

**Khovanov
homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Khovanov homology, monopoles, and mutation

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**Khovanov
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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

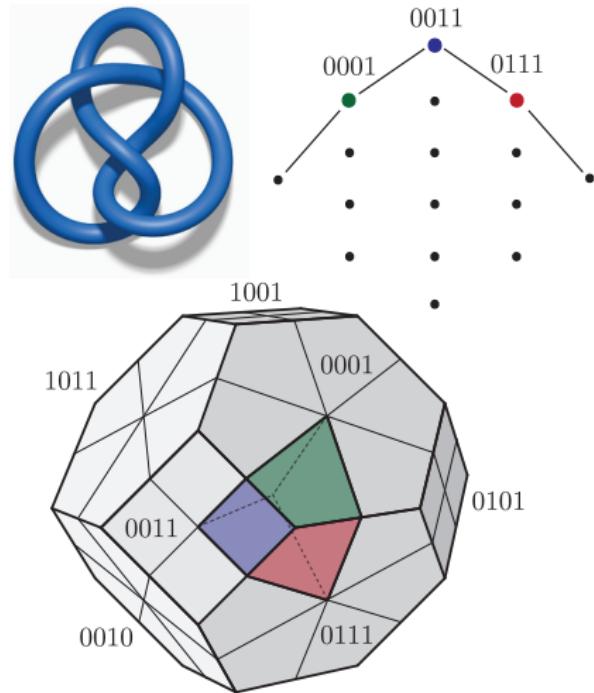
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Outline

- Background
 - a) Seiberg-Witten
 - b) Morse theory
 - c) Floer theory
- Theorems
- Connections
 - a) Branched DC
 - b) Mutation
 - c) Exact Δ s
 - d) Metrics
 - e) Polytopes
- Outlook



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

When worlds collide...

- In 1983, Donaldson exposed a fundamental difference between smooth and topological 4-manifolds by using non-linear equations from gauge theory.
- In 1987, Floer introduced his instanton homology groups as a framework for extending Donaldson theory to integer homology 3-spheres and knots.

**Khovanov
homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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This collision of topology and physics has given rise to a series of powerful new tools in low-dimensional topology and geometry, known as *Floer homology*. What is it good for?

- 4D Topologist: Smooth structures (Donaldson)
- 3D Topologist: Invariants, algorithms (knot Floer hom.)
- Geometer: Symplectic and contact manifolds (Arnold)
- Analyst: Existence of solutions to PDEs (Weinstein)

Seiberg-Witten invariants

The group $\text{Spin}^c(n)$ is defined by the exact sequence:

$$1 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow \text{Spin}^c(n) \rightarrow \text{SO}(n) \times \text{U}(1) \rightarrow 1$$

A spin^c -structure on an oriented, Riemannian manifold X is a lift of the principle frame bundle $P_{\text{SO}(4)}$ to a principle $\text{spin}^c(4)$ bundle. $\text{spin}^c(X)$ admits a free transitive action of $H^2(X; \mathbb{Z})$.

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

Seiberg-Witten invariants

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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In 1994, Seiberg and Witten introduced the *S-W monopole equations* on a 4-manifold with spin^c -structure \mathfrak{s} .

- Let $S^+ \rightarrow X$ be the associated self-dual spinor-bundle.
- Let L be the determinant line bundle.

$$\mathcal{C}(X, \mathfrak{s}) = \{(A, \phi) \mid A \text{ is a U}(1)\text{-connection on } L, \phi \in \Gamma(S^+)\}$$

Definition (SW_4)

$$F_A^+ = q(\phi) + i\omega$$

$$D_A^+ \phi = 0$$

Seiberg-Witten

If $b_+^2 \geq 2$, then for generic choice of metric and perturbation:

- The gauge group $\mathcal{C}^\infty(X, S^1)$ acts freely on monopoles.
- The quotient is a finite-dimensional, compact manifold.
- Its cobordism class only depends on the smooth structure.

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

Seiberg-Witten

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten

Morse theory

Monopole

Heegaard

Khovanov

Theorems

Heegaard

Monopole

Instanton

Connections

Branched
double-covers

Mutation

Exact triangles

Families of
metrics

Polytopes

Outlook

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- The gauge group $\mathcal{C}^\infty(X, S^1)$ acts freely on monopoles.
- The quotient is a finite-dimensional, compact manifold.
- Its cobordism class only depends on the smooth structure.

In this way, we obtain a number for each spin^c -structure:

$$n(X, \mathfrak{s}) = \#|\mathcal{M}(X, \mathfrak{s})|$$

- Gives strong restrictions on the geometry and topology of symplectic manifolds. Minimal, simply connected symplectic 4-manifolds with $b_+^2 > 1$ must be irreducible. e.g., $\mathbb{CP}^2 \# \mathbb{CP}^2 \# \mathbb{CP}^2$ does not admit a symplectic form.
- Used to prove the Thom Conjecture: a complex curve in \mathbb{CP}^2 is genus minimizing in its homology class.

Morse homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Floer homology is modeled on the *Morse homology* of a closed, smooth n -manifold M . Fix a metric g and a Morse-Smale function $f : M \rightarrow \mathbb{R}$. Given $a, b \in \text{Crit}(f)$, define the moduli space $\mathcal{M}(a, b)$ of gradient trajectories $\gamma : \mathbb{R} \rightarrow M$ from a to b :

$$\frac{d\gamma}{dt}(t) = -\nabla f(\gamma(t)) \quad \lim_{t \rightarrow -\infty} \gamma(t) = a \quad \lim_{t \rightarrow \infty} \gamma(t) = b$$

Morse homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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$$\frac{d\gamma}{dt}(t) = -\nabla f(\gamma(t)) \quad \lim_{t \rightarrow -\infty} \gamma(t) = a \quad \lim_{t \rightarrow \infty} \gamma(t) = b$$

The Morse complex $C(M, f, g)$ is freely generated as an Abelian group by the critical points of f , and the differential ∂ counts gradient trajectories between critical points:

$$C(M, g, f) = \bigoplus_{c \in \text{Crit}(f)} \mathbb{Z} c$$

$$\langle \partial a, b \rangle = \# |\mathcal{M}(a, b)/\mathbb{R}|$$

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Khovanov
homology,
monopoles,
and mutation

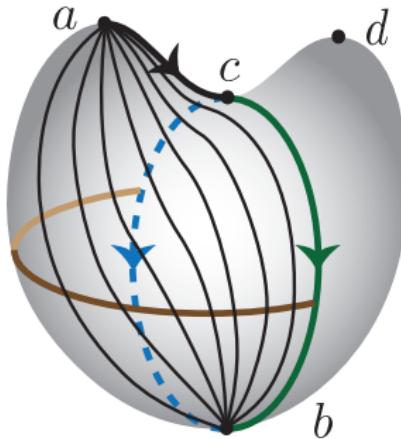
Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



$$\begin{aligned}\langle \partial a, c \rangle &= 1 \\ \langle \partial c, b \rangle &= 0\end{aligned}$$

$$\begin{array}{ccc} \mathbb{Z}a & \mathbb{Z}d & \\ \searrow & \swarrow & \\ & \mathbb{Z}c & \\ & & \mathbb{Z}b \end{array}$$

Morse homology

Khovanov
homology,
monopoles,
and mutation

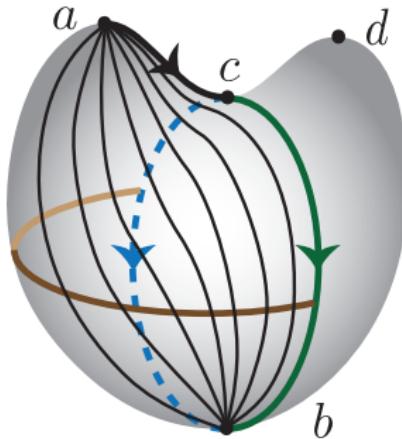
Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



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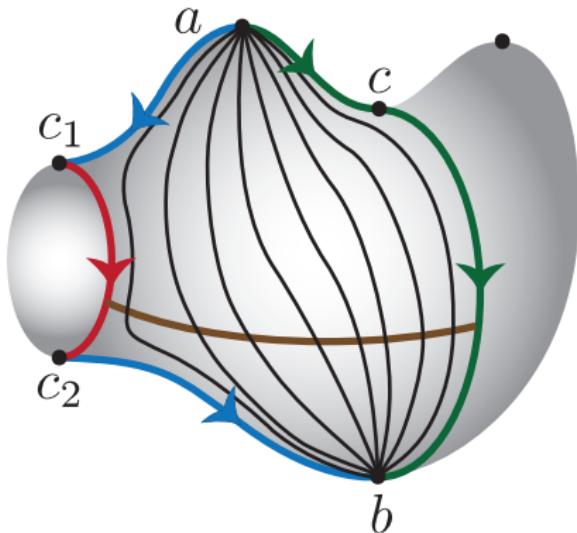
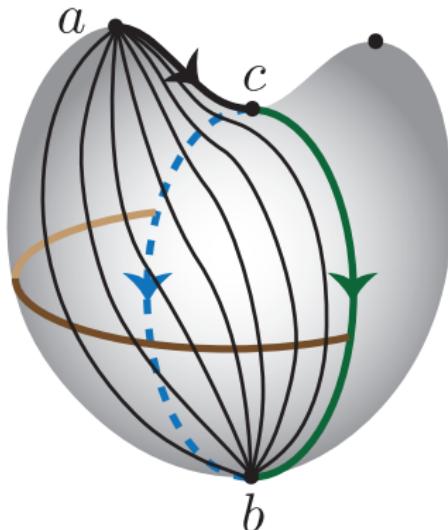
$$\begin{matrix} \mathbb{Z}a & \mathbb{Z}d \\ \searrow & \swarrow \\ \mathbb{Z}c \\ \mathbb{Z}b \end{matrix}$$

$$\begin{aligned}\langle \partial \partial a, b \rangle &= \sum_{c \in \text{crit}(f)} \#|\mathcal{M}(a, c)/\mathbb{R}| \times \#|\mathcal{M}(c, b)/\mathbb{R}| \\ &= \# \text{ of once-broken gradient trajectories from } a \text{ to } b \\ &= \# \text{ of ends of } \mathcal{M}(a, b)/\mathbb{R} \text{ (compactified)} \\ &= 0, \text{ because this is a compact 1-manifold.}\end{aligned}$$

Morse homology with boundary

Confession

In monopole Floer homology, the finite-dimensional model is actually Morse homology on a manifold with boundary.



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

From Morse to Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Floer homology is an ∞ -dim. version of Morse homology. To a 3-manifold Y and extra data, one associates a configuration space $\mathcal{C}(Y)$ with a functional $F : \mathcal{C}(Y) \rightarrow \mathbb{R}$.

- ① Stable and unstable manifolds are ∞ -dim.
- ② Their intersections are finite-dim. and compact.
- ③ No absolute Morse index, only a relative one.

But if you're lucky, you can still construct a complex generated by the critical points of F and prove that the homology is independent of the choice of extra data.

From Morse to Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

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But if you're lucky, you can still construct a complex generated by the critical points of F and prove that the homology is independent of the choice of extra data.

A cobordism $W : Y_0 \rightarrow Y_1$ also has a configuration space $\mathcal{C}(W)$, with restriction maps r_i to each $\mathcal{C}(Y_i)$. Used to define a chain map on complexes via a kind of “pull-up, push-down”. The chain map induces an invariant map on homology.

SW_3

**Khovanov
homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Let Y be a closed, oriented 3-manifold and let $\mathfrak{s} \in \text{spin}^c(Y)$.

Let $S \rightarrow Y$ be the associated spinor-bundle.

Let L be the determinant line bundle.

$$\mathcal{C}(Y, \mathfrak{s}) = \{(B, \psi) \mid B \text{ is a } U(1)\text{-connection on } L, \psi \in \Gamma(S)\}$$

Definition (SW_3)

$$\rho(F_B) = q(\psi) \quad D_B\psi = 0$$

SW_3

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation

Exact triangles
Families of
metrics
Polytopes
Outlook

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Definition (SW_3)

$$\rho(F_B) = q(\psi) \quad D_B\psi = 0$$

Definition

Pairs (B, ψ) with $\psi = 0$ are called *reducible*.

Reducible monopoles correspond to flat connections.

The gauge group $\mathcal{G} = \mathcal{C}^\infty(Y, S^1)$ acts freely on $\mathcal{C}(Y, \mathfrak{s})$ away from reducibles and maps monopoles to monopoles.

Monopole Floer homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

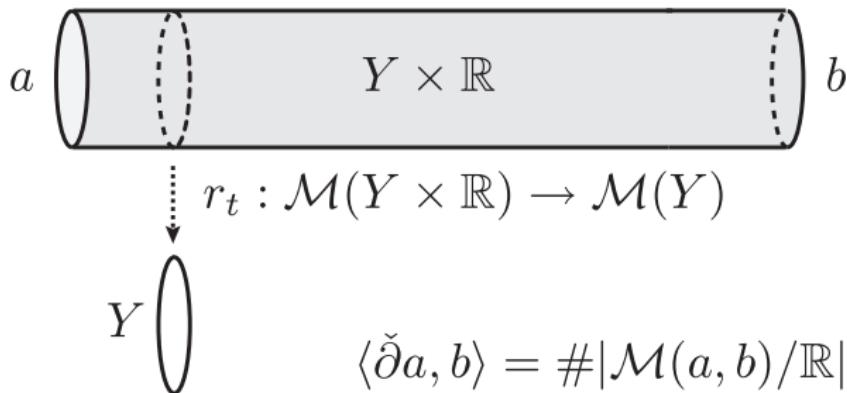
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

For a closed, oriented, connected 3-manifold Y , the monopole Floer homology group $\widehat{HM}_\bullet(Y)$ is defined via Morse theory on $CSD : \mathcal{C}(Y, \mathfrak{s}) \rightarrow \mathbb{R}$, with $\nabla CSD = SW_3$ and flow equal SW_4 :

- $\check{C}(Y)$ is generated by monopoles over Y
- $\check{\partial}$ counts monopoles over $Y \times \mathbb{R}$ modulo translation.



Example: $\#^k(S^1 \times S^2)$ and positive scalar curvature

Example

Let $Y = \#^k S^1 \times S^2$. Then $\widetilde{HM}_\bullet(Y) \cong \Lambda^* H_1(Y) \cong H_*(T^k)$.

Sketch: Since Y admits a metric of positive scalar curvature, the solutions to the unperturbed equations over the torsion spin^c -structure are reducible (Lichnerowicz) and parameterized by the torus $\mathbb{T}^{b_1} = H^1(Y; \mathbb{R})/H^1(Y; \mathbb{Z})$ via:

$$\begin{aligned}\text{monopoles / gauge} &= \text{unitary flat connections / gauge} \\ &= \text{Hom}(\pi_1(Y), S^1) / \text{conjugation} \\ &= \text{Hom}(H_1(Y), S^1) \\ &= H^1(Y; S^1).\end{aligned}$$

Perturb slightly to identify $\tilde{C}(Y)$ with Morse complex $C_*(\mathbb{T}^{b_1})$.

**Khovanov
homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Monopole Floer homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

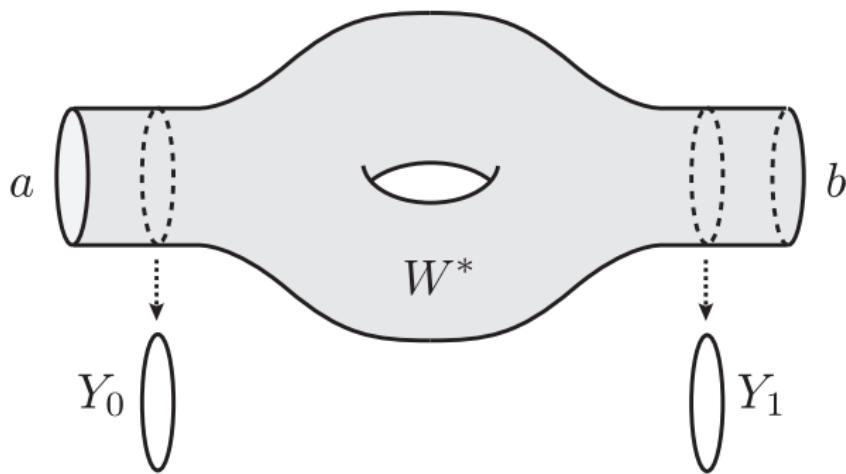
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

A Riemannian 4-manifold W with boundary $-Y_0 \coprod Y_1$ determines a chain map $\check{m}(W) : \check{C}(Y_0) \rightarrow \check{C}(Y_1)$ which counts monopoles on W with infinite cylindrical ends attached.

$$\langle \check{m}(W)a, b \rangle = \#|\mathcal{M}(a, W^*, b)|$$



Monopole Floer homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

These constructions depend on auxiliary choices of metric and perturbation data. However:

- The group $\widetilde{HM}_\bullet(Y)$ is a topological invariant of Y .
- The map $\widetilde{HM}_\bullet(W) : \widetilde{HM}_\bullet(Y_0) \rightarrow \widetilde{HM}_\bullet(Y_1)$ induced by $\check{m}(W)$ is a smooth invariant of W .
- If $W = W_2 \circ W_1$ then $\widetilde{HM}_\bullet(W) = \widetilde{HM}_\bullet(W_2) \circ \widetilde{HM}_\bullet(W_1)$.

Monopole Floer homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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- If $W = W_2 \circ W_1$ then $\widetilde{HM}_\bullet(W) = \widetilde{HM}_\bullet(W_2) \circ \widetilde{HM}_\bullet(W_1)$.

Theorem (Kronheimer-Mrowka)

Monopole Floer homology *is a well-defined functor from the smooth, oriented, connected 3-dimensional cobordism category to the category of Abelian groups:*

$$\widetilde{HM}_\bullet : \mathcal{C}^3 \rightarrow \text{Ab}$$

Heegaard Floer homology

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

In 2000, Ozsváth and Szabó introduced *Heegaard Floer homology*. The construction starts with a decomposition of Y as a union of handlebodies along a surface Σ_g .

- The complex is generated by the intersection points of two associated Lagrangian tori in $\text{Sym}^g(\Sigma_g)$.
- The differential counts pseudo-holomorphic disks.

Heegaard Floer homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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- The complex is generated by the intersection points of two associated Lagrangian tori in $\text{Sym}^g(\Sigma_g)$.
- The differential counts pseudo-holomorphic disks.

Despite vastly different origins, Heegaard Floer theory was invented with the following in mind:

Conjecture

Monopole Floer homology \cong Heegaard Floer homology.

Recently, Katluhan, Lee, and Taubes have announced a proof routed through embedded contact homology.

S^3 and $S^1 \times S^2$

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

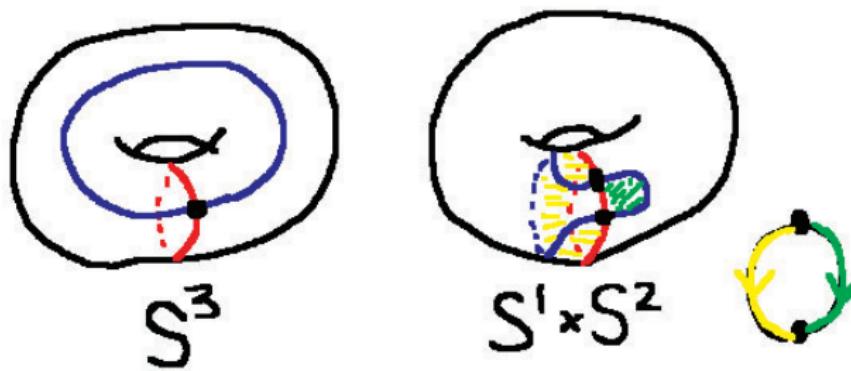
Outlook

Example

$$\widehat{HF}(S^3) \cong \mathbb{Z}$$

$$\widehat{HF}(S^1 \times S^2) \cong H_*(S^1)$$

We start with an admissible Heegaard diagrams:



For $S^1 \times S^2$, the two pseudo-holomorphic disks cancel.

$$\#^k S^1 \times S^2$$

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

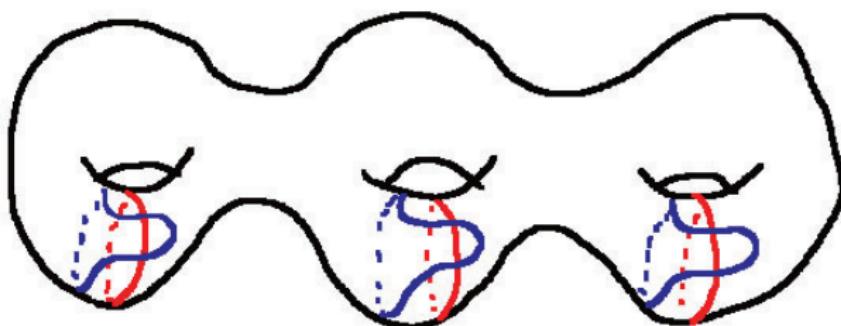
Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Example

$$\widehat{HF}(\#^k(S^1 \times S^2)) \cong H_*(\mathbb{T}^k) \cong \Lambda^* H_1(\#^k(S^1 \times S^2)).$$

For $k = 3$:



$$\mathbb{T}_\alpha, \mathbb{T}_\beta \subset \text{Sym}^3(\Sigma_3) \quad |\mathbb{T}_\alpha \cap \mathbb{T}_\beta| = 2^3$$

Again, pseudo-holomorphic disks cancel in pairs.

More examples

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

Let P be the Poincaré sphere.

Example

$$\begin{array}{ll} \widehat{HF}(S^3) = \widehat{HF}(P) = \mathbb{Z} & \widehat{HF}(L(p,1)) = \mathbb{Z}^p \\ \widehat{HF}(S^1 \times S^2) = \mathbb{Z}^2 & \widehat{HF}(T^3) = \mathbb{Z}^6 \\ \widehat{HF}(\#^k(S^1 \times S^2)) = (\mathbb{Z}^2)^k & \end{array}$$

More examples

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard

Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Let P be the Poincaré sphere.

Example

$$\widehat{HF}(S^3) = \widehat{HF}(P) = \mathbb{Z} \quad \widehat{HF}(L(p, 1)) = \mathbb{Z}^p$$

$$\widehat{HF}(S^1 \times S^2) = \mathbb{Z}^2 \quad \widehat{HF}(T^3) = \mathbb{Z}^6$$

$$\widehat{HF}(\#^k(S^1 \times S^2)) = (\mathbb{Z}^2)^k$$

Conjecture ("Floer Poincare Conjecture")

If $\widehat{HF}(Y) = \mathbb{Z}$ then Y is a connected sum of copies of $\pm P$.

In 2009, Eftekhary proved that Y is a connected sum of Poincaré spheres and hyperbolic homology spheres.

Khovanov homology

In 1999, Khovanov described a new homology theory which associates a bigraded Abelian group $\widehat{Kh}(L)$ to a link $L \subset S^3$.

- The graded Euler characteristic of the reduced version $\widetilde{Kh}(L)$ recovers the Jones polynomial.
- The theory is fully combinatorial, yet powerful enough to compute smooth invariants, like the slice genus of torus knots, that were previously only accessible to Floer theory.

[Khovanov homology, monopoles, and mutation](#)

[Jonathan M. Bloom](#)

[Background](#)
[Seiberg-Witten](#)
[Morse theory](#)
[Monopole](#)
[Heegaard](#)
[Khovanov](#)

[Theorems](#)
[Heegaard](#)
[Monopole](#)
[Instanton](#)

[Connections](#)
[Branched double-covers](#)
[Mutation](#)
[Exact triangles](#)
[Families of metrics](#)
[Polytopes](#)

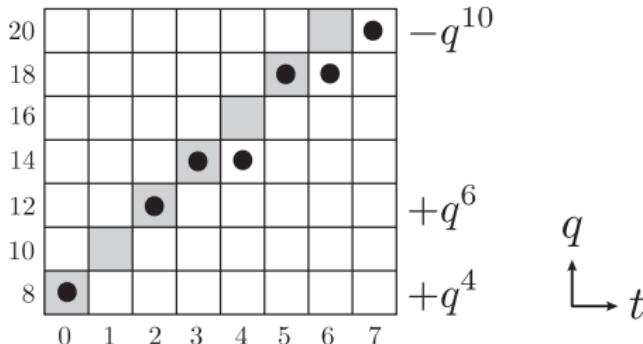
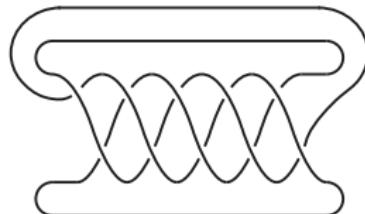
[Outlook](#)

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$\widetilde{Kh}(T(3,5);\mathbb{F}_2)$



Functors

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

These theories have different origins:

- gauge theory (monopole)
- symplectic geometry (Heegaard)
- representation theory (Khovanov)

Functors

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

These theories have different origins:

- gauge theory (monopole)
- symplectic geometry (Heegaard)
- representation theory (Khovanov)

But they share an important feature. They are all functors:

$$\widetilde{HM}_\bullet : \mathcal{C}^3 \rightarrow Ab$$

$$HF^+ : \mathcal{C}^3 \rightarrow Ab$$

$$Kh : \mathcal{L} \rightarrow Ab$$

\mathcal{C}^3 = Closed, oriented, connected 3-manifolds and cobordisms.

\mathcal{L} = Links in S^3 and link cobordisms in $S^3 \times [0, 1]$.

Ab = Abelian groups and group homomorphisms.

Branched double-cover

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

To relate them, we need to construct a 3-manifold from a link.

Definition

The *branched double-cover* of $L \subset S^3$ is a 3-manifold $\Sigma(L)$ s.t.

- There is a continuous projection $\pi : \Sigma(L) \rightarrow S^3$
- $\pi^{-1}(S^3 - L) \xrightarrow{\pi} S^3 - L$ is an honest double cover
- $\pi^{-1}(L) \xrightarrow{\pi} L$ is a homeomorphism

Branched double-cover

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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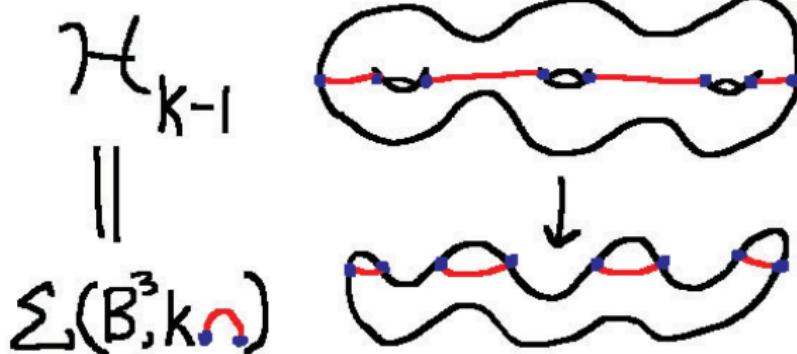
Example

- $\Sigma(U) = S^3$, where U is the unknot.
- $\Sigma(T(p, q)) = \Sigma(2, p, q)$, a Brieskorn $\mathbb{Z}HS^3$ if p and q odd.
- $\Sigma(U_k) = \#^{k-1} S^1 \times S^2$, where U_k is the k -comp. unlink.

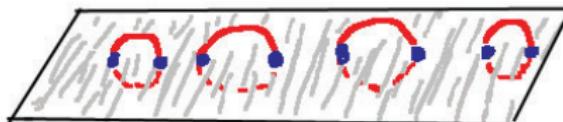
Example

Example

$$\Sigma(U_k) = \#^{k-1}(S^1 \times S^2)$$



$$S^3 = B^3 \cup B^3$$



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Khovanov to Heegaard Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

In 2003, Ozsváth and Szabó constructed the first bridge.

- Let $L \subset S^3$ be a link and let $-\Sigma(L)$ be the branched double-cover with reversed orientation.

Theorem (Ozsváth-Szabó)

There is a spectral sequence whose E_2 term is $\widetilde{Kh}(L; \mathbb{F}_2)$ and whose E_∞ term is $\widehat{HF}(-\Sigma(L); \mathbb{F}_2)$.

Khovanov to Heegaard Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

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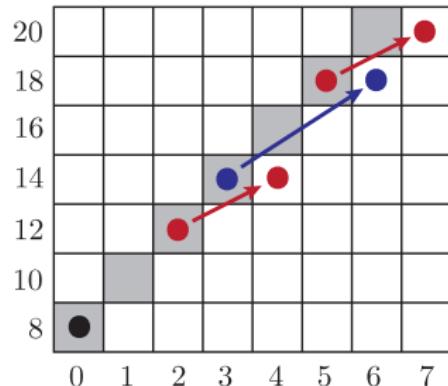
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$$\widetilde{Kh}(T(3, 5); \mathbb{F}_2)$$



$$\widehat{HF}(-\Sigma(2, 3, 5); \mathbb{F}_2)$$



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homology,
monopoles,
and mutation**

Jonathan M.
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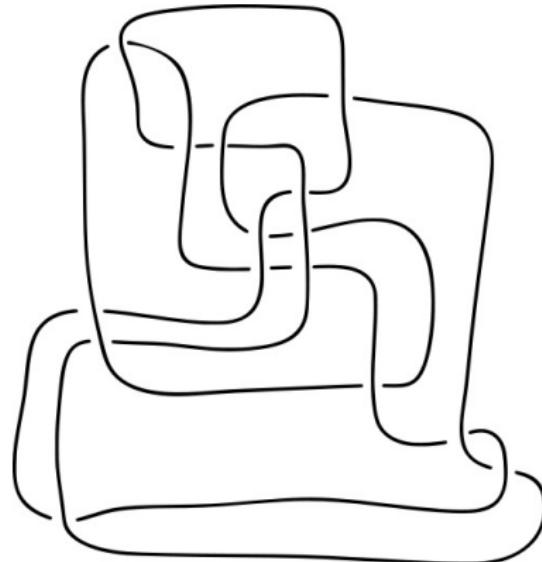
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

The Jones polynomial of this knot is 1.



Khovanov to Heegaard Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Let K be a knot with Jones polynomial $V_K(q)$.

Conjecture (The Jones polynomial detects the unknot)

If $V_K(q) = 1$ then K is the unknot.

This conjecture has a categorification.

Conjecture (Khovanov homology detects the unknot)

If $\text{rk } \widetilde{Kh}(K) = 1$ then K is the unknot.

Khovanov to Heegaard Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

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Conjecture (Khovanov homology detects the unknot)

If $\text{rk } \widetilde{Kh}(K) = 1$ then K is the unknot.

The spectral sequence implies

$$\text{rk } \widetilde{Kh}(L; \mathbb{F}_2) \geq \widehat{HF}(-\Sigma(L); \mathbb{F}_2).$$

Since $T(3, 5)$ is the only knot with $\Sigma(K) = P$, the categorified version would follow from the “Floer Poincaré Conjecture”.

Khovanov to Heegaard Floer

Let K^2 denote the 2-cable of K with Seifert framing. Then:

$$\Sigma(K^2) = S_0^3(K \# K)$$

One can relate $S_0^3(K \# K)$ to knot Floer homology of $K \# K$, which is non-trivial in grading $2g$, where g is the genus of K .

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background](#)
[Seiberg-Witten](#)
[Morse theory](#)
[Monopole](#)
[Heegaard](#)
[Khovanov](#)

[Theorems](#)
[Heegaard](#)
[Monopole](#)
[Instanton](#)

[Connections](#)
[Branched
double-covers](#)
[Mutation](#)
[Exact triangles](#)
[Families of
metrics](#)
[Polytopes](#)

[Outlook](#)

Khovanov to Heegaard Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

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One can relate $S_0^3(K \# K)$ to knot Floer homology of $K \# K$, which is non-trivial in grading $2g$, where g is the genus of K .

Theorem (Hedden, May 2008)

If K is knotted, then $\text{rk } \widetilde{Kh}(K^2; \mathbb{F}_2) > 2$

Thus Khovanov homology gives an explicit algorithm to determine whether a knot diagram represents the unknot.

Theorem (Grigsby-Wehrli, before May 2008)

Khovanov's categorification of the n -colored Jones polynomial detects the unknot for $n > 1$.

Khovanov to Monopole Floer

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

Theorem (Bloom, 2008)

There is a spectral sequence whose E_2 term is $\widetilde{Kh}(L; \mathbb{F}_2)$ and whose E_∞ term is $\widetilde{HM}_\bullet(-\Sigma(L); \mathbb{F}_2)$.

Proposition (B.)

There is an absolute $\mathbb{Z}/2\mathbb{Z}$ -grading $\check{\delta}$ on the spectral sequence.

- *The differentials each shift $\check{\delta}$ by 1.*
- *On E_2 , $\check{\delta}$ coincides with $\delta - (\sigma + \nu)/2 \pmod{2}$.*
- *On E_∞ , $\check{\delta}$ coincides with the usual Floer grading.*

Khovanov to Monopole Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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Corollary (B.)

If L is thin, then $\widetilde{Kh}(L) \cong \widetilde{HM}_\bullet(-\Sigma(L))$. Each has rank $\det(L)$.

Khovanov to Monopole Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

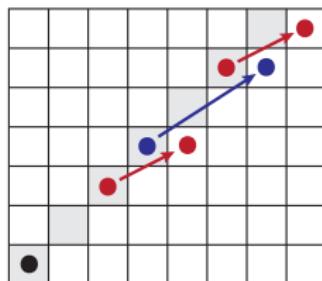
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

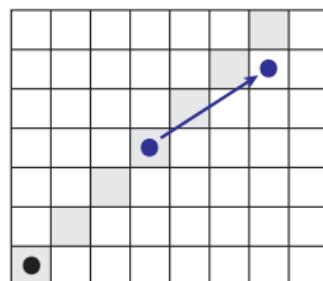
Outlook

Theorem (B. for analytic invariance, Baldwin for Reidemeister)

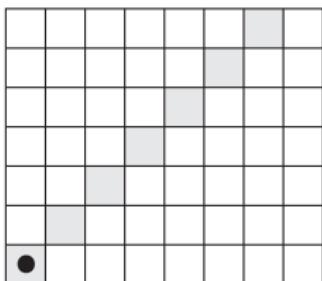
The pages E_i for $i \geq 2$ are invariants of the mutation equivalence class of L (as $\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ -graded vector spaces).



E^2



E^3



E^4

Khovanov to Monopole Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

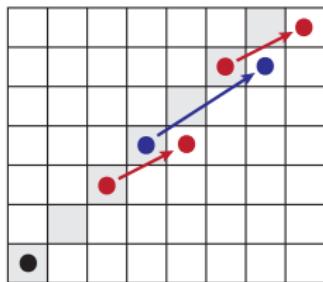
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

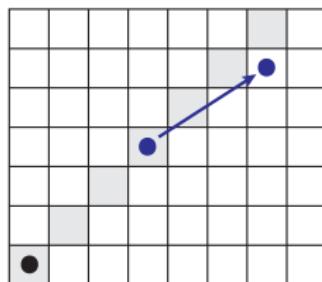
Outlook

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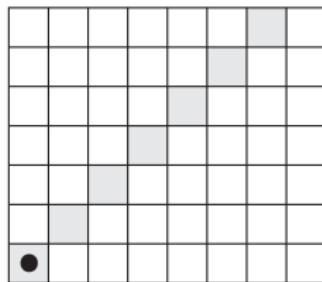
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E^2



E^3



E^4

Conjecture

The full Khovanov bigrading extends to the higher pages.

Odd Khovanov homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Ozsváth, Rasmussen, and Szabó give a new categorification of the Jones polynomial called *odd Khovanov homology*.

- An exterior algebra replaces the original symmetric algebra.
- Coincides with Khovanov homology over \mathbb{F}_2 .

Conjecture (2007)

There is a spectral sequence from the odd Khovanov homology of L to the Heegaard-monopole Floer homology of $-\Sigma(L)$.

Odd Khovanov homology

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard

Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

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This is work in progress, and motivated the proof of:

Theorem (B., 2009)

Odd Khovanov homology is mutation invariant.

False for Khovanov homology of links; unknown for knots.

Khovanov to Instanton Knot Floer

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov](#)

[Theorems
Heegaard
Monopole
Instanton](#)

[Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes](#)

[Outlook](#)

Let K be a knot in S^3 .

Theorem (Kronheimer-Mrowka, 2010)

There is a spectral sequence whose E_2 term is $\text{Kh}(K)$ and whose E_∞ term is the instanton knot Floer homology $\text{HKI}(K)$.

A deep geometric result, related to the Thom conjecture, implies that this Floer theory detects the genus of the knot:

If $K \neq U$ then $\text{rk } \text{HKI}(K) > 1$.

Khovanov to Instanton Knot Floer

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

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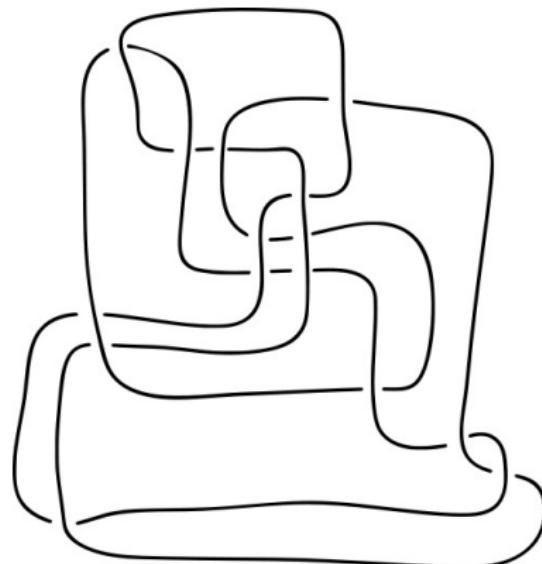
If $K \neq U$ then $\text{rk } \text{HKI}(K) > 1$.

Corollary

Khovanov homology detects the unknot!

In fact, Khovanov homology detects the 2-component unlink, even though the Jones polynomial does not! (Hedden, Ni)

Mathematica tells me that $\text{rk } \widetilde{Kh}(K) = 1$. So K is the unknot!



[Khovanov
homology,
monopoles,
and mutation](#)

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Connections

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

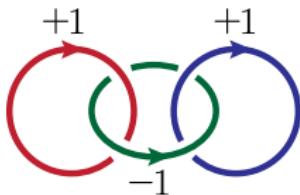
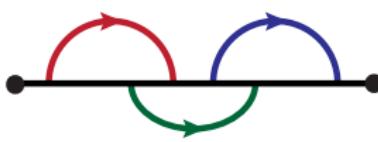
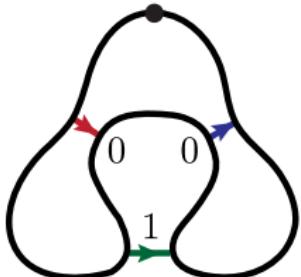
Why should Khovanov and Floer homology be related?
We will link the theories in five steps.

- ① Branched double-covers
- ② Exact triangles
- ③ Mutation
- ④ Families of metrics
- ⑤ Polytopes

Surgery diagram for $-\Sigma(L)$.



$$-\Sigma(T) = -L(3,1) = \text{a green circle with a label } -3$$



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

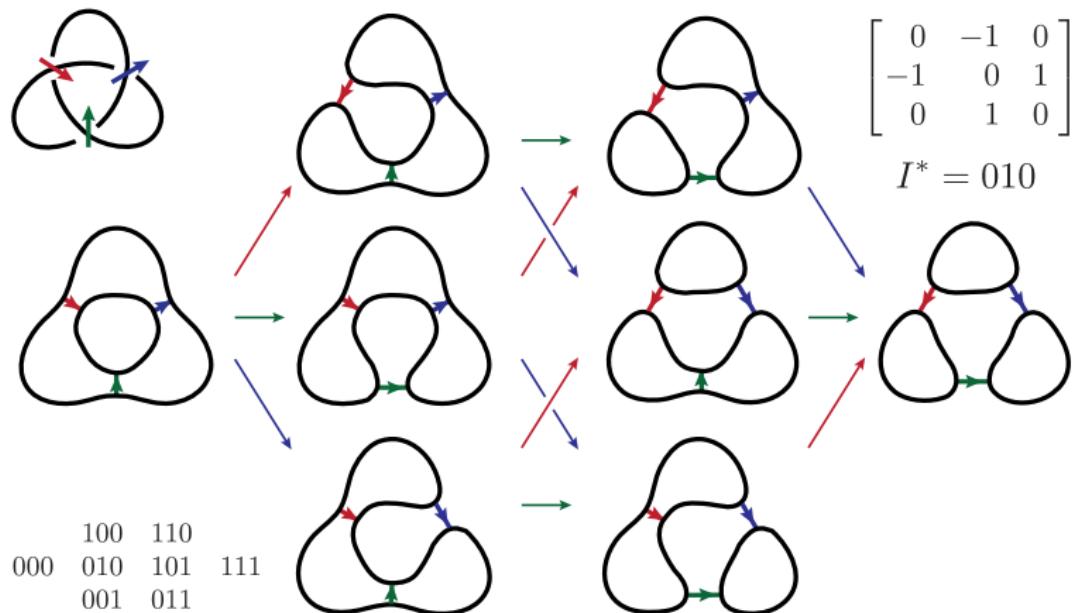
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Trefoil below

The Khovanov hypercube consists of resolutions $D(I) \subset S^3$ and 1-handle cobordisms $F(IJ) \subset S^3 \times [0, 1]$:



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers

Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Trefoil above

Khovanov
homology,
monopoles,
and mutation

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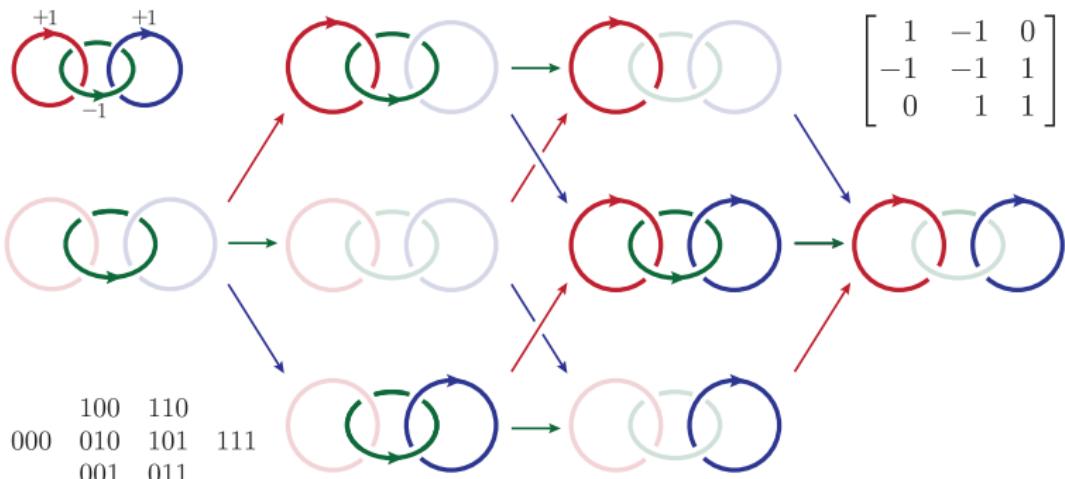
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Applying the branch double-cover functor $-\Sigma$, we obtain a (3+1)-dimensional hypercube of 3-manifolds $Y(I)$ and 2-handle cobordisms $W(IJ)$:



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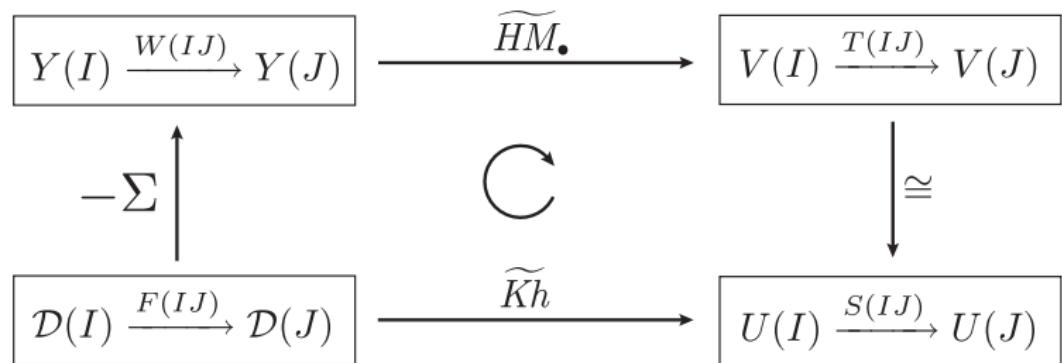
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

We have two options:

- ① Apply \widetilde{Kh} to the (1+1)-dimensional hypercube.
- ② Apply \widetilde{HM}_\bullet to the (3+1)-dimensional hypercube.



In fact the two routes yield isomorphic complexes! So $\widetilde{CKh}(D)$ is determined by the choice of resolution and surgery diagram.

Donaldson TQFT

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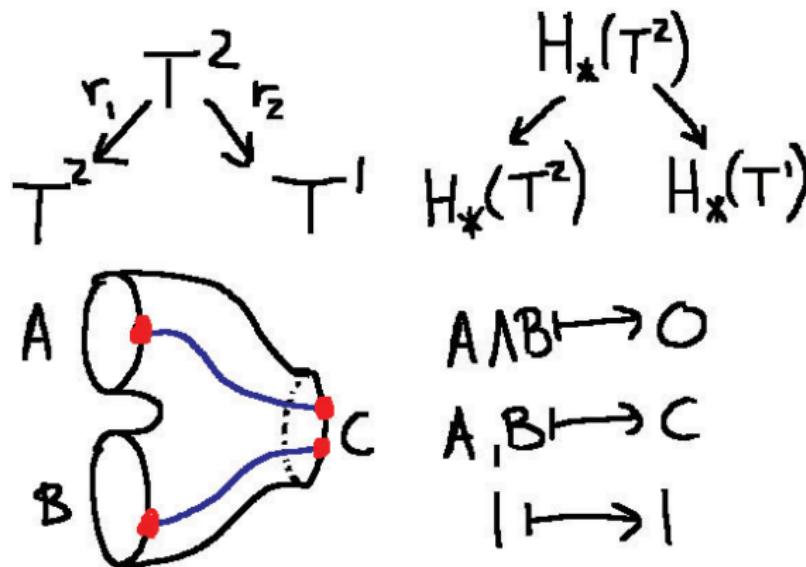
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Manifold $M \rightsquigarrow H_*$ (moduli space of flat connections / gauge).
Cobordism $X \rightsquigarrow$ Map from restriction of connections to ∂X .



Donaldson TQFT $\Lambda^* H^1$

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monopoles,
and mutation

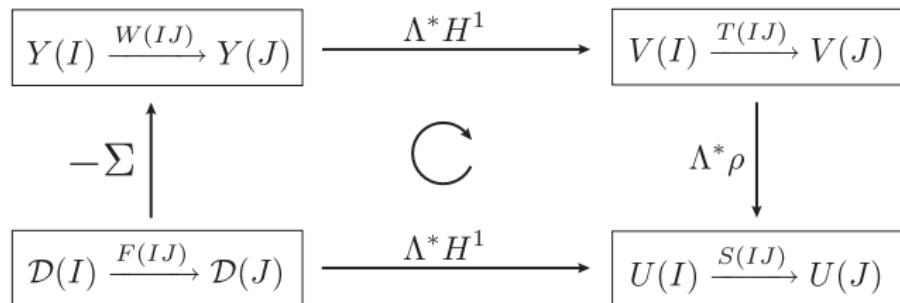
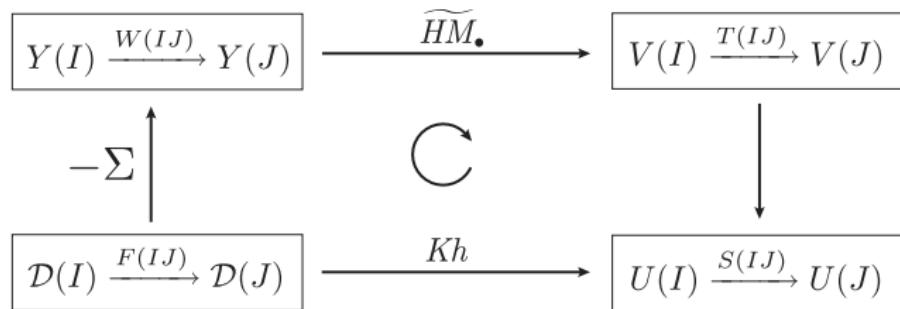
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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



Mutation invariance I

Khovanov
homology,
monopoles,
and mutation

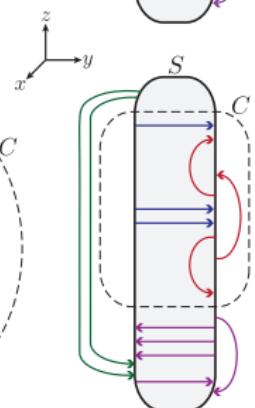
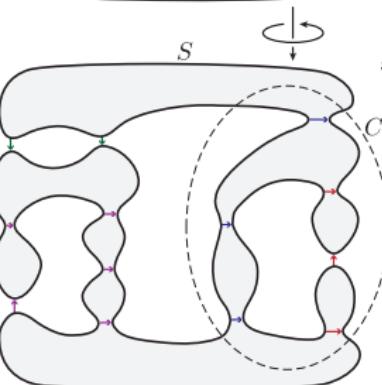
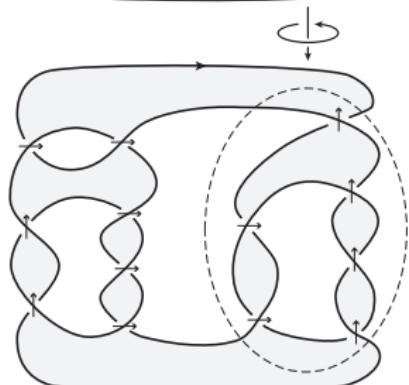
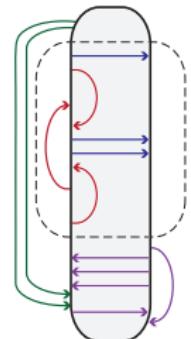
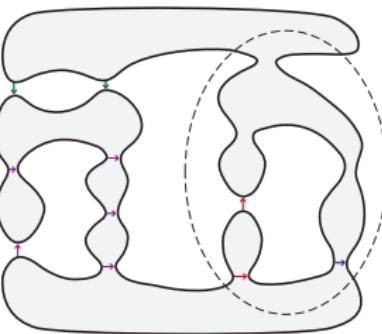
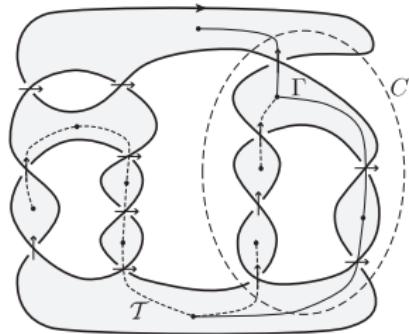
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Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



Mutation invariance II

Khovanov
homology,
monopoles,
and mutation

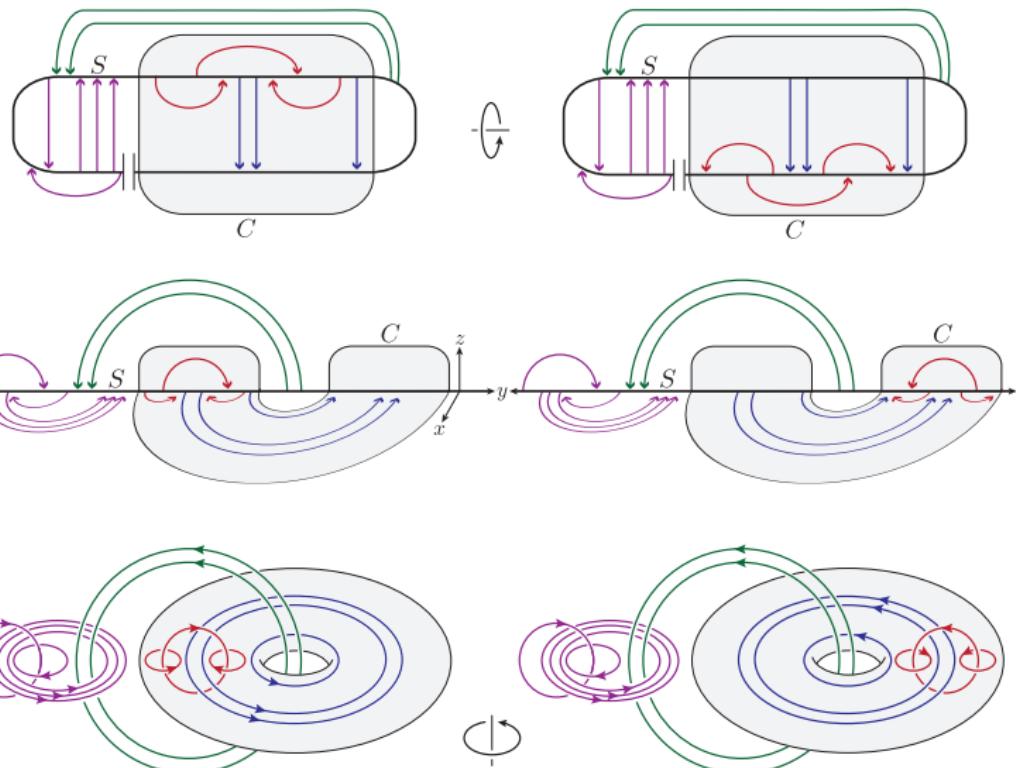
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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



Mutation invariance III

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homology,
monopoles,
and mutation

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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

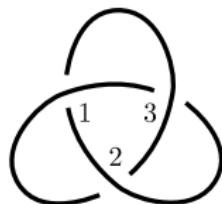
Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes
Outlook

Conclusion

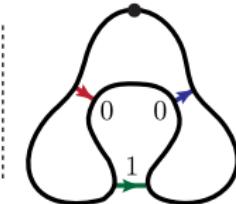
Mutant link diagrams give rise to isotopic surgery diagrams, and therefore isomorphic odd Khovanov complexes.

A more concrete construction is given in the paper:

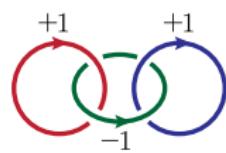
- ① To a link diagram D , associate a “linking matrix” A , secretly the linking matrix of a surgery diagram for $-\Sigma(L)$.
- ② See that A is invariant under diagrammatic mutation.
- ③ Reconstruct the odd Khovanov complex from A alone.



|



$$\begin{bmatrix} 1 & -1 & 0 \\ -1 & -1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$



Graph-links

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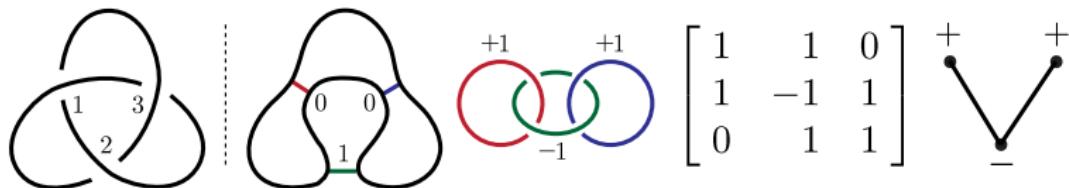
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

The matrix A may also be viewed as the adjacency matrix of a (bipartite, planar) graph with signed vertices, representing an equivalence class of *graph-link* (Manturov, Ilyutko).



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homology,
monopoles,
and mutation

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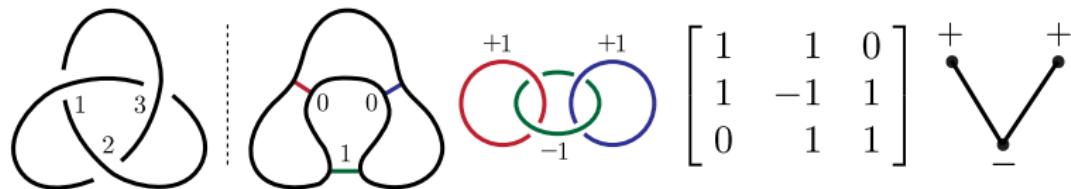
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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Nikonov proved that the above construction over \mathbb{F}_2 is well-defined for arbitrary graph-links, including signed graph-links (which generalize virtual knots). The Khovanov homology of a graph-link categorifies the Kauffman bracket defined by Manturov and Ilyutko. Nikonov lifts the construction to \mathbb{Z} for principally unimodular bipartite graph-links.

Signature and mutation

Khovanov
homology,
monopoles,
and mutation

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Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

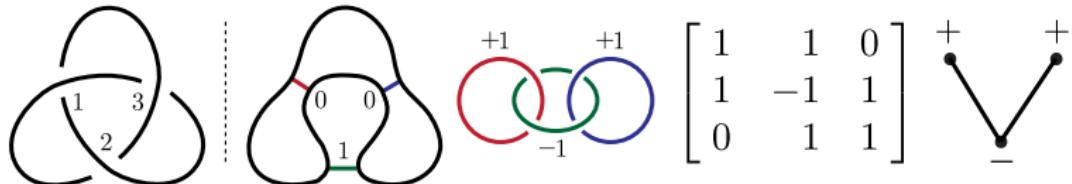
Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Let $k = |I^*| = (n - \text{trace}(A))/2 = \# \text{ of negative vertices.}$

Proposition

$$\sigma(L) = \sigma(A) + k - n_- \quad \det(L) = \det(A) \quad \nu(L) = \nu(A)$$



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Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers

Mutation

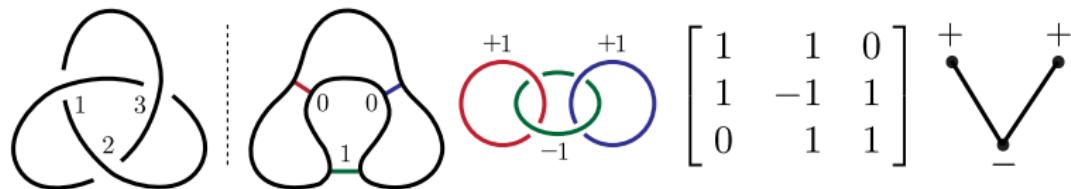
Exact triangles
Families of
metrics
Polytopes

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Let $k = |I^*| = (n - \text{trace}(A))/2 = \# \text{ of negative vertices.}$

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Example

$$\sigma(T) = 1 + 1 - 0 = 2 \quad \sigma(m(T)) = -1 + 2 - 3 = -2$$

- ① Mutation invariant definition.
- ② Extends to graph-knots.
- ③ Well-adapted to Khovanov homology.

Exact triangles I

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

- Khovanov homology has a skein exact triangle.
- Floer homology has a surgery exact triangle.

These are related by branched double-cover:

$$\cdots \rightarrow \widetilde{HM}_\bullet(Y(0)) \xrightarrow{\widetilde{HM}_\bullet(W(01))} \widetilde{HM}_\bullet(Y(1)) \rightarrow \widetilde{HM}_\bullet(Y) \rightarrow \cdots$$



$$\cdots \rightarrow \widetilde{Kh}(D(0)) \xrightarrow{\widetilde{Kh}(F(01))} \widetilde{Kh}(D(1)) \longrightarrow \widetilde{Kh}(D) \rightarrow \cdots$$

0



Exact triangles II

**Khovanov
homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

The surgery exact triangle is a consequence of:

$$\text{MC} \left(\tilde{C}(Y(0)) \xrightarrow{\tilde{m}(W(01))} \tilde{C}(Y(1)) \right) \xrightarrow{q.i.} \tilde{C}(Y)$$

$\bigcup_{\tilde{d}(Y(0))}$ $\bigcup_{\tilde{d}(Y(1))}$

$$E_0 = \tilde{C}(Y(0)) \oplus \tilde{C}(Y(1)) \quad d_0 = \tilde{d}(Y(0)) \oplus \tilde{d}(Y(1))$$

$$E_1 = \widetilde{HM}(Y(0)) \oplus \widetilde{HM}(Y(1)) \quad d_1 = \widetilde{HM}(W(01))$$

$$E_2 = E_\infty = \widetilde{HM}(Y)$$

Exact triangles II

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

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The surgery exact triangle is a consequence of:

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\bigcup \bigcup
 $\tilde{d}(Y(0))$ $\tilde{d}(Y(1))$

$$E_0 = \tilde{C}(Y(0)) \oplus \tilde{C}(Y(1)) \quad d_0 = \tilde{d}(Y(0)) \oplus \tilde{d}(Y(1))$$

$$E_1 = \widetilde{HM}(Y(0)) \oplus \widetilde{HM}(Y(1)) \quad d_1 = \widetilde{HM}(W(01))$$

$$E_2 = E_\infty = \widetilde{HM}(Y)$$

If we had started from a diagram D with one crossing, then:

- ① The complex (E_1, d_1) is isomorphic to $\widetilde{CKh}(D)$.
- ② Therefore $E_2 \cong \widetilde{Kh}(L)$.

The spectral sequence

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homology,
monopoles,
and mutation**

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

The spectral sequence applies to a diagram D with n crossings.
Let $Y(I) = -\Sigma(D(I))$ for each $I \in \{0,1\}^n$.
The total complex underlying the spectral sequence is:

$$X = \bigoplus_{I \in \{0,1\}^n} \tilde{C}(Y(I))$$

The spectral sequence

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homology,
monopoles,
and mutation**

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Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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$$X = \bigoplus_{I \in \{0,1\}^n} \tilde{C}(Y(I))$$

The total differential \tilde{D} has a component $\tilde{D}(IJ)$ for each $I \leq J$, and X is filtered by $|I| = \text{sum of digits of } I$.

- $\tilde{D}(II) = \tilde{d}(Y(I))$.
- $\tilde{D}(IJ) = \tilde{m}(W(IJ))$ when $|J| - |I| = 1$.

Therefore $(E_1, d_1) \cong \widetilde{CKh}(D)$ and $E_2 \cong \widetilde{Kh}(L)$.

The identification $E_\infty \cong \widetilde{HM}_\bullet(-\Sigma(L))$ follows by induction on the surgery exact triangle.

The total differential \tilde{D}

[Khovanov
homology,
monopoles,
and mutation](#)

[Jonathan M.
Bloom](#)

[Background](#)
[Seiberg-Witten](#)
[Morse theory](#)
[Monopole](#)
[Heegaard](#)
[Khovanov](#)

[Theorems](#)
[Heegaard](#)
[Monopole](#)
[Instanton](#)

[Connections](#)
[Branched
double-covers](#)
[Mutation](#)
[Exact triangles](#)
[Families of
metrics](#)
[Polytopes](#)

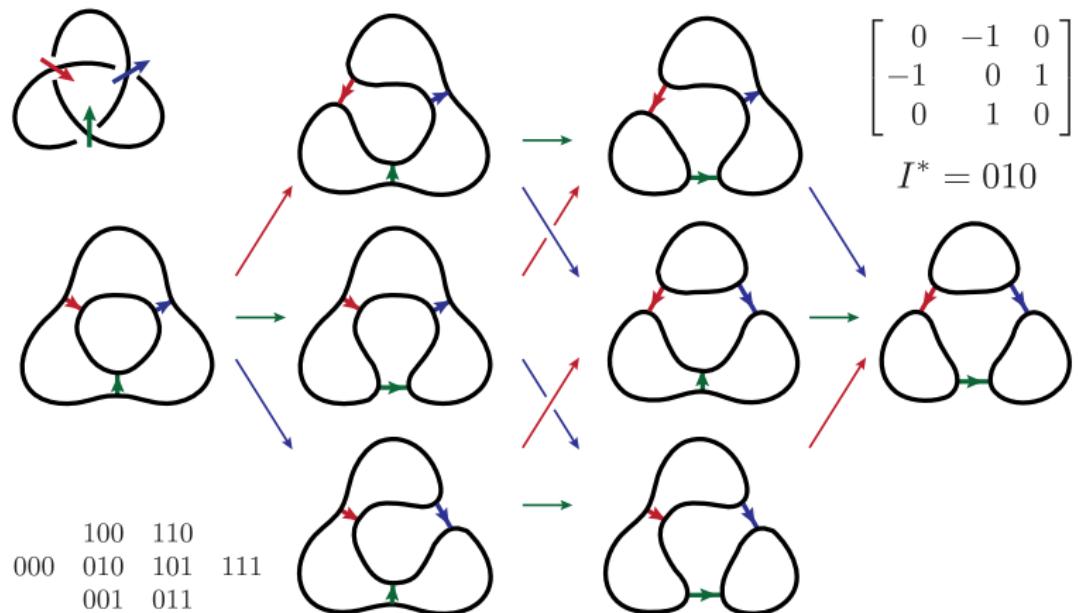
[Outlook](#)

In general for $I < J$, $\tilde{D}(IJ)$ is defined to count monopoles on $W(IJ)$ over a family of metrics parameterized by the permutohedron P of dimension $|J| - |I| - 1$, where the metrics degenerate over ∂P .

I will now describe this in more detail.

Review: Trefoil below

The Khovanov hypercube consists of resolutions $D(I) \subset S^3$ and 1-handle cobordisms $F(IJ) \subset S^3 \times [0, 1]$:



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Review Trefoil above

Khovanov
homology,
monopoles,
and mutation

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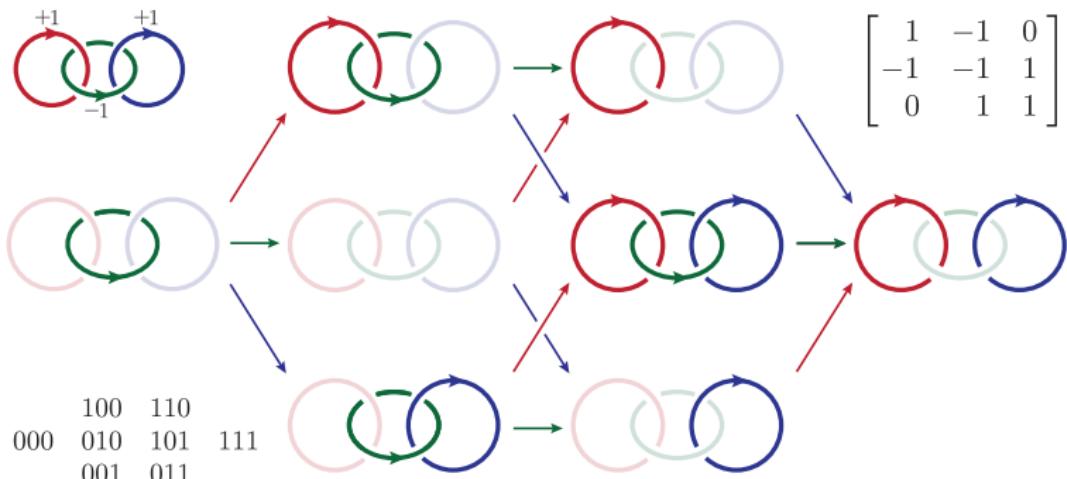
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Applying the branch double-cover functor $-\Sigma$, we obtain a (3+1)-dimensional hypercube of 3-manifolds $Y(I)$ and 2-handle cobordisms $W(IJ)$:



The total differential \tilde{D}

Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

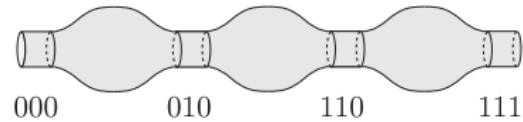
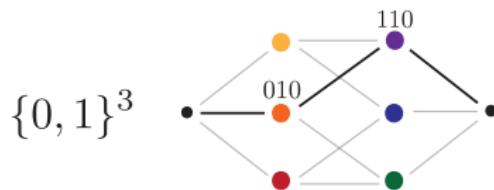
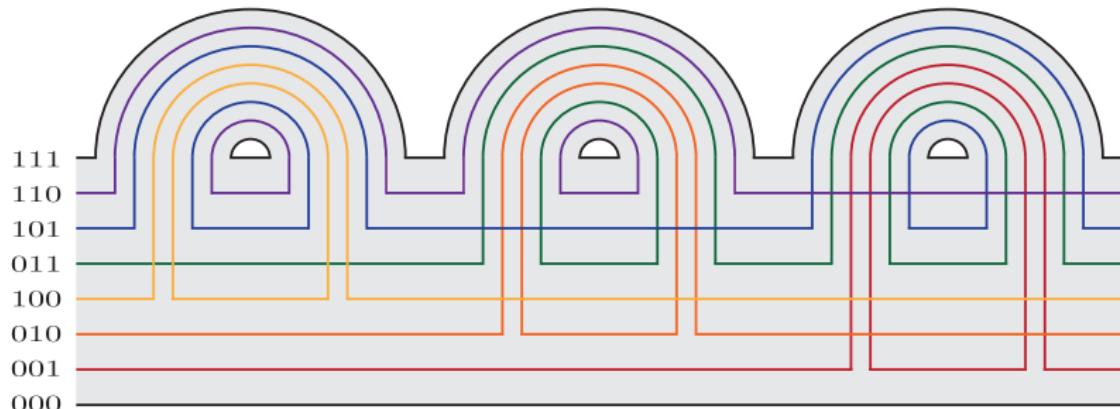
Outlook

Intuitively, for $I \ll J$, the 2-handles forming $W(IJ)$ commute up to isotopy, so the corresponding chain maps commute up to homotopy. To obtain a complex, we must include homotopies $\tilde{D}(IJ) : \tilde{C}(Y(I)) \rightarrow \tilde{C}(Y(J))$ for each square, cube, ...

$$\begin{array}{ccc} & \tilde{C}(Y(10)) & \\ m \nearrow & \searrow m & \\ \tilde{C}(Y(00)) & \xrightarrow{H} & \tilde{C}(Y(11)) \\ \downarrow \circlearrowleft & & \uparrow \circlearrowright \\ & \tilde{C}(Y(01)) & \\ \downarrow m & & m \uparrow \\ & \circlearrowleft & \end{array}$$
$$2H + H^2 + m_{11}^{10}m_{10}^{00} + m_{11}^{01}m_{01}^{00} = 0$$

Polytopes

$Y(I)$ and $Y(J)$ intersect iff I and J are not ordered in $\{0, 1\}^n$.



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

The 2D Permutohedron

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monopoles,
and mutation

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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

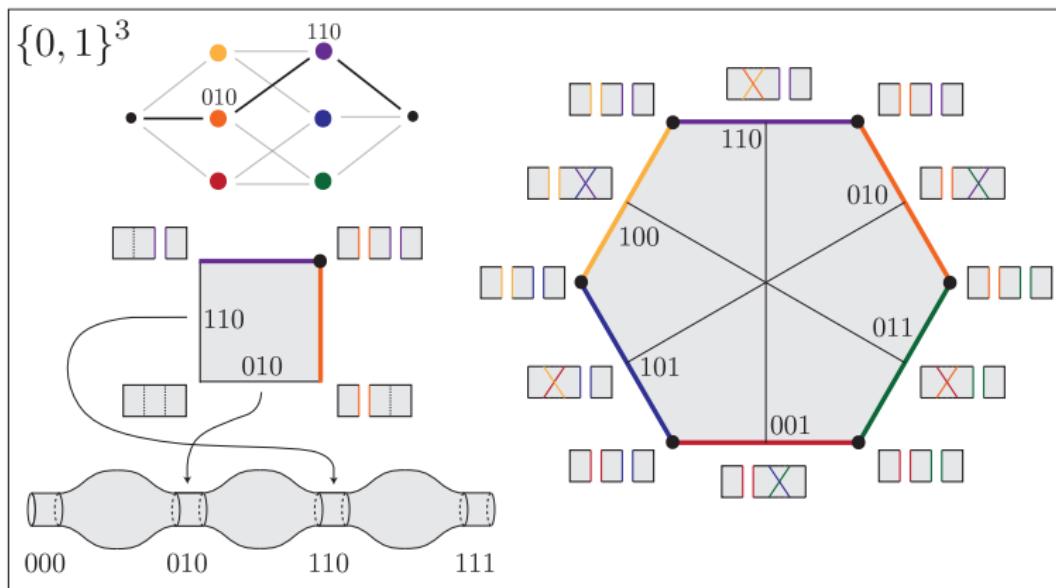
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

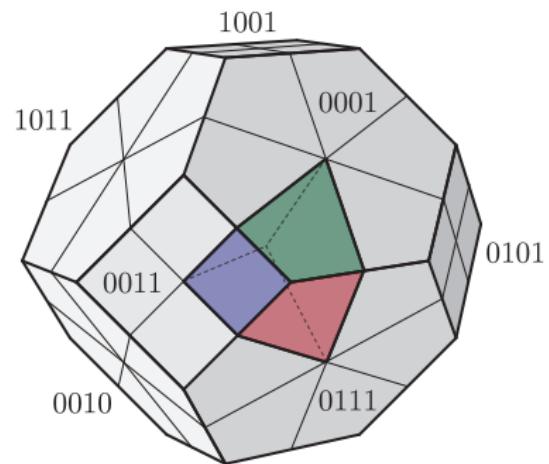
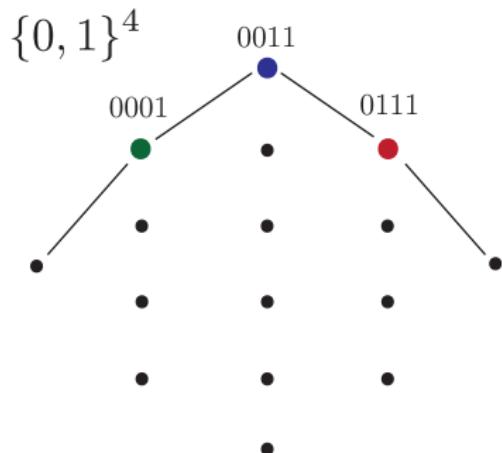
Outlook

Face poset of $P_2 \xrightarrow{\text{dual}}$ poset of internal chains in $\{0, 1\}^3$.

- Vertices of $P_2 \leftrightarrow$ maximal chains \leftrightarrow complete paths.
- Facets of $P_2 \leftrightarrow$ internal vertices of $\{0, 1\}^3$.



The 3D Permutohedron



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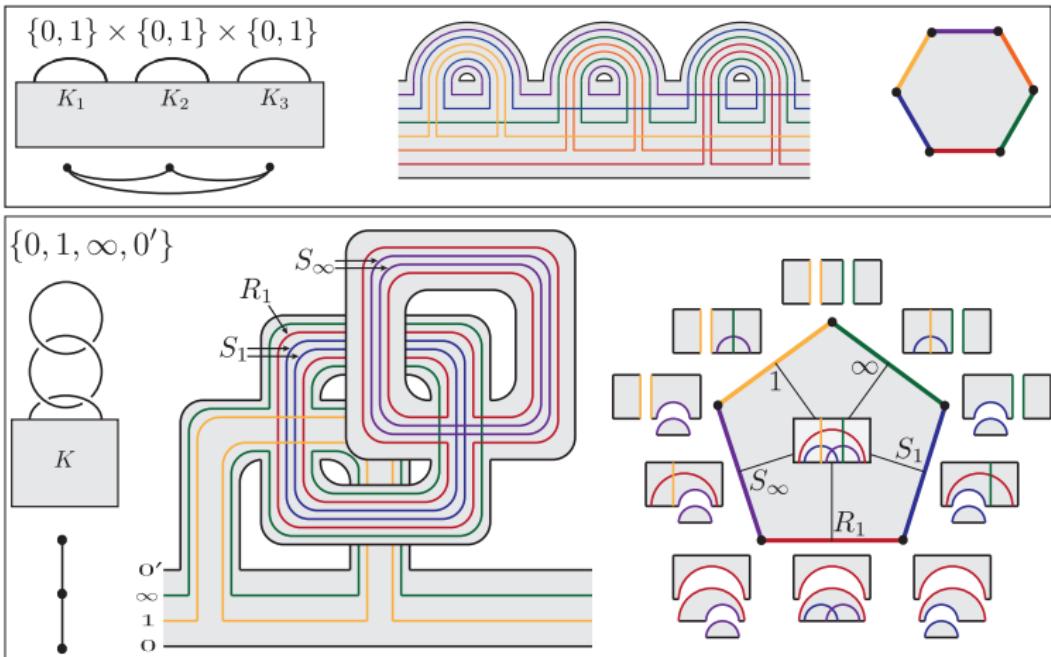
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Permutohedra and Associahedra



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monopoles,
and mutation

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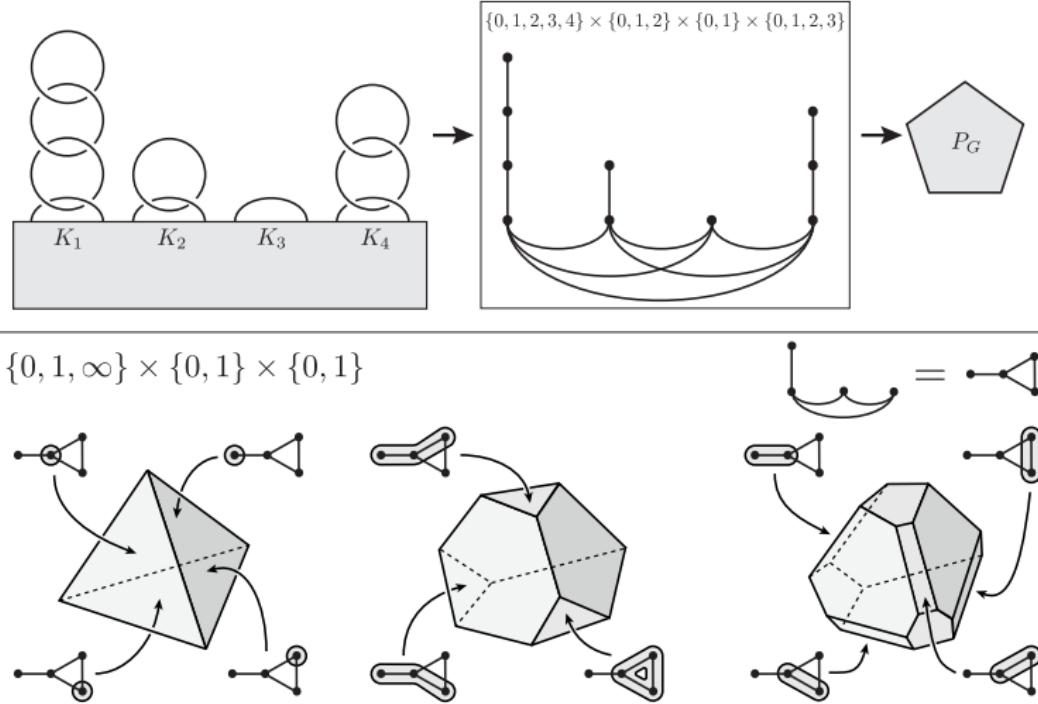
Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Graph associahedra (Carr, Devadoss)



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Realization of associahedra

Khovanov
homology,
monopoles,
and mutation

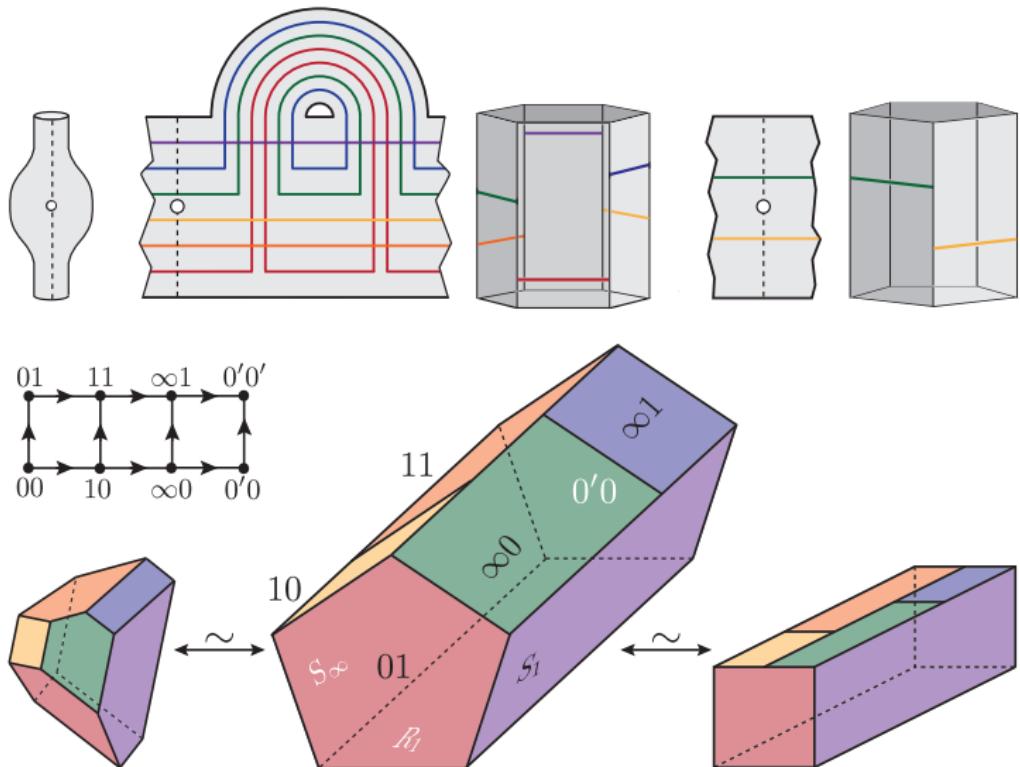
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Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

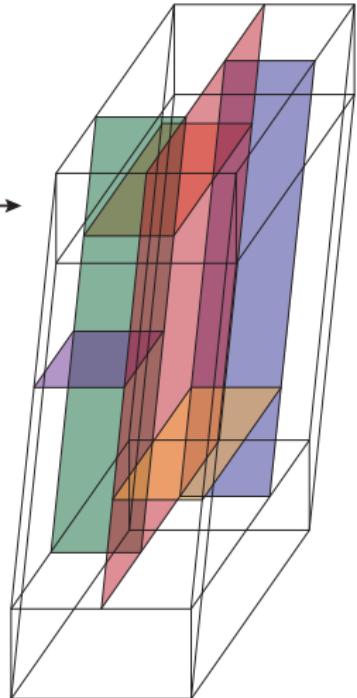
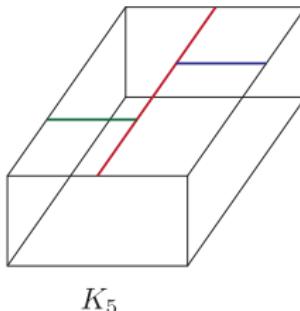
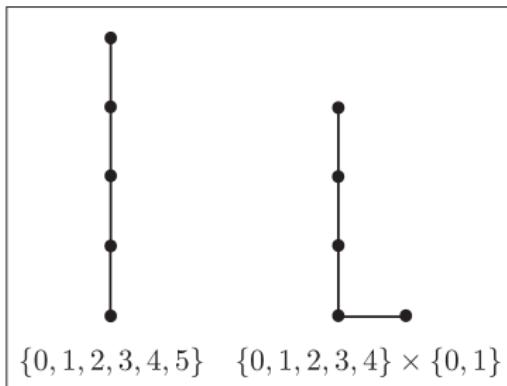
Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook



Realization of associahedra



Khovanov
homology,
monopoles,
and mutation

Jonathan M.
Bloom

Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

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Khovanov
homology,
monopoles,
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Background
Seiberg-Witten
Morse theory
Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Very recently, Szabó defined a combinatorial total differential D on the Khovanov complex of L over \mathbb{F}_2 . The total homology $H(L)$ is a link invariant, as are the higher pages E_i , as bigraded vector spaces. Calculations by Seed agree with my conjecture for the spectral sequence of torus knots $T(3, 6n \pm 1)$.

Exciting possibility

$$\text{rk } H(L) = \text{rk } \widehat{HF}(\Sigma(L)) \text{ for all links } L \in S^3.$$

- ① Rank of $H(L)$ may be an invariant of $\Sigma(L)$ either way.
- ② $H(L)$ is a very strong invariant of L , which decategorifies to a sequence of “higher” Jones polynomials.
- ③ May lead to new ways of computing Floer homology.

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Background
Seiberg-Witten
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Monopole
Heegaard
Khovanov

Theorems
Heegaard
Monopole
Instanton

Connections
Branched
double-covers
Mutation
Exact triangles
Families of
metrics
Polytopes

Outlook

Спасибо!

