

# Deep mutational scanning of hemagglutinin helps distinguish the evolutionary fates of human H3N2 influenza virus lineages

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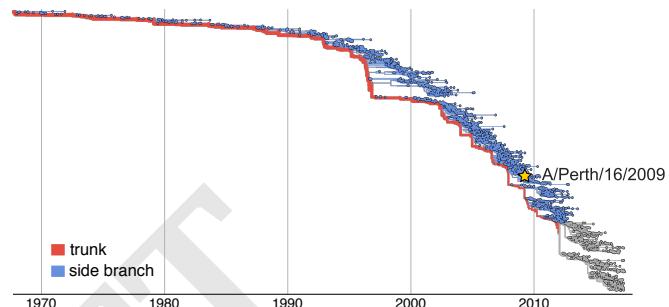
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Human influenza virus rapidly accumulates mutations in its major surface protein hemagglutinin (HA). The evolutionary success of influenza virus lineages depends on how these mutations affect HA's functionality and antigenicity. Here we experimentally measure the effects on viral growth in cell culture of all single amino-acid mutations to the HA from a recent human H3N2 influenza virus strain. We then show that mutations in evolutionarily successful H3N2 viral lineages are measured to generally be more favorable than mutations in lineages that die out. Therefore, despite the well-known caveats about cell-culture measurements of viral fitness, such measurements can still be informative for understanding virus evolution in nature. We also compare our measurements for an H3 HA to similar data previously generated for a distantly related H1 HA, and find substantial differences in which amino acids are preferred at many sites. For instance, the H3 HA has less disparity in mutational tolerance between the head and stalk domains than the H1 HA. Overall, our work suggests that experimental measurements of mutational effects can be leveraged to help forecast the evolutionary fates of viral lineages in nature — but only when the measurements are made on a viral strain similar to the ones being studied in nature.

influenza virus | hemagglutinin | deep mutational scanning | antigenic drift | epistasis

Seasonal H3N2 influenza virus evolves rapidly, fixing 3 to 4 amino-acid mutations per year in its hemagglutinin (HA) surface protein. Many of these mutations contribute to the rapid antigenic drift that necessitates frequent updates to the annual influenza vaccine (1, 2). This evolution is further characterized by strain competition and frequent population turnover (3–8), producing a spindly phylogenetic tree with a persistent trunk lineage and short-lived side branches (9) (Figure 1). Several lines of evidence indicate that the trunk lineage outcompetes other strains at least in part because it has higher fitness (4–7). A key challenge in the study of H3N2 evolution is to forecast which strain will dominate the upcoming influenza season, as this information can guide selection of a vaccine strain (10). In order to make these forecasts, it is important to identify the features that enable the trunk strain to persist as other strains die out.

Two main characteristics distinguish the evolutionarily successful trunk from its competitors: greater antigenic change, and efficient viral growth and transmission. In principle, experiments could be informative for identifying how mutations affect these features. Most work on influenza evolution to date has utilized experimental data primarily to assess the antigenicity of circulating strains (11–13). While the anti-



**Fig. 1.** Human H3N2 HA phylogeny from 1968–2017. The trunk is shown in red, and side branches are shown in blue. The gray branches represent the part of the tree for which we cannot yet distinguish the trunk from side branches. The Perth/2009 strain is indicated with a star.

genic features of a virus certainly contribute to its success, the non-antigenic effects of mutations also play an important role (4, 7, 14, 15). Specifically, due to influenza virus's high mutation rate (16–18) and lack of intra-segment recombination (19), deleterious mutations become linked to beneficial ones. The resulting accumulation of deleterious mutations can affect non-antigenic properties that are central to viral fitness (15). However, while extensive work has gone into characterizing how mutations affect HA antigenicity (20–25), we lack any large-scale quantitative characterization of how mutations to H3N2 HA affect non-antigenic properties crucial to viral fitness.

It is now possible to use deep mutational scanning (26) to

## Significance Statement

A key goal in the study of influenza virus evolution is to forecast which viral strains will persist and which ones will die out. Here we experimentally measure the effects of all amino-acid mutations to the hemagglutinin protein from a human H3N2 influenza strain on viral growth in cell culture. We show that these measurements have utility for distinguishing among viral strains that do and do not succeed in nature. Overall, our work suggest that new high-throughput experimental approaches may be useful for evolutionary forecasting.

Please provide details of author contributions here.

Please declare any conflict of interest here.

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measure the functional effects of large numbers of mutations to viral proteins (27–31). However, the only HA for which such large-scale measurements have previously been made is from the highly lab-adapted A/WSN/1933 (H1N1) strain (27–29). Here we measure the effects on viral growth in cell culture of all mutations to the HA from a recent human H3N2 strain, A/Perth/16/2009. We then examine whether these measurements are useful for distinguishing between H3N2 trunk and side branch lineages, and find that mutations on the trunk are measured to be more favorable than those on the side branches, both at epitope and non-epitope sites. However, the experiments are useful for assessing the effects of mutations only in closely related viral strains, since we show that mutations often have disparate effects on H3 and H1 HAs. Our work highlights the potential for using high-throughput experimental measurements of mutational effects to inform evolutionary forecasting of human seasonal influenza virus.

## Results

**Deep mutational scanning of HA from a recent strain of human H3N2 influenza virus.** We performed a deep mutational scan to measure the effects of all amino-acid mutations to HA from the A/Perth/16/2009 (H3N2) strain on viral growth in cell culture. This strain was the H3N2 component of the influenza vaccine from 2010–2012 (32, 33). Relative to the consensus sequence for this HA in Genbank, we used a variant with two mutations that enhanced viral growth in cell culture, G78D and T212I (Figure S1 and Dataset S1). The G78D mutation occurs at low frequency in natural H3N2 sequences, and T212 is a site where a mutation to Ala rose to fixation in human influenza in ~2011.

We mutagenized the entire HA coding sequence at the codon level to create mutant plasmid libraries harboring an average of ~1.4 codon mutations per clone (Figure S2). We then generated mutant virus libraries from the mutant plasmids using a helper-virus system that enables efficient generation of complex influenza virus libraries (29) (Figure 2A). These mutant viruses derived all their non-HA genes from the lab-adapted A/WSN/1933 strain. Using WSN/1933 for the non-HA genes reduces biosafety concerns, and also helped increase viral titers. To further increase viral titers, we used MDCK-SIAT1 cells that we had engineered to constitutively express the TMPRSS2 protease, which cleaves the HA precursor to activate it for membrane fusion (34, 35).

After generating the mutant virus libraries, we passaged them at low MOI in cell culture to create a genotype-phenotype link and select for functional HA variants (Figure 2A). All experiments were completed in full biological triplicate (Figure 2B). We also passaged and deep sequenced library 3 in duplicate (denoted as library 3-1 and 3-2) to gauge the experimental noise occurring *within* a single biological replicate. As a control to measure sequencing and mutational errors, we used the unmutated HA gene to generate and passage viruses carrying wildtype HA.

Deep sequencing of the initial plasmid mutant libraries and the passaged mutant viruses revealed selection for functional HA mutants. Specifically, stop codons were purged to 20–45% of their initial frequencies after correcting for error rates estimated by sequencing the wildtype controls (Figure 2C). The incomplete purging of stop codons is likely because genetic complementation due to co-infection (36) enabled the

persistence of some virions with nonfunctional HAs. We also observed selection against many nonsynonymous mutations (Figure 2C), with their frequencies falling to 30–40% of their initial values after error correction.

We next quantified the reproducibility of our deep mutational scanning measurements across biological and technical replicates. We first used the deep sequencing data for each replicate to estimate the preference of each site in HA for all 20 amino acids using the method described in (37). Because there are 567 residues in HA, there are  $567 \times 20 = 11,340$  estimated amino-acid preferences, corresponding to  $567 \times 19 = 10,773$  distinct measurements (the 20 preferences at each site are constrained to sum to one (37)). The correlations of the amino-acid preferences between pairs of replicates are shown in Figure 2D. The biological replicates are fairly well-correlated, with Pearson's *R* ranging from 0.69 to 0.78. Replicate 1 exhibited the weakest correlation with the other replicates; this replicate also showed the weakest selection against stop and nonsynonymous mutations (Figure 2C), perhaps indicating more experimental noise. The two technical replicates 3-1 and 3-2 were only slightly more correlated than pairs of biological replicates, suggesting that bottlenecking of library diversity after the reverse-genetics step contributes most of the experimental noise.

**Our measurements are consistent with existing knowledge about HA's evolution and function.** How do the HA amino-acid preferences measured in our experiments relate to the evolution of H3N2 influenza virus in nature? This question can be addressed by evaluating how well an experimentally informed codon substitution model (ExpCM) using our measurements describe H3N2 evolution compared to standard phylogenetic substitution models (38, 39). Table 1 shows that the ExpCM using the across-replicate average of our measurements greatly outperforms conventional substitution models. This result indicates that our experiments authentically capture some of the constraints on HA evolution. The relative rate of nonsynonymous to synonymous substitutions ( $dN/dS$  or  $\omega$ ) is  $\ll 1$  for conventional substitution models (Table 1). However, the relative rate of nonsynonymous to synonymous substitutions after accounting for the amino-acid constraints measured in our experiments (the  $\omega$  for the ExpCM) is close to one (Table 1), indicating that the deep mutational scanning captures much of the purifying selection on HA. ExpCM fit a stringency parameter that relates the selection in the experiments to that in nature (38, 39). The stringency parameter for our HA ExpCM is 2.44 (Table 1), indicating that natural selection favors the same amino acids as the experiments, but with greater stringency. Throughout the rest of this paper, we use experimental measurements re-scaled (38, 39) by this stringency parameter. These re-scaled preferences are shown in Figure 3.

A closer examination of Figure 3 reveals that the experimentally measured amino-acid preferences generally agree with existing knowledge about HA's structure and function. For instance, sites that form structurally important disulfide bridges (sites 52 & 277, 64 & 76, 97 & 139, 281 & 305, 14 & 137-HA2, 144-HA2 & 148-HA2) (42) possess high preference for cysteine. At residues involved in receptor binding, there are strong preferences for the amino acids that are known to be involved in binding sialic acid, such as Y98, D190, W153, and S228 (43–46). A positively charged amino acid at site 329



**Fig. 2. Deep mutational scanning of the Perth/2009 H3 HA.** (A) We generated mutant virus libraries using a helper-virus approach (29), and passed the libraries at low MOI to establish a genotype-phenotype linkage and to select for functional HA variants. Deep sequencing of the variants before and after selection allowed us to estimate each site's amino-acid preferences. (B) The experiments were performed in full biological triplicate. We also passedaged and deep sequenced library 3 in duplicate. (C) Frequencies of nonsynonymous, stop, and synonymous mutations in the mutant plasmid DNA, the passed mutant viruses, and wildtype DNA and virus controls. (D) The Pearson correlations among the amino-acid preferences estimated in each replicate.

**Table 1. Substitution models informed by the experiments describe H3N2's natural evolution better than traditional substitution models.**

Model	$\Delta AIC$	LnL	Stringency	$\omega$
ExpCM	0.0	-8439	2.44	0.91
GY94 M5	2166	-9516	–	0.36 (0.30, 0.84)
ExpCM, site avg.	2504	-9691	0.68	0.32
GY94 M0	2608	-9738	–	0.31

Maximum likelihood phylogenetic fit to an alignment of human H3N2 influenza HAs using ExpCM (39), ExpCM in which the experimental measurements are averaged across sites (site avg.), and the M0 and M5 versions of the Goldman-Yang (GY94) model (40). Models are compared by AIC (41) computed from the log likelihood (LnL) and number of model parameters. The  $\omega$  parameter is dN/dS for the Goldman-Yang models, and the relative dN/dS after accounting for the measurements for the ExpCM. For the M5 model, we give the mean followed by the shape and rate parameters of the gamma distribution over  $\omega$ .

is important for cleavage of the HA0 precursor into the mature form (47), and this site strongly prefers arginine. However, a notable exception occurs at the start codon at position -16, which does not show a strong preference for methionine. This codon is part of the signal peptide and is cleaved from the mature HA protein. One possible reason that our experiments do not show a strong preference for methionine at this site could be alternative translation-initiation at a downstream or upstream start site, as has been described for other HAs (48).

**There is less difference in mutational tolerance between the HA head and stalk domains for H3 than for H1.** Our experiments measure which amino acids are tolerated at each HA site under selection for protein function. We can therefore use our experimentally measured amino-acid preferences to calculate the inherent mutational tolerance of each site, which we quantify as the Shannon entropy of the re-scaled preferences. In prior mutational studies of the WSN/1933 H1 HA, the stalk domain was found to be substantially less mutationally tolerant than the globular head (27–29).

We performed a similar analysis using the current data for the Perth/2009 H3 HA. Surprisingly, there was much less contrast in mutational tolerance between the stalk and head domains for the H3 HA than for the H1 (Figure 4). For instance, in the H3 HA, the short helix A in the stalk is very mutationally tolerant. Interestingly, there are more reports of readily selecting escape mutants from broadly neutralizing anti-stalk antibodies in H3 (50–53) than in H1 (54–56) HAs.

We also see high mutational tolerance in many of the known antigenic regions of H3 HA (22). For instance, in recent H3N2 strains, antigenic region B is immunodominant, and most recent major antigenic drift mutations have occurred in this region (23, 24, 57). We find that the most distal portion of the globular head near the 190-helix, which is part of antigenic region B, is highly tolerant of mutations (Figure 4). Antigenic region C is also notably mutationally tolerant.

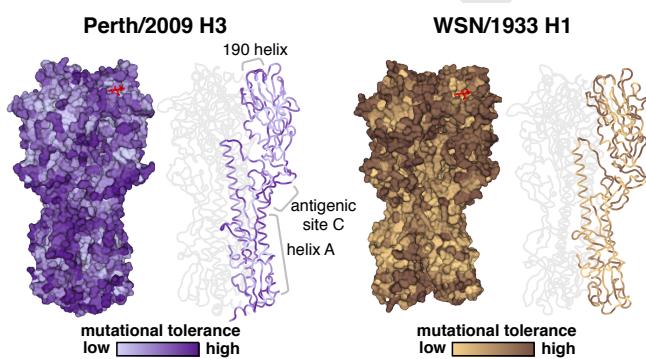
Many residues inside HA's receptor binding pocket are known to be highly functionally constrained (44, 58), and our data indicates that these sites are relatively mutationally intolerant in both H3 and H1 HAs. In contrast, the residues surrounding the receptor binding pocket are fairly mutationally tolerant, which may contribute to the rapidity of influenza's antigenic evolution, since mutations at these sites can have large effects on antigenicity (22, 23).

**The experimental measurements can help discriminate between successful and unsuccessful influenza virus lineages.** A major goal in the study of rapidly evolving viruses such as influenza is to forecast evolutionary trajectories (10, 61). For instance, forecasting which viral lineages will dominate the upcoming influenza season is an integral part of vaccine-strain selection (10, 61, 62). Evolutionary forecasts must ultimately distinguish between successful and unsuccessful viral lineages, which in the case of human influenza virus means distinguishing between the trunk and side branches of the phylogenetic tree (Figure 1).

To investigate whether our experiments can aid in distinguishing trunk and side branch lineages, we calculated the experimentally measured effects of all mutations in a maximum-likelihood reconstruction of the phylogeny in Figure 1. The



**Fig. 3.** The site-specific amino-acid preferences of the Perth/2009 HA measured in our experiments. The height of each letter is the preference for that amino acid, after taking the average over experimental replicates and re-scaling (39) by the stringency parameter in Table 1. The sites are in H3 numbering. The top overlay bar indicates whether or not a site is in the set of epitope residues delineated in (49). The bottom overlay bar indicates the HA domain (sig. pep. = signal peptide, HA1 ecto. = HA1 ectodomain, HA2 ecto. = HA2 ectodomain, TM = transmembrane domain, cyto. tail. = cytoplasmic tail). The letters directly above each logo stack indicate the wildtype amino acid at that site.



**Fig. 4.** Mutational tolerance of each site in H3 and H1 HAs. Mutational tolerance as measured in the current study is mapped onto the structure of the H3 trimer (PDB 4O5N; (59)). Mutational tolerance of the WSN/1933 H1 HA as measured in (29) is mapped onto the structure of the H1 trimer (PDB 1RVX; (60)). Different color scales are used because measurements are comparable among sites within the same HA, but not necessarily across HAs. Both trimers are shown in approximately the same orientation. For each HA, the structure at left shows a surface representation of the full trimer, while the structure at right side shows a ribbon representation of just one monomer. The sialic acid receptor is shown in red sticks.

mutations that occurred on the trunk of the tree were consistently more beneficial for viral growth according to our experimental measurements (Figure 5A). This difference in the effects of mutations between the trunk and side branch lineages was statistically significant when taken across the entire phylogeny (Figure 5B). Some influenza sequences are derived from egg- or cell-passaged isolates that contain lab-adaptation mutations (63). These mutations mostly occur on the terminal side branches that lead to tip nodes on the phylogenetic tree. We therefore re-calculated the statistics separating side branches to tip and internal nodes, and again found that the difference between the trunk and both sets of side branches was statistically significant (Figure 5B). Therefore, strains with mutations that we experimentally measure to be favorable for viral growth tend to do better in nature than strains with mutations that we measure to be less favorable.

Our experiments were performed on the Perth/2009 HA, but we are scoring mutations on a phylogenetic tree that extends from 1968 to 2017. Because the effects of mutations can vary with genetic background (64–68), it is possible that the effects of mutations that we measured in the Perth/2009 HA might have shifted somewhat in other related HAs. To

explore this question, we scored the complete HA sequence  $\mathbf{s}$  of every node in the phylogenetic tree by quantifying its average per-site sequence preference as

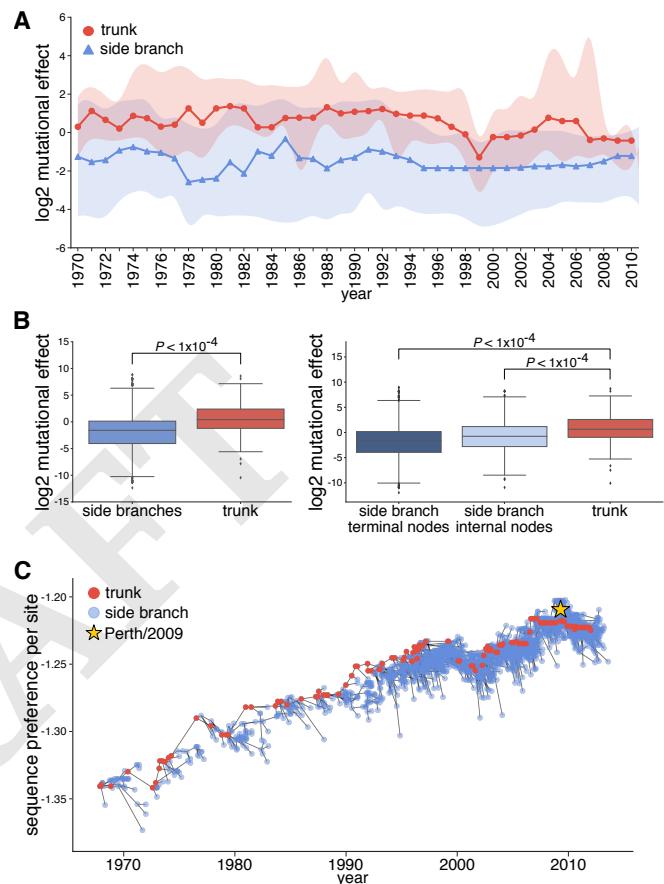
$$F(\mathbf{s}) = \frac{1}{L} \sum_{r=1}^L \ln \pi_{r,s_r}, \quad [1]$$

where  $\pi_{r,s_r}$  is the preference measured in our experiments (e.g., Figure 3) for the amino acid  $s_r$  at site  $r$  in HA sequence  $\mathbf{s}$ , and  $L$  is the length of the sequence. Figure 5C shows that the sequences of trunk nodes tend to have higher preference than those of side branch nodes across the entire timespan, consistent with the finding that trunk mutations are generally more favorable than side branch mutations. However, the average per-site sequence preference increases as the nodes approach the Perth/2009 strain. The Perth/2009 itself has among the highest per-site sequence preference of the entire tree, despite falling on a side branch. We do not think that this result means that Perth/2009 is actually higher fitness than the other strains. Rather, if there are modest shifts in the effects of mutations as HA evolves, then sequences more distant from Perth/2009 could be scored as having lower preference simply because these shifts start to degrade the accuracy of our measurements. But importantly, our experiments consistently show the trunk to be more favorable than the side branches regardless of whether we look at sequences that precede Perth/2009 (most of Figure 5C) or sequences that occur after Perth/2009 has diverged from the trunk (Figure S4). Therefore, our experimental measurements consistently reveal the selective advantage of the trunk over more than a half-century of viral evolution.

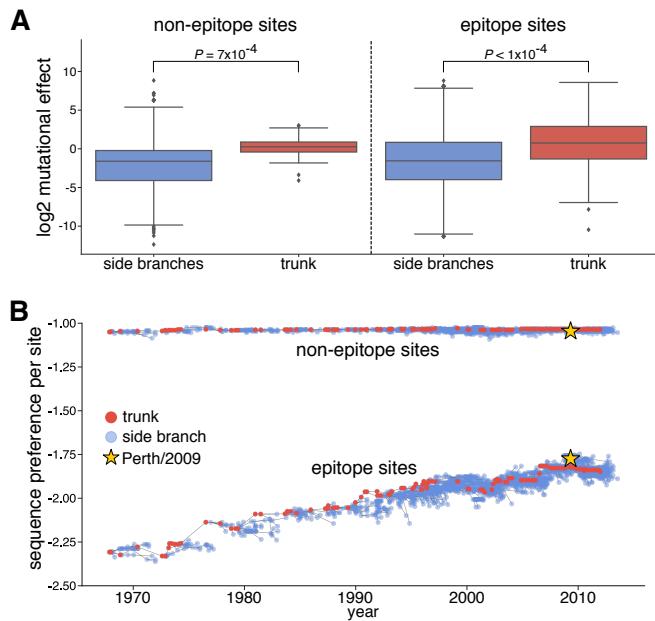
**Our experiments suggest different patterns of evolution at epitope and non-epitope sites.** HA is under selection both to maintain its essential function in viral growth and to escape pre-existing immunity. Most immune selection is focused on a subset of so-called “epitope sites” that are targets of the immunodominant antibody response. Although both epitope and non-epitope sites evolve rapidly, immune selection drives a higher rate of evolution at epitope sites (2, 9, 49). There are various classifications of HA epitope sites; here we will use the classification of Wolf *et al* (49), which defines 129 epitope sites among the 567 residues in HA. In the timeframe from 1968 to 2012, the trunk of the tree in Figure 1 fixed 0.84 epitope mutations per site, but only 0.07 non-epitope mutations per site. Since our experiments only measure how mutations affect viral growth, they might be expected to describe the evolution of epitope and non-epitope sites differently.

Mutations that occur on the trunk are scored as significantly more favorable in our experiments than side-branch mutations at both epitope and non-epitope sites (Figure 6A). Therefore, our experiments can distinguish evolutionarily favorable mutations both at sites that are predominantly under functional constraint and at sites that are also under immune pressure. The fact that our experiments can discriminate between the trunk and side branches at epitope sites despite only assaying for viral growth underscores the fact that most epitope sites are still important for HA function (23, 69, 70).

However, there are differences in how the average per-site sequence preference changes over time at the epitope and non-epitope sites (Figure 6B). The per-site sequence preference at epitope sites increases over time, whereas at non-epitope sites

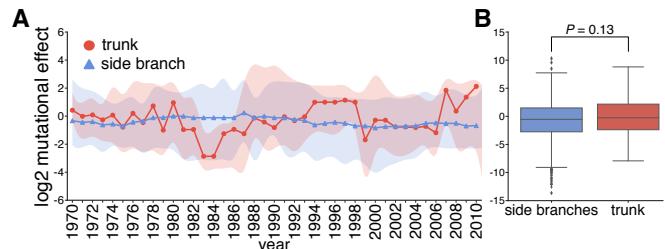


**Fig. 5. Mutations on the trunk are more favorable than those on the side branches according to our experimental measurements.** (A) We used our experiments to calculate the  $\log_2$  mutational effect for all trunk and side branch mutations in five-year windows from 1968 to 2013. The central year in each window is denoted on the x-axis. Median mutational effects in each window are shown as circles for the trunk and triangles for side branches. Shaded regions demarcate the interquartile range. Negative numbers signify mutations to less preferred amino acids, while positive numbers signify mutations to more preferred mutations. (B) The  $\log_2$  mutational effect for all side branch and trunk mutations (left panel), and the same data but separating side branches to terminal and internal nodes (right panel).  $P$ -values were computed by randomizing the experimental measurements among sites 10,000 times, and determining how often the difference in median mutational effect between the trunk and side branches exceeded the actual value. (C) The average per-site sequence preference of every node in the phylogenetic tree in Fig. 1. Trunk nodes are in red, side branch nodes in blue, and Perth/2009 is marked with a yellow star. More preferred sequences have larger values.



it remains relatively constant (Figure 6B and Figure S5). In addition, the per-site sequence preference is consistently higher at non-epitope than epitope sites, perhaps because immune pressure sometimes drives the fixation of functionally less favorable mutations at epitope sites. The fact that only epitope sites exhibit an increase in sequence preference over time can also be rationalized in terms of prior knowledge about protein evolution. As proteins evolve, there can be epistatic shifts in the effects of mutations (64–68, 71). Such epistasis has been experimentally demonstrated for HA (70, 72), including at some epitope sites in H3 HA (73). It is also known that immune pressure can increase the amount of epistasis among substitutions by selecting for combinations of functionally deleterious immune-escape mutations and counterbalancing secondary mutations (74). We hypothesize that immune pressure increases the accumulation of epistatic interactions involving substitutions at epitope sites. Over time, these epistatic interactions could manifest as shifts in the effects of mutations, leading to an apparent increase in per-site sequence preference over time when mutational effects are measured in the Perth/2009 HA (Figure 6B and Figure S5). Such a trend is not apparent for non-epitope sites, probably because they are under less selection to fix adaptive mutations.

**There are large differences between H3 and H1 HAs in the amino-acid preferences of many sites.** The results above show that measurements of mutational effects in the Perth/2009 HA are informative about the evolutionary fate of other human H3N2 HAs. How broadly can such measurements be generalized across HAs? To address this question, we repeated the trunk versus side-branch analyses using amino-acid preferences



from our prior deep mutational scanning of the WSN/1933 H1 HA, which is highly diverged from the Perth/2009 H3 HA. Figure 7 shows that the H1 measurements are not informative for distinguishing the trunk and side branches of an H3 phylogeny. This fact indicates that the utility of an experiment for discriminating successful and unsuccessful strains degrades over sufficiently long evolutionary distances.

An obvious hypothesis for why the H1 deep mutational scanning is not informative about the evolutionary fate of H3 strains is that the effect of the same mutation will often be very different between these two HA subtypes. To determine if this is the case, we can examine how much the amino-acid preferences of homologous sites have shifted between H3 and H1 HAs. Prior experiments have found only modest shifts in amino-acid preferences between two variants of influenza nucleoprotein with 94% amino-acid identity (75) and variants of HIV envelope (Env) with 86% amino-acid identity (71). However, the H1 and H3 HAs are far more diverged, with only 42% amino-acid identity (Figure 8A). One simple way to investigate the extent of shifts in amino-acid preferences is to correlate measurements from independent deep mutational scanning replicates on H1 and H3 HAs. Figure 8B shows that replicate measurements on the same HA variant are more correlated than those on different HA variants.

To more rigorously quantify shifts in amino-acid preferences after correcting for experimental noise, we used the statistical approach in (71, 75). Figure 8C shows the distribution of shifts in amino-acid preferences between H3 and H1 HAs after correcting for experimental noise. Although some sites have small shifts near zero, many sites have large shifts. These shifts between H3 and H1 are much larger than expected from the null distribution that would be observed purely from experimental noise. They are also much larger than the shifts previously observed between two HIV Envs with 86% amino-acid identity (71). However, the shifts are still smaller than those observed when comparing HA to the non-homologous HIV Env protein. Therefore, there are very substantial shifts in mutational effects between highly diverged HA homologs, although the effects of mutations remain more similar than for non-homologous proteins.

**Properties associated with the shifts in amino-acid preferences between H3 and H1 HAs.** What features distinguish the sites with shifted amino-acid preferences between H3 and H1 HAs? The sites of large shifts do not obviously localize to one specific region of HA's structure (Figure 9A). However, at the domain level, sites in HA's stalk tend to have smaller shifts



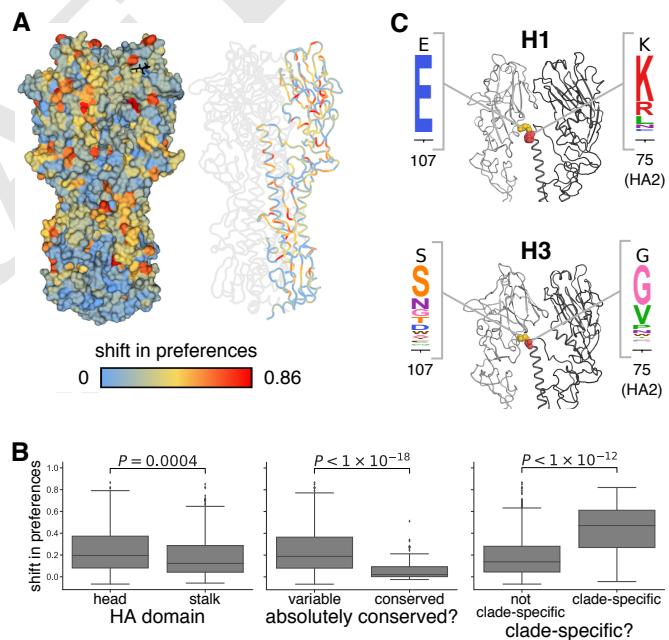
**Fig. 8.** There are substantial differences in the effects of mutations between H1 and H3 HAs. (A) Phylogenetic tree of HA subtypes, with the WSN/1933 H1 and Perth/2009 H3 HAs labeled. These HAs have 42% amino-acid identity. (B) All pairwise correlations of the amino-acid preferences measured in the three individual deep mutational scanning replicates in the current study and the three replicates in prior deep mutational scanning of an H1 HA (29). Comparisons between H3 replicates are in purple, those between H1 replicates are in brown, and those across H1 and H3 replicates are in gray.  $R$  indicates the Pearson correlation coefficient. (C) We calculated the shift in amino-acid preferences at each site between H3 and H1 HAs using the method in (71), and plotted the distribution of shifts for all sites. The shifts between H3 and H1 (yellow) are much larger than the null distribution (blue) expected if all differences are due to experimental noise. The shifts are also much larger than those previously observed between two variants of HIV envelope protein (Env) that share 86% amino-acid identity (pink). However, the shifts between H3 and H1 are still less than the differences between either HA and HIV Env (green).

than sites in HA's globular head (Figure 9B). The HA stalk domain is also more conserved in sequence (76–78), suggesting that conservation of amino-acid sequence tends to be correlated with conservation of amino-acid preferences. Consistent with this idea, sites that are absolutely conserved across all 18 HA subtypes are significantly less shifted than sites that are variable across HA subtypes (Figure 9B). Presumably these sites are under consistent functional constraint across all HAs.

Despite their high sequence divergence, H1 and H3 adopt very similar protein folds (79, 80). However, there are differences in the rotation and upward translation of the globular head subdomains relative to the central stalk domain among different HA subtypes (79, 80). Previous work has defined clades of structurally related HA subtypes (79, 80). One such clade includes H1, H2, H5, and H6, whereas another clade includes H3, H4, and H14 HAs (Figure 8). Sites that are conserved at different amino-acid identities in these two clades tend to have exceptionally large shifts in amino acid preferences (Figure 9B). The clade containing H1 has an upward shift of the globular head relative to the clade containing H3. This structural shift has been attributed largely to the interaction between sites 107 and 75(HA2) (79, 80). Specifically, the clade containing H1 has a taller turn in the interhelical loop connecting helix A and helix B in the stalk domain, and this tall turn is stabilized by a hydrogen bond between Glu-107 and Lys-75(HA2) (Figure 9C). In deep mutational scanning of the H1 HA, site 107 has a high preference for Glu and 75(HA2) strongly prefers positively charged Lys and Arg. In contrast, the interhelical loop in H3 HA makes a sharper and shorter turn which is facilitated by a Gly at 75(HA2). In the deep mutational scanning of the Perth/2009 H3 HA, site 75(HA2) prefers Gly and to a lesser extent Val, while site 107 is fairly tolerant of mutations. Therefore, some of the shifts in HA amino-acid preferences can be directly rationalized in terms of changes in HA structure.

## Discussion

We have measured the effect of all possible single amino-acid mutations to the Perth/2009 H3 HA on viral growth in cell



**Fig. 9.** Sites with strongly shifted amino-acid preferences between H3 and H1 HAs. (A) The shift in amino-acid preferences between the H3 and H1 HA at each site as calculated in Figure 8C is mapped onto the structure of the H3 HA. (B) Amino-acid preferences of sites in the stalk domain are less shifted than those in the head domain. Sites absolutely conserved in all 18 HA subtypes are less shifted than other sites. Sites with one amino-acid identity in the clade containing H1, H2, H5, and H6 and another identity in the clade containing H3, H4, and H14 are more shifted than other sites. (C) Sites 107 and 75(HA2) help determine the different orientation of the globular head domain in H1 versus H3 HAs. These sites are shown in spheres on the structure of H1 and H3 and colored as in panel (A), and the experimentally measured amino-acid preferences in the H1 and H3 HAs are shown. One monomer is in dark gray, while the HA1 domain of the neighboring monomer is in lighter gray.

culture, and demonstrated that these measurements are informative for distinguishing the evolutionary fate of human H3N2 viral strains in nature. Specifically, HA sequences on the trunk of the phylogenetic tree tend to have mutations that our experiments measure to be more beneficial for viral growth than mutations that occur in side-branch HA sequences. The fact that our experiments can help distinguish between trunk and side-branch lineages suggests that they might inform evolutionary forecasting. In their landmark paper introducing predictive viral fitness models that accounted for both antigenic and non-antigenic mutations, Łuksza and Lässig (15) noted that the models could in principle be improved by integrating “diverse genotypic and phenotypic data” that more realistically represented the effects of specific mutations. Our work suggests that deep mutational scanning can provide such data.

It is important to emphasize that measurements of viral growth in cell culture do *not* represent true fitness in nature. Indeed, a vast amount of work in virology has chronicled the many ways in which experiments can select for lab artifacts or fail to capture important pressures that are relevant in nature (81–84). Mutations in viral genes other than HA are also certainly important in determining strain success (85, 86). Given these caveats, it might seem surprising that simply measuring viral growth in cell culture can be informative about the success of viral strains in nature. Yet, prior to our work, there were no comprehensive studies of the functional effects of mutations to H3 HA on any property that even resembled viral fitness in nature, and modeling work has either omitted the non-antigenic effects of mutations (11–13) or simply assumed that all non-epitope mutations had equivalent deleterious effects (15). The strength of our measurements are not that they perfectly capture fitness in nature, but that they are systematic and quantitative — and represent a vast improvement over no information at all. That this is true is demonstrated by the fact that these measurements have utility for distinguishing successful and unsuccessful viral lineages over ~50 years of H3N2 evolution. We suspect that performing the experiments using more realistic and complex selections (e.g., ferrets or primary human airway cultures) might further improve their utility.

We measured the effects of all single amino-acid mutations to a specific HA, and then generalized these measurements to other H3N2 HAs from a 50-year timespan. These generalizations will only be valid to the extent that the effects of mutations are conserved during HA’s evolution. Extensive work on protein evolution has shown that epistasis can shift the effects of mutations (64–68, 71), and the extent to which this occurs is an important question in its own right. The fact that our measurements score trunk mutations more favorably than side branch ones across a 50-year timespan suggests that the mutational effects have not shifted too dramatically among these relatively closely related sequences. On the other hand, when we compared our measurements for an H3 HA to prior measurements on H1 HA, we found substantial shifts at many sites — much greater than those observed in prior protein-wide comparisons of more closely related homologs (71, 75). Further investigation of how mutational effects shift as proteins diverge will be important for determining how broadly any given experiment can be generalized when attempting to make evolutionary forecasts.

Our work did not characterize the antigenic effects of mutations, which also play an important role in determining strain success in nature (13, 23). Indeed, some of our results (*e.g.*, Figure 6) suggest as much by showing that the experiments better capture selection at non-epitope than epitope sites. However, our basic selection and deep-sequencing approach can be harnessed to completely map how mutations affect antibody recognition (55, 87). But so far, experiments using this approach have either failed to examine antibodies or sera that are relevant to driving the evolution of H3N2 influenza (55, 87), or have used relevant sera but examined a non-comprehensive set of mutations (25). Future experiments that completely map how HA mutations affect recognition by human sera seem likely to be especially fruitful for informing viral forecasting.

## Materials and Methods

**Data and computer code.** Deep sequencing data are available from the Sequence Read Archive under BioSample accessions SAMN08102609 and SAMN08102610. Computer code used to analyze the data and produce the results in the paper are in [[link to repository](#)].

**HA numbering.** Sites are in H3 numbering, with the signal peptide in negative numbers, HA1 in plain numbers, and HA2 denoted with "(HA2)". Sequential 1, 2, ... numbering of the Perth/2009 HA can be converted to H3 numbering by subtracting 16 for the HA1 subunit, and subtracting 345 for the HA2 subunit.

**Creation of MDCK-SIAT1-TMPRSS2 cells.** When growing influenza virus in cell culture, trypsin is normally added in order to cleave HA into its mature form. To obviate the need for trypsin, we engineered an MDCK-SIAT1 cell line to constitutively express the TMPRSS2 protease, which cleaves and activates HA in the human airways (34, 35). The human TMPRSS2 cDNA ORF was ordered from OriGene (NM\_005656) and cloned into a pHAGE2 lentiviral vector under an EF1α-Int promoter followed by an IRES driving expression of mCherry to create plasmid pHAGE2-EF1aInt-TMPRSS2-IRES-mCherry-W. We used the lentiviral vector to transduce MDCK-SIAT1 cells, and sorted an intermediate mCherry population by flow cytometry. We refer to the sorted bulk population as MDCK-SIAT1-TMPRSS2 cells. There is no selectable marker for the TMPRSS2; however, we maintain the cells at low passage number, and have seen no indication that they lose their ability to support the growth of viruses with H3 HAs in the absence of exogenous trypsin.

**Generation of HA codon mutant plasmid libraries.** The HA and NA genes for the Perth/2009 viral strain were reverse-transcribed and amplified from recombinant virus obtained from BEI Resources (NR-41803), and cloned into the pHW2000 (88) influenza reverse-genetics plasmids to create pHW-Perth09-HA and pHW-Perth09-NA.

We initially created a virus with the HA and NA from Perth/2009 and internal genes from WSN/1933, and passaged it in cell culture to test its genetic stability. To generate this virus, we transfected a co-culture of 293T and MDCK-SIAT1-TMPRSS2 in D10 media (DMEM supplemented with 10% heat-inactivated FBS, 2 mM L-glutamine, 100 U of penicillin/mL, and 100 µg of streptomycin/mL) with equal amounts of pHW-Perth09-HA, pHW-Perth09-NA, the pHW18\* series of plasmids (88) for all non-HA/NA viral genes, and pHAGE2-EF1aInt-TMPRSS2-IRES-mCherry-W. The next day we changed the media to influenza growth media (abbreviated IGM, consisting of Opti-MEM supplemented with 0.01% heat-inactivated FBS, 0.3% BSA, 100 U of penicillin/mL, 100 µg of streptomycin/mL, and 100 µg of calcium chloride/mL — no trypsin was added since there was TMPRSS2), and then we collected the viral supernatant at 72 hours post-transfection. This viral supernatant was then blind passaged in MDCK-SIAT1-TMPRSS2 a total of six additional times. We then isolated viral RNA from these passaged viruses and sequenced the HA gene. The passaged HA had two mutations,

G78D and T212I, which we found enhanced viral growth as shown in Figure S1. The HA with these two mutations was cloned into pHW2000 (88) and pICR2 (89) to create pHW-Perth09-HA-G78D-T212I and pICR2-Perth09-HA-G78D-T212I. For all subsequent experiments, we used viruses with the HA containing these two mutations in order to improve titers and viral genetic stability, and this is the HA that we refer to as Perth/2009 in the manuscript text. We also used all non-HA genes (including NA) from WSN/1933 to help increase titers and reduce biosafety concerns.

The codon-mutant libraries were generated in the Perth/2009 HA-G78D-T212I background using the PCR-based approach described in (90) with the primer melting-temperature modifications described in (91), using two rounds of mutagenesis. The script to design the mutagenesis primers is at <https://github.com/jbloomlab/CodonTilingPrimers>. We created three independent libraries, one for each biological replicate. The mutant variants were then cloned at high efficiency into the pICR2 vector using digestion with BsmBI, ligation with T4 DNA ligase, and electroporation into ElectroMAX DH10B competent cells (Invitrogen 18290015). We obtained >6 million transformants for each replicate. We scraped the plates, expanded the cultures in liquid LB + ampicillin at 37°C for 3 h with shaking, and then maxiprepped. We randomly chose 31 clones to Sanger sequence to evaluate the mutation rate (Figure S2).

**Generation and passaging of mutant viruses.** The mutant virus libraries were generated using the helper-virus approach described in (29) with several modifications, most notably the cell line used. Briefly, we transfected  $5 \times 10^5$  MDCK-SIAT1-TMPRSS2 cells in suspension with 937.5 ng each of four protein expression plasmids encoding the ribonucleoprotein complex (HDM-Nan95-PA, HDM-Nan95-PB1, HDM-Nan95-PB2, and HDM-Aichi68-NP) (64), and 1250 ng of one of the three pICR2-mutant-HA libraries (or the wild-type control) using Lipofectamine 3000 (ThermoFisher L3000008). We allowed the transfected cells to adhere in 6-well plates and four hours later changed the media to D10 media. Eighteen hours after transfection, we infected the cells with the WSN/1933 HA-deficient helper virus (29) by preparing an inoculum of 500 TCID<sub>50</sub> per  $\mu\text{L}$  of helper virus (as computed on HA-expressing cells) in IGM, aspirating the D10 media from the cells, and adding 2 mL of the helper-virus inoculum to each well. After three hours, we changed the media to fresh IGM. At 24 hours after helper-virus infection, we harvested the viral supernatants for each replicate, froze aliquots at -80°C, and titered in MDCK-SIAT1-TMPRSS2 cells. The titers were 92, 536, 536, and 734 TCID<sub>50</sub> per  $\mu\text{L}$  for the three library replicates and the wildtype control, respectively.

We passaged  $9 \times 10^5$  TCID<sub>50</sub> of the transfection supernatants at an MOI of 0.0035 TCID<sub>50</sub> per cell. To do this, we plated  $4.6 \times 10^6$  MDCK-SIAT1-TMPRSS2 cells per dish in 15-cm dishes in D10 media, and allowed the cells to grow for 24 hours, at which time they had reached a density of  $\sim 1.7 \times 10^7$  cells per dish. We then replaced the media in each dish with 25 mL of an inoculum of 2.5 TCID<sub>50</sub> of virus per  $\mu\text{L}$ . We collected viral supernatant for sequencing 48 hours post-infection.

**Barcoded subamplicon sequencing.** To extract viral RNA from the three replicate HA virus libraries and the wildtype HA virus, we ultracentrifuged 24 mL of the supernatant at 22,000 rpm for 1.5 h at 4°C in a Beckman Coulter SW28 rotor, and extracted RNA using the Qiagen RNeasy Mini Kit by resuspending the viral pellet in 400  $\mu\text{L}$  of buffer RLT supplemented with  $\beta$ -mercaptoethanol, pipetting 30 times, transferring the liquid to a microcentrifuge tube, adding 600  $\mu\text{L}$  70% ethanol, and proceeding with the extraction according to the manufacturer's instructions. The HA gene was then reverse-transcribed with AccuScript Reverse Transcriptase (Agilent 200820) using the primers P09-HA-For (5'-AGCAAAAGCAGGGGATAATTCTATTAATC-3') and P09-HA-Rev (5'-AGTAGAAACAAGGGTGTAAAAATTACTAATACAC-3').

We generated the HA PCR amplicons for the three plasmid libraries, the three virus libraries, the wildtype plasmid control, and the wildtype virus control using KOD Hot Start Master Mix (EMD Millipore 71842) using the PCR reaction mixture and cycling conditions described in (90) and the P09-HA-For and P09-HA-Rev primers. We prepared the sequencing libraries using a barcoded-subamplicon strategy (28) to increase the accuracy from

deep sequencing. The exact details of this approach are described in (29) (also see [https://jbloomlab.github.io/dms\\_tools2/bcsubamp.html](https://jbloomlab.github.io/dms_tools2/bcsubamp.html)). The primers used to generate the subamplicons are in Dataset S2. We performed deep sequencing on a lane of an Illumina HiSeq 2500 using  $2 \times 250$  bp paired-end reads in rapid-run mode.

**Analysis of deep sequencing data.** We used the dms\_tools2 software package (37) ([https://github.com/jbloomlab/dms\\_tools2](https://github.com/jbloomlab/dms_tools2), version 2.2.0) to analyze the deep sequencing data. The algorithm used to estimate the site-specific amino-acid preferences from the deep sequencing counts is described in (37). The amino-acid preferences for each replicate and for the re-scaled, across-replicate average are provided in Dataset S3. Computer code that performs the entirety of this analysis is available at [add this]

**Phylogenetic model comparison and fitting of a stringency parameter.** For the analysis in Table 1, we downloaded all full-length H3 HA sequences from the Influenza Virus Resource (92), and randomly subsampled two sequences per year. These sequences were aligned using MAFFT (93) and used to infer a phylogenetic tree using RAxML (94) with a GTRCAT model of nucleotide substitution. We then used phydms (39) (<https://github.com/jbloomlab/phydms>, version 2.2.0) to fit the substitution models listed in Table 1. The amino-acid preferences were re-scaled by the stringency parameter using the approach described in (39).

The phylogenetic tree of HA subtypes in Figure 8A was generated as described in (55).

**Inference of human H3N2 phylogenetic tree.** [John to add methods here. We downloaded X sequences from the Influenza Virus Resource ?.... etc. subsampled how? inferred the tree, ancestral state reconstruction, visualized the tree...] To parse trunk mutations from side branch mutations, we defined a set of recent nodes sampled on or after Jan-1-2017, and traced these nodes back to their most recent common ancestor. We defined all branches ancestral to this most recent common ancestor as the trunk. All nodes that do not have descendants isolated after 2017 were defined as side branch nodes. Nodes descended from the most recent common ancestor were defined as unresolved, as it is still unclear whether these nodes will ultimately be on the trunk or side branches. The phylogenetic tree is available as a JSON file on [link to repository].

**Quantification of mutational effects and sequence preferences from an H3N2 phylogeny.** We calculated the effects of mutations from the amino-acid preferences that were estimated from the deep mutational scanning data. The effect of mutating site  $r$  from amino-acid  $a_1$  to  $a_2$  was calculated as

$$\log_2 \frac{\pi_{r,a_2}}{\pi_{r,a_1}} \quad [2]$$

where  $\pi_{r,a_1}$  and  $\pi_{r,a_2}$  are the re-scaled preferences for amino acids  $a_1$  or  $a_2$  at site  $r$  as shown in Figure 3. The WSN/1933 H1 HA amino-acid preferences are the replicate-average values reported in (29), re-scaled by a stringency parameter of 2.05 (see [https://github.com/jbloomlab/dms\\_tools2/blob/master/examples/Doud2016/analysis\\_notebook.ipynb](https://github.com/jbloomlab/dms_tools2/blob/master/examples/Doud2016/analysis_notebook.ipynb)). The mutational effects were also calculated for five-year windows from 1968 to 2012.

The average sequence preference per site for every node on the phylogenetic tree shown in Figure 1 was calculated using Equation 1 for all sites, or the set of epitope and non-epitope sites defined in (49) and provided in Dataset S3.

**Analysis of mutational shifts.** To compare the Perth/2009 H3 and WSN/1933 H1 HA preferences, we first aligned the wildtype HA sequences using MAFFT (93). To quantify the shifts in preference for every alignable site while accounting for experimental noise, we used the approach described in (71) and used the RMSD<sub>corrected</sub> values as our quantification of the extent of each shift.

For the plots shown in Figure 9B, any residues falling between Cys-52 and Cys-277 were defined as the head domain, and all other residues were defined as the stalk domain. We used the multiple sequence alignment of the HA subtype sequences from (55) to identify sites that are absolutely conserved across all subtypes, or in the different clades described in Figure 9.

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**Supporting Information (SI).** The main text of the paper must stand on its own without the SI. Refer to SI in the manuscript at an appropriate point in the text. Number supporting figures and tables starting with S1, S2, etc. Authors are limited to no more than 10 SI files, not including movie files. Authors who place detailed materials and methods in SI must provide sufficient detail in the main text methods to enable a reader to follow the logic of the procedures and results and also must reference the online methods. If a paper is fundamentally a study of a new method or technique, then the methods must be described completely in the main text. Because PNAS edits SI and composes it into a single PDF, authors must provide the following file formats only.

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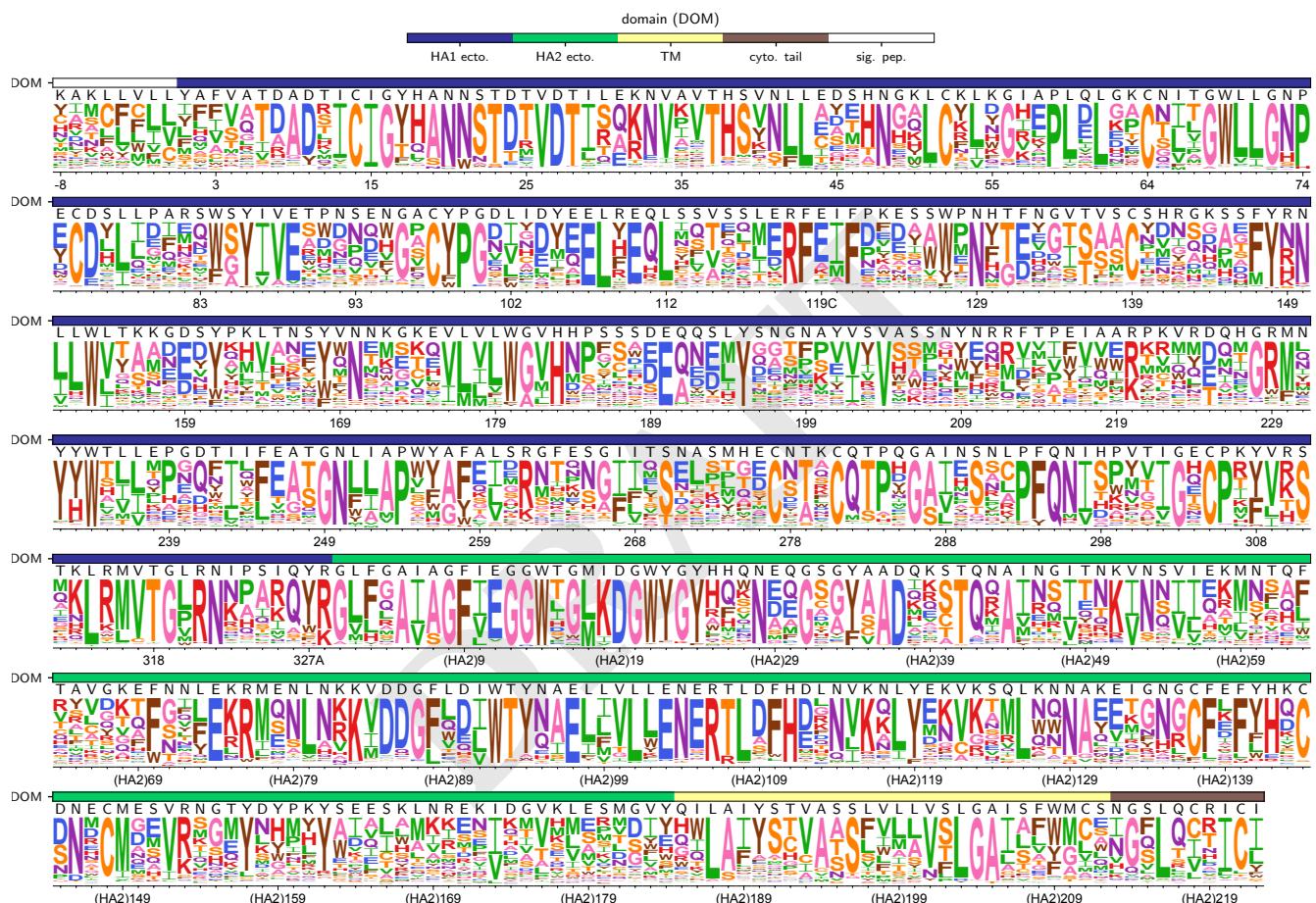
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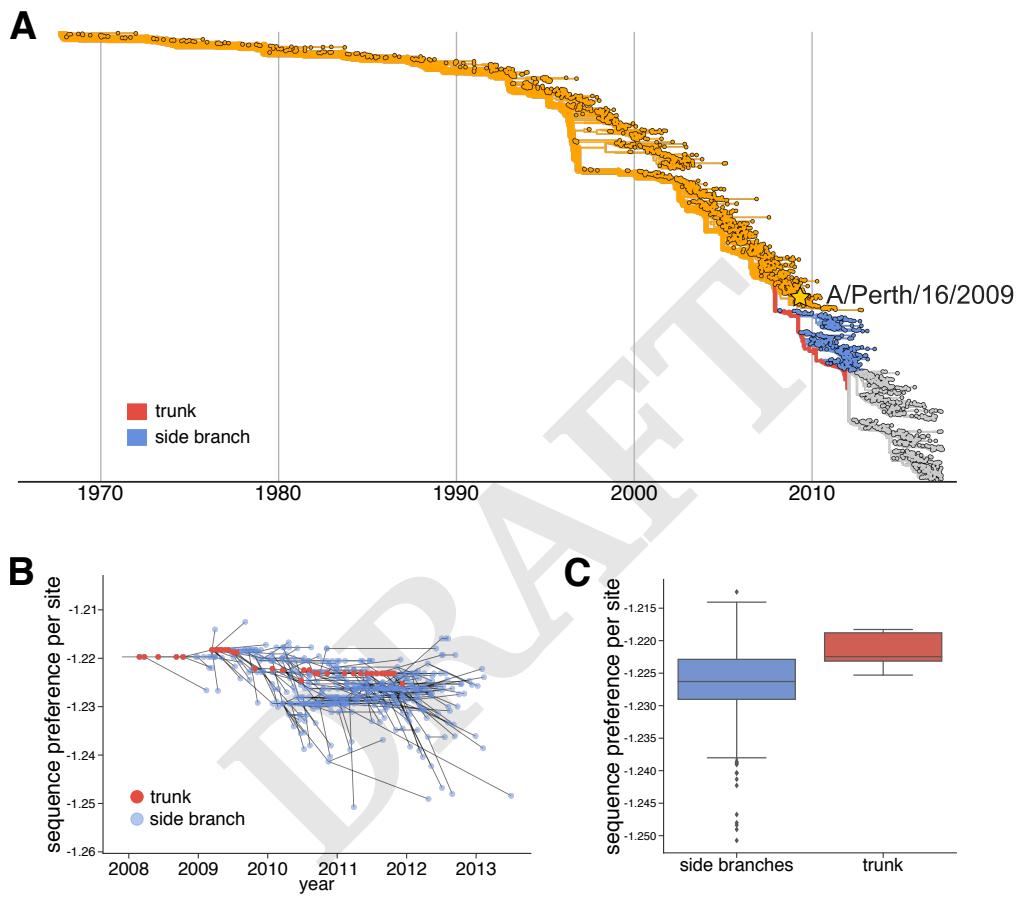
**Figure S1. Characterization of the G78D-T212I Perth/2009 HA variant.** (A) The G78D-T212I Perth/2009 HA variant supports better viral growth than the wildtype Perth/2009 HA. Viruses were generated in duplicate by reverse genetics with the Perth/2009 NA and WSN internal genes, and passaged once at MOI = 0.01 in MDCK-SIAT1-TMPRSS2 cells. The rescue and passage viral supernatants were collected at 72 hours post-transfection and 44 hours post-infection, respectively, and titrated in MDCK-SIAT1-TMPRSS2 cells. The points mark each duplicate and the bar marks the mean. (B) The D78 variant remained at a low frequency in natural human H3N2 sequences over the past ~10 years. The A212 variant rose to fixation in ~2011, replacing the T212 variant.



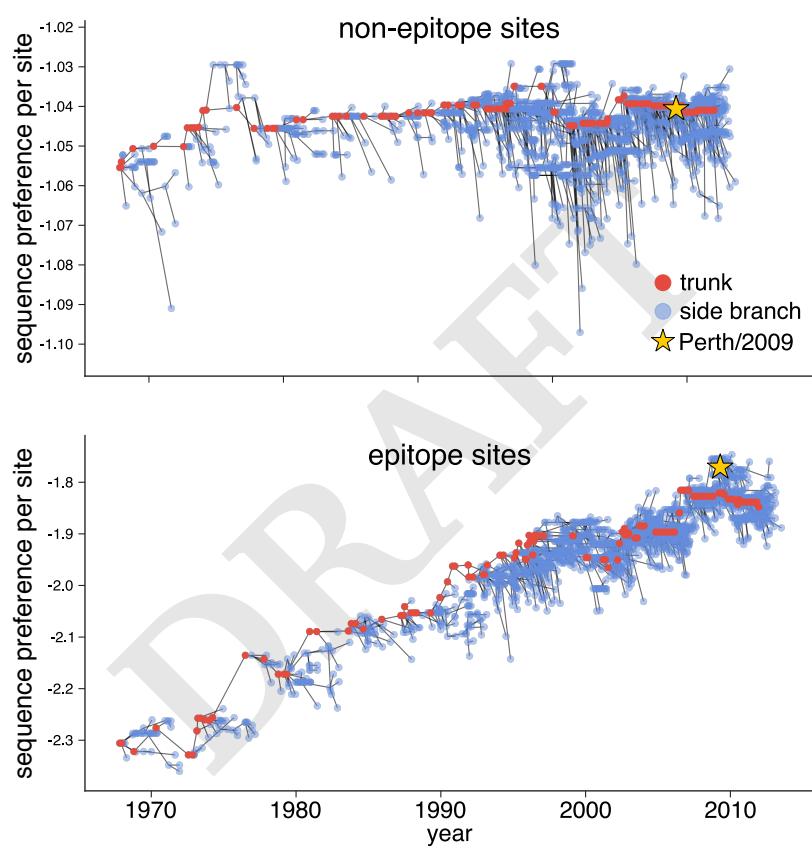
**Figure S2. Sanger sequencing of 31 randomly chosen clones from the mutant plasmid libraries.** (A) There were an average of ~1.4 codon mutations per clone across the three plasmid mutant libraries. (B) A mixture of one-, two-, and three-nucleotide mutations were present, with slightly fewer triple-nucleotide changes than expected. (C) Nucleotide frequencies were uniform in the codon mutations. (D) The mutations were distributed relatively evenly across the length of the HA coding sequence. (E) We calculated the pairwise distances between mutations for clones carrying more than one mutation. The cumulative distribution of these distances is shown in the red line. The blue line indicates the expected distribution if mutations in multiply mutated genes are randomly dispersed along the sequence.



**Figure S3. The site-specific amino-acid preferences of the WSN/1933 H1 HA.** The amino-acid preferences from (29) after taking the average of the experimental replicates and re-scaling (39) by a stringency parameter of 2.05 (see [https://github.com/jbloblom/dms\\_tools2/blob/master/examples/Doud2016/analysis\\_notebook.ipynb](https://github.com/jbloblom/dms_tools2/blob/master/examples/Doud2016/analysis_notebook.ipynb)). The sites are in H3 numbering. The overlays show the same information as in Figure 3 (domain and wildtype amino acid).



**Figure S4.** The sequence preference of nodes that occur after the split of Perth/2009 off the trunk. Our experiments show a selective advantage for the trunk even if we limit the analysis to sequences that occur after the split of Perth/2009 off the trunk. (A) Phylogenetic tree showing all nodes that branch from the trunk before or contemporaneously with Perth/2009 (orange), all nodes that occur after the branch to Perth/2009 for which we can resolve the trunk (red) or side branches (blue), and all nodes for which it is not yet clear which sequences will be on the trunk or side branches. In this figure, we restrict the analysis to the nodes in red and blue. (B) Average per-site sequence preference (similar to Figure 5C) for all trunk and side-branch nodes that occur after the branch to Perth/2009. (C) The sequence preferences of nodes on the trunk generally exceed those of nodes on the side branches along the post-Perth/2009 portion of the tree. Note that because there are relatively few post-Perth/2009 sequences for which we can currently distinguish trunk from side branch, the number of points in this plot is small, precluding meaningful statistical analyses of the type performed in Figure 5B.



**Figure S5.** The per-site sequence preference at epitope and non-epitope sites. The per-site sequence preferences shown in Figure 6B, but on separate y-axes.

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**Dataset S1.** Genbank file giving the full sequence of the bidirectional reverse-genetics plasmid pHW-Perth09-HA-G78D-T212I, which encodes the wildtype HA sequence used in this study.

**Dataset S2.** Excel file providing the primers used to generate the barcoded subamplicons for Perth/2009 HA deep sequencing.

**Dataset S3.** Excel file giving the amino-acid preferences in sequential 1, 2, ... numbering of the Perth/2009 HA. The unscaled preferences for replicates 1, 2, 3-1, and 3-2 are each in a separate tab of the file. Additional tabs give the across-replicate averaged and re-scaled amino-acid preferences in sequential numbering and in H3 numbering as shown in Figure 3. There are also tabs that give the conversion from sequential to H3 numbering, and the epitope sites in H3 numbering as defined by Wolf et al and used in this study. Each tab can simply be exported to CSV for computational analyses.