

# U11 Normalization

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Database Management

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## Introduction

- If we derive the relational model from an Entity-Relationship Model, most of the times it will be correct.
- There are a number of normalization rules that help us to improve the design of our database.
- We will see, with examples, those that are easier and more useful: NF1, NF2, NF3, BCNF

## Functional Dependency

- Given a relation  $R$ , a set of attributes  $X$  in  $R$  is said to functionally determine another set of attributes  $Y$ , also in  $R$ , (written  $X \xrightarrow{FD} Y$ ) if, and only if, each  $X$  value in  $R$  is associated with precisely one  $Y$  value in  $R$ ;  $R$  is then said to satisfy the functional dependency  $X \xrightarrow{FD} Y$ .
- customer(customer\_id [PK], name, address, phone)
- stock(spare[PK], warehouse[PK], quantity)

## Fully Functional Dependency

- Given a relation  $R$ , a set of attributes  $X$  in  $R$  is said to fully functionally determine another set of attributes  $Y$ , also in  $R$ , (written  $X \xrightarrow{FFD} Y$ ) if, and only if,
  - $Y$  depends functionally on  $X$  and
  - $Y$  does not functionally depend on any proper subset of  $X$ .
- `customer(customer_id [PK], name, address, phone)`
- `stock(spare[PK], warehouse[PK], quantity)`

## First Normal Form

- The domain of each attribute contains only atomic (indivisible) values (the value of each attribute contains only a single value from that domain).
- In other words, we have to get rid of composite attributes and multi-valued attributes.

## 2NF and 3NF Based on Primary Keys

- When defining the 2NF and the 3NF, it is possible to do so based on primary keys or in a more general form considering all candidate keys.
- For simplicity, we will consider definitions based on primary keys.

## Second Normal Form

- It is 1NF
- Every nonprime attribute  $A$  in  $R$  is fully functionally dependent on the primary key of  $R$ .
- `purchase(supplier[PK], article[PK], date[PK], quantity, city_supplier)`



## Second Normal Form (II)

- `supplier(supplier[PK], city)`
- `purchase(supplier[PK][FK], article[PK], date[PK], quantity)`

## Third Normal Form

- It is 2NF and
- No nonprime attribute of R is transitively dependent on the primary key.
- employee(employee\_id[PK], department\_id, department\_location)

## Third Normal Form II

- employee(employee\_id[PK], department\_id[FK])
- department(department\_id[PK], department\_location)

## Boyce-Codd Normal Form

- It is in 3NF
- For each candidate key it is verified that each attribute that does not belong to it is fully functionally dependent on it.
- school(student\_id[PK], course\_id[PK], professor\_id)
- student\_id, course\_id  $\xrightarrow{FFD}$  professor\_id
- professor\_id  $\rightarrow$  course\_id

## Boyce-Codd Normal Form (II)

- It is not BCNF because there is a candidate key (student\_id, professor\_id) that results in the third column (course\_id) not being fully functional dependant on the candidate key, as the course depends only on the professor.

## Boyce-Codd Normal Form (III)

- school\_professor(professor\_id[PK], course\_id)
- school\_student(student\_id[PK], professor\_id[PK][FK])