



# Group Equivariant Non-Expansive Operators:

A blueprint for a different kind of  
Neural Networks





# Hello!

*I am **Giovanni Bocchi***

2<sup>nd</sup> year PhD student working on applications of Group Equivariant Non-Expansive Operators to Artificial Intelligence in the direction of Explainability and Trustworthiness.

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# Framework

Statistical Learning

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## The Framework of Statistical Learning

Consider a **data domain**  $\mathcal{X}$ , a **labels set**  $\mathcal{Y}$  and a joint unknown **probability distribution**  $\mathcal{D}$  on  $\mathcal{X} \times \mathcal{Y}$ .

A function  $h: \mathcal{X} \rightarrow \mathcal{Y}$  is called **predictor** (**regressor** or **classifier** depending on the problem).

Undesired predictions are penalized through a **loss function**

$$\ell: \mathcal{Y} \times \mathcal{Y} \rightarrow \mathbb{R}_+$$

Example:  $\ell(y, \hat{y}) = (y - \hat{y})^2$



## The Framework of Statistical Learning

The objective is to find a predictor that minimizes the **Statistical Risk** among a certain class of predictors:

$$\ell_{\mathcal{D}}(h) = \mathbb{E}_{(X,Y) \sim \mathcal{D}}[\ell(Y, h(X))]$$

The usual strategy is to observe an i.i.d. **sample** (usually called **training set**)  $S = (X_i, Y_i)_{i=1}^n$  and to try to minimize the **Empirical Risk** instead

$$\hat{\ell}(h) = \frac{1}{n} \sum_{i=1}^n \ell(Y_i, h_S(X_i))$$

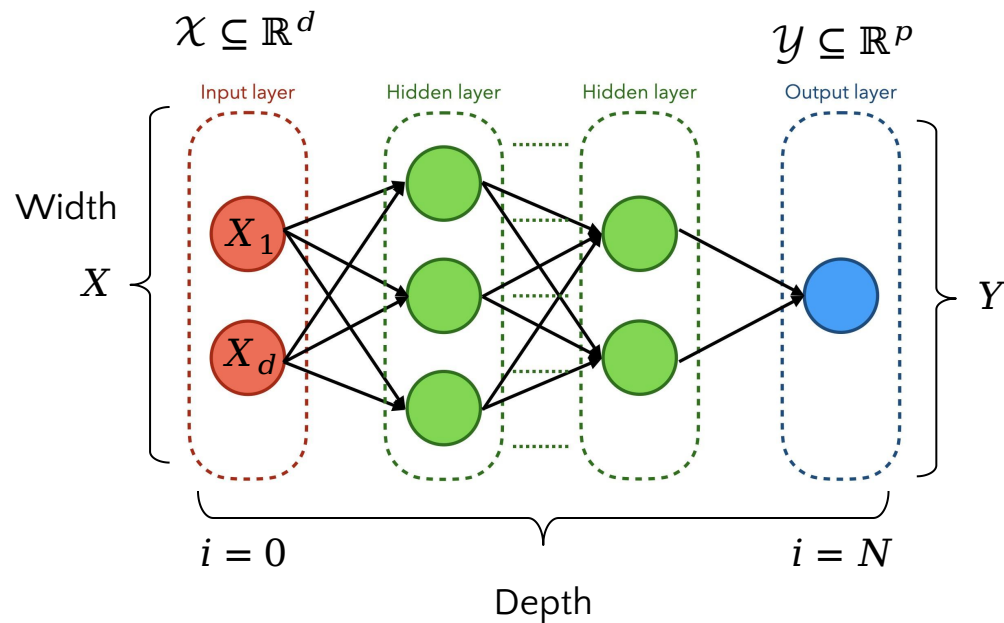
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# Neural Networks

A popular class of predictors



# What are Neural Networks?



Feedforward NN:

$$\begin{aligned} H_0 &= X \\ H_{k+1} &= \sigma_k(W_k H_k + b_k) \\ H_N &= \hat{Y} \end{aligned}$$

$$\begin{aligned} NN &= \phi_N \circ \dots \circ \phi_0 \\ \phi_0 &= Id \\ \phi_k &= \sigma_k \circ (W_k \cdot + b_k) \end{aligned}$$



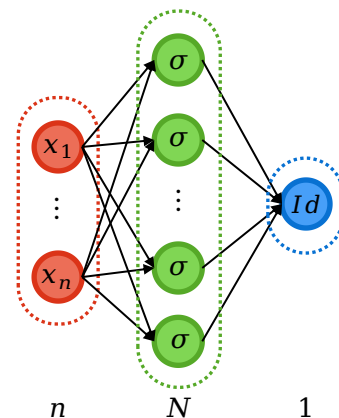
## A classical result

### Theorem (Cybenko 1989)

Let  $C([0, 1]^n, \mathbb{R})$  denote the set of all continuous function from the unit hypercube to  $\mathbb{R}$ , let  $\sigma$  be any sigmoidal activation function then the finite sum of the form

$$f(x) = \sum_{i=1}^N \alpha_i \sigma(w_i^t x + b_i)$$

is dense in  $C([0, 1]^n, \mathbb{R})$ .







## A recent result

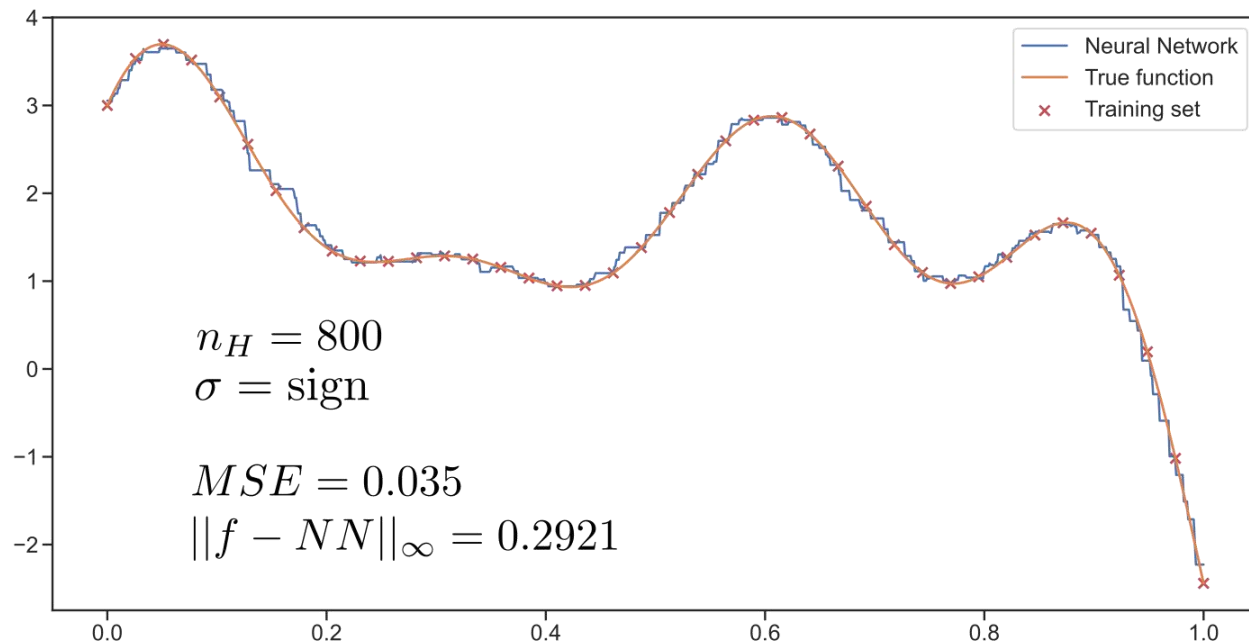
### Theorem (Lu et al. 2017)

For any Lebesgue integrable function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and any  $\varepsilon > 0$  there exists a fully-connected ReLU network  $A$  with width  $d_m \leq n + 4$ , such that the function  $F_A$  represented by this network satisfies:

$$\|f - F_A\|_{L^1} < \varepsilon$$

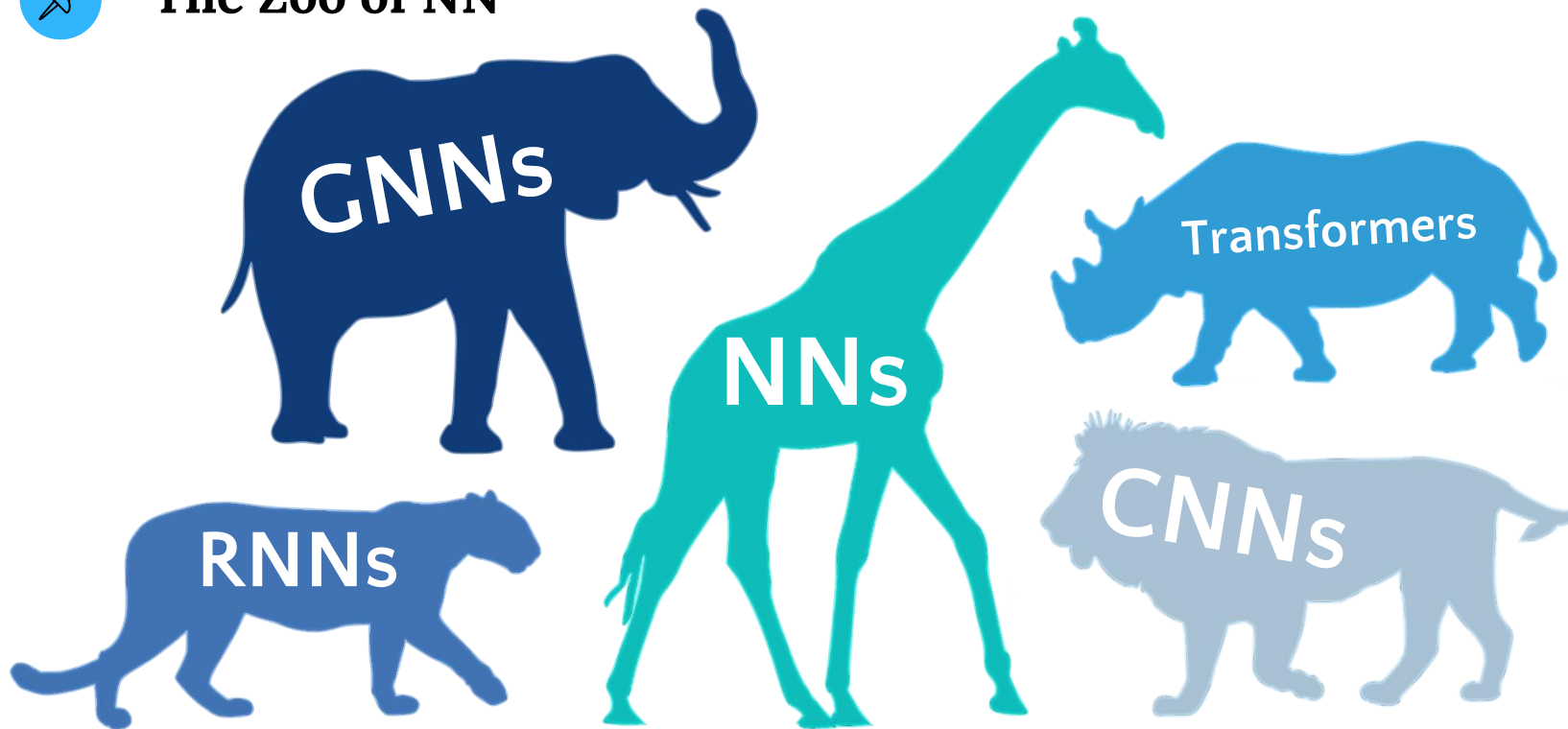


## Function Approximation



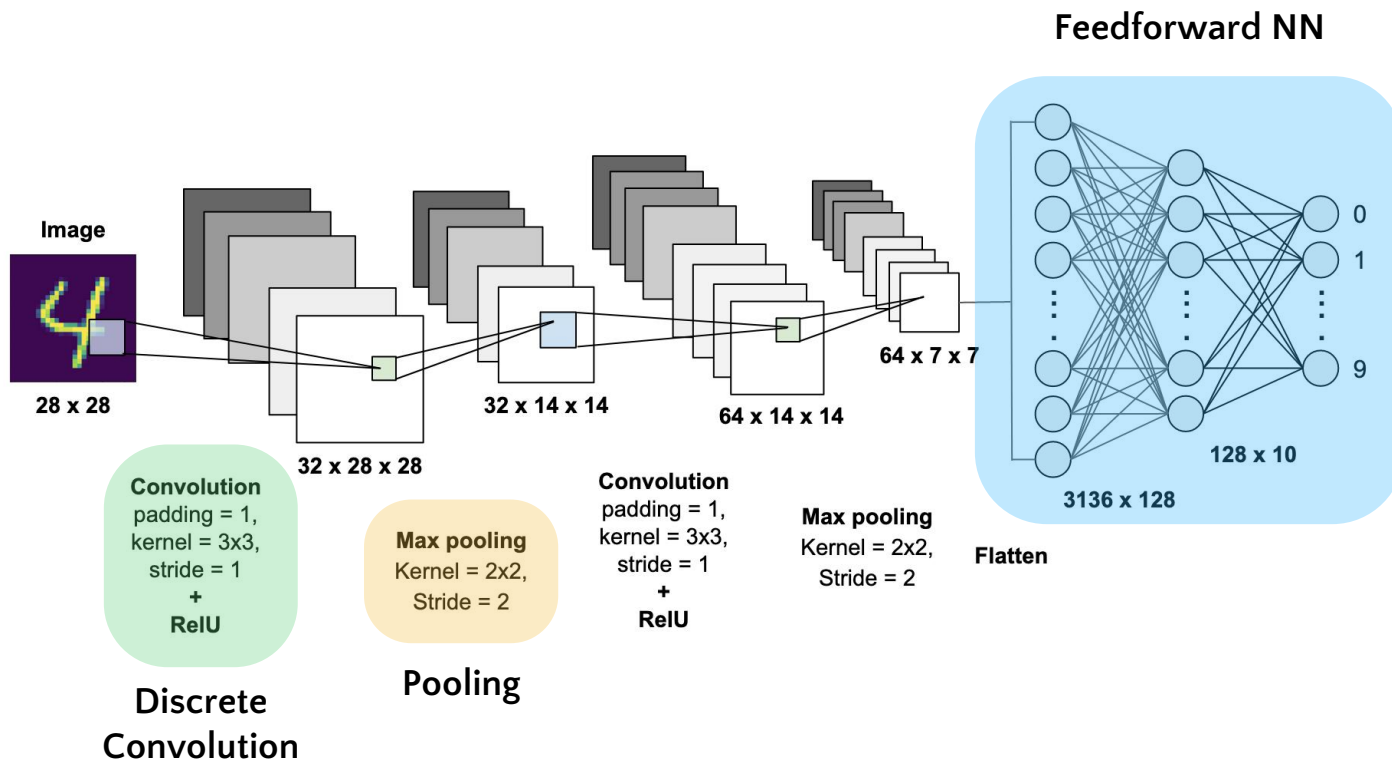


## The Zoo of NN





# An animal from the Zoo: CNNs





## CNNs: Discrete Convolution (Correlation)

$\times 1$	$\times 0$	$\times 1$
$\times 0$	$\times 1$	$\times 0$
$\times 1$	$\times 0$	$\times 1$

Filter

1	1	1	0	0
0	1	1	1	0
0	0	1	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved  
Feature

$$(f * k)(i, j) = \sum_{n=-h}^{+h} \sum_{m=-h}^{+h} f(i+n, j+m)k(i, j)$$



## CNNs: (Max) Pooling

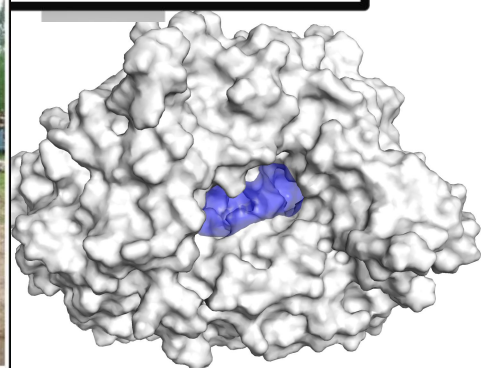
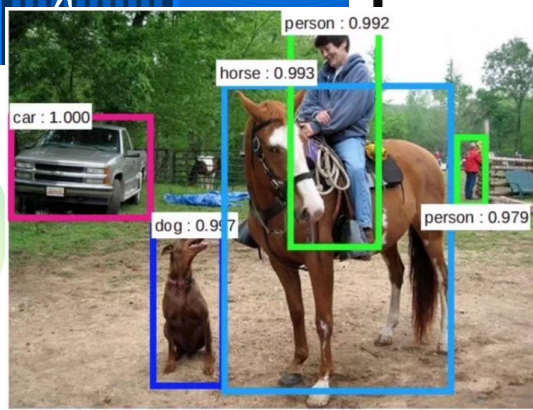
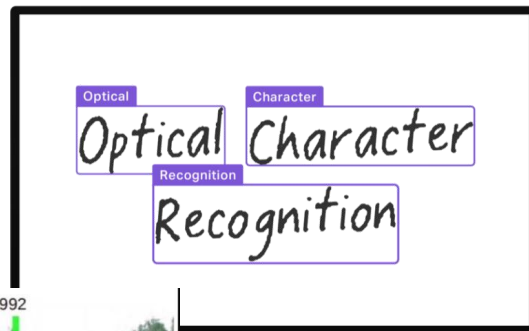
1	3	2	9
7	4	1	5
8	5	2	3
4	2	1	4

7	9
8	

$$[MaxPool(f, h, s)](i, j) = \max \{f((si + 1) + n, (sj + 1) + m) : n, m \in \{0, \dots, h - 1\}\}$$



## What CNNs can do



*The pooling operation used in convolutional neural networks is a big mistake, and the fact that it works so well is a disaster.*

Geoffrey Hinton

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# Deep Learning's Issues

Not all that glitters is gold



## Mathematicians versus CNN Round 1



Mathematicians:

CNN: African Elephant (81.69%)



Mathematicians:

CNN: Arabian Camel (88.60%)



## Mathematicians versus CNN Round 2



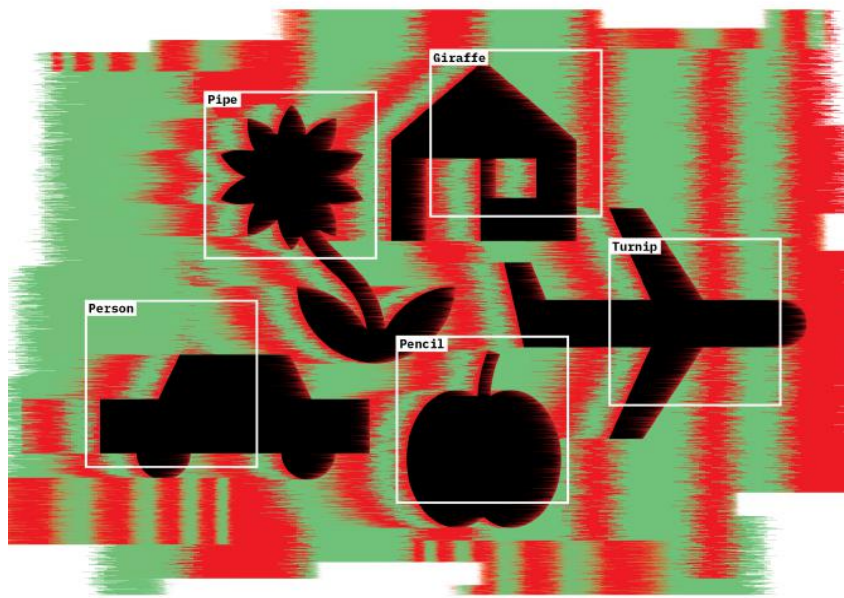
**Mathematicians:**

**CNN:** African Elephant (81.69%)



**Mathematicians:**

**CNN:** LLama (31.64%)



CNNs (like other architectures) have problems:

- Unstable
- Non Equivariant
- Hackable

ILLUSTRATION BY DOUGLAS HEAVEN

# DEEP TROUBLE FOR DEEP LEARNING

BY DOUGLAS HEAVEN

ARTIFICIAL-INTELLIGENCE RESEARCHERS ARE TRYING TO FIX THE FLAWS OF NEURAL NETWORKS.

A self-driving car approaches a stop sign, but instead of slowing down, it accelerates into the busy intersection. An accident report later reveals that four small rectangles had been stuck to the face of the sign. These fooled the car's onboard artificial intelligence (AI) into misreading the word 'stop' as 'speed limit 45'.

Such an event hasn't actually happened, but the potential for sabotaging AI is very real. Researchers have already demonstrated how to fool an AI system into misreading a stop sign, by carefully positioning stickers on it<sup>1</sup>. They have deceived facial-recognition systems by sticking a printed pattern on glasses or hats. And they have tricked speech-recognition systems into hearing phantom phrases by inserting patterns of white noise in the audio.

These are just some examples of how easy it is to break the leading pattern-recognition technology in AI, known as deep neural networks (DNNs). These have proved incredibly successful at correctly classifying all kinds of input, including images, speech and data on consumer preferences. They are part of daily life, running

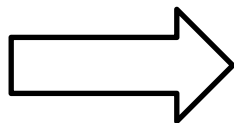


## Computer Scientist's solution to second problem

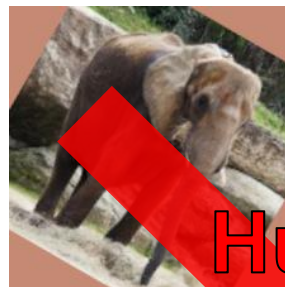


$(X_i, Y_i)$

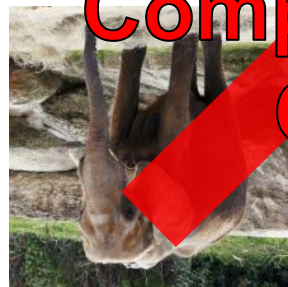
Data  
Augmentation



$(X_i^1, Y_i)$



$(X_i^2, Y_i)$



$(X_i^{N-1}, Y_i)$



$(X_i^N, Y_i)$

**Huge  
Computational  
Costs**



## Convolution Pros and Cons

### Good

Convolution is by definition translation equivariant:

$$(f \circ \tau) * k = (f * k) \circ \tau$$

### (Usually) Bad

Translations is the largest group of transformations for which this happens:

$$(f \circ \rho) * k \neq (f * k) \circ \rho$$

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# GENEOs

A possible solution

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## GENEOs definition

Consider two spaces of functions defined on two topological spaces  $X$  and  $Y$

$$\Phi = \{\varphi: X \rightarrow \mathbb{R}\}$$

$$\Psi = \{\psi: Y \rightarrow \mathbb{R}\}$$

Then consider the group  $Hom_{\Phi}(X)$  of all the homeomorphisms of  $X$  that preserve  $\Phi$ : those  $g \in Hom(X)$  such that  $\varphi \circ g \in \Phi$  for all  $\varphi \in \Phi$ .





## GENEOs definition

Then chose a subgroup  $G$  of  $Hom_{\Phi}(X)$ . Repeat for  $H$  subgroup of  $Hom_{\Psi}(X)$ . Finally fix a homomorphism  $T: G \rightarrow H$ .

### Definition (GENEOs)

A Group Equivariant Non-Expansive Operator  $F$  between  $(\Phi, G)$  and  $(\Psi, H)$  wrt to  $T$  is a map  $F: \Phi \rightarrow \Psi$  with the following two properties:

- **Equivariance:**  $F(\varphi \circ g) = F(\varphi) \circ T(g) \quad \forall \varphi, \forall g$
- **Non-Expansivity:**  $\|F(\varphi_1) - F(\varphi_2)\|_{\infty} \leq \|\varphi_1 - \varphi_2\|_{\infty}$



## Example

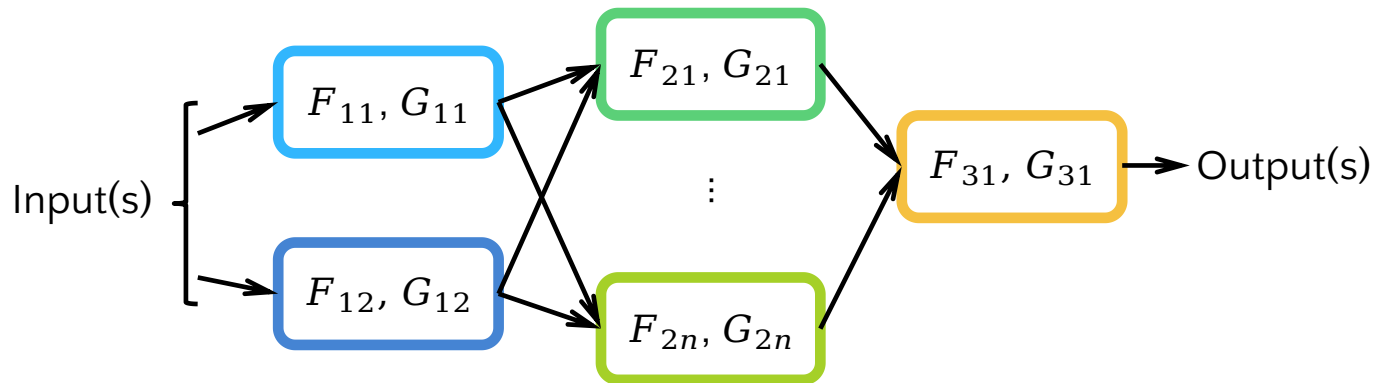
$$\begin{aligned}X &= Y = \mathbb{R}^2 \\ \Phi &= \Psi = L^\infty(\mathbb{R}^2) \\ G &= H = \{ \tau_y(x) = x - y \} \\ T &= Id_G \\ k &\in \{ h \in L^1(\mathbb{R}^2) : \|h\|_{L^1} = 1 \}\end{aligned}$$

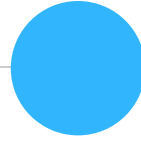
Then the convolution operator  $F(\varphi) = \varphi * k$  is a GENEIO equivariant w.r.t. to planar translations.



## Perspective

Exploit these (and others properties of GENEOS) to define a different kind of “Neural” Networks with broader properties of Equivariance and more robust to noise.





# Takehome message

Neural Networks and DeepLearning are the state of the art in Artificial Intelligence. However, they are not perfect and far from being fully understood. Moreover mathematics can help mitigate some of the problems.



# Thanks!

*Any **questions** ?*

You can reach me at

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## References

### Issues with DeepLearning

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