

# The monopole category and invariants of bordered 3-manifolds

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# Overview

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# KHOVANOV'S ARC ALGEBRA

# Khovanov homology

$\text{LINKCOB}$  is the category of links in  $S^3$  and link cobordisms in  $S^3 \times [0, 1]$ .

Khovanov defines a functor  $\mathcal{F} : \text{LINKCOB} \rightarrow \text{VECT}$ .

$\mathcal{F}(L)$  is the reduced Khovanov homology of  $L$  with coefficients in  $\mathbb{F}_2$ .

Let  $V$  be a rank-2 vector space over  $\mathbb{F}_2$ . For the  $k$ -component unlink,

$$\mathcal{F}(\bigcirc \cdots \bigcirc) = \Lambda^{k-1} V$$

In particular,  $\mathcal{F}(\bigcirc) = \mathbb{F}_2$  and  $\mathcal{F}(\bigcirc \bigcirc) = V$ .

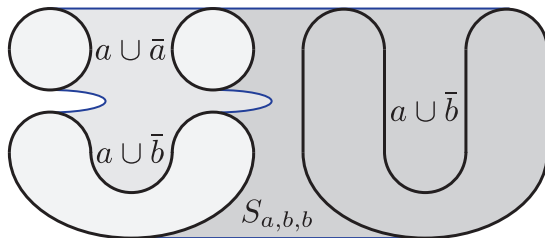
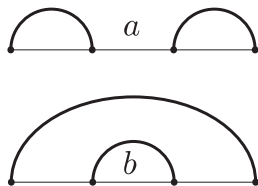
# Crossingless matchings

Let  $B^n$  be the set of crossingless matchings on  $2n$  points.

Let  $\bar{b}$  denote the reflection of  $b$  across the x-axis.

Then  $a \cup \bar{b}$  is a planar unlink and we have well-defined link cobordisms

$$S_{a,b,c} : (a \cup \bar{b}) \sqcup (b \cup \bar{c}) \rightarrow a \cup \bar{c}$$



# The arc algebra $H^n$

Khovanov defines the ring  $H^n = \bigoplus_{a,b \in B^n} \mathcal{F}(a \cup \bar{b})$ .

The product of  $x \in \mathcal{F}(a \cup \bar{b})$  and  $y \in \mathcal{F}(b \cup \bar{c})$  is given by the map

$$\mathcal{F}(S_{a,b,c}) : \mathcal{F}(a \cup \bar{b}) \otimes \mathcal{F}(b \cup \bar{c}) \rightarrow \mathcal{F}(a \cup \bar{c})$$

To a tangle  $T$  on  $2n$  points, he associates a right  $H^n$ -module

$$\mathcal{F}(T) = \bigoplus_{b \in B^n} \mathcal{F}(T \cup \bar{b}).$$

The action of  $H^n$  is defined as above using  $\mathcal{F}(S_{T,b,c})$ .

To a cobordism of tangles, he associates a module homomorphism.

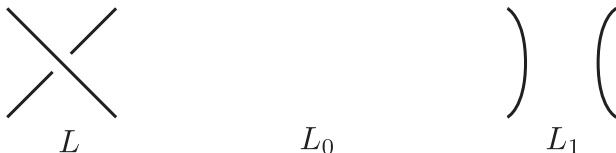
# Pairing theorem

These structures turn  $\mathcal{F}$  into a 2-functor from points, tangles, and tangle cobordisms to algebras, bimodules, and bimodule homomorphisms.

For example, there is a pairing theorem for tangles on  $2n$  points:

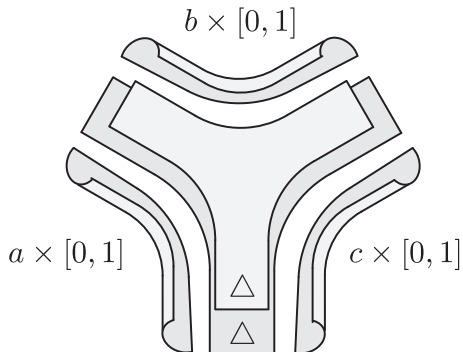
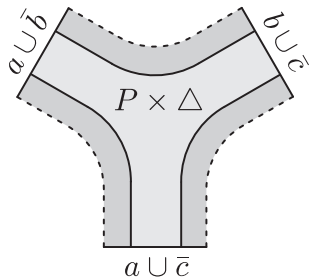
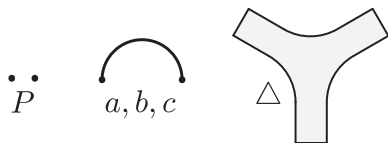
$$\mathcal{F}(T_0) \otimes_{H^n} \mathcal{F}(\bar{T}_1) \cong \mathcal{F}(T_0 \cup \bar{T}_1)$$

The proof uses the skein relation to reduce to the case where  $T_0$  and  $T_1$  are crossingless matchings, and from there to  $H^n \otimes_{H^n} H^n \cong H^n$ .



$$\mathcal{F}(L) = \text{cone}(\mathcal{F}(S) : \mathcal{F}(L_0) \rightarrow \mathcal{F}(L_1))$$

# Another view of $S_{a,b,c}$



$$\subset S^4 - 3D^4$$



# THE MONOPOLE CATEGORY

# The monopole category $\mathcal{C}(\Sigma)$

Let  $\Sigma$  be a smooth, connected, oriented surface of genus  $g$ .

A bordered 3-manifold over  $\Sigma$  is a smooth, connected, oriented 3-manifold  $Y$  together with an orientation-preserving diffeomorphism  $\varphi : \partial Y \rightarrow \Sigma$ .

We now define the **monopole category**  $\mathcal{C}(\Sigma)$  of  $\Sigma$ . an  $A_\infty$  category.

The objects of  $\mathcal{C}(\Sigma)$  are all bordered 3-manifolds over  $\Sigma$ .

$\text{Mor}(Y_0, Y_1)$  is given by the monopole Floer chain complex  $\hat{C}(Y_0 \cup_\Sigma \bar{Y}_1)$ .

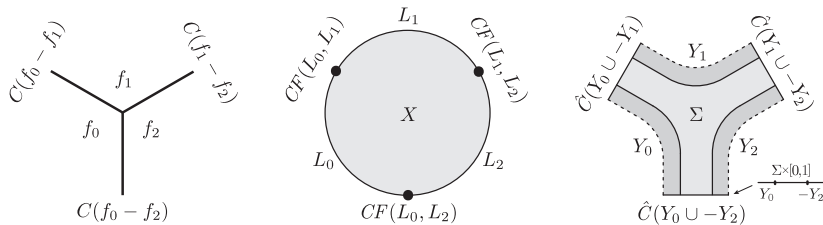
For each  $k > 0$  and sequence  $Y_0, \dots, Y_k$ , there is a multiplication map

$$\mu_k : \hat{C}(Y_0 \cup_\Sigma \bar{Y}_1) \otimes \cdots \otimes \hat{C}(Y_{k-1} \cup_\Sigma \bar{Y}_k) \rightarrow \hat{C}(Y_0 \cup_\Sigma \bar{Y}_k)$$

and these maps satisfy the  $A_\infty$  relations.

# Context

Our construction is modeled on the Morse category of a manifold, which is also the basis for the Fukaya category of a symplectic manifold.



Conjecture:  $\text{Fuk}(\text{Sym}^g(\Sigma))$  and  $\mathcal{C}(\Sigma)$  are  $A_\infty$  equivalent via a map sending the Lagrangian  $\mathbb{T}^\alpha \subset \text{Sym}^g(\Sigma)$  to the bordered handlebody  $(\Sigma, \alpha)$ .

This is a strengthening of  $\text{HF} \cong \text{HM}$ , itself an analogue of Atiyah-Floer.

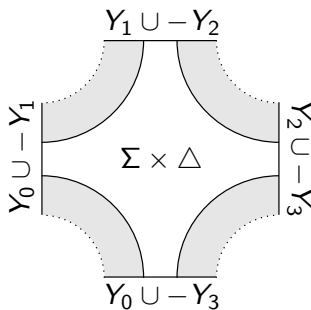
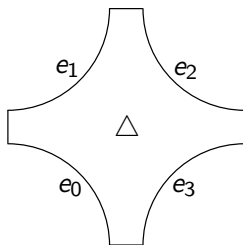
Lipshitz-Ozsváth-Thurston, Lekili-Perutz, Mau-Wehrheim-Woodward

# Multiplication maps $\mu_k$

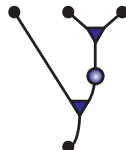
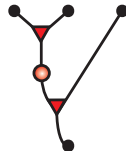
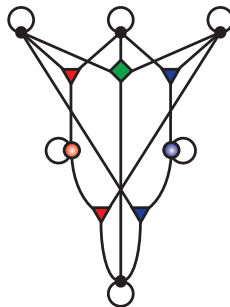
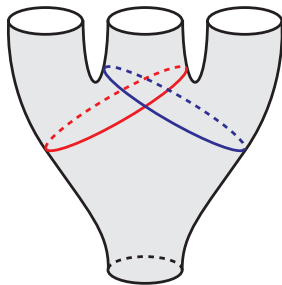
For objects  $Y_0, \dots, Y_k$ , the multiplication map

$$\mu_k : \hat{C}(Y_0 \cup_{\Sigma} \bar{Y}_1) \otimes \dots \otimes \hat{C}(Y_{k-1} \cup_{\Sigma} \bar{Y}_k) \rightarrow \hat{C}(Y_0 \cup_{\Sigma} \bar{Y}_k)$$

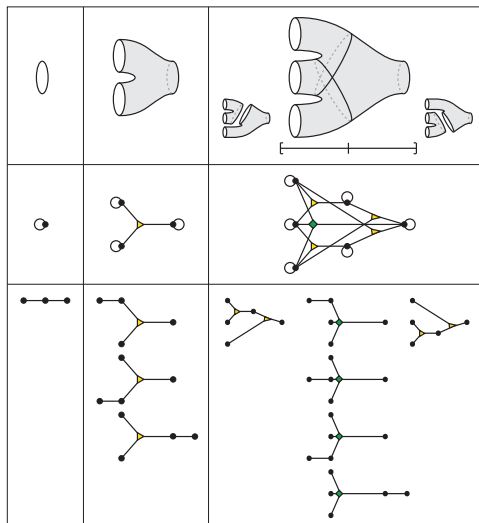
is defined by counting monopoles on a 4-dimensional cobordism  $W_{Y_0, \dots, Y_k}$  over a family of metrics and perturbations parameterized by the  $k-2$  dimensional associahedron (point, interval, pentagon, ...).



# Higraph model of $\mu_3$ .

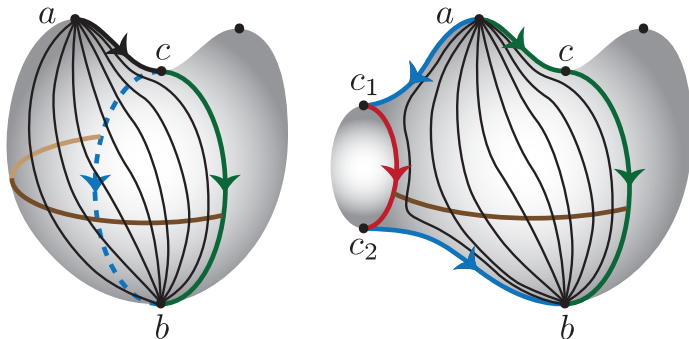


# The first three $A_\infty$ relations.



# Challenge: reducibles

Kronheimer and Mrowka model monopole Floer theory on Morse theory for a manifold with boundary.



[B] *The combinatorics of Morse homology with boundary.*

<http://arxiv.org/pdf/1212.6467v1.pdf>

## Challenge: coherent data

To define  $\mathcal{C}(\Sigma)$ , we must make many choices (diffeomorphisms, metrics, perturbations,...) such that the  $A_\infty$  relations hold on the nose. Parallel issues arise for the Fukaya category. In our case,  $\mathcal{C}(\Sigma)$  is also well-defined up to  $A_\infty$  equivalence, and we are working to make this 'natural'.

Our approach to coherence is novel and hinges on Smale's 1964 result that  $\text{Diff}(D^2, \partial D^2)$  is contractible. In fact, we need a diffeologically smooth version of this fact that first appeared in 2011.

Jiayong Li and Jordan Alan Watts. *The orientation-preserving diffeomorphism group of  $S^3$  deforms to  $SO(3)$  smoothly*. Transformation Groups, Springer, Vol. 16, No. 2, 2011, pp. 537-553.



# FINITE GENERATION

A **surgery triad** is a triple of 3-manifolds  $(Y, Y_0, Y_1)$  such that there is a framed knot  $K$  in  $Y$  such that  $Y_i$  is  $i$ -surgery on  $K$ .

Significance: Floer homology sends surgery triads to exact triangles.

$$\hat{C}(Y) \cong \text{cone} \left( \hat{m}(W) : \hat{C}(Y_0) \rightarrow \hat{C}(Y_1) \right)$$

Ex:  $(S^3, S^1 \times S^2, S^3)$  forms a surgery triad via surgery on the unknot.

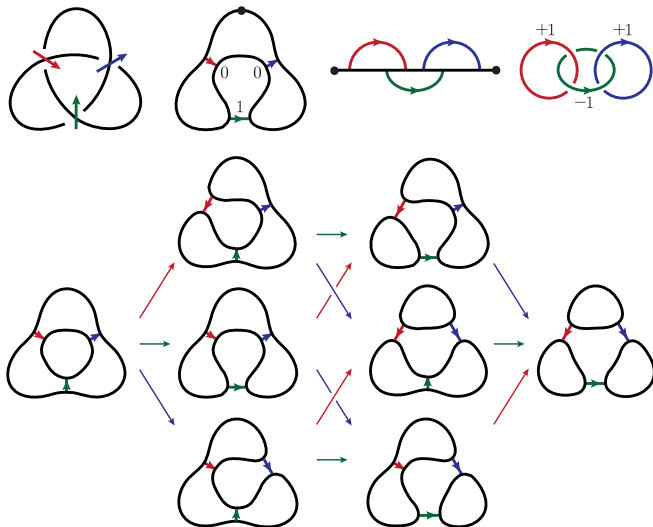
Ex:  $(\#^{k-1} S^1 \times S^2, \#^k S^1 \times S^2, \#^{k-1} S^1 \times S^2)$  is a surgery triad.

We say that the 3-manifolds  $\#^k S^1 \times S^2$  are generated by  $S^3$  through surgery triads.

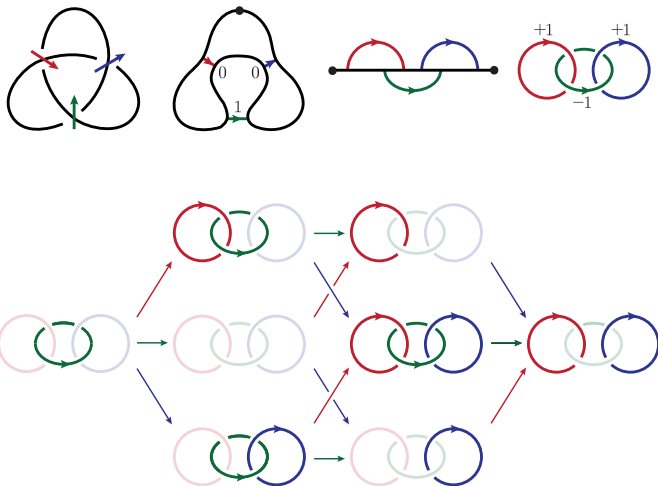
All branched-double covers are generated by  $S^3$  because the skein triangle corresponds to the surgery triad under branched double cover.

In fact, all closed 3-manifolds are generated by  $S^3$  through surgery triads.

# Skein triangle below



# Surgery triangle above



## Bordered manifolds

Bordered manifolds that arise as branched covers of  $T \subset D^3$  on  $2g + 2$  points are generated by branched covers of the  $c_g$  crossingless matchings.

With this motivation, we've shown that all bordered manifolds over  $\Sigma_g$  are generated by  $n_g$  bordered handlebodies:

$$n_1 = 1, \quad n_g = 1 + \sum_{i=1}^{g-1} n_i n_{g-i}$$

This also equals the binomial transform of the Catalan numbers  $c_g$ .

$$n_g = \sum_{k=0}^g \binom{g}{k} c_g$$

$$c_{g+1} = 1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, \dots$$

$$n_g = 1, 2, 5, 15, 51, 188, 731, 2950, 12235, \dots$$

Conjecture:  $n_g$  is minimal.

# Coincidence?

Khovanov's generators count vertices of the associahedron.  
 Our bordered generators count vertices of the composihedron.

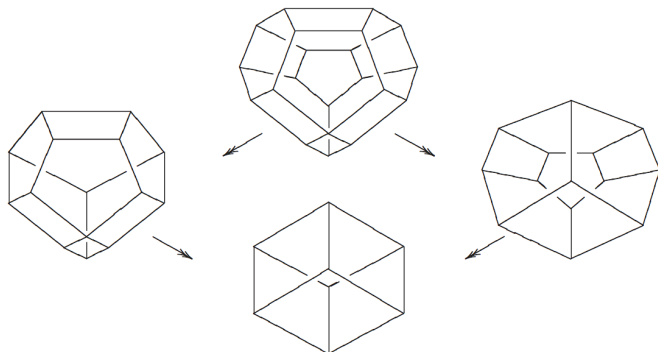


Figure 1: The cast of characters. Left to right:  $CK(4)$ ,  $\mathcal{J}(4)$ , 3-d cube, and  $\mathcal{K}(5)$ .

Stefan Forcey, *Quotients of the multiplihedron as categorified associahedra*, Homotopy, Homology and Applications, vol. 10(2), 227256, 2008.

# THE MONOPOLE ALGEBRA

# The monopole algebra

Now that we have a set  $\mathcal{H}(\Sigma) = \{H_\alpha\}$  of finitely many generators for  $\mathcal{C}(\Sigma)$ , we can equivalently work with  $A_\infty$  algebras and modules:

$$\mathcal{A}(\Sigma) = \bigoplus_{\alpha, \beta} \mathcal{F}(H_\alpha \cup_\Sigma \bar{H}_\beta) \quad \mathcal{C}(Y) = \bigoplus_{\beta} \mathcal{F}(Y \cup_\Sigma \bar{H}_\beta)$$

Here  $\mathcal{C}(Y)$  is an invariant of the bordered manifold  $Y$  up to quasi-isomorphism, and the pairing theorem holds by reduction to Khovanov's approach.

$\text{MCG}(\Sigma)$  acts on  $\mathcal{A}(\Sigma)$  and in fact yields a faithful linear-categorical action in every genus except possibly  $g = 2$  (here we rely on recent work of Corrin Clarkson and  $\text{HM} = \text{HF}$ ).

We can compute the homology algebra of  $\mathcal{A}(\Sigma)$  explicitly. It naturally contains a copy of the arc algebra  $H^{g+1}$ .



$$2+1+1$$

HM is a “2-functor” from the “2-category” of surfaces, cobordisms of surfaces, and cobordisms of cobordisms of surfaces to the “2-category” of  $A_\infty$  algebras, bimodules, and maps of bimodules.

A cobordism of surfaces with  $n$  boundary components yields an  $A_\infty$   $n$ -module.

Master version may have applications to computing 4-manifold invariants.

Topological approach goes through in other Floer theories and may give algebraic insight into equivalences.

(Optimistic) conjecture:  $HH(\mathcal{A}(\Sigma)C(Y)_{\mathcal{A}(\Sigma)}) \hookrightarrow \widehat{HM}(\cup_\Sigma Y_\Sigma)$