# **Lecture 13 – Intro to Complexity theory**

NTIN071 Automata and Grammars

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<sup>\*</sup> Adapted from the Czech-lecture slides by Marta Vomlelová with gratitude. The translation, some modifications, and all errors are mine.

#### Recap of Lecture 12

- the Diagonal language  $L_D$  is not recursively enumerable
- the Universal language L<sub>U</sub>, the Universal TM: simulate any M
  on any w
- recursive languages are closed under complement
- Post's theorem: L recursive iff both  $L, \overline{L}$  are RE
- $\bullet$   $L_U$ ,  $L_D$  are recursively enumerable but not recursive
- reductions between decision problems
- the Halting problem is undecidable
- (Rice's thm: nontriv. properties of programs are undecidable)
- Undecidable problems about context-free grammars
- Source of undecidability: Post's correspondence problem

# **Summary of Lecture 13**

- time complexity
- .

# CHAPTER 5: INTRO TO COMPLEXITY

Time complexity

## **Asymptotic notation**

**Big-O notation:** Let  $f,g:\mathbb{N}\to\mathbb{R}^+$ . We say that  $f(n)\in O(g(n))$ , if there exist  $C,n_0\in\mathbb{N}^+$  such that  $(\forall n>n_0)\ f(n)\leq C\cdot g(n)$ 

e.g.  $\limsup_{n\to\infty} \frac{f(n)}{g(n)} < \infty$ . In that case we say that g(n) is an [asymptotic] upper bound [up to a constant multiple] for f(n).

**Note:** Often the imprecise term 'upper bound' is used; sometimes you will encounter f(n) = O(g(n)).

For example,  $f(5n^3 + 2n^2 + 22n + 6) \in O(n^3)$  with  $n_0 = 10$ , C = 6.

**Little-o notation:**  $f(n) \in o(g(n))$ , if for all c > 0 there exists  $n_0 \in \mathbb{N}^+$  so that  $(\forall n \ge n_0)$   $f(n) < c \cdot g(n)$ , i.e.  $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$ . Then we say f(n) is [asymptotically] dominated by g(n).

Analogously for  $\geq$  instead of  $\leq$ :  $\Omega, \omega$ .

### Classes of time complexity

#### **Definition**

Let M be a Turing machine that halts on every input. The time complexity of M is the function  $f: \mathbb{N} \to \mathbb{N}$ , where f(n) is the maximum number of computation steps for inputs of length n.

#### **Definition**

For  $t: \mathbb{N} \to \mathbb{R}^+$ ,  $\mathrm{TIME}(t(n))$  is the class of all languages decidable by a TM of time complexity in O(t(n)) (i.e., always halts and for |w| = n correctly answers in at most O(t(n)) steps).

**NB:** Here we mean the standard, single-tape, deterministic TM.

#### Example

# **Example** $(L = \{0^i 1^i \mid i \ge 0\} \text{ is in } TIME(n^2))$

- 1. check if the input is  $0^i 1^j$ , if a 0 follows a 1, reject (time O(n))
- 2. return to the beginning: hidden in the constant O(2n) = O(n)
- 3. go through the 0s, in time  $O(n^2)$ 
  - 3.1 rewrite the next 0 to X
  - 3.2 find the first 1, rewrite to X
  - 3.3 return to the beginning
- 4. if no more 0s, check that no more 1s remain and accept (if 1 found, reject) (time O(n))

Can we do it faster?

#### Can we do it faster?

**Idea:** "compare the binary representations of i and j",  $\log n$  bits, for each bit need to traverse through the word

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Example (L = \{0^i 1^i \mid i \ge 0\} \text{ is also in } TIME(n \log n))
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- 1. check if the input is  $0^i 1^j$  and even length (time O(n))
- 2. iterate while there are 0s, in time  $O(n \log n)$ 
  - 2.1 rewrite every other 0 to X, then every other 1 to X
  - 2.2 check if the number of remaining 0s+1s is even, if not, reject
- 3. if no more 0s, check that no more 1s and accept (time O(n))

Can we do it even faster?

### Time complexity and regular languages

Can we do it even faster? Not really.

#### **Theorem**

Every language decidable in time  $o(n \log n)$  [on a single-tape, deterministic TM] is regular.

[We omit the proof.]

### Multi-tape TM

### **Example (Multi-tape TM for** $L = \{0^i 1^i \mid i \ge 0\}$ **)**

- copy 0s to Tape 2
- at first 1, switch state; erase 1 from Tape 1 & 0 from Tape 2
- · accept if both tapes are erased

#### Lemma

Every multi-tape Turing Machine with time complexity t(n) is equivalent to a [single-tape] Turing Machine with time complexity  $O(t^2(n))$ .

**Proof:** Simulation of n steps of a k-tape TM can be done in  $O(n^2)$  moves since one step takes 4n + 2k moves (heads at most 2n fields apart, read, write, move head marks).

### Nondeterministic time complexity

The time complexity of a **nondeterministic** Turing machine that always halts is defined analogously: f(n) is the maximum number of steps in **any branch** of the computation tree.

#### **Definition**

For  $t : \mathbb{N} \to \mathbb{R}^+$ ,  $\overline{\text{NTIME}}(t(n))$  is the class of all languages decidable by a nondetermistic TM of time complexity in O(t(n)).

(An NTM decides L if halts on all inputs and recognizes L.)

#### **Theorem**

Any nondeterministic TM of time complexity  $t(n) \ge n$ , has a determistic equivalent of time complexity in  $2^{O(t(n))}$ .

#### **Corollary**

If  $t(n) \ge n$ , then  $\text{NTIME}(t(n)) \subseteq \text{TIME}(2^{O(t(n))})$ .

#### **Proof**

Recall the construction: BFS of the computation graph, keep a queue of configurations to process.

- At most *d* possible transitions for any  $(q, X) \in (Q \setminus F) \times \Gamma$ .
- So after k steps at most  $d^k$  configurations.
- Processing one configuration can be 'hidden' in the constant.
- Therefore the simulation is in time:

$$O(t(n)d^{t(n)}) = 2^{O(t(n))}$$

We need to simulate multiple tapes, but:

$$(2^{O(t(n))})^2 = 2^{O(2t(n))} = 2^{O(t(n))}$$

# P vs. NP

#### The class P

#### **Definition**

Let P (also PTIME) be the class of all languages decidable in polynomial time by a [single-tape, deterministic] Turing machine:

$$P = \bigcup_k \mathrm{TIME}(n^k)$$

- Path in a graph
- Primality of an integer (Agrawal–Kayal–Saxena 2002)
- Linear programming
- Horn-SAT

(The last two are P-complete under LOGSPACE reductions.)

### **Theorem** ( $CFL \subseteq P$ )

Every context free language belongs to P.

**Proof:** Take a ChNF grammar for L. Given input  $\omega$ , run the CYK algorithm (polynomial, in  $O(n^3)$ ).