## **REAL ANALYSIS: LECTURE 4**

### SEPTEMBER 18TH, 2023

#### 1. Preliminaries

So, where were we. Last class we began listing properties (axioms) of  $\mathbb{R}$ , and we wish to continue until we *uniquely define*  $\mathbb{R}$ . To recap, here's the axioms we've written already:

- (A1)  $\exists + : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$
- (A2) + is associative
- (A3) + is commutative
- (A4)  $\exists$  additive identity, denoted 0
- (A5)  $\exists$  additive inverses. We'll denote the additive inverse of x by -x. Notice the word "the" is only allowed since we proved additive inverses are unique.

We call any set G with an operation + that satisfies (A1)-(A5) is called an **abelian group**. You will study this much more in abstract algebra. In fact, (A6) - (A10) is basically the exact repeat for an operation  $\cdot$  (multiplication).

- (A6)  $\exists \cdot : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$
- (A7) · is associative
- (A8) · is commutative
- (A9)  $\exists$  multiplicative identity, denoted 1 (with  $1 \neq 0$ : otherwise  $\mathbb{R}$  could be 0).
- (A10) For every  $x \neq 0, \exists$  a multiplicative inverse. We'll denote the multiplicative inverse of x by  $x^{-1}$  (or  $\frac{1}{x}$ ).

Finally, we have a property connecting + and  $\cdot$ , know as the distributive property:

(A11) Distributive property:  $x \cdot (y+z) = x \cdot y + x \cdot z$ .

Any set F with two operations + and  $\cdot$  that satisfy (A1) - (A11) is called a **field**.

Here Emily asked an interesting question: does (A11) tell us that (meta-analytically) multiplication is repeated addition, i.e. that  $2 \cdot 2 = 2 \cdot (1+1) = 2 \cdot 1 + 2 \cdot 1 = 2+2$ . In fact, it tells us even more! For example,  $\pi \cdot e$  still somehow retains this connection with addition, though the intuition isn't as clear.

Ok, let's use these axioms to prove something analytically:

**Proposition 1.** If S satisfies (A1) - (A11) (i.e. if S is a field), then

$$x \cdot 0 = 0 \ \forall x \in S$$

Take 1: (False Proof!)

*Proof.* Suppose  $\exists x \in S \text{ s.t. } x \cdot 0 \neq 0$ . Choose  $y \in S$ . The

$$x \cdot (y + -y) \neq 0$$
 for some  $y \in S$  
$$x \cdot y + x \cdot (-y) \neq 0$$
 by (A11) 
$$x \cdot y + -x \cdot y \neq 0$$
 hmm...

but xy + -(xy) = 0 by definition of additive inverse. Ideally this would be the contradiction we wanted, but Annie realized that we can't assume (and never proved)  $-(x \cdot y) = x \cdot -y$ . In other words, we want to be able to "pull out" the negative sign, i.e. that  $-y = -1 \cdot y$ . Ok, let's try again.

Take 2.0 (Yana's Correct Proof!):

Proof.

$$x \cdot 0 = x(0+0) \qquad \text{since } 0 = 0+0 \\ x \cdot 0 = x \cdot 0 + x \cdot 0 \qquad \text{by distributing} \\ -(x \cdot 0) + x \cdot 0 = (x \cdot 0 + x \cdot 0) + -(x \cdot 0) \qquad \text{by adding additive inverses} \\ 0 = (x \cdot 0 + x \cdot 0) + -(x \cdot 0) \qquad \text{definition of additive inverses} \\ 0 = (x \cdot 0) + (x \cdot 0 + -(x \cdot 0)) \qquad \text{by associativity} \\ 0 = x \cdot 0 + 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by definition of additive inverse} \\ 0 = x \cdot 0 \qquad \text{by$$

Notice that we use distributivity. Intuitively we *had* to use distributivity, since distributivity connects + and  $\cdot$ , and this proposition does the same. Here's an example of something that doesn't fulfill (A11) that also doesn't fulfill the above proposition:

Example 1. Consider  $S = \{0, 1\}$  where + is addition mod 2 and  $\cdot$  is such that

- (1)  $0 \cdot 0 = 1$
- (2)  $0 \cdot 1 = 0$
- (3)  $1 \cdot 0 = 0$
- (4)  $1 \cdot 1 = 1$

It turns out S as defined satisfies (A1) - (A10) but *fails* (A11) (check this yourself!). However here  $0 \cdot 0 = 1 \neq 0$ , which means the above proposition doesn't hold.

So, did we define  $\mathbb{R}$ . Nope, there's still lots of stuff that satisfy (A1)-(A11) (i.e.  $\mathbb{R}$  isn't the only field). Examples of Fields:

- (1)  $\mathbb{R}$  under usual  $+, \cdot$
- (2)  $\mathbb{Q}$  under usual  $+, \cdot$
- (3)  $\mathbb{Z} \pmod{2}$  under  $+, \cdot \pmod{2}$
- (4)  $\mathbb{Z} \pmod{7}$  under  $+, \cdot \pmod{7}$

Here  $\mathbb{Z}$  (mod 7) means the set  $\mathbb{Z}$  (mod 7) =  $\{0,1,2,3,4,5,6\}$  and a+b (mod 7) means add a+b normally (if a=6,b=4 then a+b=10) then subtract 7 until you get to something in the set  $10-7=3\in\mathbb{Z}$  (mod 7). Multiplication modulo 7 is exactly analogous. If a=6,b=4 then  $a\cdot b=24$  then subtract 7 three times to get to  $24-7-7-7=3\in\mathbb{Z}$  (mod 7), which means  $a\cdot b$  (mod 7) = 3. Ok, so we're not done yet. Let's keep going.

## 2. Order Axiom

Recall our intuition about the next axioms has to do with relations between two arbitrary numbers. Specifically, given  $x, y \in \mathbb{R}$ , one of x or y is at least as large as the other. Formally, there is a **trichotomy**: exactly one of

- (1) x > y
- (2) x = y
- (3) x < y

holds. We can't literally use this as (A12) since we have no idea what > means, but let's try to capture it.

*Intuition* 1. What do > and < mean? Here's some initial thoughts:

- (1)  $x > y \iff x y$  is positive
- (2)  $x < y \iff x y$  is negative (i.e. y x is positive)
- (3)  $x = y \iff x y = 0$ .

This indicates that is suffices to define **positive**. Let's do this.

Axiom 12 (A12):  $\exists \mathbb{P} \subseteq \mathbb{R}$  s.t.

- (i)  $\mathbb{P}$  is closed under + and  $\cdot$
- (ii) Trichotomy:  $\forall x \in \mathbb{R}$  exactly one of the following hold
  - (a)  $x \in \mathbb{P}$
  - (b)  $-x \in \mathbb{P}$
  - (c) x = 0

We can extend this to establish some notation. If  $x \in \mathbb{P}$  then x is positive. If  $-x \in \mathbb{P}$  then x is negative. If x > 0 then x is positive.

Now, our first theorem. Get ready for some real math:

# **Theorem 1.** 1 > 0.

Ok, before jumping into a proof let's brainstorm:

Intuition 2. Lexi suggested it's possible to use the fact that 1 (something we know isn't 0). Thus  $1 \in \mathbb{P}$  or  $-1\mathbb{P}$ . Edith also noticed that, for every positive number  $x, 1 \cdot x \in \mathbb{P}$ .

Miles used a lemma to complete the proof:

*Proof.* Here's a lemma we will take for granted for now.

**Lemma 1.** 
$$-1 \cdot -1 = -(-1)$$
.

With this, let's try to prove 1 > 0. Suppose  $-1 \in \mathbb{P}$ . By the above lemma,  $-1 \cdot -1 = -(-1) = 1$  (since additive inverses are unique!). Since  $\mathbb{P}$  is closed,  $-1 \cdot -1 = 1 \in \mathbb{P}$ , which contradicts trichotomy (more than one hold). The lemma is proved in the book.

We claim that  $\mathbb{Z}$  (mod 2) doesn't satisfy (A12), i.e. it doesn't have order.