

# Pin<sup>+</sup> 4D Non-Orientable Dynamics (4D–NOD): A Core Hypothesis with Explicit Sub-Manifolds, Cobordism Gluing, and Gauge-Holonomy Gates

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## Abstract

We formulate the 4D–NOD hypothesis on a  $\text{Pin}^+$  baseline in four dimensions. Particles are modeled as compact non-orientable 4-manifolds with  $S^3$  boundary (“quark blocks”) that glue along a 4D  $Y$ –cobordism into closed objects (hadrons). We construct two explicit blocks: (A)  $W_{\text{RP}} := \mathbb{RP}^4 \setminus \text{int}(B^4)$  (non-orientable,  $\text{Pin}^+$ ), and (B) a punctured mapping torus  $W_{\text{rev}}$  of an orientation-reversing  $S^3$ –diffeomorphism (also  $\text{Pin}^+$ ). With a  $Y$ –cobordism  $Y^4$ , three blocks glue to a closed  $\text{Pin}^+$  manifold  $P$  once two *bundle gates* hold: an  $\text{SU}(3)$  holonomy product  $g_1 g_2 g_3 = 1$  (color neutrality) and a  $\text{U}(1)$  holonomy sum  $e^{i(\theta_1 + \theta_2 + \theta_3)} = 1$  (EM neutrality at the glue). We show  $[P] = 3[\mathbb{RP}^4]$  in  $\Omega_4^{\text{Pin}^+}$ , aligning the “16 classes” ledger used in the categorical architecture and providing a precise geometric core for the program.<sup>1</sup>

2 3 4 5 6

## 1 Core axioms and scope

We enforce the following non-negotiable requirements, taken as *model axioms*:

- R1. GR framework:** spacetime is a Lorentzian 4-manifold; all dynamics respect Einstein geometry.
- R2. Spacetime ontology:** spacetime is the sole fundamental entity; matter/forces are geometry.
- R3. Particles as 4D topology:** particles are compact 4D topological structures embedded in spacetime.
- R4. Forces as connections:** interactions are realized as topological connections (handles/cobordisms).
- R5. SM as effective theory:** the Standard Model arises as an effective description of these geometric phenomena.

These axioms are documented in the SKB manuscript and earlier program notes.<sup>7</sup>

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<sup>1</sup>GR-first axioms (spacetime as sole entity; particles as 4D structures; forces as topological connections; SM as effective limit) originate from the SKB document. :contentReference[oaicite:0]index=0

<sup>2</sup>Phase-0/1 candidate analysis summary ( $\text{Pin}^-$  baseline) and tables. :contentReference[oaicite:1]index=1

<sup>3</sup>Research reports ( $\text{Pin}^-$  emphasis, Phase-0/1 synthesis) to be superseded by the  $\text{Pin}^+$  baseline adopted here. :contentReference[oaicite:2]index=2 and :contentReference[oaicite:3]index=3

<sup>4</sup>Mathematical Foundations note (Stiefel–Whitney formulas, including  $w(T\mathbb{RP}^4) = (1 + a)^5$ ). :contentReference[oaicite:4]index=4

<sup>5</sup>Phase-0/1 analysis script shows manual toggles of  $w_2$  and simplified  $\pi_1$ ; we replace these with class-level computations. :contentReference[oaicite:5]index=5

<sup>6</sup>Categorical architecture (pushouts/colimits, TQFT functor, “16 fermion classes” narrative) that we adopt and sharpen in the  $\text{Pin}^+$  setting. :contentReference[oaicite:6]index=6

<sup>7</sup>See the SKB hypothesis and axioms (Sec. 1.1–1.2; Eqs. (6)–(11)) for the GR-first, geometry-only stance and the flux/holonomy view of charge. :contentReference[oaicite:7]index=7

## 2 Pin structures and the 4D baseline

Let  $M$  be a smooth 4-manifold; write  $w_i(M) \in H^i(M; \mathbb{Z}/2)$  for Stiefel–Whitney classes. We use:

$$\begin{aligned} M \text{ admits a } \text{Pin}^+ \text{ structure} &\iff w_2(M) = 0, \\ M \text{ admits a } \text{Pin}^- \text{ structure} &\iff w_2(M) + w_1(M)^2 = 0. \end{aligned}$$

We adopt  $\text{Pin}^+$  as the 4D baseline because the bordism classification used in the categorical layer matches a 16-class ledger in 4D under the program’s conventions.<sup>8 9</sup>

**Remark 1** (Programmatics).  $\text{Pin}^+$  in 4D aligns with the category-theoretic “16 classes” narrative and avoids ambiguities that arose under  $\text{Pin}^-$  in Phase-0/1 tables.<sup>10</sup>

## 3 Canonical non-orientable block $W_{\text{RP}}$

Let  $a \in H^1(\mathbb{RP}^4; \mathbb{Z}/2)$  be the generator. Using  $w(T\mathbb{RP}^4) = (1+a)^5 = 1 + a + a^4 \pmod{2}$ , we have  $w_1(\mathbb{RP}^4) = a \neq 0$ ,  $w_2(\mathbb{RP}^4) = 0$ .<sup>11</sup>

**Definition 2** (Punctured projective block). Set  $W_{\text{RP}} := \mathbb{RP}^4 \setminus \text{int}(B^4)$ . Then  $\partial W_{\text{RP}} \cong S^3$ .

**Proposition 3.**  $W_{\text{RP}}$  is non-orientable,  $\pi_1(W_{\text{RP}}) \cong \mathbb{Z}/2$ , and  $\text{Pin}^+$ .

*Proof.* Removing a 4-ball does not change  $w_i$  or  $\pi_1$ . From  $w_2(\mathbb{RP}^4) = 0$ , we get  $w_2(W_{\text{RP}}) = 0$ . The boundary is  $S^3$  with its unique spin structure.  $\square$

## 4 A mapping-torus block $W_{\text{rev}}$ with continuous $\text{U}(1)$

**Definition 4** (Orientation-reversing mapping torus). Let  $f : S^3 \rightarrow S^3$  be a smooth orientation-reverser. The mapping torus  $M_f := (S^3 \times [0, 1]) / (x, 0) \sim (f(x), 1)$  is a non-orientable 4-manifold fibering over  $S^1$ . Define  $W_{\text{rev}} := M_f \setminus \text{int}(B^4)$ .

**Proposition 5.**  $M_f$  (hence  $W_{\text{rev}}$ ) is  $\text{Pin}^+$  and  $\pi_1(M_f) \cong \mathbb{Z}$ .

*Proof.* Use the Serre spectral sequence for  $S^3 \hookrightarrow M_f \rightarrow S^1$  with local coefficients from  $f$ . Since  $H^1(S^3; \mathbb{Z}/2) = H^2(S^3; \mathbb{Z}/2) = 0$  and  $H^2(S^1; \mathbb{Z}/2) = 0$ , we get  $H^2(M_f; \mathbb{Z}/2) = 0$ , hence  $w_2(M_f) = 0$ . The long exact homotopy sequence gives  $\pi_1(M_f) \cong \pi_1(S^1) = \mathbb{Z}$ .  $\square$

**Remark 6** ( $\text{U}(1)$  dial). While  $\text{Hom}(\mathbb{Z}/2, \text{U}(1)) = \{\pm 1\}$  for  $W_{\text{RP}}$ , the block  $W_{\text{rev}}$  yields  $\text{Hom}(\mathbb{Z}, \text{U}(1)) \cong \text{U}(1)$ , enabling a continuous EM holonomy twist in the glue experiment.

<sup>8</sup>Foundational Stiefel–Whitney formulas, including  $w(T\mathbb{RP}^n) = (1+a)^{n+1}$ , are summarized in the Mathematical Foundations note. :contentReference[oaicite:8]index=8

<sup>9</sup>The Phase-0/1 reports framed admissibility with  $\text{Pin}^-$  and highlighted candidate families; our  $\text{Pin}^+$  baseline supersedes that filter for the 4D classification role. :contentReference[oaicite:9]index=9 and :contentReference[oaicite:10]index=10

<sup>10</sup>See the categorical architecture memo for the 16-class census, pushouts/holonomy gates, and the TQFT functorial layer. :contentReference[oaicite:11]index=11

<sup>11</sup>Detailed calculation in the Foundations note (Sec. 2.3); cf. the Phase-0/1 discussion of  $\mathbb{RP}^4$ . :contentReference[oaicite:12]index=12 and :contentReference[oaicite:13]index=13

## 5 Y–cobordism glue and the proton manifold

Let  $Y^4 := B^4 \setminus \bigcup_{i=1}^3 \text{int}(B_i^4)$ , a 4-ball with three disjoint 4-balls removed. Then

$$\partial Y^4 \cong S^3 \sqcup S^3 \sqcup S^3 \sqcup \overline{S^3},$$

and  $Y^4$  is  $\text{Pin}^+$  (as an open subset of  $\text{spin } B^4$ ).

**Lemma 7** ( $\text{Pin}^+$  compatibility under gluing). *If three  $\text{Pin}^+$  blocks with  $\partial \cong S^3$  are glued to the three incoming  $S^3$  components of  $\partial Y^4$  using the compatible spin structure, and the outgoing  $\overline{S^3}$  is capped by  $B^4$ , the resulting closed 4-manifold  $P$  is  $\text{Pin}^+$ .*

*Proof.* By naturality of characteristic classes and  $H^2(S^3; \mathbb{Z}/2) = 0$ , the unique class  $w_2$  on the union restricts to zero on each piece, hence  $w_2(P) = 0$ .  $\square$

**Theorem 8** (Bordism class of the proton). *If the three blocks are  $W_{\text{RP}}$  (or each caps to  $\mathbb{RP}^4$ ), then in  $\Omega_4^{\text{Pin}^+}$  one has*

$$[P] = 3 [\mathbb{RP}^4].$$

*Proof sketch.* Form a  $\text{Pin}^+$  cobordism whose boundary is  $\bigsqcup_{i=1}^3 \mathbb{RP}^4 \sqcup \overline{P}$  by capping each block with  $B^4$  and adding  $Y^4$ . This realizes the stated relation.  $\square$

**Remark 9** (“16 classes”). Assign  $[\mathbb{RP}^4] = 1$  in the program’s 16-class ledger; then the minimal proton composite lands in class 3.<sup>12</sup>

## 6 Bundle gates: SU(3) color and U(1) electromagnetism

Let  $\rho_q : \pi_1(W_q) \rightarrow \text{SU}(3)$  be color holonomies and  $\chi_q : \pi_1(W_q) \rightarrow \text{U}(1)$  EM holonomies for the three blocks  $q \in \{1, 2, 3\}$ .

**Definition 10** (Glue gates). The Y–merge admits extensions of  $\text{SU}(3)$  and  $\text{U}(1)$  bundles iff

$$g_1 g_2 g_3 = \mathbf{1} \in \text{SU}(3), \quad e^{i(\theta_1 + \theta_2 + \theta_3)} = 1 \in \text{U}(1),$$

where  $g_q = \rho_q(\gamma_q)$  and  $e^{i\theta_q} = \chi_q(\gamma_q)$  for boundary loops  $\gamma_q$ .

**Remark 11** (Physics interpretation). Color neutrality and the EM phase-closure are enforced as *existence* of pushouts/colimits in the categorical architecture—no “force” added beyond topology.<sup>13</sup>

## 7 Computational pipeline (replacing boolean shortcuts)

To avoid Phase-0/1 pitfalls, all invariants are computed at the *class* level:

- (a)  $H^*(\cdot; \mathbb{Z}/2)$  via Serre spectral sequences (bundles/mapping tori) or Borel methods (quotients).
- (b)  $w_1, w_2$  via Wu classes with  $\text{Sq}(v) = w$ , not by toggles.
- (c)  $\pi_1$  from exact sequences of fibrations, not hard-coded.

**Remark 12** (Engineering note). Earlier code set  $w_2$  by fiat to satisfy  $\text{Pin}^-$  filters and simplified  $\pi_1$  (e.g. Klein-bottle bases). These patterns must be removed; the new engine exposes cohomology rings and Steenrod action explicitly.<sup>14</sup>

<sup>12</sup>This matches the categorical “fermion class” wheel used for UI and bookkeeping. :contentReference[oaicite:14]index=14

<sup>13</sup>Confinement and interaction admissibility are expressed as colimit existence in the categorical memo. :contentReference[oaicite:15]index=15

<sup>14</sup>See the Phase-0/1 analysis script for the toggle patterns and summary. :contentReference[oaicite:16]index=16 and the Candidate Analysis report for the resulting tables. :contentReference[oaicite:17]index=17

## 8 Relation to GR metrics and the SKB ansatz (context)

The topological construction above is compatible with GR metric models used for single-particle SKB worldtubes and handle-mediated interactions:

$$ds^2 = -f(r) dt^2 + g(r) dr^2 + r^2(d\theta^2 + \sin^2 \theta d\varphi^2) + h(r) (dt - \alpha d\varphi)^2,$$

$$\int p_\mu dx^\mu = 2\pi n \hbar, \quad Q = \frac{1}{2\pi} \int_{\partial K} F,$$

which encode periodic mass relations and flux-based charge quantization at the metric/field level.<sup>15</sup>

**Remark 13** (Deliberate boundary between layers). The present paper fixes the *topology/cobordism* layer ( $\text{Pin}^+$ , Y–glue, holonomy gates). Metric choices and stress-energy models live above this layer and can be tuned without changing the gates.

## 9 Corrections and superseded claims

- **Pin baseline:** replace  $\text{Pin}^-$  admissibility as the primary 4D classifier by  $\text{Pin}^+$  in this program.<sup>16</sup>
- **Quotient identifications:**  $S^4/\{\pm 1\} = \mathbb{RP}^4$  should not be double-counted; claims about  $\mathbb{CP}^2$ /conjugation being both non-orientable and suitable require re-audit under true class-level computations.<sup>17</sup>

## 10 Conclusions and next steps

We supplied a  $\text{Pin}^+$  core with explicit blocks  $W_{\text{RP}}$  and  $W_{\text{rev}}$ , a rigorous Y–cobordism glue, and  $\text{SU}(3)/\text{U}(1)$  holonomy gates. The minimal proton manifold obeys  $[P] = 3[\mathbb{RP}^4]$  in  $\Omega_4^{\text{Pin}^+}$ , aligning with the categorical 16-class ledger. Immediate tasks:

1. Extend the block library (mapping tori, twisted bundles) and compute  $w_2 = 0$  cases via Serre/Wu/Steenrod.
2. Implement the class-level invariant engine and refactor Phase-0/1 code accordingly.
3. Couple the topological gates to GR metric models for quantitative overlays (keeping topology fixed).

## A Stiefel–Whitney classes on $\mathbb{RP}^4$

Let  $a \in H^1(\mathbb{RP}^4; \mathbb{Z}/2)$  be the generator. Using  $T\mathbb{RP}^n \oplus \varepsilon^1 \cong (\gamma^1)^{\oplus(n+1)}$  and  $w(\gamma^1) = 1 + a$ ,

$$w(T\mathbb{RP}^4) = (1 + a)^5 \equiv 1 + a + a^4 \pmod{2},$$

so  $w_1 = a$ ,  $w_2 = 0$ ,  $w_3 = 0$ ,  $w_4 = a^4$ .<sup>18</sup>

<sup>15</sup>Metric ansatz, quantization and flux formulas appear in the SKB manuscript (Eqs. (1), (6)–(11), etc.). :contentReference[oaicite:18]index=18

<sup>16</sup>Research reports that center  $\text{Pin}^-$  are retained for audit but superseded for the 4D classification role by this paper. :contentReference[oaicite:19]index=19 and :contentReference[oaicite:20]index=20

<sup>17</sup>See Phase-0/1 Candidate Analysis; re-audit with the new engine. :contentReference[oaicite:21]index=21

<sup>18</sup>As compiled in the Mathematical Foundations note, with cautionary remarks about literature discrepancies. :contentReference[oaicite:22]index=22

## B Mapping torus $M_f$ of $S^3$

For  $S^3 \hookrightarrow M_f \xrightarrow{\pi} S^1$  with orientation-reversing monodromy,  $E_2^{p,q} = H^p(S^1; H^q(S^3; \mathbb{Z}/2))$  gives  $H^2(M_f; \mathbb{Z}/2) = 0$ . Since  $TM_f \cong V \oplus \pi^*TS^1$  and  $w_2(TS^1) = 0$ , we obtain  $w_2(M_f) = w_2(V) = 0$ .

## Acknowledgments

This document supersedes parts of the Phase-0/1 packet that relied on  $\text{Pin}^-$  admissibility and boolean shortcuts, and formalizes the categorical gates in the  $\text{Pin}^+$  baseline.<sup>19</sup>

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<sup>19</sup>Candidate tables and script: [:contentReference\[oaicite:23\]index=23](#) and [:contentReference\[oaicite:24\]index=24](#); Foundations and Research Reports: [:contentReference\[oaicite:25\]index=25](#), [:contentReference\[oaicite:26\]index=26](#), [:contentReference\[oaicite:27\]index=27](#); Categorical architecture: [:contentReference\[oaicite:28\]index=28](#).