

Teaching goals: After completing, the student

- can convert formulas into prenex normal form (PNF)
- understands the notion of a Skolem variant, can Skolemize a given theory
- can transform a given open theory into CNF, write it in set representation
- knows Herbrand's theorem, can demonstrate it on an example, describe a Herbrand model

IN-CLASS PROBLEMS

Problem 1. Convert the following formulas into PNF. Then find their Skolem variants.

- $(\forall y)((\exists x)P(x, y) \rightarrow Q(y, z)) \wedge (\exists y)((\forall x)R(x, y) \vee Q(x, y))$
- $(\exists x)R(x, y) \leftrightarrow (\forall y)P(x, y)$
- $\neg((\forall x)(\exists y)P(x, y) \rightarrow (\exists x)(\exists y)R(x, y)) \wedge (\forall x)\neg(\exists y)Q(x, y)$

Problem 2. Convert into an equisatisfiable CNF formula, written in set representation.

- $(\forall y)(\exists x)P(x, y)$
- $\neg(\forall y)(\exists x)P(x, y)$
- $\neg(\exists x)((P(x) \rightarrow P(c)) \wedge (P(x) \rightarrow P(d)))$
- $(\exists x)(\forall y)(\exists z)(P(x, z) \wedge P(z, y) \rightarrow R(x, y))$

Problem 3. Let $T = \{(\exists x)R(x), (\exists y)\neg P(x, y), (\exists y)(\forall z)(\neg R(x) \vee P(y, z))\}$ be a theory in the language $L = \langle P, R \rangle$ without equality. Find an open theory T' equisatisfiable with T . Convert T' into CNF and write the resulting formula S in set representation.

Problem 4. Let $T = \{\varphi_1, \varphi_2\}$ be a theory in the language $L = \langle R \rangle$ with equality:

$$\begin{aligned}\varphi_1 &= (\exists y)R(y, x) \\ \varphi_2 &= (\exists z)(R(z, x) \wedge R(z, y) \wedge (\forall w)(R(w, x) \wedge R(w, y) \rightarrow R(w, z)))\end{aligned}$$

- Using Skolemization, construct an open axiomatized theory T' equisatisfiable with T (possibly in an extended language L').
- Let $\mathcal{A} = \langle \mathbb{N}, R^A \rangle$, where $(n, m) \in R^A$ iff n divides m . Find an expansion \mathcal{A}' of \mathcal{A} to L' such that $\mathcal{A}' \models T'$.

Problem 5. Construct a Herbrand model for the given theory, or find an unsatisfiable conjunction of ground instances (where c, d are constants in the language).

- $T = \{\neg P(x) \vee Q(f(x), y), \neg Q(x, d), P(c)\}$
- $T = \{\neg P(x) \vee Q(f(x), y), Q(x, d), P(c)\}$
- $T = \{P(x, f(x)), \neg P(x, g(x))\}$
- $T = \{P(x, f(x)), \neg P(x, g(x)), P(g(x), f(y)) \rightarrow P(x, y)\}$

EXTRA PRACTICE

Problem 6. The theory of fields T in the language $L = \langle +, -, \cdot, 0, 1 \rangle$ contains a single axiom φ , which is not open: $x \neq 0 \rightarrow (\exists y)(x \cdot y = 1)$. We know that $T \models 0 \cdot y = 0$ and $T \models (x \neq 0 \wedge x \cdot y = 1 \wedge x \cdot z = 1) \rightarrow y = z$.

- Find the Skolem form φ_S of the formula φ with a new function symbol f .
- Consider the theory T' obtained from T by replacing φ with φ_S . Does φ hold in T' ?
- Can every model of T be *uniquely* extended to a model of T' ?

Now consider the formula $\psi = x \cdot y = 1 \vee (x = 0 \wedge y = 0)$.

- (d) Do the axioms of existence and uniqueness hold in T for $\psi(x, y)$ and the variable y ?
- (e) Construct an extension T'' of the theory T by defining a symbol f using the formula ψ .
- (f) Is T'' equivalent to the theory T' ?
- (g) Find an L -formula that is T'' -equivalent to the formula: $f(x \cdot y) = f(x) \cdot f(y)$

Problem 7. We know the following holds:

- *If a brick is on (another) brick, then it is not on the ground.*
- *Every brick is on (another) brick or on the ground.*
- *No brick is on a brick that is on (another) brick.*

We want to prove by resolution the following statement: “*If a brick is on (another) brick, the lower brick is on the ground.*”. Construct the corresponding CNF formula S , and try to also find its resolution refutation.

FOR FURTHER THOUGHT

Problem 8. The Skolem form does not have to be equivalent to the original formula; verify that the following holds:

- (a) $\models (\forall x)P(x, f(x)) \rightarrow (\forall x)(\exists y)P(x, y)$
- (b) $\not\models (\forall x)(\exists y)P(x, y) \rightarrow (\forall x)P(x, f(x))$