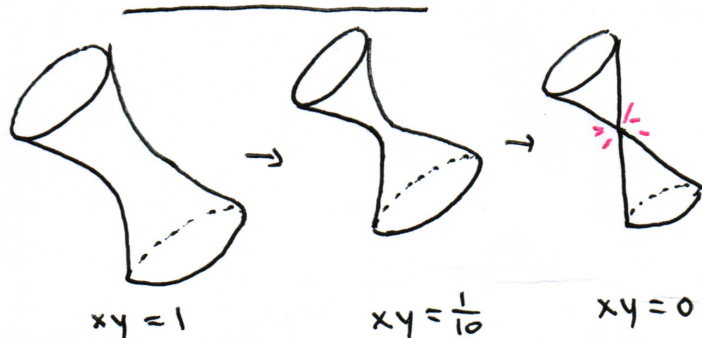


# A Crash Course In J-curves

## Agenda

- What is a J-holomorphic curve?
- Why do we like them?
- Local / Analytic Properties
- Topological Properties
- Properties of the Moduli



## What is a j-curve?

symplectic  $\rightarrow$

Def: An Almost-Complex structure  $J$  on  $(M, \omega)$  is an endomorphism of  $TM$  s.t.  $J^2 = -Id$ .  
i.e., fiberwise complex structure (multiplication by  $i$ ).

Def: A J-holomorphic curve (or J-curve) is a map  $u: \Sigma \rightarrow M$  ( $\Sigma$  a Riemann surface) s.t.  $J \circ du = du \circ j$  ( $j$  is complex ~~struc~~ mult by  $i$  in  $\Sigma$ ).

With  $\omega$  around, we usually impose 1 or 2 more conditions.

Def:  $J$  is tame if  $\omega(v, Jv) > 0 \forall v \neq 0 \in T_p M$ .

$J$  is compatible if it is tame and  $\omega(J, J) = \omega(\cdot, \cdot)$ .

$\Rightarrow \omega(\cdot, J\cdot)$  is a metric (all norms are w.r.t this).

Def: By  $\mathcal{Y}^k(M, \omega)$  we denote either space of tame or compatible  $J, \omega$   $C^k$  regularity.

## Why do we like J-curves? (preaching to choir)

They act like actual holomorphic curves...

- Ellipticity  $\Rightarrow$  smoothness of  $u$ , mfld w/ singularities in  $M$ .
- Geometric intersection controls homological intersection (i.e. intersection positivity).
- Adjunction (chern classes)
- Families of curves degenerate in controlled ways (for nice  $J$ )  $\Rightarrow$  allows compactification of moduli space (later).

And sometimes they're better!

- Almost complex structures are flexible.
- Generic  $J$  produce moduli of curves with similar properties.
- Sometimes honest complex structures aren't generic.

## Naming the moduli spaces

Fix:

- $g \in \mathbb{Z}_{\geq 0}$  as genus of  $\Sigma_g$ .
- $p_1, \dots, p_n \in \Sigma_g$ .
- $J \in \mathcal{Y}^k(M, \omega)$ .
- $A \in H_2(M, \mathbb{Z})$ .

Define: (reparameterizations)

$G_g =$  group of endomorphisms of Riemann surface of genus  $g$ .

$\mathcal{M}_{g, \text{if}}(J, A) := \{u: \Sigma_g \rightarrow M \mid u \text{ J-holomorphic, } u_*[\Sigma] = A\}$

$\tilde{\mathcal{M}}_{g, \text{if}}(J, A) = \mathcal{M}_{g, \text{if}}(J, A) / G_g$   
under  $u \mapsto u \circ \phi^{-1}$  for  $\phi \in G_g$ .

## Local / Analytic Properties

• Regularity: Fix  $l \geq 2, p \geq 2$ .

$J \in J^l(M, \omega)$ ,  $u: \Sigma \rightarrow M$  s.t.  $u \in W^{l,p}$   
and  $u$   $J$ -holomorphic  $\Rightarrow u \in W^{l,p}$ , [M-S B.4.1]

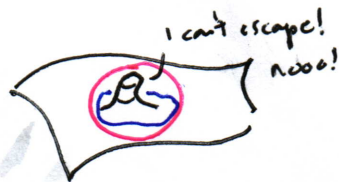
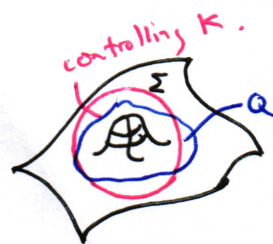
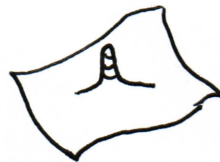
• "Compactness": IF:

- $J_v \rightarrow J$  in  $J^l(M, \omega)$
- $J_v \rightarrow \gamma$  in  $C^\infty \leftarrow$  complex structures on  $\Sigma$ .
- $u_v$  is a sequence of maps  $(\Sigma, J_v) \rightarrow (M, J_v, \omega)$   
s.t.  $\forall \text{ compact } Q \subset \Sigma, \exists K \text{ and } c. w/$   
 $\|du_v\|_{L^p} \leq c, u_v \in K \quad \forall v \gg 0.$

Then!

$u_v \rightarrow u \in C^{l-1}$  topology on compact sets of  $\Sigma$ .  
[M-S B.4.2]

Pictures Bad vs. Good



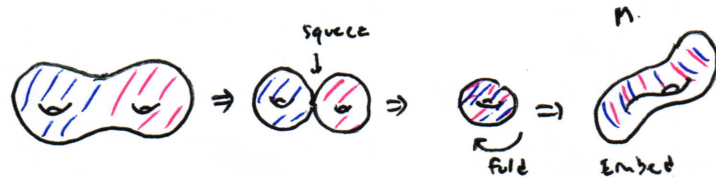
## Simple & Injective Curves

Def: A multiply covered curve  $u$  is  
a  $J$ -curve  $u: \Sigma \rightarrow M$  s.t.  $\exists$  branched  $\phi: \Sigma \rightarrow \Sigma'$   
w/  $\Sigma \xrightarrow{\sim} M$   
 $\downarrow \searrow \nearrow u$

Def: Simple curves  $u$  are not multiply covered.

Def: Somewhere injective  $u$  satisfy:  
 $\exists z$  s.t.  $du(z) \neq 0$  and  $u^{-1}(u(z)) = \{z\}$ .

Thm:  $\partial \Sigma = \emptyset$ ,  $u: \Sigma \rightarrow M$  simple  $\Rightarrow u$  is somewhere inj.  
and non-injective pts are countable, only  
accumulate at  $du=0$  pts. [MS, 2.5.1]



2 main pts

1)  $J$ -curves are a lot like Riemann surface maps: If they have same image, basically only differ by factorization by branch cover. Also "branch"/"singular" pts are countable/finite.

2) branched / multi-covered maps have bad limiting behavior + bad moduli behavior  
 $\Rightarrow$  not to discard them.



# Topological Properties

- Like algebraic curves in  $\mathbb{CP}^n$ , J-holomorphic submanifolds intersect positively.

Thm: (Intersection positivity): Assume  $\dim M = 4$ .

Let  $v_1: \Sigma_1 \rightarrow M, v_2: \Sigma_2 \rightarrow M$  be simple, J-holomorphic, w/  $v_1(U_1) \neq v_2(U_2)$  for nonempty, open  $U_i \subset \Sigma_i$ . Also let:

$$\delta(v_1, v_2) = \# \text{ of intersection pts of } v_1 \text{ and } v_2$$

Then:

$\Sigma$  homological cap product.

$$\delta(v_1, v_2) \leq v_1^*[\Sigma_1] \cap v_2^*[\Sigma_2]$$

w/ = if all intersections are transverse. [M-S 2.6.3]

## Adjunction:

- Also have adjunction as with complx mflds (at least at a topological level) due to presence of Chern classes.

Thm: Let  $v: \Sigma \rightarrow M$  be J-holomorphic, then:

$$2\delta(v) - \chi(\Sigma) \leq v^*[\Sigma] \cap v^*[\Sigma] - c_1(v^*[\Sigma])$$

w/ equality iff  $v$  is an immersion w/ transverse self intersections.

Proof (when transverse):

$$\begin{aligned} c_1(v^*[\Sigma]) &:= \langle c_1(TM), v^*[\Sigma] \rangle = \langle c_1(v^*TM), [\Sigma] \rangle \\ &= \langle c_1(v^*T\Sigma \oplus v^*\nu\Sigma), [\Sigma] \rangle = \langle c_1(T\Sigma) + c_1(v^*\nu\Sigma), [\Sigma] \rangle \\ &= \langle c_1(T\Sigma), [\Sigma] \rangle + \langle c_1(v^*\nu\Sigma), [\Sigma] \rangle \\ &= \chi(\Sigma) + \text{Euler \# of } \nu\Sigma \end{aligned}$$

$$p = v_1(z_1) = v_2(z_2)$$

Proof when transverse is easy. At each point of intersection, orientation of  $v_1^*T\Sigma_1 \oplus v_2^*T\Sigma_2$  induced by orientation of  $\Sigma_i$  is:

$$v_1^*dx_1 \wedge dy_1 \wedge v_2^*dx_2 \wedge dy_2, x_i, y_i \text{ complx coords on } \Sigma_i$$

while pullback orientation is at  $z_i$ :

$$v_1^*(d\tilde{x}_1 \wedge d\tilde{y}_1) \wedge v_2^*(d\tilde{x}_2 \wedge d\tilde{y}_2)$$

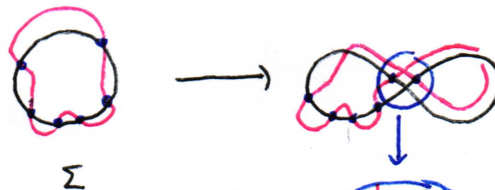
$$(v_1^* \otimes v_2^*)(d\tilde{x}_1 \wedge d\tilde{y}_1 \wedge d\tilde{x}_2 \wedge d\tilde{y}_2) \text{ for } (\tilde{x}_i, \tilde{y}_i) \text{ complx coord on } M \text{ at } p.$$

$v_i$  J-holomorphic  $\Rightarrow$  orientations agree b/c pullbacks of  $d\tilde{x}_i$  and  $d\tilde{y}_i$  will be complx basis of  $T\Sigma_i \otimes T\Sigma_i$ .



blue basis + red basis yields complx basis, v orientation agreeing.

Euler # of  $\nu\Sigma = \#$  of pts in  $\sigma \cap \partial \nu\Sigma$  for generic section  $\sigma: \Sigma \rightarrow \nu\Sigma$ .



Each self-intersection in image produces 2 new intersections between  $\sigma$  and  $\sigma$ .

$\Rightarrow$

Euler class

$$\langle c_1(\nu\Sigma), [\Sigma] \rangle + 2\delta(v) = v^*[\Sigma] \cap v^*[\Sigma]$$

$\tau$  mention contradiction argument in Paris's talk.

In particular, intersection positivity is used to show



$\tau$  can't happen for  $A \cdot A = 1$

# Properties of the Moduli

- 2 main properties of interest
  - 1)  $\mathcal{M}$  is a manifold /  $\dim \mathcal{M}$ .
  - 2)  $\mathcal{M}$  has a compactification that isn't awful.

Both properties are not always true, in particular transversality can ruin our party. But ignore for now...

1) Apply Sard-Smale. & Banach mfd implicit function thm.  
 Thm: IF  $F: X \rightarrow Y$  is a Fredholm  $C^k$  map (Fredholm meaning  $dF_p$  is Fredholm) ~~at~~ between Banach mfd's and  $p \in Y$  is a regular value then:  
 $F^{-1}(p)$  is a submanifold of  $\dim \text{Ind}(dF_p)$   
 Thm: (Sard-Smale) Such regular values are generic.

Idea: for  $\mathcal{M}$ 's.

- Start w/ universal bundle:  

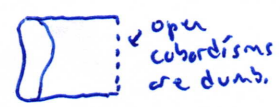
$$\begin{array}{ccc} \text{Map}(\Sigma, M) \times J^k(M, \omega) & & \text{Big-ass bundles} \\ \cup & & \uparrow \\ \mathcal{M}(J) \xrightarrow{\pi} J^k(M, \omega) & & \\ \text{Fiber-bundle over Banach mfd } J^k(M, \omega) & & \\ \text{w/ F.d Fiber.} & & \end{array}$$
- Apply Sard-Smale to  $\pi$ .
- What is dimension?  
 Calculate derivative of j-curve eqn.  
 Get ~~non-linear~~ low-order perturbation of  $\bar{\partial}$  operator on complex vector-bundle over  $\Sigma$ .  
 $\Rightarrow$  Use Riemann-Roch (see App. C of [M-S])  

$$\Rightarrow \text{Index } D_u = n\chi(\Sigma) + 2c_1(u^*TM)$$

linearisation

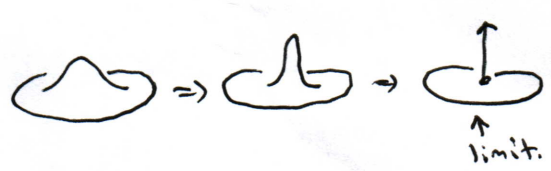
Note: Different when  $\Sigma$  has boundary components.

## Compactification.



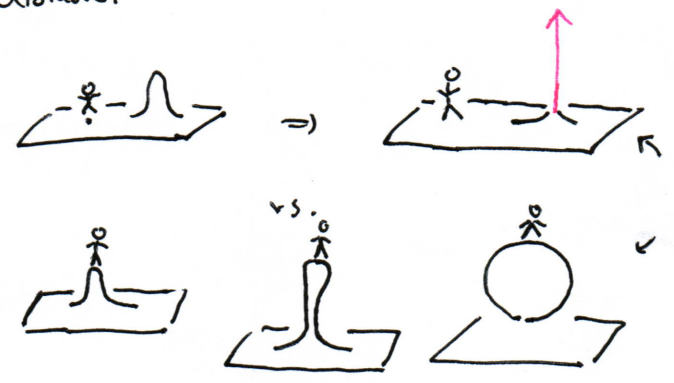
- 2 reasons to compactify:
- 1) What to say  $\mathcal{M}$  is independent of  $J$  modulo cobordism, not meaningful if  $\mathcal{M}$  isn't compact.
  - 2) Want to use image of  $\mathcal{M}$  under  $ev: \mathcal{M} \rightarrow M$  ( $ev$  is in Morgan's talk), also hard if  $\mathcal{M}$  isn't compact.
- $\Rightarrow$  Need to know what limits look like.

Note:  
 Compactness then from before implies that a sequence  $u_i$  converges in  $\mathcal{M}$  unless focusing of energy occurs.



What is happening there?

Uronome:



Uronome compactness: A sequence  $u_i: S^2 \rightarrow M$  of  $J$ -spheres w/  $E(u_i) < \infty$  (for instance, fixed  $u_i^*[S^2] \in H_2^+(M)$ ) converges to a stable map of  $J$ -curves  $\{u_i: S^2 \rightarrow M\}_{i=1}^\infty$  w/  $\sum E(u_i) \leq E(u)$  and nodal pts  $z_{ij}$  [M-S 5.3.1]

Mention cobordism equivalence!