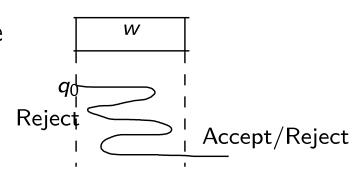
### Further Generalisation

- Finite automaton makes following actions:
  - read a symbol
  - changes its state
  - moves its reading head to the right
- The head is not allowed to move to the left.

- What happens, if we allow the head to move left and right?
- The automaton does not write anything on the tape!



## Two way finite automata

#### Definition 5.1 (Two way finite automata)

Two way deterministic finite automaton is a five—tuple  $A = (Q, \Sigma, \delta, q_0, F)$ , where

Q is a finite set of states,

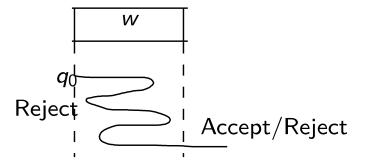
 $\Sigma$  is a finite set of input symbols

transition function  $\delta$  is a mapping  $Q imes \Sigma o Q imes \{-1,1\}$  extended by head

transitions  $q_0 \in Q$  initial state

a set of accepting states  $F \subseteq Q$ .

We may represent it by a graph or a table.

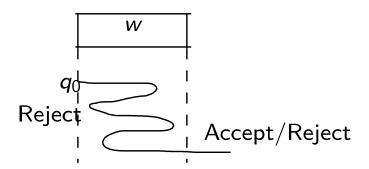


## Two-way DFA computation

#### Definition 5.2 (Two-way DFA computation)

A string w is accepted by the two-way **DFA**, iff:

- computation started in the initial state at the left-most symbol of w
- the first transition from w to the right was in an accepting state
- the computation is not defined outside the word w (computation ends without accepting w).



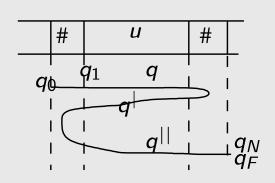
- ullet We may add special end–symbols  $\# 
  otin \Sigma$  to any word
- If  $L(A) = \{\#w\# | w \in L \subseteq \Sigma^*\}$  is regular, then also L is regular
- $L = \partial_{\#}\partial_{\#}^{R}(L(A) \cap \#\Sigma^{*}\#)$

### Two-way automaton example

#### Example 5.1 (Two-way automaton example)

Let  $A = (Q, \Sigma, \delta, q_1, F)$ . We define a two–way DFA  $B = (Q \cup Q^{||} \cup Q^{||} \cup \{q_0, q_N, q_F\}, \Sigma, \delta^{||}, q_0, \{q_F\})$  accepting the language  $L(B) = \{\#u\#|uu \in L(A)\}$  (it is neither left nor right quotient!):

-(-)	(11 - 11		) (10 10 110101101
$\delta^{ }$	$x \in \Sigma$	#	remark
$q_0$	$q_{N},-1$	$q_1, +1$	$q_1$ is starting in $A$
q	p,+1	$q^{\dagger},-1$	$p = \delta(q, x)$
$q^{ }$	$  \hspace{.1cm} q^{ }, -1 \hspace{.1cm}  $	$q^{  },+1$	
$q^{  }$	$\mid p^{\mid\mid},+1$	$q_F, +1$	$q \in F, p = \delta(q, x)$
$q^{  }$	$\mid p^{\mid\mid},+1$	$q_{N}, +1$	$q \notin F, p = \delta(q, x)$
$q_N$	$q_{N}, +1$	$q_{N}, +1$	
$q_F$	$q_N, +1$	$q_{\mathcal{N}}, +1$	



#### Theorem 5.1

Languages accepted by two-way DFA are exactly regular languages.

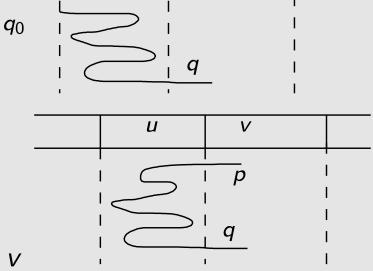
# Two-way DFA and Regular Languages

### Proof: DFA $\rightarrow$ two–way DFA

- To a DFA we add the move of the head to the right
- $A = (Q, \Sigma, \delta, q_0, F) \rightarrow 2A = (Q, \Sigma, \delta^{\dagger}, q_0, F)$ , where  $\delta^{|}(q,x) = (\delta(q,x), +1).$
- For the other direction, we need introduction.

### The influence of $u \in \Sigma^*$ on the computation over $v \in \Sigma^*$

• the first time we leave *u* to the right



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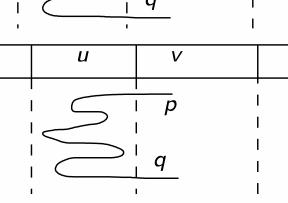
• we leave v to the left and return back v

# Function $f_u$ describing computation two-way DFA over u

### Algorithm: Function $f_u$ describing computation two—way DFA over u

We define  $f_u: Q \cup \{q_0^{\mid}\} \rightarrow Q \cup \{0\}$ 

- $f_u(q_0^{\mid})$  the state of the first transition to the right in case the computation begins left in the state  $q_0$ ,
- $f_u(p)$ ;  $p \in Q$  the state of the right transition in case the computation begins right in p
- the symbol 0 denotes failure (a cycle or the head moves left from the initial symbol)



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 $q_0$ 

- We define similarity  $\sim$  on strings:  $u \sim w \Leftrightarrow_{def} f_u = f_w$ ,
  - strings are similar iff they define identical function f

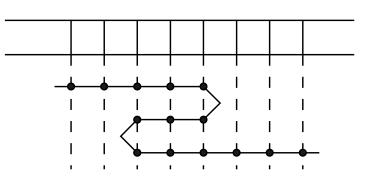
#### Languages recognized by two-way DFA are regular

Similarity  $\sim$  is a right congruence with a finite index.

According to Myhill–Nerode theorem is the language L(A) regular.

## Constructive proof

- We need the left-right movement transcript to a linear computation.
- we are interested in accepting computations only.
- We focus on transitions in cuts between input symbols



#### Observations:

- The direction of movement repeats (right, left)
- the first and the last transitions are to the right
- automaton is deterministic, any accepting computation is without cycles
- the first and the last cut contain only one state.

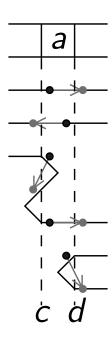
#### Algorithm: 2DFA → NFA

- Find all possible cuts state sequences (its a finite number).
- Define non-deterministic transition between cuts according to the input symbol.
- We re—construct the computation by composing cuts like a puzzle.

### Algorithm: Formal reduction two-way DFA to NFA

Let  $A = (Q, \Sigma, \delta, q_0, F)$  be a two–way DFA. We define an equivalent NFA  $B = (Q^{\dagger}, \Sigma, \delta^{\dagger}, (q_0), F^{\dagger})$  as follows:

- ullet  $Q^{\parallel}$  all possible correct transition sequences
  - sequences of states  $(q^1, \ldots, q^k)$ ;  $q^i \in Q$
  - with an odd length (k = 2m + 1)
  - no state repeats at odd nor at even position  $(\forall i \neq j) \ (q^{2i} \neq q^{2j}) \& (\forall i \neq j) \ (q^{2i+1} \neq q^{2j+1})$
- $F^{|} = \{(q) | q \in F\}$  sequences of the length 1
- $\delta^{|}(c, a) = \{d | d \in Q^{|} \& c \xrightarrow{a} d \text{ is a locally consistent transition for } a\}$ 
  - there is a bijection:  $h: c_{odd} \cup d_{even} \rightarrow c_{even} \cup d_{odd}$  so that:
  - for  $h(q) \in c_{even}$  is  $(h(q), -1) = \delta(q, a)$
  - ullet for  $h(q) \in d_{odd}$  is  $(h(q), +1) = \delta(q, a)$



### L(A) = L(B)

Trajektory two—way DFA A corresponds to cuts in NFA B, therefore L(A) = L(B).

# Example Reduction Two-way DFA to NFA

Let us have two-way DFA:

$$egin{array}{c|ccccc} & a & b \\ 
ightarrow p & p,+1 & q,+1 \\ 
ightarrow q & q,+1 & r,-1 \\ 
ightarrow p,+1 & r,-1 \end{array}$$

Possible cuts and their transitions

- leftwards only r all even positions r, that means only one even position
- possible cuts: (p), (q), (p, r, q), (q, r, p).

	а	b
ightarrow (p)	(p)	(q)
*(q)	(q),(q,r,p)	
(p,r,q)		
(q,r,p)		(q)

Non-accepting computation example:

a	a	b	а	a	b	а	а	b	b
p	р	р	q	q	q				Resulting NFA:
					r				a a
					p	q	q	q	( ) a
								r	$\rightarrow$ $(p)$ $b$ $(q)$ $(q,r,p)$
								p	$q \longrightarrow (p) \longrightarrow (q) \qquad (q,r,p)$
								r	r b
								p	q

## Automata with the output

#### Definition 5.3 (Moore machine)

**Moore machine** is a sixtuple  $A = (Q, \Sigma, Y, \delta, \mu, q_0)$  consisting of

Q non-empty set of states

 $\Sigma$  finite nonempty set of symbols (input alphabet)

Y finite nonempty set of symbols (output alphabet)

 $\delta$  a mapping  $Q \times \Sigma \to Q$  (transition function)

 $\mu$  a mapping  $Q \rightarrow Y$  (output function)

 $q_0 \in Q$  (initial state)

- the output function may imitate final states
  - ullet  $F\subseteq Q$  may be replaced by output function  $\mu:Q o\{0,1\}$  as follows:

$$\mu(q) = 0$$
 if  $q \notin F$ ,

$$\mu(q)=1$$
 if  $q\in F$ .

### Moore Machine Example

### Example 5.2 (Tennis Game Score)

A machine calculates the tenis score.

- Input alphabet: ID of the player who scored a point
- Output alphabet & states: the score ( Q=Y and  $\mu(q)=q$ )

State/output	А	В
00:00	15:00	00:15
15:00	30:00	15:15
15:15	30:15	15:30
00:15	15:15	00:30
30:00	40:00	30:15
30:15	40:15	30:30
30:30	40:30	30:40
15:30	30:30	15:40
00:30	15:30	00:40
40:00	Α	40:15
40:15	Α	40:30
40:30	Α	deuce
30:40	deuce	В
15:40	30:40	В
00:40	15:00	В
deuce	A:40	40:B
A:40	Α	deuce
40:B	deuce	В
A	15:00	00:15
В	15:00	00:15

## Mealy machine

#### Definition 5.4 (Mealy machine)

**Mealy machine** is a six–tuple  $A = (Q, \Sigma, Y, \delta, \lambda_M, q_0)$  consisting of:

Q non-empty set of states

 $\Sigma$  finite nonempty set of symbols (input alphabet)

Y finite nonempty set of symbols (output alphabet)

 $\delta$  a mapping  $Q \times \Sigma \to Q$  (transition function)

 $\lambda_M$  a mapping  $Q \times \Sigma \to Y$  (output function)

 $q_0 \in Q$  (initial state)

- The output is determined by a state and the input symbol
  - Mealy machine is more general then Moore
  - The output function may be replaced as follows

$$orall x \in \mathbf{\Sigma} \ \lambda_M(q,x) = \mu(q)$$
 or  $orall x \in \mathbf{\Sigma} \ \lambda_M(q,x) = \mu(\delta(q,x))$ 

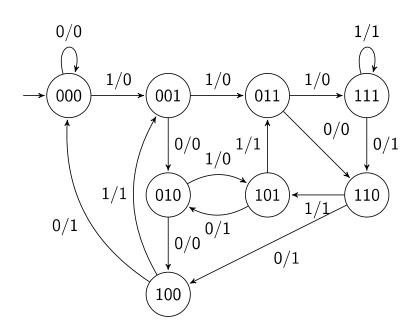
## Mealy Machine Example

#### Example 5.3 (Mealy Machine)

The automaton for integer division of the input by 8 (the reminder is discarded).

- Three bit move to the left
- we need to remember last three bits
- three—bit dynamic memory.

State\symbol	0	1
→000	000/0	001/0
001	010/0	011/0
010	100/0	101/0
011	110/0	111/0
100	000/1	001/1
101	010/1	011/1
110	100/1	101/1
111	110/1	111/1



After three steps calculates properly non-regarding the initial state.

## **Extended Output Function**

for any word in the input alphabet  $\Sigma^* o$  we get a word in the output alphabet

#### Moore machine

output function  $\mu: Q \to Y$  $\mu^*:Q imes\Sigma^* o Y^*$ 

$$\mu^*(q,\lambda) = \lambda$$
 (sometimes  $\mu^*(q,\lambda) = q$ )

$$\mu^*(q, wx) = \mu^*(q, w).\mu(\delta^*(q, wx))$$

Example:  $\mu^*(00:00,AABA)=(00:00.)$  15:00.30:00.30:15.40:15

#### Mealy machine

$$\lambda_M^*: Q \times \Sigma^* \to Y^*$$

$$\lambda_{M}^{*}(q,\lambda) = \lambda$$

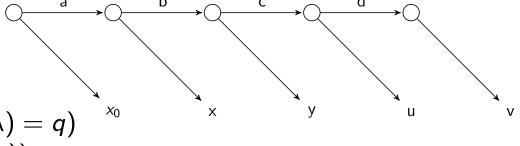
output function 
$$\lambda_M: Q \times \Sigma \to Y$$

$$\lambda_M^*: Q \times \Sigma^* \to Y^*$$

$$\lambda_M^*(q, \lambda) = \lambda$$

$$\lambda_M^*(q, wx) = \lambda_M^*(q, w).\lambda_M(\delta^*(q, w), x)$$

Example:  $\lambda_M^*(000,1101010) = 0001101$ 



#### Lemma (Moore and Mealy Machines Reductions)

- For any Moore machine there exists a Mealy machine mapping each input word to the same output word.
- For any Mealy machine there exists a Moore machine mapping each input word to the same output word.

#### Proof.

- $\Rightarrow$  Mealy machine  $B=(Q,\Sigma,Y,\delta,\lambda_M,q_0)$  where  $\lambda_M(q,x)=\mu(\delta(q,x))$
- $\Leftarrow$  We define states of the Moore machine  $Q \times Y$ ,  $\delta^{||}([q,y],x) = [\delta(q,x),\lambda(q,x)]), \mu([q,y]) = y.$

