

## **MA672 - (Topics) Number Theory and Cryptography**

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These are the course notes for Topics in Advanced Mathematics (**MA672**) at Hotchkiss taught by Dr. Weiss. These notes were last updated February 29, 2020. Any sections denoted with asterisks (\*\*\*) are currently incomplete, and I will update them when I get to those.

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## Course Overview

- Abstract algebra: groups, rings, fields.
- Number Theory, arbitrary precision integer arithmetics.
- Cryptographic algorithms

## 1 Groups

We first define the group, which we will be using extensively.

**Definition 1.1. (Group).** A group is a set  $G$  with a binary operation “ $\circ$ ” such that

- $G$  is closed under  $\circ$ .
- $G$  is associative.
- There is an Identity Element:  $\exists e \in G \mid x \circ e = e \circ x = x \ \forall x \in G$ .
- Inverses:  $\forall x \in G \exists y \in G \mid x \circ y = y \circ x = e$ .

**Definition 1.2. (Abelian Group).** If  $\circ$  is commutative in group  $G$ , we call  $G$  Abelian. In that case,  $G$  is often written additively; i.e. we use “ $+$ ” for “ $\circ$ ”.

(If  $\circ$  is not commutative, we often write  $G$  multiplicatively.)

**Definition 1.3. (Subgroup).** Let  $G$  be a group, and  $\emptyset \neq H \subseteq G$ . Then  $H$  is called a subgroup of  $G$  if  $H$  is also a group.

A small proof to begin. . .

**Proposition 1.4.** Let  $G$  be a group and  $x \in G$ . Then  $x$  has a unique inverse  $y$ , so we can write  $y = x^{-1}$ .

*Proof.* Assume  $y$  and  $z$  are both inverses of  $x$ .

$$y = y \circ (x \circ z) = (y \circ x) \circ z = z$$

□

**Proposition 1.5.** A non-empty subset  $H \subseteq G$  is a subgroup of  $G$  iff  $xy^{-1} \in H \ \forall x, y \in H$ .

*Proof.* ( $\Rightarrow$ )

- Identity: Pick  $x \in H$ . Then  $xx^{-1} = e \in H$ .
- Inverse: If  $y \in H$ ,  $ey^{-1} = y^{-1} \in H$

- Closure: If  $x, y \in H$ ,  $y^{-1} \in H$ , so  $x(y^{-1})^{-1} = xy \in H$ .
- ( $\Leftarrow$ ) If  $H$  is a group, then  $y^{-1} \in H$  (existence of inverse) and  $xy^{-1} \in H$  (closure of  $\circ$ ).  $\square$

**Example:**

- Every vector space (without the scalars) is an Abelian group with identity  $\vec{0}$ .
- Modular arithmetic:

$$\mathbb{Z}_n = \{0, 1, 2, \dots, n-1\}$$

$\mathbb{Z}_n$  is an Abelian group under modular addition.

$$\mathbb{Z}_n^* = \{k \in \mathbb{Z}_n \mid \gcd(k, n) = 1\}$$

$\mathbb{Z}_n^*$  is an Abelian group under modular multiplication (this is sometimes also  $\mathbb{U}_n$ ).

Let's take  $\mathbb{Z}_4 - \{0\}$  and why it's not a group under multiplication. We can create a multiplication table:

$\circ$	1	2	3
1	1	2	3
2	2	0	2
3	3	2	1

However there is no such problem with  $\mathbb{Z}_4^*$ :

$\circ$	1	3
1	1	3
3	3	1

**Definition 1.6. (Cyclic).** A group  $G$  is called cyclic if  $\exists g \in G$  (called generator) such that  $G = \{g^n \mid n \in \mathbb{Z}\}$ .

**Example:**  $\mathbb{Z}_n$  are cyclic groups with generator 1.

$\mathbb{Z}_4^*$  is cyclic with generator 3.

**Example:** The Klein 4-group is not cyclic:

$$K = \{(0, 0), (1, 0), (0, 1), (1, 1)\}$$

with componentwise addition mod 2.

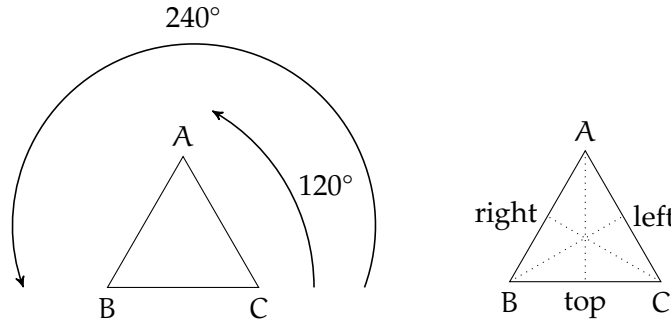
$$K = \mathbb{Z}_2 \oplus \mathbb{Z}_2 = \{(k, l) \mid k \in \mathbb{Z}_2, l \in \mathbb{Z}_2\}$$

**Proposition 1.7.** Every cyclic group is Abelian.

*Proof.* Let  $x, y \in G$ , a cyclic Abelian group. Let  $g$  be the generator in  $G$ . We write  $x = g^a$  and  $y = g^b$ . Then  $xy = g^a g^b = \underbrace{g \circ g \circ \dots \circ g}_{k+l \text{ times}} = g^b g^a = yx$ .  $\square$

**Example:** The symmetry transformation of an equilateral  $\triangle$  form a group under composition.

$$D_3 = \{\text{id}, 120^\circ, 240^\circ, \text{top}, \text{left}, \text{right}\}$$



$\circ$	id	$120^\circ$	$240^\circ$	top	left	right
id	id	$120^\circ$	$240^\circ$	top	left	right
$120^\circ$	$120^\circ$	$240^\circ$	id	left	right	top
$240^\circ$	$240^\circ$	id	$120^\circ$	right	top	left
top	top	right	left	id	$240^\circ$	$120^\circ$
left	left	top	right	$120^\circ$	id	$240^\circ$
right	right	left	top	$240^\circ$	$120^\circ$	id

**Definition 1.8. (Equivalence).** Let  $G$  be a group and  $H$  a subgroup. Define the relation  $x \sim y$  if  $xy^{-1} \in H$ .

**Proposition 1.9.**  $\sim$  is an equivalence relation on  $G$ .

If  $H = \{e\}$ , then  $\sim$  is  $=$ .

If  $H = G$ , then  $\sim$  is trivial.

*Proof.* We need to show that  $\sim$  is

- reflexive:  $x \sim x$  for all  $x \in G$

$$xx^{-1} = e \in H.$$

- symmetric:  $x \sim y \iff y \sim x$  for all  $x, y \in G$

Suppose  $x \sim y$ . Then  $xy^{-1} \in H$ . So  $(xy^{-1})^{-1} = yx^{-1} \in H \Rightarrow y \sim x$ .

- transitive: If  $x \sim y, y \sim z$  then  $x \sim z$  for all  $x, y, z \in G$ .

Suppose  $x \sim y, y \sim z$ . Then  $xy^{-1} \in H, yz^{-1} \in H$ . Then  $(xy^{-1})(yz^{-1}) = x(y^{-1}y)z^{-1} = xz^{-1} \in H \Rightarrow x \sim z$ .

□

If  $\sim$  is an equivalence relation on any set  $X$ , then  $\sim$  partitions  $X$  into equivalence classes:  
 If  $y \in X$ ,  $[y] = \{x \in X \mid x \sim y\}$ .

Every element of  $X$  is in some equivalence class because  $\sim$  is reflexive and no two equivalence classes intersect. Consider  $[y_1], [y_2]$  and  $z \in [y_1] \cap [y_2]$ . Then  $z \sim y_1$  and  $z \sim y_2$  and  $y_1 \sim y_2$ . Hence,  $[y_1] = [y_2]$ .

**Theorem 1.10. (Lagrange's Theorem).** Let  $G$  be a finite group of order  $|G|$  and  $H$  a subgroup of  $G$ . Then  $|H|$  divides  $|G|$ .

*Proof.* We show that the above equivalence relation partitions  $G$  into equivalence classes of equal cardinality.

First, notice that  $H$  is an equivalence class by itself:  $H = [e]$ .

Let  $[x]$  be another equivalence class. Then  $[x] = Hx$ : Let  $y \in Hx$ . Then  $\exists a \in H$  such that  $y = ax$ . Then  $\exists a \in H$  such that  $y = ax$ . But then  $yx^{-1} = (ax)x^{-1} = a \in H$ , so  $y \sim x$ .

We need to find a bijection between  $H$  and  $Hx$  for  $x \in G$ . Let  $f : H \rightarrow Hx$ ,  $f(a) = ax$ . We need to show that  $f$  is one-to-one and onto:

$$\begin{aligned} \text{1-1: If } f(a_1) &= f(a_2) \\ a_1x &= a_2x \\ a_1xx^{-1} &= a_2xx^{-1} \\ a_1 &= a_2. \end{aligned}$$

Onto: Let  $y \in Hx$ . Then  $\exists a \mid y = ax \Rightarrow y = f(a)$ .

$\Rightarrow H \simeq Hx \Rightarrow$  they have the same cardinality.

□

**Definition 1.11. (Index).**  $[G : H]$  is the number of equivalence relations, which is called the index of  $H$  in  $G$ .

$$[G : H] = \frac{|G|}{|H|}.$$

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## Quick Summary

We were talking about groups, which are

- associative,
- have an identity element,
- and have inverses.

There can be subgroups in groups, a subset of a group that is also a group.

An Abelian group is a commutative group.

A cyclic group is generated by an element  $g$ , they're always Abelian.

Lagrange's Theorem: the order of a subgroup divides the order of the group.

Index of  $H$  in  $G$ :  $[G : H] = \frac{|G|}{|H|}$ .

**Example:**

- $(\mathbb{R}^n, +), (\mathbb{C}^n, +)$ .
- $(\mathbb{Z}_n, +), (\mathbb{Z}_n^*, \cdot), (\mathbb{R}, +), (\mathbb{R}^*, \cdot), (\mathbb{C}, +), (\mathbb{C}^*, \cdot)$ .
- $GL_n(\mathbb{R})$ : Invertible  $n \times n$  matrices.
- $SL_n(\mathbb{R})$ : Subgroup of  $GL_n(\mathbb{R})$  with determinant 1.
- $GL_n(\mathbb{C})$ : Invertible  $n \times n$  complex matrices.
- $U(n)$ : Unitary group determinant of absolute value 1.
- Symmetry groups of geometric shapes. (Dihedral groups)
- Frieze groups.
- Wallpaper groups.
- Crystallographic groups.
- Permutation groups of  $\{1, \dots, n\}$  under composition  $(S_n)$ , and its subgroups.

We will be focusing mainly on  $(\mathbb{Z}_n, +), (\mathbb{Z}_n^*, \cdot)$ .

**Definition 1.12. (Order of an element).** Let  $G$  be a group and  $g \in G$ . Then the order of  $o(g)$  is the smallest positive integer  $n$  such that  $g^n = e$ . (May be infinite)

**Proposition 1.13.** Every group of prime order is cyclic.

*Proof.* Let  $e \neq g \in G$ . Consider the subgroup  $\langle g \rangle = \{g^n \mid n \in \mathbb{Z}\}$  generated by  $g$ . Then  $|\langle g \rangle|$  divides  $|G|$ , which is prime. Hence  $\langle g \rangle = G$ .  $\square$

## 1.1 Exercises

**Exercise 1.** Show that  $\{(1), (1, 2)(3, 4), (1, 3)(2, 4), (1, 4)(2, 3)\}$  is a subgroup of  $S_4$ .

*Proof.* We can construct a Cayley table for the subgroup. (Top row is evaluated first).

$\circ$	(1)	(1,2)(3,4)	(1,3)(2,4)	(1,4)(2,3)
(1)	(1)	(1,2)(3,4)	(1,3)(2,4)	(1,4)(2,3)
(1,2)(3,4)	(1,2)(3,4)	(1)	(1,4)(2,3)	(1,3)(2,4)
(1,3)(2,4)	(1,3)(2,4)	(1,4)(2,3)	(1)	(1,2)(3,4)
(1,4)(2,3)	(1,4)(2,3)	(1,3)(2,4)	(1,2)(3,4)	(1)

Every element is its own inverse, and (1) is the identity element. It is evident from the table that we also have closure. Hence, the set is a valid subgroup under composition.  $\square$

**Exercise 2.** Let  $G$  be an Abelian group. Show that the set of all elements of  $G$  of finite order forms a subgroup of  $G$ .

*Proof.* Let  $H$  be the subset of  $G$  with elements of finite order. We want to show that  $\forall x, y \in H, xy^{-1} \in H$ . In other words,  $xy^{-1}$  also has finite order. Well,  $xy^{-1}$  has order at most  $k = \text{lcm}(o(x), o(y))$  such that

$$\begin{aligned}
 (xy^{-1})^k &= x^k(y^{-1})^k \\
 &= x^k(y^k)^{-1} \\
 &= e \cdot e^{-1} \\
 &= e
 \end{aligned}$$

Thus,  $H$  is a subgroup of  $G$ .  $\square$

**Exercise 3.** Let  $G$  be a group. Define the set  $Z(G) = \{x \in G \mid xg = gx \text{ for all } g \in G\}$  of all elements that commute with every other element of  $G$  is called the center of  $G$ .

- (a) Show that  $Z(G)$  is a subgroup of  $G$ .
- (b) Show that  $Z(G) = \cap_{a \in G} C(a)$ .
- (c) Compute the center of  $S_3$ .

*Proof.* (a)  $e$  by definition commutes with every other element.  $\forall x, y \in Z(G), xy \in Z(G)$  as  $x, y$  commutes with every element.

$$(xy)a = x(ya) = x(ay) = (ax)y = a(yx) = a(xy)$$

Inverses also exist as

$$x^{-1}a = (a^{-1}x)^{-1} = (xa^{-1})^{-1} = ax^{-1}$$

- (b) If an element is in the intersection of all those sets, then it commutes with every element.
- (c) Realize this is simply  $D_3$ , where only the identity commutes with one another. *Answer:*

$$\boxed{\{e\}}$$

$\square$



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**Exercise 4.** Show that a non-Abelian group must have at least five distinct elements.

*Proof.* 1 element is trivial. 2 and 3 are primes so all groups of order 2 or 3 are cyclic. So we turn to groups of order 4. Every element cannot have order 1, and shown previously the orders have to divide 4. Additionally, if an element has order 4, then it is a generator and the group is cyclic. So all non-trivial elements must have order 2. We can construct a Cayley table for this specific group.

$\circ$	e	a	b	c
e	e	a	b	c
a	a	e	c	b
b	b	c	e	a
c	c	b	a	e

Which gives us an Abelian group. □

**Exercise 5.** Let  $G$  be a group. Prove that  $(ab)^n = a^n b^n$  for all  $a, b \in G$  and all  $n \in \mathbb{Z}$  if and only if  $G$  is Abelian.

*Proof.* The left implication is trivial (rearrange). We focus on the right implication.

We let  $n = 2$ . Then

$$\begin{aligned}
 (ab)^2 &= a^2 b^2 \\
 abab &= aabb \\
 a^{-1} b a b b^{-1} &= a^{-1} a a b b b^{-1} \\
 ba &= ab
 \end{aligned}$$

For all  $a, b \in G$ . □

**Exercise 6.** Let  $G$  be a group. Prove that  $G$  is Abelian if and only if  $(ab)^{-1} = a^{-1} b^{-1}$  for all  $a, b \in G$ .

*Proof.*

$$\begin{aligned}
 (ab)^{-1} &= a^{-1} b^{-1} \\
 (b^{-1} a^{-1})^{-1} &= (a^{-1} b^{-1})^{-1} \\
 ab &= ba
 \end{aligned}$$

We can travel in both directions in this proof. □

**Exercise 7.** Let  $G$  be a group. Prove that if  $x^2 = e$  for all  $x \in G$ , then  $G$  is Abelian.

*Proof.* We use the fact that  $x^{-1} = e$  for all  $x \in G$ . Then we use the conclusion arrived at Exercise 6 to our advantage.

$$\begin{aligned} ab &= ab \\ (ab)^{-1} &= a^{-1}b^{-1} \end{aligned}$$

Which is as desired. □

**Exercise 8.** Show that if  $G$  is a finite group with an even number of elements, then there must exist an element  $a \in G$  with  $a \neq e$  such that  $a^2 = e$ .

*Proof.* Assume otherwise, that except for the identity, we can pair elements off such that their inverse isn't themselves. This gives us pairs and the identity, which means the group has an odd number of elements. So there has to be an element whose inverse is itself. □

**Exercise 9.** Let  $G$  be a group, and let  $a \in G$ . The set  $C(a) = \{x \in G \mid xa = ax\}$  of all elements of  $G$  is called the centralizer of  $a$ .

(a) Show that  $C(a)$  is a subgroup of  $G$ .

Let  $x, y \in C(a)$ . Consider

$$\begin{aligned} (xy)a &= x(ya) \\ &= x(ay) \\ &= (ax)y \\ &= a(xy) \end{aligned}$$

So  $C(a)$  is closed. We now show that inverses exist:

$$x^{-1}a = x^{-1}(ax)x^{-1} = x^{-1}(xa)x^{-1} = ax^{-1}$$

So  $xx^{-1} \in C(a)$  so  $C(a)$  is a group.

(b) Show that  $\langle a \rangle \subseteq C(a)$ .

$\langle a \rangle$  is cyclic and therefore Abelian, so all elements commute with  $a$ .

(c) Compute  $C(a)$  if  $G = S_3$  and  $a = (1, 2, 3)$ .

(d) Compute  $C(a)$  if  $G = S_3$  and  $a = (1, 2)$ .

*January 10, 2020*

## 1.2 Group Homomorphisms

**Definition 1.14. (Group Homomorphism).** Let  $G_1, G_2$  be groups. Then  $\varphi : G_1 \rightarrow G_2$  is called a group homomorphism if

$$\varphi(xy) = \varphi(x)\varphi(y) \tag{1.1}$$

for all  $x, y \in G_1$ .

**Definition 1.15. (Additional Terminology).** There are some additional classifications of homomorphisms:

$\varphi$  is called an isomorphism if  $\varphi$  is 1-1 and onto.

$\varphi$  is called automorphism if  $\varphi$  is an isomorphism and  $G_1 = G_2$

**Definition 1.16. (Kernel and Image).** Similar to linear algebra and linear transformations, we have kernel and image.

$$\ker \varphi \stackrel{\text{def}}{=} \{x \in G_1 \mid \varphi(x) = e_2\} \text{ where } e_2 \text{ is the identity in } G_2$$

$$\text{im} \varphi \stackrel{\text{def}}{=} \{\varphi(x) \mid x \in G_1\}$$

We call  $G_2$  the “codomain” of  $\varphi$ .

**Proposition 1.17.** Let  $\varphi : G_1 \rightarrow G_2$  be a homomorphism.  $e_1$  the identity of  $G_1$  and  $e_2$  the identity of  $G_2$ . Then

- (i)  $\varphi(e_1) = e_2$ ,
- (ii)  $\varphi(x^{-1}) = \varphi(x)^{-1}$  for all  $x \in G_1$ .

*Proof.* We use the homomorphism definition.

- (i)  $\varphi(e_1) \cdot \varphi(e_1) = \varphi(e_1^2) = \varphi(e_1) = \varphi(e_1) \cdot e_2 \Rightarrow \varphi(e_1) = e_2$
- (ii)  $\varphi(x^{-1}) \cdot \varphi(x) = \varphi(x^{-1} \cdot x) = \varphi(e_1) = e_2 \Rightarrow \varphi(x^{-1}) = \varphi(x)^{-1}$

□

**Proposition 1.18.**

- (i)  $\ker \varphi$  is a subgroup of  $G_1$ ,
- (ii)  $\text{im} \varphi$  is a subgroup of  $G_2$ .

*Proof.*

- (i) Let  $x, y \in \ker \varphi$ . Then  $\varphi(xy) = \varphi(x)\varphi(y) = e_2 \cdot e_2 = e_2 \Rightarrow xy \in \ker \varphi$ .
- (ii) Let  $u, w \in \text{im} \varphi$  such that  $\varphi(x) = u, \varphi(y) = w$ . This implies  $uw = \varphi(x)\varphi(y) = \varphi(xy) \Rightarrow uw \in \text{im} \varphi$ .

□

We also state the following without proof:

- The inverse of an isomorphism is an isomorphism.
- The composition of isomorphisms is an isomorphism.

- We say  $G_1 \cong G_2$  if there exists an isomorphism  $\varphi : G_1 \leftrightarrow G_2$ , and isomorphisms of groups in an equivalence relation.

### 1.3 Exercises

**Exercise 10.** Let  $G$  be the following set of matrices over  $\mathbb{R}$ :

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$

Show that  $G$  is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

*Proof.* We can construct the map

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \mapsto (0,0), \quad \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \mapsto (0,1), \quad \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \mapsto (1,0), \quad \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix} \mapsto (1,1)$$

Constructing a Cayley table (or simple trial and error) shows that this is a valid isomorphism. Another things we could note is that the only groups with 4 elements are isomorphisms of  $\mathbb{Z}_4$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . Noting that the matrices aren't cyclic (there is no generator) also gives the compatible conclusion.  $\square$

**Exercise 11.** Let  $G$  be any group. and let  $a$  be a fixed element of  $G$ . Define a function  $\phi_a : G \rightarrow G$  by  $\phi_a(x) = axa^{-1}$ , for all  $x \in G$ . Show that  $\phi_a$  is an isomorphism.

*Proof.* We first show that  $\phi_a$  is a bijection by proving that it's 1-1 and onto:

$$\begin{aligned} \phi_a(x) &= \phi_a(y) \\ axa^{-1} &= aya^{-1} \\ x &= y \end{aligned}$$

Let  $w \in G$ . Then  $\phi_a(a^{-1}wa) = aa^{-1}waa^{-1} = w$ .

We now show that  $\phi_a$  is a homomorphism:

$$\phi_a(x)\phi_a(y) = axa^{-1}aya^{-1} = axya^{-1} = \phi_a(xy)$$

$\square$

**Proposition 1.19.** Let  $\varphi \in \text{Hom}(G_1, G_2)$ . Then  $\varphi$  is 1-1 iff  $\ker \varphi = \{e_1\}$ .

*Proof.* ( $\Rightarrow$ ) If  $\varphi$  is 1-1, and  $x \in \ker \varphi$ ,

$$\varphi(x) = \varphi(e_1) = e_2 \Rightarrow x = e_1$$

( $\Leftarrow$ ) If  $\ker \varphi = \{e_1\}$  and

$$\begin{aligned}\varphi(x) &= \varphi(y) \\ \varphi(x)\varphi(y)^{-1} &= e_2 \\ \varphi(x)\varphi(y^{-1}) &= e_2 \\ \varphi(xy^{-1}) &= e_2 \\ xy^{-1} &= e_1 \\ x &= y\end{aligned}$$

□

**Exercise 12.** Show that the multiplicative group  $\mathbb{Z}_7^*$  is isomorphic to the additive group  $\mathbb{Z}_6$ .

*Proof.* By trial and error, we find that 3 is a generator in  $\mathbb{Z}_7^*$ .

$$3^1 = 3, \quad 3^2 = 2, \quad 3^3 = 6, \quad 3^4 = 4, \quad 3^5 = 5, \quad 3^6 = 1$$

So we map powers of 3 to its powers which is an isomorphism.

□

*January 14, 2020*

**Exercise 13.**

(a) Write down the formulas for all homomorphisms from  $\mathbb{Z}_6$  into  $\mathbb{Z}_9$ .

We can list all the subgroups of  $\mathbb{Z}_6$  (recall a kernel has to be a subgroup). Then try to find a homomorphism for each subgroup.

- $\mathbb{Z}_6 = \{0, 1, 2, 3, 4, 5\} : \varphi(m) \equiv 0$
- $\{0\} : \text{cannot be kernel because there is no subgroup of order 6 in } \mathbb{Z}_9$
- $\{0, 2, 4\} : \text{cannot be kernel}$
- $\{0, 3\} : \varphi(m) = 3m$

(b) Do the same for all homomorphisms from  $\mathbb{Z}_{24}$  into  $\mathbb{Z}_{18}$ .

We can attempt to solve this problem in the general sense, classifying all homomorphisms from  $\mathbb{Z}_m$  to  $\mathbb{Z}_n$ . Realize that the generator of the kernel in  $\mathbb{Z}_{24}$  must get sent to 0, i.e.  $\equiv 0 \pmod{18}$ . This means that any generator must be a common divisor of 24 and 18, of which there are 1, 2, 3, and 6. We construct the homomorphism by creating  $\varphi(m) = km, k = \frac{18}{g}$  where  $g$  is a generator for the kernel. We can extend this to  $m$  and  $n$ .

**Exercise 14.** Show that  $\phi_3 : \mathbb{Z}_3 \rightarrow \mathbb{Z}_3$  defined by  $\phi_3([x]) = [x]^3$  and  $\phi_5 : \mathbb{Z}_5 \rightarrow \mathbb{Z}_5$  defined by  $\phi_5([x]) = [x]^5$  are homomorphisms but  $\phi_4 : \mathbb{Z}_4 \rightarrow \mathbb{Z}_4$  defined by  $\phi_4([x]) = [x]^4$  is not.

*Proof.* We can use the freshman dream lemma:

$$(x + y)^p \equiv x^p + y^p \pmod{p}$$

Which makes any  $\phi_p$  a homomorphism.  $\square$

**Exercise 15.** Let  $G$  be an Abelian group, and let  $n$  be any positive integer. Show that the function  $\phi : G \rightarrow G$  defined by  $\phi(x) = nx$  is a homomorphism.

*Proof.* We write

$$\phi(x) + \phi(y) = nx + ny = \underbrace{x + \cdots + x}_{n \text{ times}} + \underbrace{y + \cdots + y}_{n \text{ times}} = \underbrace{(x + y) + \cdots + (x + y)}_{n \text{ times}} = \phi(x + y)$$

$\square$

**Exercise 16.** Show that  $\phi : \mathbb{C}^\times \rightarrow \mathbb{R}^\times$  defined by  $\phi(a + bi) = a^2 + b^2$  is a homomorphism.

*Proof.* We can write it in  $e^{i\theta}$  form to make out life easier (otherwise it's algebra and a lot of foiling).

$$\phi(re^{i\alpha}se^{i\beta}) = \phi(rse^{i(\alpha+\beta)}) = r^2s^2$$

$\square$

**Exercise 17.** Let  $\phi$  be a group homomorphism of  $G_1$  onto  $G_2$ . Prove that:

1. If  $G_1$  is Abelian then so is  $G_2$ .

Let  $u, w \in G_2$ ,  $\phi(x) = u$ ,  $\phi(y) = w$  ( $\phi$  is onto)

$$u + w = \phi(x) + \phi(y) = \phi(x + y) = \phi(y + x) = \phi(y) + \phi(x) = w + u$$

Counterexample:  $\det : GL_2(\mathbb{R}) \rightarrow \mathbb{R}^\times$

2. If  $G_1$  is cyclic then so is  $G_2$ .

We take the generator  $g_1 \in G_1$  and claim it is also a generator  $\phi(g_1) = g_2 \in G_2$ .

Counterexample:  $\phi : \mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ ,  $\phi(m, n) = m$ .

Here's another nice counterexample:  $\phi : GL_2(\mathbb{R}) \rightarrow \{1\} \subseteq \mathbb{R}^\times$ .

3. Give a counterexample to the converse of the statement.

**Definition 1.20. (Normal Subgroups).** Let  $H$  be a subgroup of  $G$ .  $H$  is called normal if  $ghg^{-1}$  for all  $g \in G$ ,  $h \in H$ .

$$gHg^{-1} \subseteq H \tag{1.2}$$

**Proposition 1.21.** Let  $\phi : G_1 \rightarrow G_2$  be a group homomorphism.

- (a) If  $H_1$  is a subgroup of  $G_2$ , then  $\phi(H_1)$  is a subgroup of  $G_2$ . If  $\phi$  is onto and  $H_1$  is normal in  $G_1$ , then  $\phi(H_1)$  is normal in  $G_2$ .

(b) If  $H_2$  is a subgroup of  $G_2$ , then  $\phi^{-1}(H_2) = \{x \in G_1 \mid \phi(x) \in H_2\}$  is a subgroup of  $G_1$ . If  $H_2$  is normal in  $G_2$ , then  $\phi^{-1}(H_2)$  is normal in  $G_1$ .

Let's do a quick exercise that involves normal subgroups:

**Exercise 18.** Recall that the center of a group  $G$  is  $\{x \in G \mid xg = gx \text{ for all } g \in G\}$ . Prove that the center of any group is a normal subgroup.

*Proof.* Take  $x \in Z(G)$  and  $g \in G$ .

$$gxg^{-1} = xgg^{-1} = x \in Z(G)$$

□

*January 16, 2020*

(\*\*\*)

*January 17, 2020*

(\*\*\*)

*January 18, 2020*

(\*\*\*)

*January 21, 2020*

## 2 Rings

### 2.1 Recap

Recall the characteristics of a ring:

- 2 operations  $+$  and  $\cdot$ .
- Abelian group w.r.t.  $+$ .
- Closure under  $\cdot$ .
- Distributive law of multiplication over addition.

Some additional characterizations of rings include:

- Commutative ring with identity.
  - $\cdot$  has identity 1.
  - $\cdot$  is commutative.
- $(\mathbb{R}^*, \cdot)$  is an Abelian group: field.
- If  $rs = 0$  implies  $r = 0$  or  $s = 0$ : integral domain.

- Integral domain and existence of a long division algorithm: Euclidian domain. e.g. integers, polynomials.
- Ideal:  $I \subseteq R$  such that
  - $a + b \in I \forall a, b \in I$ .
  - $ar \in I \forall a \in I, r \in R$ .

Ex:  $\langle a \rangle = \{ar : r \in R\}$  for some  $a \in R$ , commutative.

**Definition 2.1. (Ideals).** Let  $I$  be a proper (non-trivial) ideal in a commutative ring  $R$ .

- $I$  is called prime ideal if for all  $a, b \in R$ ,  $ab \in I \Rightarrow a \in I$  or  $b \in I$ .
- $I$  is called maximal ideal if for all ideals  $J$  with  $I \subseteq J \subseteq R$ ,  $J = I$  or  $J = R$ .

**Definition 2.2. (Ring Homomorphism).** Let  $R$  and  $S$  be commutative rings. A function  $\phi : R \rightarrow S$  is called a ring homomorphism if

$$\phi(a + b) = \phi(a) + \phi(b) \quad (2.1)$$

and

$$\phi(ab) = \phi(a)\phi(b) \quad (2.2)$$

for all  $a, b \in R$ .

A ring homomorphism that is one-to-one and onto is called an isomorphism. If there exists an isomorphism from  $R$  onto  $S$ , we say  $R$  is isomorphic to  $S$ , and write  $R \cong S$ .

**Proposition 2.3.** Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then

- (a)  $\phi(0) = 0$ ;
- (b)  $\phi(-a) = -\phi(a)$  for all  $a \in R$ ;
- (c) If  $1$  is an identity element for  $R$ , then  $\phi(1)$  is an idempotent element of  $S$ .
- (d)  $\phi(R)$  is a subring of  $S$ .

*Proof.*

- (a) This is true as  $\phi$  is a group homomorphism.
- (b) Similar to above.
- (c)  $\phi(1)\phi(1) = \phi(1 \cdot 1) = \phi(1)$ .
- (d)  $\phi(R)$  is a subgroup of  $S$  by above. We now show that multiplication is well defined and distributes.

$$\phi(a)\phi(b) = \phi(ab) \in \phi(R) \text{ as } ab \in R$$

$$\phi(a)(\phi(x) + \phi(y)) = \phi(a)\phi(x + y) = \phi(a(x + y))$$

$$= \phi(ax + ay) = \phi(ax) + \phi(ay) = \phi(a)\phi(x) + \phi(a)\phi(y) \in R$$



□

**Example:** Consider  $\mathbb{Z}_n$  with

$$[x] + [y] = [x + y]$$

$$[x][y] = [x][y]$$

Then  $\pi : \mathbb{Z} \rightarrow \mathbb{Z}_n$  with  $\pi(x) = [x]$  is a ring homomorphism.

*Proof.* C'mon. □

**Proposition 2.4.** Let  $\phi : R \rightarrow S$  be a ring homomorphism.

- (a) If  $a, b \in \ker(\phi)$  and  $r \in R$ , then  $a + b$ ,  $a - b$ , and  $ra$  belong to  $\ker(\phi)$ .
- (b) The homomorphism  $\phi$  is an isomorphism if and only if  $\ker(\phi) = \{0\}$  and  $\phi(R) = S$ .

*Proof.* (a) If  $a, b \in \ker(\phi)$ , then

$$\phi(a \pm b) = \phi(a) \pm \phi(b) = 0 \pm 0 = 0,$$

and so  $a \pm b \in \ker(\phi)$ . If  $r \in R$ , then

$$\phi(ra) = \phi(r) \cdot \phi(a) = \phi(r) \cdot 0 = 0,$$

showing that  $ra \in \ker(\phi)$ .

- (b) This part follows from the fact that  $\phi$  is a group homomorphism, since  $\phi$  is one-to-one if and only if  $\ker(\phi) = \{0\}$  and onto if and only if  $\phi(R) = S$ .

□

**Definition 2.5. (\*\*).** Let  $R, S$  be commutative rings.  $\phi : R \rightarrow S$  a homomorphism. Then  $R/\ker(\phi) = \{[r] \mid r \in R\}$  where  $r \sim s$  if  $r - s \in \ker(\phi)$ . (or  $\phi(r) = \phi(s)$ ).

**Theorem 2.6.**  $R/\ker(\phi)$  is a ring, and  $R/\ker(\phi) \cong \text{im}(\phi)$ .

*Proof.* We already know  $R/\ker(\phi)$  is an Abelian group w.r.t.  $+$ .

It is closed under multiplication:  $[r][s] = [rs]$ . Because  $rs - rs \in \ker(\phi)$  ( $\phi(0) = 0$ ),  $[rs] \in R/\ker(\phi)$ .

Distributive law:

$$\begin{aligned} [r]([s] + [t]) &= [r][s + t] \\ &= [r(s + t)] \\ &= [rs + rt] \\ &= [rs] + [rt] \\ &= [r][s] + [r][t] \end{aligned}$$

Consider  $\psi : R/\ker(\phi) \rightarrow \text{im}(\phi)$  with  $\psi([r]) = \phi(r)$ .

$\psi$  is a (i) ring homomorphism that is (ii) one-to-one and (iii) onto.

$$(i) \quad \psi([r] + [s]) = \psi([r + s]) = \varphi(r + s) = \varphi(r) + \varphi(s) = \psi([r]) + \psi([s]).$$

We prove similarly for multiplication.

$$(ii) \quad \psi \text{ is one-to-one if and only if } \ker \psi = \{[0]\}.$$

$$\text{Suppose } \psi([r]) = 0$$

$$\varphi(r) = 0$$

$$r \in \ker \varphi$$

$$r \sim 0$$

$$r \in [0]$$

$$[r] = [0].$$

$$(iii) \quad \text{Let } w \in \text{im } \varphi \text{ such that } \varphi(r) = w. \text{ Hence } \psi([r]) = w.$$

□

**Exercise 1.** Let  $F$  be a field and let  $\phi : F \rightarrow R$  be a ring homomorphism. Show that  $\phi$  is either zero or one-to-one.

*Proof.* Let  $\phi : F \rightarrow R$  be a ring homomorphism,  $\phi$  not zero.

Then  $\ker \phi \subsetneq F$ .

Let  $a \in \ker \phi$ . Let  $r \in F$ .

$$\phi(r) = \phi(r \cdot 1) = \phi(r \cdot a \cdot a^{-1}) = \phi(r)\phi(a)\phi(a^{-1}) = 0$$

$$\Rightarrow r \in \ker \phi \Rightarrow \ker \phi = F \Rightarrow \times$$

□

**Exercise 2.** Let  $F, E$  be fields, with a homomorphism  $\phi : F \rightarrow E$ . Show that if  $\phi$  is onto, then  $\phi$  must also be an isomorphism.

*Proof.* This follows directly from Exercise 1.

□

**Exercise 3.** Show that taking complex conjugates defines an automorphism of  $\mathbb{C}$ . That is, for  $z \in \mathbb{C}$ , define  $\phi(z) = \bar{z}$ , and show that  $\phi$  is an automorphism.

*Proof.* (\*\*\*)

□

**Exercise 4.** Show that the only ring automorphism of  $\mathbb{Z}$  is the identity mapping.

*Proof.* Since  $\varphi(1)$  is idempotent,  $\varphi(1) = 1$  as 1 is the only non-trivial idempotent integer. We also note that  $\varphi(-1) = -\varphi(1) = -1$  and  $\varphi(0) = 0$  by group homomorphism. Then we can induct in both directions *to infinity and beyond*. (\*\*\*)

□

**Exercise 5.** Let  $R$  be a commutative ring with identity, and let  $D$  be an integral domain. Show that  $\phi(1) = 1$  for any nonzero ring homomorphism  $\phi : R \rightarrow D$ .

*Proof.* (\*\*\*)

□

*January 23, 2020*

Let  $R$  be a ring and  $I \subseteq R$  an ideal. Since  $I$  is a normal group of  $(R, +)$ , we can construct the Abelian group

$$R/I = \{r + I \mid r \in R\}.$$

This can be given a ring structure by defining multiplication in the obvious way:

$$[r][s] = [rs]$$

With  $[r] = r + I$ ,  $[s] = s + I$ , we get

$$\begin{aligned} (r + I)(s + I) &= rs + \underbrace{rI + sI + II}_{=I} \\ &= rs + I \\ &= [rs] \end{aligned}$$

**Example:** If  $\phi : R \rightarrow S$  is a homomorphism,  $\ker \phi$  is an ideal in  $R$ , so this is an extension of  $R/\ker \phi$ . (\*\*\*)

Consider  $\mathbb{Z}_2[x]/\langle x^2 + 1 \rangle$ .

Take the ring of polynomials with coefficients in  $\mathbb{Z}_2 = \{0, 1\}$  and mod out the ideal generated by  $x^2 + 1$ :

$$\langle x^2 + 1 \rangle = (x^2 + 1) \cdot \mathbb{Z}_2[x]$$

In this, two polynomials  $p(x)$  and  $q(x)$  are equivalent if  $p(x) - q(x) \in (x^2 + 1) \cdot \mathbb{Z}_2[x]$ .

$\Rightarrow$  “ $p(x) - q(x)$  is divisible by  $x^2 + 1$ ”, or “they have the same remainder under division by  $x^2 + 1$ .”

The equivalence classes can be represented by the possible remainders under division by  $x^2 + 1 \iff$  all polynomials of degree at most 1 are in  $\mathbb{Z}_2[x]$ .

$$\mathbb{Z}_2[x]/\langle x^2 + 1 \rangle = \{0, 1, x, x + 1\}$$

+	0	1	x	x + 1
0	0	1	x	x + 1
1	1	0	x + 1	x
x	x	x + 1	0	1
x + 1	x + 1	x	1	0

·	1	x	x + 1
1	1	x	x + 1
x	x	1	x + 1
x + 1	x + 1	x + 1	0

**Exercise 6.** Give a multiplication table for the ring  $\mathbb{Z}_2[x]/\langle x^3 + x^2 + x + 1 \rangle$ .

$\cdot$	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
1	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
$x$	$x$	$x^2$	$x^2+x$	$x^2+x+1$	$x^2+1$	$x+1$	1
$x+1$	$x+1$	$x^2+x$	$x^2+1$	$x+1$	0	$x^2+1$	$x^2+x$
$x^2$	$x^2$	$x^2+x+1$	$x+1$	1	$x^2+1$	$x^2+x$	$x$
$x^2+1$	$x^2+1$	$x^2+1$	0	$x^2+1$	0	0	$x^2+1$
$x^2+x$	$x^2+x$	$x+1$	$x^2+1$	$x^2+x$	0	$x^2+1$	$x+1$
$x^2+x+1$	$x^2+x+1$	1	$x^2+x$	$x$	$x^2+1$	$x+1$	$x^2$

**Exercise 7.** Let  $R$  be a ring and  $I$  an ideal. If  $I$  contains a unit of  $R$ ,  $I = R$ .

*Proof.* Let  $u \in I$  be a unit. Then it has a multiplicative inverse  $u^{-1} \in R$ . Then  $uu^{-1} \in I \Rightarrow 1 \in I$ . Then  $\forall r \in R, 1 \cdot r = r \in I$ . Thus  $I = R$ .  $\square$

*January 24, 2020*

We can do some fun stuff in Mathematica. (\*\*\*)

```

1  (*Setting a degree n*)
2  n = 3;
3  (*Defined Polynomial*)
4  p[x_] := x^2 + 1;
5  (*Creating a table from 0 to n-1 (digits in Subscript[Z, n])*)
6  zn = Table[k, {k, 0, n - 1}];
7  (*Calculates the degree of polynomial p[x]*)
8  deg = Length[CoefficientList[p[x], x]] - 1;
9  (*Finds tuples of length deg with elements from zn*)
10 Tuples[zn, deg];
11 (*Turns a Tuple to a polynomial*)
12 tuple2poly[t_] := Module[{l},
13   l = Length[t];
14   Return[Sum[t[[i]] x^(i - 1), {i, 1, l}]]
15 ];
16 (*Polynomial form of tuples*)
17 polys =
18   Sort[Map[tuple2poly, Tuples[zn, deg]]]

Out[ ]:= {0, 1, 2, x, 2 x, 1 + x, 2 + x, 1 + 2 x, 2 + 2 x}

1  ^^Iadd = Table[
2    PolynomialMod[
3      PolynomialRemainder[polys[[i]] + polys[[j]], p[x], x], n], {i, 1,
4      Length[polys]}, {j, 1, Length[polys]};
5  TableForm[add, TableHeadings -> {polys, polys}]

```

Out[ ]:=TableForm=

	0	1	2	x	2 x	1 + x	2 + x	1 + 2 x	2 + 2 x
0	0	1	2	x	2 x	1 + x	2 + x	1 + 2 x	2 + 2 x
1	1	2	0	1 + x	1 + 2 x	2 + x	x	2 + 2 x	2 x
2	2	0	1	2 + x	2 + 2 x	x	1 + x	2 x	1 + 2 x
x	x	1 + x	2 + x	2 x	0	1 + 2 x	2 + 2 x	1	2
2 x	2 x	1 + 2 x	2 + 2 x	0	x	1	2	1 + x	2 + x
1 + x	1 + x	2 + x	x	1 + 2 x	1	2 + 2 x	2 x	2	0
2 + x	2 + x	x	1 + x	2 + 2 x	2	2 x	1 + 2 x	0	1
1 + 2 x	1 + 2 x	2 + 2 x	2 x	1	1 + x	2	0	2 + x	x
2 + 2 x	2 + 2 x	2 x	1 + 2 x	2	2 + x	0	1	x	1 + x

```

1 polys1 = DeleteCases[polys, 0]
2 mult = Table[
3   PolynomialMod[
4     PolynomialRemainder[polys1[[i]]*polys1[[j]], p[x], x], n], {i, 1,
5     Length[polys1]}, {j, 1, Length[polys1]}];
6 TableForm[mult, TableHeadings -> {polys1, polys1}]

```

Out[ ]:= {1, 2, x, 2 x, 1 + x, 2 + x, 1 + 2 x, 2 + 2 x}

Out[ ]:=TableForm=

	1	2	x	2 x	1 + x	2 + x	1 + 2 x	2 + 2 x
1	1	2	x	2 x	1 + x	2 + x	1 + 2 x	2 + 2 x
2	2	1	2 x	x	2 + 2 x	1 + 2 x	2 + x	1 + x
x	x	2 x	2	1	2 + x	2 + 2 x	1 + x	1 + 2 x
2 x	2 x	x	1	2	1 + 2 x	1 + x	2 + 2 x	2 + x
1 + x	1 + x	2 + 2 x	2 + x	1 + 2 x	2 x	1	2	x
2 + x	2 + x	1 + 2 x	2 + 2 x	1 + x	1	x	2 x	2
1 + 2 x	1 + 2 x	2 + x	1 + x	2 + 2 x	2	2 x	x	1
2 + 2 x	2 + 2 x	1 + x	1 + 2 x	2 + x	x	2	1	2 x

**Proposition 2.7.** A commutative ring with identity  $R$  that does not have any proper ideals is a field.

*Proof.* We show that every element in  $R$  is a unit.

Consider  $I = aR$ . Since  $R$  only has trivial ideals,  $aR = R$ . Since  $1 \in R$ , there exists a solution to  $ax = 1$ , so  $a$  is a unit.  $\square$

January 28, 2020

Last time: If  $R$  is a ring without proper ideals, then it is a field.

**Proposition 2.8.** Let  $R$  be a ring and  $I$  an ideal. Then there is a 1-1 correspondence between the ideals in  $R/I$  and the ideals in  $R$  containing  $I$ .

**Corollary 2.9.** If  $I$  is a maximal ideal in  $R$ , then  $R/I$  is a field.

*Proof.* Let  $I$  be an ideal in  $R$ , and  $J$  an ideal with  $I \subseteq J \subseteq R$ . Consider the set  $\{[j] \mid j \in J\} \subseteq R/I$ .

We will show that this is an ideal in  $R/I$ .

$$\begin{aligned} \text{Let } [j_1], [j_2] \in K, [r] \in R/I \\ [j_1] + [j_2] &= \underbrace{[j_1 + j_2]}_{\in J} \in K \\ [j_1][r] &= \underbrace{[j_1 r]}_{\in J} \in K \end{aligned}$$

Conversely, let  $K$  be an ideal in  $R/I$ . We show that this corresponds to an ideal  $J$  in  $R$  with  $I \subseteq J$ : Let  $J = \{a \in R \mid [a] \in K\}$

Let  $a, b \in J$ . Then  $[a], [b] \in K$

$$\text{so } [a] + [b] = [a + b] \in K \Rightarrow a + b \in J$$

Let  $a \in J, r \in R$ . Then

$$[a][r] \in K \text{ (K is an ideal)}$$

$$\Rightarrow [ar] \in K$$

$$\Rightarrow ar \in J$$

□

Finally, we characterise the maximal ideals in the rings we are interested in:  $\mathbb{Z}, \mathbb{F}[x]$  (the set of polynomials with coefficients in field  $\mathbb{F}$ ).

These rings are principal ideal domains: all their ideals are generated by one element. If  $I$  is an ideal in a principal ideal domain  $R$ , then  $I = aR$  for some  $a \in R$ . In a p.i.d., the maximal ideal are precisely the prime ideals—so each maximal ideal is generated by an irreducible element of  $R$ .

**Theorem 2.10.** Every nonzero prime ideal of a principal ideal domain is maximal.

**Example:**  $\mathbb{Z}$  is a principal ideal domain.

- $\mathbb{Z}$  is an integral domain because  $m \cdot n = 0 \Rightarrow m = 0$  or  $n = 0$ .
- Let  $I = a\mathbb{Z} + b\mathbb{Z}$ . Then  $I$  is an ideal in  $\mathbb{Z}$ , and we show that  $\exists n \in \mathbb{Z}$  such that  $I = n\mathbb{Z}$ .  
Then  $\boxed{n = \text{g.c.d.}(a, b)}$ .

**Exercise 8.** Let  $P$  be a prime ideal of the commutative ring  $R$ . Prove that if  $I$  and  $J$  are ideals of  $R$  and  $I \cap J \subseteq P$ , then either  $I \subseteq P$  or  $J \subseteq P$ .

*Proof.* Let  $I, J$  be ideals,  $P$  prime ideal.  $I \cap J \subseteq P$ .

Suppose  $I \not\subseteq P$ . We show that  $J \subseteq P$ .

Let  $j \in J$ , and  $i \in I, i \notin P$ . Then  $ji \in I \cap J \subseteq P$ .

$$\Rightarrow ji \in P \Rightarrow j \in P \text{ or } i \in P \text{ (by definition of prime ideal).}$$

Since  $i \notin P, j \in P$ .

□

**Exercise 9.** Find a nonzero prime ideal of  $\mathbb{Z} \oplus \mathbb{Z}$  that is not maximal.

*Proof.* Recall that  $\mathbb{Z} \oplus \mathbb{Z} = \{(m, n) \mid m, n \in \mathbb{Z}\}$ .

$$(m_1, n_1) + (m_2, n_2) = (m_1 + m_2, n_1 + n_2)$$

$$(m_1, n_1) \cdot (m_2, n_2) = (m_1 \cdot m_2, n_1 \cdot n_2)$$

$P$  is a prime ideal if for  $rs \in P$ ,  $r \in P$  or  $s \in P$ .  $I = p\mathbb{Z} \oplus p\mathbb{Z}$ ,  $p$  prime.

$I$  is a prime ideal but not maximal because  $I \subsetneq \mathbb{Z} \oplus p\mathbb{Z} \subsetneq \mathbb{Z} \oplus \mathbb{Z}$ .  $\square$

**Exercise 10.** Let  $R$  be a commutative ring, with  $a \in R$ . The annihilator of  $a$  is defined by

$$\text{Ann}(a) = \{x \in R \mid xa = 0\}$$

Prove that  $\text{Ann}(a)$  is an ideal of  $R$ .

*Proof.* Let  $x, y \in \text{Ann}(a)$ .

$$(x + y)a = xa + ya = 0 \Rightarrow x + y \in \text{Ann}(a)$$

Let  $r \in R$ .

$$(xr)a = r(xa) = r \cdot 0 = 0 \Rightarrow xr \in \text{Ann}(a)$$

$\square$

**Exercise 11.** Let  $I$  be the smallest ideal of  $\mathbb{Z}[x]$  that contains both 2 and  $x$ . Show that  $I$  is not a principal ideal.

*Proof.* If  $I$  were a principal ideal, then  $\exists a, m, n \in \mathbb{Z}[x]$  such that  $am = 2$  and  $an = x$ . 2 and  $x$  are irreducible, so either  $a = 2$  or  $m = 2$  (and likewise). Realize this forces  $m = 2$ ,  $n = x$ , and  $a = 1$  which is not the smallest ideal of  $\mathbb{Z}[x]$  that contains both 2 and  $x$ .  $\square$

*February 4, 2020*

### 3 Primality Testing

**Theorem 3.1. (Fermat's Little Theorem).**

$$a^{p-1} \equiv 1 \pmod{p} \quad (3.1)$$

Note: We let  $\mathbb{F}_p$  denote the field that is  $\mathbb{Z}_p$  under addition and  $\mathbb{Z}_p^*$  under multiplication.

In  $\mathbb{F}_p$ , FLT can be written as

$$[a]^{p-1} = 1 \quad (3.2)$$

and it can be proved easily using group theory:

*Proof.* Consider  $\langle a \rangle$  in  $\mathbb{F}_p$ .

$$\begin{aligned} \text{Then } \text{ord}(a) &= \text{smallest integer } n \text{ such that } a^n = 1 \\ &= \text{order of } \langle a \rangle \end{aligned}$$

Since there are  $p - 1$  elements in  $\mathbb{Z}_p^*$ ,  $\text{ord}(a) \mid p - 1$ . Hence  $\exists k$  such that  $\text{ord}(a) \cdot k = p - 1$ .

Therefore  $a^{p-1} = a^{\text{ord}(a) \cdot k} = (a^{\text{ord}(a)})^k = 1^k = 1$ .  $\square$

**Definition 3.2. (Witness).** If  $p$  is not a prime, it is not necessarily true that  $a^{p-1} \equiv 1 \pmod{p}$ . In that case we call “ $a$ ” a witness for the compositeness of  $p$ .

This could be used for primality checking if it weren't for the fact that some composite numbers don't have any witnesses:

$$a^{p-1} \equiv 1 \pmod{p}$$

even though  $p$  is not a prime. These are called “Carmichael numbers”.

Miller-Rabin is a test for compositeness in which each composite number has a lot of witnesses. While not waterproof, it can be used to check for primality by applying it to a large number of potential witnesses. Each time the test fails, it strengthens the evidence for  $p$  being prime.

**Proposition 3.3.** Let  $p$  be an odd prime and write

$$p - 1 = 2^k q \quad \text{with } q \text{ odd.}$$

Let  $a$  be any number not divisible by  $p$ . Then one of the following two assertions is true:

- (i)  $a^q$  is congruent to 1 modulo  $p$ .
- (ii) One of  $a^q, a^{2q}, a^{4q}, \dots, a^{2^{k-1}q}$  is congruent to  $-1$  modulo  $p$ .

**Proposition 3.4.** Let  $n$  be an odd composite number. Then at least 75% of the numbers  $a$  between 1 and  $n - 1$  are Miller-Rabin witnesses for  $n$ .

*February 13, 2020*

## 4 Public-Key Cryptography

There are 3 players: Alice, Bob, and Eve.

Bob sends a message to Alice, which is ‘eavesdropped’ by Eve.

Mathematically, given a message  $m \in \mathbb{F}_p$ , there needs to be a 1 – 1 encryption function  $e : \mathbb{F}_p \rightarrow \mathbb{F}_p$  and a decryption function  $d : \mathbb{F}_p \rightarrow \mathbb{F}_p$  with  $d(e(m)) = m$ .

The functions must have the property that  $e(m)$  is easy to compute but  $d(x)$  is hard to compute unless you have an additional piece of information, the “key.”

Solution: Encrypt with “public key”, decrypt with “private key.”

### 4.1 ElGamal

Elgamal uses the fact that it is (currently) very difficult to calculate discrete logarithms in  $\mathbb{F}_p$ .

**Theorem 4.1. (Primitive Root Theorem).**  $\mathbb{F}_p^*$  is a cyclic group for every prime  $p$ .



**Definition 4.2. (Discrete Logarithm).** Given  $g \in \mathbb{F}_p$  a primitive root (i.e. generator) and  $a \in \mathbb{F}_p$ , such that  $a = g^n$ ,  $n \in \mathbb{Z}$ , we say

$$n = \log_g(a). \quad (4.1)$$

By F.l.T., if  $n_0$  satisfies  $a = g^{n_0}$ , then so does  $n = n_0 + (p-1)k$ . We shall pick the unique solution of  $n$  in  $\mathbb{Z}_{p-1}$  for the logarithm.

Note: It is easy to calculate  $g^n \pmod{p}$  using fast powering. But, apart from some exceptions, it is “hard” to find  $\log_g a$  (not significantly more efficient than brute force).

The method: Let  $p$  be a large prime and  $g \in \mathbb{F}_p$ , suitably chosen. There is no secret in  $p$  or  $g$ , and they can, in fact, be provided by a trusted authority.

Alice chooses a private key  $a \in \mathbb{F}_p$ , and calculates  $A \equiv g^a \pmod{p}$ , which she puts on her business card.

Bob wants to send  $m \in \mathbb{F}_p$  to Alice. So he calculates  $C_1 = g^k$ ,  $C_2 = mA^k$  for some arbitrary  $k \in \mathbb{Z}$ .  $k$  is called an ephemeral key, because it is used only once. Alice receives  $C_1, C_2$  and calculates  $X \equiv C_1^a \pmod{p}$  and thus  $X^{-1} \pmod{p}$ . Finally, she will obtain  $m = C_2X^{-1}$ .

Eve will know  $p, g, C_1, C_2$ , and  $A$  but she needs to solve  $A = g^a \pmod{p}$  to decrypt the message.

*February 20, 2020*

**Theorem 4.3.** Let  $p$  be a prime, and  $q$  a prime factor of  $p-1$ . Let  $a \in \mathbb{F}_p$  and set  $b = a^{\frac{p-1}{q}}$ . Then either  $b = 1$  or  $b$  is an element of order  $q$ .

*Proof.* We rewrite

$$b^q \equiv 1 \pmod{p}$$

If  $q$  is the order, then we are done. Otherwise, suppose there is an order  $q' < q$ . We use the division algorithm on  $q$  and  $q'$  to get

$$q = kq' + r \quad r < q', q' \nmid q$$

Then  $b^q = (b^{q'})^k \cdot b^r = b^r$ , so  $b^r = 1$  which is a contradiction.  $\square$

*February 25, 2020*

## 5 Constructing Finite Fields for Cryptography

### 5.1 Groups

We’ve worked with groups:

- Subgroups

- Quotient groups
- Group homomorphisms
- \* Lagrange's Thm
- \* Fundamental Homomorphism Theorem ( $G/\ker \varphi \cong \text{im } \varphi$ )
- $\mathbb{Z}_n$
- Symmetry Groups
- Permutation Groups

## 5.2 Rings

Ring = Abelian group + second operation.

- Ideals (prime, maximal, principal)
- Quotient rings  $R/I$ .
- Ring homomorphisms.
- \* Fundamental homomorphism theorem for rings.
- \* Connection between ideals and units.
- \* Modding out a maximal ideal gives a field.
- \* In a p.i.d., maximal ideals  $\iff$  prime ideals.

## 5.3 Fields

Fields = Abelian group under + and  $\times$ .

- Take  $F[x]$  (polynomials with coefficients in field  $F$ ).
- Take irreducible  $p(x) \in F[x]$
- Construct  $F[x]/\langle p(x) \rangle$

This extends our collection of fields beyond  $F_p$ ,  $p$  prime and it allows for the extension of fields by “adding” roots of polynomials that weren't in the base field.

- Galois fields

## 5.4 What will be on the test?

- Check definitions. (Checking that  $\heartsuit$  is  $\spadesuit$ )
- Construct an isomorphism. (Show that  $\heartsuit$  is isomorphic to  $\spadesuit$ )
- Construct a field by giving + and  $\times$  table. (“I raise you  $\mathbb{Z}_p$  and  $p(x) \in \mathbb{Z}_p[x]$ , give me the field  $(\mathbb{Z}_p)_{\langle p(x) \rangle}$ !”)

**Exercise 1.** Let  $C_2$  be the subgroup  $\{\pm 1\}$  of the multiplicative group  $\mathbb{R}^\times$ . Show that  $\mathbb{R}^+$  is isomorphic to  $\mathbb{R}^+ \times C_2$ .

We can construct isomorphism

$$\varphi : (x, u) \mapsto u \cdot e^x \quad \varphi^{-1} : a \mapsto (\ln |a|, \text{sgn}(a))$$

We check that it is a homomorphism first:

$$\begin{aligned} \varphi((x_1, u_1) \times (x_2, u_2)) &= \varphi((x_1 + x_2, u_1 u_2)) \\ &= u_1 u_2 e^{x_1 + x_2} = u_1 e^{x_1} u_2 e^{x_2} = \varphi((x_1, u_1)) \varphi((x_2, u_2)) \end{aligned}$$

1-1: Check that  $\ker \varphi = \{(0, 1)\}$

onto: Which input gives me  $y \in \mathbb{R}^\times$ ?

$$\varphi(\underbrace{\ln |a|}_{\in \mathbb{R}^+}, \underbrace{\text{sgn}(a)}_{\in \{\pm 1\}}) = \text{sgn}(y) \cdot |y| = y \Rightarrow \text{onto}$$

*February 27, 2020*

**Exercise 2.** Let  $\mathbb{F}$  be a finite field.

(a) Prove that there exists an integer  $m \geq 1$  such that if we add 1 to itself  $m$  times

$$\underbrace{1 + 1 + \cdots + 1}_{m \text{ ones}}$$

then we get 0. Note that 1 and 0 are the multiplicative and additive identity elements of the field  $\mathbb{F}$ .

(b) Let  $m$  be the smallest positive integer with the property described in (a). Prove that  $m$  is prime.

(c) Let  $p$  be the characteristic of  $\mathbb{F}$ . Prove that  $\mathbb{F}$  is a finite-dimensional vector space over the field  $\mathbb{F}_p$  of  $p$  elements.

Define  $\alpha = [k] \in \mathbb{F}_p$  and  $x \in \mathbb{F}$ . We can prove that the operation

$$\alpha x = kx = \underbrace{x + \cdots + x}_{k \text{ times}} = x \cdot \underbrace{(1_{\mathbb{F}} + \cdots + 1_{\mathbb{F}})}_{k \text{ times}}$$

We prove vector space qualities:

1.  $(\alpha\beta)x = \alpha(\beta x)$

$$\begin{aligned} \alpha(\beta x) &= \alpha(\underbrace{1 + \cdots + 1}_{\beta \text{ times}}) \\ &= \underbrace{1 + \cdots + 1}_{\beta \text{ times}} \end{aligned}$$

$$2. (\alpha + \beta)x = \alpha x + \beta x$$

$$3. \alpha(x + y) = \alpha x + \alpha y$$

$$4. 1 \cdot x = x$$

(d) Use (c) to deduce that  $\mathbb{F}$  has  $p^d$  elements for some  $d \geq 1$ .

**Exercise 3.** You have a ring  $\mathbb{R}[x]$ . Let  $Y$  be a finite subset of  $\mathbb{R}$ . Define

$$I(Y) = \{p(x) \in \mathbb{R}[x] \mid p(x_i) = 0 \text{ for all } x_i \in Y\}$$

Show that  $I(Y)$  is an ideal in  $\mathbb{R}[x]$ .

*Proof.* Consider  $p(x), q(x) \in I(Y)$ . This means that  $p(x) = 0$  and  $q(x) = 0$  for all  $x \in Y$  thus  $p(x) + q(x) = 0$  for all  $x \in Y$  so  $p(x) + q(x) \in I(Y)$ .

Consider  $a(x) \in \mathbb{R}[x]$  and  $p(x) \in I(Y)$ .  $a(x)p(x) = 0$  for all  $x \in Y$  as  $p(x) = 0$  for all  $x \in Y$ . □

**Exercise 4.** If  $I, J$  are ideals of  $R$ ,  $I + J$  is an ideal of  $R$ .

$$I + J = \{a + b \in R \mid a \in I, b \in J\}$$

*February 27, 2020*

**Exercise 5.** The group  $S_3$  consists of the following six distinct elements

$$e, \sigma, \sigma^2, \tau, \sigma\tau, \sigma^2\tau$$

where  $e$  is the identity element and multiplication is performed using the rules

$$\sigma^3 = e, \quad \tau^2 = e, \quad \tau\sigma = \sigma^2\tau$$

(\*\*) Page 109, 2.11 and 2.12 of Crypto Textbook

2.14, 2.15