# context-sensitive grammars, Intro to computability theory

NTIN071 Automata and Grammars

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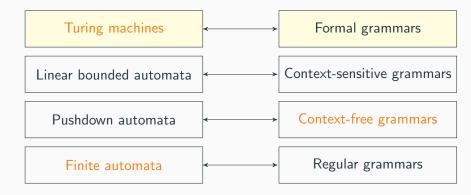
<sup>\*</sup> Adapted from the Czech-lecture slides by Marta Vomlelová with gratitude. The translation, some modifications, and all errors are mine.

#### Recap of Lecture 10

- Turing machine: two-way infinite tape, read, write, move head
- Accept iff in a final state; configurations
- TMs with output, computing a function
- Recursively enumerable vs. recursive languages (always halt).
- Construction tricks:
  - · storage in state
  - multiple tracks (on a single tape)
- Variants of TMs:
  - multi-tape (independent heads),
  - nondeterministic (accept iff some choices lead to final state)

# 3.3 Turing Machines and grammars

#### Chomsky hierarchy: Type 0



#### **Theorem**

A language is recursively enumerable, if and only if it is generated by a Type 0 grammar.

#### Turing machine to grammar

- First generate the relevant portion of the tape and a copy of the input word (nonterminal X for each x ∈ Γ, in reverse)
- Why? TM can rewrite w, G must generate it, cannot modify
- We have  $wB^n\underline{W}^RQ_0B^m$ , where  $B^n$ ,  $B^m$  is sufficient free space
- Then simulate moves (essentially reverse configs+free space)
- In a final state erase the simulated tape, keep only w

$$G=(\{S,C,D,E\}\cup\{\underline{X}\}_{x\in\Gamma}\cup\{Q_i\}_{q_i\in Q},\Sigma,\mathcal{P},S)$$
 where  $\mathcal P$  is:

- (1)  $S \to DQ_0E$  simulation starts in initial state  $D \to xD\underline{X} \mid E$  generate input word, reverse copy for simulation  $E \to BE \mid \epsilon$  generate sufficient free space for simulation
- (2)  $\underline{X}P \to Q\underline{X'}$  for all  $\delta(p,x) = (q,x',R)$  [direction reversed!]  $\underline{X}PY \to \underline{X'Y}Q$  for all  $\delta(p,x) = (q,x',L)$
- (3)  $P \to C$  for all  $p \in F$   $C\underline{X} \to C, \underline{X}C \to C$  clean the tape finish, generated w

# **Example:** $L = \{a^{2n} | n \ge 0\}$

$$M=(\{q_0,q_1,q_2,q_F\},\{a\},\{a\},\delta,q_0,B,\{q_F\})$$
 where 
$$\delta(q_0,a)=(q_1,a,R),$$
 
$$\delta(q_1,a)=(q_0,a,R),$$
 
$$\delta(q_0,B)=(q_F,B,L)$$

$$G = (\{S, C, D, E, Q_0, Q_1, Q_F, \underline{a}\}, \{a\}, S, \mathcal{P}_1 \cup \mathcal{P}_2 \cup \mathcal{P}_3)$$

Initialize: $\mathcal{P}_1$	Simulate: $\mathcal{P}_2$	Cleanup: $\mathcal{P}_3$
$S \rightarrow DQ_0E$	$\underline{a}Q_0  o Q_1\underline{a}$	$Q_F  o C$
$D  o aD\underline{a} \mid E$	$\underline{a}Q_1  o Q_0 \underline{a}$	$C\underline{a}  o C$
$E  o BE \mid \epsilon$	$BQ_0\underline{a} o B\underline{a}Q_F$	$\underline{a}C \to C$
		BC  o C
		$C \rightarrow \epsilon$

For w = aa: initialize  $aaB\underline{aa}Q_0$ , simulate  $aaB\underline{a}Q_F\underline{a}$ , cleanup: aa

#### **Proof**

## $L(M) \subseteq L(G)$

- For  $w \in L(M)$  there is a finite accepting sequence of moves
- The grammar generates sufficient space
- Then we simulate the moves
- Finally clean non-input symbols

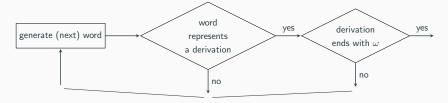
## $L(G) \subseteq L(M)$

- Steps in a derivation for  $w \in L(G)$  may be in different order
- But we can reorder them into the phases (1), (2), (3)
- Since we eliminated the underlined symbols, we must have generated the cleaning variable C
- ullet In order to generate C we must have generated a final state
- A final state can only be generated from the initial state by a sequence of simulated moves

#### **Grammar to Turing machine**

Idea: The TM sequentially generates all possible derivations. (Note: here we do not care about efficiency.)

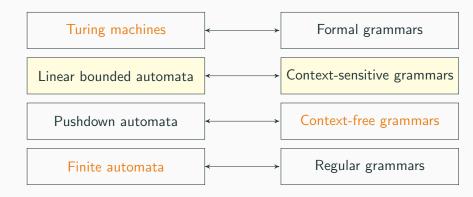
- code  $S \Rightarrow \beta_1 \Rightarrow \ldots \Rightarrow \beta_n = \omega$  as a string  $\#S \# \beta_1 \# \ldots \# \omega \#$
- construct a TM accepting exactly  $\#\alpha\#\beta\#$  where  $\alpha \Rightarrow \beta$
- construct a TM accepting  $\#\beta_1\#\ldots\#\beta_k\#$  where  $\beta_1\Rightarrow^*\beta_k$
- construct a TM generating sequentially all possible strings
- $\bullet$  check if the string is a valid derivation ending with  $\omega$



# 3.4 Linear bounded automata and

context-sensitive grammars

#### Chomsky hierarchy: Type 1



#### Context-sensitive languages

#### **Theorem**

The following are equivalent for a language L:

- (i) L is generated by a context-sensitive grammar.
- (ii) L is generated by a monotone grammar.
- (iii) L is recognized by a Linear Bounded Automaton (LBA).
  - context-sensitive grammar:  $\alpha_1 A \alpha_2 \to \alpha_1 \gamma \alpha_2$  where  $A \in V$ ,  $\gamma \in (V \cup T)^+$ ,  $\alpha_1, \alpha_2 \in (V \cup T)^*$   $(S \to \epsilon \text{ if } S \text{ not in bodies})$
  - ullet monotone grammar: lpha 
    ightarrow eta where  $|lpha| \leq |eta|$
  - Linear Bounded Automaton (LBA): a nondeterministic TM only using the input portion of the tape [we formalize later]

**Note:** Context-sensitive grammars are monotone,  $(i) \Rightarrow (ii)$  trivial. Monotone grammars do not shorten sentential forms in a derivation

### **Example:** $L = \{a^n b^n c^n | n \ge 1\}$ is context-sensitive

(Recall that L is not context-free.)

A monotone grammar:

$$S oup aSBC \mid abC$$
 right amount of a, B, C  
 $CB oup BC$  reorder to  $a^nbB^{n-1}C^n$   
 $bB oup bb$   $B oup b$  only if preceded by b  
 $bC oup bc$   $C oup c$  only if preceded by b  
 $cC oup cc$  ... or by  $c$ 

The rule  $CB \rightarrow BC$  is not context-sensitive. But we can convert it to a chain of context-sensitive rules:

$$CB \rightarrow XB$$
,  $XB \rightarrow XY$ ,  $XY \rightarrow BY$ ,  $BY \rightarrow BC$ 

(Same for any monotone rule, as long as there are no terminals.)

**Recall:** separated grammar means productions of the form  $\alpha \to \beta$ where either  $\alpha, \beta \in V^+$  or  $\alpha \in V, \beta \in T \cup \{\epsilon\}$ 

#### Lemma

Every monotone grammar can be converted to an equivalent context-sensitive grammar.

**Proof:** First, convert to separated grammar (as for ChNF). This preserves monotonicity,  $V_a \rightarrow a$  is monotone, context-sensitive.

Then, convert every production  $A_1 \dots A_m \to B_1 \dots B_n$   $(m \le n)$  to the following chain (using new auxiliary variables  $C_i$ ):

$$A_1A_2 \dots A_m \to C_1A_2 \dots A_m \qquad C_1C_2 \dots C_m \to B_1C_2 \dots C_m$$

$$C_1A_2 \dots A_m \to C_1C_2 \dots A_m \qquad B_1C_2 \dots C_m \to B_1B_2 \dots C_m$$

$$\vdots \qquad \vdots \qquad \vdots$$

$$C_1 \dots C_{m-1}A_m \to C_1 \dots C_{m-1}C_m \qquad B_1 \dots B_{m-1}C_m \to B_1 \dots B_{m-1}B_m \dots B_n \quad 11$$