

# K3 surfaces with group actions, enumerative geometry, modular forms

1

Let  $X$  be a K3 surface: a smooth, projective surface with  $K_X = \mathcal{O}_X$  and  $h^{0,1}(X) = 0$ . Example: quartic in  $\mathbb{P}^3$  or a double cover  $X \rightarrow \mathbb{P}^2$  branched over a smooth sextic.

Let  $\text{Hilb}^n(X)$  be the Hilbert scheme of  $n$  points on  $X$ .

$\text{Hilb}^n(X)$  is a Hyperkähler manifold, holomorphic symplectic variety, resolution of  $\text{Sym}^n(X) = X^n / S_n$ .

Gottsche 1990  $e(\text{Hilb}(X)) \rightsquigarrow$  Number theory

$$Z_X(g) = \sum_{n=0}^{\infty} e(\text{Hilb}^n(X)) g^{n-1}$$

then  $Z_X(g)^{-1} = \Delta(g) = g \prod_{n=1}^{\infty} (1 - g^n)^{24}$

Fourier expansion of the unique modular cusp form of weight 12  
 $g = \exp(2\pi i \tau)$   $\tau \in \mathbb{H}$ .

Yau-Zaslow 1995  $e(\text{Hilb}(X)) \rightsquigarrow$  enumerative geometry

$|L|$  complete integral linear system of dim  $n$  on  $X$

then  $e(\text{Hilb}^n(X)) = \#$  of rational curves  $C$  in  $|L|$

(counted with multiplicity  $e(\text{Jac } C)$  if only node singularities  $e(\text{Jac } C) = e(\text{Jac } C_{\text{norm}}) = 1$ )



(2)

Solution to infinite # of enumerative problems.

e.g.  $X \rightarrow \mathbb{P}^2$



sextic  
 $L = \pi^* \mathcal{O}(1)$

$C \subset |L|$   $C$  double cover of lines in  $\mathbb{P}^2$   $e(\text{Hilb}^2(X)) = 324$   
= # bitangents

Above story has been vastly generalized over the last two decades, although not reform in the following direction.

Let  $G$  be a finite group acting <sup>effectively</sup> on  $X$  preserving  $K_X$ .

Mukai 1988 82 possibilities  $G \subset M_{23} \subset M_{24}$  Mathieu gp

such that the action of  $G$  on  $\{1, \dots, 24\}$  has at least 5 orbits

e.g.  $\mathbb{Z}/n$   $n=1, \dots, 8$  . biggest gp  $\mathbb{Z}/2^4 \rtimes A_5$   $|G|=960$ .

If  $X \rightarrow \mathbb{P}^2$  branched over sextic invariant under  $\mathbb{P}^2 \supset \mathbb{Z}/2$   
then combining branched cover involution with involution on base  
gives symplectic involution on  $X$ .

$G$ -fixed Hilbert scheme  $\text{Hilb}^n(X)^G$  parameterizes  
 $G$ -invariant collections of  $n$  points.

$$\mathbb{Z}_{X,G}(g) = \sum_{n=0}^{\infty} e(\text{Hilb}^n(X)^G) g^{n-1}$$



(another thing)

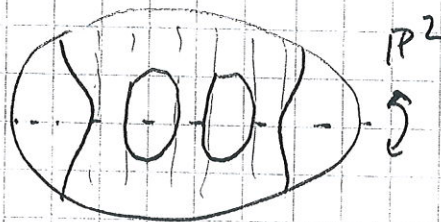
Theorem (B. - Gyenge)  $Z_{X,G}(\theta)^{-1}$  is a modular cusp form for  $\Gamma_0(16) \subset SL_2 \mathbb{Z}$  of weight  $\frac{1}{2}e(X/G)$  (3)

Enumerative geometry: Suppose that  $|L|$  is a complete, integral, linear system of dim  $n$  on  $X$  with an action of  $G$

$$e(\text{Hilb}^n(X)^G) = \# \text{ of curves } C \in |L| \text{ } C/G \text{ rational}$$

$$\text{counted by } e((\overline{\text{Jac}} C)^G) \stackrel{\text{if nodes}}{=} e((\text{Jac } C_{\text{norm}})^G)$$

example (Sailun Zhan)  $\mathbb{Z}/2$  acting on  $X \xrightarrow{\pi} \mathbb{P}^2$



$$L = \pi^* \mathcal{O}(1)$$

$$Z_{X, \mathbb{Z}/2}(\theta)^{-1} = \theta \prod_{n=1}^{\infty} (1 - \theta^{4n})^8 (1 - \theta^{8n})^8$$

unique cusp form weight 8 for  $\Gamma_1(2)$

$$e(\text{Hilb}^2(X)^{\mathbb{Z}/2}) = 52$$

$C/G$  rational: ①  $C/G$

preimage of  $\mathbb{Z}/2$  fixed line  $g(C) = 2$

②  $C/G$

" " one of 6 invariant tangent lines  $g(C) = 1$

③  $C/G$

" " 12  $\mathbb{Z}/2$  invariant bitangents  $g(C) = 0$

count with multiplicity

$$2^{2g(C)} = e((\text{Jac}(C_{\text{norm}})^{\mathbb{Z}/2}))$$

$$52 = 16 \cdot 1 + 4 \cdot 6 + 1 \cdot 12$$



We can make the theorem explicit:  $Z_{X/G}$  is a product of local contributions (depending on singularities of  $X/G$ )

$p \in X/G$  singular point locally  $\mathbb{C}^2/G$   $G \subset SU(2)$   
such subgroups classified by ADE root systems

$$G_\Delta \subset SU(2) \iff \Delta \text{ ADE root system.}$$

Define 
$$Z_\Delta(g) = \sum_{n=0}^{\infty} e(\text{Hilb}^n(\mathbb{C}^2)^{G_\Delta}) g^{n-\frac{1}{24}}$$

Let 
$$\eta(g) = g^{\frac{1}{24}} \prod_{n=1}^{\infty} (1-g^n)$$
 Dedekind eta func.

Core geometric result:

Theorem 
$$Z_{A_n}(g) = \frac{1}{\eta(g)} \text{ and if } \Delta \text{ is } D_n \text{ or } E_n \text{ type}$$

$$Z_\Delta(g) = \frac{\eta^2(g^2) \eta(g^{4E})}{\eta(g) \eta(g^{2E}) \eta(g^{2F}) \eta(g^{2V})}$$

$$(E, F, V) = \begin{cases} (n-2, 2, n-2) & D_n \quad n \geq 4 \\ (6, 4, 4) & E_6 \\ (12, 8, 6) & E_7 \\ (30, 20, 12) & E_8 \end{cases}$$

$$G_\Delta / \{\pm 1\} \subset SU(2) / \{\pm 1\} \cong SO(3)$$

Symmetries of regular polyhedral decomposition of  $S^2$   
 $E, F, V = \# \text{edges, faces, vertices}$

Main theorem follows from this result and standard Cheah-Göttsche method.



Idea of Proof  $G = \mathbb{Z}/n\mathbb{Z}$  ( $A_n$  case)

$$e(\text{Hilb}^n(\mathbb{C}^2)^{\mathbb{Z}/n\mathbb{Z}}) = e(\text{Hilb}^n(\mathbb{C}^2)^{\mathbb{C}^* \times \mathbb{C}^*})$$

= # of monomial ideals = # integer partitions  $\leadsto \eta(g)^{-1}$

$D_n E_n$  case: Use Derived McKay correspondence to reduce to  $A_n$  case.

$$H = G/\pm 1 \subset SO(3)$$

$$\text{Hilb}(\mathbb{C}^2)^G = \text{Hilb}([\mathbb{C}^2/H])^H$$

$$D^b([\mathbb{C}^2/\pm 1]) \xrightarrow{\sim} D^b(T^*\mathbb{P}^1) \quad [\mathbb{C}^2/\pm 1] \rightarrow \mathbb{C}^2/\pm 1 \leftarrow T^*\mathbb{P}^1$$

$$D^b([\mathbb{C}^2/G]) \xrightarrow{\sim} D^b([T^*\mathbb{P}^1/H])$$

3 orbifold points of order  $|H|/E, |H|/F, |H|/G$

$$\text{Hilb}(\mathbb{C}^2)^G \longleftrightarrow \text{Hilb}(T^*\mathbb{P}^1)^H \quad \left| \begin{array}{l} \text{birational hold's syml. same } e() \end{array} \right.$$

$$\frac{\eta^2(g^2)}{\eta(g)}$$

$$\eta(g^{4E})$$

contribution from non-orbifold pts.

$$\eta(g^{2E}) \eta(g^{2F}) \eta(g^{2G})$$

contribution from orbifold pts

$$\sum_{j \in \mathbb{Z}} g^{2j^2 + j + \frac{1}{8}}$$

comes from matching discrete parameters, tensoring by  $\mathcal{O}(j\mathbb{P}^1)$  leads to quadratic term.

Local formula leads to explicit eta product expression for all  $\mathbb{Q}^2$  cases.



## Application to theta function identities

$\text{Hilb}(\mathbb{C}^2)^{G_\Delta}$  is a Nakajima quiver variety for  $\widehat{\text{ADE}}$  extended quiver.

$$\Rightarrow Z_\Delta(g) = q(g^K)^{-n-1} \sum_{\vec{m} \in R_\Delta} q^{\frac{K}{2} |\vec{m} + \frac{1}{K} \vec{\zeta}|_\Delta^2}$$

$n = \text{rank } \Delta$

$K = |G|$

our theorem writes this <sup>shifted</sup> theta function for the root lattice as a product (like MacDonal identities)   
  $\vec{\zeta}$  = dual to longest root Jacobi triple product.

Generalizations  $e(\text{Hilb}) \rightsquigarrow \chi_y(\text{Hilb}) \rightsquigarrow \text{Ell}_{g,y}(\text{Hilb})$    
 refinement of Euler  $\rightsquigarrow [ \text{Hilb} ] \in K_0(\text{Var}_{\mathbb{C}})$

We get formulas for all of these.

$\chi_y$  gives Jacobi forms  $\text{Ell}_{g,y}$  Siegel modular forms

If  $G$  is a product of one or two cyclic gps (13 cases)   
 so  $G \subset E$  elliptic curve, then

$(X \times E) / G$  is a CHL CY3 DT theory

$Z_{X,G}(g)^{-1}$  are Hecke eigenforms (iff?).