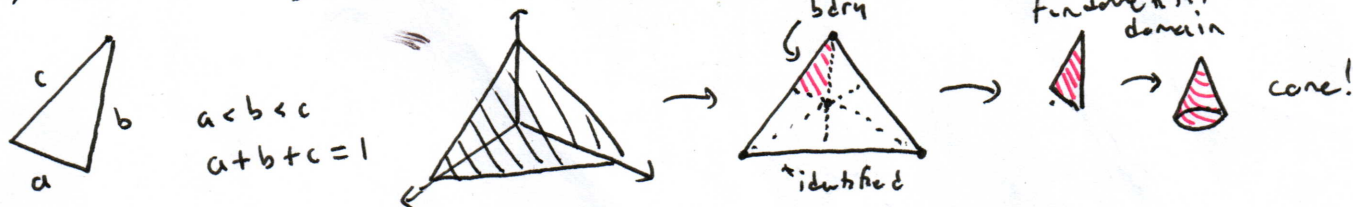


# Moduli Spaces ( $\hat{=}$ Gauge Theory):

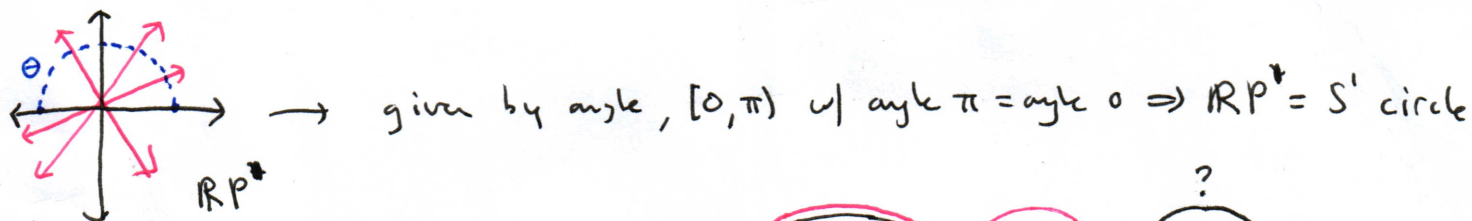
What is a Moduli space? A space of spaces! A meta space if you will.

Examples:

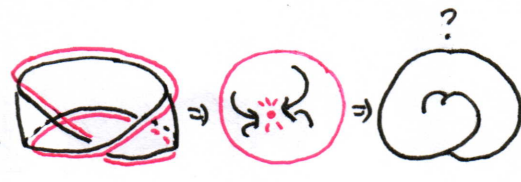
(1) Moduli of triangles up to similarity.



(2) Moduli space of lines  $l$  in  $\mathbb{R}^n, \mathbb{R}P^{n-1}$ .



Fun Fact:  $\mathbb{R}P^2$  is Mobius strip / bdy:

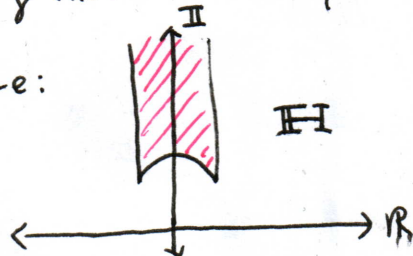


(3) Moduli of Elliptic curves:  $w^2 = z^3 + az + b$  loci.

Curves are equivalent if there is change of variables b/w them. (algebraic).

$\Rightarrow$  classified by  $j$  invariant  $\hat{=}$  equivalent to (conformal) complex structures on  $T^2$  donut.

Moduli looks like:



(4) Gauge moduli! (anti-self dual Yang Mills  $\pi$  Seiberg Witten + many more!)

These are much more complicated.  $\uparrow$  baby examples (1)-(3)!

## Why do geometers care?

- Cool: Moduli spaces are a cool idea. Spaces of spaces are tight as fuck.

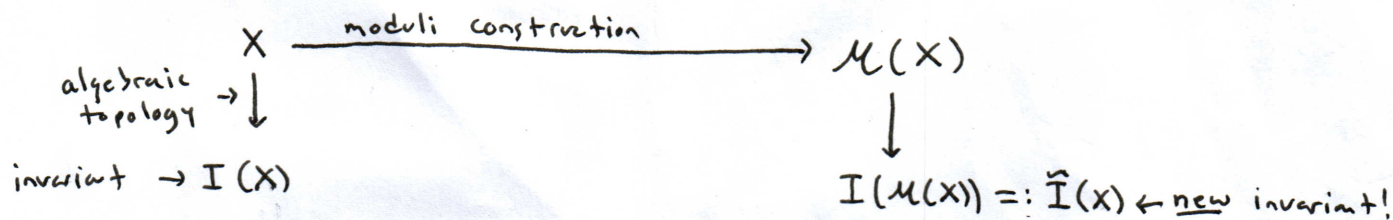
- Natural: Moduli spaces "occur naturally" all over the place in geometry.

Also, sometimes get "functor"  $X \mapsto \mathcal{M}(X)$  from category to itself via moduli construction.

- Via "Functor", Invariants:

Usual route to invariants

detour to moduli:



### Examples of $I(X)$ :

- Homology/Cohomology (Singular, Simplicial, Čech, Sheaf, De Rham etc...)
  - Euler characteristic
  - Betti #'s
  - Intersection Form
  - Chern/Stiefel-Whitney #'s/Classes.
- } all from  $\mathcal{I}$
- ↳ actually special... later!

### Examples of $I(\mathcal{M}(X))$ :

- Donaldson Invariants (I is sort of Chern #'s).
  - S-W invariants
  - G-W invariants
  - Floer invariants
- } often just  $H^0(\mathcal{M}(X))$ !

## Why do physicists care?

- Classical physics: Some moduli are classical vacua of modern quantum theories

- Path Integration/QFT:

Want to evaluate partition function:

$$Z = \int_{\Phi \in \mathcal{F}} e^{i S[\Phi]/\hbar} D\Phi$$

↓  
action

↓  
 $\mathcal{F} \leftarrow$  space of fields.

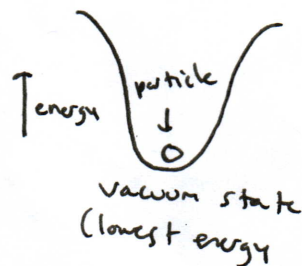


$\mathcal{F}$  is  $\infty$  dimensional! So integration = hard!

BUT if  $\mathcal{F}$  has large amount of "gauge" symmetry (along w/  $S$ ) then integral should be over  $\mathcal{M} := \mathcal{F}/G$  w/  $G$  gauge group.  $\mathcal{M}$  could be f.d moduli space!

So:

$$Z = \int_{[\Phi] \in \mathcal{M}} e^{i S[\Phi]/\hbar} D[\Phi]$$





## Example of path integral simplification:

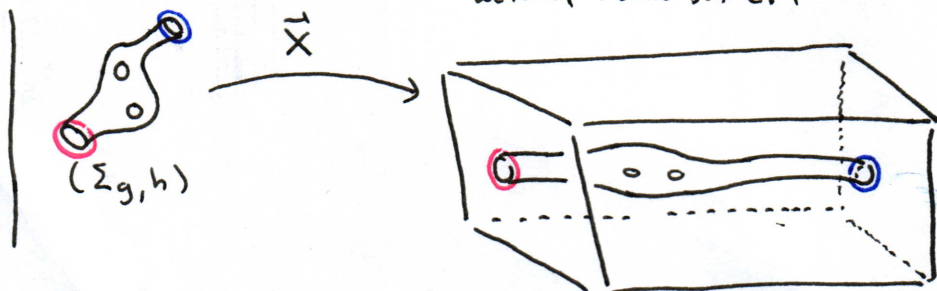
Elliptic curves in string theory!  
 (don't  $T^2$ 's)

Theory's action  $S$  depends on embedding  $\vec{X}$   
 & conformal structure  $h$  on  $\Sigma_g$  genus  $g$  surface,  
 actually metric but CFT

↑  
Polyakov amplitude

$$Z \simeq \int_{h \in \mathcal{H}(\Sigma_g)} dh \int d\vec{X} e^{iS(h, \vec{X})/\hbar}$$

$\mathcal{H}(\Sigma_g)$  = Space of metrics on  $\Sigma_g$



Conformal invariance of  $S$  w.r.t  $h \Rightarrow \int dh \Rightarrow \int d[h]$

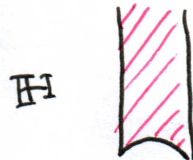
Thus:

$$Z \simeq \int_{[h] \in \mathcal{C}(\Sigma_g)} d[h] \int d\vec{X} e^{iS([h], \vec{X})/\hbar}$$

$\mathcal{C}(\Sigma_g)$  = conformal structures

When  $g=1$ ,  $\Sigma_g = \Sigma_1 = T^2$

&  $\mathcal{C}(\Sigma_g) = \mathcal{C}(T^2)$  is 2d &:



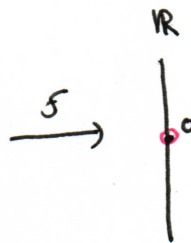
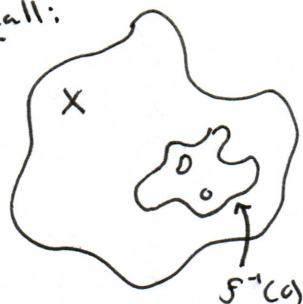
our friend from (3)!

## How To Make A Moduli Space:

Depends on category: smooth mflds? algebraic varieties? schemes?

I like smooth manifolds  $\Rightarrow$  we talk about that.

Recall:

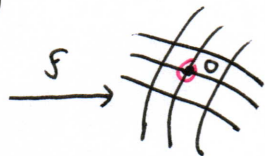
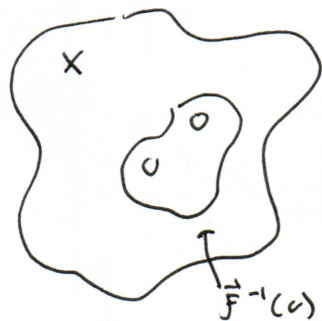


$f^{-1}(c_0)$  is  $n-1$  dim manifold  $\Leftrightarrow \nabla F \neq 0$  in  $f^{-1}(c_0)$ .

Ex: if  $f$  is polynomial in 2-variables,

$f^{-1}(c_0)$  is curve:  $x^2 + y^2 - 1 = 0 \Rightarrow f^{-1}(0) = \bigcirc$

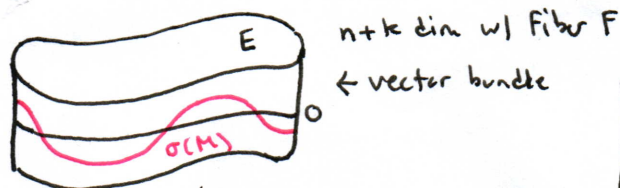
More generally:



$f$  locally surjective,  $d\vec{f}$  non-degenerate (full rank)

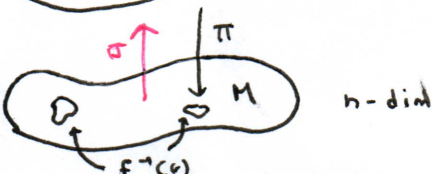
$\Rightarrow f^{-1}(c_0)$  is  $n-k$  dimensional manifold.

## MORE generally



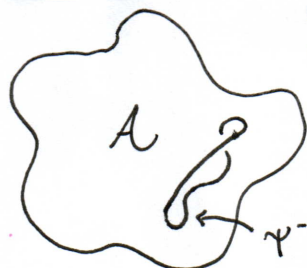
$n+k$  dim w/ fiber  $F$   
← vector bundle

IF  $\sigma: M \rightarrow E$  is section,  $d\sigma: TM \rightarrow TE \rightarrow TF$   
surjective ( $\sigma(M)$  & 0-section are transverse)  
 $\Rightarrow \sigma^{-1}(0)$  is  $n-k$ -dim manifold

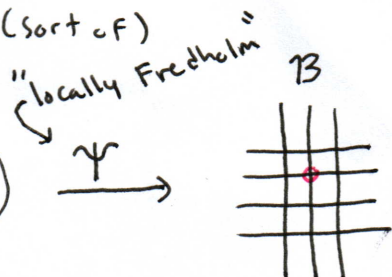


$n$ -dim

MORE GENERALLY!! (sort of)

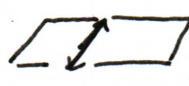
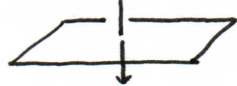


$\infty$ -dim manifold  
(Banach manifold)



$\infty$ -dim Banach space

Transversality:



Under similarly "good" conditions,  
 $\psi^{-1}(0)$  is smooth manifold of  
dimension  $\text{Index}(D\psi)$   
not going to define. ;)

## Examples: PDE from geometry + physics!

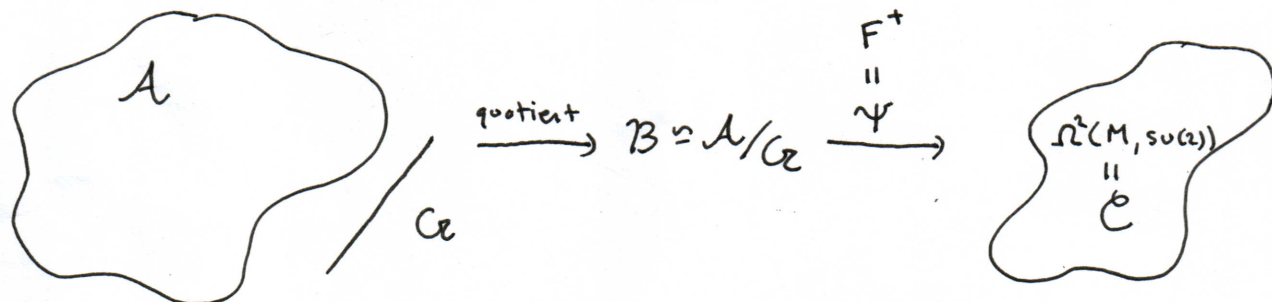
(1) De Rham Cohomology / Harmonic  $k$ -forms /  $E \in M$ .

$$\begin{array}{ccc} \overset{\text{0-forms}}{\Delta = d^*d + dd^*} & & \\ \downarrow & \Delta = d^*d + dd^* & \downarrow \\ \Omega^0(M, \mathbb{C}) & \xrightarrow{\quad} & \Omega^0(M, \mathbb{C}) \\ \parallel & \parallel & \parallel \\ \mathcal{A} & \xrightarrow{\psi} & \mathcal{B} \end{array}$$

harmonic forms  $\Downarrow$  cohomology  
 $\psi^{-1}(0) = \mathcal{H}^0(M, \mathbb{C}) \cong H^0(M, \mathbb{C})$   
Moduli space is vector space of harmonic forms.

(2) Gauge theory! Actually, (1) is a U(1) gauge theory...

Donaldson theory:  $SU(2)/SO(3)$  gauge theory



$\mathcal{A} = SU(2)/SO(3)$  connections on  $M$

$\mathcal{G} =$  gauge transformations  $\{\phi: M \rightarrow SU(2)/SO(3)\}$

$\psi =$  ASDYM map  $[A] \mapsto F_A^+$

$\mathcal{E} = \Omega^2(M, \text{Lie algebra})$ , Lie algebra valued 2-forms.

Donaldson (86):

$M$  simply connected

$\Rightarrow \mathcal{M}(M) \cong \psi^{-1}(0)$  is 5 mfd

w/ bdy & singularities  
 $\cong$  cone over  $CP^2$

(3) Moduli of J-holomorphic curves / strings:  $\Sigma_g$  Riemann surface,  $(M, \omega, J)$  symplectic.

$$\mathcal{A} = \{ \phi: \Sigma_g \rightarrow M, [\phi \Sigma_g] = a \in H_2(M) \text{ fixed} \}$$

$$\mathcal{B} = \Gamma(\Sigma, \text{End}(T\Sigma, \phi^* TM)) \quad , \quad \Psi(\phi) = J d\phi - d\phi j$$

### Challenges

(1) often maps  $\Psi$  don't satisfy transversality in an dimensional context.

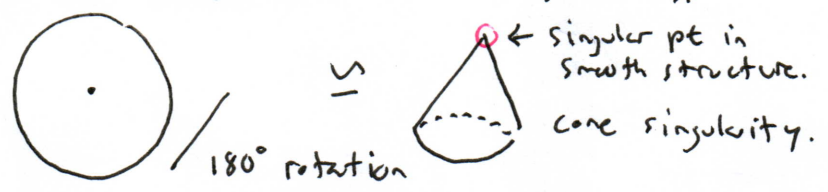
In finite dimensions, Then transversality theorem allows you to perturb  $\Psi$  slightly to get transverse maps. In infinite dim, life isn't as easy.



(2) Group quotients can cause singular behavior, particularly very large symmetries.

(a) Gauge group fails to act smoothly.

(b) bad singularities occur in quotients.



Can be much worse!

Fin