

# TRANSFINITE ORDINALS

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## 1 Defining transfinite ordinal numbers

Natural numbers can be represented by sets. Each number is represented by the set of all numbers smaller than it.

- $0 = \{\}$  (the empty set)
- $1 = \{0\} = \{\{\}\}$
- $2 = \{0, 1\} = \{\{\}, \{\{\}\}\}$
- $3 = \{0, 1, 2\} = \{\{\}, \{\{\}\}, \{\{\}, \{\{\}\}\}\}$
- ...

The successor of a natural number can be defined by  $suc(n) = n + 1 = n \cup \{n\}$ .

We have  $n \leq p$  if and only if  $n \subseteq p$ .

$\mathbb{N}$  is the set of all natural numbers :  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$  The natural numbers can be generalized to what is called "transfinite ordinal numbers", or simply "ordinal numbers" or "ordinals", by considering that infinite sets represent ordinal numbers.  $\mathbb{N}$  considered as an ordinal number is written  $\omega$ .  $\omega$  is the least ordinal which is greater than all the numbers  $0, 1, 2, 3, \dots$ . We say that  $\omega$  is a limit ordinal and  $0, 1, 2, 3, \dots$  is a fundamental sequence of  $\omega$ . This is written :  $\omega = sup\{0, 1, 2, 3, \dots\}$  or  $\omega = lim(n \mapsto n)$  because the  $n$ -th element (starting with 0) of the sequence is  $n$ . An ordinal does not have a unique fundamental sequence, for example  $1, 2, 3, 4, \dots$  is also a fundamental sequence of  $\omega$ , because the least ordinal that is greater than all ordinals of this sequence is also  $\omega$  (more generally the limit ordinal is the same if any number of the least items of a sequence are removed), and the same stands for the sequence  $0, 2, 4, 6, \dots$

Any ordinal can be defined as the least ordinal strictly greater than all ordinals of a set : the empty set for 0,  $\{\alpha\}$  for the successor of  $\alpha$ ,  $\{\alpha_0, \alpha_1, \alpha_2, \dots\}$  for an ordinal with fundamental sequence  $\alpha_0, \alpha_1, \alpha_2, \dots$

The successor can be generalized to transfinite ordinal numbers :  $suc(\omega) = \omega + 1 = \omega \cup \{\omega\} = \{0, 1, 2, 3, \dots, \omega\}$ ;  $suc(suc(\omega)) = \omega + 2 = \{0, 1, 2, 3, \dots, \omega, \omega + 1\}$  and so on.

Then we can consider the set  $\{0, 1, 2, 3, \dots, \omega, \omega + 1, \omega + 2, \omega + 3, \dots\}$  which is a limit ordinal, and  $\omega, \omega + 1, \omega + 2, \omega + 3, \dots$  is a fundamental sequence of this ordinal. This ordinal is  $\omega + \omega = \omega \cdot 2$  or  $\omega \times 2$  or  $\omega 2$ .

Then we can go on building greater and greater ordinals :  $\omega \cdot 3, \dots, \omega \cdot \omega = \omega^2, \omega^3, \dots, \omega^\omega, \omega^{\omega^\omega}, \dots$

For natural numbers, arithmetical operations are defined as follows :

- addition :  $a + 0 = a$ ;  $a + suc(b) = suc(a + b)$
- multiplication :  $a \cdot 0 = 0$ ;  $a \cdot suc(b) = (a \cdot b) + a$
- exponentiation :  $a^0 = 1$ ;  $a^{suc(b)} = a^b \cdot a$

The definitions of arithmetical operations can be generalized to ordinals by adding canonical rules for limit ordinals :

- addition :  $\alpha + 0 = \alpha$ ;  $\alpha + suc(\beta) = suc(\alpha + \beta)$ ;  $\alpha + lim(f) = lim(n \mapsto \alpha + f(n))$
- multiplication :  $\alpha \cdot 0 = 0$ ;  $\alpha \cdot suc(\beta) = (\alpha \cdot \beta) + \alpha$ ;  $\alpha \cdot lim(f) = lim(n \mapsto \alpha \cdot f(n))$
- exponentiation :  $\alpha^0 = 1$ ;  $\alpha^{suc(\beta)} = \alpha^\beta \cdot \alpha$ ;  $\alpha^{lim(f)} = lim(n \mapsto \alpha^{f(n)})$

Note that addition and multiplication are not commutative, for example  $1 + \omega = \omega \neq \omega + 1$ , because if we take  $0, 1, 2, 3, \dots$  as fundamental sequence of  $\omega$ , then a fundamental sequence of  $1 + \omega$  is  $1+0, 1+1, 1+2, 1+3, \dots = 1, 2, 3, 4, \dots$  and the least ordinal which is greater than all ordinals of this sequence is  $\omega$ . We will say that "1+" is "absorbed" by  $\omega$ . More generally, we have  $1 + \alpha = \alpha$  for any ordinal  $\alpha \geq \omega$ .

## 2 Veblen functions

The next step is the limit or least upper bound of  $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$  which is called  $\varepsilon_0$ . Note that we have  $\omega^{\varepsilon_0} = \varepsilon_0$ . We say that  $\varepsilon_0$  is a fixed point (the least one) of the function  $\alpha \mapsto \omega^\alpha$ .

Then we can go on with  $\varepsilon_0 + 1, \varepsilon_0 + 2, \dots, \varepsilon_0 + \varepsilon_0 = \varepsilon_0 \cdot 2, \dots, \varepsilon_0 \cdot \varepsilon_0 = \varepsilon_0^2, \varepsilon_0^{\varepsilon_0}, \dots$

The limit of  $\varepsilon_0, \varepsilon_0^{\varepsilon_0}, \varepsilon_0^{\varepsilon_0^{\varepsilon_0}}, \dots$  is called  $\varepsilon_1$ . It can be shown that it is also the limit of  $\varepsilon_0 + 1, \omega^{\varepsilon_0+1}, \omega^{\omega^{\varepsilon_0+1}}, \dots$  (see proof below).

These two fundamental sequences are examples of two ways of ascending ordinals :

- Build greater ordinals from known ones by increasing them using operations like successor, addition, multiplication, exponentiation, ... This method is used by the RSH0 notation which we will study later.
- When we have found a function that, when applied to a given ordinal, gives a greater one (for example  $\alpha \mapsto \omega^\alpha$ ), use it ad infinitum starting for example with 0, and then to go further use it ad infinitum starting with the successor of the previous result, and so on. This is called an enumeration of the fixed points of this function. A fixed point of a function  $f$  is a value (for example an ordinal)  $\alpha$  with  $f(\alpha) = \alpha$ . Under some conditions (see below), the least fixed point of  $f$  is the limit of  $0, f(0), f(f(0)), f(f(f(0))), \dots$ . If it is called  $\alpha$ , the next fixed point is the limit of  $\alpha + 1, f(\alpha + 1), f(f(\alpha + 1)), f(f(f(\alpha + 1))), \dots$ . More generally, the least fixed point of  $f$  that is greater or equal to  $\zeta$  is the limit of  $\zeta, f(\zeta), f(f(\zeta)), \dots$ . The Veblen functions use this method.

The required conditions are described for example in <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/Fruitful.pdf> page 8 lemma 3.9 :

For each fruitful function  $f$  and each ordinal  $\zeta$ ,  $f^\omega(\zeta + 1)$  is the least ordinal  $\nu$  such that  $\zeta < \nu = f(\nu)$ , or the least fixed point of  $f$  that is strictly greater than  $\zeta$  (or greater than or equal to  $\zeta + 1$ ).

$f^\omega(\zeta + 1)$  is the limit of  $\zeta + 1, f(\zeta + 1), f(f(\zeta + 1)), \dots$

A fruitful function is a function that is inflationary, monotone, big, and continuous.

A function  $f$  is inflationary if  $\alpha \leq f(\alpha)$ , monotone if  $\alpha \leq \beta \Rightarrow f(\alpha) \leq f(\beta)$ , big if  $\omega^\alpha \leq f(\alpha)$  except possibly for  $\alpha = 0$ , continuous if  $f(\text{VA}) = \text{Vf}[A]$  where  $\text{VA}$  is the pointwise supremum of the set  $A$ .

We will now prove by induction the equivalence of the two fundamental sequences above.

We will use the notation  $\alpha^{\cdot n}$  for an "exponential tower" with  $\alpha$  repeated  $n$  times.

Note that the ordinals respectively limits of the fundamental sequence whose  $n$ -th term is  $\varepsilon_0^{\cdot n}$  and the one whose  $n$ -th term is  $\omega^{\omega^{\cdot n}}$  is the same, the least fixed point of the function  $\alpha \mapsto \varepsilon_0^\alpha$ , which is greater than  $\omega$  and also than  $\varepsilon_0$ .

So we have proved what we want if we prove that, for any  $n$ , we have  $\omega^{\omega^{\cdot n}} = \varepsilon_0^{\cdot n}$ .

For  $n = 0$ , we have  $\omega^{\omega^{\cdot 0+1}} = \omega^{\omega^{\cdot 0}} \cdot \omega = \omega^{\varepsilon_0} \cdot \omega = (\omega^{\varepsilon_0})^\omega = \varepsilon_0^\omega$ .

Now suppose we have  $\omega^{\omega^{\cdot n}} = \varepsilon_0^{\cdot n}$ .

We must prove the equality for  $n+1$ , which can be written  $\omega^{\omega^{\cdot n+1}} = \varepsilon_0^{\cdot n+1}$ .

We have  $\omega^{\omega^{\cdot n+1}} = \omega^{\omega^{\cdot n}} \cdot \omega = \varepsilon_0^{\cdot n} \cdot \omega$  (by our hypothesis)  $= \omega^{\varepsilon_0^{\cdot n+1}}$  (for the same reason than  $1 + \omega = \omega$ , see above)  $= \omega^{\varepsilon_0 \cdot \varepsilon_0^{\cdot n}} = (\omega^{\varepsilon_0})^{\varepsilon_0^{\cdot n}} = \varepsilon_0^{\cdot n+1}$ . QED.

In a similar way, the limit of  $\varepsilon_1, \varepsilon_1^{\varepsilon_1}, \varepsilon_1^{\varepsilon_1^{\varepsilon_1}}, \dots$  is called  $\varepsilon_2$  and is also the limit of  $\varepsilon_1 + 1, \omega^{\varepsilon_1+1}, \omega^{\omega^{\varepsilon_1+1}}, \dots$

We can define the same way  $\varepsilon_n$  for any natural number  $n$ . Then  $\varepsilon_\omega$  is defined as the limit of  $\varepsilon_0, \varepsilon_1, \varepsilon_2, \varepsilon_3, \dots$ , and  $\varepsilon_{\omega+1}$  as the limit of  $\varepsilon_\omega, \varepsilon_\omega^{\varepsilon_\omega}, \varepsilon_\omega^{\varepsilon_\omega^{\varepsilon_\omega}}, \dots$  or  $\varepsilon_\omega + 1, \omega^{\varepsilon_\omega+1}, \omega^{\omega^{\varepsilon_\omega+1}}, \dots$

After comes  $\varepsilon_{\varepsilon_0}$ , and the limit of  $\varepsilon_0, \varepsilon_{\varepsilon_0}, \varepsilon_{\varepsilon_{\varepsilon_0}}, \dots$  which is called  $\zeta_0$ . This is the least fixed point of  $\alpha \mapsto \varepsilon_\alpha$ . The next one is  $\zeta_1$  which is the limit of  $\zeta_0 + 1, \varepsilon_{\zeta_0+1}, \varepsilon_{\varepsilon_{\zeta_0+1}}, \dots$ . Then we get  $\zeta_2, \zeta_3, \dots, \zeta_\omega, \zeta_{\omega+1}, \dots, \zeta_{\varepsilon_0}, \dots, \zeta_{\zeta_0}, \dots, \zeta_{\zeta_{\zeta_0}}, \dots$ . The limit of  $0, \zeta_0, \zeta_{\zeta_0}, \zeta_{\zeta_{\zeta_0}}, \dots$  is called  $\eta_0$ .

We could go on using successively different greek letters, or define a function  $\varphi$  with two variables by :

- $\varphi(0, \alpha) = \varphi(\alpha) = \omega^\alpha$
- $\varphi(1, \alpha) = \varepsilon_\alpha$
- $\varphi(2, \alpha) = \zeta_\alpha$
- $\varphi(3, \alpha) = \eta_\alpha$
- $\varphi(\alpha + 1, \beta)$  is the  $(1 + \beta)$ -th fixed point of  $\xi \mapsto \varphi(\alpha, \xi)$ .

Then we can enumerate the fixed points of the function  $\alpha \mapsto \varphi(\alpha, 0)$  and define  $\Gamma_\alpha$  as the  $(1 + \alpha)$ -th fixed point of this function, or add another variable to the  $\varphi$  function and define  $\varphi(1, 0, \alpha)$  as the  $(1 + \alpha)$ -th fixed point of this function. So we have  $\Gamma_\alpha = \varphi(1, 0, \alpha)$ .

More generally, we can define  $\varphi(\alpha_n, \alpha_{n-1}, \dots, \alpha_1, \alpha_0)$ .

It is sometimes written  $\varphi_{\alpha_n, \alpha_{n-1}, \dots, \alpha_1}(\alpha_0)$  since  $\alpha_0$  plays a different role than the other variables.

See for example [https://en.wikipedia.org/wiki/Veblen\\_function](https://en.wikipedia.org/wiki/Veblen_function) :

"Let  $z$  be an empty string or a string consisting of one or more comma-separated zeros  $0, 0, \dots, 0$  and  $s$  be an empty string or a string consisting of one or more comma-separated ordinals  $\alpha_1, \alpha_2, \dots, \alpha_n$  with  $\alpha_1 > 0$ . The binary function  $\varphi(\beta, \gamma)$  can be written as  $\varphi(s, \beta, z, \gamma)$  where both  $s$  and  $z$  are empty strings.

The finitary Veblen functions are defined as follows:

- $\varphi(\gamma) = \omega^\gamma$
- $\varphi(z, s, \gamma) = \varphi(s, \gamma)$
- if  $\beta > 0$ , then  $\varphi(s, \beta, z, \gamma)$  denotes the  $(1 + \gamma)$ -th common fixed point of the functions  $\xi \mapsto \varphi(s, \delta, \xi, z)$  for each  $\delta < \beta$

(...)

The limit of the  $\varphi(1, 0, \dots, 0)$  where the number of zeroes ranges over  $\omega$ , is sometimes known as the "small" Veblen ordinal.

Every non-zero ordinal  $\alpha$  less than the small Veblen ordinal (SVO) can be uniquely written in normal form for the finitary Veblen function:

$$\alpha = \varphi(s_1) + \varphi(s_2) + \dots + \varphi(s_k)$$

where

- $k$  is a positive integer
- $\varphi(s_1) \geq \varphi(s_2) \geq \dots \geq \varphi(s_k)$
- $s_m$  is a string consisting of one or more comma-separated ordinals  $\alpha_{m,1}, \alpha_{m,2}, \dots, \alpha_{m,n_m}$  where  $\alpha_{m,1} > 0$  and each  $\alpha_{m,i} < \varphi(s_m)$

For limit ordinals  $\alpha < SVO$ , written in normal form for the finitary Veblen function:

- $(\varphi(s_1) + \varphi(s_2) + \dots + \varphi(s_k))[n] = \varphi(s_1) + \varphi(s_2) + \dots + \varphi(s_k)[n]$ ,
- $\varphi(\gamma)[n] =$ 
  - $n$  if  $\gamma = 1$
  - $\varphi(\gamma - 1) \cdot n$  if  $\gamma$  is a successor ordinal
  - $\varphi(\gamma[n])$  if  $\gamma$  is a limit ordinal
- $\varphi(s, \beta, z, \gamma)[0] = 0$  and  $\varphi(s, \beta, z, \gamma)[n + 1] = \varphi(s, \beta - 1, \varphi(s, \beta, z, \gamma)[n], z)$  if  $\gamma = 0$  and  $\beta$  is a successor ordinal,
- $\varphi(s, \beta, z, \gamma)[0] = \varphi(s, \beta, z, \gamma - 1) + 1$  and  $\varphi(s, \beta, z, \gamma)[n + 1] = \varphi(s, \beta - 1, \varphi(s, \beta, z, \gamma)[n], z)$  if  $\gamma$  and  $\beta$  are successor ordinals,
- $\varphi(s, \beta, z, \gamma)[n] = \varphi(s, \beta, z, \gamma[n])$  if  $\gamma$  is a limit ordinal,
- $\varphi(s, \beta, z, \gamma)[n] = \varphi(s, \beta[n], z, \gamma)$  if  $\gamma = 0$  and  $\beta$  is a limit ordinal,
- $\varphi(s, \beta, z, \gamma)[n] = \varphi(s, \beta[n], \varphi(s, \beta, z, \gamma - 1) + 1, z)$  if  $\gamma$  is a successor ordinal and  $\beta$  is a limit ordinal. "

The Veblen function can be generalized to transfinitely many variables with a finite number different from 0. Instead of writing the list of all the variable of the Veblen function, we can write only the non zero variables with position as indice, for example  $\varphi(\alpha, 0, \beta, \gamma) = \varphi(\alpha_3, \beta_1, \gamma_0)$ . We can then generalize the Veblen function by allowing any ordinal as indices, writing for example  $SVO = \varphi(1_\omega)$ . The limit of the ordinals that can be written with this notation is called the large Veblen ordinal (LVO).

According to Wikipedia, "The definition can be given as follows: let  $\alpha$  be a transfinite sequence of ordinals (i.e., an ordinal function with finite support) which ends in zero (i.e., such that  $\alpha_0=0$ ), and let  $\alpha[0 \mapsto \gamma]$  denote the same function where the final 0 has been replaced by  $\gamma$ . Then  $\gamma \mapsto \varphi(\alpha[0 \mapsto \gamma])$  is defined as the function enumerating the common fixed points of all functions  $\xi \mapsto \varphi(\beta)$  where  $\beta$  ranges over all sequences which are obtained by decreasing the smallest-indexed nonzero value of  $\alpha$  and replacing some smaller-indexed value with the indeterminate  $\xi$  (i.e.,  $\beta = \alpha[\iota_0 \mapsto \zeta, \iota \mapsto \xi]$  meaning that for the smallest index  $\iota_0$  such that  $\alpha_{\iota_0}$  is nonzero the latter has been replaced by some value  $\zeta < \alpha_{\iota_0}$  and that for some smaller index  $\iota < \iota_0$ , the value  $\alpha_\iota = 0$  has been replaced with  $\xi$  )."

Schütte brackets or Klammersymbols are another way to write Veblen fuctions with transfinitely many variables. A Schütte bracket consists in a matrix with two lines, with the positions of the variables in the second line in increasing order, and the corresponding values in the first line. This matrix is preceded by the function  $\xi \mapsto \varphi(\xi)$ . If we take  $\xi \mapsto \omega^\xi$ , we get the equivalent of the Veblen function. With this notation, the previous example is written :

$$(\xi \mapsto \omega^\xi) \begin{pmatrix} \gamma & \beta & \alpha \\ 0 & 1 & 3 \end{pmatrix}$$

In some of his papers, Harold Simmons puts the function after the matrix, which is more logical, the matrix being considered as a function which, when applied to a function, gives an ordinal :

$$\begin{pmatrix} \gamma & \beta & \alpha \\ 0 & 1 & 3 \end{pmatrix} (\xi \mapsto \omega^\xi)$$

When the function at the left of the matrix is  $\xi \mapsto \omega^\xi$ , it is sometimes omitted. Example :

$$\begin{pmatrix} \gamma & \beta & \alpha \\ 0 & 1 & 3 \end{pmatrix}$$

The corresponding fundamental sequences can be found in <https://sites.google.com/site/travelingtotheinfinity/fundamental-sequences-for-extended-veblen-function> .

Another possible notation is to represent the parameters of the  $\varphi$  function by a polynom of variable  $\Omega$  where the exponent corresponds to the position of the variable, for example  $\varphi(\alpha, 0, \beta, \gamma) = \varphi(\gamma_0, \beta_1, \alpha_3) = (\xi \mapsto \omega^\xi) \begin{pmatrix} \gamma & \beta & \alpha \\ 0 & 1 & 3 \end{pmatrix} = \varphi(\Omega^3 \cdot \alpha + \Omega \cdot \beta + \gamma)$ .

For  $\Omega$ , we can choose an ordinal which is greater than all the ordinals we want to produce. Since they all are countable, we can take for example  $\Omega = \omega_1$  which is the least uncountable ordinal. The method consisting in using uncountable ordinals to define countable ordinals is called "collapsing". We will see later other examples of notations using this method.

Note that  $\varphi(1, 0) = \varphi(\Omega)$  is the least  $\alpha$  such that  $\alpha = \varphi(\alpha) = \omega^\alpha$  (the least fixed point of  $\alpha \mapsto \omega^\alpha$ );  $\varphi(1, 0, 0) = \varphi(\Omega^2) = \varphi(\Omega \cdot \Omega)$  is the least  $\alpha$  such that  $\alpha = \varphi(\alpha, 0) = \varphi(\Omega \cdot \alpha)$ . Generally speaking, we can see that  $f(\Omega)$  is the least fixed point of  $f$ . We shall see other examples of this equality later concerning ordinal collapsing functions. Note also that " $\Omega$ " can be replaced by " $1, 0$ " in the formulas.

Here is an Agda implementation of the Veblen function with transfinitely many variables :

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A definition of the large Veblen ordinal in Agda  
by Jacques Bailhache, March 2016

See [https://en.wikipedia.org/wiki/Veblen\\_function](https://en.wikipedia.org/wiki/Veblen_function)

(1)  $\text{phi}(a) = \omega^{**a}$  for a single variable,

(2)  $\text{phi}(0, a_{n-1}, \dots, a_0) = \text{phi}(a_{n-1}, \dots, a_0)$ , and

(3) for  $a > 0$ ,  $c \rightarrow \text{phi}(a_n, \dots, a_{i+1}, a, 0, \dots, 0, c)$  is the function enumerating the common fixed points of the functions  $x \rightarrow \text{phi}(a_n, \dots, a_{i+1}, b, x, 0, \dots, 0)$  for all  $b < a$ .

(4) Let  $a$  be a transfinite sequence of ordinals (i.e., an ordinal function with finite support) which ends in zero (i.e., such that  $a_0 = 0$ ), and let  $a[0 \rightarrow c]$  denote the same function where the final 0 has been replaced by  $c$ .

Then  $c \rightarrow \text{phi}(a[0 \rightarrow c])$  is defined as the function enumerating the common fixed points of all functions  $x \rightarrow \text{phi}(b)$  where  $b$  ranges over all sequences which are obtained by decreasing the smallest-indexed nonzero value of  $a$  and replacing some smaller-indexed value with the indeterminate  $x$  (i.e.,  $b = a[i_0 \rightarrow z, i \rightarrow x]$  meaning that for the smallest index  $i_0$  such that  $a_{i_0}$  is nonzero the latter has been replaced by some value  $z < a_{i_0}$  and that for some smaller index  $i < i_0$ , the value  $a_i = 0$  has been replaced with  $x$ ).

-}

module LargeVeblen where

```

data Nat : Set where
  0 : Nat
  1+ : Nat -> Nat

data Ord : Set where
  Zero : Ord
  Suc : Ord -> Ord
  Lim : (Nat -> Ord) -> Ord

-- rpt n f x = f^n(x)
rpt : {t : Set} -> Nat -> (t -> t) -> t -> t
rpt 0 f x = x
rpt (1+ n) f x = rpt n f (f x)

-- smallest fixed point of f greater than x, limit of x, f x, f (f x), ...
fix : (Ord -> Ord) -> Ord -> Ord
fix f x = Lim (\n -> rpt n f x)

w = fix Suc Zero -- not a fixed point in this case !

-- cantor a b = b + w^a
cantor : Ord -> Ord -> Ord
cantor Zero a = Suc a
cantor (Suc b) a = fix (cantor b) a
cantor (Lim f) a = Lim (\n -> cantor (f n) a)

-- phi0 a = w^a
phi0 : Ord -> Ord
phi0 a = cantor a Zero

-- Another possibility is to use phi'0 instead of phi0 in the definition of phi,
-- this gives a phi function which grows slower
phi'0 : Ord -> Ord
phi'0 Zero = Suc Zero
phi'0 (Suc a) = Suc (phi'0 a)
phi'0 (Lim f) = Lim (\n -> phi'0 (f n))

-- Associative list of ordinals
infixr 40 _=>_&_
data OrdAList : Set where
  Zeros : OrdAList
  _=>_&_ : Ord -> Ord -> OrdAList -> OrdAList

-- Usage : phi al, where al is the associative list of couples index => value ordered by increasing values,
-- absent indexes corresponding to Zero values

phi : OrdAList -> Ord
phi Zeros = phi0 Zero -- (1) phi(0) = w**0 = 1
phi (Zero => a & Zeros) = phi0 a -- (1) phi(a) = w**a
phi (k => Zero & al) = phi al -- eliminate unnecessary Zero value
phi (Zero => a & k => Zero & al) = phi (Zero => a & al) -- idem
phi (Zero => a & Zero => b & al) = phi (Zero => a & al) -- should not appear but necessary for completeness
phi (Zero => Lim f & al) = Lim (\n -> phi (Zero => f n & al)) -- canonical treatment of limit
phi (Suc k => Suc b & al) = fix (\x -> phi (k => x & Suc k => b & al)) Zero
-- (3) least fixed point

```

```
SmallVeblen = phi (w => Suc Zero & Zeros)

LargeVeblen = fix (\x -> phi (x => Suc Zero & Zeros)) (Suc Zero)

{-
Normally it should terminate because the parameter of phi lexicographically decreases, but Agda is not clever enough
so it must be called with no termination check option :
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```

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```

Agda Interactive  
Type :? for help.

### 3 Going beyond Veblen function with transfinitely many variables

- $\varphi_0^+(\alpha) = F(\alpha)$
- $\varphi_1^+(\alpha) = G(\alpha)$
- $\varphi_2^+(\alpha) = H(\alpha)$

...

With this notation we can write  $LVO = \varphi_0^+(0)$ .

Then  $\varphi_\alpha^+(\beta)$  can be written as a binary function  $\varphi^+(\alpha, \beta)$  which can be generalized to finitely many variables like  $\varphi^+(\alpha, \beta, \gamma)$  and transfinitely many variables like  $\varphi^+(1_\omega)$ .

Then we can consider the fixed points of the function  $\alpha \mapsto \varphi^+(1_\alpha)$  and define a function  $\varphi_0^{++}$  which enumerates these fixed points.

The same way we can define  $\varphi^{+++}$ ,  $\varphi^{++++}$ , ...

We can then define a new notation :

- $\Phi_0 = \varphi$
- $\Phi_1 = \varphi^+$
- $\Phi_2 = \varphi^{++}$

...

There is another way to express this construction.

There are different conventions for  $\varphi_0(x)$ , like  $\omega^x$  or  $\varepsilon_x$ . We can write explicitly the convention chosen for  $\varphi_0$  by writing " $\varphi_f(\alpha, \beta)$ " for " $\varphi_\alpha(\beta)$ " with function  $f$  used for  $\varphi_0$ ". With this notation we have:

- $\varphi_f(0, \beta) = f(\beta)$
- $\varphi_f(\alpha + 1, \beta) = (1 + \beta)$ th fixed point of the function  $\beta \mapsto \varphi_f(\alpha, \beta)$
- $\varphi_f(\lambda, \beta) = (1 + \beta)$ th common fixed point of the function  $\beta \mapsto \varphi_f(\alpha, \beta)$  for all  $\alpha < \lambda$ , if  $\lambda$  is a limit ordinal.

( See <http://www.cs.man.ac.uk/~hsimmons/TEMP/OrdNotes.pdf> )

Then we generalize the binary function  $\varphi_f(\alpha, \beta)$  to finitely many variables: for example  $\varphi_f(1, 0, \alpha) = (1 + \alpha)$ th common fixed point of the function  $\xi \mapsto \varphi_f(\xi, 0)$  ( see [https://en.wikipedia.org/wiki/Veblen\\_function](https://en.wikipedia.org/wiki/Veblen_function) ) and to infinitely many variables with a finite number of them different from 0, for example  $\varphi_f(1_\omega)$ .

Then we can define new  $\varphi$  functions by taking for  $\varphi_0$  the function  $\xi \mapsto \varphi_f(1_\xi)$  and define functions  $\varphi_{\xi \mapsto \varphi_f(1_\xi)}$  with 2 variables, with finitely many variables and with transfinitely many variables.

To make a correspondence with my previous construction, if  $f$  is the function  $\xi \mapsto \omega^\xi$ , then  $\varphi_f(\alpha, \beta)$  corresponds to what I wrote  $\varphi_\alpha(\beta)$ , and  $\varphi_{\xi \mapsto \varphi_f(1_\xi)}(\alpha, \beta)$  to  $\varphi_\alpha^+(\beta)$ .

If we define the function  $S$  by  $S(f)(\xi) = \varphi_f(1_\xi)$ , then  $\varphi_{\xi \mapsto \varphi_f(1_\xi)}$  can be written  $\varphi_{S(f)}$ . We can then consider  $\varphi_{S(S(f))}$  and so on. Given an ordinal  $\alpha$ , we can iterate transfinitely " $\alpha$  times" the application of  $S$  to an initial function  $f_0$ , for example  $f_0(\xi) = \omega^\xi$ , to obtain a function which I will write  $S^\alpha(f_0)$ . We can use this function to define a function  $\varphi_{S^\alpha(f_0)}$  which permits to construct big ordinals.

## 4 Simmons notation

Harold Simmons defined a notation ( see <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/ordinal-notations.html> ) based on fixed points enumeration which "contains" Veblen functions and permits to go further.

He uses the lambda calculus formalism, in which  $f \ x$  represents the application of function  $f$  to  $x$ , and  $f \ x \ y = (f \ x) \ y$  the application of function  $f$  to  $x$  which gives another function which is applied to  $y$  giving the final result. He uses the notation  $x \mapsto y$  to represent the function which, when applied to  $x$ , gives  $y$  (instead of the traditional lambda calculus notation  $\lambda x. y$  ).

He also uses the notation  $\omega^\bullet$  for  $\alpha \mapsto \omega^\alpha$ .

$f \circ g$  represents the composition of functions  $f$  and  $g$  :  $(f \circ g)\alpha = f(g\alpha)$ .

$f^\alpha$  is a canonical generalization of of exponentiation of a function to an ordinal power :  $f^n$  represents  $f \circ f \circ \dots \circ f$  with  $f$  repeated  $n$  times,  $f^\omega \zeta$  is the limit of  $\zeta, f \ \zeta, f(f \ \zeta), \dots, f^{\omega+1} \zeta = f(f^\omega \zeta)$  and so on.

More precisely, Simmons gives the following definitions in <http://www.cs.man.ac.uk/~hsimmons/TEMP/OrdNotes.pdf> page 11 :

- $g^0 \zeta = \zeta$
- $g^{\alpha+1} \zeta = g(g^\alpha \zeta)$
- $g^\lambda \zeta = V\{g^\alpha \zeta | \alpha < \lambda\}$  (if  $\lambda$  is a limit ordinal, where  $V$  denotes the poinwise supremum)

and the following equivalent definitions in <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/Fruitful.pdf> page 4 :

- $g^0 = id$
- $g^{\alpha+1} = g \circ g^\alpha$
- $g^\lambda = V\{g^\alpha | \alpha < \lambda\}$

and he generalizes these definitions to higher order functions.

Then Simmons defines the following functions :

$Fix\ f\ \zeta = f^\omega(\zeta + 1) = \text{limit of } \zeta + 1, f(\zeta + 1), f(f(\zeta + 1)), \dots$  is the least fixed point of the function  $f$  which is strictly greater than  $\zeta$ , which means the least ordinal  $\nu$  satisfying  $f\ \nu = \nu$  and  $\nu > \zeta$ .

$Next = Fix\ \omega^\bullet = Fix(\alpha \mapsto \omega^\alpha)$  ;  $Next\ \zeta$  is the next  $\varepsilon_\alpha$  after  $\zeta$ .

$[0]h = Fix(\alpha \mapsto h^\alpha 0)$   
 $[1]hg = Fix(\alpha \mapsto h^\alpha g 0)$   
 $[2]hgf = Fix(\alpha \mapsto h^\alpha g f 0)$   
... and so on ...

In <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/OrdSlides.pdf> Simmons gives another equivalent definition :

$[0]h = Fix(\alpha \mapsto h^\alpha \omega)$   
 $[1]hg = Fix(\alpha \mapsto h^\alpha g \omega)$   
 $[2]hgf = Fix(\alpha \mapsto h^\alpha g f \omega)$

Simmons also defines :

$Veb\ f\ \zeta = (Fix\ f)^{1+\zeta} 0$  is the  $(1 + \zeta)$ -th fixed point of  $f$

$Enm\ h\ \alpha = h^{1+\alpha} 0$

$Veb = Enm \circ Fix$

$[0] = Fix \circ Enm$

$Fix \circ Veb = Fix \circ Enm \circ Fix = [0] \circ Fix$

$Fix \circ Veb^\alpha = [0]^\alpha \circ Fix$

$\Delta[0] = \omega$

$\Delta[1] = Next\ \omega = \varepsilon_0$

$\Delta[2] = [0]Next\ \omega = \text{least } \nu \text{ with } \nu = Next^\nu \omega = \zeta_0$

$\Delta[3] = [1][0]Next\ \omega = \text{least } \nu \text{ with } \nu = [0]^\nu Next\ \omega = \Gamma_0$

$\Delta[4] = [2][1][0]Next\ \omega = \text{least } \nu \text{ with } \nu = [1]^\nu [0]Next\ \omega = LVO \text{ (large Veblen ordinal)}$

... and so on ...

## 4.1 Implementation

Here is an implementation of the Simmons hierarchy in Haskell :

```
module Simmons where
```

```
-- Natural numbers
```

```
data Nat
  = ZeroN
  | SucN Nat
```

```
-- Ordinals
```

```
data Ord
  = Zero
  | Suc Ord
  | Lim (Nat -> Ord)
```

```
-- Ordinal corresponding to a given natural
```

```
ordOfNat ZeroN = Zero
ordOfNat (SucN n) = Suc (ordOfNat n)
```

```
-- omega
```

```
w = Lim ordOfNat
```

```
lim0 s = Lim s
```

```
lim1 f x = lim0 (\n -> f n x)
```



```

lim2 f x = lim1 (\n -> f n x)

-- this does not work :
-- lim ZeroN s = Lim s
-- lim (SucN p) f = \x -> lim p (\n -> f n x)

-- f^a(x)
fpower0 f Zero x = x
fpower0 f (Suc a) x = f (fpower0 f a x)
fpower0 f (Lim s) x = Lim (\n -> fpower0 f (s n) x)

fpower 1 f Zero x = x
fpower 1 f (Suc a) x = f (fpower 1 f a x)
fpower 1 f (Lim s) x = 1 (\n -> fpower 1 f (s n) x)

-- fix f z = least fixed point of f which is > z
fix f z = fpower lim0 f w (Suc z) -- Lim (\n -> fpower0 f (ordOfNat n) (Suc z))

-- cantor b a = a + w^b
cantor Zero a = Suc a
cantor (Suc b) a = fix (cantor b) a
cantor (Lim s) a = Lim (\n -> cantor (s n) a)

-- expw a = w^a
expw a = cantor a Zero

-- next a = least epsilon_b > a
next = fix expw

-- [0]
simmons0 h = fix (\a -> fpower lim0 h a Zero)

-- [1]
simmons1 h1 h0 = fix (\a -> fpower lim1 h1 a h0 Zero)

-- [2]
simmons2 h2 h1 h0 = fix (\a -> fpower lim2 h2 a h1 h0 Zero)

-- Large Veblen ordinal
lvo = simmons2 simmons1 simmons0 next w

```

\$ hugs

```

-- -- -- -- --
||  || ||  || ||  ||  ||__  Hugs 98: Based on the Haskell 98 standard
||__|| ||__|| ||__||  __||  Copyright (c) 1994-2005
||--||      __||  World Wide Web: http://haskell.org/hugs
||  ||      Bugs: http://hackage.haskell.org/trac/hugs
||  || Version: September 2006 -----

```

Haskell 98 mode: Restart with command line option -98 to enable extensions

Type :? for help

Hugs> :load simmons

Simmons> lvo

```

ERROR - Cannot find "show" function for:
*** Expression : lvo
*** Of type      : Ord

Simmons>

```

## 4.2 Correspondence with Veblen functions

$\varepsilon_0$  is the next  $\varepsilon_\alpha$  after 0 (or after  $\omega$ , or after any ordinal less than  $\varepsilon_0$ , so we have  $\varepsilon_0 = \text{Next } 0 = \text{Next } \omega$ .  
 $\varepsilon_1$  is the next  $\varepsilon_\alpha$  after  $\varepsilon_0$ , so we have  $\varepsilon_1 = \text{Next } \varepsilon_0 = \text{Next } (\text{Next } 0) = \text{Next}^2 0 = \text{Next } (\text{Next } \omega) = \text{Next}^2 \omega$ .  
 $\varepsilon_2$  is the next  $\varepsilon_\alpha$  after  $\varepsilon_1$ , so we have  $\varepsilon_2 = \text{Next } \varepsilon_1 = \text{Next } (\text{Next } (\text{Next } 0)) = \text{Next}^3 0 = \text{Next } (\text{Next } (\text{Next } \omega)) = \text{Next}^3 \omega$ .  
 $\dots$   
 $\varepsilon_\omega$  is the limit of  $\varepsilon_0, \varepsilon_1, \varepsilon_2, \dots$ . It is the limit of  $\text{Next}^1 0, \text{Next}^2 0, \text{Next}^3 0, \dots$  which is  $\text{Next}^\omega 0$ .  
 More generally, we have  $\varepsilon_\alpha = \varphi(1, \alpha) = \text{Next}^{1+\alpha} 0 = \text{Next}^{1+\alpha} \omega$ .

$\zeta_0 = \varphi(2, 0)$  is the least fixed point of  $\alpha \mapsto \varepsilon_\alpha$  (greater than 0), so  $\zeta_0 = \text{Fix}(\alpha \mapsto \varepsilon_\alpha) 0 = \text{Fix}(\alpha \mapsto \text{Next}^{1+\alpha} 0) 0 = \text{Fix}(\alpha \mapsto \text{Next}^\alpha 0) 0$  (because the "1+" is "absorbed" after a few iterations)  $= [0] \text{Next } 0$ . Since  $\zeta_0$  is also greater than  $\omega$ , it is also  $[0] \text{Next } \omega$  according to a similar computation.  
 $\zeta_1 = \varphi(2, 1)$  is the next fixed point of  $\alpha \mapsto \varepsilon_\alpha$ , the least one which is strictly greater than  $\zeta_0$ , so  $\zeta_1 = \text{Fix}(\alpha \mapsto \varepsilon_\alpha) \zeta_0 = \text{Fix}(\alpha \mapsto \text{Next}^\alpha 0) \zeta_0 = [0] \text{Next } \zeta_0 = [0] \text{Next } ([0] \text{Next } 0) = ([0] \text{Next})^2 0$ .  
 More generally,  $\zeta_\alpha = ([0] \text{Next})^{1+\alpha} 0$ .  
 Similar computations give  $\eta_0 = \varphi(3, 0) = [0]^2 \text{Next } 0$  and  $\eta_\alpha = ([0]^2 \text{Next})^{1+\alpha} 0$ .  
 More generally,  $\varphi(1 + \beta, \alpha) = ([0]^\beta \text{Next})^{1+\alpha} 0$  or  $([0]^\beta \text{Next})^{1+\alpha} \omega$ .

$\Gamma_0 = \varphi(1, 0, 0)$  is the least fixed point (greater than 0) of the function  $\alpha \mapsto \varphi(\alpha, 0)$  or  $\alpha \mapsto \varphi(1 + \alpha, 0)$  (for the same reason of "absorption" of "1+" than previously), so  $\Gamma_0 = \text{Fix}(\alpha \mapsto \varphi(1 + \alpha, 0)) 0 = \text{Fix}(\alpha \mapsto ([0]^\alpha \text{Next})^{1+0} 0) 0 = \text{Fix}(\alpha \mapsto [0]^\alpha \text{Next } 0) 0 = [1][0] \text{Next } 0$ .  
 $\Gamma_1 = \varphi(1, 0, 1)$  is the next fixed point :  $\Gamma_1 = \text{Fix}(\alpha \mapsto [0]^\alpha \text{Next } 0) \Gamma_0 = [1][0] \text{Next } \Gamma_0 = [1][0] \text{Next } ([1][0] \text{Next } 0) = ([1][0] \text{Next})^2 0$ .  
 More generally, we have  $\varphi(1, 0, \alpha) = ([1][0] \text{Next})^{1+\alpha} 0$ .  
 $\varphi(1, 1, 0)$  is the least fixed point (greater than 0) of the function  $\alpha \mapsto \varphi(1, 0, \alpha)$ , so it is  $\text{Fix}(\alpha \mapsto \varphi(1, 0, \alpha)) 0 = \text{Fix}(\alpha \mapsto ([1][0] \text{Next})^{1+\alpha} 0) 0 = \text{Fix}(\alpha \mapsto ([1][0] \text{Next})^\alpha 0) 0$  (absorption of 1+)  $= [0]([1][0] \text{Next}) 0$ .  
 $\varphi(1, 1, 1)$  is the next fixed point  $\text{Fix}(\alpha \mapsto ([1][0] \text{Next})^\alpha 0) \varphi(1, 1, 0) = ([0]([1][0] \text{Next})([0]([1][0] \text{Next}) 0)) = ([0]([1][0] \text{Next}))^2 0$ .  
 More generally,  $\varphi(1, 1, \alpha) = ([0]([1][0] \text{Next}))^{1+\alpha} 0$ .  
 $\varphi(1, 2, 0)$  is the least fixed point (greater than 0) of the function  $\alpha \mapsto \varphi(1, 1, \alpha)$ ,  $\text{Fix}(\alpha \mapsto \varphi(1, 1, \alpha)) 0 = \text{Fix}([0]([1][0] \text{Next})^{1+\alpha} 0) 0 = \text{Fix}(\alpha \mapsto ([0]([1][0] \text{Next}))^\alpha 0) 0 = [0]([0]([1][0] \text{Next})) 0 = [0]^2([1][0] \text{Next}) 0$ .  
 Like previously,  $\varphi(1, 2, \alpha)$  is the  $(1 + \alpha)$ -th fixed point of the previous function, which is  $([0]^2([1][0] \text{Next}))^{1+\alpha} 0$ .  
 More generally,  $\varphi(1, \beta, \alpha) = ([0]^\beta([1][0] \text{Next}))^{1+\alpha} 0$ .  
 $\varphi(2, 0, 0)$  is the least fixed point (greater than 0) of the function  $\beta \mapsto \varphi(1, \beta, 0)$ , which is  $\text{Fix}(\alpha \mapsto \varphi(1, \beta, 0)) 0 = \text{Fix}(\beta \mapsto ([0]^\beta([1][0] \text{Next}))^{1+0} 0) 0 = \text{Fix}(\beta \mapsto [0]^\beta([1][0] \text{Next}) 0) 0 = [1][0]([1][0] \text{Next}) 0 = ([1][0])^2 \text{Next } 0$ .  
 The  $(1 + \alpha)$ -th fixed point of the previous function is  $\varphi(2, 0, \alpha) = (([1][0])^2 \text{Next})^{1+\alpha} 0$ .  
 The least fixed point of the function  $\alpha \mapsto \varphi(2, 0, \alpha)$  is  $\varphi(2, 1, 0) = \text{Fix}(\alpha \mapsto \varphi(2, 0, \alpha)) 0 = \text{Fix}(\alpha \mapsto (([1][0])^2 \text{Next})^{1+\alpha} 0) 0 = \text{Fix}(\alpha \mapsto ((([1][0])^2 \text{Next})^\alpha 0)) = [0]((([1][0])^2 \text{Next}) 0)$  and its  $(1 + \alpha)$ -th fixed point is  $\varphi(2, 1, \alpha) = ([0]((([1][0])^2 \text{Next}))^{1+\alpha} 0$ .  
 More generally, we have  $\varphi(2, \beta, \alpha) = ([0]^\beta((([1][0])^2 \text{Next}))^{1+\alpha} 0$ .

The general formula with three variables (with  $\gamma \neq 0$ ) is  $\varphi(\gamma, \beta, \alpha) = ([0]^\beta([1][0])^\gamma \text{Next})^{1+\alpha} 0$ .  
 In particular, we have  $\varphi(\gamma, 0, 0) = ([1][0])^\gamma \text{Next } 0$ .

$\varphi(1, 0, 0, 0)$  is the least fixed point of the function  $\gamma \mapsto \varphi(\gamma, 0, 0)$ ,  $\text{Fix}(\gamma \mapsto \varphi(\gamma, 0, 0)) 0 = \text{Fix}(\gamma \mapsto ([1][0])^\gamma \text{Next } 0) 0 = [1]([1][0] \text{Next } 0) = [1]^2[0] \text{Next } 0$ .  
 All of these computations could be done with  $\omega$  instead of 0 at the end of the formulas so we also have  $\varphi(\gamma, \beta, \alpha) = ([0]^\beta([1][0])^\gamma \text{Next})^{1+\alpha} \omega$ .  
 In a similar way, we can obtain the formula with 4 variables :  
 $\varphi(1, 0, 0, \alpha) = ([1]^2[0] \text{Next})^{1+\alpha} 0$   
 $\varphi(1, 0, 1, 0) = \text{Fix}(\alpha \mapsto ([1]^2[0] \text{Next})^\alpha 0) 0 = [0]([1]^2[0]) 0$

$$\begin{aligned}
\varphi(1, 0, 1, \alpha) &= ([0]([1]^2[0]Next))^{1+\alpha}0 \\
\varphi(1, 0, \beta, \alpha) &= ([0]^\beta([1]^2[0]Next))^{1+\alpha}0 \\
\varphi(1, 1, 0, 0) &= Fix(\alpha \mapsto \varphi(1, 0, \alpha, 0))0 = Fix(\alpha \mapsto [0]^\alpha([1]^2[0]Next)0)0 = [1][0]([1]^2[0]Next)0 \\
\varphi(1, 1, 0, \alpha) &= ([1][0]([1]^2[0]Next))^{1+\alpha}0 \\
\varphi(1, 1, 1, 0) &= Fix(\alpha \mapsto \varphi(1, 1, 0, \alpha))0 = Fix(\alpha \mapsto ([1][0]([1]^2[0]Next))^\alpha 0)0 = [0]([1][0]([1]^2[0]Next))0 \\
\varphi(1, 1, 1, \alpha) &= ([0]([1][0]([1]^2[0]next)))^{1+\alpha}0 \\
\varphi(1, 1, \beta, \alpha) &= ([0]^\beta([1][0]([1]^2[0]Next)))^{1+\alpha}0 \\
\varphi(1, 2, 0, 0) &= Fix(\alpha \mapsto \varphi(1, 1, \alpha, 0))0 = Fix(\alpha \mapsto [0]^\alpha([1][0]([1]^2[0]next))0)0 = [1][0]([1][0]([1]^2[0]Next))0 = ([1][0])^2([1]^2[0]Next)0 \\
\varphi(1, 0, 0, 0) &= [1]^2[0]Next0 \\
\varphi(1, 1, 0, 0) &= [1][0]([1]^2[0]Next)0 \\
\varphi(1, 2, 0, 0) &= ([1][0])^2([1]^2[0]Next)0 \\
\varphi(1, \gamma, 0, 0) &= ([1][0])^\gamma([1]^2[0]Next)0 \\
\varphi(1, \gamma, \beta, \alpha) &= ([0]^\beta([1][0]([1]^2[0]Next)))^{1+\alpha}0 \\
\varphi(2, 0, 0, 0) &= Fix(\alpha \mapsto \varphi(1, \alpha, 0, 0))0 = Fix(\alpha \mapsto ([1][0])^\alpha([1]^2[0]Next)0)0 = [1]([1][0])([1]^2[0]Next)0 = [1]^2[0]([1]^2[0]Next)0 = \\
&= ([1]^2[0])^2Next0 \\
\varphi(\delta, 0, 0, 0) &= ([1]^2[0])^\delta Next 0 \\
\text{The general formula with four variables is :} \\
\varphi(\delta, \gamma, \beta, \alpha) &= ([0]^\beta([1][0]([1]^2[0]Next)))^{1+\alpha}0 = ([0]^\beta([1][0]([1]^2[0]Next)))^{1+\alpha}\omega \\
\text{and so on.}
\end{aligned}$$

The small Veblen ordinal is the limit of :

$$\varphi(1) = \omega, \varphi(1, 0) = Next \ \omega, \varphi(1, 0, 0) = [1][0]Next \ \omega, \varphi(1, 0, 0, 0) = [1]^2[0]Next \ \omega, \varphi(1, 0, 0, 0, 0) = [1]^3[0]Next \ \omega, \dots$$

This limit is  $[1]^\omega[0]Next \ \omega = [1]^\omega[0]Next \ 0$ .

Allowing variables at any finite or transfinite positions (which is equivalent to Schütte brackets or Klammersymbols) gives ordinals smaller than the large Veblen ordinal which is the least fixed point of the function  $\alpha \mapsto \varphi(1_\alpha)$ . It is  $Fix(\alpha \mapsto \varphi(1_\alpha))0 = Fix(\alpha \mapsto [1]^\alpha[0]Next \ 0)0 = [2][1][0]Next \ 0$  or  $[2][1][0]Next \ \omega$ .

The conversion rule from Schütte Klammersymbol to Simmons notation are described by Simmons in his paper : <http://www.cs.man.ac.uk/NOTATIONS/FromBelow.pdf> (Simmons also wrote other papers but it seems to me that they contain inaccuracies and maybe even errors).

In summary :

$$Fix \ f\zeta = f^\omega(\zeta + 1)$$

$$Enm \ h \ \alpha = h^{1+\alpha}0$$

$$Next = Fix(\alpha \mapsto \omega^\alpha)$$

$$[0]h = Fix(\alpha \mapsto h^\alpha 0)$$

$$[1]hg = Fix(\alpha \mapsto h^\alpha g 0)$$

$$\nabla \begin{bmatrix} \alpha + 1 \\ i + 1 \end{bmatrix} = ([1]^i[0])^{1+\alpha} \text{ if } i \neq 0; [0]^\alpha \text{ if } i = 0$$

$$\nabla \begin{bmatrix} \alpha_1 + 1 & \dots & \alpha_s + 1 \\ i_1 + 1 & \dots & i_s + 1 \end{bmatrix} = \nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix}$$

where  $f \circ g$  is the composition of functions  $f$  and  $g$  :  $(f \circ g)x = f(g \ x)$

$$Sch \begin{bmatrix} 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 1 + i_1 & \dots & 1 + i_s \end{bmatrix} = Enm \circ \nabla \begin{bmatrix} 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 1 + i_1 & \dots & 1 + i_s \end{bmatrix} \circ Fix$$

$f$  may be any function but it is usually  $\alpha \mapsto \omega^\alpha$ .

$$f \left( \begin{array}{ccc} \zeta & 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 0 & 1 + i_1 & \dots & 1 + i_s \end{array} \right)$$

$$= Sch \begin{bmatrix} 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 1 + i_1 & \dots & 1 + i_s \end{bmatrix} f\zeta$$

$$= (Enm \circ \nabla \begin{bmatrix} 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 1 + i_1 & \dots & 1 + i_s \end{bmatrix} \circ Fix) f\zeta$$

$$= (Enm \circ \nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix} \circ Fix) f\zeta$$

$$= Enm((\nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix})(Fix f))\zeta$$

$$= (\nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix}) (Fix f)^{1+\zeta} 0$$

If  $f = \alpha \mapsto \omega^\alpha$ , then  $Fix f = Next$  and

$$f \begin{pmatrix} \zeta & 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 0 & 1 + i_1 & \dots & 1 + i_s \end{pmatrix} = (\nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix}) Next^{1+\zeta} 0$$

Examples :

$$\begin{aligned} & \varphi(1 + \beta, \alpha) \\ &= (\xi \mapsto \omega^\xi) \begin{pmatrix} \alpha & 1 + \beta \\ 0 & 1 \end{pmatrix} \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix}) (Fix(\xi \mapsto \omega^\xi)))^{1+\alpha} 0 \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix}) Next)^{1+\alpha} 0 \\ &= ([0]^\beta Next)^{1+\alpha} 0 \end{aligned}$$

$$\begin{aligned} & \varphi(1 + \gamma, 1 + \beta, \alpha) \\ &= (\xi \mapsto \omega^\xi) \begin{pmatrix} \alpha & 1 + \beta & 1 + \gamma \\ 0 & 1 & 2 \end{pmatrix} \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \gamma + 1 \\ 2 \end{bmatrix}) (Fix(\xi \mapsto \omega^\xi)))^{1+\alpha} 0 \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \gamma + 1 \\ 2 \end{bmatrix}) Next)^{1+\alpha} 0 \\ &= (([0]^\beta \circ [0] \circ ([1][0])^{1+\gamma}) Next)^{1+\alpha} 0 \\ &= ([0]^{1+\beta} (([1][0])^{1+\gamma} Next))^{1+\alpha} 0 \end{aligned}$$

Compare with the previously found formula :

if  $\gamma > 0$ ,  $\varphi(\gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma Next))^{1+\alpha} 0$

and note the "round trip"  $1 + \gamma \rightarrow \gamma + 1 \rightarrow 1 + \gamma$ .

$$\begin{aligned} & \varphi(1 + \delta, 1 + \gamma, 1 + \beta, \alpha) \\ &= (\xi \mapsto \omega^\xi) \begin{pmatrix} \alpha & 1 + \beta & 1 + \gamma & 1 + \delta \\ 0 & 1 & 2 & 3 \end{pmatrix} \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \gamma + 1 \\ 2 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \delta + 1 \\ 3 \end{bmatrix}) (Fix(\xi \mapsto \omega^\xi)))^{1+\alpha} 0 \\ &= ((\nabla \begin{bmatrix} \beta + 1 \\ 1 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \gamma + 1 \\ 2 \end{bmatrix} \circ [0] \circ \nabla \begin{bmatrix} \delta + 1 \\ 3 \end{bmatrix}) Next)^{1+\alpha} 0 \\ &= (([0]^\beta \circ [0] \circ ([1][0])^{1+\gamma} \circ [0] \circ ([1]^2[0])^{1+\delta}) Next)^{1+\alpha} 0 \\ &= ([0]^{1+\beta} (([1][0])^{1+\gamma} ([0] (([1]^2[0])^{1+\delta} Next))))^{1+\alpha} 0 \\ &= ([0]^{1+\beta} (([1][0])^{1+\gamma} ([1]^2[0])^{1+\delta} Next))^{1+\alpha} 0 \end{aligned}$$

because  $[0]$  is absorbed by the following operator (see <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/FromBelow.pdf> p 33, 6.7)

Compare with the previously mentioned formula :

$$\varphi(\delta, \gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma (([1]^2[0])^\delta Next)))^{1+\alpha} 0$$

The equality

$$(\xi \mapsto \omega^\xi) \begin{pmatrix} \zeta & 1 + \alpha_1 & \dots & 1 + \alpha_s \\ 0 & 1 + i_1 & \dots & 1 + i_s \end{pmatrix} = (\nabla \begin{bmatrix} \alpha_1 + 1 \\ i_1 + 1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s + 1 \\ i_s + 1 \end{bmatrix}) Next^{1+\zeta} 0$$

can be reformulated, distinguishing four cases :

- $(\xi \mapsto \omega^\xi) \begin{pmatrix} \zeta \\ 0 \end{pmatrix} = \varphi(0, \zeta) = \omega^\zeta$
- $(\xi \mapsto \omega^\xi) \begin{pmatrix} \zeta & 1 + \alpha \\ 0 & 1 \end{pmatrix} = \varphi(1 + \alpha, \zeta) = (\nabla \begin{bmatrix} \alpha + 1 \\ 1 \end{bmatrix} Next)^{1+\zeta} 0 = ([0]^\alpha Next)^{1+\zeta} 0$

- $(\xi \mapsto \omega^\xi) \begin{pmatrix} \zeta & 1+\alpha_1 & 1+\alpha_2 & \dots & 1+\alpha_s \\ 0 & 1 & 1+i_2 & \dots & 1+i_s \end{pmatrix}$   
 $= ((\nabla \begin{bmatrix} \alpha_1+1 \\ 1 \end{bmatrix} \circ [0] \circ \nabla \begin{pmatrix} \alpha_2+1 \\ i_2+1 \end{pmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s+1 \\ i_s+1 \end{bmatrix}) Next)^{1+\zeta} 0$   
 $= ((([0]^{\alpha_1} \circ [0] \circ ([1]^{i_2}[0])^{1+\alpha_2} \circ [0] \circ \dots \circ [0] \circ ([1]^{i_s}[0])^{1+\alpha_s}) Next)^{1+\zeta} 0$   
 $= ((([0]^{1+\alpha_1} \circ ([1]^{i_2}[0])^{1+\alpha_2} \circ [0] \circ \dots \circ [0] \circ ([1]^{i_s}[0])^{1+\alpha_s}) Next)^{1+\zeta} 0$   
 $= ((([0]^{1+\alpha_1} \circ ([1]^{i_2}[0])^{1+\alpha_2} \circ \dots \circ ([1]^{i_s}[0])^{1+\alpha_s}) Next)^{1+\zeta} 0$

The first separating  $[0]$  is combined with  $[0]^{\alpha_1}$  giving  $[0]^{1+\alpha_1}$  and the other are absorbed.

- $(\xi \mapsto \omega^\xi) \begin{pmatrix} \zeta & 1+\alpha_1 & \dots & 1+\alpha_s \\ 0 & 1+i_1 & \dots & 1+i_s \end{pmatrix}$  with  $i_1 \neq 0$   
 $= ((\nabla \begin{bmatrix} \alpha_1+1 \\ i_1+1 \end{bmatrix} \circ [0] \circ \dots \circ [0] \circ \nabla \begin{bmatrix} \alpha_s+1 \\ i_s+1 \end{bmatrix}) Next)^{1+\zeta} 0$   
 $= ((([1]^{i_1}[0])^{1+\alpha_1} \circ [0] \circ \dots \circ [0] \circ ([1]^{i_s}[0])^{1+\alpha_s}) Next)^{1+\zeta} 0$   
 $= ((([1]^{i_1}[0])^{1+\alpha_1} \circ \dots \circ ([1]^{i_s}[0])^{1+\alpha_s}) Next)^{1+\zeta} 0$

The separating  $[0]$  are absorbed.

We can see that the third case is contained in the fourth one if we remove the restriction  $i_1 \neq 0$  because if  $i_1 = 0$  we have  $([1]^{i_1}[0])^{1+\alpha_1} = [0]^{1+\alpha_1}$  like in the third case.

For more information concerning the correspondence between Simmons notation and Schütte Klammersymbols, see : <http://www.cs.man.ac.uk/~hsimmons/ORDINAL-NOTATIONS/FromBelow.pdf> pages 28 - 34.

The Simmons notation can also be used to represent the notation going beyond Veblen functions that we saw previously.

As we saw previously, the large Veblen ordinal is the least fixed point of the function  $\alpha \mapsto \varphi(1_\alpha)$  or  $\alpha \mapsto (\xi \mapsto \omega^\xi) \begin{pmatrix} 1 \\ \alpha \end{pmatrix}$ . It is  $Fix(\alpha \mapsto \varphi(1_\alpha))0 = Fix(\alpha \mapsto [1]^\alpha[0]Next)0 = [2][1][0]Next 0$ . The fixed points of this function are enumerated by the function  $F$ , so we have  $LVO = F(0)$ . More generally, the  $(1+\alpha)$ -th fixed point of  $\alpha \mapsto \varphi(1_\alpha)$  is  $F(\alpha) = \varphi_1^+(\alpha) = ([2][1][0]Next)^{1+\alpha}0$ . Then the fixed points of  $F = \varphi_1^+$  are enumerated by  $G = \varphi_2^+$ . The least fixed point of  $F$  is  $G(0) = \varphi_2^+(0) = Fix(\alpha \mapsto ([2][1][0]Next)^{1+\alpha}0) = [0]([2][1][0]Next)0$  (because of the absorpsion of "1+") and its  $(1+\alpha)$ -th fixed point is  $G(\alpha) = \varphi_2^+(\alpha) = ([0]([2][1][0]Next))^{1+\alpha}0$ .

Then the fixed points of  $G = \varphi_2^+$  are enumerated by  $H = \varphi_3^+$ . The least fixed point of  $H$  is  $H(0) = \varphi_3^+(0) = Fix(\alpha \mapsto ([0]([2][1][0]Next)^{1+\alpha}0)0 = [0]([0]([2][1][0]Next))0 = [0]^2([2][1][0]Next)0$  and its  $(1+\alpha)$ -th fixed point is  $H(\alpha) = \varphi_3^+(\alpha) = ([0]^2([2][1][0]Next))^{1+\alpha}0$ .

More generally, we have  $\varphi_{1+\alpha}^+(0) = [0]^\alpha([2][1][0]Next)0$  and  $\varphi_{1+\alpha}^+(\beta) = ([0]^\alpha([2][1][0]Next))^{1+\beta}0$ .

Then we generalize the function  $\varphi^+$  to any number of variables :

$$\varphi^+(\alpha, \beta) = \varphi_\alpha^+(\beta)$$

$\varphi^+(1, 0, 0)$  is the least fixed point of the function  $\alpha \mapsto \varphi^+(\alpha, 0) = \alpha \mapsto [0]^\alpha([2][1][0]Next)0$ . It is  $Fix(\alpha \mapsto [0]^\alpha([2][1][0]Next)0)0 = [1][0]([2][1][0]Next)0$ .

Compare with  $\varphi(1, 0, 0) = [1][0]Next0$ .

More generally, like we found  $\varphi(\gamma, \beta, \alpha) = ([0]^\beta([1][0]^\gamma Next))^{1+\alpha}0$ , we have  $\varphi^+(\gamma, \beta, \alpha) = ([0]^\beta([1][0]^\gamma([2][1][0]Next)))^{1+\alpha}0$ .

Like we generalized the  $\varphi$  function to transinitely many variables reaching all ordinals less than  $LVO = [2][1][0]Next 0$ , we can generalize the  $\varphi^+$  function to transinitely many variables and reach all ordinals less than a new limit which we will call  $LVO^+ = [2][1][0]([2][1][0]Next)0$  which is the least fixed point of  $\alpha \mapsto [1]^\alpha[0]([2][1][0]Next)0$ .

Then we can do the same with  $\varphi^{++} = \Phi_2$  and we shall get similar results with  $([2][1][0])^2 Next$ , and generally with  $\Phi_\alpha$ , getting formulas with  $([2][1][0])^\alpha Next$ .

The limit of  $Next 0, [0] Next 0, [1] [0] Next 0, [2] [1] [0] Next 0, [3] [2] [1] [0] Next 0, \dots$

or  $Next \omega, [0]Next \omega, [1][0]Next \omega, [2][1][0]Next \omega, [3][2][1][0]Next \omega, \dots$

is called the Bachmann-Howard ordinal (BHO).

It could be written  $[\omega \dots 0]Next 0$  or  $[\omega \dots 0]Next \omega$ .

## 5 Rationalization of the Veblen functions

When we have defined the different notations, we have arbitrarily chosen some conventions, for example the limit of  $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$  have been called  $\varepsilon_0$ . We could have called it  $\varepsilon_1$ . In this case,  $\varepsilon_\alpha$  would have been the  $\alpha$ -th fixed point of  $\xi \mapsto \omega^\xi$  instead of the  $(1 + \alpha)$ -th one. Also we chose to define  $\varphi(0, \alpha) = \omega^\alpha$ . We could have chosen to define  $\varphi(0, \alpha) = \varepsilon_\alpha$ . The "1+" which appear in the correspondence between Simmons and Veblen notations may be due to the fact that the choices that have been made are not the most logical.

We will define a rationalized variant of the Veblen notations which simplifies the correspondence with the Simmons notation :

- $\varepsilon_\alpha = \varphi(1, \alpha) = \varepsilon'_{1+\alpha} = \varphi'(0, 1 + \alpha)$
- $\zeta_\alpha = \varphi(2, \alpha) = \zeta'_{1+\alpha} = \varphi'(1, 1 + \alpha)$
- $\eta_\alpha = \varphi(3, \alpha) = \eta'_{1+\alpha} = \varphi'(2, 1 + \alpha)$
- Generally,  $\varphi(1 + \beta, \alpha) = \varphi'(\beta, 1 + \alpha)$
- $\Gamma_0 = \varphi(1, 0, 0) = \varphi'(1, 0, 1)$
- Generally, if  $\gamma \neq 0$ ,  $\varphi(\gamma, \beta, \alpha) = \varphi'(\gamma, \beta, 1 + \alpha)$
- In a similar way, if  $\gamma \neq 0$  or  $\delta \neq 0$ ,  $\varphi(\delta, \gamma, \beta, \alpha) = \varphi'(\delta, \gamma, \beta, 1 + \alpha)$  and so on.

With this notation, the correspondence with Simmons notation becomes simpler, for example we have :

- $\varepsilon'_\alpha = \text{Next}^\alpha 0$  instead of  $\varepsilon_\alpha = \text{Next}^{1+\alpha} 0$
- $\varphi'(\beta, \alpha) = ([0]^\beta \text{Next})^\alpha 0$  instead of  $\varphi(1 + \beta, \alpha) = ([0]^\beta \text{Next})^{1+\alpha} 0$
- $\varphi'(\gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma \text{Next}))^\alpha 0$  instead of  $\varphi(\gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma \text{Next}))^{1+\alpha} 0$
- $\varphi'(\delta, \gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma (([1]^2[0])^\delta \text{Next})))^{1+\alpha} 0$  instead of  $\varphi(\delta, \gamma, \beta, \alpha) = ([0]^\beta (([1][0])^\gamma (([1]^2[0])^\delta \text{Next})))^{1+\alpha} 0$

## 6 RHS0 notation

This method is based on combinatory logic and lambda calculus formalism.

Intuitively, the method consists in :

Start from 0

If we don't see any regularity, take the successor (add 1)

If we see a regularity and we don't have a notation for it, invent it and jump to the limit

If we see a regularity and we already have a notation for it, use it and jump to the limit.

The difficulty, which requires intelligence, is to see the regularities. It gives the following sequence :

0 : no regularity, take the successor

suc 0 : no regularity, take the successor

suc (suc 0) : regularity : suc repeatedly applied to 0. No notation, invent it :

H f x = limit of x, f x, f (f x), ...

H suc 0 : no regularity, take the successor

suc (H suc 0) : no regularity, take the successor

suc (suc (H suc 0)) : regularity : suc repeatedly applied to H suc 0, notation exists

H suc (H suc 0) : regularity : H suc repeatedly applied to 0, notation exists

H (H suc) 0 : regularity : H repeatedly applied to suc, notation exists

H H suc 0 : regularity (suc 0, ..., H suc 0, ... H H suc 0, ... H H H suc 0, ...),

invent notation R1 H suc 0 for the limit of this sequence

R1 H suc 0 : no regularity, take the successor

suc (R1 H suc 0)

suc (suc (R1 H suc 0))

```

H suc (R1 H suc 0)
suc (H suc (R1 H suc 0))
suc (suc (H suc (R1 H suc 0)))
H suc (H suc (R1 H suc 0))
H (H suc) (R1 H suc 0)
H H suc (R1 H suc 0)
R1 H suc (R1 H suc 0)
H (R1 H suc) 0
suc (H (R1 H suc) 0)
suc (suc (H (R1 H suc) 0))
H suc (H (R1 H suc) 0)
suc (suc (H suc (H (R1 H suc) 0)))
H suc (H suc (H (R1 H suc) 0)))
H (H suc) (H (R1 H suc) 0)
H H suc (H (R1 H suc) 0)
R1 H suc (H (R1 H suc) 0)
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H suc (R1 H suc (H (R1 H suc) 0))
suc (H suc (R1 H suc (H (R1 H suc) 0)))
suc (suc (H suc (R1 H suc (H (R1 H suc) 0))))
H suc (H suc (R1 H suc (H (R1 H suc) 0)))
H (H suc) (R1 H suc (H (R1 H suc) 0))
H H suc (R1 H suc (H (R1 H suc) 0))
R1 H suc (R1 H suc (H (R1 H suc) 0))
H (R1 H suc) (H (R1 H suc) 0)
H (H (R1 H suc)) 0
H H (R1 H suc) 0
R1 H (R1 H suc) 0
H (R1 H) suc 0
...
R1 H (R1 H) suc 0
R1 (R1 H) suc 0
H R1 H suc 0
...
R1 H R1 H suc 0 : invent notation R2 R1 H suc 0 = limit of suc 0, R1 H suc 0, R1 H R1 H suc 0, ...
...
R3 R2 R1 H suc 0 : invent notation R3...1 H suc 0 and jump to limit
Rw...1 H suc 0
...
R2 Rw...1 H suc 0 : invent notation Rw+1...1 H suc 0
...

```

To progress faster, we can use the following rule :

If we have found an ordinal a, and later another ordinal b of the form f (s (s z)),

we may produce an ordinal c = f ([suc->s,0->z] a)

where [suc->f,0->x] a means the ordinal obtained by replacing suc by z and 0 by z in a.

For example :

a = R1 H suc 0

b = R1 H (R1 H suc) 0

s = R1 H

z = suc

f x = x 0

[suc->R1 H,0->suc] a = R1 H (R1 H) suc

$c = f ([\text{suc} \rightarrow R1\ H, 0 \rightarrow \text{suc}] a) = R1\ H\ (R1\ H)\ \text{suc}\ 0$

With the following rules :

```

0 : -> 0
suc : x -> suc x
H : f (f x) -> H f x
R1 : f f -> R1 f
R2 : f g f g -> R2 f g
R3 : f g h f g h -> R3 f g h
...
Repl : a, f (s (s z)) -> f([suc->s, 0->z] a)

```

we can produce the following sequence of ordinals :

```

0 : 0 : 0
1 : suc 0 : suc 0
2 : suc 1 : suc (suc 0)
3 : H 2 : H suc 0
4 : suc 3 : suc (H suc 0)
5 : suc 4 : suc (suc (H suc 0))
6 : H 5 : H suc (H suc 0)
7 : H 6 : H (H suc) 0
8 : H 7 : H H suc 0
9 : R1 8 : R1 H suc 0
10 : suc 9 : suc (R1 H suc 0)
11 : suc 10 : suc (suc (R1 H suc 0))
12 : Repl 9 11 [suc->suc, 0->R1 H suc 0] : R1 H suc (R1 H suc 0)
13 : Repl 9 12 [suc->R1 H suc, 0->0] : R1 H (R1 H suc) 0
14 : Repl 9 13 [suc->R1 H, 0->suc] : R1 H (R1 H) suc 0
15 : R1 14 : R1 (R1 H) suc 0
16 : Repl 9 15 [suc->R1, 0->H] : R1 H R1 H suc 0
17 : R2 16 : R2 R1 H suc 0

```

The rules R1, R2, R3, ... may be replaced by H or Repl if  $f_1 \dots f_n \dots f_1 \dots f_n$  is reformulated in  $\langle f_1, \dots, f_n \rangle$  ( ...  $\langle f_1, \dots, f_n \rangle I$  ...) with  $\langle f_1, \dots, f_n \rangle g = g f_1 \dots f_n$  :

```

0 : 0 : 0
1 : suc 0 : suc 0
2 : suc 1 : suc (suc 0)
3 : H 2 : H suc 0
4 : suc 3 : suc (H suc 0)
5 : suc 4 : suc (suc (H suc 0))
6 : Repl 3 5 [suc->suc, 0->H suc 0] : H suc (H suc 0)
7 : Repl 3 6 [suc->H suc, 0->0] : H (H suc) 0
8 : Repl 3 7 [suc->H, 0->suc] : H H suc 0 = <H> (<H> I) suc 0
9 : Repl 3 8 [suc-><H>, 0->I] : H <H> I suc 0
10 : suc 9 : suc (H <H> I suc 0)
11 : suc 10 : suc (suc (H <H> I suc 0))
12 : Repl 9 10 [suc->suc, 0->H <H> I suc 0] : H <H> I suc (H <H> I suc 0)
13 : Repl 9 12 [suc->H <H> I suc, 0->0] : H <H> I (H <H> I suc) 0
14 : Repl 9 13 [suc->H <H> I, 0->suc] : H <H> I (H <H> I) suc 0 = <H <H> I> (<H <H> I> I) suc 0
15 : Repl 3 14 [suc-><H <H> I>, 0->I] : H <H <H> I> I suc 0 = [H <*> I] ([H <*> I] H) suc 0
16 : Repl 9 15 [suc->[H <*> I], 0->H] : H <H> I [H <*> I] H suc 0
    = [H <*> I] H [H <*> I] H suc 0 = <[H <*> I], H> (<[H <*> I], H> I) suc 0
17 : Repl 3 16 [suc-><[H <*> I], H>, 0->I] : H <[H <*> I], H> I suc 0

```



More formally, this notation uses combinatory logic with De Bruijn indexes.  
 $\lambda x.x$  is written  $[x]$  and variables are written  $*$ ,  $**$ ,  $***...$ ,  
for example  $[\dots * \dots] = \lambda x ( \dots x \dots )$

$CI = C I$  is defined by  $CI \ x \ f = f \ x$ .  
 $CI \ x = \langle x \rangle$   
 $\langle x_1, \dots, x_n \rangle \ f = f \ x_1 \ \dots \ x_n$   
 $\text{tuple } n \ f \ x_1 \ \dots \ x_n = f \ \langle x_1, \dots, x_n \rangle$   
 $\text{tuple } 0 = \langle I \rangle$   
 $\text{tuple } (n+1) \ f \ x_0 = \text{tuple } n \ [ \ f \ (\text{insert } x_0 \ *) \ ]$   
with  $\text{insert } x_0 \ a \ f = a \ (f \ x_0)$

$r \ 0 \ f \ x = x$   
 $r \ (n+1) \ f \ x = f \ (r \ n \ f \ x)$   
 $r \ (\lim \ g) \ f \ x = \lim [r \ * \ f \ x]$

$H \ f \ x$  represents the limit of  $x, f \ x, f \ (f \ x), \dots$   
 $H \ f \ x = r \ w \ f \ x$

$R_1 = [H \ \langle * \rangle \ I] = \text{tuple } 1 \ [H \ * \ I]$   
 $R_2 = [[H \ \langle **, * \rangle \ I]] = \text{tuple } 2 \ [H \ * \ I]$   
 $R_3 = [[[H \ \langle ***, **, * \rangle \ I]]] = \text{tuple } 3 \ [H \ * \ I]$   
 $R_n = \text{tuple } n \ [H \ * \ I]$   
 $R(n...1) = R_n \ \dots \ R_1$   
 $S(n...1) = [S(*...1)] \ n = \langle R_n, \dots, R_1 \rangle$   
 $R(n...1) = S(n...1) \ I$   
 $[S(*...1)] \ 0 = I$   
 $[S(*...1)] \ (n+1) = \text{insert} \ (\text{tuple } (n+1) \ [H \ * \ I]) \ ([S(*...1)] \ n)$

$L \ f = \lim \ f \ 0, f \ 1, \dots$   
 $L \ f \ x = L \ [f \ * \ x]$   
 $H = [[L \ [r \ * \ *** \ **]]]$   
or  
 $L_0 = \lim \ f \ 0, f \ 1, \dots$   
 $L \ n \ f = \text{tuple } n \ [L_0 \ [** \ (f \ *) \ ]]$   
 $L \ n = [ \text{tuple } n \ [L_0 \ [** \ (** \ *) \ ]]]$   
 $L = [[ \text{tuple } ** \ [L_0 \ [** \ (** \ *) \ ]]]$   
 $= \lambda n \ \lambda f \ (\text{tuple } n \ \lambda a \ (L_0 \ \lambda i \ (a \ (f \ i)) \ ) \ )$

To represent the replacement  $[suc \rightarrow s, 0 \rightarrow z]$  we can represent ordinals by ordinal functions which, when applied to  $suc$  and  $0$ , give the considered ordinal. For example,  $R_1 \ H \ suc \ 0$  is represented by the ordinal function  $s \rightarrow z \rightarrow R_1 \ H \ s \ z$ ,  $R_1 \ H \ (R_1 \ H \ suc) \ 0$  by  $s \rightarrow z \rightarrow R_1 \ H \ (R_1 \ H \ s) \ z$ . From these ordinals, with the replacement  $[suc \rightarrow R_1 \ H, 0 \rightarrow suc]$  we can produce a new ordinal represented by  $s \rightarrow z \rightarrow ((s \rightarrow z \rightarrow R_1 \ H \ s \ z) \ (R_1 \ H) \ s \ z) = s \rightarrow z \rightarrow R_1 \ H \ (R_1 \ H) \ s \ z$  which, when applied to  $suc$  and  $0$ , gives  $R_1 \ H \ (R_1 \ H) \ suc \ 0$ .

Operations can be represented with replacements :

$a+b = [0 \rightarrow a] \ b$   
 $a.b = [suc \rightarrow [*+a]] \ b = [suc \rightarrow [[0 \rightarrow **] \ a]] \ b$   
 $a^b = [suc \rightarrow [* . a], \ 0 \rightarrow 1] \ b = [suc \rightarrow [[suc \rightarrow [[0 \rightarrow **] \ ***]] \ a], \ 0 \rightarrow suc \ 0] \ b$   
 $w^a = [suc \rightarrow [suc \rightarrow H \ suc], \ 0 \rightarrow suc \ 0] \ b = [suc \rightarrow H, 0 \rightarrow suc] \ b \ 0$   
 $\epsilon_0^a = [suc \rightarrow R_1 \ H, 0 \rightarrow suc] \ a \ 0$

```

epsilon_a = [suc->R1, 0->H] (1+a) suc 0
1+a = [0->suc 0] a

```

The ordinal ascension can be continued after  $Ra...1 H \text{ suc } 0$  by taking the fixed point :

```

H [ R(*->1) H suc 0 ] 0
= R(1;1) H suc 0
with R(1;1) = [[[ H [ R(*...1) ***** *** ** ] 0 ]]]
= tuple 3 [ H [ ** R(*...1) ] 0]
with tuple n f x1 ... xn = f <x1, ... , xn>
and <x1, ... , xn> f = f x1 ... xn
and then we can go on with :

H [R*...1 H suc 0] 0 = R(1;1) H suc 0
R(1;1) (R(1;1) H) suc 0
H R(1;1) H suc 0
R(1;1) H R(1;1) H suc 0
R2 R(1;1) H suc 0
R3 R2 R(1;1) H suc 0 = R(3...2) R(1;1) H suc 0
H [ R(*...2) R(1;1) H suc 0 ] 0 = R(1;2) R(1;1) H suc 0 with R(1;2)
= [[[[H[R(*...2) ***** *** **]0]]]] = R(1;2...1) H suc 0
R(1;3) R(1;2) R(1;1) H suc 0 = R(1;3...1) H suc 0
H [ R(1;*...1) H suc 0 ] 0 = R(2;1) H suc 0 with R(2;1) = [[[ H [ R(1;*...1) ***** *** ** ] 0 ]]]
R(3;1) H suc 0
R(w;1) H suc 0
H [ R(*;1) H suc 0 ] 0 = R(W;1) H suc 0 or R(1,0;1) H suc 0 with R(W;1)
= R(1,0;1) = [[[[ H [ R(*;1) ***** *** ** ] 0 ]]]] or R(1;1;1) H suc 0
R(1,1;1) H suc 0 = R(1:1,0;1;1)
R(1,2;1) H suc 0 = R(1:1,0;2;1) H suc 0
R(2,0;1) H suc 0 = R(1:2;1) H suc 0
R(1,0,0;1) H suc 0 = R(2:1;1) H suc 0
R(1,0,...,0;1) H suc 0 = R(H suc 0:1;1) H suc 0
H [ R(*:1;1) H suc 0 ] 0 = [[[[ H [ R(*:1;1) ***** *** ** ] 0 ]]]] H suc 0
= R(1,0:1;1) H suc 0 = R(1:1:1;1) H suc 0
R(1,0,0:1;1) H suc 0 = R(2:1:1;1) H suc 0
R(w:1:1;1) H suc 0 (?) with a:b:c = (a:b):c
R(1:1:1:1;1) H suc 0 = R(1::3::1;1) H suc 0 = R(r 3 [*:1] 1;1) H suc 0
R(1:1:1:1:1;1) H suc 0 = R(1::4::1;1) H suc 0 = R(r 4 [*:1] 1;1) H suc 0
H [R(1::*:1;1) H suc 0] 0 = H [R(r * [*:1] 1;1) H suc 0] 0
...

```

Correspondence with Simmons notation :

The RHS0 notation can be obtained from the Simmons notation (ending with w) by replacing w by H, Next by R1, [0] by R2, [1] by R3, [2] by R4, and so on, and putting suc 0 at the end. For example :

```

w = H suc 0
epsilon_0 = Next w = R1 H suc 0
epsilon_1 = Next^2 w = Next (Next w) = R1 (R1 H) suc 0
zeta_0 = [0] Next w = R2 R1 H suc 0
Gamma_0 = [1] [0] Next w = R3 R2 R1 H suc 0
LV0 = [2] [1] [0] Next w = R4 R3 R2 R1 H suc 0

```

## 6.1 Correspondence with other notations

- $\text{suc } 0 = 0 + 1 = 1$

- $suc(suc\ 0) = 1 + 1 = 2$
- $Hsuc\ 0 = \omega$
- $suc(Hsuc\ 0) = \omega + 1$
- $Hsuc(Hsuc\ 0) = \omega + \omega = \omega \cdot 2$
- $H(Hsuc)\ 0 = \omega \cdot \omega = \omega^2$
- $HHsuc\ 0 = \omega^\omega$
- $R_1Hsuc\ 0 = \text{limit of } suc\ 0, Hsuc\ 0, HHsuc\ 0, HHHsuc\ 0, \dots = \varepsilon_0 = \varphi(1, 0) = \varphi'(0, 1) = Next\ \omega$
- $suc(R_1Hsuc\ 0) = \varepsilon_0 + 1$
- $R_1Hsuc(R_1Hsuc\ 0) = \varepsilon_0 + \varepsilon_0 = \varepsilon_0 \cdot 2$
- $R_1H(R_1Hsuc)0 = \varepsilon_0 \cdot \varepsilon_0 = \varepsilon_0^2$
- $R_1H(R_1H)suc\ 0 = \varepsilon_0^{\varepsilon_0}$
- $R_1(R_1H)suc\ 0 = \varepsilon_1 = \varphi(1, 1) = \varphi'(0, 2) = Next(Next\ \omega)$  ( note again that the correspondence is clearer with the rationalized function  $\varphi'$
- $R_1(R_1(R_1H))suc\ 0 = \varepsilon_2 = \varphi(1, 2) = \varphi'(0, 3) = Next(Next(Next\ \omega))$
- $HR_1Hsuc\ 0 = \varepsilon_\omega = \varphi(1, \omega) = \varphi'(0, \omega) = Next^\omega\omega$
- $R_1HR_1Hsuc\ 0 = \varepsilon_{\varepsilon_0}$
- $R_2R_1Hsuc\ 0 = \zeta_0 = \varphi(2, 0) = \varphi'(1, 1) = [0]Next\ \omega$
- $R_2R_1(R_2R_1H)suc\ 0 = \zeta_1 = \varphi(2, 1) = \varphi'(1, 2) = [0]Next([0]Next\ \omega)$
- $H(R_2R_1)Hsuc\ 0 = \zeta_\omega$
- $R_2R_1H(R_2R_1)Hsuc\ 0 = \zeta_{\zeta_0}$
- $R_2(R_2R_1)Hsuc\ 0 = \eta_0 = \varphi(3, 0) = \varphi'(2, 1) = [0]([0]Next)\omega$
- $HR_2R_1Hsuc\ 0 = \varphi(\omega, 0) = \varphi'(\omega, 1)$
- $R_1HR_2R_1Hsuc\ 0 = \varphi(\varepsilon_0, 0) = \varphi(\varphi(1, 0), 0) = \varphi'(\varepsilon_0, 1) = \varphi'(\varphi'(0, 1), 1)$
- $R_2R_1HR_2R_1Hsuc\ 0 = \varphi(\zeta_0, 0) = \varphi(\varphi(2, 0), 0) = \varphi'(\zeta_0, 1) = \varphi'(\varphi'(1, 1), 1)$
- $R_3R_2R_1Hsuc\ 0 = \Gamma_0 = \varphi(1, 0, 0) = \varphi'(1, 0, 1) = [1][0]Next\ \omega$
- $R_3(R_3R_2)R_1Hsuc\ 0 = \varphi(1, 0, 0, 0) = \varphi'(1, 0, 0, 1) = [1]([1][0])Next\ 0$  (Note that in the  $\varphi$  and  $\varphi'$  functions, the last variable plays a different role than the others, as mentioned previously, so the most logical representation should probably be  $\varphi'_{1,0,0}(1)$  where the first 1 should be considered at position 2 and not 3, in this case its position corresponds to the number of occurrences (or the exponent) of  $R_3$  and [1])
- $HR_3R_2R_1Hsuc\ 0 = SVO = [1]^\omega[0]Next\omega$
- $R_4R_3R_2R_1Hsuc\ 0 = LVO = [2][1][0]Next\ \omega$
- $R_{\omega\dots 1}Hsuc\ 0 = BH0$
- ...

We can see a trivial correnspondence between RHS0 and Simmons notations :

- $R_{n+2} \leftrightarrow [n]$
- $R_1 \leftrightarrow Next$
- $H \leftrightarrow \omega$
- $suc\ 0$  at the end of the RHS0 notation

```

w^a = [suc:H,0:suc] a 0
e0^a = [suc:R1 H,0:suc] a 0
e_a = phi(1,a) = [suc:R1,0:H] (1+a) suc 0; 1+a = [0:suc 0] a
a^...^a = w^...^w^(a+1) = e_-(a+1)
e1 = phi(1,1) = w^...^w^(e0+1) = H (R1 H) H ... H suc 0 = e0^...^e0 = R1 (R1 H) suc 0
z0 = phi(2,0) = R2 R1 H suc 0
z1 = phi(2,1) = e_...e_-(z0+1) = R1 (R2 R1 H) R1 H ... R1 H suc 0 =? R2 R1 (R2 R1 H) suc 0
    =? H R1 (R2 R1 H) suc 0

```

We have previously proved that for any n, we have  $\omega^{\omega^{\omega^{\dots \omega^{\varepsilon_0+1}}}} = \varepsilon_0^{\varepsilon_0^\omega}$ .

We will now prove it again using RHS0 notation.

First we will write the two sides of this equality using RHS0 notation :  
We will use the notation  $X \dots X$  for  $X$  repeated  $n$  times.

- $\varepsilon_0 = R_1 H suc\ 0$
- $\varepsilon_0 + 1 = suc(R_1 H suc\ 0)$
- $\omega^{varepsilonpsilon_0+1} = [suc \rightarrow H, 0 \rightarrow suc](suc(R_1 H suc\ 0))0 = H(R_1 H H suc)0 = H(R_1 H suc)0$
- $\omega^{\varepsilon_0+1} = H(R_1 H H) suc\ 0 = H(R_1 H) suc\ 0$
- $\omega^{\omega^{\varepsilon_0+1}} = H(R_1 H) H suc\ 0$
- $\omega^{\omega^{\omega^{\varepsilon_0+1}}} = H(R_1 H) H H suc\ 0$
- $\dots$
- $\omega^{\omega^{\omega^{\omega^{\varepsilon_0}}}} = H(R_1 H) H \dots H suc\ 0$
- $\omega = H suc\ 0$
- $\varepsilon_0^\omega = [suc \rightarrow R_1 H, 0 \rightarrow suc]\omega 0 = H(R_1 H) suc\ 0$
- $\varepsilon_0^{\varepsilon_0^\omega} = H(R_1 H)(R_1 H) suc\ 0$
- $\varepsilon_0^{\varepsilon_0^{\varepsilon_0^\omega}} = H(R_1 H)(R_1 H)(R_1 H) suc\ 0$
- $\dots$
- $\varepsilon_0^{\varepsilon_0^{\varepsilon_0^{\varepsilon_0^\omega}}} = H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0$

We will now prove the equality  $H(R_1 H) H \dots H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0$  for any  $n$  by induction.

For  $n = 0$ , the equality is trivial :  $H(R_1 H) suc\ 0 = H(R_1 H) suc\ 0$ .

We will now suppose  $H(R_1 H) H \dots H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0$  for a given  $n$  and prove it for  $n + 1$ .

By elevating  $\omega$  at the power of each side of this equality, we get :

$$[suc \rightarrow H, 0 \rightarrow suc](H(R_1 H) H \dots H suc\ 0)0 = [suc \rightarrow H, 0 \rightarrow suc](H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0)0$$

$$(1) H(R_1 H) H \dots H H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H) H suc\ 0$$

We also have :

$$H(R_1 H) \dots (R_1 H) suc\ 0 = H(R_1 H) \dots (R_1 H) suc(suc\ 0)$$

which corresponds to the RHS0 notation for :

$$\varepsilon_0^{\omega} = 1 + \varepsilon_0^{\omega}$$

by absorpsion of "1+" and "suc".

Now we elevate  $\varepsilon_0$  to the power of each side of this equality, which gives :

$$[suc \rightarrow R_1 H, 0 \rightarrow suc](H(R_1 H) \dots (R_1 H) suc\ 0)0 = [suc \rightarrow R_1 H, 0 \rightarrow suc](H(R_1 H) \dots (R_1 H) suc(suc\ 0))0$$

$$H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H)(R_1 H) suc\ 0$$

Then we elevate  $\omega$  to the power of each side of this equality :

$$[suc \rightarrow H, 0 \rightarrow suc](H(R_1 H) \dots (R_1 H)(R_1 H) suc\ 0)0 = [suc \rightarrow H, 0 \rightarrow suc](H(R_1 H) \dots (R_1 H)(R_1 H)(R_1 H) suc\ 0)0$$

$$H(R_1 H) \dots (R_1 H)(R_1 H) H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H)(R_1 H) H suc\ 0$$

which can be simplified to :

$$H(R_1 H) \dots (R_1 H)(R_1 H) H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H)(R_1 H) suc\ 0$$

Noting that the left side of this last equality is the same as the right side of (1), we get by transitivity of equality, equating the left side of (1) with the right side of the last equality :

$$H(R_1 H) H \dots H H suc\ 0 = H(R_1 H) \dots (R_1 H)(R_1 H)(R_1 H) suc\ 0$$

which corresponds to the equality we wanted to prove for  $n+1$ .

## 7 Ordinal collapsing functions

An ordinal collapsing function is a function which, when applied to an uncountable ordinal, gives a countable ordinal.

The general idea is to define a set of ordinals  $C(a)$  or  $C(a,b)$  where  $a$  and  $b$  are ordinals, which contains all ordinals that can be built using an initial set of ordinals and some operations or functions, and then define  $\psi(a)$  or  $\psi(a,b)$  as the smallest ordinal which is not in  $C(a)$  or  $C(a,b)$ , or the least ordinal which is greater than than all countable ordinals of  $C(a)$  or  $C(a,b)$ .

Different ordinal collapsing functions are described in [http://googology.wikia.com/wiki/Ordinal\\_notation](http://googology.wikia.com/wiki/Ordinal_notation) .

These functions are extensions of functions on countable ordinals, whose fixed points can be reached by applying them to an uncountable ordinal.

Here is a correspondence between basic notation systems and their collapsing extensions based on formula : least fixed point of  $f = f(\Omega)$  :

Basic notation	Formula	Limit	Extension	Correspondence	Crossing
Cantor	$cantor(\alpha, \beta)$ $= \beta + \omega^\alpha$	least $\alpha = cantor(\alpha, 0)$ $= \omega^\alpha = \varepsilon_0$	Taranovsky's C	$C(\alpha, \beta) = \beta + \omega^\alpha$ iff $C(\alpha, \beta) \geq \alpha$	$C(\Omega, 0) = \varepsilon_0$
Epsilon	$\varepsilon_\alpha$	least $\alpha = \varepsilon_\alpha$ $= \zeta_0$	Madore's $\psi$	$\psi(\alpha) = \varepsilon_\alpha$ for all $\alpha < \zeta_0$	$\psi(\Omega) = \zeta_0$
Binary Veblen	$\varphi_\alpha(\beta)$ or $\varphi(\alpha, \beta)$	least $\alpha = \varphi(\alpha, 0)$ $= \Gamma_0$	$\theta$	$\theta(\alpha, \beta) = \varphi(\alpha, \beta)$ below $\Gamma_0$	$\theta(\Omega, 0) = \Gamma_0$

## 7.1 Madore's $\psi$

This ordinal collapsing function is described in [https://en.wikipedia.org/wiki/Ordinal\\_collapsing\\_function](https://en.wikipedia.org/wiki/Ordinal_collapsing_function) and <http://quibb.blogspot.fr/impredicative-ordinals.html>.

The definition of this function uses the ordinal  $\Omega$  which is the least uncountable ordinal.

$C(\alpha)$  is the set of all ordinals constructible using only  $0, 1, \omega, \Omega$  and addition, multiplication, exponentiation, and the function  $\psi$  (which will be defined later) restricted to ordinals smaller than  $\alpha$ .

$\psi(\alpha)$  is the smallest ordinal not in  $C(\alpha)$ .

The smallest ordinal not in  $C(0)$  is the limit of  $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$  which is  $\varepsilon_0$ , so  $\psi(0) = \varepsilon_0$ . More generally,  $\psi(\alpha) = \varepsilon_\alpha$  for all  $\alpha < \zeta_0$ ,  $\psi(\alpha) = \zeta_0$  for  $\zeta_0 \leq \alpha \leq \Omega$ , and  $\psi(\Omega + \alpha) = \varepsilon_{(\zeta_0 + \alpha)}$  for  $\alpha < \zeta_1$ .

Note that  $\psi(\Omega) = \zeta_0$  is the least fixed point of  $\alpha \mapsto \varepsilon_\alpha$ ; we already saw such an equality when we introduced collapsing in the Veblen function.

The limit  $\psi(\varepsilon_\Omega + 1)$  of  $\psi(\Omega), \psi(\Omega^\Omega), \psi(\Omega^{\Omega^\Omega}), \dots$  is the Bachmann-Howard ordinal.

Some examples of fundamental sequences (FS) are :

A FS of  $\omega$  is  $0, 1, 2, 3, \dots$

A FS of  $\psi(0)$  is  $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$

A FS of  $\psi(\alpha + 1)$  is  $\psi(\alpha), \psi(\alpha)^{\psi(\alpha)}, \psi(\alpha)^{\psi(\alpha)^{\psi(\alpha)}}, \dots$

A FS of  $\psi(f(\Omega))$  is  $\psi(0), \psi(f(\psi(0))), \psi(f(\psi(f(\psi(0))))) , \dots$

For example :

A FS of  $\psi(\Omega)$  is  $\psi(0), \psi(\psi(0)), \psi(\psi(\psi(0))), \dots$

A FS of  $\psi(\Omega \cdot 2)$  is  $\psi(0), \psi(\Omega + \psi(0)), \psi(\Omega + \psi(\Omega + \psi(0))), \dots$

A FS of  $\psi(\Omega^\Omega \cdot 3)$  is  $\psi(0), \psi(\Omega^\Omega \cdot 2 + \Omega^{\psi(0)}), \psi(\Omega^\Omega \cdot 2 + \Omega^{\Omega^\Omega \cdot 2 + \Omega^{\psi(0)}}), \dots$

## 7.2 Correspondence between Veblen functions and Madore's $\psi$

To distinguish between the different Veblen functions, let us call  $\varphi_F$  the Veblen function with finitely many variables, and  $\varphi_T$  the Veblen function with transfinitely many variables.

$\varphi_F$  is a function which, when applied to a list of countable ordinals, gives a countable ordinal. A list of countable ordinals can be seen as a function which, when applied to a natural number, gives a countable ordinal, with the restriction that the result differs from 0 for finitely many integers. If we denote  $\omega$  the set of natural numbers and  $\Omega$  the set of countable ordinals, then this can be written :  $\varphi_F : (\omega \rightarrow \Omega) \rightarrow \Omega$ . If we replace  $\alpha \rightarrow \beta$  by  $\beta^\alpha$ , we get  $\Omega^{\Omega^\omega}$ , and if we apply  $\psi$  to it, we get  $\psi(\Omega^{\Omega^\omega})$ , which is the small Veblen ordinal, the least ordinal that cannot be reached using  $\varphi_F$ .

For  $\varphi_T$ , the position of a variable is represented by a countable ordinal instead of a natural number, also with the restriction that finitely many variables differ from 0, so we have  $\varphi_T : (\Omega \rightarrow \Omega) \rightarrow \Omega$ . If we replace  $\alpha \rightarrow \beta$  by  $\beta^\alpha$ , we get  $\Omega^{\Omega^\Omega}$ , and if we apply  $\psi$  to it, we get  $\psi(\Omega^{\Omega^\Omega})$ , which is the large Veblen ordinal, the least ordinal that cannot be reached using  $\varphi_T$ .

## 7.3 $\theta$ function

$\theta$  function is a binary function. It's defined as follows:

- $C_0(\alpha, \beta) = \{\gamma \mid \gamma < \beta\} \cup \{0\}$ .
- $C_{n+1}(\alpha, \beta) = \{\gamma + \delta \mid \gamma, \delta \in C_n(\alpha, \beta)\} \cup \{\theta(\gamma, \delta) \mid \gamma < \alpha \& \gamma, \delta \in C_n(\alpha, \beta)\} \cup \{\Omega_c \mid c \in C_n(\alpha, \beta)\}$ .
- $C(\alpha, \beta) = \bigcup_{n < \omega} C_n(\alpha, \beta)$

- $\theta(\alpha, \beta) = \min\{c \mid (c \in C(\alpha, \gamma) \& (\forall \delta < \beta : \gamma > \theta(\alpha, \delta)))\}$   
where  $\Omega_0 = 0$  and  $\Omega_a$  represents the a-th uncountable ordinal.

It means that  $\theta(\alpha, \beta)$  is the  $(1+\beta)$ -th ordinal such that it cannot be built from ordinals less than it by addition, applying  $\theta(\delta, \dots)$  where  $\delta < \alpha$  and getting an uncountable cardinal.

It seems that  $\theta(\alpha, \beta) = \varphi(\alpha, \beta)$  below  $\Gamma_0$ , making  $\theta$  function an extension of  $\varphi$  function. Even  $\theta(\Gamma_0, \beta) = \varphi(\Gamma_0, \beta)$  is true.

Other important values are :

- $\theta(\Omega, \alpha) = \Gamma_\alpha$
- $\theta(\Omega^\omega, 0) = \text{small Veblen ordinal}$
- $\theta(\Omega^\Omega, 0) = \text{large Veblen ordinal}$
- $\theta(\varepsilon_{\Omega+1}, 0) = \text{Bachmann Howard ordinal}$

See <https://stepstowardinfinity.wordpress.com/2015/05/04/ordinal2/> for more information.

## 7.4 Taranovsky's C

$C(a, b)$  is the least element above  $b$  that has degree  $a$ .

Definition: A degree for a well-ordered set  $S$  is a binary relation on  $S$  such that :

- Every element  $c \in S$  has degree  $0_S$  (the least element of  $S$ ).  $0_S$  only has degree  $0_S$ .
- For a limit  $a$ ,  $c$  has degree  $a$  iff it has every degree less than  $a$ .
- For a successor  $a' = a+1$ , either of the following holds:
  - An element has degree  $a'$  iff it is a limit of elements of degree  $a$ .
  - There is a limit element  $d$   $\models a$  such that for every  $c$  in  $S$ ,  $c$  has degree  $a'$  iff it has degree  $a$  and either  $c \models d$  or  $c$  is a limit of elements of degree  $a$  (or both).

Note: The third condition can be equivalently written as  $\forall a (C_{a+1} = \lim(C_a) \vee \exists d \in \lim(S) \cap (a+1) C_{a+1} = \lim(C_a) \cup (C_a \cap (d+1)))$ , where  $S$  is identified with an ordinal (so  $a+1$  consists of ordinals  $\leq a$ ),  $C_a$  is the set of elements that have degree  $a$ , and  $\lim$  is limit points.

In other terms : Let  $\eta$  be an ordinal, and let  $0_S$  and let  $Ld(a, b)$  be the statement that  $a$  is a limit of ordinals  $c$  such that  $(c, b) \in D$ . Let  $D$  be the following binary relation over  $\eta$  :

- $\forall a < \eta : (a, 0) \in D$
- $\forall a < \eta : a \neq 0 \Rightarrow (0, a) \notin D$
- $\forall b \in \lim \cup \eta : (a, b) \in D \Leftrightarrow \forall c < b : (a, c) \in D$
- $\forall b : (a, b) \in D \Leftrightarrow Ld(a, b+1) \forall b : (a, b) \in D \Leftrightarrow Ld(a, b+1)$
- $\forall b : \exists d \in \lim \cup \eta : d \leq b \Rightarrow \forall c : (c, a+1) \in D \Leftrightarrow (c \leq d \vee Ld(c, b))$

Then  $C(a, b) = \min c : c \in \eta \wedge c > b \wedge (c, a) \in D$ .

$C(a, b) = b + \omega^a$  iff  $C(a, b) \geq a$ .

For ordinals in the standard representation written in the postfix form, the comparison is done in the lexicographical order where ' $C' < 0' < \Omega'$ ': For example,  $C(C(0, 0), 0) < C(\Omega, 0)$  because  $000CC < 0\omega C$ . (This does not hold for non-standard representations of ordinals.)

The fundamental sequences of Taranovsky's notation can be easily defined.

Let  $L(\alpha)$  be the amount of  $C$ 's in standard representation of  $\alpha$ , then  $\alpha[n] = \max\{\beta \mid \beta < \alpha \wedge L(\beta) \leq L(\alpha) + n\}$ .

Here is a summary of the system by Taranovsky (see <https://cs.nyu.edu/pipermail/fom/2012-March/016349.html>) :

I discovered a conjectured ordinal notation system that I conjecture reaches full second order arithmetic. I implemented the system in a python module/program:

<http://web.mit.edu/dmytro/www/other/OrdinalArithmetic.py>

along with ordinal arithmetic operations (addition, multiplication, exponentiation, etc.) and other functions. The ordinal arithmetic functionality is useful even if you are only interested in ordinals below  $\epsilon_0$ .

The notation system is simple enough to be defined in full here.

Definition: An ordinal  $a$  is 0-built from below from  $b$  iff  $a \leq b$   
 $a$  is  $n+1$ -built from below from  $b$  iff the standard representation of  $a$  does not use ordinals above  $a$  except in the scope of an ordinal  $n$ -built from below from  $b$ .

(Note: "in the scope of" means "as a subterm of".)

The  $n$ th ( $n$  is a positive integer) ordinal notation system is defined as follows.

Syntax: Two constants ( $0$ ,  $W_n$ ) and a binary function  $C$ .

Comparison: For ordinals in the standard representation written in the postfix form, the comparison is done in the lexicographical order where ' $C$ ' < ' $0$ ' < ' $W_n$ ': For example,  $C(C(0,0),0) < C(W_n, 0)$  because  $0\ 0\ 0\ C$   
 $C < 0\ W_n\ C$ .

Standard Form:

$0$ ,  $W_n$  are standard

" $C(a, b)$ " is standard iff

1. " $a$ " and " $b$ " are standard,
2.  $b$  is  $0$  or  $W_n$  or " $C(c, d)$ " with  $a \leq c$ , and
3.  $a$  in  $n$ -built from below from  $b$ .

I conjecture that the strength of the  $n$ th ordinal notation system is between  $\Pi^1_{n-1}\text{-CA}$  and  $\Pi^1_n\text{-CA}_0$ , and thus the sum of the order types of these ordinal notation systems is the proof-theoretical ordinal of second order arithmetic.

The full notation system is obtained by combining these notation systems as follows:

Constants  $0$  and  $W_i$  (for every positive integer  $i$ ), and a binary function  $C$ .

$W_i = C(W_{i+1}, 0)$  and the standard form always uses  $W_i$  instead of  $C(W_{i+1}, 0)$ .

To check for standard form and compare ordinals use  $W_i = C(W_{i+1}, 0)$  to convert each  $W$  to  $W_n$  for a single positive integer  $n$  (it does not matter which  $n$ ) and then use the  $n$ th ordinal notation system.

To make  $C$  a total function for  $a$  and  $b$  in the notation system (this is not required for standard forms), let  $C(a, b)$  be the least ordinal (in the notation system) of degree  $\geq a$  above  $b$ , where the degree of  $W_i$  is  $W_{i+1}$  and the degree of  $C(c,d)$  is  $c$  if " $C(c,d)$ " is the standard form. A polynomial time computation of  $C(a, b)$  (that I believe is correct) is included in the program.

To complete ordinal analysis of second order arithmetic, one would need:

- \* A canonical assignment of notations to formulas that provably in second order arithmetic denote an ordinal, and such that for every two ordinals/formulas, comparison is provable in second order arithmetic. The idea is that the notation system captures not only provably recursive ordinals of second order arithmetic but all ordinals that have a provable canonical definition in second order arithmetic. For example,  $W_1$  is best assigned to the least admissible ordinal above  $\omega$ . A partial assignment is in my paper. (It is because of such assignment that I believe that the system reaches full second order arithmetic.)
- \* Proof that the system is well-founded and that it has the right strength, etc. (If you do not fully understand the notation system, or if you think that it is not well-founded, let me know.)

Historical Note: In 2005, I discovered the right general form of  $C$ , defined a notation system at the level of alpha-recursively inaccessible ordinals (FOM postings in August 2005), and had an idea for reaching second order arithmetic. In January 2006 (or possibly late 2005), I defined the notation system with  $W_2$  and in 2009 (June 29, 2009 FOM posting) implemented it is a computer program. This year I defined the key concept --  $n$ -built from below -- that allowed me to complete the full notation system.

Details about the ordinal notation system and its initial segments are in my paper:

<http://web.mit.edu/dmytro/www/other/OrdinalNotation.htm>

Sincerely,  
Dmytro Taranovsky

Here are some examples of representations of some ordinals :

- $0 = 0$
- $1 = 0 + \omega^0 = C(0, 0)$
- $2 = 1 + \omega^0 = C(0, 1) = C(0, C(0, 0))$
- $\omega = 0 + \omega^1 = C(1, 0)$
- $\omega + 1 = \omega + \omega^0 = C(0, \omega) = C(0, C(1, 0))$
- $\omega \cdot 2 = \omega + \omega^1 = C(1, \omega) = C(1, C(1, 0))$
- $\omega^2 = 0 + \omega^2 = C(2, 0)$
- $\omega^\omega = 0 + \omega^\omega = C(\omega, 0) = C(C(1, 0), 0)$
- $\omega^{\omega^\omega} = 0 + \omega^{\omega^\omega} = C(\omega^\omega, 0) = C(C(C(1, 0), 0), 0)$
- $\varepsilon_0 = \varphi(1, 0) = \varphi'(0, 1) = C(\Omega_1, 0)$
- $\varepsilon_1 = \varphi(1, 1) = \varphi'(0, 2) = C(W, C(W, 0))$  (note that the correspondence with  $\varphi'$  is simpler than with  $\varphi$ )
- $\zeta_0 = \varphi(2, 0) = \varphi'(1, 1) = C(C(\Omega_1, \Omega_1), 0) = C(\Omega_1 \cdot 2, 0)$  with  $\Omega_1 \cdot 2 = C(\Omega_1, \Omega_1)$
- $\zeta_1 = \varphi(2, 1) = \varphi'(1, 2) = C(\Omega_1 \cdot 2, C(\Omega_1 \cdot 2, 0))$
- $\eta_0 = \varphi(3, 0) = \varphi'(2, 1) = C(\Omega_1 \cdot 3, 0)$  with  $\Omega_1 \cdot 3 = C(\Omega_1, C(\Omega_1, \Omega_1))$



- $\Gamma_0 = \varphi(1, 0, 0) = \varphi'(1, 0, 1) = C(C(\Omega_1 \cdot 2, \Omega_1), 0) = C(\Omega_1^2, 0)$  with  $\Omega_1^2 = C(\Omega_1 \cdot 2, \Omega_1)$
- $\Gamma_1 = C(\Omega_1^2, C(\Omega_1^2, 0))$
- $\Gamma_\omega = C(\Omega_1^2 + 1, 0)$
- Small Veblen ordinal  $= C(\Omega_1^\omega, 0)$
- Large Veblen ordinal  $= C(\Omega_1^{\Omega_1}, 0)$
- Bachmann Howard ordinal  $= C(C(\Omega_2, \Omega_1), 0)$

See <http://web.mit.edu/dmytro/www/other/OrdinalNotation.htm> and <https://stepstowardinfinity.wordpress.com/2015/06/22/ordinal3/> for more information.

## 8 Summary

Any ordinal can be defined as the least ordinal strictly greater than all ordinals of a set : the empty set for 0,  $\{\alpha\}$  for the successor of  $\alpha$ ,  $\{\alpha_0, \alpha_1, \alpha_2, \dots\}$  for an ordinal with fundamental sequence  $\alpha_0, \alpha_1, \alpha_2, \dots$

## 9 Algebraic notation

We define the following operations on ordinals :

- addition :  $\alpha + 0 = \alpha$ ;  $\alpha + \text{succ}(\beta) = \text{succ}(\alpha + \beta)$ ;  $\alpha + \lim(f) = \lim(n \mapsto \alpha + f(n))$
- multiplication :  $\alpha \times 0 = 0$ ;  $\alpha \times \text{succ}(\beta) = (\alpha \times \beta) + \alpha$ ;  $\alpha \times \lim(f) = \lim(n \mapsto \alpha \times f(n))$
- exponentiation :  $\alpha^0 = 1$ ;  $\alpha^{\text{succ}(\beta)} = \alpha^\beta \times \alpha$ ;  $\alpha^{\lim(f)} = \lim(n \mapsto \alpha^{f(n)})$

## 10 Veblen functions

These functions use fixed points enumeration :  $\varphi(\dots, \beta, 0, \dots, 0, \gamma)$  represents the  $(1 + \gamma)^{\text{th}}$  common fixed point of the functions  $\xi \mapsto \varphi(\dots, \delta, \xi, 0, \dots, 0)$  for all  $\delta < \beta$ .

## 11 Simmons notation

$\text{Fix}fz = f^w(z + 1)$  = least fixed point of  $f$  strictly greater than  $z$ .

$\text{Next} = \text{Fix}(\alpha \mapsto \omega^\alpha)$

$[0]h = \text{Fix}(\alpha \mapsto h^\alpha \omega)$  ;  $[1]hg = \text{Fix}(\alpha \mapsto h^\alpha g\omega)$  ;  $[2]hgf = \text{Fix}(\alpha \mapsto h^\alpha g f\omega)$  ; etc...

Correspondence with Veblen's  $\phi$  :  $\phi(1 + \alpha, \beta) = ([0]^\alpha \text{Next})^{1+\beta} \omega$ ;  $\phi(\alpha, \beta, \gamma) = ([0]^\beta (([1][0])^\alpha \text{Next}))^{1+\gamma} \omega$

## 12 RHS0 notation

We start from 0, if we don't see any regularity we take the successor, if we see a regularity, if we have a notation for this regularity, we use it, else we invent it, then we jump to the limit.

$Hfx = \lim x, fx, f(fx), \dots$ ;  $R_1fgx = \lim gx, fgx, ffgx, \dots$ ;  $R_2fghx = \lim hx, fghx, fgfghx, \dots$

Correspondence with Simmons notation :  $\dots, [3] \rightarrow R5, [2] \rightarrow R4, [1] \rightarrow R3, [0] \rightarrow R2, \text{Next} \rightarrow R1, \omega \rightarrow Hsuc\ 0$

## 13 Ordinal collapsing functions

These functions use uncountable ordinals to define countable ordinals.

We define sets of ordinals that can be built from given ordinals and operations, then we take the least ordinal which is not in this set, or the least ordinal which is greater than all countable ordinals of this set.

These functions are extensions of functions on countable ordinals, whose fixed points can be reached by applying them to an uncountable ordinal.

Examples :

- Madore's  $\psi$  :  $\psi(\alpha) = \varepsilon_\alpha$  if  $\alpha < \zeta_0$ ;  $\psi(\Omega) = \zeta_0$  which is the least fixed point of  $\alpha \mapsto \varepsilon_\alpha$ .
- Feferman's  $\theta$  :  $\theta(\alpha, \beta) = \varphi(\alpha, \beta)$  if  $\alpha < \Gamma_0$  and  $\beta < \Gamma_0$ ;  $\theta(\Omega, 0) = \Gamma_0$  which is the least fixed point of  $\alpha \mapsto \varphi(\alpha, 0)$ .
- Taranovsky's  $C$  :  $C(\alpha, \beta) = \beta + \omega^\alpha$  if  $\alpha$  is countable;  $C(\Omega_1, 0) = \varepsilon_0$  which is the least fixed point of  $\alpha \mapsto \omega^\alpha$ .

Nom	Symbole	Algebraic	Veblen	Simmons	RHS0	Madore	Taranovsky
Zero	0	0			0		0
One	1	1	$\varphi(0, 0)$		suc 0		$C(0, 0)$
Two	2	2			suc (suc 0)		$C(0, C(0, 0))$
Omega	$\omega$	$\omega$	$\varphi(0, 1)$	$\omega$	H suc 0		$C(1, 0)$
		$\omega + 1$			suc (H suc 0)		$C(0, C(1, 0))$
		$\omega \times 2$			H suc (H suc 0)		$C(1, C(1, 0))$
		$\omega^2$	$\varphi(0, 2)$		H (H suc) 0		$C(C(0, C(0, 0)), 0)$
		$\omega^\omega$	$\varphi(0, \omega)$		H H suc 0		$C(C(1, 0), 0)$
		$\omega^{\omega^\omega}$	$\varphi(0, \omega^\omega)$		H H H suc 0		$C(C(C(1, 0), 0), 0)$
Epsilon zero	$\varepsilon_0$	$\varepsilon_0$	$\varphi(1, 0)$	$Next\ \omega$	$R_1 H suc\ 0$	$\psi(0)$	$C(\Omega_1, 0)$
		$\varepsilon_1$	$\varphi(1, 1)$	$Next^2\omega$	$R_1(R_1 H) suc\ 0$	$\psi(1)$	$C(\Omega_1, C(\Omega_1, 0))$
		$\varepsilon_\omega$	$\varphi(1, \omega)$	$Next^\omega\omega$	$HR_1 H suc\ 0$	$\psi(\omega)$	$C(C(0, \Omega_1), 0)$
		$\varepsilon_{\varepsilon_0}$	$\varphi(1, \varphi(1, 0))$	$Next^{Next^\omega\omega}$	$R_1 HR_1 H suc\ 0$	$\psi(\psi(0))$	$C(C(C(\Omega_1, 0), \Omega_1), 0)$
Zeta zero	$\zeta_0$	$\zeta_0$	$\varphi(2, 0)$	$[0]Next\ \omega$	$R_2 R_1 H suc\ 0$	$\psi(\Omega)$	$C(C(\Omega_1, \Omega_1), 0)$
Eta zero	$\eta_0$	$\eta_0$	$\varphi(3, 0)$	$[0]^2 Next\ \omega$	$R_2(R_2 R_1) H suc\ 0$		$C(C(\Omega, C(\Omega, \Omega)), 0)$
			$\varphi(\omega, 0)$	$[0]^\omega Next\ \omega$	$HR_2 R_1 H suc\ 0$		$C(C(C(0, \Omega_1), \Omega_1), 0)$
Feferman -Schütte	$\Gamma_0$	$\Gamma_0$	$\varphi(1, 0, 0)$ $= \varphi(2 \mapsto 1)$	$[1][0]Next\ \omega$	$R_3 R_2 R_1 H suc\ 0$ $= R_{3\dots 1} H suc\ 0$	$\psi(\Omega^\Omega)$	$C(C(C(\Omega_1, \Omega_1), \Omega_1), 0)$
Ackermann			$\varphi(1, 0, 0, 0)$ $= \varphi(3 \mapsto 1)$	$[1]^2[0]Next\ \omega$	$R_3(R_3 R_2) R_1 H suc\ 0$	$\psi(\Omega^{\Omega^2})$	
Small Veblen ordinal			$\varphi(\omega \mapsto 1)$	$[1]^\omega[0]Next\ \omega$	$HR_3 R_2 R_1 H suc\ 0$	$\psi(\Omega^{\Omega^\omega})$	$C(\Omega_1^\omega, 0)$ $= C(C(C(C(0, \Omega_1), \Omega_1), \Omega_1), 0)$
Large Veblen ordinal			least ord. not rep.	$[2][1][0]Next\ \omega$	$R_4 R_3 R_2 R_1 H suc\ 0$ $= R_{4\dots 1} H suc\ 0$	$\psi(\Omega^{\Omega^\Omega})$	$C(\Omega_1^{\Omega_1}, 0)$ $= C(C(C(C(\Omega_1, \Omega_1), \Omega_1), \Omega_1), 0)$
Bachmann- Howard ordinal				least ord. not rep.	$R_{\omega\dots 1} H suc\ 0$	$\psi(\varepsilon_{\Omega+1})$	$C(C(\Omega_2, \Omega_1), 0)$