}(\widehat{\psi}, \Pi) = \frac{|\widehat{\psi} \wedge \Pi| + \kappa |(\mathbf{1} - \widehat{\psi}) \wedge (\mathbf{1} - \Pi)|}{|\Pi| + \kappa |\mathbf{1} - \Pi|} \in [0, 1]$$

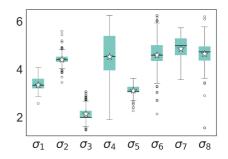
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The optimization was repeated 200 times using random training sets of size 200. This analysis allows us to also evaluate the **sensitivity** of the coefficient's estimates.



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Scoring

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For applications to virtual screenings is important to rank predictions by computing a pocket score.

The **score** is obtained as a weighed average of  $\psi$  restricted to one connected component of  $\{x \in \mathbb{R}^3 \mid \psi(x) \ge \theta\}$ .

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We define the **overlap** between a predicted pocket  $\widehat{\Pi}$  and the the true pocket  $\Pi$ :

$$\mathcal{V}(\widehat{\Pi},\Pi) = \frac{|\Pi \wedge \widehat{\Pi}|}{|\Pi|}$$

we say that a predicted pocket  $\widehat{\Pi}$  hits the ground truth  $\Pi$  if

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Given a dataset  $(P_t, \Pi_t)_{t=1}^n$  we can assess the scoring capabilities of a model  $\mathcal{M}$  by computing:

$$\mathcal{H}_j = \frac{1}{n} \sum_{t=1}^n \Delta_j(\mathcal{M}(P_t), \Pi_t)$$

where, for every  $j \geq 1$ ,  $\Delta_j(\mathcal{M}(P_t), \Pi_t)$  is 1 if the j-th ranked pocket hits  $\Pi_t$  or 0 otherwise.

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## **Model comparison**

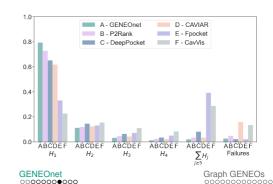
#### Scoring on BIND TEST



Method	$\mathcal{H}_1$	$\mathcal{H}_2$	$\mathcal{H}_3$	$\mathcal{H}_4$	$\sum_{j\geq 5}\mathcal{H}_j$	failures
GENEOnet	0.794	0.113	0.033	0.013	0.021	0.026
P2Rank	0.728	0.119	0.046	0.024	0.035	0.048
DeepPocket	0.651	0.146	0.064	0.035	0.082	0.022
CAVIAR	0.618	0.125	0.043	0.022	0.033	0.163
Fpocket	0.332	0.131	0.072	0.050	0.393	0.022
CavVis	0.228	0.156	0.110	0.084	0.287	0.135

Method	$\mathcal{T}_1$	$\mathcal{T}_2$	$\mathcal{T}_3$	$\mathcal{T}_4$	$\sum_{j\geq 1} \mathcal{T}_j$
GENEOnet	0.794	0.907	0.940	0.953	0.974
P2Rank	0.728	0.847	0.893	0.917	0.952
DeepPocket	0.651	0.797	0.861	0.896	0.978
CAVIAR	0.618	0.743	0.786	0.808	0.841
Fpocket	0.332	0.463	0.535	0.585	0.978
CavVis	0.228	0.384	0.494	0.578	0.865

 $\mathcal{T}_j = \sum_{i=1}^j \mathcal{H}_i$ 

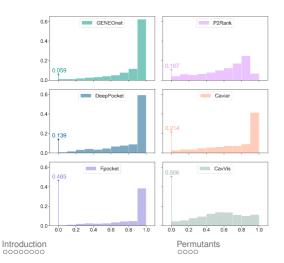


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## Model comparison Overlap on BIND TEST

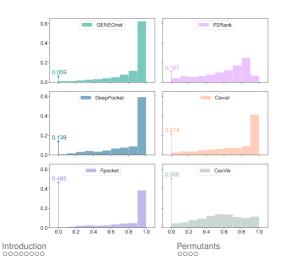


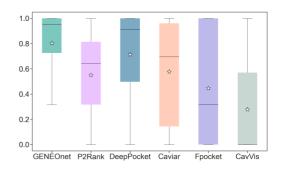




## Model comparison Overlap on BIND TEST







GENEOnet

## Model explainability



One of the great advantages of GENEOnet compared to other methods is its intrinsic **explainability** and **reliability** (Guidotti, Monreale, et al., 2021; Giudici, 2024).

Unit	Channel	$\sigma_i$	$\alpha_j$	$\theta$
1	Distance	3.110	0.362	0.756
2	Gravitational	5.197	0.002	
3	Electrostatic	2.561	0.054	
4	Lipophilic	4.678	0.338	
5	Hydrophilic	3.545	0.001	
6	Polar	6.166	0.185	
7	HB Acceptor	4.186	0.056	
8	HB Donor	3.908	0.001	

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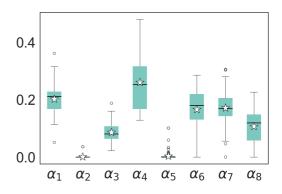
GENEOnet

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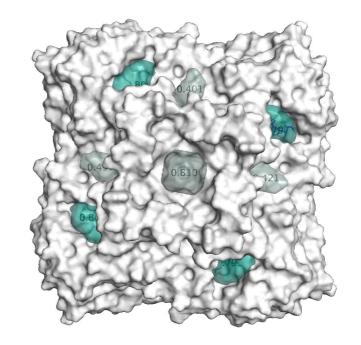
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**GENFOnet** 0000000000

The Figure shows GENEOnet prediction for protein ID 2QWE which is made of **four symmetrical units** very similar to each other in their spatial arrangement.

This example shows equivariance and non-expansivity jointly in action.



#### Isomorphism problem



Given a pair of undirected and unweighted graphs  $\Gamma_1 = (V_1, E_1)$  and  $\Gamma_2 = (V_2, E_2)$  decide whether there exists a bijection  $f \colon V_1 \to V_2$  such that  $\{u, v\} \in E_1$  if and only if  $\{f(u), f(v)\} \in E_2$ .

L. Babai (Babai, 2019) proved that such problem is solvable in quasi-polynomial time<sup>a</sup>. Anyway, **no polynomial time** algorithm is currently known and it is also unclear if it is a NP-complete problem (most experts believe it to be an NP-intermediate problem).



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<sup>&</sup>lt;sup>a</sup>Time complexity in the class  $2^{\mathcal{O}((\log n)^C)}$ 

#### Isomorphism tests



An isomorphism test is a procedure that, given a pair of graphs  $(\Gamma_1, \Gamma_2)$  gives at least a sufficient condition for non isomorphism.

- A negative result means the two graphs are surely non isomorphic.
  - **1 Exact tests:** A positive result implies the two graphs are isomorphic.

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- Exact tests are slow (no polynomial algorithm available).
- Inexact tests are usually fast (can achieve linear complexity).

18, 02, 2025



From now on we will consider **undirected** and **unweighed** graphs  $\Gamma$  with (at most) N nodes seen as subgraphs of the complete graph  $K_N$  as described in (Bocchi, Ferri, and Frosini, 2025).

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- ② As functional space  $\Phi = \{\varphi \colon X \to \{0,1\}\}.$
- **3** As group  $G = \{g \colon X \to X \mid g(\{v_i, v_j\}) = \{v_{\sigma(i)}, v_{\sigma(j)}\}\}$  where  $\sigma$  is a permutation of  $\{1, \dots, N\}$ .

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- **1**  $\Gamma_1, \Gamma_2$  isomorphic  $\iff$  it exists  $g \in G$  such that  $\varphi_1 = \varphi_2 \circ g$ .



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We will also consider auxiliary graphs  $\Lambda$  seen as **subgraphs** of a smaller complete graph  $K_k$  with  $k \ll N$ .

- **③** As domain  $Y = \{\{w_i, w_i\} : i \neq j; i, j \in \mathbb{N}_k\}.$
- **②** Two functional spaces:  $\Psi_0 = \{\varphi \colon Y \to \{0,1\}\}$  and  $\Psi = \{\varphi \colon Y \to [0,1]\}$ .
- **③** A subgraph  $\Lambda$  is uniquely represented by  $\psi_0 \in \Psi_0$  denoted by  $\Lambda \sim \psi_0$ .

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Definition



Using subgraphs, we introduced the following set that proves to be a **generalized permutant**. Given  $\Lambda \sim \psi_0$  as a subgraph of  $\Gamma \sim \varphi$  is the set of functions from Y to X of the form:

$$H_{\Lambda}^{\varphi} = \{h \colon Y \to X \mid (\varphi \circ h)(\{w_i, w_j\}) = 1 \iff \psi_0(\{w_i, w_j\}) = 1$$
$$\{w_i, w_j\} \in Y, h \text{ is injective}\}$$

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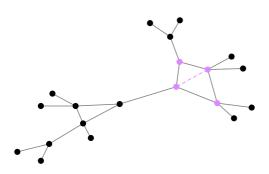
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$$\{w_i, w_j\} \in Y, h \text{ is injective}\}$$

#### Proposition (G.B., M. Ferri, P. Frosini)

Any set  $H^{\varphi}_{\Lambda}$  is a generalized permutant for  $T: G_{\Gamma} \to \{id_{Y}\}.$ 

Example: ∧ is a 4-cycle





(a) 
$$\Gamma_1$$
  $H_{\Lambda}^{\varphi_1} = \emptyset$ 

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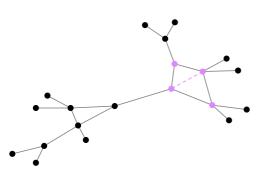
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Graph GENEOs

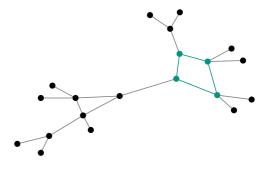
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Example: ∧ is a 4-cycle









(b) 
$$\Gamma_2 |H_{\Lambda}^{\varphi_2}| = 8$$

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## **Subgraph GENEOs**



We proved this new proposition in order to admit the case in which the permutant is  $\varphi$  dependent:

#### Proposition (G.B., M. Ferri, P. Frosini)

The operator  $F_{\Lambda}$  defined as

$$F_{\Lambda}(\varphi) = \frac{1}{n_{\Lambda}|G_{\Lambda}|} \sum_{h \in H_{\Lambda}^{\varphi}} \varphi \circ h$$

is a linear GENEO between the pairs  $(\Phi, G)$ ,  $(\Psi, \{id_Y\})$  with respect to the trivial homomorphism  $T: G \to \{id_Y\}$ , where  $n_\Lambda$  is the number of occurrences of  $\Lambda$  in a complete graph with N nodes.

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### **GENEO** based isomorphism test

#### **Theorem**



#### Theorem (G.B., M. Ferri, P. Frosini)

Given two graphs  $\Gamma_1 \sim \varphi_1$  and  $\Gamma_2 \sim \varphi_2$ , for every choice of  $p \ge 1$  and  $\Lambda_1, \ldots, \Lambda_p$  the map:

$$F_{\rho}(\varphi_1, \varphi_2) = \max_{j=1}^{\rho} F_{\{j\}}(\varphi_1, \varphi_2) \quad \textit{where} \quad F_{\{j\}}(\varphi_1, \varphi_2) = \left| \left| \frac{1}{2} \middle| F_{\Lambda_j}(\varphi_1) - F_{\Lambda_j}(\varphi_2) \middle| \right| \right|_{\infty}$$

is a GENEO from  $(\Phi \times \Phi, G \times G)$  to  $(\mathbb{R}, \{id_S\})$  with respect to the trivial homomorphism  $T \colon G \times G \to \{id_S\}$  ( $\mathbb{R}$  is identified with the set of real-valued functions on a singleton S). Moreover, the followings hold true:

- If  $\Gamma_1$  and  $\Gamma_2$  are isomorphic then  $F_p(\varphi_1, \varphi_2) = 0$ .
- $F_p(\varphi_1, \varphi_2) \neq 0$  then  $\Gamma_1$  and  $\Gamma_2$  are not isomorphic.

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## **GENEO** based isomorphism test

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- ②  $F_p(\varphi_1, \varphi_2) \neq 0$  then  $\Gamma_1$  and  $\Gamma_2$  are not isomorphic.

Thus  $sgn(F_p(\varphi_1, \varphi_2))$  provides an inexact isomorphism test!

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## Case study

### r-regular graphs



How to choose the right subgraphs to obtain a **simple** and **efficient** test?

We considered *r*-regular graphs, which are known to be hard to distinguish for methods like the Weisfeiler-Leman test (Weisfeiler and Leman, 1968) and (Message Passing) Graph Neural Networks (Scarselli, Gori, et al., 2009; Morris, Ritzert, et al., 2019).

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A graph is called *r*-regular for  $r \ge 2$  if every node has degree exactly equal to r.

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## Case study

### r-regular graphs



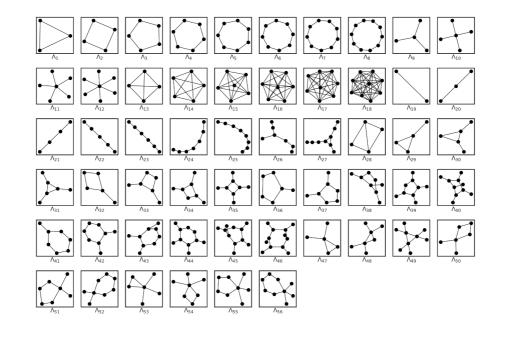
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# **Evaluation of** $F_{\Lambda_j}$ Single operator accuracy



To select the most promising operators to distinguish non isomorphic graphs, we first computed the distinguishing power of each operator  $F_{\{j\}}$  alone on a random sample of  $\{3,4,5\}$ -regular graphs:

$$A\left(F_{\{j\}}\right) = \frac{1}{m} \sum_{k=1}^{m} \mathbb{1}\left(\operatorname{sgn}\left(F_{\{j\}}\left((\varphi_{1}, \varphi_{2})_{k}\right)\right) = y_{k}\right)$$

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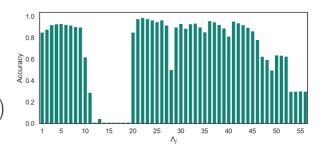


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### Selection of $\Lambda_j$ Selection algorithm



### Algorithm 1: Forward Selection

$$\begin{aligned} & \overline{\mathbf{Data:}} \ \Lambda_1, \dots, \Lambda_p, \, ((\varphi_1, \varphi_2)_k)_{k=1}^m \\ & \mathbf{Result:} \ S \subseteq \left\{\Lambda_1, \dots, \Lambda_p\right\} \\ & I \leftarrow 1; \, i_l \leftarrow \underset{t \in \{1, \dots, p\}}{\arg\max} \ A\Big(F_{\{t\}}\Big); \\ & \mathbf{while} \quad \underset{t \in \{1, \dots, p\} \setminus \{i_1, \dots, i_l\}}{\max} \ A\Big(F_{\{i_1, \dots, i_l\} \cup \{t\}}\Big) > A\Big(F_{\{i_1, \dots, i_l\}}\Big) \ \mathbf{do} \\ & \Big| \quad i_{l+1} \leftarrow \underset{t \in \{1, \dots, p\} \setminus \{i_1, \dots, i_l\}}{\arg\max} \ A\Big(F_{\{i_1, \dots, i_l\} \cup \{t\}}\Big); \\ & \mathbf{end} \\ & S = \left\{\Lambda_{i_1}, \dots, \Lambda_{i_l}\right\}; \end{aligned}$$

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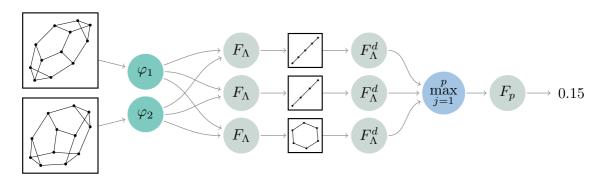
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## Selection of $\Lambda_j$



After running the selection algorithm we found that maximum accuracy could be achieved with only three operators  $F_{22}$ ,  $F_{21}$  and  $F_4$ :



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### Comparison

### Accuracy and execution time



Finally we tested the network against other SOTA methods, both exact and inexact, considering both expressivity and time efficiency.

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### Comparison

#### Accuracy and execution time



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	N								
Method	10	0	100	00	10000				
	Time	Acc	Time	Acc	Time	Acc			
GENEO-1	0.014	0.990	0.138	0.986	1.338	0.984			
GENEO-2	0.019	0.996	0.193	0.994	1.866	0.994			
GENEO-3	0.051	1.000	0.539	1.000	5.202	1.000			
NTX-FASTER	0.000	0.000	0.000	0.000	0.003	0.000			
NTX-FAST	0.001	0.719	0.011	0.758	0.128	0.754			
NTX-COULD	0.003	0.719	0.114	0.758	9.747	0.754			
NTX-IS	4.719	0.691	10.000	0.000	10.000	0.000			
1-WL	0.001	0.000	0.008	0.000	0.090	0.000			
2-WL	7.134	0.000	10.000	0.000	10.000	0.000			
3-WL	10.040	0.000	10.000	0.000	10.000	0.000			

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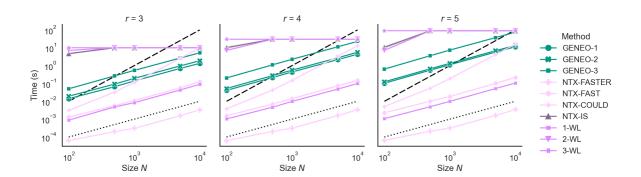
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### Comparison

### **Execution times plot**





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## Thank you for your attention!

"It's still magic even if you know how it's done."

(Terry Pratchett — A Hat Full of Sky)

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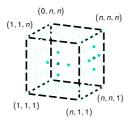
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## **Application to dice classification Data**



The space X models a discretization of a cube into a grid



References

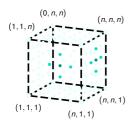
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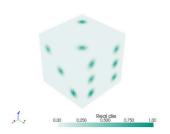
## **Application to dice classification Data**



The space X models a discretization of a cube into a grid



Φ represents scans of dice: **real** (opposite faces sum to 7)...



References

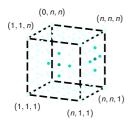
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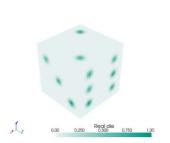
### Application to dice classification Data



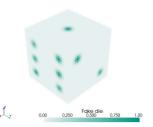
The space X models a discretization of a cube into a grid



Φ represents scans of dice: **real** (opposite faces sum to 7)...



... And fake (opposite faces never sum to 7).



References

Other References

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# **Application to dice classification Operators**



We identified three permutants  $H_i$  for the group G of orientation-preserving isometries of the cube, such permutants define an equal number of GENEOs

$$F_i(\varphi) = \frac{1}{|H_i|} \sum_{h \in H} \varphi \circ h^{-1} \quad i \in \{1, 2, 3\}$$

References

Other References

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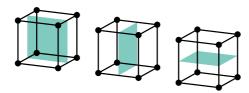
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Planes parallel to one of the faces ...



References

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Dice classification

# **Application to dice classification Operators**

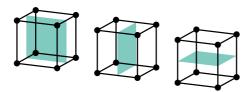


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Planes parallel to one of the faces ...

... central symmetry of cube's center ...





References

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Dice classification

## Application to dice classification

#### Network and results



We combined these three GENEOs to obtain a small network by using convex combination

$$F_{\alpha}(\varphi) = \sum_{i=1}^{3} \alpha_{i} F_{i}(\varphi)$$

We used  $F_{\alpha}$  to **classify** data between real/fake comparing to a similar approach without using GENEOs.

References

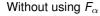
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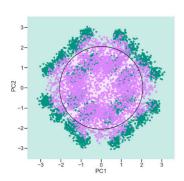
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Other References

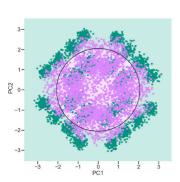
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## **Application to dice classification**

### Network and results



Without using  $F_{\alpha}$ 

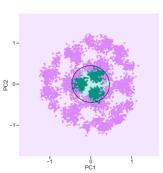


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Using  $F_{\alpha}$ 



References

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### Connections between GENEOs and TDA



#### GENEOs and Topological Data Analysis (TDA) are indeed deeply interconnected:

- ◆ TDA helps in the comparison of GENEOs, it makes available an efficiently computable pseudo-metric between GENEOs, which are usually not easily compared using d<sub>GENEO</sub> (Bergomi, Frosini, et al., 2019).
- The extension of one-parameter TDA to multiparameter TDA can be based on a suitable GENEO (Cerri, Ethier, and Frosini, 2019)
- The use of GENEOs allows one to restrict the invariance of TDA to suitable invariance groups (Frosini and Jabłoński, 2016)

References

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## How to introduce a topology on X



In principle the set X initially has **no topological structure**, anyway, to introduce GENEOs is necessary to consider Homeo(X). Indeed, once fixed the space  $\Phi$  of admissible functions, a topology on X can be derived using the pseudo-metric

$$D_X(x_1,x_2) = \sup_{\varphi \in \Phi} |\varphi(x_1) - \varphi(x_2)|$$

## The natural pseudo distance



An important concept in TDA is that of natural pseudo-distance associated to the group G:

#### Definition

The pseudo-distance  $\mathfrak{D}_G \colon \Phi \times \Phi \to \mathbb{R}$ 

$$\mathfrak{D}_{G}(\varphi_{1},\varphi_{2}) = \inf_{g \in G} ||\varphi_{1} - \varphi_{2} \circ g||_{\infty}$$

It is called the natural pseudo-distance associated with a group G acting on  $\Phi$ .

Often  $\mathfrak{D}_G$  represents the **ground truth** of data comparison. Indeed in the part concerning GraphGENEOs we had that:

$$\mathfrak{D}_{G}(\varphi_{1}, \varphi_{2}) = 0 \iff \Gamma_{1} \sim \varphi_{1}, \Gamma_{2} \sim \varphi_{2} \text{ are isomorphic}$$

$$\mathfrak{D}_G(\varphi_1, \varphi_2) = 1 \iff \Gamma_1 \sim \varphi_1, \Gamma_2 \sim \varphi_2$$
 are non isomorphic

## **Permutants VS Group convolution**



Since *H* must be finite, the provides a practical and possibly efficient way of computing GENEOs.

$$F_H(\varphi) = egin{cases} rac{1}{|H|} \sum_{h \in H} \varphi \circ h & \text{if } |H| > 0 \\ \mathbf{0} & \text{otherwise} \end{cases}$$

No approximation needed and the smallest H the fasted  $F_H$  can be computed.

Methods belonging to the sphere of Geometric Deep Leaning (Weiler and Cesa, 2019; Cohen and Welling, 2016; Weiler, Hamprecht, and Storath, 2018) may obtain equivariant operators using group convolution:

$$\psi(u) = [K*_G \varphi](u) = \int_G K(v^{-1}u)\varphi(v)d\mu_G(v)$$

However, when the group is infinite, it needs to be approximated...

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## **Model comparison**

#### **Datasets**



To train, select and compare GENEOnet we employed two data sources

- The PDBbind database http://pdbbind.org.cn/, which offers a well-curated collection of experimentally determined binding affinity data for protein-ligand complexes stored within the Protein Data Bank. From PDBbind we retrieved 12295 complexes that were divide into BIND\_VAL (around 25%) and BIND\_TEST (around 75%).
- The Protein Data Bank https://www.rcsb.org/ is the largest biomolecular structure repository, it processes, checks, annotates, and releases new structures daily. From the PDB we retrieved 41519 complexes denoted as WILD.

References

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### The loss function $\mathcal{L}$

#### The hyperparameter $\kappa$



When  $\kappa = 0$ 

$$\mathcal{L}(\widehat{\psi},\Pi) = rac{|\widehat{\psi} \wedge \Pi|}{|\Pi|} = \mathcal{V}(\widehat{\psi},\Pi)$$

the loss function coincides with the overlap metric. This would produce huge pockets since the only things that matters is to include the ground truth in the prediction. When  $\kappa = 1$ 

$$\mathcal{L}(\widehat{\psi}, \Pi) = \frac{|\widehat{\psi} \wedge \Pi| + |(\mathbf{1} - \widehat{\psi}) \wedge (\mathbf{1} - \Pi)|}{|\Pi| + |\mathbf{1} - \Pi|}$$

the loss function coincides with the proportion of correctly classified voxels between cavity and non-cavity. This would favor the correct identification of non-cavity voxels, which are the vast majority, due to a label imbalance problem.

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## $\mathcal{H}_i$ with more than one true pocket



When the dataset of proteins is such that each protein has only **one** true pocket:

$$\mathcal{H}_j = rac{1}{N} \sum_{t=1}^N \Delta_j(\mathcal{M}(P_t), \Pi_t)$$

for every  $j \ge 1$ .

Otherwise when proteins may have **multiple** replicas of the true pocket, for example due to symmetries:

$$\mathcal{H}_n = rac{1}{N} \sum_{t=1}^N \sum_{j=1}^n \Delta_j(\mathcal{M}(P_t), \Pi_t)$$

$$\mathcal{H}_{n+j} = \frac{1}{N} \sum_{t=1}^{N} \Delta_{n+j}(\mathcal{M}(P_t), \Pi_t)$$

for every  $j \ge 1$ , where n is the number of replicas for each protein.

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## **Overlap VS DVO**



To asses GENEOnet performances we considered as primary metric the **overlap** of a predicted pocket  $\widehat{\Pi}$  with the true pocket  $\Pi$ 

$$\mathcal{V}(\widehat{\Pi},\Pi) = \frac{|\Pi \wedge \widehat{\Pi}|}{|\Pi|}$$

In the literature is also possible to find another metric called **Discretized Volumetric Overlap** (DVO)

$$DVO(\widehat{\Pi}, \Pi) = \frac{|\Pi \wedge \widehat{\Pi}|}{|\Pi \vee \widehat{\Pi}|}$$

## **Graph permutants**



### **Proposition**

The set  $H_{\tau}$  defined as

$$H_{\tau} = \{g \in G: \text{ induced by a permutation } \sigma \text{ of } \{1, \dots, N\} \text{ of kind } \tau\}$$

is a permutant for the pairs  $(\Phi, G)$ ,  $(\Phi, G)$  with respect to the trivial homomorphism  $T = id_G$  for every possible choice of kind  $\tau$ .

Considering N = 6:

au   0	2	2,2,2	3,3	3	2,2	2,4	4	6	2,3	5     <i>G</i>
$ H_{\tau} $ 1	15	15	40	40	45	90	90	120	120	144   720

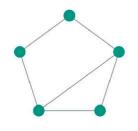
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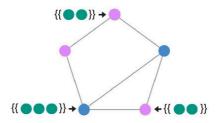
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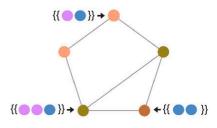
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## Weisfeiler Leman coloring test (1-WL)









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## Almost equivalence of 1-WL and GNNs



```
Algorithm 2: 1-WL
```

 $\mathbf{c}_{\Gamma} = \mathsf{HISTOGRAM}(\{\{\mathbf{c}_{V}^{(L)}: v \in V\}\});$ 

### **Algorithm 3: GNNs**

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end

## Testing *r*-regular graphs



Some special families of graphs can be tested in polynomial time:

- Trees
- Planar graphs
- etc.

In particular, also *r*-regular graphs can be tested in polynomial time:

- For 3-regular graphs
  - A bound of  $\mathcal{O}(n^5)$  was proven in (Luks, 1982).
  - **2** A bound of  $\mathcal{O}(n^3 \log n)$  was proven in (Galil, Hoffmann, et al., 1987).
- ② For *r*-regular graphs a general bound of  $n^{\mathcal{O}(r)}$  can be established.