

**Teaching goals:** After completing, the student

- can convert formulas into prenex normal form (PNF)
- understands the notion of a Skolem variant, can Skolemize a given theory
- can transform a given open theory into CNF, write it in set representation
- knows Herbrand's theorem, can demonstrate it on an example, describe a Herbrand model

#### IN-CLASS PROBLEMS

**Problem 1.** Convert the following formulas into PNF. Then find their Skolem variants.

- $(\forall y)((\exists x)P(x, y) \rightarrow Q(y, z)) \wedge (\exists y)((\forall x)R(x, y) \vee Q(x, y))$
- $(\exists x)R(x, y) \leftrightarrow (\forall y)P(x, y)$
- $\neg((\forall x)(\exists y)P(x, y) \rightarrow (\exists x)(\exists y)R(x, y)) \wedge (\forall x)\neg(\exists y)Q(x, y)$

**Problem 2.** Convert into an equisatisfiable CNF formula, write in set representation.

- $(\forall y)(\exists x)P(x, y)$
- $\neg(\forall y)(\exists x)P(x, y)$
- $\neg(\exists x)((P(x) \rightarrow P(c)) \wedge (P(x) \rightarrow P(d)))$
- $(\exists x)(\forall y)(\exists z)(P(x, z) \wedge P(z, y) \rightarrow R(x, y))$

**Problem 3.** Let  $T = \{(\exists x)R(x), (\exists y)\neg P(x, y), (\exists y)(\forall z)(\neg R(x) \vee P(y, z))\}$  be a theory in the language  $L = \langle P, R \rangle$  without equality. Find an open theory  $T'$  equisatisfiable with  $T$ . Convert  $T'$  into CNF and write the resulting formula  $S$  in set representation.

**Problem 4.** Let  $T = \{\varphi_1, \varphi_2\}$  be a theory in the language  $L = \langle R \rangle$  with equality, where:

$$\begin{aligned}\varphi_1 &= (\exists y)R(y, x) \\ \varphi_2 &= (\exists z)(R(z, x) \wedge R(z, y) \wedge (\forall w)(R(w, x) \wedge R(w, y) \rightarrow R(w, z)))\end{aligned}$$

- Using Skolemization, construct an openly axiomatized theory  $T'$  (possibly in the extended language  $L'$ ) equisatisfiable with  $T$ .
- Let  $\mathcal{A} = \langle \mathbb{N}, R^{\mathcal{A}} \rangle$ , where  $(n, m) \in R^{\mathcal{A}}$  iff  $n$  divides  $m$ . Find an expansion  $\mathcal{A}'$  of the  $L$ -structure  $\mathcal{A}$  to the language  $L'$  such that  $\mathcal{A}' \models T'$ . (The set of natural numbers  $\mathbb{N}$  includes zero, see ISO 80000-2:2019.)

**Problem 5.** Construct a Herbrand model of the given theory, or find an unsatisfiable conjunction of ground instances of its axioms ( $c, d$  are constant symbols in the language).

- $T = \{\neg P(x) \vee Q(f(x), y), \neg Q(x, d), P(c)\}$
- $T = \{\neg P(x) \vee Q(f(x), y), Q(x, d), P(c)\}$
- $T = \{P(x, f(x)), \neg P(x, g(x))\}$
- $T = \{P(x, f(x)), \neg P(x, g(x)), P(g(x), f(y)) \rightarrow P(x, y)\}$

#### EXTRA PRACTICE

**Problem 6.** The theory of fields  $T$  in the language  $L = \langle +, -, \cdot, 0, 1 \rangle$  contains only one axiom  $\varphi$  that is not open:  $x \neq 0 \rightarrow (\exists y)(x \cdot y = 1)$ . We know that  $T \models 0 \cdot y = 0$  and  $T \models (x \neq 0 \wedge x \cdot y = 1 \wedge x \cdot z = 1) \rightarrow y = z$ .

- Find the Skolem form  $\varphi_S$  of the formula  $\varphi$  with a new function symbol  $f$ .
- Consider the theory  $T'$  obtained from  $T$  by replacing  $\varphi$  with  $\varphi_S$ . Is  $\varphi$  valid in  $T'$ ?

(c) Can every model of  $T$  be *uniquely* expanded to a model of  $T'$ ?

Now consider the formula  $\psi = x \cdot y = 1 \vee (x = 0 \wedge y = 0)$ .

(d) Are the axioms of existence and uniqueness for  $\psi(x, y)$  and the variable  $y$  valid in  $T$ ?

(e) Construct an extension  $T''$  of the theory  $T$  by definition of  $f$  using the formula  $\psi$ .

(f) Is  $T''$  equivalent to the theory  $T'$ ?

(g) Find an  $L$ -formula that is  $T''$ -equivalent to the formula:  $f(x \cdot y) = f(x) \cdot f(y)$

**Problem 7.** We know the following holds:

- *If a brick is on (another) brick, then it is not on the ground.*
- *Every brick is on (another) brick or on the ground.*
- *No brick is on a brick that is itself on (another) brick.*

We want to prove by resolution the following statement: “*If a brick is on (another) brick, the lower brick is on the ground.*”. Construct the corresponding CNF formula  $S$ , and try to find its resolution refutation.

#### FOR FURTHER THOUGHT

**Problem 8.** The Skolem form does not have to be equivalent to the original formula; verify that the following holds:

- (a)  $\models (\forall x)P(x, f(x)) \rightarrow (\forall x)(\exists y)P(x, y)$   
 (b)  $\not\models (\forall x)(\exists y)P(x, y) \rightarrow (\forall x)P(x, f(x))$