

# Inferring Likely Deterministic Specifications for Multithreaded Programs

Jacob Burnim

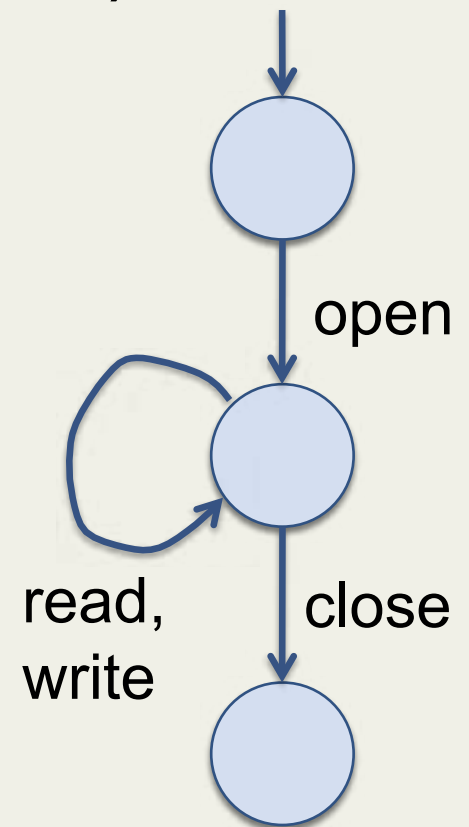
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# Specification Inference

- Inferring **temporal** specs (protocols):

- *Ammons, et al*, POPL 02
- *Whaley, et al*, ISSTA 02
- *Livshits, Zimmermann*, FSE 05
- *Perrecotta: Yang, et al*, ICSE 06
- *JADET: Wasylkowski, et al*, FSE 07
- *Acharya, et al*, FSE 07
- *JAVERT: Gabel, Su*, ICSE 08
- many others ...



# Specification Inference

- Inferring likely *invariants*:

$$0 \leq i < N \quad \text{sum} = \sum_{j=0}^{i-1} A[j] \quad \wedge \quad i = N + 1$$

- DAIKON: *Ernst, et al., ICSE 00*
- DIDUCE: *Hangal, Lam, ICSE 02*
- DySy: *Csallner, et al., ICSE 08*
- *Gulwani, et al., VMCAI 09*
- many others ...

# Parallel Programming is Hard

- **Key Culprit: Nondeterminism.**
  - Interleaving of parallel threads
- **Determinism** key to parallel correctness.
  - Same input ==> semantically same output.
  - Parallelism is wrong if some schedules give a correct answer while others don't.
- **Previously:** Help programmers make their parallel code deterministic.
  - Assertion framework to **specify** determinism.

# Advantages of Deterministic Specs

Burnim, Sen. *Asserting and Checking Determinism for Multithreaded Programs*. FSE 2009.

- Lightweight spec of parallel correctness
  - Independent of functional specification
  - Decompose correctness efforts
- Useful for documentation
- Can effectively test deterministic specs
  - Combine with testing tools to distinguish harmful from benign data races, etc.

# Advantages of Deterministic Specs

Burnim, Sen. *Asserting and Checking Determinism for Multithreaded Programs*. FSE 2009.

**Goal:** Automatically infer deterministic specifications by observing sample program runs.

- Useful for documentation
- Can effectively test deterministic specs
  - Combine with testing tools to distinguish harmful from benign data races, etc.

# Advantages of Deterministic Specs

Burnim, Sen. *Asserting and Checking Determinism for Multithreaded Programs*. FSE 2009.

**Goal:** Automatically infer deterministic specifications by observing sample program runs.

Useful for documentation

**Result:** Recover our previous manual specifications for most benchmarks.

# Outline

- Motivation and Overview
- **Background: Deterministic Specs**
- Specification Inference Problem
- Inferring Deterministic Specifications
- Experimental Evaluation
- Related Work
- Conclusions



# Background: Deterministic Specs

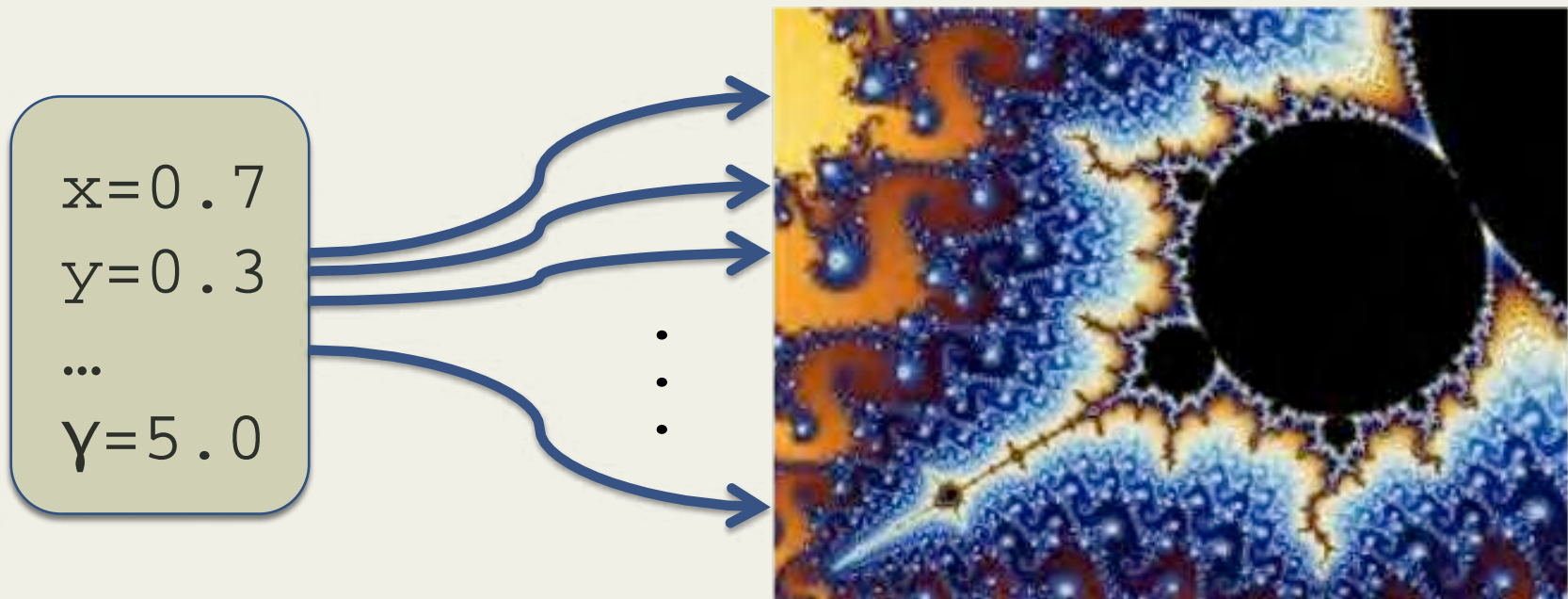
```
// Parallel fractal render.  
mandelbrot(params, img);
```

- Want to assert **parallel correctness**.

# Background: Deterministic Specs

```
// Parallel fractal render.  
mandelbrot(params, img);
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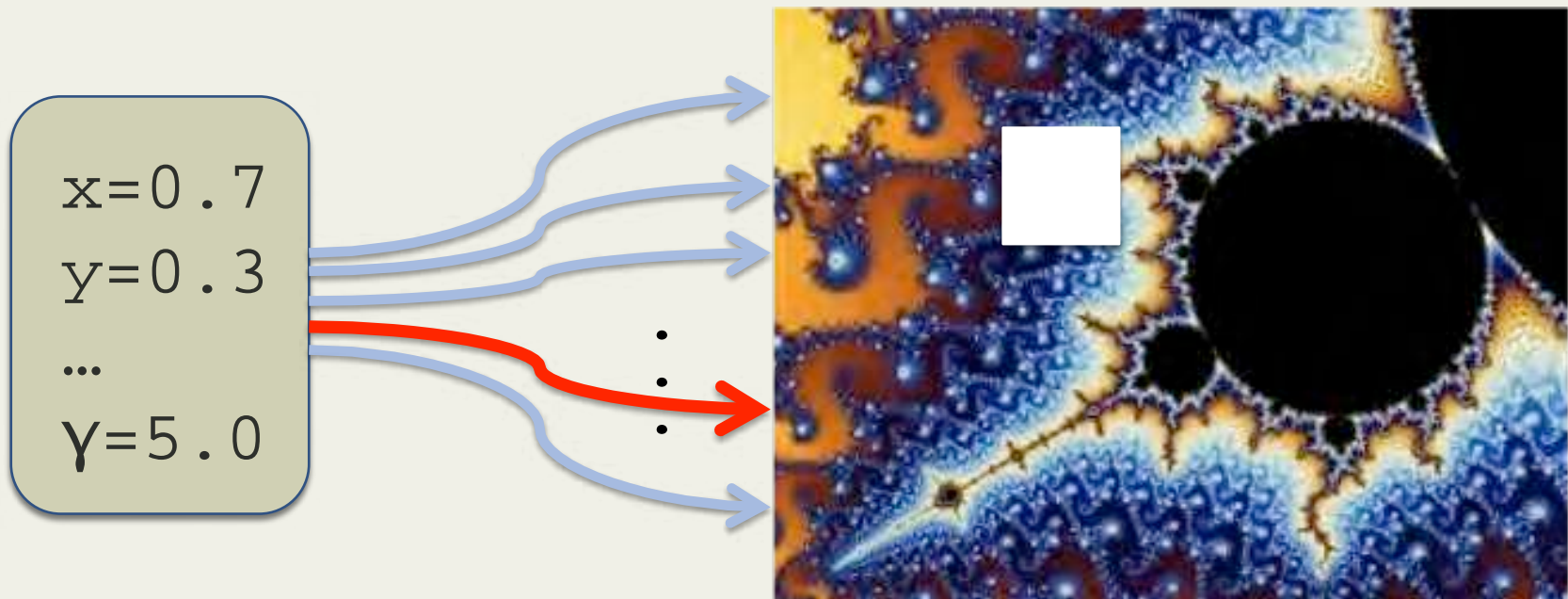
- Want to assert **parallel correctness**.



# Background: Deterministic Specs

```
// Parallel fractal render.  
mandelbrot(params, img);
```

- Want to assert **parallel correctness**.



# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```

- Specifies that any two runs on the **same input parameters** must yield the **same output image**.

# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```

$s_0$ :

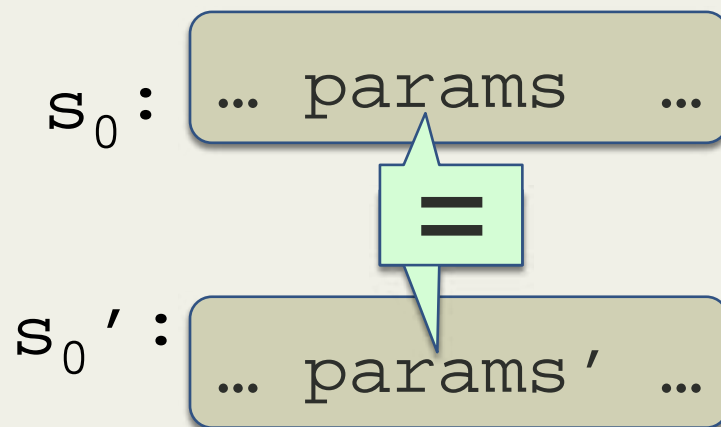
... params ...

$s_0'$ :

... params' ...

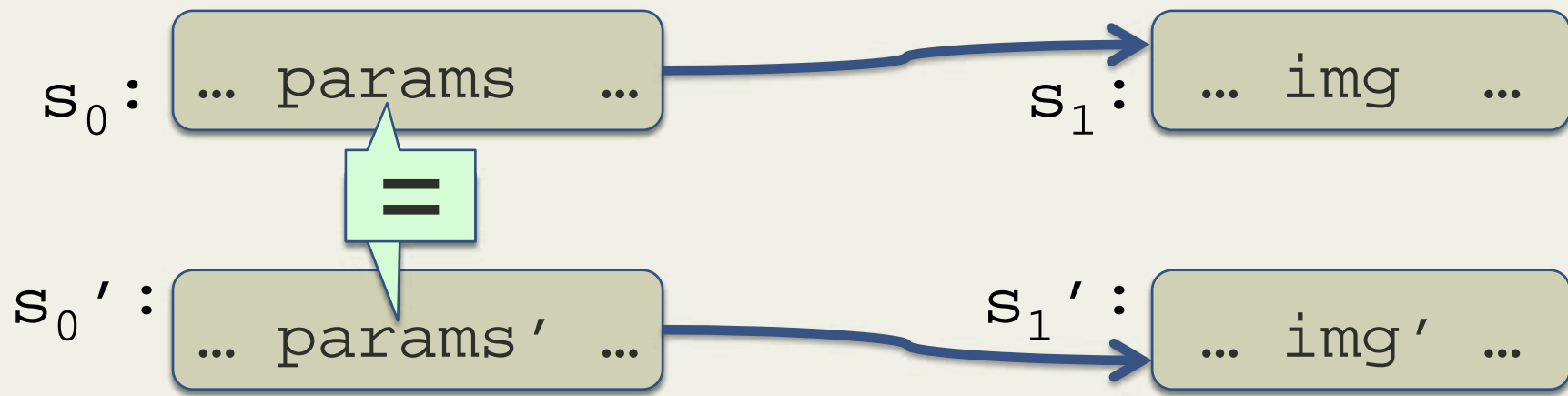
# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```



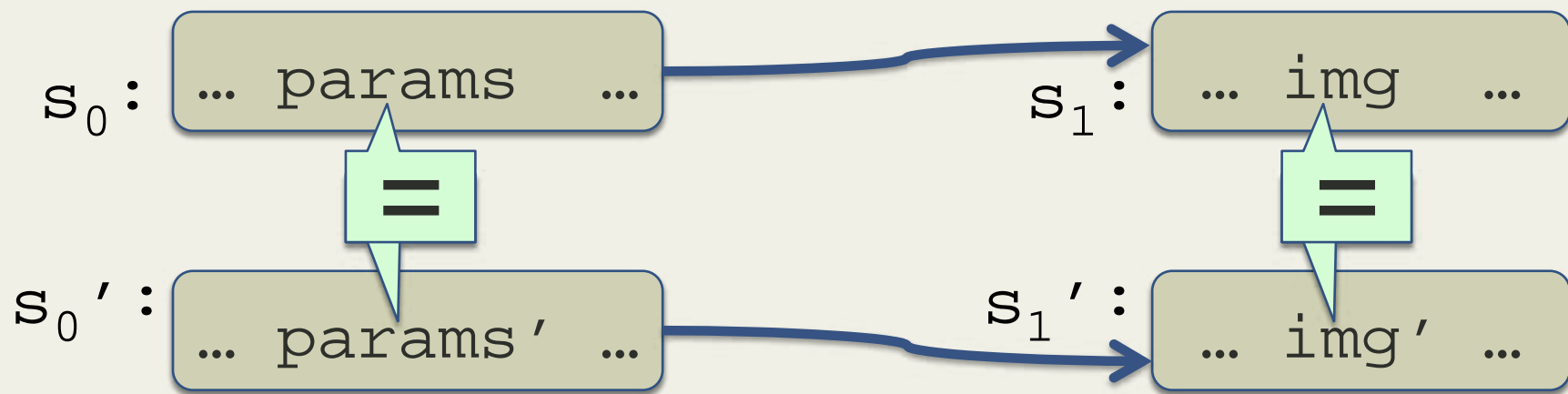
# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```



# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
  // Parallel fractal render.  
  mandelbrot(params, img);  
} assert (img == img');
```





# Background: Deterministic Specs

```
deterministic assume Pre( $s_0, s_0'$ ) {  
    P  
} assert Post( $s_1, s_1'$ )
```

- **Formally, specifies:**

$$\forall s_0 \xrightarrow{P} s_1, s_0' \xrightarrow{P} s_1' : \\ \text{Pre}(s_0, s_0') \Rightarrow \text{Post}(s_1, s_1')$$

# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```



“Bridge”  
predicate

# Background: Deterministic Specs

```
deterministic  
assume (params == params') {  
    // Parallel fractal render.  
    mandelbrot(params, img);  
} assert (img == img');
```

“Bridge”  
assertion

# Background: Deterministic Specs

```
// Parallel fractal render.  
mandelbrot(params, img);
```

- Much simpler than functional correctness:

$$\forall_{0 \leq x < width} \cdot \forall_{0 \leq y < height} \cdot$$

$$\left( \left| f_{iter}^{maxiter}(0) \right| < 2 \wedge img[x][y] = 0 \right)$$

$$\vee \exists_{1 \leq i < maxiter} \cdot \left| f_{iter}^i(0) \right| \geq 2 \wedge \forall_{1 \leq j < i} \cdot \left| f_{iter}^j(0) \right| < 2$$

$$\wedge img[x][y] = HSB((i/maxiter)^{\gamma}, 1, 1)$$

$$\text{where } f_{iter}(c) = c^2 + \left( xcenter + (xoff + x)/res \right) \\ + i \left( ycenter + (yoff - y)/res \right)$$

# Background: Deterministic Specs

```
set t = new RedBlackTreeSet();  
...  
deterministic  
assume (t.equals(t')) {  
    t.add(3)    ||    t.add(5);  
} assert (t.equals(t'));
```

- Resulting sets are *semantically* equal.

# Background: Deterministic Specs

```
double A[][], b[], x[];  
...  
deterministic  
assume (A == A' and b == b') {  
    // Solve  $A \cdot x = b$  in parallel  
    lufact_solve(A, b, x);  
} assert (|x - x'| <  $\epsilon$ );
```

# Background: Deterministic Specs

```
deterministic
assume (data == data') {
  // Parallel branch-and-bound
  Tree t = min_phylo_tree(data);
} assert (t.cost == t'.cost());
```

- Determinism is **user-specified**.

# Background: Deterministic Specs

- Can effectively test deterministic specs.
  - Added assertions to 13 benchmarks.
  - Ran CalFuzzer to test if concurrency issues (data races, atomicity violations, etc.) could lead to violations of deterministic spec.
- Specification inference would help automate the above testing.
- Also aid program understanding.



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# Specification Inference Problem

```
// Parallel branch-and-bound  
(tree, cost) =  
    min_phylo_tree(N, data);
```

# Specification Inference Problem

```
deterministic assume (???) {  
    // Parallel branch-and-bound  
    (tree, cost) =  
        min_phylo_tree(N, data);  
} assert (???)
```

# Specification Inference Problem

```
deterministic assume (???) {  
  // Parallel branch-and-bound  
  (tree, cost) =  
    min_phylo_tree(N, data);  
} assert (???)
```

- **Observation:** Deterministic pre- and postcondition have simple structure.
  - Conjunction of **equality bridge predicates**:

$$N = N' \quad tree.equals(tree') \quad |cost - cost'| < \varepsilon$$

# Specification Inference Problem

```
deterministic assume (???) {  
  // Parallel branch-and-bound  
  (tree, cost) =  
    min_phylo_tree(N, data);  
} assert (???)
```

- Four possible deterministic preconditions:

*true*

*data = data'*

*N = N'*

*data = data'  $\wedge$  N = N'*

# Specification Inference Problem

```
deterministic assume (???) {  
  // Parallel branch-and-bound  
  (tree, cost) =  
    min_phylo_tree(N, data);  
} assert (???)
```

- Six possible deterministic postconditions:

$true$                        $|cost - cost'| < \epsilon$                        $cost = cost'$

$tree.equals(tree')$                        $tree.equals(tree')$                        $tree.equals(tree')$   
 $\wedge |cost - cost'| < \epsilon$                        $\wedge cost = cost'$

# Specification Inference Problem

```

deterministic assume (???) {
  // Parallel branch-and-bound
  (tree, cost) =
    min_phylo_tree(N, data);
} assert (???)
    
```

- Six *true*

Which specification  
should we choose?

itions:

*st'*

$tree.equals(tree')$

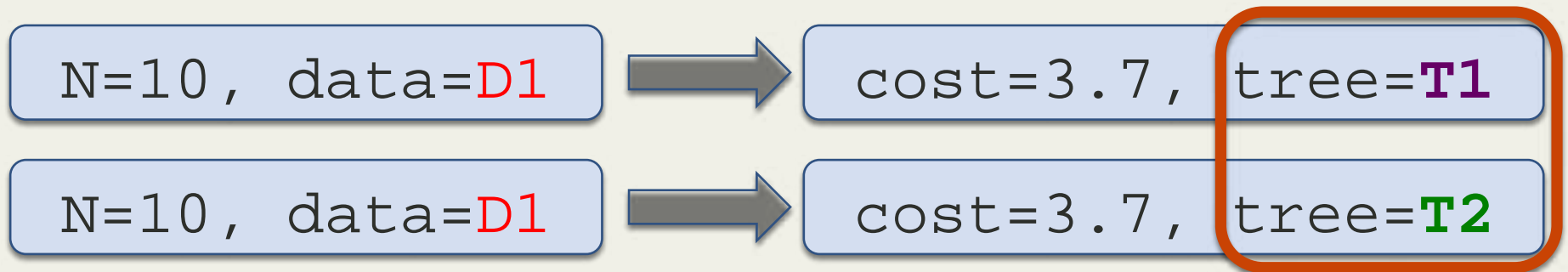
$\wedge |cost - cost'| < \epsilon$

$\wedge cost = cost'$

$tree.equals(tree)$   $tree.equals(tree')$

# Specification Inference Problem

- Principles for specification inference:
  - Must be consistent with observed runs.**



$$data = data' \xrightarrow{\text{min\_phlo\_tree}} tree.equals(tree')$$

A large red 'X' is drawn over the arrow, indicating that this specification is inconsistent with the observed runs shown above.



# Specification Inference Problem

- Principles for specification inference:
  - Must be consistent with observed runs.
  - **Postcondition as strong as possible.**

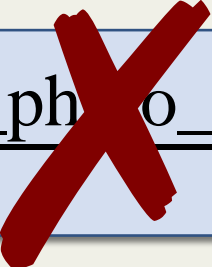
$$data = data' \xrightarrow{\text{min\_phylo\_tree}} |cost - cost'| < \varepsilon$$


$$data = data' \xrightarrow{\text{min\_phylo\_tree}} \text{true}$$

# Specification Inference Problem

- Principles for specification inference:
  - Must be consistent with observed runs.
  - Postcondition as strong as possible.
  - **Precondition as weak as possible, *for post***

$$data = data' \xrightarrow{\text{min\_phylo\_tree}} |cost - cost'| < \varepsilon$$


$$\begin{array}{l} data = data' \\ \wedge N = N' \end{array} \xrightarrow{\text{min\_phylo\_tree}} |cost - cost'| < \varepsilon$$

# Specification Inference Problem

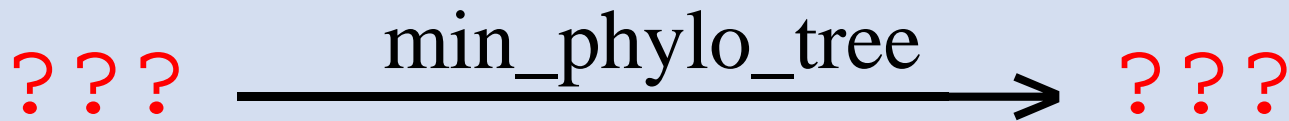
- Principles for specification inference:
  - Must be consistent with observed runs.
  - Postcondition as strong as possible.
  - Precondition as weak as possible, *for post*.

How do we compute such a deterministic specification?

# Outline

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# Inferring Deterministic Specs

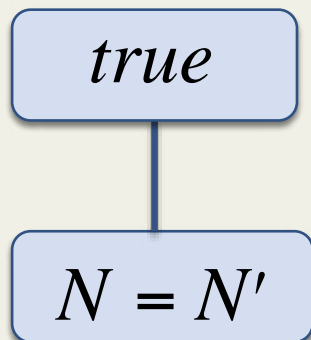


- $M$  variables  $\Rightarrow \Omega(2^M)$  specifications
  - Exhaustive search infeasible.
- Two-step algorithm:
  - Compute strongest consistent postcondition.
  - Compute weakest consistent precondition for the inferred postcondition.

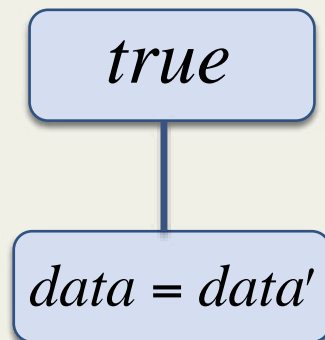
# Inferring Deterministic Specs

???  $\xrightarrow{\text{min\_phylo\_tree}}$  ???

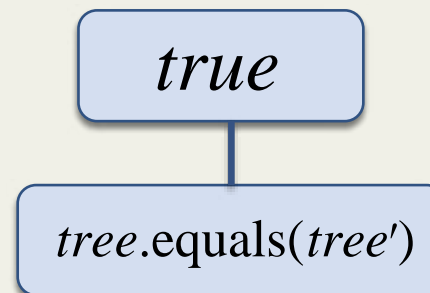
N:



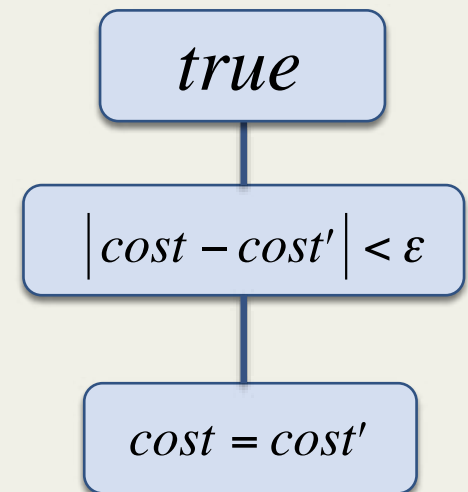
data:



tree:

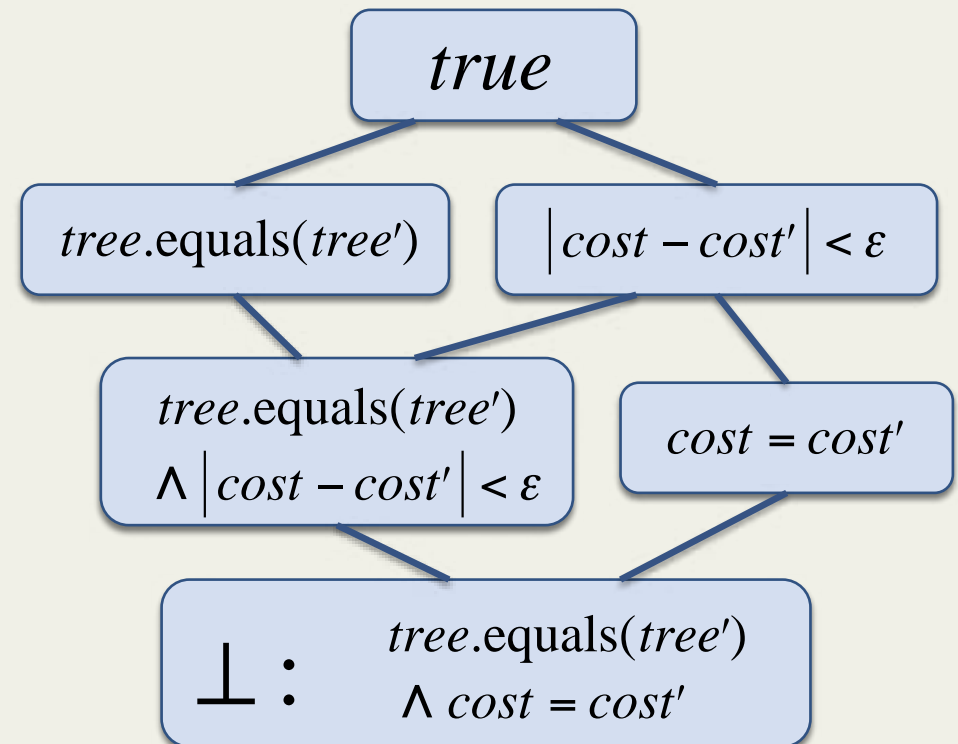
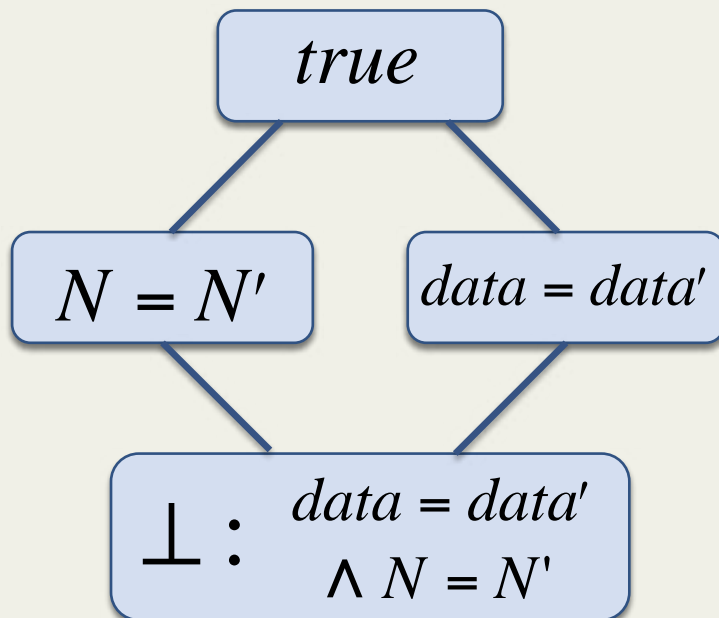


cost:



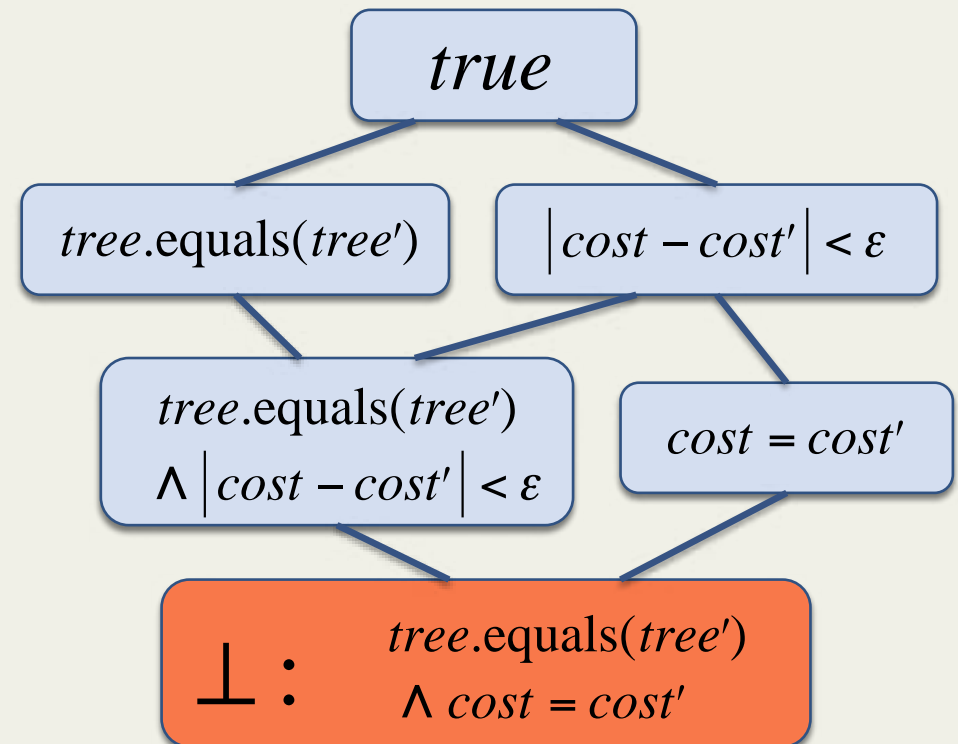
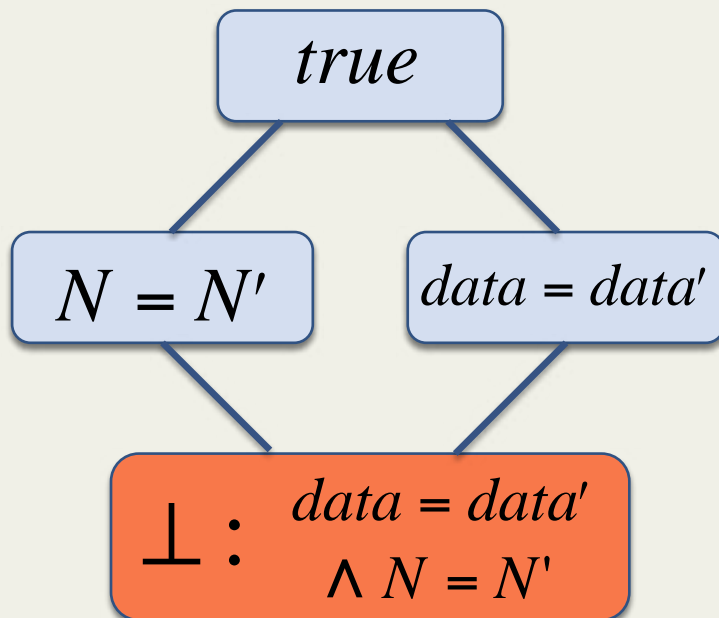
# Inferring Deterministic Specs

???  $\xrightarrow{\text{min\_phylo\_tree}}$  ???



# Inferring Strongest Post

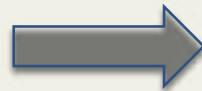
- For every pair of observed executions:
  - If they satisfy precondition  $\perp$ , ensure that the postcondition holds.





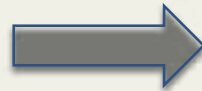
# Inferring Strongest Post I

$N=10$ ,  $\text{data}=\text{D1}$

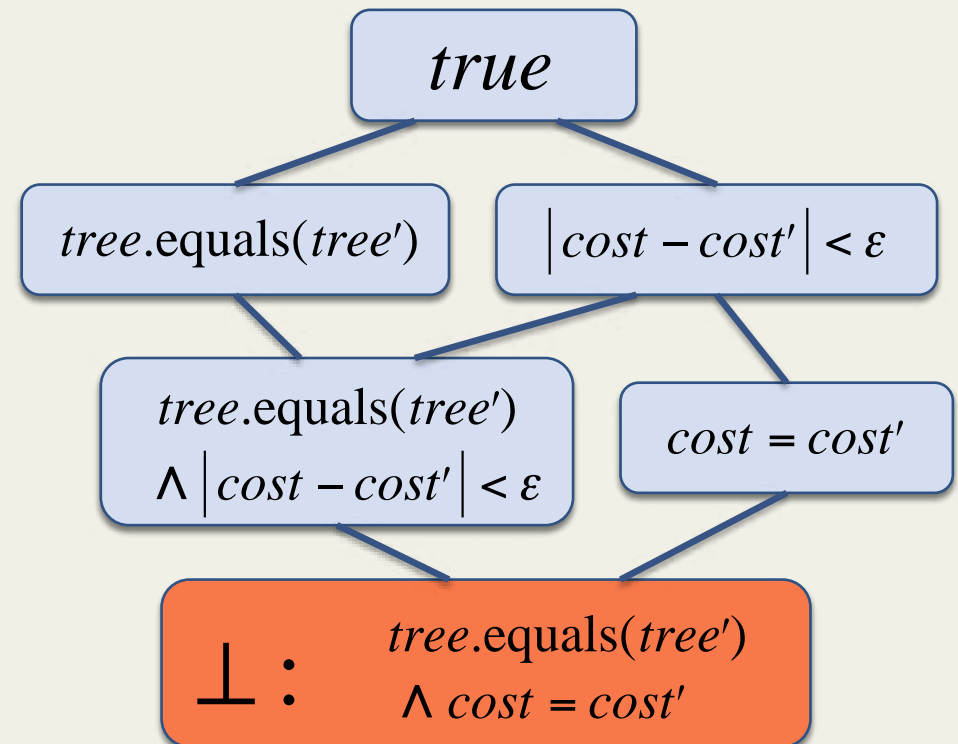
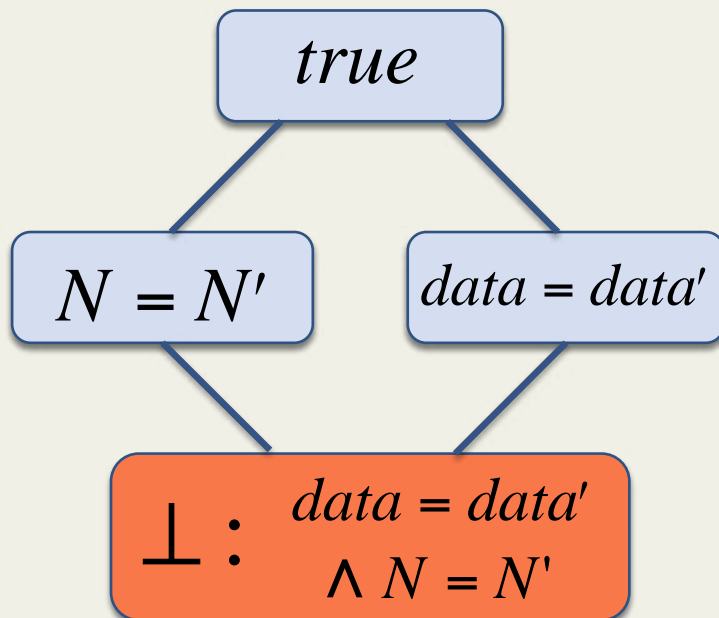


$\text{cost}=3.7$ ,  $\text{tree}=\text{T1}$

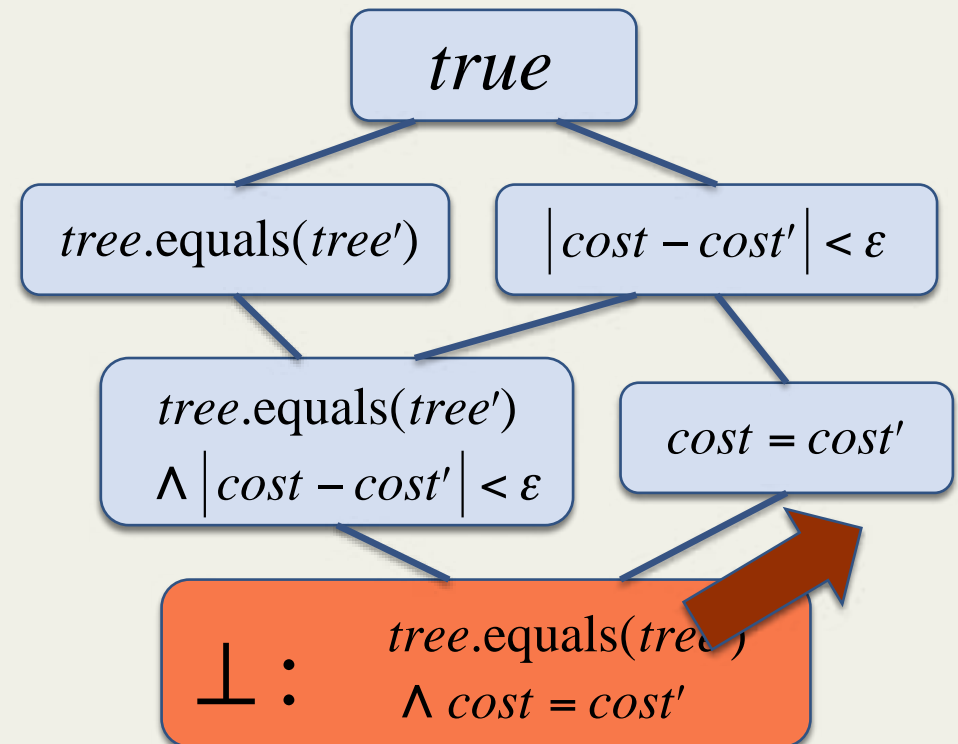
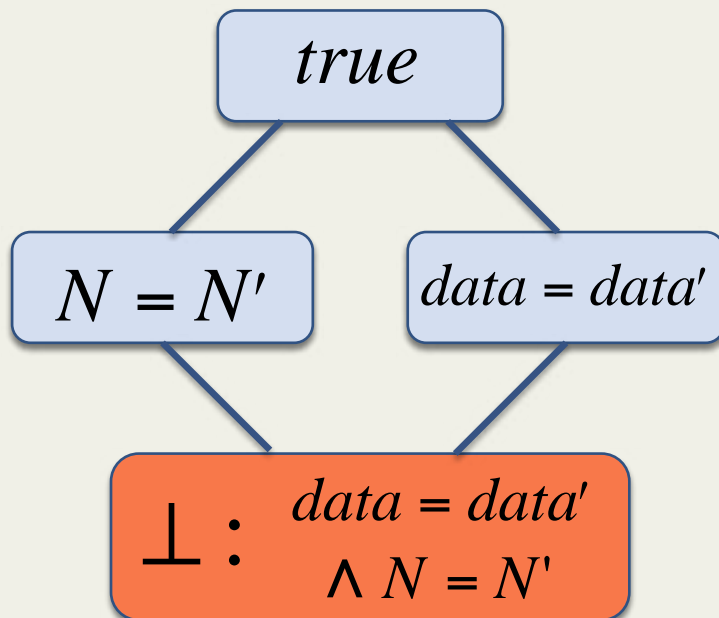
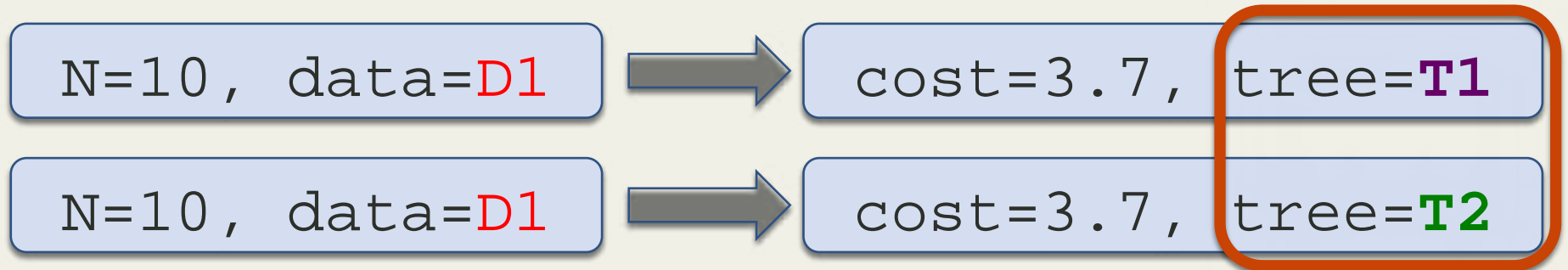
$N=10$ ,  $\text{data}=\text{D1}$



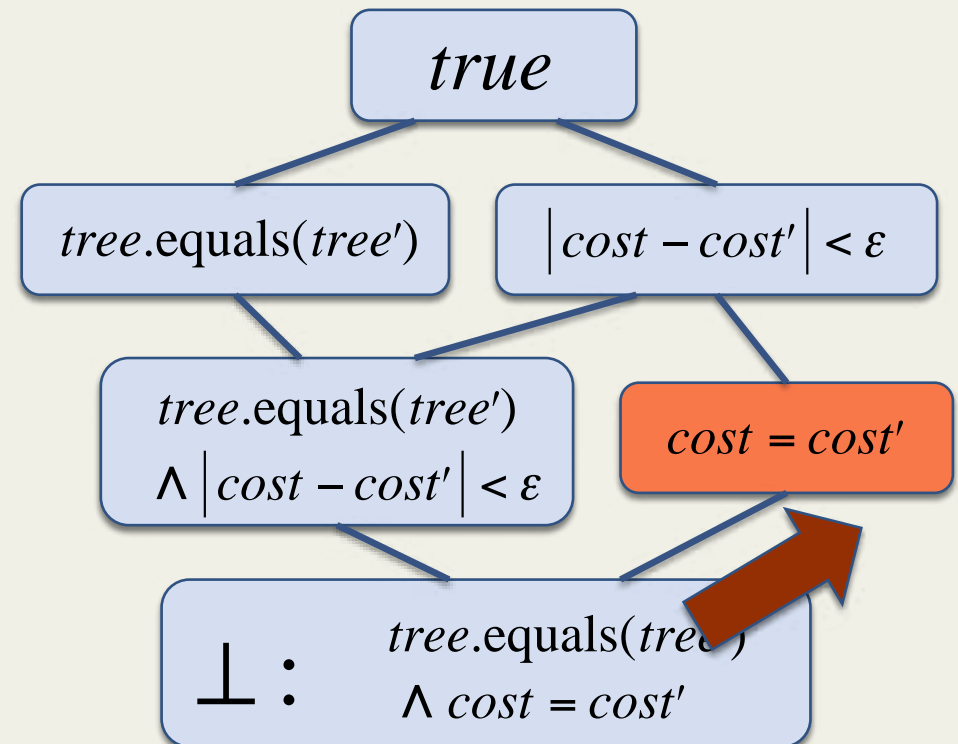
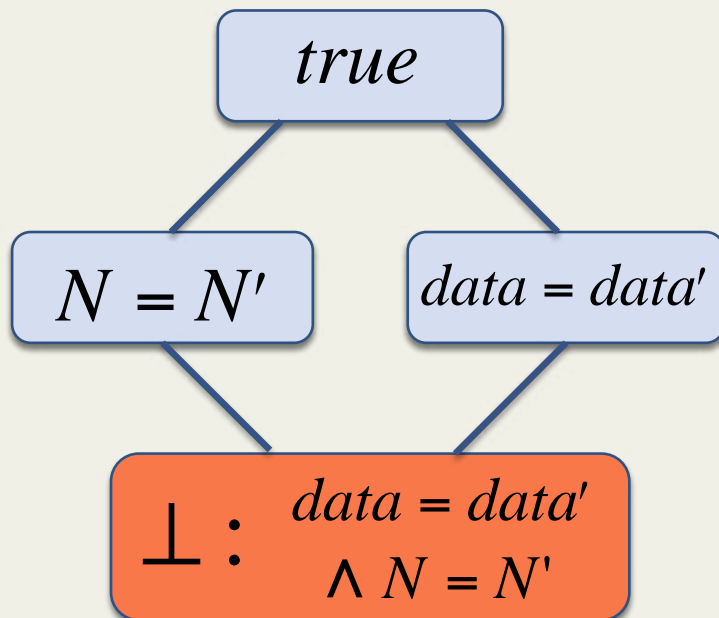
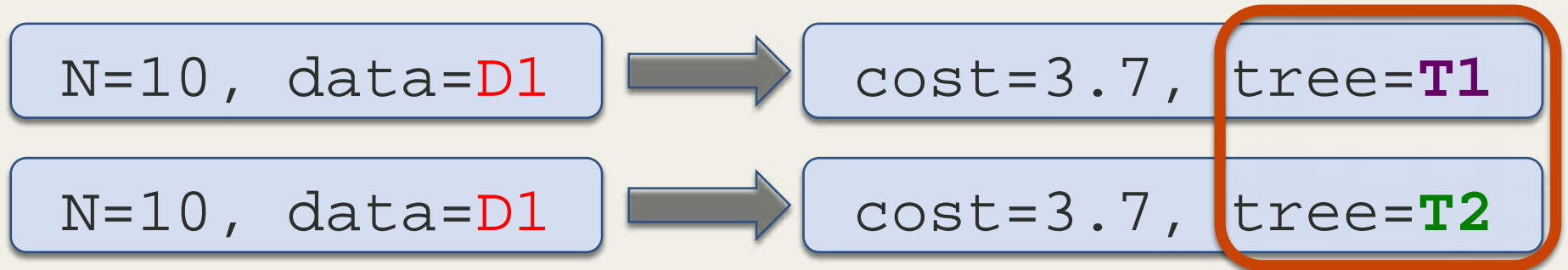
$\text{cost}=3.7$ ,  $\text{tree}=\text{T2}$



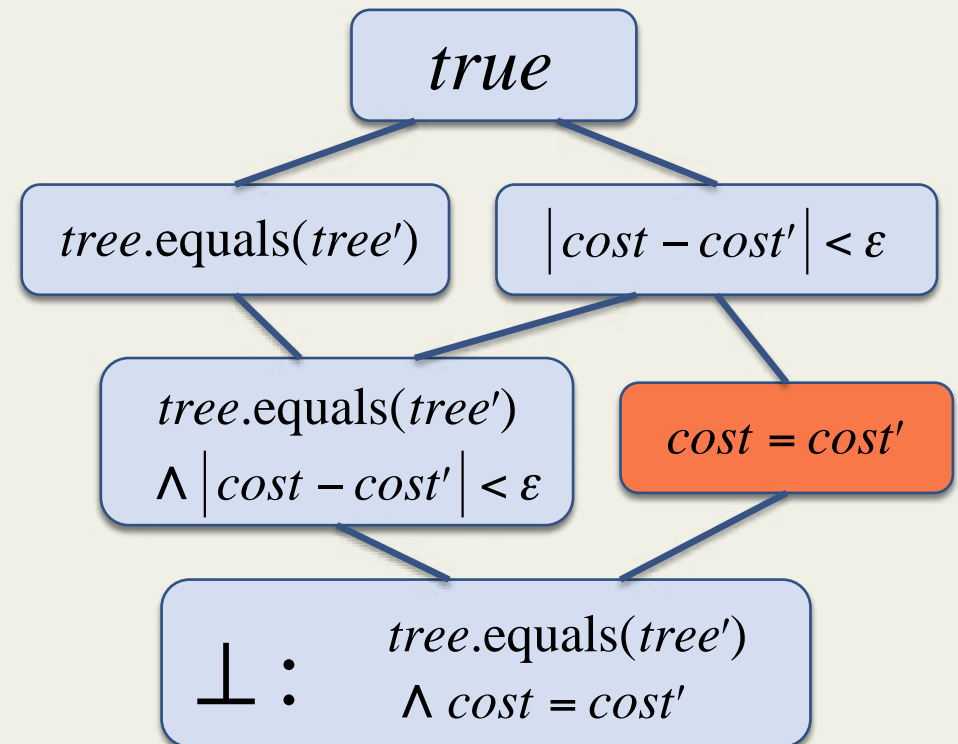
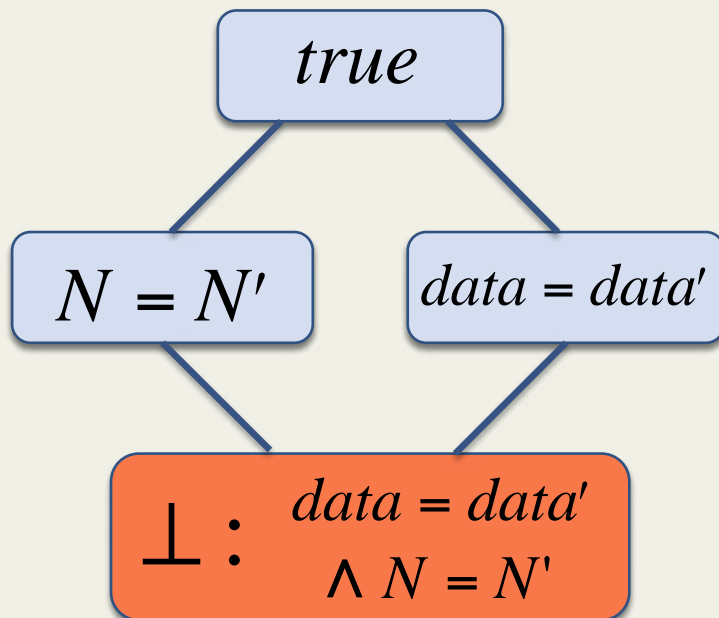
# Inferring Strongest Post I



# Inferring Strongest Post I

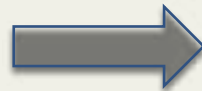


# Inferring Strongest Post I



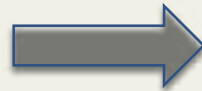
# Inferring Strongest Post II

$N=10$ , data=**D1**

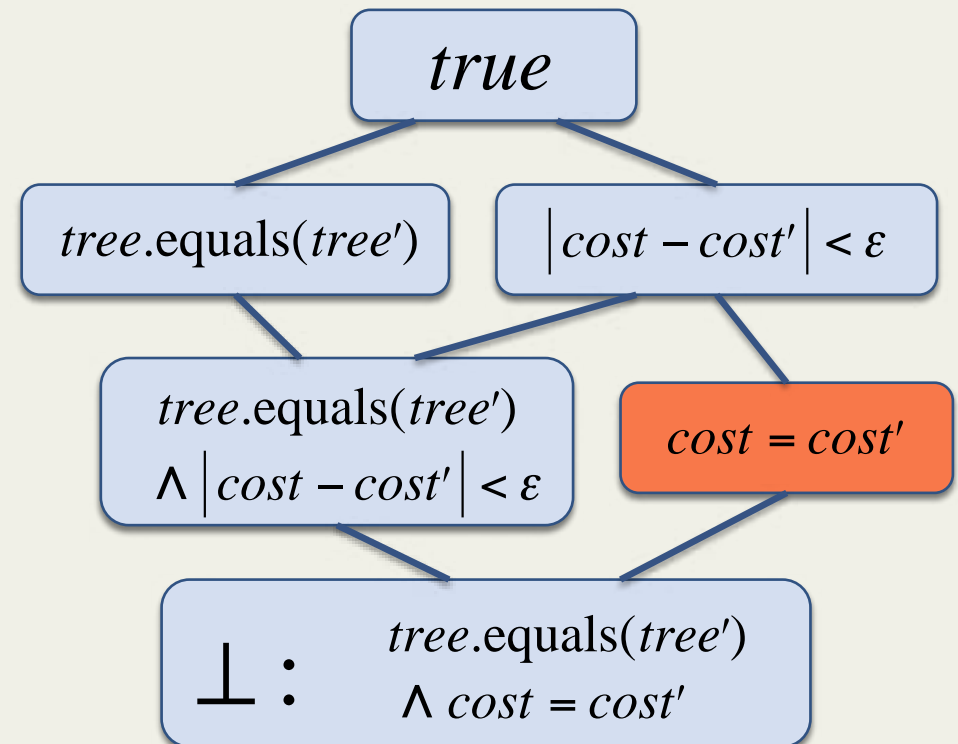
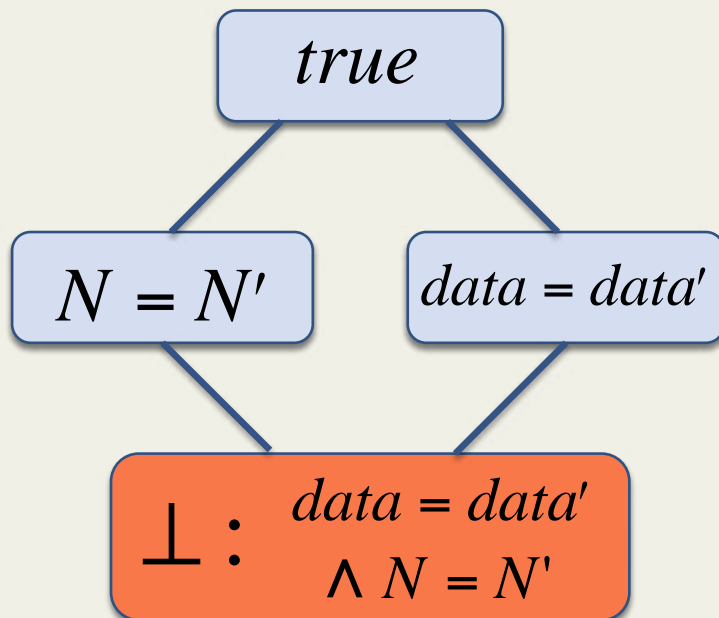


cost=3.7, tree=**T1**

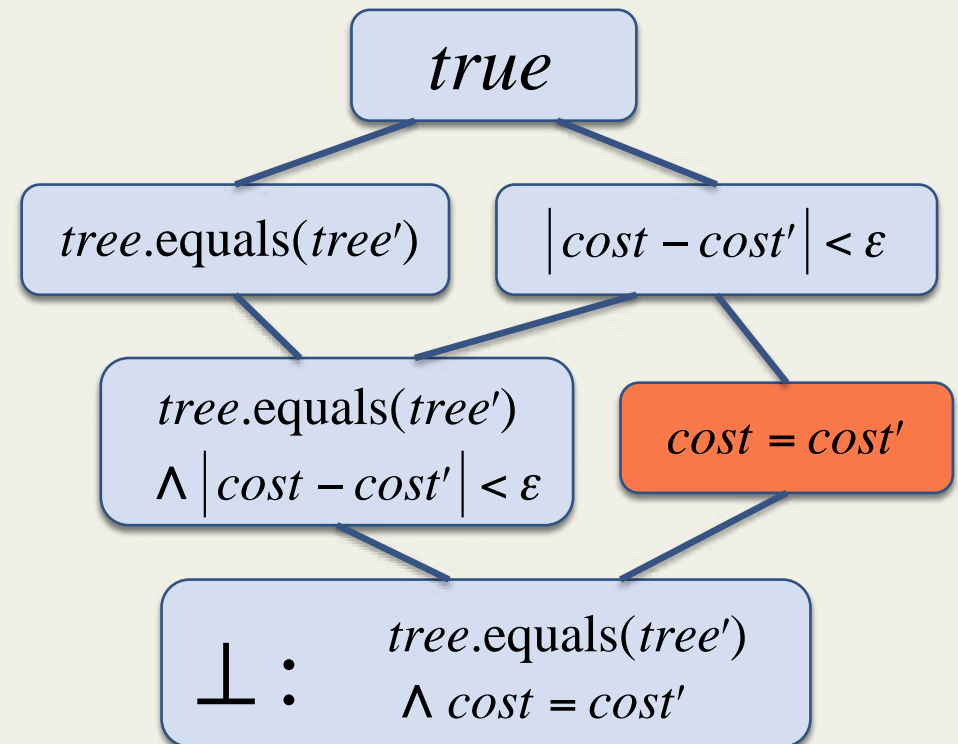
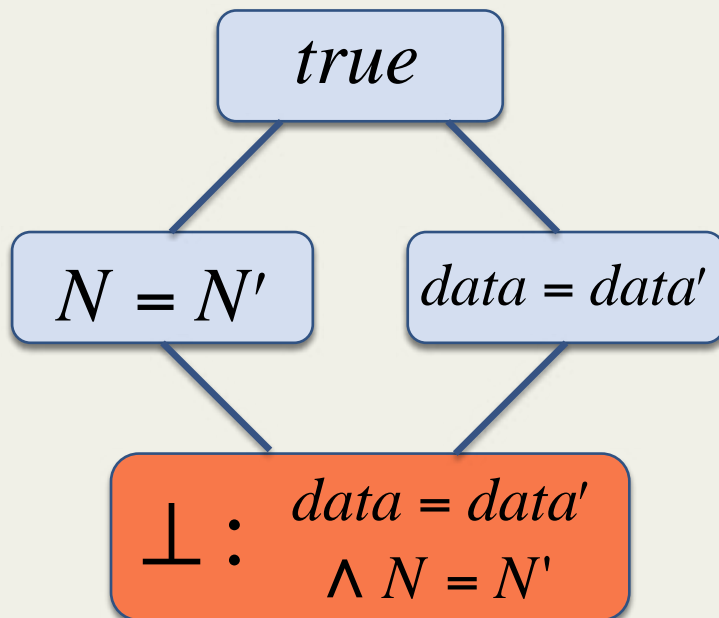
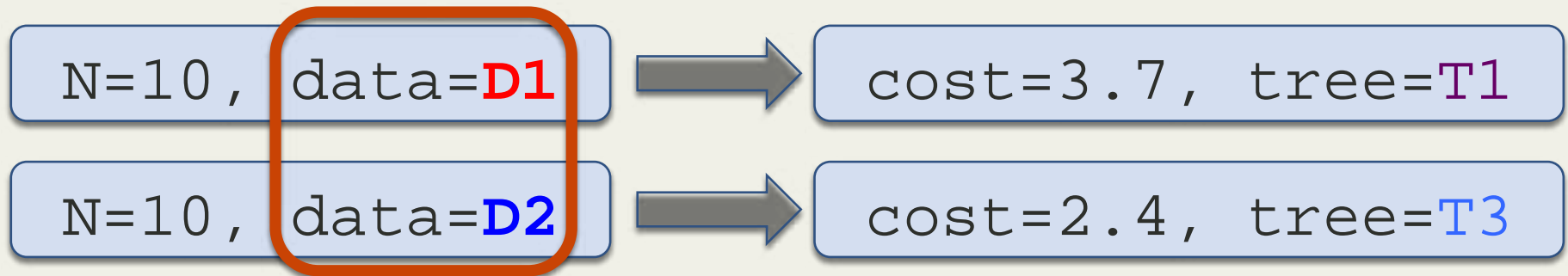
$N=10$ , data=**D2**



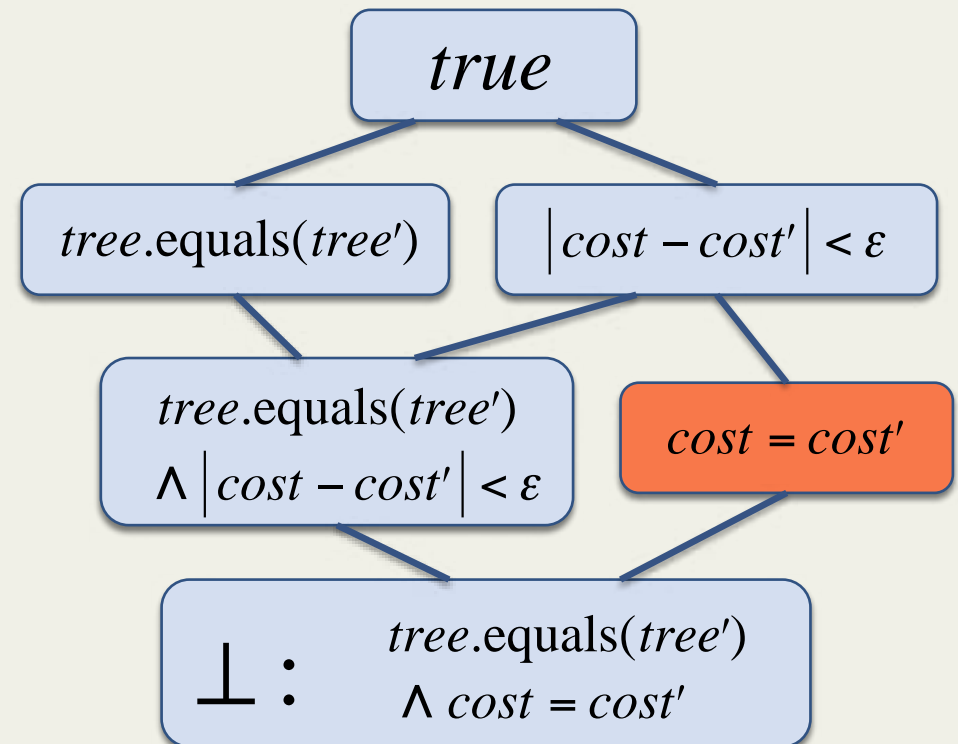
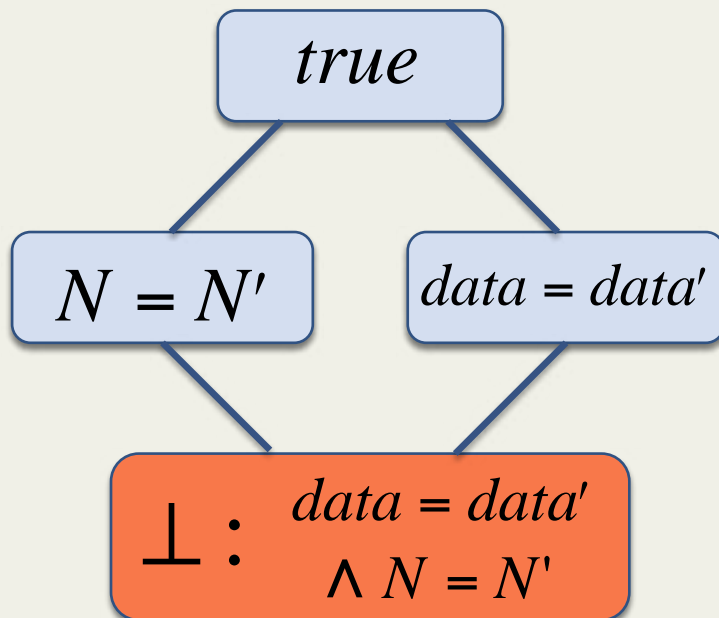
cost=2.4, tree=**T3**



# Inferring Strongest Post II

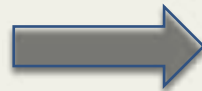


# Inferring Strongest Post II



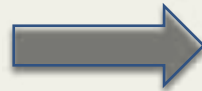
# Inferring Strongest Post III

$N=10$ ,  $\text{data}=\text{D2}$

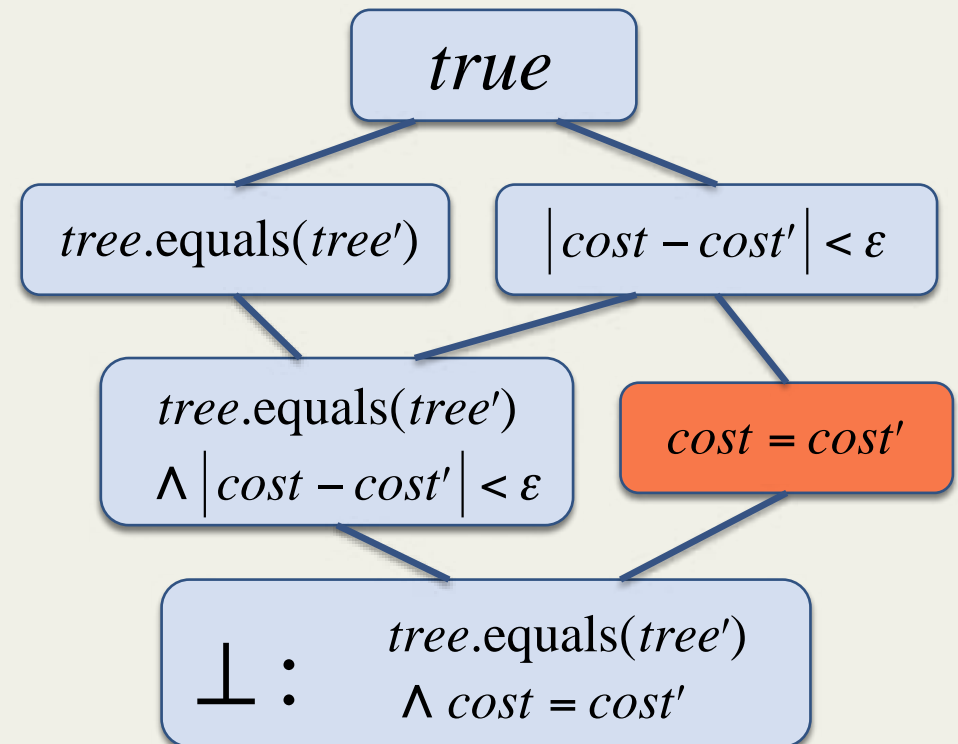
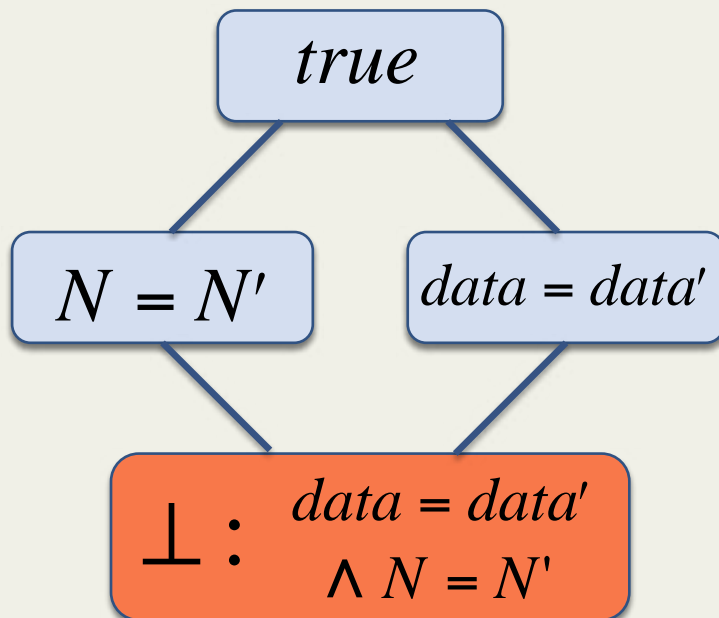


$\text{cost}=2.4$ ,  $\text{tree}=\text{T3}$

$N=10$ ,  $\text{data}=\text{D2}$

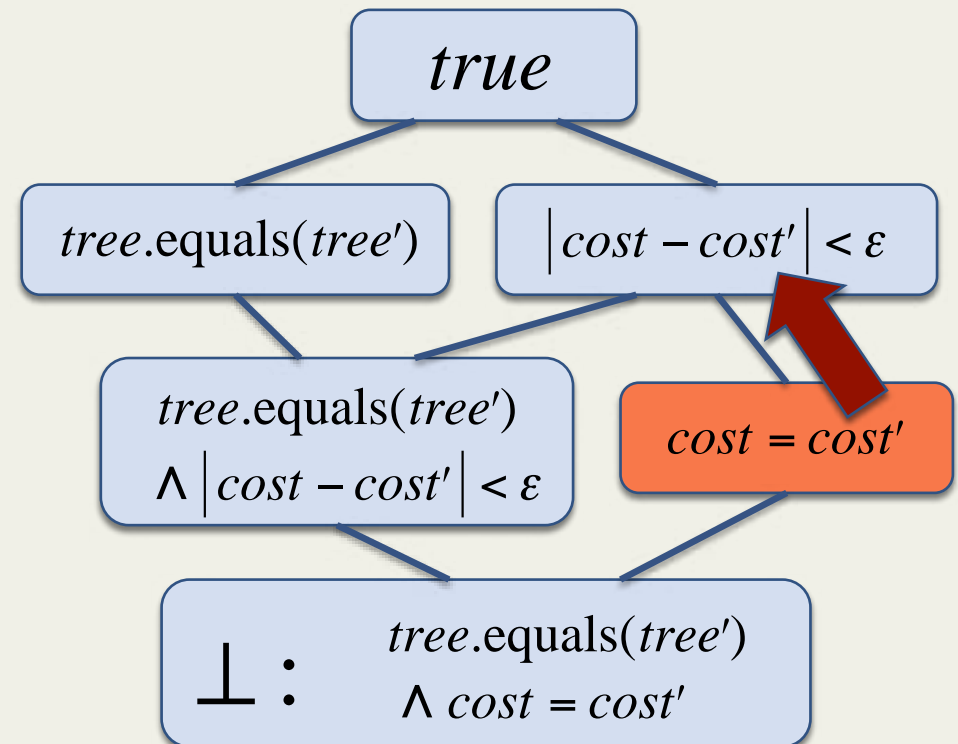
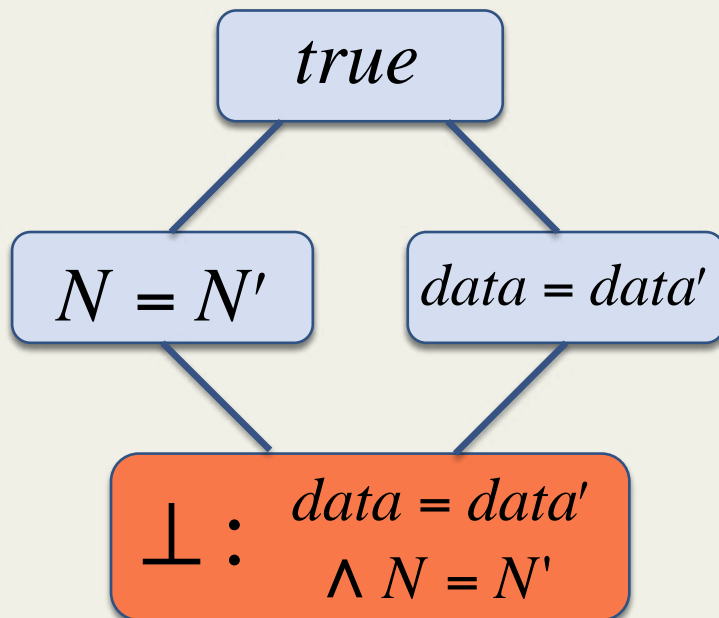
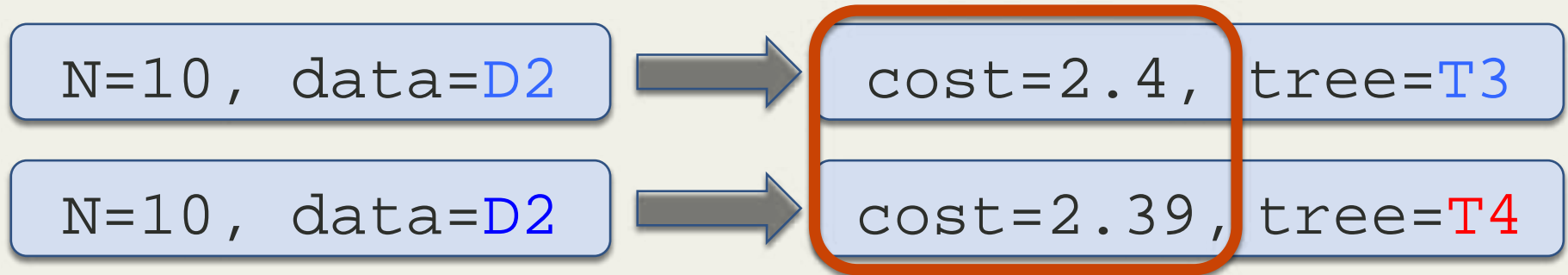


$\text{cost}=2.39$ ,  $\text{tree}=\text{T4}$

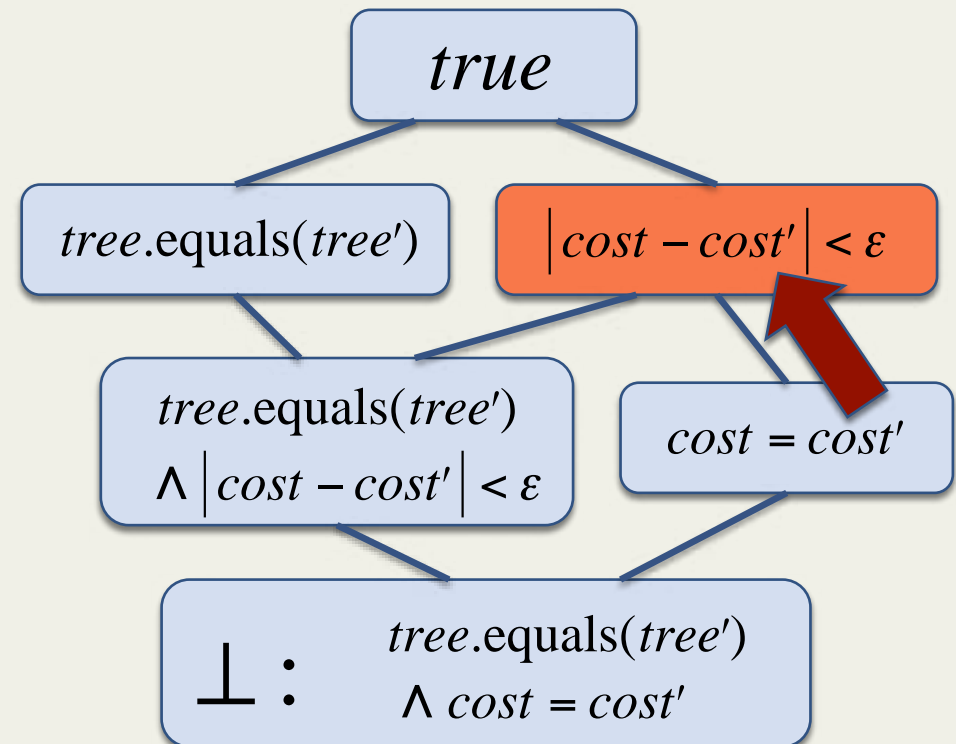
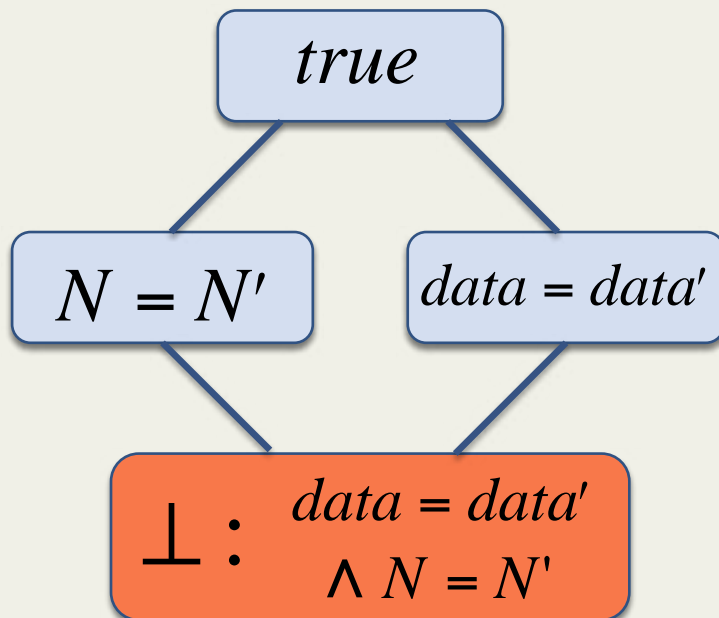
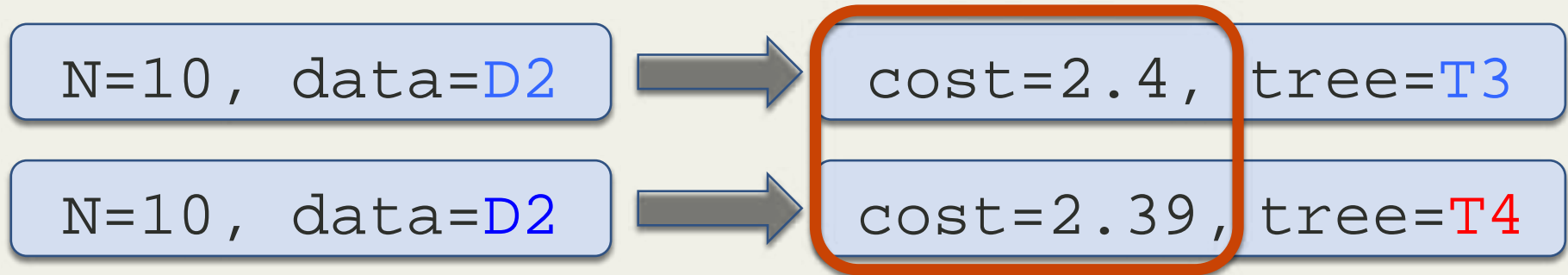




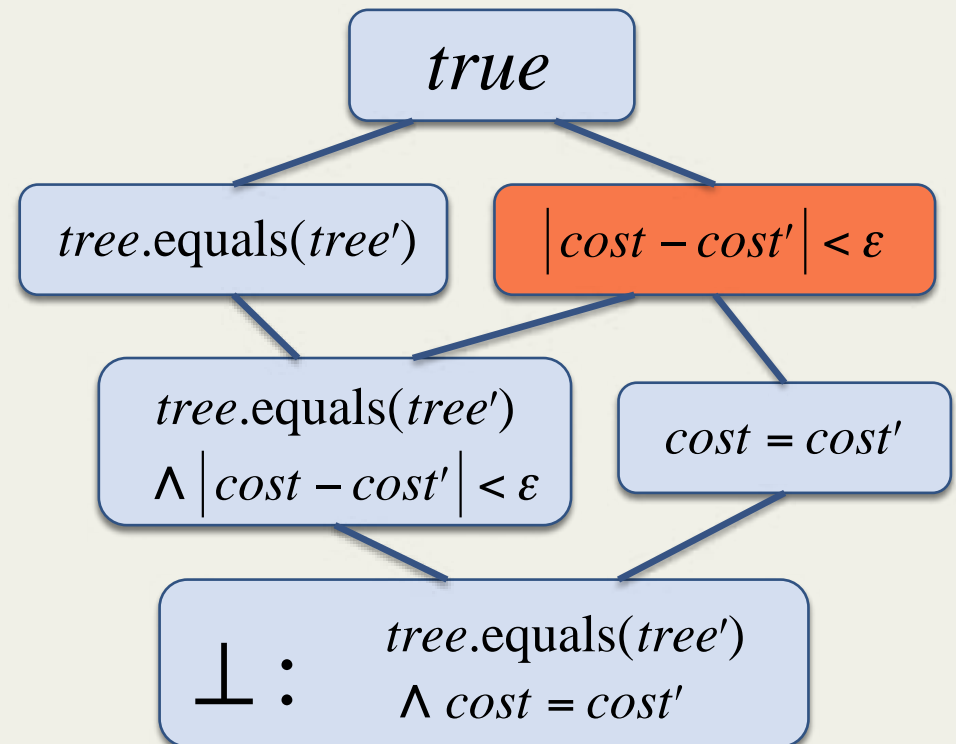
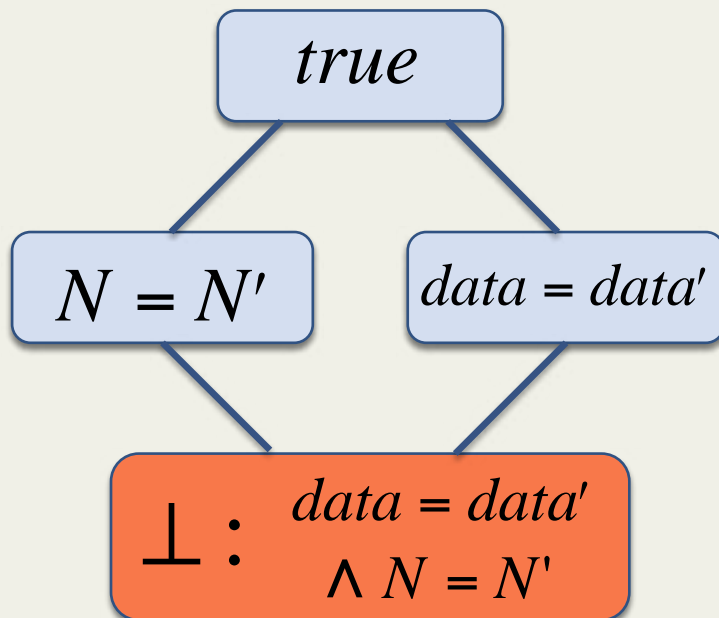
# Inferring Strongest Post III



# Inferring Strongest Post III



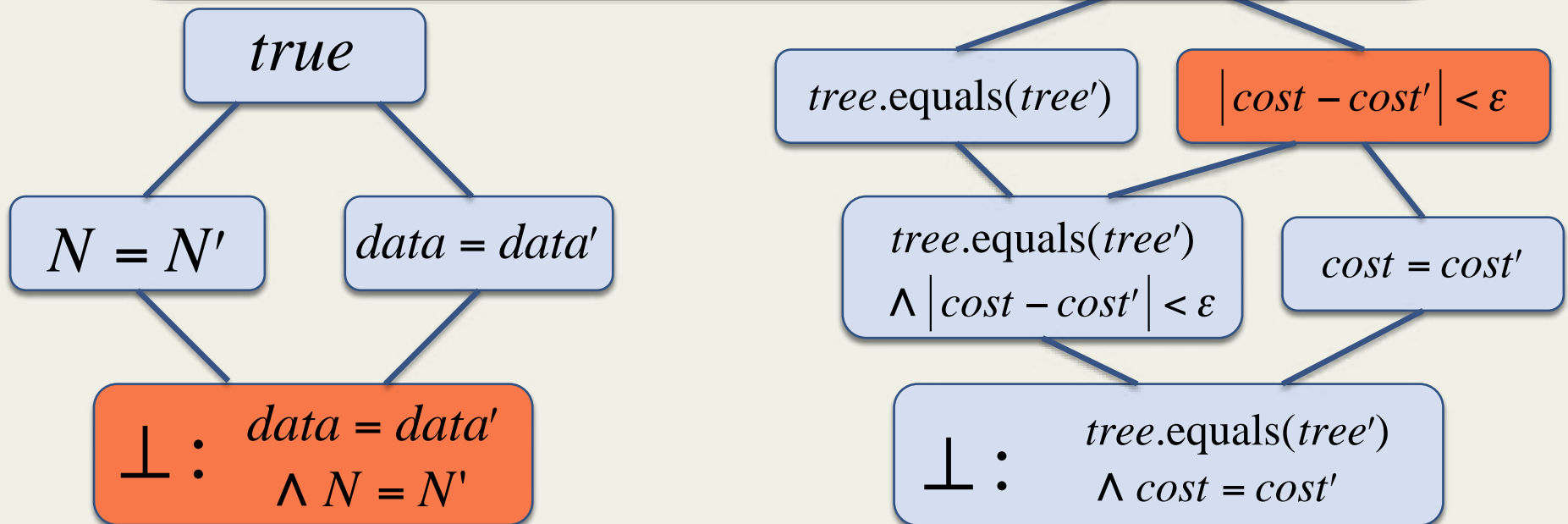
# Inferred Strongest Post



# Inferred Strongest Post

*Proposition 1. The inferred postcondition  $Post_R$  is the unique strongest postcondition given the observed runs.*

*I.e., if  $\varphi_{pre} \xrightarrow{P,R} \varphi_{post}$  then  $Post_R \Rightarrow \varphi_{post}$ .*



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*I.e., if  $\varphi_{pre} \xrightarrow{P,R} \varphi_{post}$  then  $Post_R \Rightarrow \varphi_{post}$ .*

*true*

*Corollary 2. Let the inferred specification be  $Pre_R \rightarrow Post_R$ . Then,  $Post_R$  is the strongest postcondition of  $Pre_R$ .*

*I.e., if  $Pre_R \xrightarrow{P,R} \varphi_{post}$  then  $Post_R \Rightarrow \varphi_{post}$ .*

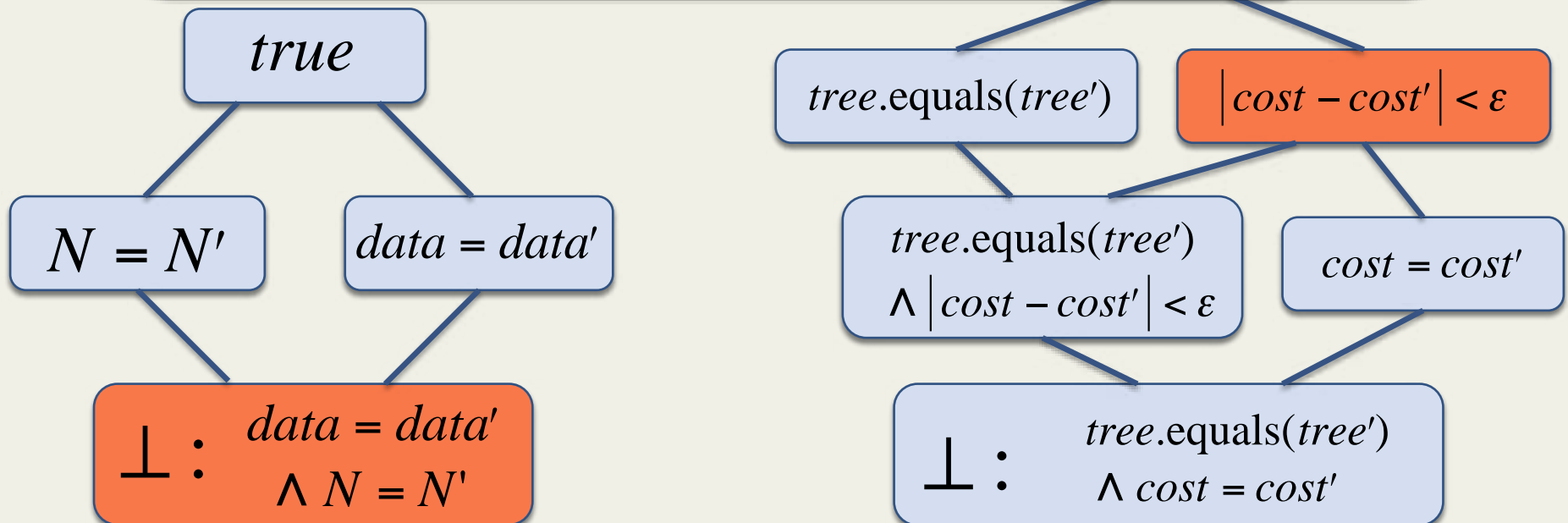
$\wedge N = N'$

$\wedge cost = cost'$

# Inferred Strongest Post

*Proposition 3. The inferred postcondition  $Post_R$  is at least as strong as the true unique strongest postcondition  $SP_P(\perp)$ .*

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# Inferred Strongest Post

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*I.e., if  $\varphi_{pre} \xrightarrow{P} \varphi_{post}$  then  $Post_R \Rightarrow \varphi_{post}$ .*

*true*

*Proposition 4. More observed executions lead to a weaker inferred postcondition.*

*That is, if  $R_1 \subseteq R_2 \subseteq R_3 \subseteq \dots$  then*

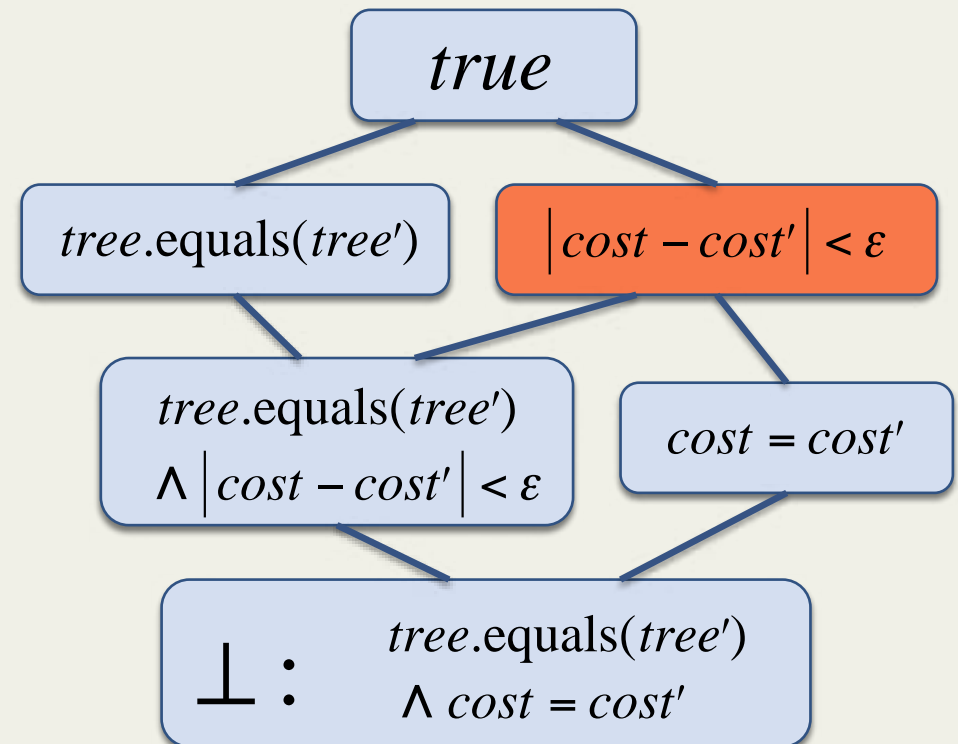
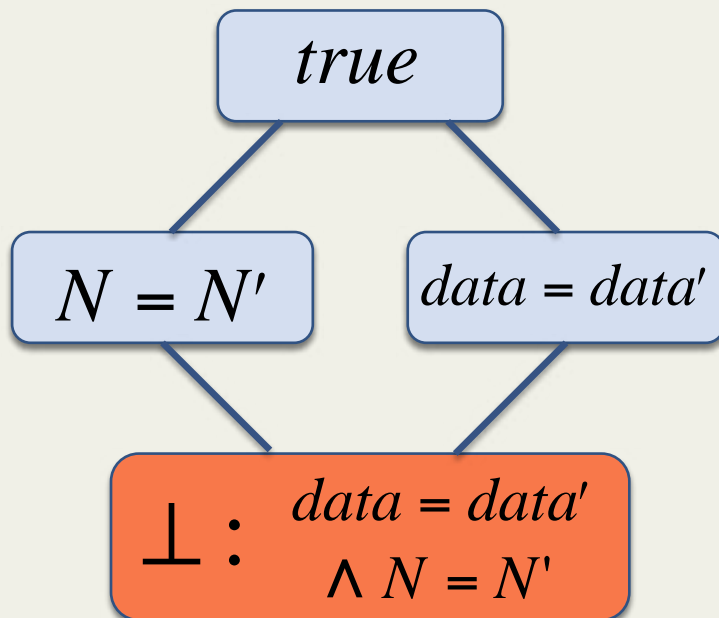
*$Post_{R_1} \Rightarrow Post_{R_2} \Rightarrow \dots \Rightarrow SP_P(\perp)$ .*

$\wedge N = N'$

$\wedge cost = cost'$

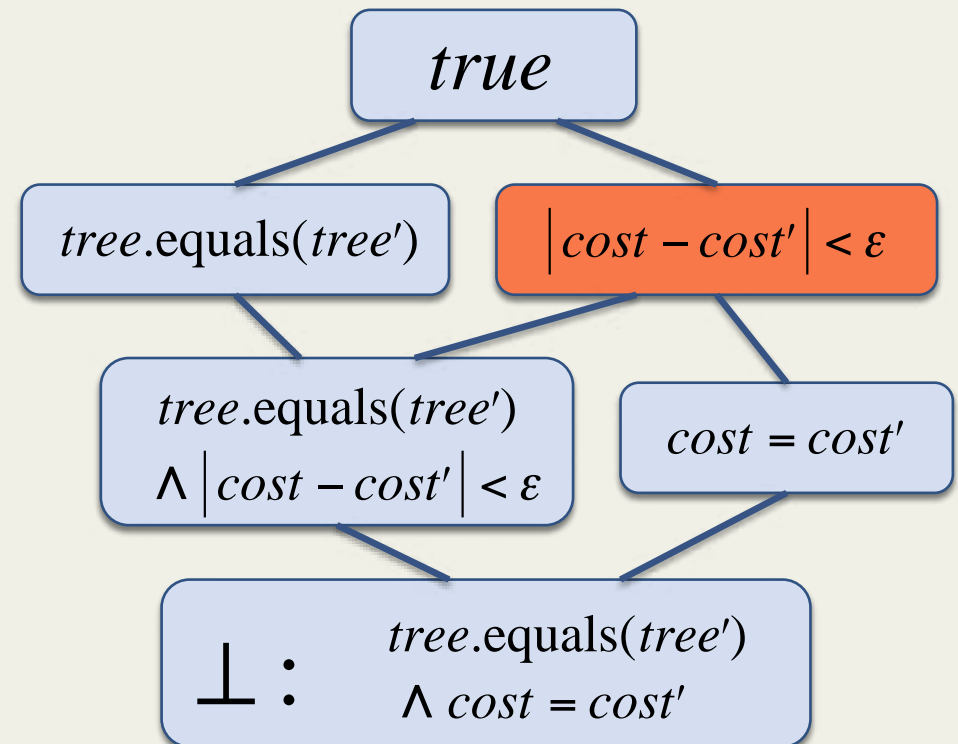
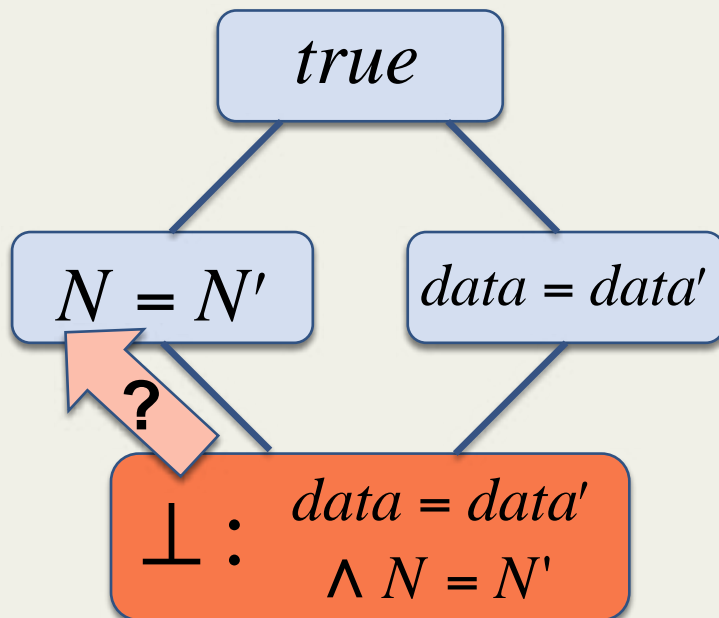
# Inferring Weakest Precondition

- Repeatedly weaken the precondition
  - As long as it still ensures the postcondition on every pair of observed executions.

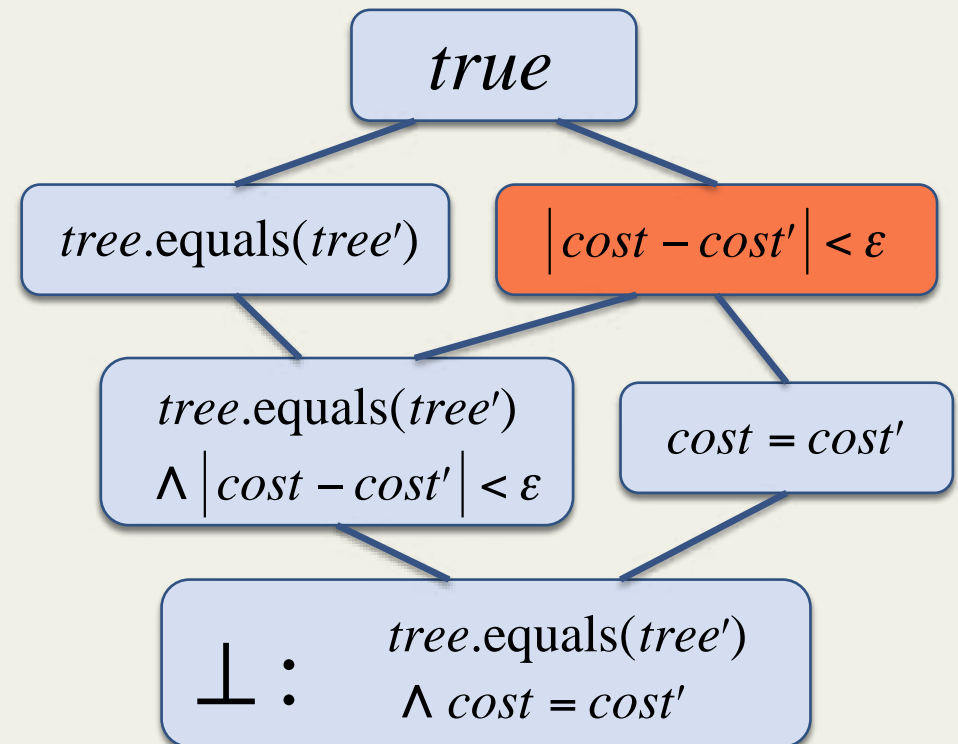
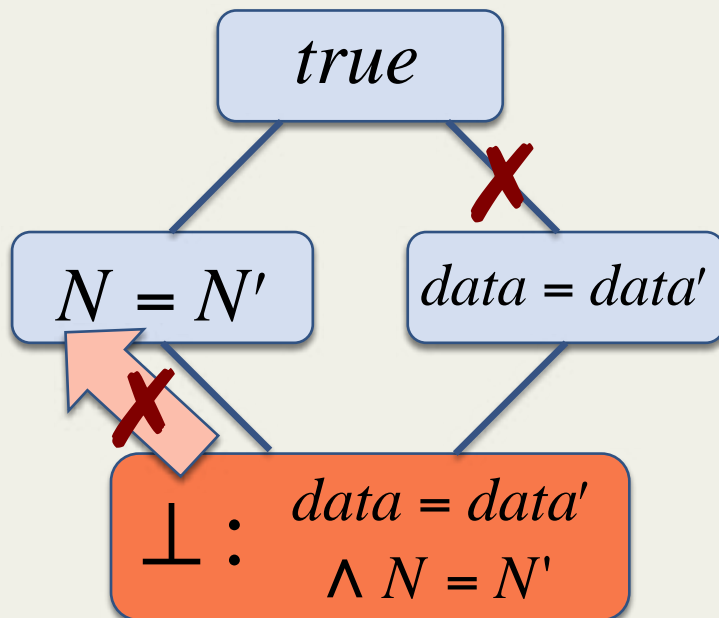
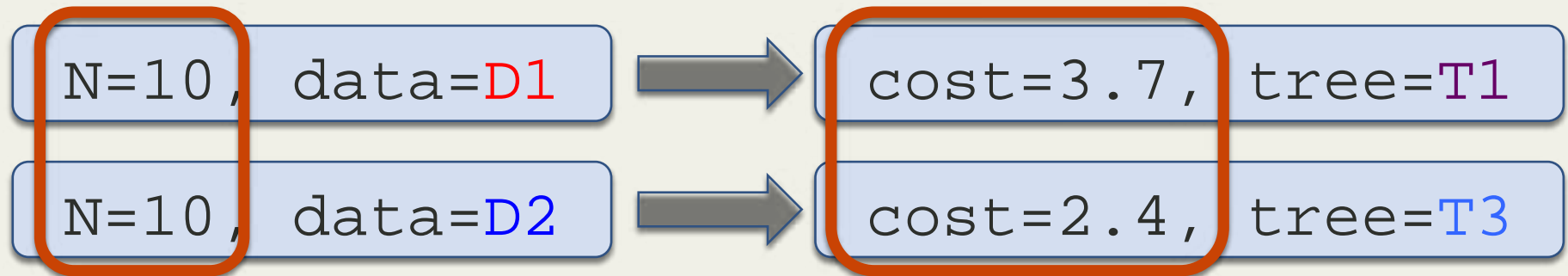




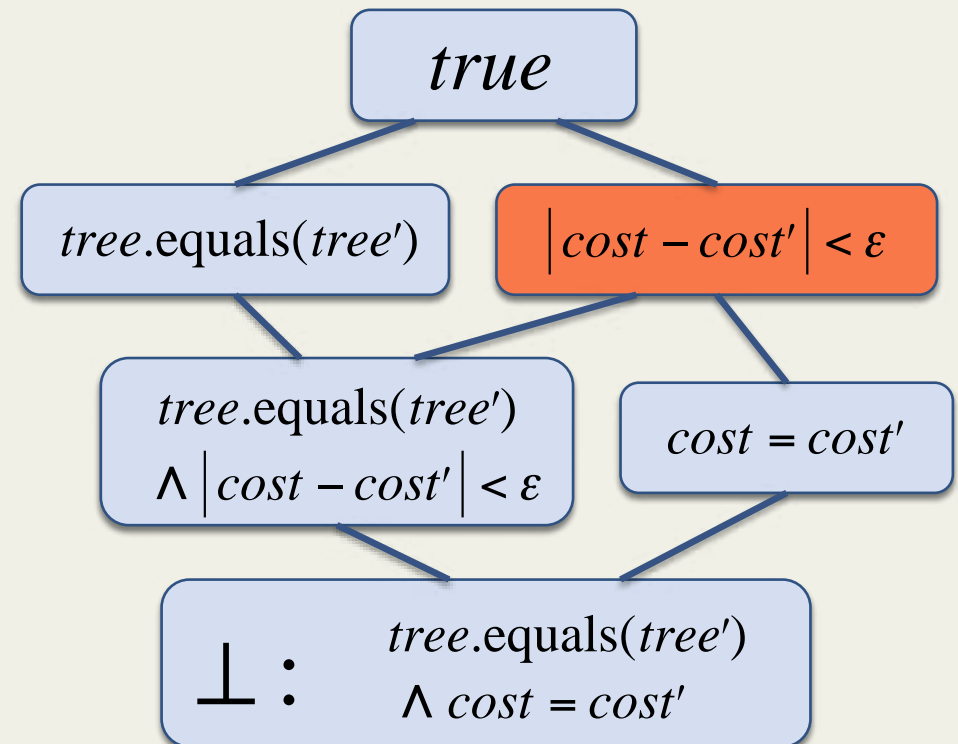
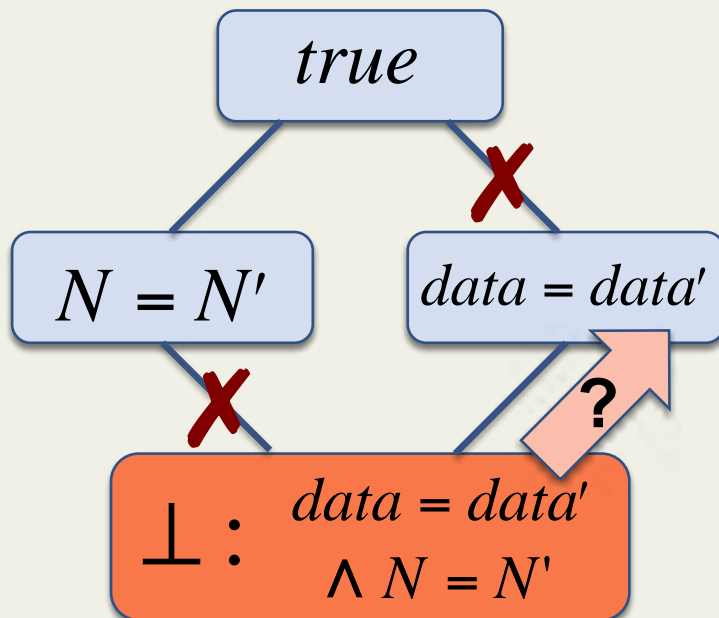
# Inferring Weakest Pre I



# Inferring Weakest Pre I



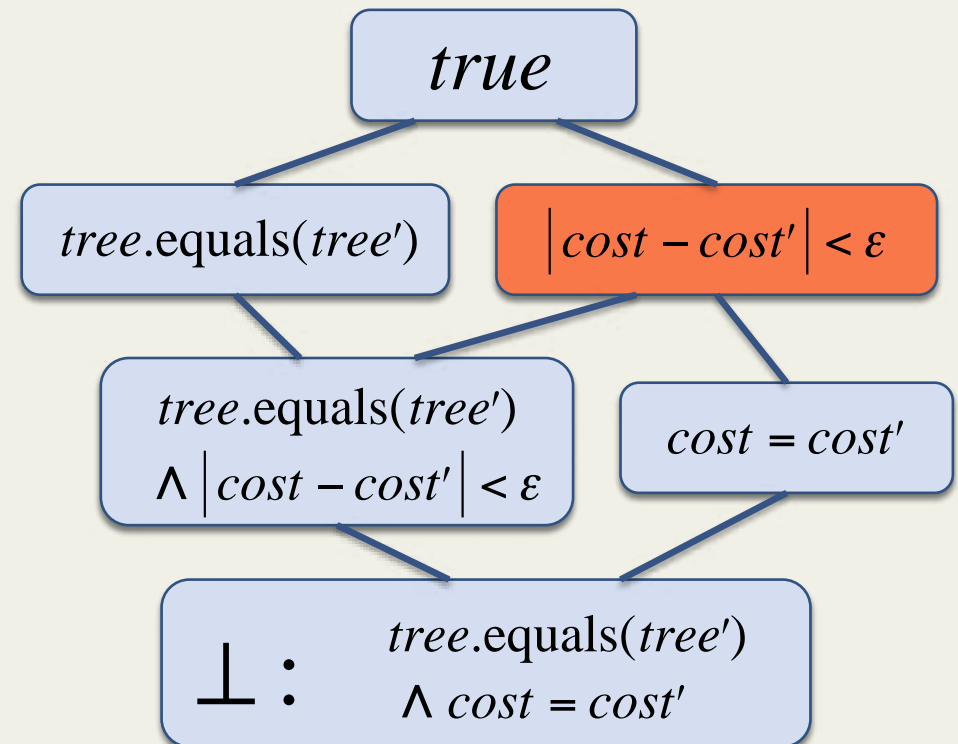
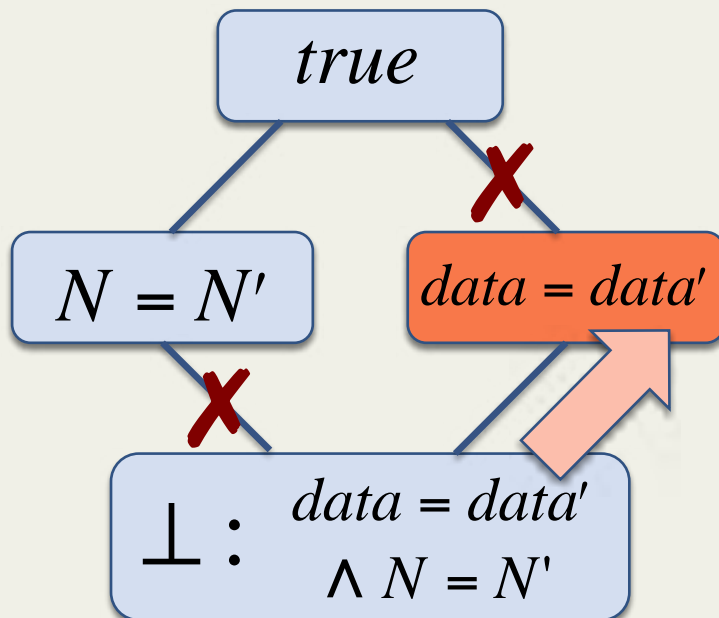
# Inferring Weakest Pre II



# Inferring Weakest Pre II

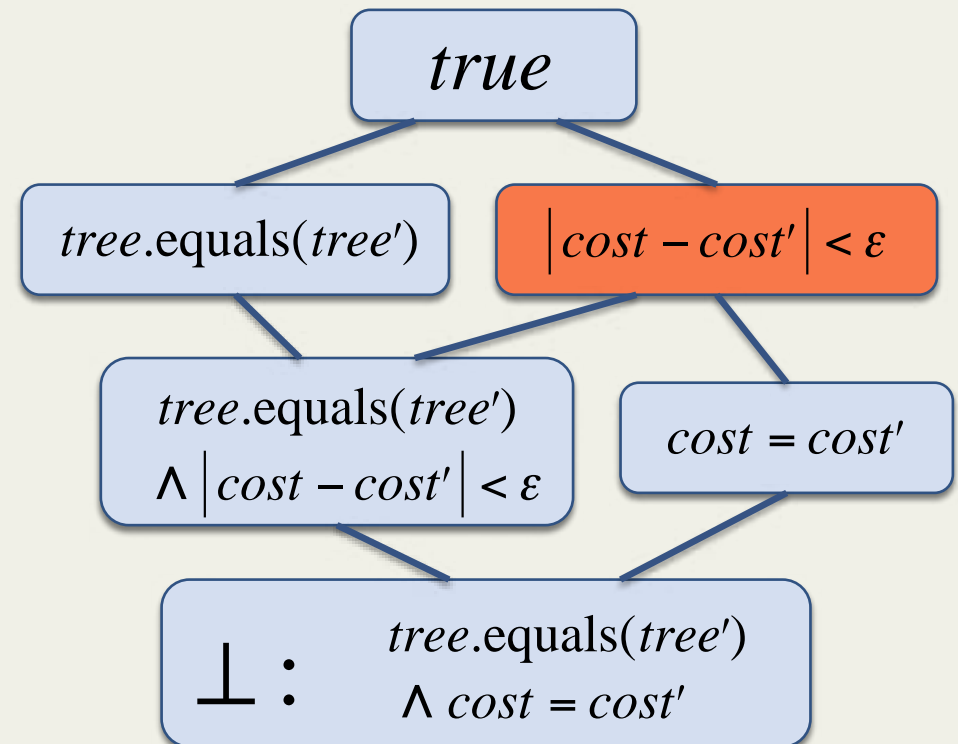
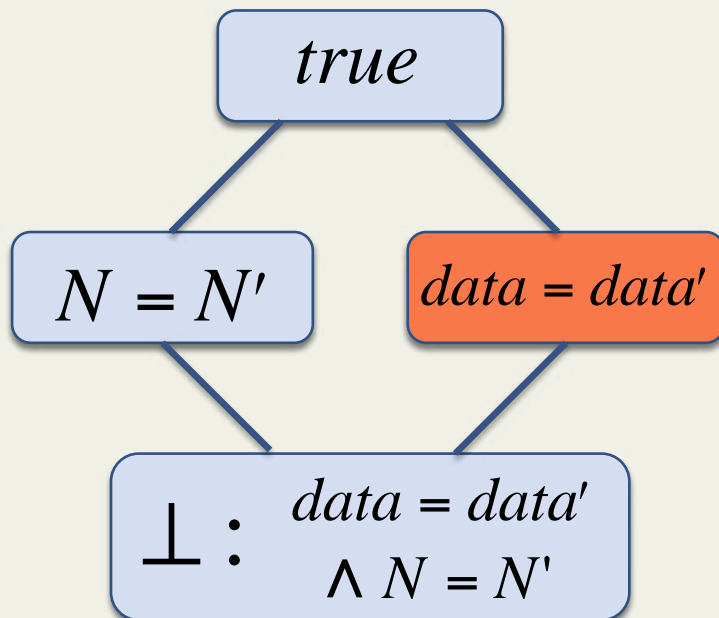
For all observed pairs of runs:

$$data = data' \xrightarrow{\text{min\_phylo\_tree}} |cost - cost'| < \varepsilon$$



# Inferred Weakest Precondition

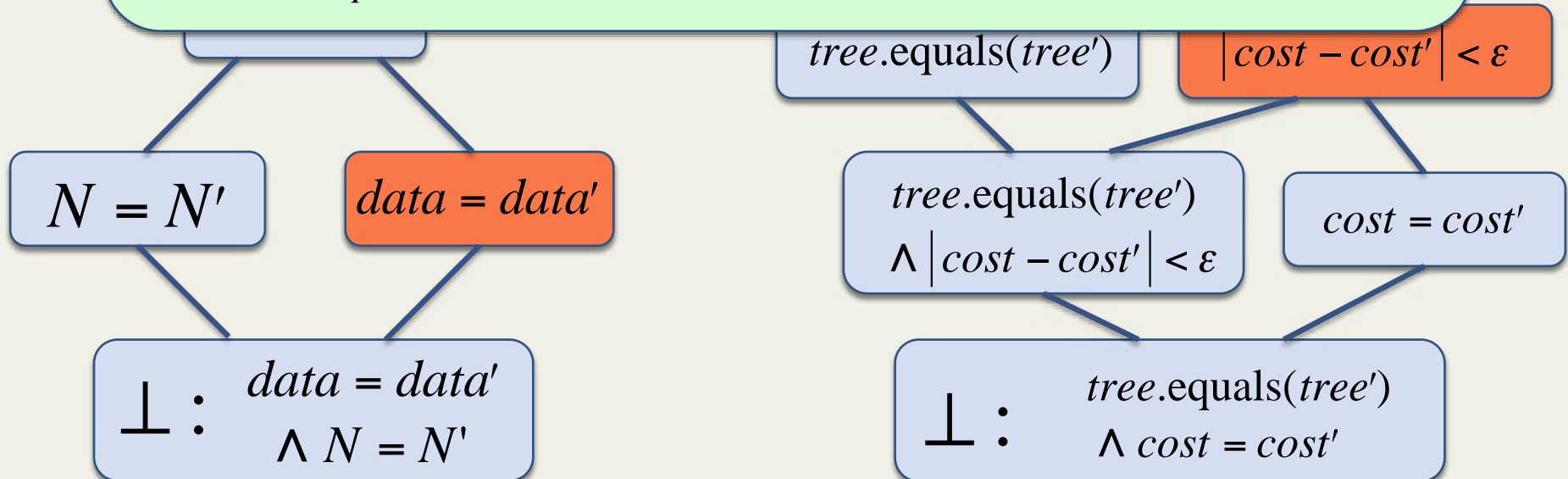
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# Inferred Weakest Precondition

*Proposition 5. Let the inferred specification be  $Pre_R \rightarrow Post_R$ . Then,  $Pre_R$  is a weakest precondition of  $Post_R$  for the observed runs.*

*I.e., if  $\varphi_{pre} \xrightarrow{P,R} Post_R$  and  $\varphi_{pre} \Rightarrow Pre_R$ , then  $\varphi_{pre} = Pre_R$ .*



# Outline

- Motivation and Overview
- Background: Deterministic Specs
- Specification Inference Problem
- Inferring Deterministic Specifications
- **Experimental Evaluation**
- Related Work
- Conclusions

# Determinism Inference Experiments

- For previous benchmarks, infer specs for manually-identified deterministic blocks.
  - Benchmarks: 8 from Java Grande Forum (JGF), 4 from Parallel Java (PJ) Library.



# Implementation (for Java)

- Record memory graph at open and close of deterministic block.
- Three equality predicates considered:
  - equals(), approximate equality, set equality
  - Compare any chain of fields (up to length 8):  
e.g., `this.tree.cost`, `Harness.matrix`
- Heuristics to reduce specification size.
  - By removing “uninteresting” conjuncts.

# Implementation (for Java)

- Heuristics needed to shrink specifications:
  - **Remove inputs from postcondition:**  
If no run changes  $v$ , don't include  $v=v'$ .

$data=data' \Rightarrow cost=cost' \wedge data=data'$

# Implementation (for Java)

- Heuristics needed to shrink specifications:
  - Remove inputs from postcondition.
  - **Remove constants from pre- and post-:**  
If  $v$  is equal in every run, don't include  $v=v'$ .

$$\begin{aligned} data=data' \quad \wedge \quad MAX\_MEM=MAX\_MEM' \\ \Rightarrow \quad cost=cost' \quad \wedge \quad done=done' \end{aligned}$$

# Implementation (for Java)

- Heuristics needed to shrink specifications:
  - Remove inputs from postcondition.
  - Remove constants from pre- and post-.
  - **Remove redundant conditions:**

*params=params'*

*=> point.equals(point')*

*$\wedge$  point.x=point.x'*

*$\wedge$  point.y=point.y'*

# Determinism Inference Experiments

- For previous benchmarks, infer specs for manually-identified deterministic blocks.
  - Benchmarks: 8 from Java Grande Forum (JGF), 4 from Parallel Java (PJ) Library.
- Compare to manual specifications.
  - Are inferred specs correct?
  - Capture intended deterministic behavior?
  - Small enough to be useful?

# Experimental Results

- Inferred specification vs. manual one:
  - Equivalent for 7/13 benchmarks.

Manual:

`params=params' → matrix=matrix'`

Inferred:

`params=params' → matrix=matrix'`  
`∧ img.equals(img')`

# Experimental Results

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# Experimental Results

- Inferred specification vs. manual one:
  - Equivalent for 7/13 benchmarks.
  - Inferred correct while manual wrong for 2/13!
  - 1/13 is correct but stronger than desired.

Manual:

$$\text{params}=\text{params}' \rightarrow |ek[0]-ek[0]'| < \varepsilon$$

Inferred:

$$\text{params}=\text{params}' \wedge \textit{nthreads}=\textit{nthreads}' \rightarrow |ek-ek'| < \varepsilon$$



# Experimental Results: JGF

Bench	LoC	Size of Precondition		Size of Postcondition	
		Manual	Inferred	Manual	Inferred
series	800	1	3	1	1
crypt	1.1k	1	3	2	2
moldyn	1.3k	2	14	3	7
raytracer	1.9k	2	3	1	1
monte	3.6k	1	2	1	1

# Experimental Results: PJ and tsp

Bench	LoC	Size of Precondition		Size of Postcondition	
		Manual	Inferred	Manual	Inferred
pi3	150	2	3	1	1
keysearch3	200	3	5	1	3
mandelbrot	250	7	11	1	5
phylogeny	4.4k	3	5	2	11
tsp*	700	1	3	1	2

# Experimental Results

- Limitations:
  - For 3/13 benchmarks, inferred spec is incorrect because of insufficient test suite.

Manual:

`graph=graph' → tour.cost=tour.cost'`

Inferred:

`graph=graph' → tour.equals(tour')`

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# Related Work: Determinism

- Deterministic languages and runtimes.
  - Deterministic Parallel Java (UIUC)
  - Kendo (Olszewski, et al, ASPLOS 09)
  - DMP (Deviatti, et al, ASPLOS 09)
- Determinism Checking.
  - SingleTrack (Sadowski, et al, ESOP 09)
  - *Race detection* can be thought of as determinism checking.

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# Conclusions

- Deterministic specifications – lightweight spec of parallel correctness.
  - Much simpler structure than functional correctness specifications.
- Can infer high-quality deterministic specs.
  - From small number of observed runs.
  - Mostly recovered previous manual specs.
  - Found two errors in previous manual specs.

Any Questions?