

# Fault Mitigation for Autonomous Vehicles with Reduced Front Steering Capability

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**Abstract**—Recently developed autonomous vehicles utilize electric power steering (EPS) systems as their main front steering actuator. However, electrical connection from the vehicle battery to the EPS system may corrode due to water intrusion or humidity, increasing electrical resistance in the EPS power circuit. Under this situation, if the EPS is required to provide significant amount of power to steer the vehicle, loss of steering may occur due to decreased voltage seen at the EPS controller or reduced power transfer capability in the circuit. As drivers (or passengers) are not required to take over control of the vehicle in case of vehicle malfunction, loss of steering causes significant safety hazard for the vehicle and other users of the road. In this paper, fault mitigation strategies are proposed to reduce the EPS effort in case of increased power circuit resistance. Specifically, strategies based on model predictive control are developed that coordinate vehicle lateral motion actuation such as EPS, steering differential torque, and rear steering under increased EPS power circuit resistance. Simulation results show that voltage drop at the EPS controller due to the increased resistance can be reduced while the vehicle can still satisfactorily complete desired lane change maneuvers.

## I. INTRODUCTION

### A. Motivation

The operation of a typical autonomous vehicle (AV) is described as follows. Sensors (e.g., IMU, cameras, radars, lidars) collect information as input to a perception system. Subsequently, based on the perception results and user defined mission inputs, a path/trajectory planning module will generate control objectives (e.g., desired path or trajectories) for feedback algorithms to control the vehicle via the actuators. In AVs (e.g., SAE automation levels 4 and 5 [1]), the driver is not required to monitor vehicle performance and take over driving of the vehicle in case of emergencies. In some designs, the driver may not even have the mechanism to steer, brake, and control the vehicle [2]. As such, for AVs to operate properly, the various vehicle components have to per-

form as expected. Unfortunately, sensors and actuators may experience faults/failures due to natural degradation (e.g., friction build up in the steering system [3], [4]) or malfunction (e.g., power wire loose or disconnection in power circuits [5], [6]). When faults/failures occur in chassis actuators critical to vehicle motions, there is no human backup. To ensure safety and avoid hazards, it is critical for the vehicle to still achieve acceptable performance without driver intervention.

### B. Fault considered

In this paper, we focus on faults/failures of the front steering system of an AV, namely, the electric power steering (EPS) system, which is critical to the vehicle's lateral motions. In particular, an EPS system replaces traditional hydraulic power steering systems and steers the vehicle through an electric motor. Depending on vehicle designs, the EPS system may be mounted on a steering column or directly mounted on the steering rack/pinion of the vehicle. Fig. 1 illustrates the typical power connection of an EPS system. Specifically, the

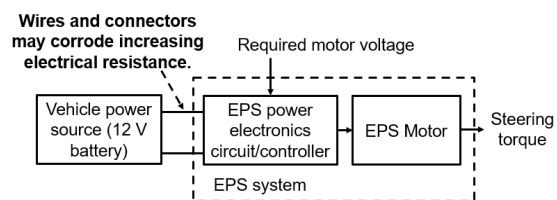


Fig. 1. Concept of EPS systems.

vehicle power source (i.e., the 12 volt battery) is connected to the power electronics circuit/controller (PEC) of the EPS system, forming a power circuit. Based on desired maneuver, a required motor voltage command is sent to the PEC, which generates the required voltage to drive the steering motor. The steering motor in turn

provides torque that steers the vehicle. However, the power connectors and wires that connect the EPS system to the vehicle power source may corrode (e.g., due to water intrusion or humidity), resulting in increased resistance in the EPS power circuit. When the EPS is required to provide a significant amount of power to steer the vehicle, the increased resistance may cause several issues such as decreased voltage seen by the EPS PEC or reduced electrical power transfer capability, which lead to loss of steering capability. Such issues have occurred in conventional (non-automated) vehicles [7], causing additional costs to vehicle manufacturers. In this paper, we propose fault mitigation algorithms that reduce the EPS effort but can still maintain satisfactory lateral control of the vehicle despite occurrences of the increased resistance. In particular, most AVs are built on electric vehicle platforms, which have independent traction motors. By adjusting the traction torque on the left and right side of the vehicle, differential steering torque can be generated for enhanced vehicle stability control [8]. Moreover, some recent electric vehicle are equipped with rear steering capabilities [9]. The differential torque and rear steer capabilities provide redundant lateral motion actuation that help steer the vehicle and reduce the required efforts from the EPS system. We utilize the recently pervasive model predictive control (MPC) methodology to coordinate these motion actuators, (i.e., EPS, differential torque, and rear steering) so that the vehicle can track a desired trajectory despite increase in EPS power circuit resistance.

### C. Review of relevant literature

The work in this paper falls in the broad category of fault diagnosis, prognosis, and mitigation of vehicle safety critical components. While data-driven methods have been developed (see, e.g., [10], [11]), this work focuses mostly on model-based methods. Recent efforts focusing on components such as steering systems, brake system, and tires are documented in, e.g., [3]–[6], [12]–[18]. In particular, references [3]–[6] focus on faults/failures occurring in the EPS system. Reference [3] monitor the health of the EPS motor by utilizing online recursive estimation methods to estimate motor parameters. In addition, increase in the EPS friction is detected by monitoring the deviation of the self-aligning torque (SAT) estimated from two independent methods. Moreover, a fault signature table is constructed based on various health indicators to provide integrated EPS system diagnosis and fault isolation. Reference [4] provides refinement of the EPS friction detection algorithm and validation of it on a production vehicle. The work in [5] develops algorithms that provide prognostic solutions

to EPS power connector faults (e.g., power connector loose and high resistance), which are the main source of intermittent failures in EPS systems. Specifically, power connector loose faults are addressed using a canary-based approach, while power connector high resistance faults are detected by an algorithm that uses power conservation arguments. This work is extended in [6], where fault mitigation algorithms are developed to avoid sudden loss of steering assist in conventional vehicles (i.e., non-automated) caused by high resistance in the EPS power circuit or a weak battery. Specifically, an “anti-loss-of-assist” strategy is developed there by gradually reducing steering assist when the resistance in the EPS power circuit increases. Brake system faults/failures are considered in [12]–[14]. In particular, [12] studies how brake rotor thickness variation (RTV) affects braking characteristics and proposes a failure prediction methodology that leverages time and frequency domain analysis to create health indicators (HIs) that assess rotor health and detect thickness variations of 36 microns or larger. The work in [13] builds on [12] and proposed a vibration-based fault detection and isolation algorithm that effectively detects and isolates RTV faults by analyzing vehicle signals before and during braking. Reference [14] encapsulates [12], [13] and proposes a classification model that distinguishes between healthy and faulty rotors by fusing the HIs to estimate the rotor state-of-health and isolate the most degraded rotor at the wheel or axle level and detect RTV levels of 20 microns or larger. Tire blowouts have also been investigated for ground vehicles in [15]–[18]. In particular, [15], [16] investigated the affects of tire blowout events on vehicle dynamics considering various vehicle chassis setups, while [17] developed shared control strategies upon tire blowouts for conventionally driven vehicles where the driver is still in control of the vehicle. Specifically, various metrics regarding the vehicle dynamic behavior is calculated to assist the driver in stabilizing the vehicle. The work in [18] further develop algorithms to automatically control an autonomously driving ground vehicle upon tire blowout.

This paper extends [6], where increased resistance in the power circuit of an EPS system is considered. A key assumption in [6] is that a driver is operating the vehicle and can provide enough steering torque to complete the steering maneuver in case of reduced steering assist. Hence, the work in [6] can only be used for conventional (non-automated) vehicles or vehicles of SAE automation levels 2 and 3 [1]. Here, we consider AVs (SAE automation levels 4 and 5 [1]), where the vehicle is driven autonomously with no one to make up for the

reduced steering torque. Without a proper control design, the vehicle would have to stop under severe EPS power circuit faults and, consequently, cause inconvenience for the driver and hazards for other users of the road. As mentioned above, redundant lateral motion actuation, such as differential torque and rear steering, afforded by recent electric vehicle platforms will be capitalized. An MPC algorithm is proposed to coordinate these actuation to complete a steering maneuver in case of increased resistance in the EPS power circuit. Other research on utilizing redundant vehicle motion actuation include [8], [19], [20]. In particular, [8] proposes a hierarchical control strategy for path tracking and energy-saving torque distribution in a six-wheel independent drive unmanned ground vehicle using differential steering to address actuator faults, vehicle stability, and energy efficiency. Reference [19] develops an overactuated autonomous ground vehicle aimed at enhancing safety and energy efficiency, while [20] proposes a fault-tolerant path tracking controller for a electric unmanned vehicle to maintain steering functionality in the event of complete steering failure by utilizing differential steering. The paper [21] gives a thorough survey of research conducted on fault tolerance designs of vehicle motion actuators. Finally, we mention [22] provides an introduction to MPC, while [23] documents recent developments and applications.

#### D. Main contributions and organization of paper

The main contributions of this paper include:

- Redundant lateral motion actuation available in modern vehicle platforms is utilized to extend technology developed for avoiding loss of steering due to EPS power circuit faults in conventional (non-automated) vehicles to AVs.
- MPC algorithms are applied to coordinate redundant lateral motion actuation for mitigating power circuit faults in EPS systems.

The rest of the paper is organized as follows. Section II describes steering issues caused by increased EPS power circuit resistance and outlines the proposed solution, while a simulation capability to develop, implement, and verify the solution is presented in Section III. Section IV details the proposed solution, and simulation results are given in Section V. Finally, conclusions and future work are described in Section VI.

## II. ISSUES ADDRESSED

Fig. 2 illustrates the EPS system along with its power circuit. In particular,  $V_B$  indicates the vehicle power source voltage (the 12 volt battery),  $I_C$  is the current from the vehicle power source to the EPS system,  $V_C$  is

the voltage seen at the EPS PEC,  $V_M$  is the motor voltage (output of the EPS PEC),  $I_M$  is the motor current,  $R_M$  is the motor resistance,  $L_M$  is the motor inductance,  $\theta$  is the motor angular position (with  $\dot{\theta} = \omega$ ), and  $\tau_m$  is the motor torque that steers the vehicle. The resistance in the EPS power circuit is modeled by  $R_C$ . Larger values of  $R_C$  indicate worse corrosion in the EPS power circuit. The increased resistance  $R_C$  causes two main

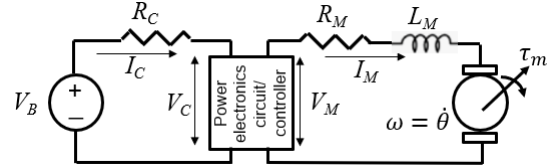


Fig. 2. EPS system with power circuit.

issues in the steering system: (1) large voltage drop at the EPS PEC, and (2) reduced maximum power transfer capability. These two issues may cause intermittent loss of steering as explained below. Assuming, when actively steering, the current in the EPS power circuit is  $I_C$ . With the increased resistance, voltage at the EPS PEC ( $V_C$ ) is

$$V_C = V_B - I_C R_C. \quad (1)$$

As such, the larger  $R_C$ , the lower the voltage  $V_C$  is seen at the EPS PEC. Some EPS system designs have voltage thresholds  $V_{TH}$  so that when  $V_C < V_{TH}$ , the EPS system will automatically reset, causing instant loss of steering. Moreover, from the power circuit perspective, the power transferred from the vehicle power source to the EPS system can be described as  $V_C I_C = -R_C(I_C - \frac{V_B}{2R_C})^2 + \frac{V_B^2}{4R_C}$ , which reaches a maximum value at  $\frac{V_B}{4R_C}$ . Apparently, the larger  $R_C$ , the lower the maximum power that can be transferred to the EPS system. When the vehicle requires large amount of power for steering, the power circuit may not be able to transfer the required power, also causing loss of steering. To address these issues, we propose algorithms that reduce the required EPS effort but can still maintain satisfactory vehicle lateral movements. In particular, we capitalize on actuators in modern electric vehicle platforms that provide redundancy in generating vehicle lateral motion. Specifically, as explained in Section I-B, many modern electrical vehicles are equipped with traction motors which are independently driven, and as the power circuit resistance increases, differential torque can be generated to create additional yaw motion that assist steering, and, consequently, reduce EPS system efforts to avoid potential loss of steering. Similarly, some modern vehicles are equipped with rear steer capabilities, which can be

also used when the power circuit resistance increases. An MPC algorithm is used to coordinate these actuators so that reference trajectories can be followed satisfactorily.

### III. MODELING

In order to develop and evaluate the proposed solution, a simulation capability is implemented in MATLAB/SIMULINK. A conceptual diagram is shown in Fig. 3. Specifically, a controller takes as inputs a desired

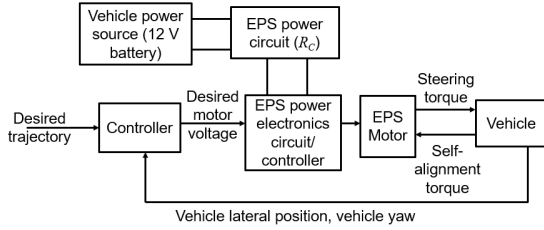


Fig. 3. Conceptual diagram of simulation capability.

reference trajectory as well as the actual vehicle position and yaw, and calculates the desired motor voltage as input the PEC of the EPS system. Consistent with the descriptions above, the PEC is connected to the vehicle 12 volt battery source via a power circuit, which may experience increased resistance, modeled by  $R_C$ . The PEC consequently steps up or steps down voltage from the power circuit so that the motor voltage matches the required motor voltage. The motor then generates the required torque to steer the vehicle, overcoming friction and the vehicle self-alignment torque (SAT). We discuss the baseline models for these components in the rest of this section, while modifications of them for the proposed solution are explained in the next section.

#### A. Vehicle model

We focus on lateral maneuvers, i.e., lane changes, of the vehicle, and, consequently, a lateral dynamics model is used. The bicycle model (see, e.g., [24]–[26]) below is used to simplify the vehicle dynamics by assuming the front and rear wheels are represented by single wheels:

$$\begin{bmatrix} \dot{v} \\ \dot{r} \end{bmatrix} = \begin{bmatrix} -\left(\frac{C_{\alpha f} + C_{\alpha r}}{mu_0}\right) & -\left(\frac{aC_{\alpha f} - bC_{\alpha r}}{mu_0^2}\right) - 1 \\ -\left(\frac{aC_{\alpha f} - bC_{\alpha r}}{I_z u_0}\right) & -\left(\frac{a^2 C_{\alpha f} + b^2 C_{\alpha r}}{I_z u_0}\right) \end{bmatrix} \begin{bmatrix} v \\ r \end{bmatrix} + \begin{bmatrix} \frac{C_{\alpha f}}{I_z} \\ \frac{aC_{\alpha f}}{I_z} \end{bmatrix} \delta_f, \quad (2)$$

where  $v$  is the lateral velocity,  $r$  is the yaw rate of the vehicle,  $u_0$  is the forward speed,  $C_{\alpha f}$  is the cornering stiffness of the front tires,  $C_{\alpha r}$  is the cornering stiffness of the rear tires,  $m$  is the mass of the vehicle,  $I_z$  is

the moment of inertia of the vehicle,  $a$  is distance from center of gravity to the front axle,  $b$  is distance from center of gravity to the rear axle, and  $\delta_f$  is the front road wheel angle.

#### B. Steering system

We assume the EPS system is column-type, in which the motor is positioned along the steering column and connected to the steering shaft through spur gears, delivering torque to the shaft [3]. The motor torque is applied to the steering shaft through the reduction gear, and the product of the motor torque and the gear ratio results in steering torque on the shaft. The motor torque rotates the steering column against the SAT from the tires (through the steering rack and pinion mechanism) and the friction (e.g., damping) in the system. Referring to variables in Fig. 2, equations describing the EPS motor are:

$$L_M \frac{dI_M}{dt} + R_M I_M = V_M - K_e \omega, \quad (3)$$

$$\tau_m = K_t I_M, \quad (4)$$

where  $K_t$  and  $K_e$  are the torque and back EMF constants, respectively. It is assumed that  $K_t = K_e$ . The motion of the steering system is described as a whole rigid body with the motor and steering column dynamics given as  $J_{eq}\ddot{\theta} + B_{eq}\dot{\theta} + K_{eq}\theta = \tau_m - \tau_{SAT,m}$ , where  $J_{eq}$ ,  $B_{eq}$ , and  $K_{eq}$  are the steering inertia, damping coefficient, and stiffness, respectively, seen from the motor side of the dynamics. Moreover,  $\tau_{SAT,m}$  is the steering SAT also seen at the motor side. The SAT from the tire and road interaction is calculated as  $\tau_{SAT} = \alpha C_{\alpha f} l_p$ , where  $\alpha$  is the front wheel slip angle and  $l_p$  is the pneumatic trail. This torque is seen as  $\tau_{SAT,m} = \tau_{SAT} / (nG_r)$  on the motor, where  $G_r$  is the ratio from the steering column angle to the road wheel angle, and  $n$  is the gear ratio from the motor angular position to the steering column angular position. Note the relation between the motor position  $\theta$  and front road wheel angle  $\delta_f$  is  $\theta = nG_r \delta_f$ .

#### C. Power electronics circuit

We assume that the PEC is a buck-boost converter that is capable of handling varying input supply voltages  $V_C$  [27]. The converter will step up or step down  $V_C$  so that  $V_M$  matches the desired motor voltage command. As these converters typically have high chopping frequencies, we assume the converter is able to directly output the desired motor voltage command. Hence, the PEC block is modeled as an identity function from the desired motor voltage to  $V_M$ . To calculate the voltage  $V_C$  seen by the PEC, we utilize the power conservation argument used in [5], [6]. In particular, assuming negligible power losses in the PEC, motor circuit, and mechanical system,

we have  $V_C I_C = \omega \tau_m$  (i.e., electrical power input equals mechanical power output). Using the power circuit equation (1), we approximate  $V_C = \frac{V_B - \sqrt{V_B^2 - 4R_C \omega \tau_m}}{2}$ .

#### D. Control architectures

Controllers based on MPC are proposed for this work. Specifically, MPC is a feedback control strategy that uses a mathematical model of a system to predict its future behavior over a defined prediction horizon. At each sampling time, using current state measurements and control inputs, the controller calculates the optimal control actions to ensure the system's output closely follows a reference trajectory while respecting system constraints. A cost associated with these actions are calculated based on penalties in magnitudes of the input and errors between the desired and predicted behaviors. For the application here, the vehicle is to follow a desired lane change trajectory, which is generated using waypoints for the lateral position ( $y$ ) and yaw angle ( $\psi$ ), ensuring that the vehicle transitions from its initial position ( $y = 0$ ) to the target lane position ( $y = 3.7$ ) both with  $\psi = 0$ . For the simulation capability, control action is the (desired) EPS motor voltage, and the cost function is  $J = \sum_{k=1}^p [(w_y e_{y_k}^2) + (w_\psi e_{\psi_k}^2)] + \sum_{k=0}^c [(w_V V_k^2) + (w_{\Delta V} \Delta V_k^2)]$ , where  $e_{y_k}$  and  $e_{\psi_k}$  are the (predicted) position and yaw errors at time  $k$ ,  $p$  is the prediction horizon, and  $c$  is the control horizon. Hence, the first summation is the weighted sum of squares of the predicted errors of the lateral position  $y$  and yaw  $\psi$ . Furthermore, the second summation is the weighted sum of squares of motor voltage and its increments.

#### IV. FAULT MITIGATION STRATEGIES

In order to avoid sudden loss of steering explained in Section II, steering differential torque and rear steer will be utilized to reduce required steering effort from the EPS. We consider three strategies for fault mitigation:

- (i) Use differential torque in addition to EPS: The MPC controller is modified to calculate both the required EPS motor voltage and differential torque to complete a required maneuver. Consequently, the cost used in the MPC is modified as  $J = \sum_{k=1}^p [(w_y e_{y_k}^2) + (w_\psi e_{\psi_k}^2)] + \sum_{k=0}^c [(w_V V_k^2) + (w_{\Delta V} \Delta V_k^2) + (w_{DT} \tau_{DT}^2) + (w_{\Delta DT} \Delta \tau_{DT}^2)]$ , where weighted sum of squares of the differential torque,  $\tau_{DT}$ , and its increments are added to the cost. Moreover, to use the differential torque as a control

actuation, the vehicle model is modified as:

$$\begin{bmatrix} \dot{v} \\ \dot{r} \end{bmatrix} = \begin{bmatrix} -\left(\frac{C_{\alpha f} + C_{\alpha r}}{m u_0}\right) & -\left(\frac{a C_{\alpha f} - b C_{\alpha r}}{m u_0^2}\right) - 1 \\ -\left(\frac{a C_{\alpha f} - b C_{\alpha r}}{I_z u_0}\right) & -\left(\frac{a^2 C_{\alpha f} + b^2 C_{\alpha r}}{I_z u_0}\right) \end{bmatrix} \times \begin{bmatrix} v \\ r \end{bmatrix} + \begin{bmatrix} \frac{C_{\alpha f}}{a C_{\alpha f}} \\ \frac{m}{I_z} \end{bmatrix} \delta_f + \begin{bmatrix} 0 \\ \frac{1}{I_z} \end{bmatrix} \tau_{TD}.$$

- (ii) Use rear steer in addition to EPS: The MPC controller is modified to calculate both the required EPS motor voltage and rear steering angle to complete a required maneuver. Consequently, the cost used in the MPC is modified as  $J = \sum_{k=1}^p [(w_y e_{y_k}^2) + (w_\psi e_{\psi_k}^2)] + \sum_{k=0}^c [(w_V V_k^2) + (w_{\Delta V} \Delta V_k^2) + (w_{\delta r} \delta_r^2) + (w_{\Delta \delta r} \Delta \delta_r^2)]$ , where weighted sum of squares of the rear steering angle,  $\delta_r$ , and its increments are added to the cost. Moreover, to use rear steer as a control actuation, the vehicle model is modified as:

$$\begin{bmatrix} \dot{v} \\ \dot{r} \end{bmatrix} = \begin{bmatrix} -\left(\frac{C_{\alpha f} + C_{\alpha r}}{m u_0}\right) & -\left(\frac{a C_{\alpha f} - b C_{\alpha r}}{m u_0^2}\right) - 1 \\ -\left(\frac{a C_{\alpha f} - b C_{\alpha r}}{I_z u_0}\right) & -\left(\frac{a^2 C_{\alpha f} + b^2 C_{\alpha r}}{I_z u_0}\right) \end{bmatrix} \times \begin{bmatrix} v \\ r \end{bmatrix} + \begin{bmatrix} \frac{C_{\alpha f}}{a C_{\alpha f}} & \frac{C_{\alpha r}}{-b C_{\alpha r}} \\ \frac{m}{I_z} & \frac{m}{I_z} \end{bmatrix} \begin{bmatrix} \delta_f \\ \delta_r \end{bmatrix}.$$

- (iii) Use both differential torque and rear steer in addition to EPS: The MPC controller is modified to calculate required EPS motor voltage, differential torque, and rear steering angle to complete desired maneuvers. Hence, as in the above two strategies, the cost used in the MPC is similarly modified. Also as in the above two strategies, to use the differential torque as well as rear steer as control actuation, the vehicle model is similarly modified.

In the above strategies, we tacitly assumed that the sub-systems creating the differential torque and rear steering angle react fast enough so that their dynamics are not considered in this study. A sensitivity analysis on these actuator delays will be conducted in future work.

#### V. SIMULATION RESULTS

Simulations for the fault mitigation strategies in Section IV are conducted using the MATLAB/SIMULINK capability described in Section III. They are aimed at evaluating the effectiveness of the proposed strategies for mitigating loss of steering due to increased resistance,  $R_C$ , in the EPS power circuit. Each of the strategies is individually tested to determine its impact on completing the desired vehicle maneuver under the increased resistance. For comparison, it is assumed a vehicle is traveling at a constant velocity of  $u_0 = 15$  m/s and

following the desired lane change maneuver described in Section III-D. This scenario represents a typical real world mid-speed highway driving condition. The lane change maneuver is associated with the vehicle's lateral dynamics, providing a robust scenario to evaluate the control strategies. Furthermore, parameters of the vehicle and motor models used in the simulations are indicated in Table I, and weights used in the MPC cost function for the mitigation strategies are given in Table II. Perfor-

TABLE I  
PARAMETERS USED IN THE VEHICLE AND MOTOR MODELS.

Parameter	Value	Parameter	Value
$m$	1000 kg	$R_M$	3 m $\Omega$
$I_z$	1400 kgm <sup>2</sup>	$L_M$	1 mH
$l$	2.54 m	$K_t(K_e)$	0.5 Nm/A
$a$	1.14 m	$B_{eq}$	0.01 Nms/rad
$b$	1.4 m	$l_p$	0.03 m
$C_{\alpha f}$	1.37e5 N/rad	$G_r$	16.5
$C_{\alpha r}$	1.14e5 N/rad	$n$	20

TABLE II  
PARAMETERS USED FOR MPC

Scenario	$w_V$	$w_{DT}$	$w_{\delta_r}$	$w_\psi$	$w_y$	$w_{\Delta V}$	$w_{\Delta DT}$	$w_{\Delta \delta_r}$
Baseline	0	—	—	1	1	0.1	—	—
Strategy (i)	1	0.5	—	1	5	0.1	0.1	—
Strategy (ii)	0.1	—	1	1	1	0.1	—	0.1
Strategy (iii)	0.5	1	1	1	2	0.1	0.1	0.1

mance observed for the simulations are: ( $\alpha$ ) Trajectory following: the deviations of the lateral position  $y$  from the reference trajectory; ( $\beta$ ) Computed controller outputs for the strategy considered (e.g., motor voltage ( $V_M$ ), differential torque ( $\tau_{TD}$ ), and rear steer angle ( $\delta_r$ )); ( $\gamma$ ) Voltage at the EPS PEC,  $V_C$ : as discussed in Section II, due to the increased resistance in the EPS power circuit,  $V_C$  will drop as the vehicle is actively steering, and if  $V_C$  drops under a certain threshold, loss of steering may occur. Through these observations, the simulation results highlight the efficacy of each fault mitigation strategy.

#### A. Baseline simulations

The baseline simulations evaluate the voltage  $V_C$  with increased  $R_C$  during a lane change maneuver with no mitigation strategies. In particular, we consider  $R_C = 60, 100, 150$ , and  $200$  m $\Omega$ . Fig. 4 shows the resulting lane change maneuver, motor voltage ( $V_M$ ), and values of  $V_C$  for the various  $R_C$  considered. The following observations are made:

- (a) Trajectory following: The MPC controller is able to calculate  $V_M$  required for the lane change. The

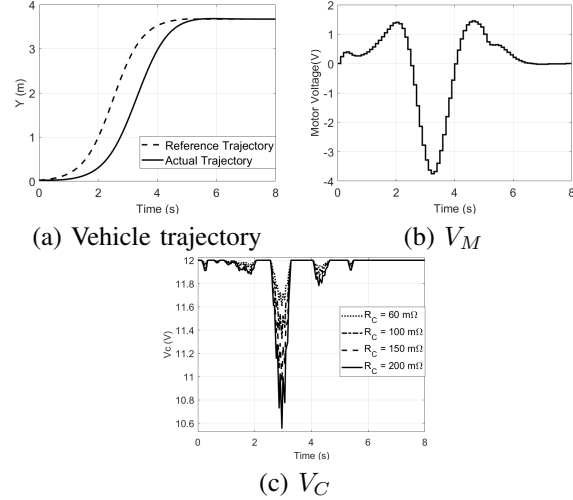


Fig. 4. Simulation results for the baseline simulations.

lateral position  $y$  follows the reference trajectory with reasonable error. The resulting trajectories are the same for the considered values of  $R_C$  since we assumed (in Section III-C) that the EPS PEC are converters with high chopping frequencies.

- (b) Voltage,  $V_C$ , at the EPS PEC: While the trajectory is followed accurately, the results of the simulation show a significant issue with  $V_C$ . For large values of  $R_C$ , the values of  $V_C$  experiences significant drops, increasing the possibility of loss of steering.

Results from the base simulations show the limitations of relying solely on front steering for an EPS system with increased  $R_C$ . While the MPC allow for trajectory following, the inability of the EPS power circuit to maintain sufficiently high  $V_C$  poses a significant risk of losing steering assist, especially in dynamic maneuvers.

#### B. Mitigation strategy (i) simulation

The differential torque,  $\tau_{DT}$ , is generated by adjusting the traction torque between the left and right wheels, provides additional yaw control, reducing the reliance on the EPS system to generate steering moments. Fig. 5 shows the resulting lane change maneuver, motor voltage ( $V_M$ ), calculated differential torque, and values of  $V_C$  for  $R_C = 200$  m $\Omega$ . The following observations are made:

- (a) Trajectory following: Similar to the baseline simulation, the MPC controller ensures that the vehicle closely tracks the reference trajectory. This highlights effectiveness of MPC in managing complex dynamics, coordinating steering redundancies.
- (b) Improved EPS voltage stability: Introduction of  $\tau_{TD}$  significantly reduces the effort of EPS motor. Consequently,  $V_C$  does not experience significant

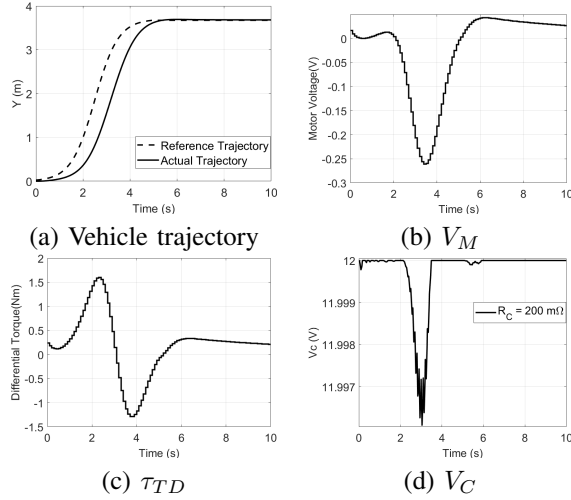


Fig. 5. Simulation results for mitigation strategy (i).

drops, unlike in the baseline simulation. This indicates that differential torque mitigates potential loss of steering caused by increased  $R_C$ .

Note the motor voltage and differential torque actuation take a long to converge to their nominal values. We envision that optimal selections of MPC parameters (e.g., weights in MPC cost functions) may alleviate this issue.

### C. Mitigation strategy (ii) simulation

Rear steer is used with EPS for completing the desired steering maneuver. Rear steer is achieved by adjusting the angle of the rear wheels to assist in generating the required yaw moment for steering. Fig. 6 shows the resulting lane change maneuver, motor voltage ( $V_M$ ), calculated rear steer angle, and the values of  $V_C$  for  $R_C = 200 \text{ m}\Omega$ . The following observations are made:

- Trajectory following:** As with the previous simulations, the control inputs calculated by the MPC controller ensures trajectory following. The vehicle follows the reference trajectory, maintaining reasonably small lateral position  $y$  errors during the lane change maneuver. This highlights the effectiveness of MPC in managing complex dynamics, coordinating the steering redundancies.
- Improved EPS voltage stability:** The introduction of rear steer significantly alleviates the load on the EPS motor by distributing the steering effort. Consequently,  $V_C$  does not experience a significant drop, unlike in the baseline simulation. This indicates that differential torque mitigates the potential loss of steering caused by increased  $R_C$ .

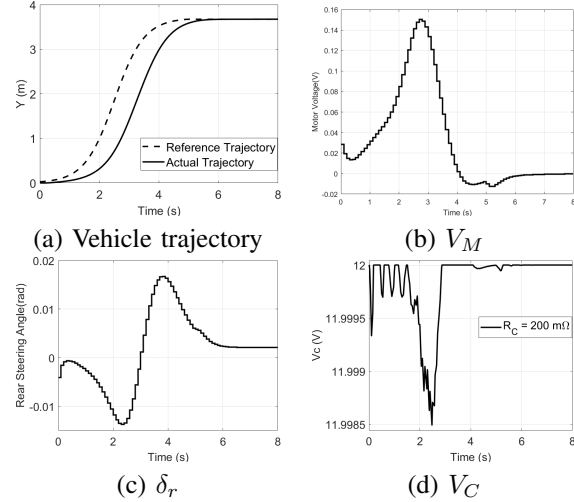


Fig. 6. Simulation results for mitigation strategy (ii).

### D. Mitigation strategy (iii) simulation

Both differential torque and rear steer are used with the EPS for steering. Fig. 7 shows the motor voltage ( $V_M$ ), differential torque, rear steer angle, and values of  $V_C$  for  $R_C = 200 \text{ m}\Omega$ . Note that since the resulting lane change maneuver is similar to those in Strategies (i) and (ii), it is omitted here in the interest of space. The following observations are made:

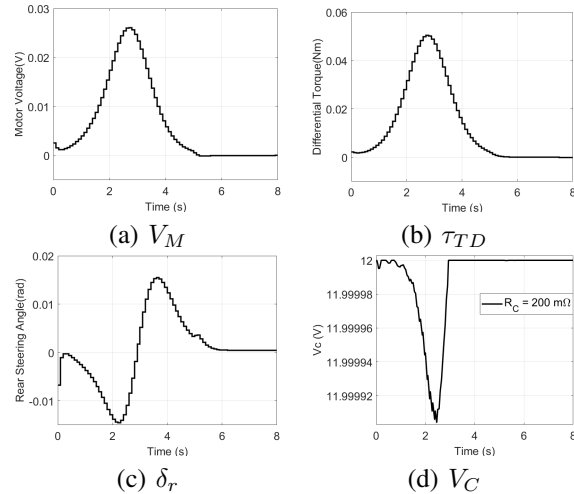


Fig. 7. Simulation results for mitigation strategy (iii).

- Trajectory following:** As with the previous simulations, the MPC controller ensures trajectory following. The vehicle follows the reference trajectory, maintaining reasonable lateral position ( $y$ ) errors during the lane change maneuver. This highlights the effectiveness of MPC in managing complex dynamics,

coordinating the steering redundancies.

- (b) Improved EPS voltage stability: The introduction of differential torque and rear steer significantly alleviates the load on the EPS motor by distributing the steering effort. As a result, the EPS PEC voltage does not experience a significant drop.

To highlight efficacy of the fault mitigation strategies, we observe that the minimum  $V_C$  for the baseline, Strategy (i), Strategy (ii), and Strategy (iii) simulations are 10.55, 11.99, 11.99, and 11.99 Volts, respectively. The proposed strategy can effectively mitigate potential loss of steering caused by increased  $R_C$ .

## VI. CONCLUSION AND FUTURE WORK

In this paper, fault mitigation for AVs with increased resistance in EPS power circuit is considered. In particular, potential loss of steering due to decreased voltage seen at the EPS PEC and reduced electrical power transfer capability can be avoided by using MPC to coordinate redundant lateral motion actuation such as EPS, steering differential torque, and rear steering. Specifically, while the vehicle can still satisfactorily complete desired lane change maneuvers, the EPS steering effort is reduced, keeping the voltage at the EPS PEC at a high level and avoiding total steering loss. Future work include:

- experimental verifications for developed methods;
- developing methods to automatically optimize MPC cost function weights based on EPS power circuit resistance level and desired trajectories;
- developing fault mitigation strategies for multiple actuation failures and other vehicle subsystems.

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