

# **Chapter 3: Introduction to SQL**

Database System Concepts, 6th Ed.

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#### **Outline**

- Overview of The SQL Query Language
- Data Definition
- Basic Query Structure
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database



## **History**

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.



#### **Data Definition Language**

The SQL data-definition language (DDL) allows the specification of information about relations, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints
- And as we will see later, also other information such as
  - The set of indices to be maintained for each relations.
  - Security and authorization information for each relation.
  - The physical storage structure of each relation on disk.



# **Domain Types in SQL**

- **char(n).** Fixed length character string, with user-specified length *n*.
- varchar(n). Variable length character strings, with user-specified maximum length n.
- int. Integer (a finite subset of the integers that is machine-dependent).
- **smallint.** Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d).** Fixed point number, with user-specified precision of *p* digits, with *d* digits to the right of decimal point. (ex., **numeric**(3,1), allows 44.5 to be stores exactly, but not 444.5 or 0.32)
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- **float(n).** Floating point number, with user-specified precision of at least *n* digits.
- More are covered in Chapter 4.



#### **Create Table Construct**

An SQL relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint<sub>1</sub>), ..., (integrity-constraint<sub>k</sub>))
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$

#### Example:

```
create table instructor (
ID char(5),
name varchar(20),
dept_name varchar(20),
salary numeric(8,2))
```



#### **Integrity Constraints in Create Table**

- not null
- $\blacksquare$  primary key  $(A_1, ..., A_n)$
- foreign key  $(A_m, ..., A_n)$  references r

#### Example:

primary key declaration on an attribute automatically ensures not null



#### **And a Few More Relation Definitions**

create table takes (

 Note: sec\_id can be dropped from primary key above, to ensure a student cannot be registered for two sections of the same course in the same semester



#### **And more still**

create table course (

```
course_id varchar(8),
title varchar(50),
dept_name varchar(20),
credits numeric(2,0),
primary key (course_id),
foreign key (dept_name) references department);
```



#### **Updates to tables**

- Insert
  - **insert into** *instructor* **values** ('10211', 'Smith', 'Biology', 66000);
- Delete
  - Remove all tuples from the student relation
    - delete from student
- Drop Table
  - drop table r
- Alter
  - alter table r add A D
    - where A is the name of the attribute to be added to relation r and D is the domain of A.
    - All exiting tuples in the relation are assigned *null* as the value for the new attribute.
  - alter table r drop A
    - where A is the name of an attribute of relation r
    - Dropping of attributes not supported by many databases.



#### **Basic Query Structure**

A typical SQL query has the form:

**select** 
$$A_1, A_2, ..., A_n$$
 **from**  $r_1, r_2, ..., r_m$  **where**  $P$ 

- A<sub>i</sub> represents an attribute
- $ightharpoonup R_i$  represents a relation
- P is a predicate.
- The result of an SQL query is a relation.



#### The select Clause

- The select clause lists the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

select name from instructor

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g., Name = NAME = name
  - Some people use upper case wherever we use bold font.



## The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword **distinct** after select.
- Find the department names of all instructors, and remove duplicates

**select distinct** *dept\_name* **from** *instructor* 

The keyword all specifies that duplicates should not be removed.

**from** *instructor* 



## The select Clause (Cont.)

An asterisk in the select clause denotes "all attributes"

select \*
from instructor

An attribute can be a literal with no from clause

select '437'

- Results is a table with one column and a single row with value "437"
- Can give the column a name using:

select '437' as FOO

An attribute can be a literal with from clause

select 'A' from instructor

 Result is a table with one column and N rows (number of tuples in the instructors table), each row with value "A"



# The select Clause (Cont.)

- The **select** clause can contain arithmetic expressions involving the operation, +, -, \*, and /, and operating on constants or attributes of tuples.
  - The query:

**select** *ID, name, salary/12* **from** *instructor* 

would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12.

Can rename "salary/12" using the as clause:

select ID, name, salary/12 as monthly\_salary



#### The where Clause

- The **where** clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find all instructors in Comp. Sci. dept

```
select name
from instructor
where dept_name = 'Comp. Sci.'
```

- Comparison results can be combined using the logical connectives and, or, and not
  - To find all instructors in Comp. Sci. dept with salary > 80000 select name from instructor where dept\_name = 'Comp. Sci.' and salary > 80000
- Comparisons can be applied to results of arithmetic expressions.



#### The from Clause

- The from clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *instructor X teaches*

select \*
from instructor, teaches

- generates every possible instructor teaches pair, with all attributes from both relations.
- For common attributes (e.g., *ID*), the attributes in the resulting table are renamed using the relation name (e.g., *instructor.ID*)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra).



#### **Cartesian Product**

#### instructor

teaches
---------

ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
00457	0.11	DI ·	07000

ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
(*)*(*)	•••	•••	•••		•••	•••	• • •	** *
***			• • •	• • •	• • •		•••	
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
***	• • •	•••	•••	•••	•••	•••	•••	
	•••		• • •		•••		•••	



## **Examples**

- Find the names of all instructors who have taught some course and the course\_id
  - select name, course\_id from instructor, teaches where instructor.ID = teaches.ID
- Find the names of all instructors in the Art department who have taught some course and the course\_id
  - select name, course\_id from instructor, teaches where instructor.ID = teaches.ID and instructor. dept\_name = 'Art'



## **The Rename Operation**

- The SQL allows renaming relations and attributes using the **as** clause:

  old-name **as** new-name
- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - select distinct T.name from instructor as T, instructor as S where T.salary > S.salary and S.dept\_name = 'Comp. Sci.'
- Keyword **as** is optional and may be omitted instructor **as** T = instructor T



# **Self Join Example**

Relation emp-super

person	supervisor
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of "Bob"
- Find the supervisor of the supervisor of "Bob"
- Find ALL the supervisors (direct and indirect) of "Bob



#### **String Operations**

- SQL includes a string-matching operator for comparisons on character strings. The operator like uses patterns that are described using two special characters:
  - percent (%). The % character matches any substring.
  - underscore ( ). The character matches any character.
- Find the names of all instructors whose name includes the substring "dar".

select name from instructor where name like '%dar%'

Match the string "100%"

like '100 \%' escape '\'

in that above we use backslash (\) as the escape character.



## **String Operations (Cont.)**

- Patterns are case sensitive.
- Pattern matching examples:
  - 'Intro%' matches any string beginning with "Intro".
  - ""Comp" as a substring.
  - '\_\_\_' matches any string of exactly three characters.
  - '\_\_\_ %' matches any string of at least three characters.
- SQL supports a variety of string operations such as
  - concatenation (using "||")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.



#### **Ordering the Display of Tuples**

List in alphabetic order the names of all instructors

```
select distinct name
from instructor
order by name
```

- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
  - Example: order by name desc
- Can sort on multiple attributes
  - Example: order by dept name, name



#### **Where Clause Predicates**

- SQL includes a between comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)
  - select name
     from instructor
     where salary between 90000 and 100000
- Tuple comparison
  - select name, course\_id
    from instructor, teaches
    where (instructor.ID, dept\_name) = (teaches.ID, 'Biology');



#### **Duplicates**

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- Multiset versions of some of the relational algebra operators given multiset relations  $r_1$  and  $r_2$ :
  - 1.  $\sigma_{\theta}(r_1)$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selections  $\sigma_{\theta}$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_{\theta}(r_1)$ .
  - 2.  $\Pi_A(r)$ : For each copy of tuple  $t_1$  in  $t_2$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(t_1)$  where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_2$ .
  - 3.  $r_1 \times r_2$ : If there are  $c_1$  copies of tuple  $t_1$  in  $t_2$  and  $t_3$  copies of tuple  $t_2$  in  $t_3$ , there are  $t_3$  copies of the tuple  $t_3$ .  $t_4$  in  $t_5$  in  $t_7$  in  $t_8$  in  $t_8$



#### **Duplicates (Cont.)**

Example: Suppose multiset relations  $r_1$  (A, B) and  $r_2$  (C) are as follows:

$$r_1 = \{(1, a) (2,a)\}$$
  $r_2 = \{(2), (3), (3)\}$ 

Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be

$$\{(a,2), (a,2), (a,3), (a,3), (a,3), (a,3)\}$$

SQL duplicate semantics:

**select** 
$$A_{1}, A_{2}, ..., A_{n}$$
 **from**  $r_{1}, r_{2}, ..., r_{m}$  **where**  $P$ 

is equivalent to the *multiset* version of the expression:

$$\prod_{A_1,A_2,\ldots,A_n} (\sigma_P(r_1 \times r_2 \times \ldots \times r_m))$$



## **Set Operations**

Find courses that ran in Fall 2009 or in Spring 2010

```
(select course_id from section where sem = 'Fall' and year = 2009) union (select course_id from section where sem = 'Spring' and year = 2010)
```

Find courses that ran in Fall 2009 and in Spring 2010 (select course\_id from section where sem = 'Fall' and year = 2009) intersect (select course\_id from section where sem = 'Spring' and year = 2010)

Find courses that ran in Fall 2009 but not in Spring 2010

```
(select course_id from section where sem = 'Fall' and year = 2009)
except
(select course_id from section where sem = 'Spring' and year = 2010)
```



# **Set Operations (Cont.)**

- Find the salaries of all instructors that are less than the largest salary.
  - select distinct T.salary from instructor as T, instructor as S where T.salary < S.salary</p>
- Find all the salaries of all instructors
  - select distinct salary from instructor
- Find the largest salary of all instructors.
  - (select "second query")except(select "first query")



## **Set Operations (Cont.)**

- Set operations union, intersect, and except
  - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the corresponding multiset versions union all, intersect all and except all.
- Suppose a tuple occurs *m* times in *r* and *n* times in *s*, then, it occurs:
  - m + n times in r union all s
  - min(m,n) times in r intersect all s
  - $\max(0, m-n)$  times in r except all s



#### **Null Values**

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving null is null
  - Example: 5 + null returns null
- The predicate **is null** can be used to check for null values.
  - Example: Find all instructors whose salary is null.

select name from instructor where salary is null



## **Null Values and Three Valued Logic**

- Three values *true*, *false*, *unknown*
- Any comparison with null returns unknown
  - Example: 5 < null or null <> null or null = null
- Three-valued logic using the value *unknown*:
  - OR: (unknown or true) = true,
     (unknown or false) = unknown
     (unknown or unknown) = unknown
  - AND: (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
  - NOT: (not unknown) = unknown
  - "P is unknown" evaluates to true if predicate P evaluates to unknown
- Result of where clause predicate is treated as false if it evaluates to unknown



#### **Aggregate Functions**

These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values



#### **Aggregate Functions (Cont.)**

- Find the average salary of instructors in the Computer Science department
  - select avg (salary) from instructor where dept name= 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2010 semester
  - select count (distinct ID) from teaches where semester = 'Spring' and year = 2010;
- Find the number of tuples in the *course* relation
  - select count (\*) from course;



# **Aggregate Functions – Group By**

- Find the average salary of instructors in each department
  - select dept\_name, avg (salary) as avg\_salary
     from instructor
     group by dept\_name;

ID	пате	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



#### **Aggregation (Cont.)**

- Attributes in **select** clause outside of aggregate functions must appear in **group by** list
  - /\* erroneous query \*/ select dept\_name, ID, avg (salary) from instructor group by dept\_name;



## **Aggregate Functions – Having Clause**

Find the names and average salaries of all departments whose average salary is greater than 42000

select dept\_name, avg (salary)
from instructor
group by dept\_name
having avg (salary) > 42000;

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



### **Null Values and Aggregates**

Total all salaries

**select sum** (salary) **from** instructor

- Above statement ignores null amounts
- Result is null if there is no non-null amount
- All aggregate operations except count(\*) ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null



### **Nested Subqueries**

- SQL provides a mechanism for the nesting of subqueries. A subquery is a select-from-where expression that is nested within another query.
- The nesting can be done in the following SQL query

**select** 
$$A_1, A_2, ..., A_n$$
 **from**  $r_1, r_2, ..., r_m$  **where**  $P$ 

#### as follows:

- $A_i$  can be replaced be a subquery that generates a single value.
- ullet  $r_i$  can be replaced by any valid subquery
- P can be replaced with an expression of the form:

Where *B* is an attribute and operation> to be defined later.



# **Subqueries in the Where Clause**



#### Subqueries in the Where Clause

- A common use of subqueries is to perform tests:
  - For set membership
  - For set comparisons
  - For set cardinality.



### **Set Membership**

Find courses offered in Fall 2009 and in Spring 2010

Find courses offered in Fall 2009 but not in Spring 2010



### **Set Membership (Cont.)**

Find the total number of (distinct) students who have taken course sections taught by the instructor with *ID* 10101

Note: Above query can be written in a much simpler manner. The formulation above is simply to illustrate SQL features.



#### **Set Comparison – "some" Clause**

Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department.

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept name = 'Biology';
```

Same query using > some clause



#### **Definition of "some" Clause**

F <comp> some  $r \Leftrightarrow \exists t \in r \text{ such that } (F < comp> t)$ Where <comp> can be: <,  $\leq$ , >, =,  $\neq$ 



#### **Set Comparison – "all" Clause**

Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department.



#### **Definition of "all" Clause**

F < comp> all  $r \Leftrightarrow \forall t \in r \text{ (F < comp> } t)$ 

$$(5 < \mathbf{all} \quad \begin{array}{c} 0 \\ 5 \\ \hline 6 \\ \end{array}) = \text{false}$$

$$(5 < \mathbf{all} \quad \begin{array}{c} 6 \\ 10 \\ \end{array}) = \text{true}$$

$$(5 = \mathbf{all} \quad \begin{array}{c} 4 \\ 5 \\ \end{array}) = \text{false}$$

$$(5 \neq \mathbf{all} \quad \begin{array}{c} 4 \\ 6 \\ \end{array}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

$$(\neq \mathbf{all}) \equiv \mathbf{not in}$$
However,  $(= \mathbf{all}) \not\equiv \mathbf{in}$ 



## **Test for Empty Relations**

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- **exists**  $r \Leftrightarrow r \neq \emptyset$
- not exists  $r \Leftrightarrow r = \emptyset$



#### **Use of "exists" Clause**

Yet another way of specifying the query "Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester"

- Correlation name variable S in the outer query
- Correlated subquery the inner query



#### **Use of "not exists" Clause**

Find all students who have taken all courses offered in the Biology department.

- First nested query lists all courses offered in Biology
- Second nested query lists all courses a particular student took
- Note that  $X Y = \emptyset \Leftrightarrow X \subseteq Y$
- Note: Cannot write this query using = all and its variants



### **Test for Absence of Duplicate Tuples**

- The unique construct tests whether a subquery has any duplicate tuples in its result.
- The unique construct evaluates to "true" if a given subquery contains no duplicates.
- Find all courses that were offered at most once in 2009

```
select T.course_id

from course as T

where unique (select R.course_id

from section as R

where T.course_id= R.course_id

and R.year = 2009);
```



# **Subqueries in the Form Clause**



### Subqueries in the Form Clause

- SQL allows a subquery expression to be used in the from clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000."

- Note that we do not need to use the having clause
- Another way to write above query



#### With Clause

- The with clause provides a way of defining a temporary relation whose definition is available only to the query in which the with clause occurs.
- Find all departments with the maximum budget



### **Complex Queries using With Clause**

Find all departments where the total salary is greater than the average of the total salary at all departments

```
with dept_total (dept_name, value) as
          (select dept_name, sum(salary)
          from instructor
          group by dept_name),
dept_total_avg(value) as
          (select avg(value)
          from dept_total)
select dept_name
from dept_total, dept_total_avg
where dept_total.value > dept_total_avg.value;
```



# **Subqueries in the Select Clause**



#### **Scalar Subquery**

- Scalar subquery is one which is used where a single value is expected
- List all departments along with the number of instructors in each department

Runtime error if subquery returns more than one result tuple



#### **Modification of the Database**

- Deletion of tuples from a given relation.
- Insertion of new tuples into a given relation
- Updating of values in some tuples in a given relation



#### **Deletion**

Delete all instructors

delete from instructor

Delete all instructors from the Finance department delete from instructor where dept\_name= 'Finance';

Delete all tuples in the instructor relation for those instructors associated with a department located in the Watson building.



### **Deletion (Cont.)**

Delete all instructors whose salary is less than the average salary of instructors

- Problem: as we delete tuples from deposit, the average salary changes
- Solution used in SQL:
  - 1. First, compute **avg** (salary) and find all tuples to delete
  - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)



#### Insertion

Add a new tuple to course

```
insert into course
  values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

or equivalently

```
insert into course (course_id, title, dept_name, credits)
values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

Add a new tuple to student with tot\_creds set to null

```
insert into student
  values ('3003', 'Green', 'Finance', null);
```



### **Insertion (Cont.)**

Add all instructors to the student relation with tot\_creds set to 0

insert into student
 select ID, name, dept\_name, 0
from instructor

The **select from where** statement is evaluated fully before any of its results are inserted into the relation.

Otherwise queries like

insert into table1 select \* from table1

would cause problem



### **Updates**

- Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others by a 5%
  - Write two update statements:

```
update instructor
set salary = salary * 1.03
where salary > 100000;
update instructor
set salary = salary * 1.05
where salary <= 100000;</pre>
```

- The order is important
- Can be done better using the case statement (next slide)



## **Case Statement for Conditional Updates**

Same query as before but with case statement



#### **Updates with Scalar Subqueries**

Recompute and update tot creds value for all students

- Sets tot\_creds to null for students who have not taken any course
- Instead of sum(credits), use:

```
case when sum(credits) is not null then sum(credits) else 0 end
```



# **End of Chapter 3**

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