JEFFRIES WEALTH MANAGEMENT, LLC

Notification of Privacy Policy

At Jeffries Wealth Management, protecting your privacy is very important to us. As a financial services firm, we collect and use Nonpublic Personal Information ("NPI") in order to provide our clients (prospective, current, or former) with a broad range of financial services as effectively and conveniently as possible. We are providing this notification to inform you of the types of NPI we collect, our privacy safeguards, and sharing practices. We handle all NPI in accordance with this policy.

TYPES OF NONPUBLIC PERSONAL INFORMATION WE COLLECT

NPI is confidential personal information about you that we obtain in connection with providing financial services or products to you. We collect NPI about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to:

- Social Security Number, Date of Birth, Banking Information, Financial Account Numbers and/or Balances, Sources of Income, and Credit Card Numbers or Information.
- Information provided via digital surveys, questionnaires, and interactive tools on our website.

We generally collect NPI about you from the following sources:

- Information we receive from you on applications or other forms (e.g., name, address, income, etc.);
- Information inputted into our digital financial planning tools and AI-assisted questionnaires;
- Information about your transactions with us, our affiliates, our service providers, or other parties to transactions; and
- Information we may receive about you from unaffiliated financial service providers (e.g., custodians, insurance agents, attorneys, and consumer reporting agencies).

When you are no longer our client, we may continue to share your information only as described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share personal information to run their everyday business. We do not disclose any NPI about you without your express consent, except as described in this notice. We only share your NPI with:

1. Employees of our firm;
2. Affiliates of our firm;

3. Unaffiliated service providers (e.g., broker-dealers, banks, sub-advisers, co-advisers, third-party managers, platform providers, account aggregation services, and mutual fund companies);
4. Technology vendors and Artificial Intelligence (AI) providers (specifically Google Gemini API) utilized to generate preliminary financial insights and reports;
5. Account aggregation services chosen by mutual agreement;
6. Unaffiliated Healthcare or Medicare insurance providers who may offer products or services to you; and
7. Any other person or entity with whom we are permitted or required by law to share it.

We will also receive NPI from some or all of the entities listed above. Disclosure of NPI to such parties is unrestricted and facilitated by your agreement and consent.

USE OF ARTIFICIAL INTELLIGENCE AND DIGITAL TOOLS

To provide efficient preliminary planning and educational resources, Jeffries Wealth Management utilizes third-party Artificial Intelligence models (specifically the Gemini API) on our website. When you submit data through our "light financial plan" surveys:

- **Data Processing:** The information you enter is processed by our AI partners to generate the requested analysis.
- **Data Retention:** Results of these surveys and the underlying data are stored by Jeffries Wealth Management for compliance, record-keeping, and regulatory purposes.
- **No Automated Decision Making:** The outputs provided by these tools are for informational and educational purposes only and do not constitute formal investment advice or a final financial plan until reviewed by a qualified advisor at our firm.

REASONS WE MAY SHARE YOUR INFORMATION

In the section below, we list the reasons that we may share your personal information:

- For everyday business purposes - such as to process your transactions, maintain your account(s), generate AI-assisted reports, respond to court orders and legal investigations, or report to credit bureaus;
- For our marketing - to offer our products and services to you;
- For joint marketing with other financial companies;
- For our affiliates' everyday business purposes - information about your transactions and experiences and information about your creditworthiness; or
- For affiliates and non-affiliates to market to you.

If you are a new client, we may begin sharing your information on the day you sign our agreement. When you are no longer our client, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENT'S INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including physical, electronic, and procedural safeguards.

DISCLOSING PERSONAL INFORMATION TO NON-AFFILIATED THIRD PARTIES

We do not sell, share, or disclose your personal information to persons or entities other than as described above. We will not share or disclose such information to non-affiliated third-party marketing companies.

FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SHARING - "OPTING OUT"

You have the right to "Opt Out" and request that Jeffries Wealth Management not disclose your NPI to unaffiliated insurance policy providers. Please call us at **404-693-7446** or write to us at the address below immediately if you choose to opt out of these types of sharing.

FUTURE POLICY REVISIONS

This policy may change to reflect updates in our practices, procedures, or regulatory requirements concerning the collection and use of NPI. As our client, you will receive notifications at least annually and our revisions or changes to this policy will be highlighted in our annual notifications.

If you have any questions regarding our privacy policy, please do not hesitate to contact your investment adviser representative or you may write to, email, or call us at:

JEFFRIES WEALTH MANAGEMENT, LLC
2955 LEASA COURT
MARIETTA, GA 30066

Firm Contact: Clark Jeffries
Website: www.jeffrieswealth.com
Phone: 404-693-7446
Email: compliance@jeffrieswealth.com

We are providing this notification to you in accordance with Federal and State regulations.

(v.2025.12.03)