# BPS STATE COUNTS OF LOCAL ELLIPTIC SURFACES VIA FORMAL GEOMETRY AND THE TOPOLOGICAL VERTEX

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ABSTRACT. We compute the (connected) stable pair invariants of  $X = \text{Tot}(K_S)$  where S is an elliptic surface with section and at worst 1-nodal singular fibres. The calculation includes thickenings to all orders in both the surface and fibre direction. We use a new method combining motivic arguments and torus localization.

We stratify the moduli space according to underlying reduced support  $C_{\text{red}}$  of the stable pair and compute the contribution of each  $C_{\text{red}}$  individually. The contribution of  $C_{\text{red}}$  can be split up into a part coming from the nodes of  $C_{\text{red}}$  and the complement of the nodes  $C_{\text{red}}^{\circ} \subset C_{\text{red}}$ . The formal neighbourhood of  $C_{\text{red}}^{\circ}$  in X is isomorphic to a formal neighbourhood of  $C_{\text{red}}^{\circ}$  inside its normal bundle. This gives us lots of  $\mathbb{C}^*$ -actions.

Localization with respect to the torus actions leads to a vertex calculation which can be performed explicitly. As special cases we find a new proof of the Katz–Klemm–Vafa formula in the primitive case (independent of Kawai–Yoshioka's formula) and the BPS spectrum of the local rational elliptic surface.

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## 1. Introduction

Let X be a smooth projective 3-fold,  $\chi \in \mathbb{Z}$ , and  $\beta \in H_2(X)$  a curve class. Denote by  $P_{\chi}(X,\beta)$  the moduli space of stable pairs  $I^{\bullet} = [\mathcal{O}_X \to \mathcal{F}]$  on X for which  $\chi(\mathcal{F}) = \chi$ , and the scheme theoretic support of F has curve class  $\beta$ . The moduli space  $P_{\chi}(X,\beta)$  is an instance of Le Potier's more general moduli spaces of stable pairs [LeP]. The deformation-obstruction theory of stable pairs does not provide a perfect obstruction theory for  $P_{\chi}(X,\beta)$ . R. P. Thomas and R. Pandharipande realize  $P_{\chi}(X,\beta)$  as a component of the moduli space of complexes in  $D^b(X)$  with trivial determinant. Viewed as a moduli space of complexes  $P_{\chi}(X,\beta)$  does have a perfect obstruction theory [PT1]. When X is in addition Calabi-Yau, this perfect obstruction theory is symmetric and the stable pair invariants of X are defined as the degree of the virtual cycle

$$P_{\chi,\beta}(X) := \int_{[P_{\chi}(X,\beta)]^{\text{vir}}} 1.$$

By a theorem of K. Behrend [Beh]

$$\int_{[P_Y(X,\beta)]^{\text{vir}}} 1 = \int_{P_Y(X,\beta)} \nu_B \, \mathrm{d}e,$$

where  $\nu_B: P_{\chi}(X,\beta) \to \mathbb{Z}$  is Behrend's constructible function and  $e(\cdot)$  denotes topological Euler characteristic.

In this paper  $\pi: S \to B$  denotes an elliptic surface. This means S is a smooth surface, B a smooth curve of genus g(B), and  $\pi$  a holomorphic map with general fibre a connected smooth genus 1 curve [Mir]. We make two assumptions:

- $\pi$  has a section  $B \hookrightarrow S$ ,
- all singular fibres of  $\pi$  are of Kodaira type  $I_1$ , i.e. rational 1-nodal curves.

We are interested in the case  $X = \text{Tot}(K_S)$  and  $\beta = B + dF$ , where B is the class of the section and F is the class of the fibre. Since X is a non-compact Calabi-Yau 3-fold we require curves of  $P_{\chi}(X,\beta)$  to have proper support. Non-compactness of  $P_{\chi}(X,\beta)$  also means we do not have a virtual cycle, so one should define stable pair invariants in this setting either by Graber-Pandharipande's localization formula [GP] or by integration of  $\nu_B$  over  $P_{\chi}(X,\beta)$ . We choose the latter approach.

Can more be said about such surfaces? I don't thin we need  $B \cong \mathbb{P}^1$ ?

How are both approaches related?

EULER CHAR FOR THIS VERSION FOR NOW! Consider the (disconnected) generating function

(1) 
$$Z^{\bullet P}(q,y) := \sum_{d \ge 0} \sum_{\chi} P_{\chi,B+dF}(X) q^{\chi} y^d,$$
$$P_{\chi,B+dF}(X) := e(P_{\chi}(X,B+dF)).$$

The connected generating function is defined as [PT1]

(2) 
$$Z^{P}(q,y) := \frac{\sum_{d\geq 0} \sum_{\chi} P_{\chi,B+dF}(X) q^{\chi} y^{d}}{\sum_{d\geq 0} \sum_{\chi} P_{\chi,dF}(X) q^{\chi} y^{d}},$$
$$P_{\chi,dF}(X) := e(P_{\chi}(X,dF)),$$

where  $P_{\chi,0}(X) = 1$  for all  $\chi$ . Our main result is the following.

**Theorem 1.1.** Let  $X = \text{Tot}(K_S)$  where  $S \to B$  is an elliptic surface with section B of genus g(B) and N 1-nodal fibres. Then

$$Z^{P}(q,y) = \left(\frac{q}{(1-q)^{2}}\right)^{1-g(B)} \prod_{i=1}^{\infty} \frac{1}{(1-y^{i})^{N-2e(B)}(1-qy^{i})^{e(B)}(1-q^{-1}y^{i})^{e(B)}}.$$

The proof is divided into five movements:

Stratifation, Restriction, Formalization, Localization, Finale (Schur).

#### 2. Stratification

Let  $\beta$  be Poincaré dual to B + dF. The projections

$$\varpi: X \longrightarrow S \longrightarrow B$$

induce a push-forward map

$$P_{\mathcal{X}}(X,\beta) \longrightarrow \operatorname{Sym}^{d}(B), \ I^{\bullet} = [\mathcal{O}_{X} \to \mathcal{F}] \mapsto \varpi_{*}\mathcal{F}.$$

We denote the fibre of  $S \to B$  over  $p \in B$  by  $F_p$ . Let

$$\mathbf{p} := \sum_{i=1}^{m} d_i p_i \subset B$$

be an effective divisor with all  $d_i > 0$  and  $\sum_{i=1}^m d_i = d$ . Consider the reduced curve

$$C_{\mathbf{p}} := \bigcup_{i=1}^{m} F_{p_i} \subset S \subset X,$$

Applications for this paper: stable pair version of KKV in the primitive case independent of KY, gen fun for rational elliptic surface. Future applications: elliptically fibres CY3's, refinement and comparison to refined KKV,

where  $S \subset X$  is the zero-section. The fibre of  $\varpi_*$  over **p** is

$$P_{\chi}(X, \mathbf{p}) := \{ I^{\bullet} = [\mathcal{O}_X \to \mathcal{F}] \in P_{\chi}(X, \beta) : \varpi_* \mathcal{F} = \mathbf{p} \},$$

i.e. the locally closed subset of stable pairs  $I^{\bullet} = [\mathcal{O}_X \to \mathcal{F}] \in P_{\chi}(X, \beta)$  for which  $\mathcal{F}$  has set theoretic support  $C_{\mathbf{p}}$  and multiplicity  $d_i$  along  $F_{p_i}$  for all i. We are interested in the stratification

$$P_{\chi}(X,\beta) = \coprod_{\mathbf{p} \in \operatorname{Sym}^d(B)} P_{\chi}(X,\mathbf{p}).$$

## Lemma 2.1.

$$e(P_{\chi}(X,\beta)) = \int_{\mathbf{p} \in \operatorname{Sym}^d(B)} e(P_{\chi}(X,\mathbf{p})) de.$$

Proof. [MacP].

## 3. Restriction

By Lemma 2.1 we are reduced to computing  $e(P_{\chi}(X, \mathbf{p}))$  for any

$$\mathbf{p} = \sum_{i=1}^{m} d_i p_i \in \operatorname{Sym}^d(B).$$

Let  $q \in C_{\mathbf{p}}$  be one of the *nodal* singularities (either a node in a singular fibre or an intersection point of a fibre with the section). We denote by  $\widehat{X}_q$  the formal neighbourhood of  $\{q\} \subset X$  and by  $X \setminus q$  the complement of  $\{q\} \subset X$ . Let

$$P_{\chi}(X \setminus q, \mathbf{p})$$

be the moduli space of stable pairs  $I^{\bullet} = [\mathcal{O}_{X \setminus q} \to \mathcal{F}]$  such that  $\chi(\mathcal{F}) = \chi$ ,  $\mathcal{F}$  has set theoretic support  $C_{\mathbf{p}} \setminus q$ ,  $\mathcal{F}$  has multiplicity 1 along  $B \setminus q$ , and  $\mathcal{F}$  has multiplicity  $d_i$  along  $F_{p_i} \setminus q$  for all i. Moreover let

$$P_{\chi}(\widehat{X}_q, \mathbf{p})$$

be the moduli spaces of stable pairs  $I^{\bullet} = [\mathcal{O}_{\widehat{X}_q} \to \mathcal{F}]$  such that  $\chi(\mathcal{F}) = \chi$ ,  $\mathcal{F}$  has set theoretic support  $\widehat{C}_{\mathbf{p}}$ ,  $\mathcal{F}$  has multiplicity 1 along  $\widehat{B}$ , and  $\mathcal{F}$  has multiplicity  $d_i$  along  $\widehat{F}_{p_i}$  for all i. Here  $\widehat{C}_{\mathbf{p}}$ ,  $\widehat{B}$ ,  $\widehat{F}_{p_i}$  denote the lifts<sup>1</sup> of  $C_{\mathbf{p}}$ , B,  $F_{p_i}$  to  $\widehat{X}_q$ . We are interested in the injective morphism induced by restriction

(3) 
$$P_{\chi}(X, \mathbf{p}) \hookrightarrow \coprod_{\chi = \chi_1 + \chi_2} P_{\chi_1}(X \setminus q, \mathbf{p}) \times P_{\chi_2}(\widehat{X}_q, \mathbf{p}).$$

A little more care in the def of this moduli space is needed since  $X \setminus q$  is non-compact AND the supports of the stable pairs are non-compact.

This might need a little more care too since stable pair theory is not yet defined for formal schemes.

The Let  $\widehat{X}_Z$  be the formal completion of any scheme along a closed subset Z. If  $\mathcal{E}$  is a coherent sheaf on X then one can define a lift  $\mathcal{E}^{\Delta}$  to  $\widehat{X}_Z$  [Har]. In the case  $\mathcal{E} = \mathscr{I} \subset \mathcal{O}_X$  is an ideal sheaf, this provides an ideal sheaf  $\mathscr{I}^{\Delta} \subset \mathcal{O}_{\widehat{X}_Z}$  [Har].

The image of this morphism can be characterized as follows. Let

$$U = \operatorname{Spec} \mathbb{C}[x, y] \subset S$$

be an open affine neighbourhood of q over which  $X = \text{Tot}(K_S)$  trivializes with fibre coordinate z. Then  $\widehat{X}_q$  is the reduced point q with sheaf of rings

May not exist. In general work in stalk? See Jim's e-mail on 25.6.2014.

$$\mathcal{O}_{\widehat{X}_q} \cong \widehat{\mathcal{O}}_{X,q} \cong \mathbb{C}[\![x,y,z]\!].$$

Suppose the coordinates are chosen such that  $C_{\mathbf{p}}$  is defined by xy = z = 0. Define open subsets

$$V = \{x \neq 0\} \subset U, \ W = \{y \neq 0\} \subset U.$$

Lemma 3.1. An element

$$([s_1:\mathcal{O}_{X\setminus q}\to\mathcal{F}_1],[s_2:\mathcal{O}_{\widehat{X}_q}\to\mathcal{F}_2])$$

lies in the image of the embedding (3) if and only if the Cohen-Macaulay support curves  $C_{\mathcal{F}_1}$ ,  $C_{\mathcal{F}_2}$  underlying both stable pairs glue i.e.

$$\Gamma(\widehat{X}_q, \mathscr{I}_{C_{\mathcal{F}_2}}) \otimes_{\mathbb{C}[\![x,y,z]\!]} \mathbb{C}[\![x^{\pm},y,z]\!] \cong \widehat{\Gamma}(V \times \mathbb{C}, \mathscr{I}_{C_{\mathcal{F}_1}}|_{V \times \mathbb{C}}),$$

$$\Gamma(\widehat{X}_q, \mathscr{I}_{C_{\mathcal{F}_2}})) \otimes_{\mathbb{C}[\![x,y,z]\!]} \mathbb{C}[\![x,y^{\pm},z]\!] \cong \widehat{\Gamma}(W \times \mathbb{C}, \mathscr{I}_{C_{\mathcal{F}_1}}|_{W \times \mathbb{C}}),$$

where  $\Gamma(\cdot)$  denotes the global section functor,  $\widehat{(\cdot)}$  is the formal completion of the module  $(\cdot)$ , and  $\mathscr{I}_{C_{\mathcal{F}_1}}$ ,  $\mathscr{I}_{C_{\mathcal{F}_2}}$  are ideal sheaves.

*Proof.* Perhaps Ben-Bassat–Temkin's [BT] abstract setup (or a stable pairs version) reduces to this when Z (in their notation) is just a point. Note: life is not too bad because only the support curve has to glue. This is because the section of a stable pair is an isomorphism outside a 0-dim subscheme.

See Jim's fpqc e-mail on 25.6.2014.

We want to apply the above construction not just for one point q. Let  $q_1, \ldots, q_n \in C_{\mathbf{p}}$  be all nodes. For notational simplicity we write

$$X^{\circ} := X \setminus \{q_1, \dots, q_n\}.$$

We embed

$$P_{\chi}(X, \mathbf{p}) \hookrightarrow \coprod_{\chi = \chi' + \chi_1 + \dots + \chi_n} P_{\chi'}(X^{\circ}, \mathbf{p}) \times \prod_{j=1}^n P_{\chi_j}(\widehat{X}_{q_j}, \mathbf{p}).$$

The image is characterized by gluing conditions as in Lemma 3.1 at each of the nodes  $q_i$ .

## 4. Formalization

In the previous section we characterized the image of  $P_{\chi}(X, \mathbf{p})$  under restriction to special points and their complements

$$P_{\chi}(X, \mathbf{p}) \hookrightarrow \coprod_{\chi = \chi' + \chi_1 + \dots + \chi_n} P_{\chi'}(X^{\circ}, \mathbf{p}) \times \prod_{i=1}^n P_{\chi_j}(\widehat{X}_{q_j}, \mathbf{p})$$

In this section we relate  $P_{\chi}(X^{\circ}, \mathbf{p})$  to moduli spaces of stable pairs on the (punctured) fibres/section inside their normal bundle.

Recall that

$$\mathbf{p} := \sum_{i=1}^{m} d_i p_i \in \operatorname{Sym}^d(B), \ C_{\mathbf{p}} := \bigcup_{i=1}^{m} F_{p_i},$$

and  $q_1, \ldots, q_n$  are all nodes of  $C_{\mathbf{p}}$ . We have an inclusion

$$P_{\chi}(X^{\circ}, \mathbf{p}) \subset P_{\chi}(X^{\circ}, \beta),$$

where  $P_{\chi}(X^{\circ}, \beta)$  denotes the moduli space of stable pairs  $I^{\bullet} = [\mathcal{O}_{X^{\circ}} \to \mathcal{F}]$  on  $X^{\circ}$  such that  $\chi(\mathcal{F}) = \chi$  and the closure of the scheme theoretic support of  $\mathcal{F}$  in X is proper with class  $\beta$ . We can make a formal completion of the former space along the latter

$$\widehat{P}_{\chi}(X^{\circ},\beta)_{P_{\chi}(X^{\circ},\mathbf{p})}.$$

Obviously the underlying topological space is unchanged so

$$e(\widehat{P}_{\mathbf{Y}}(X^{\circ}, \beta)_{P_{\mathbf{Y}}(X^{\circ}, \mathbf{p})}) = e(P_{\mathbf{Y}}(X^{\circ}, \mathbf{p})).$$

Passing to the formal completion allows us to consider stable pairs on the formal completion of  $X^{\circ}$  along  $C_{\mathbf{p}}^{\circ} := C_{\mathbf{p}} \setminus \{q_1, \dots, q_n\}$ . This formal completion is denoted by

$$\widehat{X^{\circ}}_{C_{\mathbf{p}}^{\circ}}.$$

Lemma 4.1. There exists a canonical isomorphism

$$\widehat{P}_{\chi}(X^{\circ},\beta)_{P_{\chi}(X^{\circ},\mathbf{p})} \cong P_{\chi}(\widehat{X^{\circ}}_{C_{\mathbf{p}}^{\circ}},\mathbf{p}),$$

where  $P_{\chi}(\widehat{X}^{\circ}_{C_{\mathbf{p}}^{\circ}}, \mathbf{p})$  is the moduli space of stable pairs  $I^{\bullet} = [\mathcal{O} \to \mathcal{F}]$  on  $\widehat{X}^{\circ}_{C_{\mathbf{p}}^{\circ}}$  such that  $\chi(\mathcal{F}) = \chi$ ,  $\mathcal{F}$  has multiplicity 1 along  $\widehat{B}^{\circ}$ , and  $\mathcal{F}$  has multiplicity  $d_i$  along  $\widehat{F}_{p_i}^{\circ}$  for all i. Here  $\widehat{B}^{\circ}$ ,  $\widehat{F}_{p_i}^{\circ}$  denote the lifts of  $B^{\circ}$ ,  $F_{p_i}^{\circ} := F_{p_i} \setminus \{q_1, \ldots, q_n\}$  to  $\widehat{X}^{\circ}_{C_{\mathbf{p}}^{\circ}}$ .

*Proof.* Jim's idea of categorical limits. This should be formal.  $\Box$ 

Let us take a closer look at the formal scheme  $\widehat{X}^{\circ}_{C_{\mathbf{p}}^{\circ}}$ . Removing the nodes points  $q_1, \ldots, q_n$  we obtain smooth curves  $B^{\circ}$ ,  $F_{p_i}^{\circ}$  and

$$C_{\mathbf{p}}^{\circ} \cong B^{\circ} \sqcup F_{p_1}^{\circ} \sqcup \cdots \sqcup F_{p_m}^{\circ}.$$

This isomorphism also holds at the level of formal schemes.

Lemma 4.2. There exists a canonical isomorphism

$$\widehat{X^{\circ}}_{C_{\mathbf{p}}^{\circ}} \cong \widehat{X^{\circ}}_{B^{\circ}} \sqcup \widehat{X^{\circ}}_{F_{p_{1}}^{\circ}} \sqcup \cdots \sqcup \widehat{X^{\circ}}_{F_{p_{m}}^{\circ}},$$

where  $\widehat{X}^{\circ}_{B^{\circ}}$ ,  $\widehat{X}^{\circ}_{F_{p_{i}}^{\circ}}$  are the formal completions of X along  $B^{\circ}$ ,  $F_{p_{i}}^{\circ}$ .

*Proof.* Disjoint union commutes with formal completion.

This lemma allows us to pass to the normal bundles of  $B^{\circ} \subset X^{\circ}$ ,  $F_{p_i}^{\circ} \subset X^{\circ}$ .

Lemma 4.3. There exists natural isomorphisms

$$\widehat{X^{\circ}}_{B^{\circ}} \cong \widehat{N_{B^{\circ}/X^{\circ}}}_{B^{\circ}}, \ \widehat{X^{\circ}}_{F_{p_{i}}^{\circ}} \cong \widehat{N_{F_{p_{i}}^{\circ}/X^{\circ}}}_{F_{p_{i}}^{\circ}}$$

where  $\widehat{N_{B^{\circ}/X^{\circ}}}_{B^{\circ}}$ ,  $\widehat{N_{F_{p_{i}}^{\circ}/X^{\circ}}}_{F_{p_{i}}^{\circ}}$  are the formal completions of the normal bundles  $N_{B^{\circ}/X^{\circ}}$ ,  $N_{F_{p_{i}}^{\circ}/X^{\circ}}$  along their zero sections  $B^{\circ}$ ,  $F_{p_{i}}^{\circ}$ .

*Proof.* For the fibres we proved this rigorously using sections of  $\mathcal{O}/\mathscr{I}^{r+1} \to \mathcal{O}/\mathscr{I}^r$  pulled back from the base B. This requires flatness of  $\pi$ . For the section we use Davesh's argument.

Lemmas 4.1, 4.2, 4.3 allow us write

$$\widehat{P}_{\chi}(X^{\circ},\beta)_{P_{\chi}(X^{\circ},\mathbf{p})} \cong \coprod_{\chi=\chi'+\chi_{1}+\dots+\chi_{m}} P_{\chi'}(\widehat{N_{B^{\circ}/X^{\circ}}}_{B^{\circ}},\mathbf{p}) \times \prod_{i=1}^{m} P_{\chi_{i}}(\widehat{N_{F^{\circ}_{p_{i}}/X^{\circ}}}_{F^{\circ}_{p_{i}}},\mathbf{p}),$$

where  $P_{\chi}(\widehat{N_{F_{p_i}^{\circ}/X^{\circ}}}_{F_{p_i}^{\circ}}, \mathbf{p})$  is the moduli space of stable pairs  $I^{\bullet} = [\mathcal{O} \to \mathcal{F}]$  on  $\widehat{N_{F_{p_i}^{\circ}/X^{\circ}}}_{F_{p_i}^{\circ}}$  with  $\chi(\mathcal{F}) = \chi$  and  $\mathcal{F}$  has set theoretic support  $\widehat{F_{p_i}^{\circ}}$  with multiplicity  $d_i$ . Here  $\widehat{F_{p_i}^{\circ}}$  denotes the lift of  $F_{p_i}^{\circ}$  to  $\widehat{N_{F_{p_i}^{\circ}/X^{\circ}}}_{F_{p_i}^{\circ}}$ . Similar for  $P_{\chi}(\widehat{N_{B^{\circ}/X^{\circ}}}_{B^{\circ}}, \mathbf{p})$  where the multiplicity along  $\widehat{B^{\circ}}$  is required to be one.

Finally we want to "undo" the formal completion on the normal bundles by using categorical limits as in Lemma 4.1. We denote by

$$P_{\chi}(N_{F_{p,\cdot}^{\circ}/X^{\circ}},\mathbf{p}) \subset P_{\chi}(N_{F_{p,\cdot}^{\circ}/X^{\circ}},d_{i}F_{p_{i}}^{\circ})$$

moduli spaces of stable pairs  $I^{\bullet} = [\mathcal{O} \to \mathcal{F}]$  on  $N_{B^{\circ}/X^{\circ}}$  with  $\chi(\mathcal{F}) = \chi$ . The first has  $\mathcal{F}$  with set theoretic support  $F_{p_i}^{\circ}$  and multiplicity  $d_i$ . The second has

IS THIS RE-ALLY TRUE? Sounds plausible.

We have to be careful here because of the discussions in the first two weeks of July/the discussions with Davesh. Something like this presumably only works on open affine pieces. That should be enough though since we first remove the nodes.

 $\mathcal{F}$  such that the closure of its set theoretic support in  $N_{F_{p_i}/X}$  is proper with class  $d_i F_{p_i}$ . Similarly we consider

$$P_{\mathcal{X}}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}) \subset P_{\mathcal{X}}(N_{B^{\circ}/X^{\circ}}, B^{\circ}).$$

The argument presented in the proof of Lemma 4.1 gives

$$P_{\chi}(\widehat{N_{B^{\circ}/X^{\circ}}}_{B^{\circ}}, \mathbf{p}) \cong \widehat{P}_{\chi}(N_{B^{\circ}/X^{\circ}}, B^{\circ})_{P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p})}$$

$$P_{\chi}(\widehat{N_{F_{p_{i}}^{\circ}/X^{\circ}}}_{F_{p_{i}}^{\circ}}, \mathbf{p}) \cong \widehat{P}_{\chi}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, d_{i}F_{p_{i}}^{\circ})_{P_{\chi}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, \mathbf{p})}.$$

Combining all arguments of this section gives the following result.

Proposition 4.4. We have natural isomorphisms

$$\widehat{P}_{\chi}(X^{\circ}, \beta)_{P_{\chi}(X^{\circ}, \mathbf{p})} \cong \coprod_{\chi = \chi' + \chi_{1} + \dots + \chi_{m}} \widehat{P}_{\chi'}(N_{B^{\circ}/X^{\circ}}, B^{\circ})_{P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p})} \times \prod_{i=1}^{m} \widehat{P}_{\chi_{i}}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, d_{i}F_{p_{i}}^{\circ})_{P_{\chi}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, \mathbf{p})}.$$

In particular on the underlying topological space we have a homeomorphism

$$P_{\chi}(X^{\circ}, \mathbf{p}) \approx \coprod_{\chi = \chi' + \chi_{1} + \dots + \chi_{m}} P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}) \times \prod_{i=1}^{m} P_{\chi}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, \mathbf{p}).$$

*Proof.* Combination of the above.

# 5. Localization

5.1. **Localization I.** In the previous two sections we constructed an embedding

(4) 
$$P_{\chi}(X, \mathbf{p}) \hookrightarrow \coprod_{\chi = \chi' + \chi_1 + \dots + \chi_n} P_{\chi'}(X^{\circ}, \mathbf{p}) \times \prod_{j=1}^n P_{\chi_j}(\widehat{X}_{q_i}, \mathbf{p})$$

and homeomorphisms

(5) 
$$P_{\chi}(X^{\circ}, \mathbf{p}) \approx \coprod_{\chi = \chi' + \chi_{1} + \dots + \chi_{m}} P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}) \times \prod_{i=1}^{m} P_{\chi}(N_{F_{p_{i}}^{\circ}/X^{\circ}}, \mathbf{p}).$$

Each normal bundle has a natural  $\mathbb{C}^{*2}$ -action given by scaling the fibres. The action of  $\mathbb{C}^{*2}$  on  $P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p})$  is trivial<sup>2</sup> so we ignore it. Therefore  $\mathbb{C}^{*2m}$  acts naturally on  $P_{\chi}(X^{\circ}, \mathbf{p})$  by (5).

 $<sup>^{2}</sup>$ This action is transverse to the section and our stable pairs have multiplicity 1 along B.

Since each  $\widehat{X}_{q_j}$  is just the reduced point  $q_j$  with structure sheaf

$$\mathcal{O}_{\widehat{X}_{q_i}} \cong \widehat{\mathcal{O}}_{X,q_j} \cong \mathbb{C}[\![x,y,z]\!],$$

we have  $\mathbb{C}^{*3}$  acting on this space by  $(s_1, s_2, s_3) \cdot (x, y, z) = (s_1 x, s_2 y, s_3 z)$ . In total we get an action of  $\mathbb{C}^{*(2m+3n)}$  on the RHS of (4). However  $P_{\chi}(X, \mathbf{p})$  is not invariant under this full torus.

**Lemma 5.1.** Define the a 2m-dimensional subtorus  $T \subset \mathbb{C}^{*(2m+3n)}$  by the following equations. For any nodal fibre  $F_{p_i}$  with node  $q_j$  let  $(t_1^{(i)}, t_2^{(i)})$  be the coordinates of  $\mathbb{C}^{*2}$  acting on  $N_{F_{p_i}^{\circ}/X^{\circ}}$  and let  $(s_1^{(j)}, s_2^{(j)}, s_3^{(j)})$  be the coordinates of  $\mathbb{C}^{*3}$  acting on  $\widehat{X}_{q_j}$ , then

$$s_1^{(j)} = s_2^{(j)} = t_1^{(i)}, \ s_3^{(j)} = t_2^{(i)}.$$

For any (not necessarily nodal) fibre  $F_{p_i}$  and  $\{q_j\} = F_{p_i} \cap B$  let  $(t_1^{(i)}, t_2^{(i)})$  be the coordinates of  $\mathbb{C}^{*2}$  acting on  $N_{F_{p_i}^{\circ}/X^{\circ}}$  and let  $(s_1^{(j)}, s_2^{(j)}, s_3^{(j)})$  be the coordinates of  $\mathbb{C}^{*3}$  acting on  $\widehat{X}_{q_j}$ , then

$$s_1^{(j)} = 1, \ s_2^{(j)} = t_1^{(i)}, \ s_3^{(j)} = t_2^{(i)}.$$

Then T leaves  $P_{\chi}(X, \mathbf{p})$  invariant.

*Proof.* Use the gluing conditions of Lemma 3.1. This does require passing through several isomorphisms which could be tricky.  $\Box$ 

How tedious will this be...?

Since  $e(P_{\chi}(X, \mathbf{p})) = e(P_{\chi}(X, \mathbf{p})^T)$  we are reduced to understanding the fixed point locus  $P_{\chi}(X, \mathbf{p})^T$ . Let

$$([s:\mathcal{O}_{X^{\circ}}\to\mathcal{E}],\{[s_j:\mathcal{O}_{\widehat{X}_{q_j}}\to\mathcal{F}_j]\}_{j=1}^n)\in\coprod_{\chi=\chi'+\chi_1+\cdots+\chi_n}P_{\chi'}(X^{\circ},\mathbf{p})\times\prod_{j=1}^nP_{\chi_j}(\widehat{X}_{q_j},\mathbf{p}).$$

This element lies in  $P_{\chi}(X, \mathbf{p})$  if and only if the underlying Cohen-Macaulay curves  $C_{\mathcal{E}}$ ,  $C_{\mathcal{F}_j}$  glue as described in Lemma 3.1. This element is in addition T-fixed if and only if each of the restrictions

$$\Gamma(\widehat{X}_{q_j}, \mathscr{I}_{C_{\mathcal{F}_j}}) \otimes_{\mathbb{C}[\![x,y,z]\!]} \mathbb{C}[\![x^{\pm},y,z]\!] \cong \widehat{\Gamma}(V \times \mathbb{C}, \mathscr{I}_{C_{\mathcal{E}}}|_{V \times \mathbb{C}})$$

$$\Gamma(\widehat{X}_{q_j}, \mathscr{I}_{C_{\mathcal{F}_j}})) \otimes_{\mathbb{C}[\![x,y,z]\!]} \mathbb{C}[\![x,y^{\pm},z]\!] \cong \widehat{\Gamma}(W \times \mathbb{C}, \mathscr{I}_{C_{\mathcal{E}}}|_{W \times \mathbb{C}})$$

is given by a monomial ideal in two variables, i.e. a (2-dimensional) partition. For each node which is the intersection point of a (not necessarily nodal) fibre  $F_{p_i}$  with the zero section, this amounts to specifying a partition  $\lambda_i$  of  $d_i$  in the fibre direction. The partition in the section direction is (1), because the

multiplicity of  $C_{\mathcal{E}}$  along B is 1. For each node of a nodal fibre  $F_{p_i}$  the cross-section of the Cohen-Macaulay support curve has to be given by the same partitions  $\lambda_i$ . Altogether we have fixed partitions  $\boldsymbol{\lambda} = \{\lambda_i \vdash d_i\}_{i=1}^m$ . Denote by

$$P_{\chi}(X^{\circ}, \mathbf{p})_{\lambda} \subset P_{\chi}(X^{\circ}, \mathbf{p}), \ P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda} \subset P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})$$

the locally closed subsets for which the underlying Cohen-Macaulay curves have restrictions described by partitions  $\lambda$  as above. We arrive at the following conclusion.

**Lemma 5.2.** The embedding (4) induces a bijective morphism

$$P_{\chi}(X, \mathbf{p})^{T} \cong \coprod_{\chi = \chi' + \chi_{1} + \dots + \chi_{n}} \coprod_{\lambda = \{\lambda_{i} \vdash d_{i}\}_{i=1}^{m}} P_{\chi'}(X^{\circ}, \mathbf{p})_{\lambda} \times \prod_{j=1}^{n} P_{\chi_{j}}(\widehat{X}_{q_{j}}, \mathbf{p})_{\lambda},$$

where T is the torus of Lemma 4.3.

*Proof.* Easy from the above.

5.2. **Localization II.** In this subsection we focus attention on  $e(P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda})$  for any  $\lambda = \{\lambda_i \vdash d_i\}_{i=1}^m$ . On each moduli space  $P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})$  we have a  $\mathbb{C}^{*3}$ -action as described in the previous subsection. This action leaves

$$P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda} \subset P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})$$

invariant. The fixed point locus  $P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}$  consists of *isolated* fixed points which can be counted using the vertex/edge formalism for stable pairs developed by R. Pandharipande and R. P. Thomas [PT2]. Note that the fixed loci indeed consist of isolated reduced points since one leg is always empty [PT2]. There are two cases:

Case 1:  $q_j$  is a node of a nodal fibre  $F_{p_i}$ . In this case the legs of the elements of  $P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}$  are fixed by the partitions  $(\lambda_i, \lambda_i^t, \varnothing)$  where  $(\cdot)^t$  denotes the dual partition and we use the ordering convention of [ORV]. The generating function is given by the stable pairs vertex

(6) 
$$W_{\lambda_i,\lambda_i^t,\varnothing}(q) = \sum_{\chi} e(P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}) q^{\chi} = \sum_{\mathcal{Q} \in P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}} w(\mathcal{Q}) q^{l(\mathcal{Q}) + 2|\lambda_i|},$$

where we use the notation of [PT2].

Case 2:  $q_j$  is a node arising from B intersecting a fibre  $F_{p_i}$ . In this case the legs of the elements of  $P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}$  are fixed by the partitions  $(\lambda_i, (1), \emptyset)$ .

[PT2, ORV] are signed Euler chars, whereas for the moment we are doing ordinary Euler chars.  $W_{\lambda,\mu,\nu}(q)$  are understood in this way for now.

The generating function is given by the stable pairs vertex

(7) 
$$W_{\lambda_i,(1),\varnothing}(q) = \sum_{\chi} e(P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}) q^{\chi} = \sum_{\mathcal{Q} \in P_{\chi}(\widehat{X}_{q_j}, \mathbf{p})_{\lambda}^{\mathbb{C}^{*3}}} w(\mathcal{Q}) q^{l(\mathcal{Q}) + |\lambda_i| + 1}.$$

5.3. **Punctured curves.** In this subsection we consider  $e(P_{\chi}(X^{\circ}, \mathbf{p})_{\lambda})$  for any  $\lambda = \{\lambda_i \vdash d_i\}_{i=1}^m$ . Recall the homeomorphism (5) and define locally closed subsets

$$P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p})_{\lambda} \subset P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}), \ P_{\chi}(N_{F_{p_{z}}^{\circ}/X^{\circ}}, \mathbf{p})_{\lambda} \subset P_{\chi}(N_{F_{p_{z}}^{\circ}/X^{\circ}}, \mathbf{p})$$

with specified "cross-sections"  $\lambda$  of the underlying Cohen-Macaulay curves. Since the Cohen-Macaulay curves underlying the stable pairs in  $P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p})$  have multiplicity 1, this space is just a Hilbert scheme of points on  $B^{\circ}$  [PT3]

$$P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}) \cong \mathrm{Hilb}^{n}(B^{\circ})$$

where

$$\chi = 1 - g(B) + n.$$

Therefore

(8) 
$$\sum_{\chi} e(P_{\chi}(N_{B^{\circ}/X^{\circ}}, \mathbf{p}))q^{\chi} = q^{1-g(B)} \sum_{n=0}^{\infty} e(\mathrm{Hilb}^{n}(B^{\circ}))q^{n} = \frac{q^{1-g(B)}}{(1-q)^{e(B^{\circ})}}.$$

The curves  $F_{p_i}^{\circ}$  coming from a nodal fibre are punctured  $\mathbb{P}^1$ 's

$$F_{p_i}^{\circ} \cong \mathbb{P}^1 \setminus \{3 \ pts\} \cong \mathbb{C}^* \setminus pt.$$

The curves  $F_{p_i}^{\circ}$  coming from a smooth fibre are smooth elliptic curves E with one puncture. Moreover all normal bundles are in fact *trivial*. Indeed for any fibre F of the elliptic surface  $\pi: S \to B$  we have

$$N_{F/X} \cong N_{F/S} \oplus N_{S/X}|_F \cong \mathcal{O}_F(F) \oplus K_S|_F \cong \mathcal{O}_F \oplus \mathcal{O}_F.$$

The last isomorphism follows from  $F^2 = 0$  and the formula for the canonical divisor of an elliptic fibration [Mir]

$$K_S = \pi^* D$$
,

where D is a divisor of degree  $\chi(\mathcal{O}_S) - \chi(\mathcal{O}_B)$  on B. Therefore

$$N_{F_{p_i}^{\circ}/X^{\circ}} \cong F_{p_i}^{\circ} \times \mathbb{C}^2 \cong \left\{ \begin{array}{ll} (\mathbb{C}^* \setminus pt) \times \mathbb{C}^2 & \text{if } F_{p_i} \text{ is nodal} \\ (E \setminus pt) \times \mathbb{C}^2 & \text{if } F_{p_i} \text{ is smooth.} \end{array} \right.$$

The generating functions of the trivial rank 2 bundles over  $F_{p_i} \setminus q_j \cong \mathbb{C}^*$  (when  $F_{p_i}$  is nodal with node  $q_j$ ) and  $F_{p_i} \cong E$  (when  $F_{p_i}$  is smooth) are easy. Indeed in the former case case  $\mathbb{C}^*$  acts (freely) on itself by multiplication and in the latter case E acts (freely) on itself by addition. These actions lift to free actions on the moduli spaces. We obtain the following result.

**Lemma 5.3.** The following equalities hold

$$\sum_{\chi} e(P_{\chi}(\mathbb{C}^* \times \mathbb{C}^2, \mathbf{p})_{\lambda} q^{\chi} = 1,$$
$$\sum_{\chi} e(P_{\chi}(E \times \mathbb{C}^2, \mathbf{p})_{\lambda} q^{\chi} = 1.$$

*Proof.* Easy using freeness of the action and  $e(\mathbb{C}^*) = e(E) = 0$ .

The required generating functions can be computed by using the restriction argument of Section 3 once more. Let C be any smooth curve and consider the 3-fold  $C \times \mathbb{C}^2$ . Let  $p \in C$  and consider the embedding

$$P_{\chi}(C \times \mathbb{C}^2, d) \hookrightarrow \coprod_{\chi = \chi_1 + \chi_2} P_{\chi_1}((C \setminus p) \times \mathbb{C}^2, d) \times P_{\chi_2}(\widehat{C}_p \times \mathbb{C}^2, d),$$

where d denotes the degree of the curve class<sup>3</sup>. The torus  $T = \mathbb{C}^{2*}$  is acting on both spaces by scaling of the factors of  $\mathbb{C}^2$  and the fixed loci are indexed by partitions  $\lambda \vdash d$  as earlier in this section. Again we use the notation  $(\cdot)_{\lambda}$  to indicate that the "cross-section" of the underlying Cohen-Macaulay support curve has been fixed to be the monomial ideal corresponding to  $\lambda$ . We obtain a bijective morphism

$$P_{\chi}(C \times \mathbb{C}^2, d)_{\lambda} \cong \coprod_{\chi = \chi_1 + \chi_2} P_{\chi_1}((C \setminus p) \times \mathbb{C}^2, d)_{\lambda} \times P_{\chi_2}(\widehat{C}_p \times \mathbb{C}^2, d)_{\lambda}.$$

Summing over all  $\chi$  gives the following lemma.

## Lemma 5.4.

$$\sum_{\chi} e(P_{\chi}(C \times \mathbb{C}^2, d)_{\lambda}) q^{\chi} = W_{\lambda, \emptyset, \emptyset}(q) \cdot \sum_{\chi} e(P_{\chi}(\widehat{C}_p \times \mathbb{C}^2, d)) q^{\chi},$$

*Proof.* To obtain the stable pair vertex use a  $\mathbb{C}^{*3}$ -action on  $\widehat{C}_p \times \mathbb{C}^2$  as in the previous subsection.

Putting everything together we obtain the desired generating function.

**Proposition 5.5.** For each fibre  $F_{p_i}$  (nodal or not) we have

$$\sum_{\chi} e(P_{\chi}(N_{F_{p_i}^{\circ}/X^{\circ}}, \mathbf{p})_{\lambda})q^{\chi} = \frac{1}{W_{\lambda,\varnothing,\varnothing}(q)}.$$

Proof. Combine Lemmas 5.3, 5.4.

<sup>&</sup>lt;sup>3</sup>The precise definition of these moduli spaces is as in Section 3: we assume the underlying reduced supports of the stable pairs in each moduli space are C,  $C \setminus p$ ,  $\widehat{C}$  respectively and d denotes the multiplicity of the underlying Cohen-Macaulay supports along these curves.

We calculate the disconnected generating function (1) first. The connected generating function (2) then follows easily. Denote by  $B^{\circ} \subset B$  the locus of smooth fibres and by  $B^{\text{sing}} \subset B$  the locus of singular fibres. Let  $\text{Conf}^i(B^{\circ})$  be the configuration space of i unordered points on  $B^{\circ}$  and let  $N := |B^{\text{sing}}|$ . Lemma 2.1 implies

$$Z^{P\bullet}(q,y) = \sum_{\chi} \sum_{i=0}^{\infty} \sum_{i'=0}^{N} \sum_{d_{1},\dots,d_{i} \geq 0} \sum_{d'_{1},\dots,d'_{i'} \geq 0} y^{\sum_{a=1}^{i} d_{a} + \sum_{a=1}^{i'} d'_{a}} \cdot e(\operatorname{Conf}^{i}(B^{\circ})) \cdot \binom{N}{i'} \times e\left(P_{\chi}\left(X, \sum_{a=1}^{i} d_{a}p_{a} + \sum_{a=1}^{i'} d'_{a}p'_{a}\right)\right)$$

$$= \sum_{\chi} \sum_{i=0}^{\infty} \sum_{i'=0}^{N} \sum_{d_{1},\dots,d_{i} \geq 0} \sum_{d'_{1},\dots,d'_{i'} \geq 0} y^{\sum_{a=1}^{i} d_{a} + \sum_{a=1}^{i'} d'_{a}} \cdot \binom{e(B) - N}{i} \cdot \binom{N}{i'} \times e\left(P_{\chi}\left(X, \sum_{a=1}^{i} d_{a}p_{a} + \sum_{a=1}^{i'} d'_{a}p'_{a}\right)\right),$$

where  $p_1, \ldots, p_i$  are any choice of distinct points on  $B^{\circ}$ ,  $p'_1, \ldots, p'_{i'}$  are any choice of distinct points among  $B^{\text{sing}}$ , and

(9) 
$$\binom{n}{k} := (-1)^k \binom{k-n-1}{k},$$

for n < 0. We abbreviate  $\mathbf{p} := \sum_{a=1}^{i} d_a p_a$ ,  $\mathbf{p}' := \sum_{a=1}^{i'} d'_a p'_a$ ,  $\mathbf{d} := \sum_{a=1}^{i} d_a$ , and  $\mathbf{d}' := \sum_{a=1}^{i'} d'_a$ . Lemma 5.2 gives

$$\begin{split} &\sum_{i=0}^{\infty}\sum_{i'=0}^{N}\sum_{d_{1},\ldots,d_{i}\geq0}\sum_{d'_{1},\ldots,d'_{i'}\geq0}\sum_{\chi}\sum_{\chi_{1},\ldots,\chi_{i}}\sum_{\chi'_{1},\ldots,\chi'_{i'}}\sum_{\pmb{\lambda}=\{\lambda_{a}\vdash d_{a}\}_{a=1}^{i}}\sum_{\pmb{\lambda}'=\{\lambda'_{a}\vdash d'_{a}\}_{a=1}^{i'}}y^{\mathbf{d}+\mathbf{d}'}\cdot\binom{e(B)-N}{i}\cdot\binom{N}{i'}\times\\ &e(P_{\chi}(X\setminus\{q_{1},\ldots,q_{i},q'_{1},\ldots,q'_{i'},r_{1},\ldots,r_{i'}\},\mathbf{p}+\mathbf{p}')_{\pmb{\lambda},\pmb{\lambda}'})\times\\ &\prod_{a=1}^{i}e(P_{\chi_{a}}(\widehat{X}_{q_{a}},\mathbf{p}+\mathbf{p}')_{\pmb{\lambda}})\cdot\prod_{a=1}^{i'}e(P_{\chi'_{a}}(\widehat{X}_{q'_{a}},\mathbf{p}+\mathbf{p}')_{\pmb{\lambda}'})\cdot\prod_{a=1}^{i'}e(P_{\chi'_{a}}(\widehat{X}_{r'_{a}},\mathbf{p}+\mathbf{p}')_{\pmb{\lambda}'}), \end{split}$$

where  $q_1, \ldots, q_i$  denote the nodes arising from  $F_{p_1}, \ldots, F_{p_i}$  intersecting the zero section,  $q'_1, \ldots, q'_{i'}$  denote the nodes arising from  $F_{p'_1}, \ldots, F_{p'_{i'}}$  intersecting the zero section, and  $r_1, \ldots, r_{i'}$  are the internal nodes of  $F_{p'_1}, \ldots, F_{p'_{i'}}$ . The sums

 $\sum_{\chi} \sum_{\chi_1,\dots,\chi_i} \sum_{\chi'_1,\dots,\chi'_{i'}} \cdots$  can be done using equations (6), (7), and (8)

$$\sum_{i=0}^{\infty} \sum_{i'=0}^{N} \sum_{d_1,\dots,d_i \geq 0} \sum_{d'_1,\dots,d'_{i'} \geq 0} \sum_{\lambda = \{\lambda_a \vdash d_a\}_{a=1}^{i}} \sum_{\lambda' = \{\lambda'_a \vdash d'_a\}_{a=1}^{i'}} y^{\mathbf{d}+\mathbf{d}'} \cdot \binom{e(B)-N}{i} \cdot \binom{N}{i'} \times \frac{q^{1-g(B)}}{(1-q)^{e(B)-i-i'}} \cdot \prod_{a=1}^{i} \frac{W_{\lambda_a,(1),\varnothing}(q)}{W_{\lambda_a,\varnothing,\varnothing}(q)} \cdot \prod_{a=1}^{i'} \frac{W_{\lambda'_a,\lambda'_a^t,\varnothing}(q)W_{\lambda'_a,(1),\varnothing}(q)}{W_{\lambda'_a,\varnothing,\varnothing}(q)} \times \frac{q^{1-g(B)}}{(1-q)^{e(B)}} \sum_{i=0}^{\infty} \sum_{i'=0}^{N} \binom{e(B)-N}{i} \cdot \binom{N}{i'} \cdot \binom{1-q}{i} \sum_{\lambda} \frac{W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)} y^{|\lambda|} \times \binom{1-q}{i'} \sum_{\lambda} \frac{W_{\lambda,\lambda^t,\varnothing}(q)W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)} y^{|\lambda|} \cdot \binom{N}{i'} \cdot \binom{1-q}{i'} \sum_{\lambda} \frac{W_{\lambda,\lambda^t,\varnothing}(q)W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)} y^{|\lambda|} \cdot \binom{N}{i'} \cdot$$

With our convention for binomial coefficients (9), Newton's binomial theorem and the geometric series can be combined in one formula

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$
, for all  $n \in \mathbb{Z}$ .

Performing the sums  $\sum_{i=0}^{\infty} \sum_{j=0}^{N} \cdots$  yields

$$\left(\frac{q}{(1-q)^2}\right)^{1-g(B)} \left((1-q)\sum_{\lambda} \frac{W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)} y^{|\lambda|}\right)^{e(B)-N} \cdot \left((1-q)\sum_{\lambda} \frac{W_{\lambda,\lambda^t,\varnothing}(q)W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)} y^{|\lambda|}\right)^{N}.$$

Similarly (but easier) one calculates the generating function  $\sum_{d\geq 0} \sum_{\chi} P_{\chi,dF}(X) q^{\chi} y^d$ 

$$\left(\sum_{\lambda} y^{|\lambda|}\right)^{e(B)-N} \cdot \left(\sum_{\lambda} W_{\lambda,\lambda^t,\varnothing}(q) y^{|\lambda|}\right)^{N}.$$

We arrive at the following proposition.

**Proposition 6.1.** The connected generating series  $Z^P(q, y)$  for stable pairs of  $X = \text{Tot}(K_S)$  of an elliptic surface  $S \to B$  with section of genus g(B) and N 1-nodal fibres is given by

$$\left(\frac{q}{(1-q)^2}\right)^{1-g(B)} \left(\frac{(1-q)\sum_{\lambda} \frac{W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)}y^{|\lambda|}}{\sum_{\lambda} y^{|\lambda|}}\right)^{e(B)-N} \cdot \left(\frac{(1-q)\sum_{\lambda} \frac{W_{\lambda,\lambda^t,\varnothing}(q)W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)}y^{|\lambda|}}{\sum_{\lambda} W_{\lambda,\lambda^t,\varnothing}(q)y^{|\lambda|}}\right)^N,$$

where  $W_{\lambda,\mu,\nu}(q)$  is the stable pairs vertex of [PT2].

The various generating functions of vertices appearing in this proposition can be computed. Obviously

$$\sum_{\lambda} y^{|\lambda|} = \prod_{i=1}^{\infty} (1 - y^i)^{-1}.$$

More interesting is the following lemma.

**Lemma 6.2.** The following identity holds

$$\sum_{\lambda} W_{\lambda, \lambda^{t}, \varnothing}(q) y^{|\lambda|} = \prod_{i=1}^{\infty} \left( (1 - y^{i}) \prod_{j=1}^{\infty} (1 - y^{i-1} q^{j})^{j} \right)^{-1}.$$

*Proof.* [ORV] and [MacD] or exercise in [Sta].

Less trivial is the following lemma.

**Lemma 6.3.** The following identity holds

$$(1-q)\sum_{\lambda}\frac{W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)}y^{|\lambda|}=\prod_{i=1}^{\infty}\frac{1-y^i}{(1-qy^i)(1-q^{-1}y^i)}.$$

*Proof.* First apply [ORV]. The remaining sum appears in [BO] as pointed out by P. Johnson answering a MathOverflow question.

The hardest is the following lemma.

Lemma 6.4. The following identity holds

$$(1-q)\sum_{\lambda}\frac{W_{\lambda,\lambda^t,\varnothing}(q)W_{\lambda,(1),\varnothing}(q)}{W_{\lambda,\varnothing,\varnothing}(q)}y^{|\lambda|} = \left(\prod_{i=1}^{\infty}\frac{1-y^i}{(1-qy^i)(1-q^{-1}y^i)}\right)\cdot \left(\prod_{i=1}^{\infty}\left((1-y^i)\prod_{j=1}^{\infty}\frac{\mathbf{dred}}{\mathbf{Ben}?}\right)^{-1}\right)$$

Proof. ?????

We obtain a proof of the theorem in the introduction.

Proof of Theorem 1.1. Combine Proposition 6.1 and Lemmas 6.2, 6.3, and 6.4.

I have not checked whether the overall  $q^{\cdots}$  factors work out. Is the power  $y^{i-1}$  in RHS correct?

STUCK ON THIS. Do we need help from Andrei, Paul, -

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