

# Jäger Collapsing Function

June 7, 2018

## 1 Functions collapsing large cardinals

### 1.1 Jäger's collapsing functions

Jäger's collapsing functions are a hierarchy of single-argument ordinal functions  $\psi_\pi$  introduced by German mathematician Gerhard Jäger in 1984. This is an extension of Buchholz's notation.

#### 1.1.1 Basic Notions

$M_0$  is the least Mahlo cardinal, small Greek letters denote ordinals less than  $M_0$ . Each ordinal  $\alpha$  is identified with the set of its predecessors  $\alpha = \{\beta \mid \beta < \alpha\}$ .

$L$  denotes the set of all limit ordinals less than  $M_0$ .

An ordinal  $\alpha$  is an additive principal number if  $\alpha > 0$  and  $\xi + \eta < \alpha$  for all  $\xi, \eta < \alpha$ . Let  $P$  denote the set of all additive principal numbers less than  $M_0$ .

$\alpha =_{NF} \alpha_1 + \dots + \alpha_n \Leftrightarrow \alpha = \alpha_1 + \dots + \alpha_n \wedge \alpha_1 \geq \dots \geq \alpha_n \wedge \alpha_1, \dots, \alpha_n \in P$

Cofinality  $\text{cof}(\alpha)$  of an ordinal  $\alpha$  is the least  $\beta$  such that there exists a function  $f : \beta \rightarrow \alpha$  with  $\sup\{f(\xi) \mid \xi < \beta\} = \alpha$ . An ordinal  $\alpha$  is regular, if  $\alpha$  is a limit ordinal and  $\text{cof}(\alpha) = \alpha$ . Let  $R$  denote the set of all regular ordinals  $\in (\omega, M_0)$ .

An ordinal  $\alpha$  is (weakly) inaccessible if  $\alpha$  is a regular limit cardinal larger than  $\omega$ .

Enumeration function  $F$  of class of ordinals  $X$  is the unique increasing function such that  $X = \{F(\alpha) \mid \alpha \in \text{dom}(F)\}$  where domain of  $F$ ,  $\text{dom}(F)$  is an ordinal number. We use  $\text{Enum}(X)$  to denote  $F$ .

#### 1.1.2 Veblen function

$\varphi_\alpha = \text{Enum}(\{\beta \in P \mid \forall \gamma < \alpha (\varphi_\gamma(\beta) = \beta)\})$

Normal form

$\alpha =_{NF} \varphi_\beta(\gamma) \Leftrightarrow \alpha = \varphi_\beta(\gamma) \wedge \beta, \gamma < \alpha$

An ordinal  $\alpha$  is a strongly critical if  $\varphi(\alpha, 0) = \alpha$ . Let  $S$  denote the set of all strongly critical ordinals less than  $M_0$ .

Definition of  $S(\gamma)$  for arbitrary  $\gamma$ .

$S(\gamma) = \{\gamma\}$  if  $\gamma \in S \cup \{0\}$

$S(\gamma) = \{\alpha_1, \dots, \alpha_n\}$  if  $\gamma =_{NF} \alpha_1 + \dots + \alpha_n \notin P$

$S(\gamma) = \{\alpha, \beta\}$  if  $\gamma =_{NF} \varphi_\alpha(\beta) \notin S$

#### 1.1.3 $\rho$ -Inaccessible Ordinals

An ordinal is  $\rho$ -inaccessible if it is a regular cardinal and limit of  $\alpha$ -inaccessible ordinals for all  $\alpha < \rho$ . So the 0-inaccessible ordinals are exactly the regular cardinals  $> \omega$ , the 1-inaccessible ordinals are the inaccessible ordinals. Functions  $I_\rho : M_0 \rightarrow M_0$  enumerate the  $\rho$ -inaccessible ordinals less than  $M_0$  and their limits.

$I_\alpha = \text{Enum}(\{\beta \in R \mid \forall \gamma < \alpha (I_\gamma(\beta) = \beta)\})$

Normal form

$\alpha =_{NF} I_\beta(\gamma) \Leftrightarrow \alpha = I_\beta(\gamma) \wedge \gamma \notin L$

Definition of  $\gamma^-$  for  $\gamma \in R$ .

$\gamma^- = 0$  if  $\gamma =_{NF} I_\alpha(0)$

$\gamma^- = I_\alpha(\beta)$  if  $\gamma =_{NF} I_\alpha(\beta + 1)$

”Properties”

Veblen function	$\rho$ -Inaccessible Ordinals
$\varphi_\alpha(\beta) \in P$	$I_\alpha(0), I_\alpha(\beta + 1) \in R$
$\gamma < \alpha \Rightarrow \varphi_\gamma(\varphi_\alpha(\beta)) = \varphi_\alpha(\beta)$	$-\gamma < \alpha \Rightarrow I_\gamma(I_\alpha(\beta)) = I_\alpha(\beta)$
$\beta < \gamma \Rightarrow \varphi_\alpha(\beta) < \varphi_\alpha(\gamma)$	$\beta < \gamma \Rightarrow I_\alpha(\beta) < I_\alpha(\gamma)$
$\alpha < \beta \Rightarrow \varphi_\alpha(0) < \varphi_\beta(0)$	$\alpha < \beta \Rightarrow I_\alpha(0) < I_\beta(0)$

#### 1.1.4 The Ordinal Functions $\psi_\kappa$

Every  $\psi_\kappa$  is a function from  $M_0$  to  $\kappa$  which "collapses" the elements of  $M_0$  below  $\kappa$ . By the Greek letters  $\kappa$  and  $\pi$  we shall denote uncountable regular cardinals less than  $M_0$ .

"Inductive Definition" of  $C_\kappa(\alpha)$  and  $\psi_\kappa(\alpha)$ .

$$\begin{aligned}
&\{\kappa^-\} \cup \kappa^- \subset C_\kappa^n(\alpha) \\
&S(\gamma) \subset C_\kappa^n(\alpha) \Rightarrow \gamma \in C_\kappa^{n+1}(\alpha) \\
&\beta, \gamma \in C_\kappa^n(\alpha) \Rightarrow I_\beta(\gamma) \in C_\kappa^{n+1}(\alpha) \\
&\gamma < \pi < \kappa \wedge \pi \in C_\kappa^n(\alpha) \Rightarrow \gamma \in C_\kappa^{n+1}(\alpha) \\
&\gamma < \alpha \wedge \gamma, \pi \in C_\kappa^n(\alpha) \wedge \gamma \in C_\pi(\gamma) \Rightarrow \psi_\pi(\gamma) \in C_\kappa^{n+1}(\alpha) \\
&C_\kappa(\alpha) = \cup \{C_\kappa^n(\alpha) \mid n < \omega\} \\
&\psi_\kappa(\alpha) = \min\{\xi \mid \xi \notin C_\kappa(\alpha)\} \\
&\text{Normal form} \\
&\alpha =_{NF} \psi_\kappa(\beta) :\Leftrightarrow \alpha = \psi_\kappa(\beta) \wedge \beta \in C_\kappa(\beta)
\end{aligned}$$

#### 1.1.5 Fundamental sequences

The fundamental sequence for an ordinal number  $\alpha$  with cofinality  $\text{cof}(\alpha) = \beta$  is a strictly increasing sequence  $(\alpha[\eta])_{\eta < \beta}$  with length  $\beta$  and with limit  $\alpha$ , where  $\alpha[\eta]$  is the  $\eta$ -th element of this sequence.

"Inductive Definition" of  $T$ .

- $0 \in T$
- $\alpha =_{NF} \alpha_1 + \dots + \alpha_n \wedge \alpha_1, \dots, \alpha_n \in T \Rightarrow \alpha \in T$
- $\alpha =_{NF} \varphi_\beta(\gamma) \wedge \beta, \gamma \in T \Rightarrow \alpha \in T$
- $\alpha =_{NF} I_\beta(\gamma) \wedge \beta, \gamma \in T \Rightarrow \alpha \in T$
- $\alpha =_{NF} \psi_\kappa(\beta) \wedge \kappa, \beta \in T \Rightarrow \alpha \in T$

Below we write  $I(\alpha, \beta)$  for  $I_\alpha(\beta)$  and  $\varphi(\alpha, \beta)$  for  $\varphi_\alpha(\beta)$

For non-zero ordinals  $\alpha \in T$  we define the fundamental sequences as follows:

- If  $\alpha = \varphi(0, \beta + 1)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[\eta] = \varphi(0, \beta) \times \eta$
- If  $\alpha = \varphi(\beta + 1, 0)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = 0$  and  $\alpha[\eta + 1] = \varphi(\beta, \alpha[\eta])$
- If  $\alpha = \varphi(\beta + 1, \gamma + 1)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = \varphi(\beta + 1, \gamma) + 1$  and  $\alpha[\eta + 1] = \varphi(\beta, \alpha[\eta])$
- If  $\alpha = \varphi(\beta, 0)$  and  $\beta \in L$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = \varphi(\beta[\eta], 0)$
- If  $\alpha = \varphi(\beta, \gamma + 1)$  and  $\beta \in L$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = \varphi(\beta[\eta], \varphi(\beta, \gamma) + 1)$
- If  $\alpha = \varphi(\beta, \gamma)$  and  $\gamma \in L$  then  $\text{cof}(\alpha) = \text{cof}(\gamma)$  and  $\alpha[\eta] = \varphi(\beta, \gamma[\eta])$
- If  $\alpha = \psi_{I(0,0)}(0)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = 0$  and  $\alpha[\eta + 1] = \varphi(\alpha[\eta], 0)$
- If  $\alpha = \psi_{I(0,\beta+1)}(0)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = I(0, \beta) + 1$  and  $\alpha[\eta + 1] = \varphi(\alpha[\eta], 0)$
- If  $\alpha = \psi_{I(0,\beta)}(\gamma + 1)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = \psi_{I(0,\beta)}(\gamma) + 1$  and  $\alpha[\eta + 1] = \varphi(\alpha[\eta], 0)$
- If  $\alpha = \psi_{I(\beta+1,0)}(0)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = 0$  and  $\alpha[\eta + 1] = I(\beta, \alpha[\eta])$
- If  $\alpha = \psi_{I(\beta+1,\gamma+1)}(0)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = I(\beta + 1, \gamma) + 1$  and  $\alpha[\eta + 1] = I(\beta, \alpha[\eta])$
- If  $\alpha = \psi_{I(\beta+1,\gamma)}(\delta + 1)$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = \psi_{I(\beta+1,\gamma)}(\delta) + 1$  and  $\alpha[\eta + 1] = I(\beta, \alpha[\eta])$
- If  $\alpha = \psi_{I(\beta,0)}(0)$  and  $\beta \in L$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = I(\beta[\eta], 0)$
- If  $\alpha = \psi_{I(\beta,\gamma+1)}(0)$  and  $\beta \in L$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = I(\beta[\eta], I(\beta, \gamma) + 1)$
- If  $\alpha = \psi_{I(\beta,\gamma)}(\delta + 1)$  and  $\beta \in L$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = I(\beta[\eta], \psi_{I(\beta,\gamma)}(\delta) + 1)$
- If  $\alpha = \alpha_1 + \alpha_2 + \dots + \alpha_n$  with  $n \geq 2$  then  $\text{cof}(\alpha) = \text{cof}(\alpha_n)$  and  $\alpha[\eta] = \alpha_1 + \alpha_2 + \dots + (\alpha_n[\eta])$
- If  $\alpha = \varphi(0, 0)$  then  $\text{cof}(\alpha) = \alpha = 1$  and  $\alpha[0] = 0$

- If  $\alpha = I(\beta, 0)$  or  $\alpha = I(\beta, \gamma + 1)$  then  $\text{cof}(\alpha) = \alpha$  and  $\alpha[\eta] = \eta$
- If  $\alpha = I(\beta, \gamma)$  and  $\gamma \in L$  then  $\text{cof}(\alpha) = \text{cof}(\gamma)$  and  $\alpha[\eta] = I(\beta, \gamma[\eta])$
- If  $\alpha = \psi_\pi(\beta)$  and  $\omega \leq \text{cof}(\beta) < \pi$  then  $\text{cof}(\alpha) = \text{cof}(\beta)$  and  $\alpha[\eta] = \psi_\pi(\beta[\eta])$
- If  $\alpha = \psi_\pi(\beta)$  and  $\text{cof}(\beta) = \rho \geq \pi$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[\eta] = \psi_\pi(\beta[\gamma[\eta]])$  with  $\gamma[0] = 1$  and  $\gamma[\eta + 1] = \psi_\rho(\beta[\gamma[\eta]])$

Limit of this notation  $\lambda$ . If  $\alpha = \lambda$  then  $\text{cof}(\alpha) = \omega$  and  $\alpha[0] = 0$  and  $\alpha[\eta + 1] = I(\alpha[\eta], 0)$

These fundamental sequences can be reformulated to produce recursive definitions :

- $\varphi(0, \beta) = \omega^\beta$
- $\varphi(\beta + 1, 0) = [\varphi(\beta, \bullet)]^\omega 0 = H[\varphi(\beta, \bullet)]0$
- $\varphi(\beta + 1, \gamma + 1) = [\varphi(\beta, \bullet)]^\omega (\varphi(\beta + 1, \gamma) + 1)$
- $\varphi(\text{Lim}_\nu f, 0) = \text{Lim}_\nu [\varphi(f \bullet, 0)]$
- $\varphi(\text{Lim}_\nu f, \gamma + 1) = \text{Lim}_\nu [\varphi(f \bullet, \varphi(\text{Lim}_\nu f, \gamma) + 1)]$
- $\varphi(\beta, \text{Lim}_\nu g) = \text{Lim}_\nu [\varphi(\beta, g \bullet)]$
- $\psi_{I(0,0)}(0) = [\varphi(\bullet, 0)]^\omega 0 = \Gamma_0$
- $\psi_{I(0,\beta+1)}(0) = [\varphi(\bullet, 0)]^\omega (I(0, \beta) + 1)$
- $\psi_{I(0,\beta)}(\gamma + 1) = [\varphi(\bullet, 0)]^\omega (\psi_{I(0,\beta)}(\gamma) + 1)$
- $\psi_{I(\beta+1,0)}(0) = [I(\beta, \bullet)]^\omega 0$
- $\psi_{I(\beta+1,\gamma+1)}(0) = [I(\beta, \bullet)]^\omega (I(\beta + 1, \gamma) + 1)$
- $\psi_{I(\beta+1,\gamma)}(\delta + 1) = [I(\beta, \bullet)]^\omega (\psi_{I(\beta+1,\gamma)}(\delta) + 1)$
- $\psi_{I(\text{Lim}_\nu f, 0)}(0) = \text{Lim}_\nu [I(f \bullet, 0)]$
- $\psi_{I(\text{Lim}_\nu f, \gamma+1)}(0) = \text{Lim}_\nu [I(f \bullet, I(\text{Lim}_\nu f, \gamma) + 1)]$
- $\psi_{I(\text{Lim}_\nu f, \gamma)}(\delta + 1) = \text{Lim}_\nu [I(f \bullet, \psi_{I(\text{Lim}_\nu f, \gamma)}(\delta) + 1)]$
- $\beta + \text{Lim}_\nu g = \text{Lim}_\nu [\beta + g \bullet]$
- $\varphi(0, 0) = 1$
- $I(\beta, 0) = I(\beta, \gamma + 1) = \text{Lim}_{\text{cof}(I(\beta, 0))} [\bullet]$  where  $[\bullet]$  is the identity function
- $I(\beta, \text{Lim}_\nu g) = \text{Lim}_\nu [I(\beta, g \bullet)]$
- $\psi_\pi(\text{Lim}_\nu f) = \text{Lim}_\nu [\psi_\pi(f \bullet)]$  if  $\omega \leq \nu \leq \pi$
- $\psi_\pi(\text{Lim}_\nu f) = \lim [\psi_\pi(f(g \bullet))]$  with  $g(0) = 1$  and  $g(n + 1) = \psi_\nu(f(g(n)))$  if  $\nu \geq \pi$

### 1.1.6 See also

Other ordinal collapsing functions:

[[Madore's  $\psi$  function]]

[[Buchholz's  $\psi$  functions]]

[[User blog:Denis Maksudov/Ordinal functions collapsing the least weakly Mahlo cardinal; a system of fundamental sequences—collapsing functions based on a weakly Mahlo cardinal]]

### 1.1.7 References

1. W.Buchholz. A New System of Proof-Theoretic Ordinal Functions. Annals of Pure and Applied Logic (1986),32
2. M.Jäger.  $\rho$ -inaccessible ordinals, collapsing functions and a recursive notation system. Arch. Math. Logik Grundlagenforsch (1984),24
3. [http://cantorsattic.info/J%C3%A4ger%27s\\_collapsing\\_functions\\_and\\_%CF%81-inaccessible\\_ordinals](http://cantorsattic.info/J%C3%A4ger%27s_collapsing_functions_and_%CF%81-inaccessible_ordinals)