

**Teaching goals:** After completing, the student

- knows terminology of tableau method (entry, tableau, tableau proof/refutation, finished/contradictory branch, canonical model), can define them formally, give examples
- knows all atomic tableaux and can create suitable atomic tableaux for any logical connective
- can construct a finished tableau for a given formula from a given (even infinite) theory
- can describe the canonical model for a given completed consistent branch of a tableau
- can apply the tableau method to solve a given problem (word problems, etc.)
- knows the compactness theorem and can apply it

#### IN-CLASS PROBLEMS

**Problem 1.** Aladdin found two chests, A and B, in a cave. He knows that each chest contains either a treasure or a deadly trap. The chests have the following inscriptions:

- On chest A: “*At least one of these two chests contains a treasure.*”
- On chest B: “*Chest A contains a deadly trap.*”

Aladdin knows that either both inscriptions are true, or both are false.

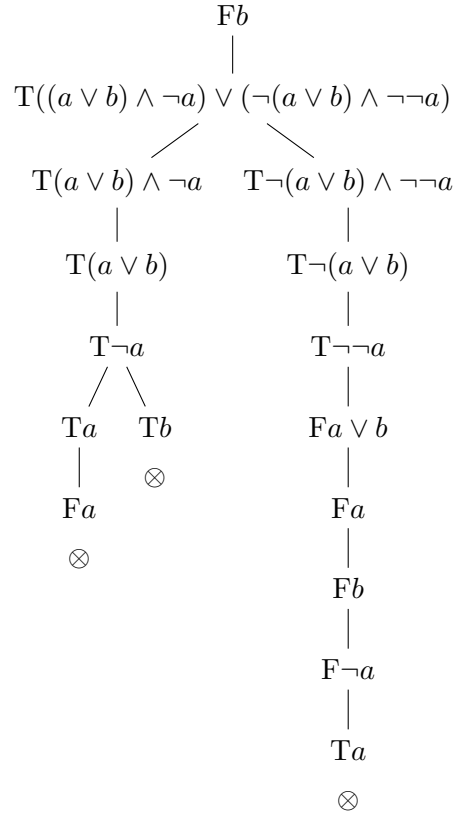
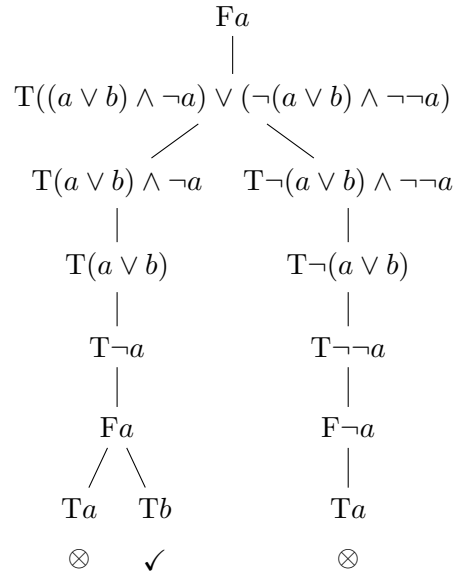
- Express Aladdin’s information as a theory  $T$  over a suitably chosen set of propositional variables  $\mathbb{P}$ . (Explain the meaning of each propositional variable in  $\mathbb{P}$ .)
- Try to construct tableau proofs from the theory  $T$  for the propositions “The treasure is in chest A” and “The treasure is in chest B”.
- If any of these completed tableaux are consistent, construct the canonical model for one of its consistent branches.
- What conclusion can we draw from this?

**Solution.** (a) From the context, we recognize that ‘either...or’ is exclusive (a chest cannot contain both a treasure and a deadly trap). We choose the language  $\mathbb{P} = \{a, b\}$ , where  $a$  means ‘chest A contains a treasure’, and similarly for  $b$ . The inscriptions on the chests are formalized as the formulas  $a \vee b$  and  $\neg a$ . The theory  $T$  expresses that both are true or both are false:

$$T = \{((a \vee b) \wedge \neg a) \vee (\neg(a \vee b) \wedge \neg \neg a)\}$$

(Alternatively, we could formalize it as  $T = \{(a \vee b) \leftrightarrow \neg a\}$ , i.e., noticing that “both true or both false” means equivalence. The tableau would be slightly smaller but otherwise similar—try it!)

- The tableaux have at their root the formulas  $Fa$  and  $Fb$  (we prove by contradiction):



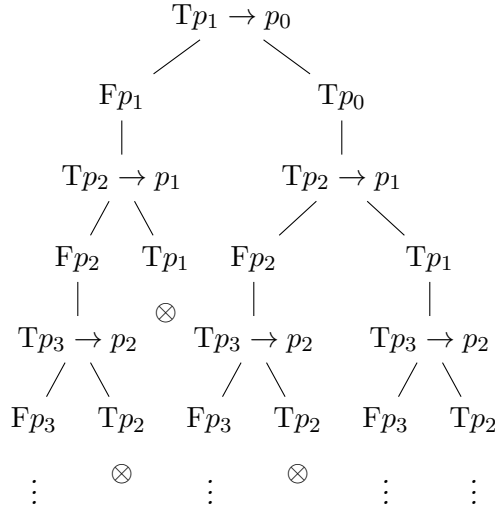
- (c) The first tableau is completed and consistent. The consistent branch contains the formulas  $Fa$ ,  $Tb$ , and the canonical model for this branch is  $v = (0, 1)$ . It is a model of the theory  $T$  (all its branches are consistent), in which chest  $A$  does not contain a treasure, providing a counterexample to the statement that chest  $A$  contains a treasure.

(d) The second tableau is contradictory, so it is a tableau proof and we know that chest B contains the treasure.

**Problem 2.** Consider the infinite propositional theories (a)  $T = \{p_{i+1} \rightarrow p_i \mid i \in \mathbb{N}\}$  (b)  $T = \{p_i \rightarrow p_{i+1} \mid i \in \mathbb{N}\}$ . Using the tableau method, find all models of  $T$ . Is every model of  $T$  a canonical model for some branch of this tableau?

**Solution.** Construct a tableau from the theory  $T$ , placing the formula  $T\alpha_0$  at the root, where  $\alpha_0$  is the first axiom of  $T$ . We only show the beginning of the construction; if needed, construct more.

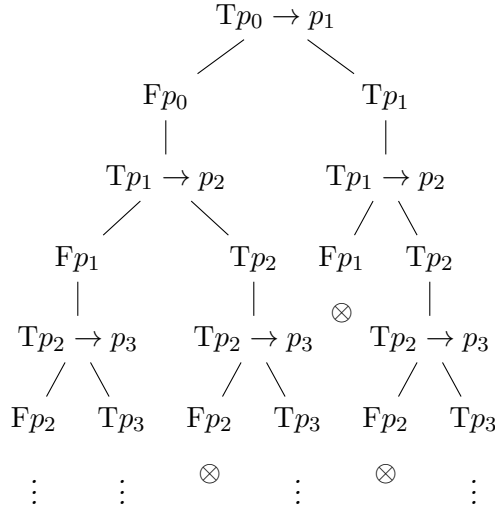
First, solve (a):



Each model of  $T$  corresponds to some (consistent) branch of this (completed) tableau. (Here, in fact, every model of  $T$  is a canonical model for some branch. In general, this does not necessarily hold.) The models are:  $M(T) = \{v_{<k} \mid k \in \mathbb{N}\} \cup \{v_{all}\}$ , where  $v_{all}(p_i) = 1$  for all  $i \in \mathbb{N}$ , and

$$v_{<k}(p_i) = \begin{cases} 1 & \text{if } i < k, \\ 0 & \text{if } i \geq k. \end{cases}$$

Now (b):

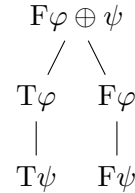
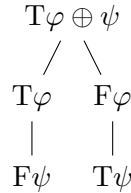


Again, it is easy to see that each model corresponds to some branch. We have  $M(T) = \{v_{none}\} \cup \{v_{\geq k} \mid k \in \mathbb{N}\}$ , where  $v_{none}(p_i) = 0$  for all  $i \in \mathbb{N}$ , and

$$v_{\geq k}(p_i) = \begin{cases} 0 & \text{if } i < k, \\ 1 & \text{if } i \geq k. \end{cases}$$

**Problem 3.** Design suitable atomic tableaux for the logical connective  $\oplus$  (XOR) and show that if a model satisfies the root of your atomic tableaux, it also satisfies some branch.

**Solution.** We need two atomic tableaux, for formulas of the form  $T\varphi \oplus \psi$  and  $F\varphi \oplus \psi$ . They can look, for example, as follows; verify the condition yourself (easily semantically):



**Problem 4.** Using the compactness theorem, show that every countable partial order can be extended to a complete (linear) order.

**Solution.** For finite partial orders, this can be easily proven (similarly to topologically ordering an acyclic directed graph).

Let  $\langle X; \leq^X \rangle$  be a countably infinite partially ordered set. Construct a propositional theory  $T$  such that its models describe linear orders on  $X$  extending  $\leq^X$ . It will consist of the following sets of formulas:

- $p_{xx}$  for all  $x \in X$  (reflexivity)
- $p_{xy} \rightarrow \neg p_{yx}$  for all  $x \neq y \in X$  (antisymmetry)
- $p_{xy} \wedge p_{yz} \rightarrow p_{xz}$  for all  $x, y, z \in X$  (transitivity)
- $p_{xy} \vee p_{yx}$  for all  $x, y \in X$  (linearity)
- $p_{xy}$  for all  $x, y$  such that  $x \leq^X y$  (ensures extension of  $\leq^X$ )

(Reflexivity can be omitted, as it already follows from the fact that it extends the reflexive relation  $\leq^X$ .)

*Proof:*  $\langle X; \leq^X \rangle$  has a linear extension if and only if  $T$  has a model, which by the compactness theorem holds if and only if every finite subset of  $T$  has a model. Take any finite  $T' \subseteq T$ . It is sufficient to show that  $T'$  has a model. Let  $X'$  be the set of all  $x \in X$  mentioned in  $T'$ , i.e.:

$$X' = \{x \in X \mid p_{xy} \in \text{Var}(T') \text{ or } p_{yx} \in \text{Var}(T') \text{ for some } y \in X\}$$

Since  $T'$  is finite,  $X'$  is finite. Let  $\leq^{X'}$  be the restriction of  $\leq^X$  to  $X'$ , i.e.,  $\leq^{X'} = \leq^X \cap (X' \times X')$ . This finite partial order can be extended to a linear order  $\leq_L^{X'}$ , which gives us a model of the theory  $T'$  (where  $v(p_{xy}) = 1$  if and only if  $x \leq_L^{X'} y$ ).

#### EXTRA PRACTICE

**Problem 5.** During the interrogation of Adam, Barbara, and Cyril, it was established that:

- (i) At least one of the interrogated persons tells the truth, and at least one lies.
  - (ii) Adam says: “Barbara or Cyril lie.”
  - (iii) Barbara says: “Cyril lies.”
  - (iv) Cyril says: “Adam or Barbara lie.”
- (a) Express statements (i)–(iv) as formulas  $\varphi_1$ – $\varphi_4$  over the set of propositional variables  $\mathbb{P} = \{a, b, c\}$ , where  $a, b, c$  respectively mean “Adam/Barbara/Cyril tells the truth”.
- (b) Using the tableau method, prove that  $T = \{\varphi_1, \dots, \varphi_4\}$  implies that Adam tells the truth.
- (c) Is the theory  $T$  equivalent to the theory  $T' = \{\varphi_2, \varphi_3, \varphi_4\}$ ? Justify your answer.

**Problem 6.** Using the tableau method, prove that the following formulas are tautologies:

- (a)  $(p \rightarrow (q \rightarrow q))$
- (b)  $p \leftrightarrow \neg \neg p$
- (c)  $\neg(p \vee q) \leftrightarrow (\neg p \wedge \neg q)$
- (d)  $(p \rightarrow q) \leftrightarrow (\neg q \rightarrow \neg p)$

**Problem 7.** Using the tableau method, either prove or find a counterexample in the form of a *canonical* model for a consistent branch.

- (a)  $\{\neg q, p \vee q\} \models p$
- (b)  $\{q \rightarrow p, r \rightarrow q, (r \rightarrow p) \rightarrow s\} \models s$
- (c)  $\{p \rightarrow r, p \vee q, \neg s \rightarrow \neg q\} \models r \rightarrow s$

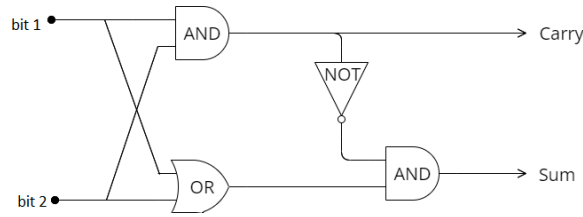
**Problem 8.** Using the tableau method, determine all models of the following theories:

- (a)  $\{(\neg p \vee q) \rightarrow (\neg q \wedge r)\}$
- (b)  $\{\neg q \rightarrow (\neg p \vee q), \neg p \rightarrow q, r \rightarrow q\}$
- (c)  $\{q \rightarrow p, r \rightarrow q, (r \rightarrow p) \rightarrow s\}$

**Problem 9.** Design suitable atomic tableaux and show that if a model satisfies the root of your atomic tableaux, it also satisfies some branch:

- for Peirce’s connective  $\downarrow$  (NOR),
- for Sheffer’s connective  $\uparrow$  (NAND),
- for  $\oplus$  (XOR),
- for the ternary operator “if  $p$  then  $q$  else  $r$ ” (IFTE).

**Problem 10.** A *half-adder circuit* is a logic circuit with two input bits (bit 1, bit 2) and two output bits (carry, sum) illustrated in the following diagram:



- (a) Formalize this circuit in propositional logic. Specifically, express it as a theory  $T = \{c \leftrightarrow \varphi, s \leftrightarrow \psi\}$  in the language  $\mathbb{P} = \{b_1, b_2, c, s\}$ , where the propositional variables mean “bit 1”, “bit 2”, “carry”, and “sum”, and the formulas  $\varphi, \psi$  do not contain the variables  $c, s$ .
- (b) Using the tableau method, prove that  $T \models c \rightarrow \neg s$ .

**Problem 11.** Using the compactness theorem, prove that every countable planar graph is four-colorable. You may use the Four Color Theorem (for finite graphs).

#### FOR FURTHER THOUGHT

**Problem 12.** Prove directly (by transforming tableaux) the *deduction theorem*, i.e., that for any theory  $T$  and formulas  $\varphi, \psi$ , we have:

$$T \vdash \varphi \rightarrow \psi \text{ if and only if } T, \varphi \vdash \psi$$

**Problem 13.** Let  $A$  and  $B$  be two non-empty theories in the same language. Suppose that every model of theory  $A$  satisfies at least one axiom of theory  $B$ . Show that there exist finite sets of axioms  $\{\alpha_1, \dots, \alpha_k\} \subseteq A$  and  $\{\beta_1, \dots, \beta_n\} \subseteq B$  such that  $\alpha_1 \wedge \dots \wedge \alpha_k \rightarrow \beta_1 \vee \dots \vee \beta_n$  is a tautology.