Joern-0.2 Documentation

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Contents

1	Ove	erview	3
2	Installation		
	2.1	System Requirements and Dependencies	4
	2.2	Building the Code	4
3	Importing and Accessing Code 5		
	3.1	Importing Code	5
	3.2	Starting the Database Server	5
	3.3	Accessing Code using python-joern	6
4	Performance Tuning 7		
	4.1	Optimizing Code Importing	7
	4.2	Optimizing Traversal Speed	7
5	Database Overview 8		
	5.1	Code Property Graphs	8
	5.2	Global Code Structure	9
	5.3	The Node Index	9
6	Querying the Database 10		
	6.1	Gremlin Basics	10
	6.2	Start Node Selection	11
	6.3	Traversing Syntax Trees	12
	6.4	Syntax-Only Descriptions	12
	6.5	Traversing the Symbol Graph	13
	6.6	Taint-Style Descriptions	14
7	Development		14
	7.1	Accessing the GIT Repository	14
	7.2	Modifying Grammar Definitions	15

1 Overview

Joern is a platform for robust analysis of C/C++ code developed by Fabian Yamaguchi at the Computer Security Group of the University of Goettingen. It generates *code property graphs*, a novel graph representation that exposes the code's syntax, control-flow, data-flow and type information in a joint data structure. Code property graphs are stored in a Neo4J graph database. This allows code to be mined using search queries formulated in the graph traversal language Gremlin.

In summary, Joern offers the following core features:

- Fuzzy Parsing. Joern employs a fuzzy parser, allowing code to be imported even if a working build environment cannot be supplied.
- Code Property Graphs. Joern creates code property graphs from the fuzzy parser output and makes and stores them in a Neo4J graph database. For background information on code property graphs, we strongly encourage you to read our paper on the topic [1].
- Extensible Query Language. Based on the graph traversal language Gremlin, Joern offers an extensible query language based on user-defined Gremlin steps that encode common traversals in the code property graph. These can be combined to create search queries easily.

This document provides the necessary documentation to enable you to use Joern in your work. In particular, we cover its installation in Section 2 and discuss how code can be imported and retrieved from the database in Section 3. Section 4 offers tips on performance tuning and is considered a must-read if you plan to run queries on large code bases. Section 5 gives an overview of the database contents,, showing what kind of information Joern makes available for your search queries. Finally, Section 6 documents the available traversals for code analysis and Section 7 contains information for developers.

2 Installation

Joern currently consists of the following components:

- **joern(-core)** parses source code using a robust parser, creates code property graphs and finally, imports these graphs into a Neo4j graph database.
- **python-joern** is a (minimal) python interface to the Joern database. It offers a variety of utility traversals (so called *steps*) for common operations on the code property graph (think of these are stored procedures).
- **joern-tools** is a collection of command line tools employing python-joern to allow simple analysis tasks to be performed directly on the shell.

Both python-joern and joern-tools are optional, however, installing python-joern is highly recommended for easy access to the database. While it is possible to access Neo4J from many other languages, you will need to write some extra code to do so and therefore, it is currently not recommended.

2.1 System Requirements and Dependencies

Joern is a Java Application and should work on systems offering a Java virtual machine, e.g., Microsoft Windows, Mac OS X or GNU/Linux. We have tested Joern on Arch Linux as well as Mac OS X Lion. If you plan to work with large code bases such as the Linux Kernel, you should have at least 30GB of free disk space to store the database and 8GB of RAM to experience acceptable performance. In addition, the following software should be installed:

- A Java Virtual Machine 1.7. Joern is written in Java 7 and does not build with Java 6. It has been tested with OpenJDK-7 but should also work fine with Oracle's JVM.
- Neo4J 1.9.* Community Edition. The graph database Neo4J provides access to the imported code. Note, that Joern has not been tested with the 2.0 branch of Neo4J.

Build Dependencies. A tarball containing all necessary build-dependencies is available for download here. This contains files from the following projects.

- The ANTLRv4 Parser Generator
- Apache Commons CLI Command Line Parser 1.2
- Neo4J 1.9.* Community Edition
- The Apache Ant build tool (tested with version 1.9.2)

The following sections offer a step-by-step guide to the installation of Joern, including all of its dependencies.

2.2 Building the Code

 Begin by downloading the latest stable version of joern at http://mlsec.org/joern/download.shtml. This will create the directory joern in your current working directory.

```
\ wget https://github.com/fabsx00/joern/archive/v0.2.tar.gz \ tar xfzv v0.2.tar.gz
```

2. Change to the directory joern/. Next, download build dependencies at http://mlsec.org/joern/lib/lib.tar.gz and extract the tarball. The JAR-files necessary to build Kern should now be located in joern/lib/.

```
$ cd joern
$ wget http://mlsec.org/joern/lib/lib.tar.gz
$ tar xfzv lib.tar.gz
```

3. Build the project by issuing the following command.

\$ ant

4. Create symlinks (optional). The executable JAR file will be located in joern/bin/joern.jar. Simply place this JAR file somewhere on your disk and you are done. If you are using bash, you can optionally create the following alias in your .bashrc:

```
alias joern='java -jar $JOERN/bin/joern.jar'
```

where \$JOERN is the directory you installed Joern into.

5. Build additional tools (optional). Tools such as the argumentTainter can be built by issuing the following command.

```
$ ant tools
```

Upon successfully building the code, you can start importing C/C++ code you would like to analyze as outlined the next section.

3 Importing and Accessing Code

3.1 Importing Code

Once joern has been installed, you can begin to import code into the database by simply pointing joern.jar to the directory containing the source code:

```
$ java -jar $JOERN/bin/joern.jar $CodeDirectory
```

or, if you want to ensure that the JVM has access to your heap memory

```
$ java -Xmx$SIZEg -jar $JOERN/bin/joern.jar $CodeDirectory
```

where \$SIZE is the maximum size of the Java Heap in GB. As an example, you can import \$JOERN/testCode.

This will create a Neo4J database directory .joernIndex in your current working directory. Note that if the directory already exists and contains a Neo4J database, joern.jar will add the code to the existing database. You can thus import additional code at any time. If however, you want to create a new database, make sure to delete .joernIndex prior to running joern.jar.

3.2 Starting the Database Server

It is possible to access the graph database directly from your scripts by loading the database into memory on script startup. However, it is highly recommended to access data via the Neo4J server instead. The advantage of doing so is that the data is loaded only once for all scripts you may want to execute allowing you to benefit from Neo4J's caching for increased speed.

To install the neo4j server, download version 1.9.5 from:

http://www.neo4j.org/download/other_versions

Once downloaded, unpack the archive into a directory of your choice, which we will call code\$Neo4jDir in the following.

Next, specificy the location of the database created by joern in your Neo4J server configuration file in \$Neo4jDir/conf/neo4j-server.properties:

```
# neo4j-server.properties
org.neo4j.server.database.location=/$path_to_index/.joernIndex/
```

Second, start the database server by issuing the following command:

```
$ $Neo4jDir/bin/neo4j console
```

The Neo4J server offers a web interface and a web-based API (REST API) to explore and query the database. Once your database server has been launched, point your browser to http://localhost:7474/.

Next, visit http://localhost:7474/db/data/node/0. This is the *reference node*, which is the root node of the graph database. Starting from this node, the entire database contents can be accessed. In particular, you can get an overview of all existing edge types as well as the properties attached to nodes and edges.

Of course, in practice, you will not want to use your browser to query the database. Instead, you can use python-joern to access the REST API using Python as described in the following section.

3.3 Accessing Code using python-joern

Once code has been imported into a Neo4j database, it can be accessed using a number of different interfaces and programming languages. One of the simplest possibilities is to create a standalone Neo4J server instance as described in the previous section and connect to this server using python-joern, the python interface to joern.

To do so, install python-joern using pip:

```
$ sudo pip2 install git+git://github.com/fabsx00/python-joern.git
```

Finally, run the following sample Python script, which prints all assignments using a gremlin traversal:

```
# Hello World Script
from joern.all import JoernSteps

j = JoernSteps()
j.connectToDatabase()

query = 'queryNodeIndex("type:AssignmentExpr").code'

y = j.runGremlinQuery(query)
for x in y:
    print x
```

It is highly recommended to test your installation on a small code base first. The same is true for early attempts of creating search queries, as erroneous queries will often run for a very long time on large code bases, making a trial-and-error approach unfeasible.

4 Performance Tuning

4.1 Optimizing Code Importing

Joern uses the Neo4J Batch Inserter for code importing (see Chapter 35 of the Neo4J documentation). Therefore, the performance you will experience mainly depends on the amount of heap memory you can make available for the importer and how you assign it to the different caches used by the Neo4J Batch Inserter. You can find a detailed discussion of this topic here.

By default, Joern will use a configuration based on the maximum size of the Java heap. For sizes below 4GB, the following configuration is used:

```
cache_type = none
use_memory_mapped_buffers = true
neostore.nodestore.db.mapped_memory = 200M
neostore.relationshipstore.db.mapped_memory = 2G
neostore.propertystore.db.mapped_memory = 200M
neostore.propertystore.db.strings.mapped_memory = 200M
neostore.propertystore.db.index.keys.mapped_memory = 5M
neostore.propertystore.db.index.mapped_memory = 5M
```

The following configuration is used for heap-sizes larger than 4GB:

```
cache_type = none
use_memory_mapped_buffers = true
neostore.nodestore.db.mapped_memory = 1G
neostore.relationshipstore.db.mapped_memory = 3G
neostore.propertystore.db.mapped_memory = 1G
neostore.propertystore.db.strings.mapped_memory = 500M
neostore.propertystore.db.index.keys.mapped_memory = 5M
neostore.propertystore.db.index.mapped_memory = 5M
```

The Neo4J Batch Inserter configuration is currently not exported. If you are running Joern on a machine where these values are too low, you can adjust the values in src/neo4j/batchinserter/ConfigurationGenerator.java.

For the argumentTainter, the same default configurations are used. The corresponding values reside in src/neo4j/readWriteDb/ConfigurationGenerator.java.

4.2 Optimizing Traversal Speed

To experience acceptable performance, it is crucial to configure your Neo4J server correctly. To achieve this, it is highly recommended to review Chapter 22 of the Neo4J documentation on Configuration and Performance. In particular, the following settings are important to obtain good performance.

• Size of the Java heap. Make sure the maximum size of the java heap is high enough to benefit from the amount of memory in your machine. One possibility to ensure this, is to add the -Xmx\$SIZEg flag to the variable JAVA_OPTS in \$Neo4JDir/bin/neo4j where Neo4JDir is the directory of the Neo4J installation. You can also configure the maximum heap size globally by appending the -Xmx flag to the environment variable _JAVA_OPTIONS.

• Maximum number of open file descriptors. If, when starting the Neo4J server, you see the message

WARNING: Max 1024 open files allowed, minimum of 40 000 recommended.

you need to raise the maximum number of open file descriptors for the user running Neo4J (see the Neo4J Linux Performance Guide).

• Memory Mapped I/O Settings. Performance of graph database traversals increases significantly when large parts of the graph database can be kept in RAM and do not have to be loaded from disk (see Neo4J Documentation). For example, for a machine with 8GB RAM the following neo4j.conf configuration has been tested to work well:

```
# conf/neo4j.conf
use_memory_mapped_buffers=true
cache_type=soft
neostore.nodestore.db.mapped_memory=500M
neostore.relationshipstore.db.mapped_memory=4G
neostore.propertystore.db.mapped_memory=1G
neostore.propertystore.db.strings.mapped_memory=1300M
neostore.propertystore.db.arrays.mapped_memory=130M
neostore.propertystore.db.index.keys.mapped_memory=200M
neostore.propertystore.db.index.mapped_memory=200M
keep_logical_logs=true
```

5 Database Overview

In this section, we give an overview of the database layout created by Joern, i.e., the nodes, properties and edges that make up the code property graph. The code property graph created by Joern matches that of the code property graph as described in the paper and merely introduces some additional nodes and edges that have turned out to be convenient in practice.

5.1 Code Property Graphs

For each function of the code base, the database stores a code property graph consisting of the following nodes.

- Function nodes (type: Function). A node for each function (i.e. procedure) is created. The function-node itself only holds the function name and signature, however, it can be used to obtain the respective Abstract Syntax Tree and Control Flow Graph of the function.
- Abstract Syntax Tree Nodes (type:various). Abstract syntax trees represent the syntactical structure of the code. They are the representation of choice when language constructs such as function calls, assignments or cast-expressions need to be located. Moreover, this hierarchical representation exposes how language constructs are composed to form larger constructs. For example, a statement may consist of an assignment expression, which itself consists of a left- and right value where the right value may contain a multiplicative expression (see Wikepedia: Abstract

Syntax Tree for more information). Abstract syntax tree nodes are connected to their child nodes with IS_AST_PARENT_OF edges. Moreover, the corresponding function node is connected to the AST root node by a IS_FUNCTION_OF_AST edge.

- Statement Nodes (type:various). This is a sub-set of the Abstract Syntax Tree Nodes. Statement nodes are marked using the property isCFGNode with value true. Statement nodes are connected to other statement nodes via FLOWS_TO and REACHES edges to indicate control and data flow respectively.
- Symbol nodes (type:Symbol). Data flow analysis is always performed with respect to a variable. Since our fuzzy parser needs to work even if declarations contained in header-files are missing, we will often encounter the situation where a *symbol* is used, which has not previously been declared. We approach this problem by creating *symbol* nodes for each identifier we encounter regardless of whether a declaration for this symbol is known or not. We also introduce symbols for postfix expressions such as a->b to allow us to track the use of fields of structures. Symbol nodes are connected to all statement nodes using the symbol by USE-edges and to all statement nodes assigning to the symbol (i.e., *defining the symbol*) by DEF-edges.

Code property graphs of individual functions are linked in various ways to allow transition from one function to another as discussed in the next section.

5.2 Global Code Structure

In addition, to the nodes created for functions, the source file hierarchy, as well as global type and variable declarations are represented as follows.

- File and Directory Nodes (type:File/Directory). The directory hierarchy is exposed by creating a node for each file and directory and connecting these nodes using IS_PARENT_DIR_OF and IS_FILE_OF edges. This source tree allows code to be located by its location in the filesystem directory hierarchy, for example, this allows you to limit your analysis to functions contained in a specified sub-directory.
- Struct/Class declaration nodes (type: Class). A Class-node is created for each structure/class identified and connected to file-nodes by IS_FILE_OF edges. The members of the class, i.e., attribute and method declarations are connected to class-nodes by IS_CLASS_OF edges.
- Variable declaration nodes (type: DeclStmt). Finally, declarations of global variables are saved in declaration statement nodes and connected to the source file they are contained in using IS_FILE_OF edges.

5.3 The Node Index

In addition to the graphs stored in the Neo4J database, Joern makes an Apache Lucene Index available that allows nodes to be quickly retrieved based on their properties. This is particularly useful to select start nodes for graph database traversals. For examples of node index usage, refer to Section 6.2.

6 Querying the Database

This chapter discusses how the database contents generated by Joern can be queried to locate interesting code. We begin by reviewing the basics of the graph traversal language Gremlin in Section 6.1 and proceed to discuss how to select start nodes from the node index in Section 6.2. The remainder of this chapter deals with code retrieval based on syntax (Section 6.3 and 6.4), taint-style queries in Section 6.6 and finally, traversals in the function symbol graph in Section 6.5.

The user-defined traversals presented throughout this chapter are all located in the directory joernsteps of python-joern. It may be worth studying their implementation to understand how to design your own custom steps for Gremlin.

6.1 Gremlin Basics

In this section, we will give a brief overview of the most basic functionality offered by the graph traversal language Gremlin developed by Marko A. Rodriguez. For detailed documentation of language features, please refer to GremlinDocs.com and the Gremlin website.

Gremlin is a language designed to describe walks in property graphs. A property graph is simply a graph where key-value pairs can be attached to nodes and edges. (From a programmatic point of view, you can simply think of it as a graph that has hash tables attached to nodes and edges.) In addition, each edge has a type, and that's all you need to know about property graphs for now.

Graph traversals proceed in two steps to uncover to search a database for sub-graphs of interest:

- 1. **Start node selection.** All traversals begin by selecting a set of nodes from the database that serve as starting points for walks in the graph.
- 2. Walking the graph. Starting at the nodes selected in the previous step, the traversal walks along the graph edges to reach adjacent nodes according to properties and types of nodes and edges. The final goal of the traversal is to determine all nodes that can be reached by the traversal. You can think of a graph traversal as a sub-graph description that must be fulfilled in order for a node to be returned.

The simplest way to select start nodes is to perform a lookup based on the unique node id as in the following query:

```
// Lookup node with given nodeId
g.v(nodeId)
```

Walking the graph can now be achieved by attaching so called *Gremlin steps* to the start node. Each of these steps processes all nodes returned by the previous step, similar to the way Unix pipelines connect shell programs. While learning Gremlin, it can thus be a good idea to think of the dot-operator as an alias for the unix pipe operator "|". The following is a list of examples.

```
// Traverse to nodes connected to start node by outgoing edges
g.v(nodeId).out()
```

```
// Traverse to nodes two hops away.
g.v(nodeId).out().out()

// Traverse to nodes connected to start node by incoming edges
g.v(nodeId).in()

// All nodes connected by outgoing AST edges (filtering based
// on edge types)
g.v(nodeId).out(AST_EDGE)

// Filtering based on properties:
g.v(nodeId).out().filter{ it.type == typeOfInterest}

// Filtering based on edge properties
g.v.outE(AST_EDGE).filter{ it.propKey == propValue }.inV()
```

The last two examples deserve some explanation as they both employ the elementary step filter defined by the language Gremlin. filter expects a so called *closure*, an anonymous function wrapped in curly brackets (see Groovy - Closures). This function is applied to each incoming node and is expected to return true or false to indicate whether the node should pass the filter operation or not. The first parameter given to a closure is named it by convention and thus it.type denotes the property type of the incoming node in the first of the two examples. In the second example, edges are handed to the filter routine and thus it.propKey refers to the property propKey of the incoming edge.

6.2 Start Node Selection

In practice, the ids of interesting start nodes are rarely known. Instead, start nodes are selected based on node properties, for example, one may want to select all calls to function memcpy as start nodes. To allow efficient start node selection based on node properties, we keep an (Apache Lucene) node index (see Neo4J legacy indices). This index can be queried using Apache Lucene queries (see Lucene Query Language for details on the syntax). For example, to retrieve all AST nodes representing callees with a name containing the substring cpy, one may issue the following query:

```
queryNodeIndex("type:Callee AND name:*cpy*")
```

The Gremlin step queryNodeIndex is defined in joernsteps/lookup.groovy of python-joern. In addition to queryNodeIndex, lookup.groovy defines various functions for common lookups. The example just given could have also been formulated as:

```
getCallsTo("*cpy*")
```

Please do not hesitate to contribute short-hands for common lookup operations to include in joernsteps/lookup.groovy.

6.3 Traversing Syntax Trees

In the previous section, we outlined how nodes can be selected based on their properties. As outline in Section 6.1, these selected nodes can now be used as starting points for walks in the property graph.

As an example, consider the task of finding all multiplications in first arguments of calls to the function malloc. To solve this problem, we can first determine all call expressions to malloc and then traverse from the call to its first argument in the syntax tree. We then determine all multiplicative expressions that are child nodes of the first argument.

In principle, all of these tasks could be solved using the elementary Gremlin traversals presented in Section 6.1. However, traversals can be greatly simplified by introducing the following user-defined gremlin-steps (see joernsteps/ast.py).

```
// Traverse to parent nodes in the AST
parents()

// Traverse to child nodes in the AST
children()

// Traverse to i'th children in the AST
ithChildren()

// Traverse to enclosing statement node
statements()

// Traverse to all nodes of the AST
// rooted at the input node
astNodes()
```

Additionally, joernsteps/calls.groovy introduces user-defined steps for traversing calls, and in particular the step ithArguments that traverses to i'th arguments of a given a call node. Using these steps, the exemplary traversal for multiplicative expressions inside first arguments to malloc simply becomes:

```
getCallsTo('malloc').ithArguments('0')
.astNodes().filter{ it.type == 'MultiplicativeExpression'}
```

6.4 Syntax-Only Descriptions

The file joernsteps/composition.groovy offers a number of elementary functions to combine other traversals and lookup functions. These composition functions allow arbitrary syntax-only descriptions to be constructed (see Modeling and Discovering Vulnerabilities with Code Property Graphs [1]).

For example, to select all functions that contain a call to foo AND a call to bar, lookup functions can simply be chained, e.g.,

```
getCallsTo('foo').getCallsTo('bar')
```

returns functions calling both foo and bar. Similarly, functions calling foo OR bar can be selected as follows:

```
OR( getCallsTo('foo'), getCallsTo('bar') )
```

Finally, the not-traversals allows all nodes to be selected that do NOT match a traversal. For example, to select all functions calling foo but not bar, use the following traversal:

```
getCallsTo('foo').not{ getCallsTo('bar') }
```

6.5 Traversing the Symbol Graph

As outlined in Section 5, the symbols used and defined by statements are made explicit in the graph database by adding symbol nodes to functions (see Appendix D of [1]). We provide utility traversals to make use of this in order to determine symbols defining variables, and thus simple access to types used by statements and expressions. In particular, the file joernsteps/symbolGraph.groovy contains the following steps:

```
// traverse from statement to the symbols it uses
uses()

// traverse from statement to the symbols it defines
defines()

// traverse from statement to the definitions
// that it is affected by (assignments and
// declarations)
definitions()
```

As an example, consider the task of finding all third arguments to memcpy that are defined as parameters of a function. This can be achieved using the traversal

```
getArguments('memcpy', '2').definitions()
.filter{it.type == TYPE_PARAMETER}
```

where getArguments is a lookup-function defined in joernsteps/lookup.py.

As a second example, we can traverse to all functions that use a symbol named len in a third argument to memcpy that is not used by any condition in the function, and hence, may not be checked.

```
getArguments('memcpy', '2').uses()
.filter{it.code == 'len'}
.filter{
  it.in('USES')
.filter{it.type == 'Condition'}.toList() == []
}
```

This example also shows that traversals can be performed inside filter-expressions and that at any point, a list of nodes that the traversal reaches can be obtained using the function toList defined on all Gremlin steps.

6.6 Taint-Style Descriptions

The last example already gave a taste of the power you get when you can actually track where identifiers are used and defined. However, using only the augmented function symbol graph, you cannot be sure the definitions made by one statement actually *reach* another statement. To ensure this, the classical *reaching definitions* problem needs to be solved. In addition, you cannot track whether variables are sanitized on the way from a definition to a statement.

Fortunately, Joern allows you to solve both problems using the traversal unsanitized. As an example, consider the case where you want to find all functions where a third argument to memcpy is named len and is passed as a parameter to the function and a control flow path exists satisfying the following two conditions:

- The variable len is not re-defined on the way.
- The variable is not used inside a relational or equality expression on the way, i.e., its numerical value is not "checked" against some other variable.

You can use the following traversal to achieve this:

```
getArguments('memcpy', '2')
.sideEffect{ paramName = '.*len.*' }
.filter{ it.code.matches(paramName) }
.unsanitized{ it.isCheck( paramName ) }
.params( paramName )
```

where isCheck is a traversal defined in joerntools/misc.groovy to check if a symbol occurs inside an equality or relational expression and params traverses to parameters matching its first parameter.

Note, that in the above example, we are using a regular expression to determine arguments containing the sub-string len and that one may want to be a little more exact here. Also, we use the Gremlin step sideEffect to save the regular expression in a variable, simply so that we do not have to re-type the regular expression over and over.

7 Development

7.1 Accessing the GIT Repository

We use the revision control system git to develop Joern. If you want to participate in development or test the development version, you can clone the git repository by issuing the following command:

```
git clone https://github.com/fabsx00/joern.git
```

Optionally, change to the branch of interest. For example, to test the development version, issue the following:

```
git checkout dev
```

If you want to report issues or suggest new features, please do so via github. For fixes, please fork the repository and issue a pull request or alternatively send a diff to the developers by mail.

7.2 Modifying Grammar Definitions

When building Joern, pre-generated versions of the parsers will be used by default. This is fine in most cases, however, if you want to make changes to the grammar definition files, you will need to regenerate parsers using the antlr4 tool. For this purpose, it is highly recommended to use the optimized version of ANTLR4 to gain maximum performance.

To build the optimized version of ANTLR4, do the following:

- \$ git clone https://github.com/sharwell/antlr4/
- \$ cd antlr4
- \$ mvn -N install
- \$ mvn -DskipTests=true -Dgpg.skip=true -Psonatype-oss-release
 -Djava6.home=\$PATH_TO_JRE install

If the last step gives you an error, try building without -Psonatype-oss-release.

- \$ mvn -DskipTests=true -Dgpg.skip=true -Djava6.home=\$PATH_TO_JRE install
 Next, copy the antlr4 tool and runtime to the following locations:
- \$ cp tool/target/antlr4-\$VERSION-complete.jar \$JOERN/
- \$ cp runtime/Java/target/antlr4-runtime-\$VERSION-SNAPSHOT.jar \$JOERN/lib

where \$JOERN is the directory containing the \$JOERN installation. Parsers can then be regenerated by executing the script \$JOERN/genParsers.sh.

References

[1] F. Yamaguchi, N. Golde, D. Arp, and K. Rieck. Modeling and discovering vulnerabilities with code property graphs. In *Proc. of the IEEE Symposium on Security and Privacy*, 2014.