

# Expressive Performance

MUMT 621: Music Information Acquisition, Preservation, and Retrieval

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*April 2, 2009*

Performance & Music Psychology

Rule-based Performance Models

Modeling Performance Data

Automatically Extracting Performance Data

Study of Intonation Practices

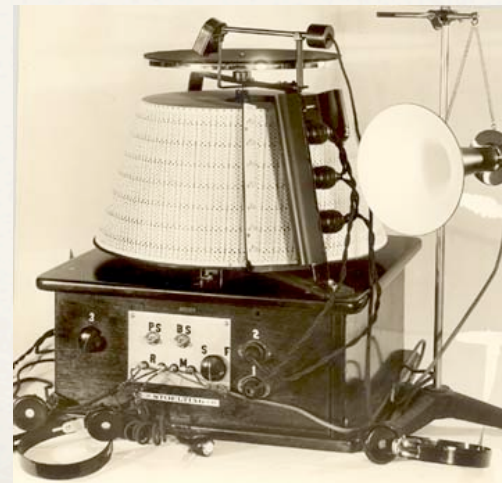
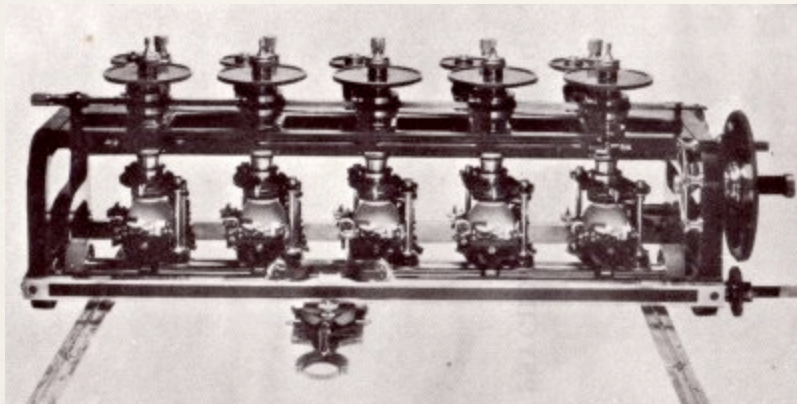
# Performance & Music Psychology



# Performance & Music Psychology

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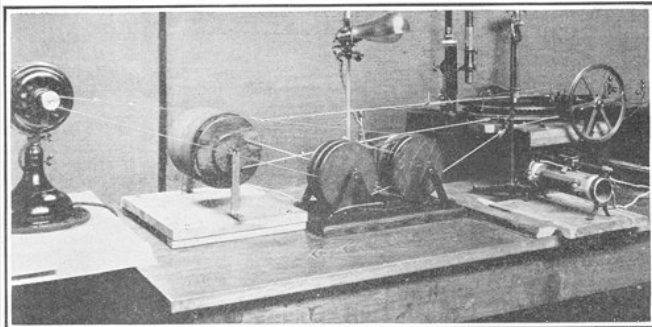
- \* Carl Seashore (1938) studied timing, dynamics, intonation, and vibrato in pianists, violinists, and singers
- \* Equipment: piano rolls, films of the movement of hammers during performance, phono-photographic apparatus



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- ❖ Interest in empirical performance analysis diminished between the Second World War and 1980's, in part due to its labouriousness



Wave recorder for use with disk phonograph; the lever, acting like a pantograph, traces the waves on a revolving smoked drum



The tonoscope for analyzing the pitch of the tones on a disk phonograph record



# Performance & Music Psychology

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- \* The resurgence in interest in the late 1970s / early 1980s coincided with
  - \* a movement by musicologists away from equating scores with music
  - \* an increased interest by cognitive psychologists in music.
- \* Ingemar Bengtsson and Alf Gabrielsson (1980) undertook a number of systematic experiments on musical rhythm in performance

# Bruno Repp

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- ❖ 1992 Experiment
  - ❖ performed extensive evaluations of Beethoven's and Schumann's piano music
  - ❖ found that the degree of ritardando could be consistently related to the hierarchical levels of the phrase
  - ❖ observed that the higher the structural level the more pronounced the ritardandi were
  - ❖ demonstrated the differences in pianists' styles



# Bruno Repp

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- ✧ 1997 Experiments

- ✧ Experiment 1 - Schumann's "Träumerei"
  - ✧ 10 performances by piano graduate studentsA
  - ✧ An averaged performance
- ✧ Experiment 2 - Chopin's Etude in E major
  - ✧ 9 performances by graduate student pianists
  - ✧ 15 commercial recordings of famous pianists
  - ✧ Averaged performances of each group and a combined average of all of the recordings
  - ✧ 3 performances using the first three principal components of the expert performances



# Performance & Music Psychology

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## ❖ Repp (1997) Music Examples

Schubert

Example One

Example Two

Example Three

Chopin

Example One

Example Two

Example Three

Example Four

Example Five

# Bruno Repp

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- ✧ Repp (1997) Results
  - ✧ Experiment One
    - ✧ Examples: Performance 1, Average, Performance 10
    - ✧ Rankings: Performance 10, Average, Performance 3
  - ✧ Experiment Two
    - ✧ Examples: Component 2, Student 1, Expert Average, Student Average, Expert 11
    - ✧ Rankings: Expert Average, Expert 11, Student 1, Student 3, Student 9 Student 2, Student Average
- ✧ All of the audio files are available at:  
<http://www.haskins.yale.edu/misc/REPP/AP.html>



# Popularity of the Piano

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- ❖ Factors

- ❖ the large amount of solo repertoire
- ❖ the instrument's percussive nature
- ❖ the ease with which one can acquire accurate, minimally intrusive performance measurements from a pianist via MIDI technology
- ❖ the feasibility of using specially equipped pianos to measure performance data







# Popularity of the Piano

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- ✧ Issues with MIDI-based studies
  - ✧ require a MIDI-rigged piano
  - ✧ typically done in a lab environment
  - ✧ precision is limited for other instruments
- ✧ Music Information Retrieval techniques allow for extraction of performance data from recorded signals
- ✧ We'll return to this later...

# Rule-based Performance Models



# KTH model

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- ❖ Developed at the Royal Institute of Technology in Stockholm
- ❖ Set of performance rules to predict aspects of timing, dynamics, and articulation
  - ❖ ostensibly based on the local musical context
- ❖ “analysis-by-synthesis” approach
  - ❖ pros: models one kind of performer-listener interaction
  - ❖ cons: relies heavily on the performer as only a small number of examples were presented

# KTH model

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- ✧ DURATION-CONTRAST Rule
  - ✧ modifies the ration between sequential notes to emphasis difference in their durations
  - ✧ quality control parameter (k)
    - ✧ 1 - full effect
    - ✧ 0 - no effect
    - ✧ -1 - reverse the effect
  - ✧ problems: several rules influence the duration of the note, which makes this rule dependent on these



# KTH model: Empirical Evaluation

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- ❖ To produce a predictive model the parameters of the model need to be tuned
  - ❖ analysis-by-synthesis approach presents some basic suggestions
- ❖ Empirical evaluation of recorded performances are also necessary
  - ❖ Sundberg et al. (1991) determined the perceptual threshold for the k values
  - ❖ Friberg (1995) used a greedy search method to fit parameters to the PHRASE ARCH rule based on the first nine measures a single piece
  - ❖ Sundberg et al. (2003) fitted PHRASE ARCH k values manually to a single performance of a Mozart sonata movement

# KTH model: Empirical Evaluation

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- \* Empirical evaluations outside of KTH
  - \* Zanon and De Poli (2003a; 200b) tested both fixed and time varying k values
  - \* Gabrielsson and Juslin (1996) related model to emotional colourings
  - \* Juslin et al. (2002) developed a comprehensive computation model of expressive performance
    - \* G - generative KTH model
    - \* E - Juslin's earlier work on emotional models
    - \* R - random variability
    - \* M - analogies to physical motion



# Todd model

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- \* Developed by Neil Todd from late 1980s to early 1990s
- \* Structure-level models of expressive timing and dynamics
- \* “analysis-by-measurement” approach
  - \* empirical evidence obtained directly from measurements of human performances
  - \* assumptions:
    - \* direct link between musical structure and performance
    - \* relationship can be modeled with a single rule
- \* pros: appeals to a theoretical framework to assess musical context (Lerdahl and Jackendoff 1983)
- \* cons: overly simplistic (“the faster, the louder”)

# Todd model

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- ❖ “the faster, the louder”
- ❖ Intensity is proportional to the squared tempo
- ❖ Used a recursive look-ahead procedure to allow the hierarchical grouping in the music to control the instantaneous tempo
- ❖ Leads to increased dynamics and tempo at the middle of phrases and reduced dynamics / slowing down at points of stability, such as phrase boundaries
  - ❖ this is modeled at each level of the piece’s hierarchy



# Todd model: Empirical Evaluation

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- \* Todd (1992) compared the model's output with tempo and dynamic curves of one or two performances of a small number of pieces by Haydn
- \* Windsor & Clarke (1997) used regression analysis of several performances generated by Todd's model against two repeated human performances
  - \* residuals: idiosyncrasies of human performance not explained by the model
- \* Clarke & Windsor (2000) had human listeners evaluate performances generated by Todd's model

# Mazzola model

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- \* Developed by Guerino Mazzola and colleagues in Zurich
- \* Mathematical music theory and performance model
- \* Applies analysis and performance components
  - \* computer-aided analysis tools for musical structure
    - \* each aspect implemented in a Rubbette (plugin)
  - \* performance is generated with the Rubettes
  - \* uses “Stemma/Operator” theory for mapping



# Mazzola model

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- ✧ MetroRUBETTE
  - ✧ (inner) metrical analysis
  - ✧ result is different than Lerdahl and Jackendoff-esque (outer) metrical analysis
  - ✧ used linear mapping between metrical weight and tone intensity to generate a performance
- ✧ Empirical Evaluation
  - ✧ not evaluated against real performances

# Modeling Performance Data



# Widmer model

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- ❖ Developed at Vienna
- ❖ Multi-level model of expressive timing and dynamics
- ❖ Uses large amounts of empirical data extracted from a performance to train a machine learning model to predict local, note-level expressive deviations and higher-level phrasing pattern
  - ❖ applies inductive machine learning and data mining techniques

# Widmer: Note level model

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- ❖ Inductive rule learning algorithm to learn note-level rules for timing, dynamics, and articulation
  - ❖ i.e., how the performer will play a particular note
  - ❖ complementary to higher-level manipulations
- ❖ Training method
  - ❖ recordings of 13 Mozart piano sonatas by one performer
  - ❖ each note melody described by 29 attributes
  - ❖ computer learned a set of 17 simple classification rules



# Widmer: Multi-level model

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- \* Attempts to account for the role of tempo, dynamics, and articulation in shaping abstract structures, such as motifs, groups, and phrases
- \* Assumptions
  - \* expressive timing or dynamics gestures can be reasonably approximated quadratic curves
  - \* a multi-level performance can be represented as a linear combination of these shapes at different hierarchical levels
  - \* similar phrases will be played similarly by different pianists

# Widmer: Multi-level model

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- ❖ Inputs to the system
  - ❖ example performances by musicians
  - ❖ hierarchical phrase analysis of the music
  - ❖ tempo and dynamics curves
- ❖ System fits quadratic approximation functions to the curves associated with each phrase
- ❖ Predicts elementary expressive shapes for similar phrases in different pieces
- ❖ Can be combined with the note-level model, such that the note-level model compensates for the “residuals”



# Widmer: Empirical Evaluation

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- ❖ Widmer and Tobudic (2003a) tested the predictive performance of the multi-level model by measuring how closely the tempo and dynamics curves of the new performances matched those predicted by the model
  - ❖ results were better than chance and mechanical performances
- ❖ Tobudic and Widmer (2003b) optimized the case-based learning algorithm and used first-order logic and structural similarity to model the phrases' hierarchical context
  - ❖ produced some quantitative improvements

# Automatically Extracting Performance Data



# Applicable MIR techniques

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- ✧ Beat tracking
- ✧ Music Alignment
  - ✧ Real time score following (online)
  - ✧ MIDI-score alignment (offline)

# Beat Tracking

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- ❖ Works okay for percussive instruments, e.g., piano, for some tasks
  - ❖ though not accurately enough to produce reliable data
- ❖ Generally fails for non-percussive instruments
- ❖ MIREX 2007 Onset Detection Evaluation



# Real-time score alignment

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- ❖ Hidden Markov Models
  - ❖ Cano, Loscos, Bonada (1999)
  - ❖ Orio and Dechelle (2001)
  - ❖ Schwarz, Orio, and Schnell (2004)
- ❖ MIREX 2008 Real-time Audio to Score Alignment Evaluation

# Real-time score alignment

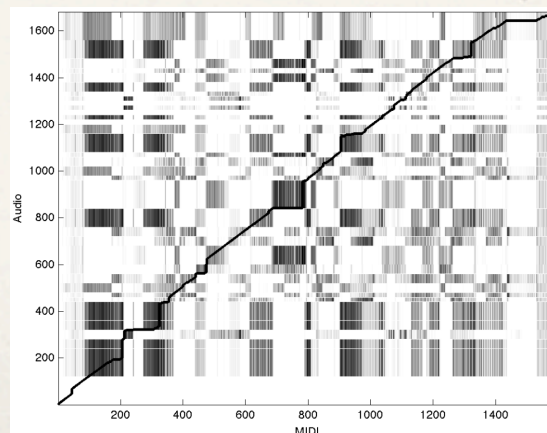
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- ✧ Christopher Raphael's Music Plus One (2004)
  - ✧ Uses Graphical Models
  - ✧ Task 1 : Listen
    - ✧ Inputs: sampled acoustic signal musical score
    - ✧ Output: Time at which notes occur
  - ✧ Task 2 : Play
    - ✧ Inputs: output from Listen module musical score rehearsal data from musician performances of accompaniment
    - ✧ Output: Music accompaniment in real time



# Dynamic Time Warping (Offline)

- ❖ Orio and Schwarz (2001) - peak structural distance
- ❖ Hu et al. 2003 - chromagrams, also considered pitch and MFCCs
- ❖ Turetsky and Ellis 2003 - a combination of spectral power, first order differences between channels, first order difference in frequency, and noise suppression
- ❖ Kurth et al. 2007 - chroma



Similarity matrix with the DTW path indicated in black

# Study of Intonation Practices



# Goals

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- ❖ To reliably extract perceived pitch information from *a cappella* recordings of *a cappella* singing voice (solo and in ensembles)
- ❖ To develop a model of the observed intonation tendencies

# INTONATION IN SOLO VOCAL PERFORMANCE

Original



# INTONATION IN SOLO VOCAL PERFORMANCE

Original

Quantized

# Potential Applications

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- ❖ Relate to studies of expressive performance
- ❖ Assess whether there is an observable relationship between intonation and music theories dealing with musical attraction and tension
- ❖ Develop a predictive model for intonation practices
- ❖ Generate more accurate digital re-creations



# Project Overview

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- ❖ Experiment uses recordings of Schubert's 'Ave Maria' and a composed melody
- ❖ Recordings are analyzed with signal processing techniques
- ❖ Estimated perceived fundamental frequency of each note is related to its musical context

# Experiment

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- ❖ Subjects
  - ❖ 6 professional sopranos without perfect pitch
  - ❖ 6 undergraduate sopranos with perfect pitch
  - ❖ 6 undergraduate sopranos without perfect pitch
- ❖ Experimental material
  - ❖ Schubert's 'Ave Maria' - a cappella and with accompaniment
  - ❖ A composed melodic exercise



A - ve Ma - ri - - - a, Gra - ti - a \_\_\_\_\_ ple \_\_\_\_\_ na Ma - ri - a \_\_\_\_\_ gra \_\_\_\_\_ ti - a

4 ple - - - na, Ma - ri - - - a gra - ti - a \_\_\_\_\_ ple - na A - ve \_\_\_\_\_ A - ve Do - mi -

6 nus, \_\_\_\_\_ Do - mi - nus \_\_\_\_\_ te - cum Be - ne - dic - ta tu in mu - li - e - ri - bus et

8 be - ne - di - - - - - ctus, et be - ne - dic - tus fru - ctus ven - tris, ven - tris

10 tu - i Je - - - - - sus. A - ve - Ma - ri - - - a!

10

19

28

37

46

55

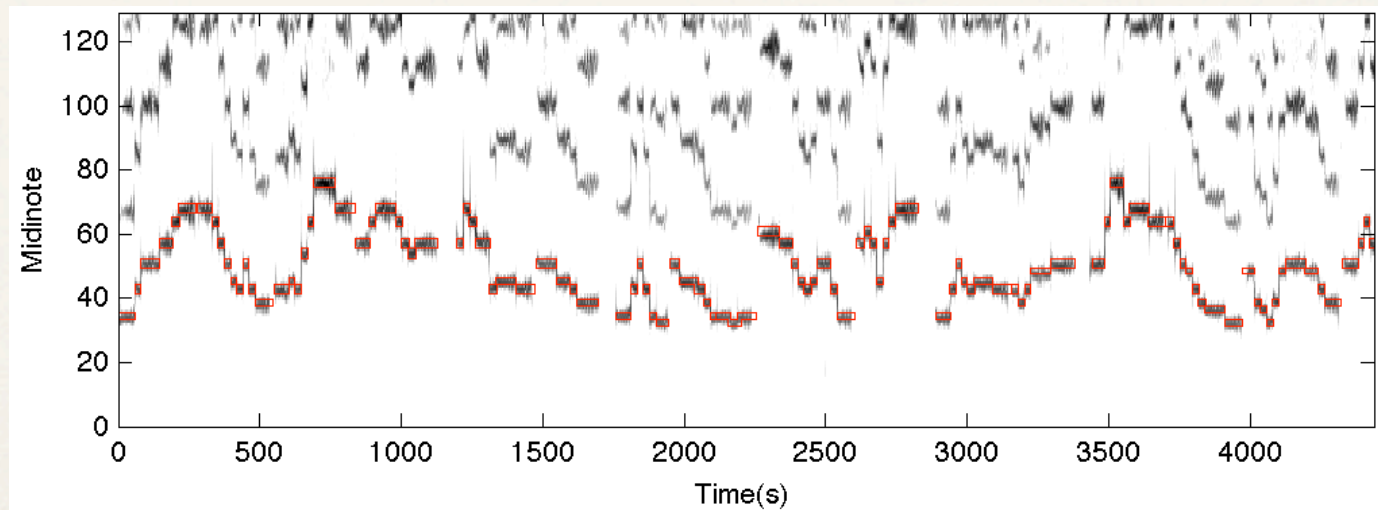
65



# Signal Processing

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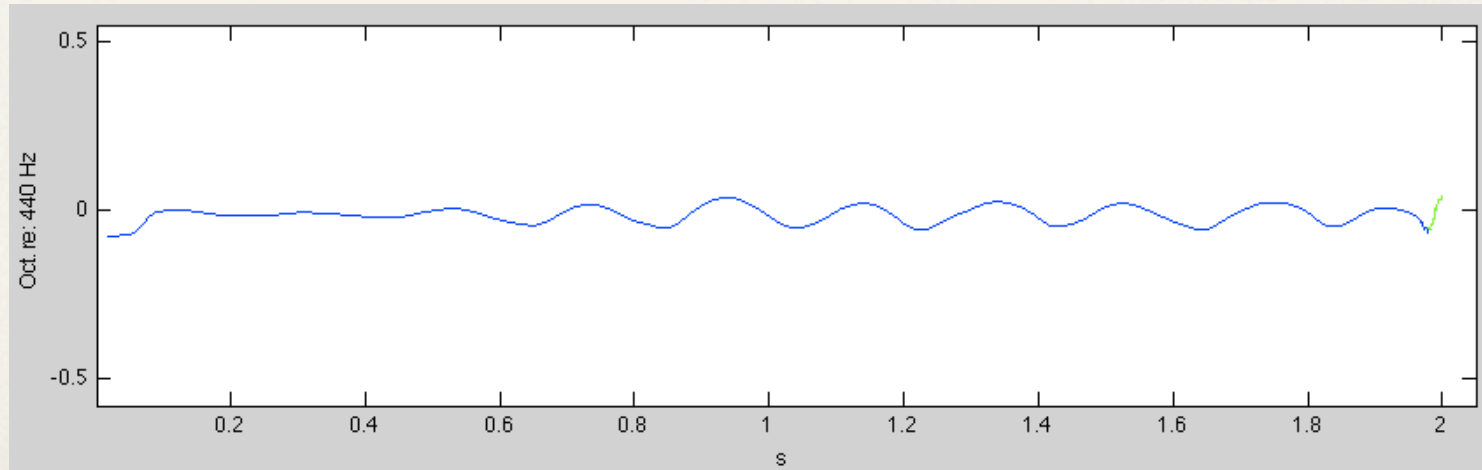
- ✧ Note onsets and offsets are determined by first aligning a MIDI version of the score to the audio using Dynamic Time Warping



# Signal Processing

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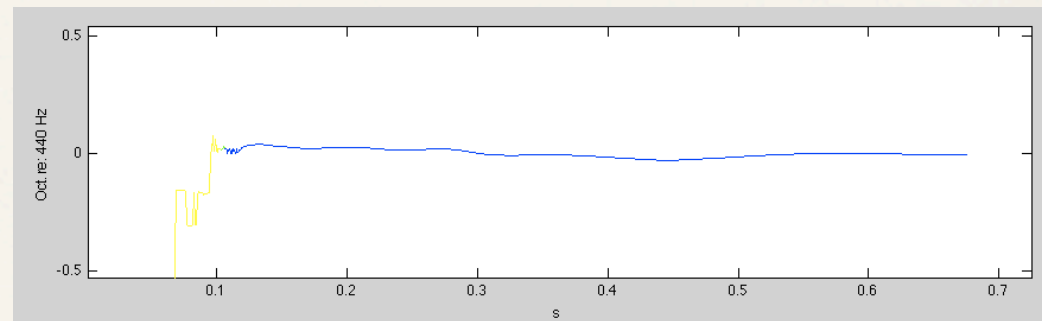
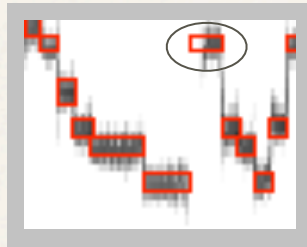
- ❖ Once the onsets and offsets have been determined, fundamental frequency estimation is done with Alain deCheveigne's YIN algorithm (2002)
- ❖ The perceived pitch over the duration of the sustain portion of the note was calculated as the geometric mean



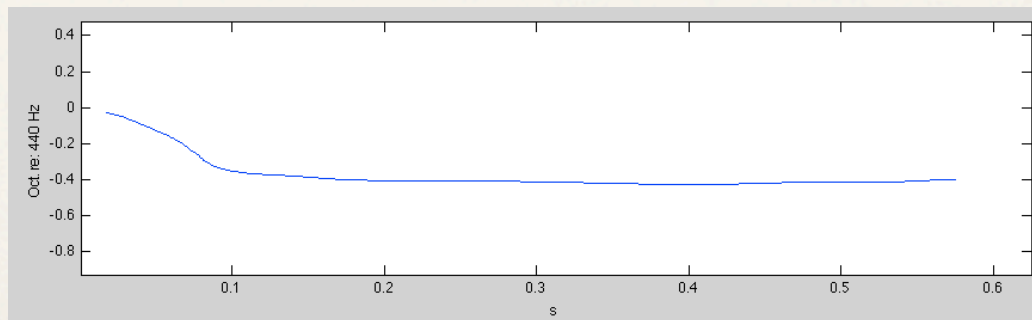


# Challenges

## Problems with the alignment algorithm



## Singers 'sliding' into notes



# a cappella vs accompanied

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## Self-consistency

	<i>a cappella</i>	Accompanied
Undergrad	14.9	19.0
Pro	33.74	10.2

## Intra-singer consistency

<i>a cappella</i>	Accompanied
21.31	16.43



# Leading-tone and Tonic

The image displays a musical score for a single melodic line in 3/4 time, spanning 68 measures. The key signature is three flats (Bb, Eb, Ab). The score is divided into eight systems, each containing three measures. The following table summarizes the measures and the specific notes highlighted with circles and the label 'Eb+'.

Measure	Highlighted Notes
10	Ab (leading tone), Eb (tonic)
19	None
28	Ab (leading tone), Eb (tonic)
37	Ab (leading tone), Eb (tonic)
46	None
55	None
65	Ab (leading tone), Eb (tonic)
68	Ab (leading tone), Eb (tonic)

# Leading-tone and Tonic

A musical score in 3/4 time, featuring a key signature of three flats (B-flat, E-flat, A-flat). The score consists of eight staves, with measure numbers 10, 19, 28, 37, 46, 55, and 65 indicated at the beginning of their respective staves. The notation includes various note values (quarter, eighth, and sixteenth notes), rests, and slurs. Two specific musical features are highlighted with ovals and labels:

- At measure 19, the notes G4 and A4 are circled, with the label "C-" positioned below them, indicating the leading tone and tonic of the C minor scale.
- At measure 46, the notes B-flat4 and A4 are circled, with the label "Bb+" positioned below them, indicating the leading tone and tonic of the B-flat major scale.



# Leading-tone and Tonic

A musical score in 3/4 time, featuring a key signature of three flats (B-flat, E-flat, A-flat). The score consists of eight staves, with measure numbers 10, 19, 28, 37, 46, 55, and 65 indicated at the beginning of their respective staves. The notation includes various note values (quarter, eighth, and sixteenth notes) and rests, often grouped by slurs. Three specific notes are circled and labeled with blue text: an A-flat (Ab) in measure 30 is labeled 'Ab+', a B-flat (Bb) in measure 48 is labeled 'Bb+', and another B-flat (Bb) in measure 56 is labeled 'Bb+'. These labels likely indicate leading tones or tonic notes within the context of the piece.

# Leading-tone and Tonic

	Mean	Standard Deviation
Leading tone to tonic (72 instances)	90	15.1
Tonic to leading tone (84 instances)	-89.5	15.5
E♭ to D in different keys (24 instances)	-84.3	10
D to E♭ in different keys (6 instances)	99.1	6.4



# Polyphonic Ensembles

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- ✧ the seventh of the home key functions differently in a piece that modulates
- ✧ B is the leading tone of C Major and the mediant of G Major
  - ✧ in C Major it is an unstable pitch that generally resolves to the tonic (C)
  - ✧ in G major it is a stable pitch
- ✧ even within a single chord there are potential tuning conflicts
- ✧ in the context of a G Major chord in C major, B is both the leading-tone of the key and the third of the chord
  - ✧ it is commonly held that leading-tones are tuned sharp
  - ✧ theories of sensory consonance suggest that a vertical major third will be tuned flat

# Extracting Pitch Data for Ensembles

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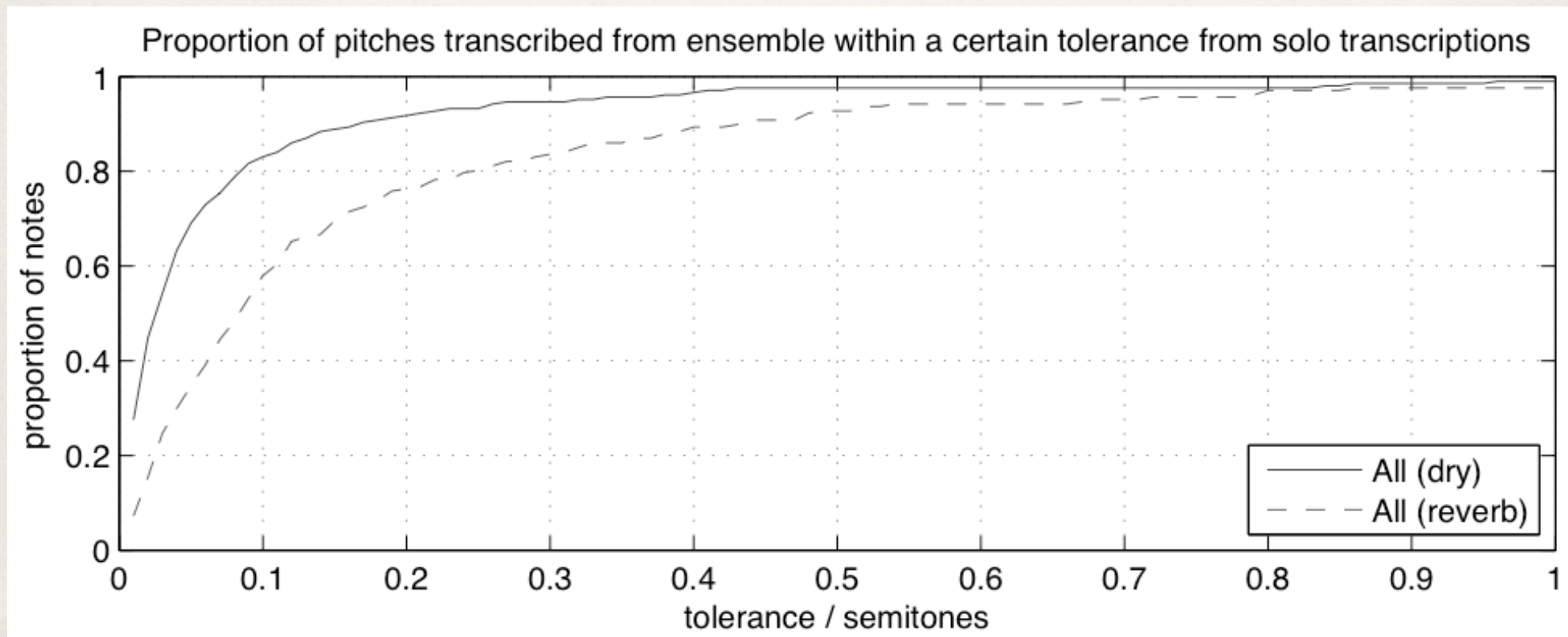
- ❖ Robust polyphonic transcription is still an unsolved problem
- ❖ However, there is a workaround when a score is available:
  - ❖ align the MIDI score to audio
  - ❖ use the MIDI score to guide the signal processing analysis to estimate accurate frequency information



# Extracting Pitch Data for Ensembles

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- ❖ Can use an instantaneous frequency estimation technique to create a special spectrogram with more exact frequency information than a standard spectrogram
- ❖ Aligned MIDI file indicates the frequency-range and time-span for each expected note



## Current accuracy rate of pitch estimation



# Future Work

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- ❖ Improve alignment and pitch estimation accuracy
- ❖ Once accurate pitch estimates have been obtained for a number of recordings have been the collected data can be modelled
  - ❖ Short-term goal is to find if any generalities exist
  - ❖ Longer-term goal is to develop a theory of vocal intonation practices

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