# **Universal Groups Acting Locally**

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### **Abstract**

UGALY (Universal Groups Acting LocallY) is a GAP package that provides methods to create, analyse and find local actions of generalised universal groups acting on locally finite regular trees, following Burger-Mozes and Tornier.

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UGALY is free software; you can redistribute it and/or modify it under the terms of the GNU General Public License as published by the Free Software Foundation; either version 3 of the License, or (at your option) any later version.

### Acknowledgements

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# **Chapter 1**

# Introduction

Let  $\Omega$  be a set of cardinality  $d \in \mathbb{N}_{\geq 3}$  and let  $T_d = (V, E)$  be the d-regular tree. We follow Serre's graph theory notation [Ser80]. Given a subgroup H of the automorphism group  $\operatorname{Aut}(T_d)$  of  $T_d$ , and a vertex  $x \in V$ , the stabilizer  $H_x$  of x in H induces a permutation group on the set  $E(x) := \{e \in E \mid o(e) = x\}$  of edges issuing from x. We say that H is locally "P" if for every  $x \in V$  said permutation group satisfies the property "P", e.g. being transitive, semiprimitive, quasiprimitive or 2-transitive.

In [BM00], Burger-Mozes develop a remarkable structure theory of closed, non-discrete, locally quasiprimitive subgroups of  $\operatorname{Aut}(T_d)$ , which resembles the theory of semisimple Lie groups. They complement this structure theory with a particularly accessible class of subgroups of  $\operatorname{Aut}(T_d)$  with prescribed local action: Given  $F \leq \operatorname{Sym}(\Omega)$ , their universal group  $\operatorname{U}(F) \leq \operatorname{Aut}(T_d)$  is closed, compactly generated, vertex-transitive and locally permutation isomorphic to F. It is discrete if and only if F is semiregular. When F is transitive,  $\operatorname{U}(F)$  is maximal up to conjugation among vertex-transitive subgroups of  $\operatorname{Aut}(T_d)$  that are locally permutation isomorphic to F, hence universal.

This construction was generalized by the second author in [Tor20]: In the spirit of k-closures of groups acting on trees developed in [BEW15], we generalize the universal group construction by prescribing the local action on balls of a given radius  $k \in \mathbb{N}$ , the Burger-Mozes construction corresponding to the case k=1. Fix a tree  $B_{d,k}$  which is isomorphic to a ball of radius k in the labelled tree  $T_d$  and let  $I_x^k: B(x,k) \to B_{d,k}$  ( $x \in V$ ) be the unique label-respecting isomorphism. Then

$$\sigma_k : \operatorname{Aut}(T_d) \times V \to \operatorname{Aut}(B_{d,k}), \ (g,x) \to l_{gx}^k \circ g \circ (l_x^k)^{-1}$$

captures the *k*-local action of g at the vertex  $x \in V$ .

With this we can make the following definition: Let  $F \leq \operatorname{Aut}(B_{d,k})$ . Define

$$U_k(F) := \{ g \in Aut(T_d) \mid \forall x \in V : \sigma_k(g, x) \in F \}.$$

While  $U_k(F)$  is always closed, vertex-transitive and compactly generated, other properties of U(F) do *not* carry over. Foremost, the group  $U_k(F)$  need not be locally action isomorphic to F and we say that  $F \leq \operatorname{Aut}(B_{d,k})$  satisfies condition (C) if it is. This can be viewed as an interchangeability condition on neighbouring local actions, see Section 3.1. There is also a discreteness condition (D) on  $F \leq \operatorname{Aut}(B_{d,k})$  in terms of certain stabilizers in F under which  $U_k(F)$  is discrete, see Section 5.1.

UGALY provides methods to create, analyse and find local actions  $F \leq \operatorname{Aut}(B_{d,k})$  that satisfy condition (C) and/or (D), including the constructions  $\Gamma$ ,  $\Delta$ ,  $\Phi$ ,  $\Sigma$ , and  $\Pi$  developed in [Tor20]. This package was developed within the Zero-Dimensional Symmetry Research Group in the School of Mathematical and Physical Sciences at The University of Newcastle as part of a project course taken by the first author, supervised by the second author.

### 1.1 Purpose

Note: many of the examples in this manual access random elements of various domains via Random(). To ensure reproducibility and testability we initialize the random source mt below each time.

```
gap> mt:=RandomSource(IsMersenneTwister,1);
<RandomSource in IsMersenneTwister>
```

UGALY serves both a research and an educational purpose. It consolidates a rudimentary codebase that was developed by the second author in the course of research undertaken towards the article [Tor20]. This codebase had been tremendously beneficial in achieving the results of [Tor20] in the first place and so there has always been a desire to make it available to a wider audience.

From a research perspective, UGALY introduces computational methods to the world of locally compact groups. Due to the Cayley-Abels graph construction [KM08], groups acting on trees form a particularly significant class of totally disconnected locally compact groups. Burger-Mozes universal groups [BM00] and their generalisations  $U_k(F)$ , where  $F \leq \operatorname{Aut}(B_{d,k})$  satisfies the compatibility condition (C), are among the most accessible of these groups and form a significant subclass: in fact, due to [Tor20, Corollary 4.32], the locally transitive, generalised universal groups are exactly the closed, locally transitive subgroups of  $\operatorname{Aut}(T_d)$  that contain an inversion of order 2 and satisfy one of the independence properties  $(P_k)$  (see [BEW15]) that generalise Tits' independence property (P), see [Tit70]. Subgroups of  $\operatorname{Aut}(B_{d,k})$  are treated as objects of the category IsLocalAction (2.1.1) to the effect that they remember the degree d the radius k of the tree  $B_{d,k}$  that they act on as a permutation group on its  $d \cdot (d-1)^{k-1}$  leaves. For example, the automorphism group of  $B_{3,2}$  can be accessed as follows.

```
gap> F:=AutBall(3,2);
Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])
gap> IsLocalAction(F);
true
gap> LocalActionDegree(F);
3
gap> LocalActionRadius(F);
2
```

In general, a subgroup F of the permutation group  $\operatorname{Aut}(B_{d,k})$  can be turned into an object of the category IsLocalAction (2.1.1) by calling the creator operation LocalAction (2.1.2) with the degree d, the radius k and the permutation group F itself. For example, the subgroup  $A_3 \leq \operatorname{Aut}(B_{3,1}) \cong S_3$  can be generated as follows.

```
gap> A3:=LocalAction(3,1,AlternatingGroup(3));
Alt([1..3])
gap> IsLocalAction(A3);
true
gap> LocalActionDegree(A3);
3
gap> LocalActionRadius(A3);
1
```

UGALY provides the means to generate a library of all generalised universal groups  $U_k(F)$  in terms of their k-local action  $F \leq \operatorname{Aut}(B_{d,k})$  satisfying the compatibility condition (C). We envision to add such a library in a future version of this package. In the case k=1 of classical Burger-Mozes groups, the compatibility condition (C) is void and so the library would coincide with the library of finite transitive permutation groups TransGrp. For example, in the case (d,k)=(3,1) there are only two local actions, corresponding to the two transitive permutation groups of degree 3, namely  $A_3$  and  $S_3$ .

```
gap> A3:=LocalAction(3,1,TransitiveGroup(3,1));
A3
gap> S3:=LocalAction(3,1,TransitiveGroup(3,2));
S3
```

To create this library for the case (d,k)=(3,2) we organise the subgroups  $F \leq \operatorname{Aut}(B_{3,2})$  that satisfy the compatibility condition (C) according to which subgroup of  $\operatorname{Aut}(B_{3,1})$  they project to under the natural projection  $\operatorname{Aut}(B_{3,2}) \to \operatorname{Aut}(B_{3,1})$  that restricts automorphisms to  $B_{3,1} \subseteq B_{3,2}$ . In other words, we organise the subgroups  $F \leq \operatorname{Aut}(B_{3,2})$  satisfying (C) according to  $\sigma_1(F,b) \leq \operatorname{Aut}(B_{3,1})$ . Using ConjugacyClassRepsCompatibleGroupsWithProjection (3.3.5), the following code illustrates that there is one conjugacy class of groups that projects to  $A_3$  whereas five project to  $S_3$ .

```
Example

gap> A3_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,A3);

[ Group([ (1,4,5)(2,3,6) ]) ]

gap> S3_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,S3);

[ Group([ (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),

    Group([ (1,2)(3,4)(5,6), (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5,4,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5)(4,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (5,6), (3,5,4,6) ]) ]
```

All of these groups have been identified to stem from general constructions of groups  $\widetilde{F} \leq \operatorname{Aut}(B_{d,2})$  satisfying (C) from a given group  $F \leq \operatorname{Aut}(B_{d,1})$ , much like some finite transitive groups have been organised into families. Specifically, the constructions  $\Gamma(F)$ ,  $\Delta(F)$ ,  $\Pi(F,\rho,X)$  and  $\Phi(F)$  introduced in the article [Tor20, Section 3.4] can be accessed via the UGALY functions LocalActionGamma (4.1.2), LocalActionDelta (4.1.3), LocalActionPi (4.4.4) and LocalActionPhi (4.2.1) respectively, see Chapter 4. Below, we use these functions to identify all six groups of the previous output.

```
gap> LocalActionPhi(A3)=A3_extn[1];
true
gap> LocalActionGamma(3,S3)=S3_extn[1];
true
gap> LocalActionDelta(3,S3)=S3_extn[2];
false
gap> IsConjugate(AutBall(3,2),LocalActionDelta(3,S3),S3_extn[2]);
true
gap> rho:=SignHomomorphism(S3);;
gap> LocalActionPi(2,3,S3,rho,[0,1])=S3_extn[3];
true
gap> LocalActionPi(2,3,S3,rho,[1])=S3_extn[4];
true
gap> LocalActionPhi(S3)=S3_extn[5];
true
```

UGALY may also be a useful tool in the context of the Weiss conjecture [Wei78], which in particular states that there are only finitely many conjugacy classes of discrete, vertex-transitive and locally primitive subgroup of  $\operatorname{Aut}(T_d)$ . When such a group contains an inversion of order 2, it can be written as a universal group  $\operatorname{U}_k(F)$ , where  $F \leq \operatorname{Aut}(B_{d,k})$  satisfies both the compatibility condition (C) and the discreteness condition (D), due to [Tor20, Corollary 4.38]. Therefore, UGALY can be used to construct explicit examples of groups relevant to the Weiss conjecture. Their structure as well as patterns in their appearance may provide more insight into the conjecture and suggest directions of research. At the very least, UGALY provides lower bounds on their numbers. For example, consider the case d=4. There are exactly two primitive groups of degree 4, namely  $A_4$  and  $S_4$ , which we readily turn into objects of the category IsLocalAction (2.1.1).

```
gap> NrPrimitiveGroups(4);
2
gap> A4:=LocalAction(4,1,PrimitiveGroup(4,1));;
gap> S4:=LocalAction(4,1,PrimitiveGroup(4,2));;
```

Next, we proceed as before to determine how many conjugacy classes of subgroups of  $Aut(B_{4,2})$  with (C) there are that project onto  $A_4$  and  $S_4$  respectively. We then filter the output for subgroups that, in addition, satisfy the discreteness condition (D), see SatisfiesD (5.2.1).

```
gap> A4_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,A4);;
gap> Size(A4_extn); Size(Filtered(A4_extn,SatisfiesD));
5
2
gap> S4_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,S4);;
gap> Size(S4_extn); Size(Filtered(S4_extn,SatisfiesD));
13
3
```

For  $A_4$  there are two, and for  $S_4$  there are three. We conclude that there are at least 5 = 2 + 3 conjugacy classes of discrete, vertex-transitive and locally primitive subgroups of  $Aut(T_4)$ . More examples, and hence a better lower bound, can be obtained by increasing k.

Every subgroup  $F \leq \operatorname{Aut}(B_{d,k})$  which satisfies both (C) and (D) admits an involutive compatibility cocycle (see [Tor20, Section 3.2.2]), i.e. a map  $z: F \times \{1, \ldots, d\} \to F$  which satisfies certain properties reflecting the discreteness of the group  $\operatorname{U}_k(F)$ . It is intriguing that some groups  $F \leq \operatorname{Aut}(B_{d,k})$  with (C) and (D) stem from groups  $F' \leq \operatorname{Aut}(B_{d,k-1})$  that satisfy (C), admit an involutive compatibility cocycle z but do not satisfy (D), in the sense of the construction  $F = \Gamma_z(F')$  (see [Tor20, Proposition 3.26]), whereas others do not. For example, in the case d=3, five of the seven conjugacy classes of discrete, vertex-transitive and locally primitive subgroups of  $\operatorname{Aut}(T_3)$  come from generalised universal groups. Of these five, three arise from groups F' as above while the remaining two do not, see [Tor20, Example 4.39]. The three groups are  $\Gamma(A_3)$  and  $\Gamma(S_3)$  and  $\Gamma_z(\Pi(S_3,\operatorname{sgn},\{1\}))$ . The code example below verifies that  $\Pi(S_3,\operatorname{sgn},\{1\}) \leq \operatorname{Aut}(B_{3,2})$  indeed satisfies (C), does not satisfy (D) but admits an involutive compatibility cocycle z, which can be obtained using InvolutiveCompatibilityCocycle (5.3.1).

```
gap> S3:=SymmetricGroup(3);;
gap> rho:=SignHomomorphism(S3);;
gap> H:=LocalActionPi(2,3,S3,rho,[1]);;
gap> [SatisfiesC(H), SatisfiesD(H), not InvolutiveCompatibilityCocycle(H)=fail];
[ true, false, true ]
```

We then find that there are four conjugacy classes of subgroups of  $Aut(B_{3,3})$  that satisfy (C) and project onto  $\Pi(S_3, \operatorname{sgn}, \{1\})$  under the natural projection map  $Aut(B_{3,3}) \to Aut(B_{3,2})$ . Of these four groups, two also satisy (D) and one is conjugate to  $\Gamma_z(\Pi(S_3, \operatorname{sgn}, \{1\}))$ , which we construct using LocalActionGamma (4.1.2).

```
gap> grps:=ConjugacyClassRepsCompatibleGroupsWithProjection(3,H);; Size(grps);
4
gap> Size(Filtered(grps,SatisfiesD));
2
gap> z:=InvolutiveCompatibilityCocycle(H);;
gap> Size(Intersection(LocalActionGamma(H,z)^AutBall(3,3),grps));
1
```

The number of different (involutive) compatibility cocycles that a group  $F \leq \operatorname{Aut}(B_{d,k})$  may admit is also mysterious, including in the case k=1. For example, consider the case (d,k)=(4,1). We compute the set of all involutive compatibility cocycles of a local action using the function AllInvolutiveCompatibilityCocycles (5.3.2):

```
gap> grps:=AllTransitiveGroups(NrMovedPoints,4);
[ C(4) = 4, E(4) = 2[x]2, D(4), A4, S4 ]
gap> Apply(grps,H->Size(AllInvolutiveCompatibilityCocycles(LocalAction(4,1,H))));;
gap> grps;
[ 1, 1, 8, 28, 256 ]
```

From an educational point of view, we envision that UGALY could be used to enhance the learning experience of students in the area of groups acting on trees. The class of generalised universal groups forms an ideal framework for this purpose. For example, to internalise the widely used concept of local actions it may be helpful to take a 2-local action in the form of an automorphism of  $B_{3,2}$ , decompose it into its 1-local actions, and recover the original autmorphism from them: in the example below, we start with a random automorphism aut of  $B_{3,2}$ . We then compute its 1-local actions at the center vertex, represented by the address [], as well as its neighbours [1], [2] and [3] using LocalAction (2.1.6). Finally, we recover aut from the 1-local actions at the center's neighbours using AssembleAutomorphism (3.2.4), which only requires the local actions at the center's neighbours.

```
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> aut:=Random(mt,AutBall(3,2));
(1,4,5,2,3,6)
gap> aut_center:=LocalAction(1,3,2,aut,[]);
(1,2,3)
gap> aut_1:=LocalAction(1,3,2,aut,[1]);
(1,2,3)
gap> aut_2:=LocalAction(1,3,2,aut,[2]);
(1,2,3)
gap> aut_3:=LocalAction(1,3,2,aut,[3]);
(1,3)
gap> AssembleAutomorphism(3,1,[aut_1,aut_2,aut_3]);
(1,4,5,2,3,6)
```

The computationally inclined student may also benefit from verifying existing theorems using UGALY. For example, one way to phrase a part of Tutte's work [Tut47] [Tut59] is to say that there

are only three conjugacy classes of discrete, locally transitive subgroups of  $Aut(T_3)$  that contain an inversion of order 2 and are  $P_2$ -closed. Due to [Tor20, Corollary 4.38], this can be verified by checking that among all locally transitive subgroups of  $Aut(B_{3,2})$  which satisfy the compatibility condition (C), only three also satisfy the discreteness condition (D). In the code example below, we start this task by turning the two transitive groups of degree 3, namely  $A_3$  and  $S_3$ , into objects of the category IsLocalAction (2.1.1). For each of them we proceed to compute the list of subgroups of  $Aut(B_{3,2})$  that satisfy (C) and project onto the respective group as before. Now we merely have to go through these lists and check whether or not condition (D) is satisfied. Indeed we find exactly three groups.

```
gap> A3:=LocalAction(3,1,TransitiveGroup(3,1));;
gap> S3:=LocalAction(3,1,TransitiveGroup(3,2));;
gap> A3_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,A3);
[ Group([ (1,4,5)(2,3,6) ]) ]
gap> S3_extn:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,S3);
[ Group([ (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),
    Group([ (1,2)(3,4)(5,6), (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),
    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5,4,6) ]),
    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5)(4,6) ]),
    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (5,6), (3,5,4,6) ]) ]
gap> Apply(A3_extn,SatisfiesD); A3_extn;
[ true ]
gap> Apply(S3_extn,SatisfiesD); S3_extn;
[ true, true, false, false, false ]
```

It may also be instructive to generate involutive compatibility cocycles computationally and check parts of the axioms manually. In the example below, we first generate the group  $\Pi(S_3, \operatorname{sgn}, \{1\}) \leq \operatorname{Aut}(B_{3,2})$ , which we know admits an involutive compatibility cocycle from before. We then check that z is indeed involutive on a random element  $a \in \Pi(S_3, \operatorname{sgn}, \{1\})$  in direction 1 by checking that z(z(a,1),1)=a.

```
gap> S3:=SymmetricGroup(3);;
gap> rho:=SignHomomorphism(S3);;
gap> H:=LocalActionPi(2,3,S3,rho,[1]);;
gap> z:=InvolutiveCompatibilityCocycle(H);;
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> a:=Random(mt,H); Image(z,[Image(z,[a,1]),1]);
(1,5,3)(2,6,4)
(1,5,3)(2,6,4)
```

# Chapter 2

# **Preliminaries**

We recall the following notation from the Introduction which is essential throughout this manual, cf. [Tor20]. Let  $\Omega$  be a set of cardinality  $d \in \mathbb{N}_{\geq 3}$  and let  $T_d = (V, E)$  denote the d-regular tree, following the graph theory notation in [Ser80]. A *labelling l* of  $T_d$  is a map  $l: E \to \Omega$  such that for every  $x \in V$  the restriction  $l_x: E(x) \to \Omega$ ,  $e \mapsto l(e)$  is a bijection, and  $l(e) = l(\overline{e})$  for all  $e \in E$ . For every  $k \in \mathbb{N}$ , fix a tree  $B_{d,k}$  which is isomorphic to a ball of radius k around a vertex in  $T_d$  and carry over the labelling of  $T_d$  to  $B_{d,k}$  via the chosen isomorphism. We denote the center of  $B_{d,k}$  by b.

For every  $x \in V$  there is a unique, label-respecting isomorphism  $l_x^k : B(x,k) \to B_{d,k}$ . We define the *k-local action*  $\sigma_k(g,x) \in \operatorname{Aut}(B_{d,k})$  of an automorphism  $g \in \operatorname{Aut}(T_d)$  at a vertex  $x \in V$  via the map

$$\sigma_k: \operatorname{Aut}(T_d) \times V \to \operatorname{Aut}(B_{d,k}), \sigma_k(g,x) \mapsto \sigma_k(g,x) := l_{gx}^k \circ g \circ (l_x^k)^{-1}.$$

#### 2.1 Local actions

In this package, local actions  $F \leq \operatorname{Aut}(B_{d,k})$  are handled as objects of the category IsLocalAction (2.1.1) and have several attributes and properties introduced throughout this manual. Most importantly, a local action always stores the degree d and the radius k of the ball  $B_{d,k}$  that it acts on.

#### **2.1.1** IsLocalAction (for IsPermGroup)

```
\triangleright IsLocalAction(F) (filter)
```

**Returns:** true if *F* is an object of the category IsLocalAction, and false otherwise.

Local actions  $F \leq \operatorname{Aut}(B_{d,k})$  are stored together with their degree (see LocalActionDegree (2.1.4)), radius (see LocalActionRadius (2.1.5)) as well as other attributes and properties in this category. They can be initialised using the creator operation LocalAction (2.1.2).

```
Example

gap> G:=WreathProduct(SymmetricGroup(2),SymmetricGroup(3));

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])

gap> IsLocalAction(G);

false

gap> H:=AutBall(3,2);

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])

gap> IsLocalAction(H);

true

gap> K:=LocalAction(3,2,G);

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])
```

```
gap> IsLocalAction(K);
true
```

### 2.1.2 LocalAction (for IsInt, IsInt, IsPermGroup)

```
\triangleright LocalAction(d, k, F)
```

(operation)

**Returns:** the regular rooted tree group G as an object of the category IsLocalAction (2.1.1), checking that F is indeed a subgroup of  $Aut(B_{d,k})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$ , a radius  $k \in \mathbb{N}_0$  and a group  $F \leq \operatorname{Aut}(B_{d,k})$ .

```
Example

gap> G:=WreathProduct(SymmetricGroup(2),SymmetricGroup(3));

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])

gap> IsLocalAction(G);

false

gap> G:=LocalAction(3,2,G);

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])

gap> IsLocalAction(G);

true
```

#### 2.1.3 LocalActionNC (for IsInt, IsInt, IsPermGroup)

 $\triangleright$  LocalActionNC(d, k, F)

(operation)

**Returns:** the regular rooted tree group G as an object of the category IsLocalAction (2.1.1), without checking that F is indeed a subgroup of  $Aut(B_{d,k})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$ , a radius  $k \in \mathbb{N}_0$  and a group  $F \leq \operatorname{Aut}(B_{d,k})$ .

#### 2.1.4 LocalActionDegree (for IsLocalAction)

▷ LocalActionDegree(F)

(attribute)

**Returns:** the degree d of the ball  $B_{d,k}$  that F is acting on.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
gap> A4:=LocalAction(4,1,AlternatingGroup(4));
Alt([1 .. 4])
gap> F:=LocalActionPhi(3,A4);
<permutation group with 18 generators>
gap> LocalActionDegree(F);
4
```

#### 2.1.5 LocalActionRadius (for IsLocalAction)

▷ LocalActionRadius(F)

(attribute)

**Returns:** the radius k of the ball  $B_{d,k}$  that F is acting on.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
gap> A4:=LocalAction(4,1,AlternatingGroup(4));
Alt([1..4])
gap> F:=LocalActionPhi(3,A4);
```

```
<permutation group with 18 generators>
gap> LocalActionRadius(F);
3
```

#### 2.1.6 LocalAction (for r, d, k, aut, addr)

```
▷ LocalAction(r, d, k, aut, addr)
```

(operation)

**Returns:** the r-local action  $\sigma_r(aut,addr)$  of the automorphism aut of  $B_{d,k}$  at the vertex represented by the address addr.

The arguments of this method are a radius r, a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , an automorphism aut of  $B_{d,k}$ , and an address addr.

```
Example

gap> a:=(1,3,5)(2,4,6);; a in AutBall(3,2);

true

gap> LocalAction(2,3,2,a,[]);
(1,3,5)(2,4,6)

gap> LocalAction(1,3,2,a,[]);
(1,2,3)

gap> LocalAction(1,3,2,a,[1]);
(1,2)
```

```
Example

gap> mt:=RandomSource(IsMersenneTwister,1);;

gap> b:=Random(mt,AutBall(3,4));

(1,18,11,5,23,14,4,20,10,7,22,16)(2,17,12,6,24,13,3,19,9,8,21,15)

gap> LocalAction(2,3,4,b,[3,1]);

(1,2)(3,6,4,5)

gap> LocalAction(3,3,4,b,[3,1]);

Error, the sum of input argument r=3 and the length of input argument addr=[3,1] must not exceed input argument k=4
```

#### 2.1.7 Projection (for F, r)

▷ Projection(F, r)

(operation)

**Returns:** the restriction of the projection map  $Aut(B_{d,k}) \to Aut(B_{d,r})$  to F.

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$ , and a projection radius  $r \leq k$ .

#### 2.1.8 ImageOfProjection

```
\triangleright \text{ ImageOfProjection}(F, r)  (function)
```

**Returns:** the local action  $\sigma_r(F,b) \leq \operatorname{Aut}(B_{d,r})$ .

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$ , and a projection radius  $r \leq k$ . This method uses LocalAction (2.1.6) on generators rather than Projection (2.1.7) on the group to compute the image.

```
Example

gap> AutBall(3,2);

Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])

gap> ImageOfProjection(AutBall(3,2),1);

Group([ (), (), (), (1,2,3), (1,2) ])
```

#### 2.2 Finite balls

The automorphism groups of the finite labelled balls  $B_{d,k}$  lie at the center of this package. The method AutBall (2.2.1) produces these automorphism groups as iterated wreath products. The result is a permutation group on the set of leaves of  $B_{d,k}$ .

#### 2.2.1 AutBall

```
\triangleright AutBall(d, k) (function)
```

**Returns:** the local action  $\operatorname{Aut}(B_{d,k})$  as a permutation group of the  $d \cdot (d-1)^{k-1}$  leaves of  $B_{d,k}$ . The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$  and a radius  $k \in \mathbb{N}_0$ .

```
gap> G:=AutBall(3,2);
Group([ (1,2), (3,4), (5,6), (1,3,5)(2,4,6), (1,3)(2,4) ])
gap> Size(G);
48
```

#### 2.3 Addresses and leaves

The vertices at distance n from the center b of  $B_{d,k}$  are addressed as elements of the set

```
\Omega^{(n)} := \{(\omega_1, \ldots, \omega_n) \in \Omega^n \mid \forall l \in \{1, \ldots, n-1\} : \omega_l \neq \omega_{l+1}\},\
```

i.e. as lists of length n of elements from [1..d] such that no two consecutive entries are equal. They are ordered according to the lexicographic order on  $\Omega^{(n)}$ . The center b itself is addressed by the empty list []. Note that the leaves of  $B_{d,k}$  correspond to elements of  $\Omega^{(k)}$ .

#### 2.3.1 BallAddresses

```
\triangleright BallAddresses(d, k) (function
```

**Returns:** a list of all addresses of vertices in  $B_{d,k}$  in ascending order with respect to length, lexicographically ordered within each level. See AddressOfLeaf (2.3.3) and LeafOfAddress (2.3.4) for the correspondence between the leaves of  $B_{d,k}$  and addresses of length k.

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$  and a radius  $k \in \mathbb{N}_0$ .

```
Example

gap> BallAddresses(3,1);

[[],[1],[2],[3]]

gap> BallAddresses(3,2);

[[],[1],[2],[3],[1,2],[1,3],[2,1],[2,3],

[3,1],[3,2]]
```

#### 2.3.2 LeafAddresses

▷ LeafAddresses(d, k)

(function)

**Returns:** a list of addresses of the leaves of  $B_{d,k}$  in lexicographic order.

The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$  and a radius  $k \in \mathbb{N}_0$ .

```
gap> LeafAddresses(3,2);
[[1,2],[1,3],[2,1],[2,3],[3,1],[3,2]]
```

#### 2.3.3 AddressOfLeaf

▷ AddressOfLeaf(d, k, lf)

(function)

**Returns:** the address of the leaf 1f of  $B_{d,k}$  with respect to the lexicographic order.

The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$ , a radius  $k \in \mathbb{N}$ , and a leaf 1f of  $B_{d,k}$ .

```
gap> AddressOfLeaf(3,2,1);
[ 1, 2 ]
gap> AddressOfLeaf(3,3,1);
[ 1, 2, 1 ]
```

#### 2.3.4 LeafOfAddress

▷ LeafOfAddress(d, k, addr)

(function)

**Returns:** the smallest leaf (integer) whose address has addr as a prefix.

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , and an address addr.

```
gap> LeafOfAddress(3,2,[1,2]);
1
gap> LeafOfAddress(3,2,[3]);
5
gap> LeafOfAddress(3,2,[]);
1
```

#### 2.3.5 ImageAddress

 $\triangleright$  ImageAddress(d, k, aut, addr)

(function)

**Returns:** the address of the image of the vertex represented by the address addr under the automorphism aut of  $B_{d,k}$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , an automorphism aut of  $B_{d,k}$ , and an address addr.

```
gap> ImageAddress(3,2,(1,2),[1,2]);
[ 1, 3 ]
gap> ImageAddress(3,2,(1,2),[1]);
[ 1 ]
```

### 2.3.6 ComposeAddresses

▷ ComposeAddresses(addr1, addr2)

(function)

**Returns:** the concatenation of the addresses addr1 and addr2 with reduction as per [Tor20, Section 3.2].

The arguments of this method are two addresses addr1 and addr2.

```
gap> ComposeAddresses([1,3],[2,1]);
[ 1, 3, 2, 1 ]
gap> ComposeAddresses([1,3,2],[2,1]);
[ 1, 3, 1 ]
```

# Chapter 3

# **Compatibility**

### **3.1** The compatibility condition (C)

A subgroup  $F \leq \operatorname{Aut}(B_{d,k})$  satisfies the compatibility condition (C) if and only if  $U_k(F)$  is locally action isomorphic to F, see [Tor20, Proposition 3.8]. The term *compatibility* comes from the following translation of this condition into properties of the (k-1)-local actions of elements of F: The group F satisfies (C) if and only if

```
\forall \alpha \in F \ \forall \omega \in \Omega \ \exists \beta \in F : \ \sigma_{k-1}(\alpha, b) = \sigma_{k-1}(\beta, b_{\omega}), \ \sigma_{k-1}(\alpha, b_{\omega}) = \sigma_{k-1}(\beta, b).
```

## 3.2 Compatible elements

This section is concerned with testing compatibility of two given elements (see AreCompatibleBallElements (3.2.1)) and finding an/all elements that is/are compatible with a given one (see CompatibleBallElement (3.2.2), CompatibilitySet (3.2.3)).

#### 3.2.1 AreCompatibleBallElements

```
\triangleright AreCompatibleBallElements(d, k, aut1, aut2, dir) (function)
```

**Returns:** true if aut1 and aut2 are compatible with each other in direction dir, and false otherwise.

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , two automorphisms aut1, aut2  $\in$  Aut( $B_{d,k}$ ), and a direction  $dir \in [1..d]$ .

```
gap> AreCompatibleBallElements(3,1,(1,2),(1,2,3),1);
true
gap> AreCompatibleBallElements(3,1,(1,2),(1,2,3),2);
false
```

```
gap> a:=(1,3,5)(2,4,6);; a in AutBall(3,2);
true
gap> LocalAction(1,3,2,a,[]); LocalAction(1,3,2,a,[1]);
(1,2,3)
```

```
(1,2)
gap> b:=(1,4)(2,3);; b in AutBall(3,2);
true
gap> LocalAction(1,3,2,b,[]); LocalAction(1,3,2,b,[1]);
(1,2)
(1,2,3)
gap> AreCompatibleBallElements(3,2,a,b,1);
true
gap> AreCompatibleBallElements(3,2,a,b,3);
false
```

#### 3.2.2 CompatibleBallElement

```
▷ CompatibleBallElement(F, aut, dir)
```

(function)

**Returns:** an element of F that is compatible with aut in direction dir if one exists, and fail otherwise.

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$ , an element  $\operatorname{aut} \in F$ , and a direction  $\operatorname{dir} \in [1..d]$ .

```
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> a:=Random(mt,AutBall(5,1)); dir:=Random(mt,[1..5]);
(1,2,5,4,3)
4
gap> CompatibleBallElement(AutBall(5,1),a,dir);
(1,2,5,4,3)
```

```
Example

gap> a:=(1,3,5)(2,4,6);; a in AutBall(3,2);

true

gap> CompatibleBallElement(AutBall(3,2),a,1);

(1,4,2,3)
```

#### 3.2.3 CompatibilitySet

```
ho CompatibilitySet(F, aut, dir) (operation) 
ho CompatibilitySet(F, aut, dirs) (operation)
```

#### for the arguments F, aut, dir

Returns: the list of elements of F that are compatible with aut in direction dir.

The arguments of this method are a local action F of  $\leq \operatorname{Aut}(B_{d,k})$ , an automorphism  $\operatorname{aut} \in F$ , and a direction  $\operatorname{dir} \in [1..d]$ .

#### for the arguments F, aut, dirs

Returns: the list of elements of F that are compatible with aut in all directions of dirs.

The arguments of this method are a local action F of  $\leq \operatorname{Aut}(B_{d,k})$ , an automorphism  $\operatorname{aut} \in F$ , and a sublist of directions  $\operatorname{dirs} \subseteq [1..d]$ .

```
gap> F:=LocalAction(4,1,TransitiveGroup(4,3));
D(4)
gap> G:=LocalAction(4,1,SymmetricGroup(4));
Sym( [ 1 .. 4 ] )
gap> aut:=(1,3);; aut in F;
true
gap> CompatibilitySet(G,aut,1);
RightCoset(Sym( [ 2 .. 4 ] ),(1,3))
gap> CompatibilitySet(F,aut,1);
RightCoset(Group([ (2,4) ]),(1,3))
gap> CompatibilitySet(F,aut,[1,3]);
RightCoset(Group([ (2,4) ]),(1,3))
gap> CompatibilitySet(F,aut,[1,2]);
RightCoset(Group(()),(1,3))
```

#### 3.2.4 AssembleAutomorphism

▷ AssembleAutomorphism(d, k, auts)

(function)

**Returns:** the automorphism  $(\operatorname{auts}[\mathtt{i}])_{i=1}^d)$  of  $B_{d,k+1}$ , where aut is implicit in  $(\operatorname{auts}[\mathtt{i}])_{i=1}^d$ . The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , and a list auts of d automorphisms  $(\operatorname{auts}[\mathtt{i}])_{i=1}^d$  of  $B_{d,k}$  which comes from an element  $(\operatorname{aut}(\operatorname{auts}[\mathtt{i}])_{i=1}^d)$  of  $\operatorname{Aut}(B_{d,k+1})$ .

```
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> aut:=Random(mt,AutBall(3,2));
(1,4,5,2,3,6)
gap> auts:=[];;
gap> for i in [1..3] do auts[i]:=CompatibleBallElement(AutBall(3,2),aut,i); od;
gap> auts;
[ (1,4,6,2,3,5), (1,3,6,2,4,5), (1,5)(2,6) ]
gap> a:=AssembleAutomorphism(3,2,auts);
(1,7,9,3,5,11)(2,8,10,4,6,12)
gap> a in AutBall(3,3);
true
gap> LocalAction(2,3,3,a,[]);
(1,4,5,2,3,6)
```

# 3.3 Compatible subgroups

Using the methods of Section 3.2, this section provides methods to test groups for the compatibility condition and search for compatible subgroups inside a given group, e.g.  $Aut(B_{d,k})$ , or with a certain image under some projection.

#### 3.3.1 MaximalCompatibleSubgroup (for IsLocalAction)

▷ MaximalCompatibleSubgroup(F)

(attribute)

**Returns:** The local action  $C(F) \leq \operatorname{Aut}(B_{d,k})$ , which is the maximal compatible subgroup of F. The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
gap> F:=LocalAction(3,1,Group((1,2)));
Group([ (1,2) ])
gap> MaximalCompatibleSubgroup(F);
Group([ (1,2) ])
gap> G:=LocalAction(3,2,Group((1,2)));
Group([ (1,2) ])
gap> MaximalCompatibleSubgroup(G);
Group(())
```

#### 3.3.2 Satisfies C (for IsLocal Action)

**Returns:** true if F satisfies the compatibility condition (C), and false otherwise.

The argument of this property is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
Example

gap> D:=LocalActionDelta(3,SymmetricGroup(3));

Group([ (1,3,6)(2,4,5), (1,3)(2,4), (1,2)(3,4)(5,6) ])

gap> SatisfiesC(D);

true
```

#### 3.3.3 CompatibleSubgroups

▷ CompatibleSubgroups(F)

(function)

**Returns:** the list of all compatible subgroups of *F*.

The argument of this method is a local action  $F \leq \operatorname{Aut}(B_{d,k})$ . This method calls AllSubgroups on F and is therefore slow. Use for instructional purposes on small examples only, and use ConjugacyClassRepsCompatibleSubgroups (3.3.4) or ConjugacyClassRepsCompatibleGroupsWithProjection (3.3.5) for computations.

```
Example

gap> G:=LocalActionGamma(3,SymmetricGroup(3));

Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ])

gap> list:=CompatibleSubgroups(G);

[ Group(()), Group([ (1,2)(3,5)(4,6) ]), Group([ (1,3)(2,4)(5,6) ]),

    Group([ (1,6)(2,5)(3,4) ]), Group([ (1,4,5)(2,3,6) ]),

    Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ]) ]

gap> Size(list);

6

gap> Size(AllSubgroups(SymmetricGroup(3)));

6
```

#### 3.3.4 ConjugacyClassRepsCompatibleSubgroups (for IsLocalAction)

(attribute)

**Returns:** a list of compatible representatives of conjugacy classes of *F* that contain a compatible subgroup.

The argument of this method is a local action F of  $Aut(B_{d,k})$ .

```
Example

gap> ConjugacyClassRepsCompatibleSubgroups(AutBall(3,2));

[ Group(()), Group([ (1,2)(3,5)(4,6) ]), Group([ (1,4,5)(2,3,6) ]),

Group([ (3,5)(4,6), (1,2) ]), Group([ (1,2)(3,5)(4,6), (1,3,6)(2,4,5) ]),

Group([ (3,5)(4,6), (1,3,5)(2,4,6), (1,2)(3,4)(5,6) ]),

Group([ (1,2)(3,5)(4,6), (1,3,5)(2,4,6), (1,2)(5,6), (1,2)(3,4) ]),

Group([ (3,5)(4,6), (1,3,5)(2,4,6), (1,2)(5,6), (1,2)(3,4) ]),

Group([ (5,6), (3,4), (1,2), (1,3,5)(2,4,6), (3,5)(4,6) ])]
```

### ${\bf 3.3.5}\quad Conjugacy Class Reps Compatible Groups With Projection$

▷ ConjugacyClassRepsCompatibleGroupsWithProjection(1, F)

(function)

**Returns:** a list of compatible representatives of conjugacy classes of  $Aut(B_{d,l})$  that contain a compatible group which projects to  $F \leq Aut(B_{d,r})$ .

The arguments of this method are a radius  $1 \in \mathbb{N}$ , and a local action  $F \leq \operatorname{Aut}(B_{d,k})$  for some  $k \leq l$ .

```
gap> F:=SymmetricGroup(3);;
gap> rho:=SignHomomorphism(F);;
gap> H1:=LocalActionPi(2,3,F,rho,[0,1]);;
gap> H2:=LocalActionPi(2,3,F,rho,[1]);;
gap> Size(ConjugacyClassRepsCompatibleGroupsWithProjection(3,H1));
2
gap> Size(ConjugacyClassRepsCompatibleGroupsWithProjection(3,H2));
4
```

# **Chapter 4**

# **Examples**

Several classes of examples of subgroups of  $\operatorname{Aut}(B_{d,k})$  that satisfy (C) and or (D) are constructed in [Tor20] and implemented in this section. For a given permutation group  $F \leq S_d$ , there are always the three local actions  $\Gamma(F)$ ,  $\Delta(F)$  and  $\Phi(F)$  on  $\operatorname{Aut}(B_{d,2})$  that project onto F. For some F, these are all distinct and yield all universal groups that have F as their 1-local action, see [Tor20, Theorem 3.32]. More examples arise in particular when either point stabilizers in F are not simple, F preserves a partition, or F is not perfect.

### 4.1 Discrete groups

Here, we implement the local actions  $\Gamma(F)$ ,  $\Delta(F) \leq \operatorname{Aut}(B_{d,2})$ , both of which satisfy both (C) and (D), see [Tor20, Section 3.4.1].

#### 4.1.1 LocalActionElement

```
▷ LocalActionElement(d, a) (operation)

▷ LocalActionElement(1, d, a) (operation)

▷ LocalActionElement(1, d, s, addr) (operation)

▷ LocalActionElement(d, k, aut, z) (operation)
```

#### for the arguments d, a

Returns: the automorphism  $\gamma(a) = (a, (a)_{\omega \in \Omega}) \in Aut(B_{d,2})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$  and a permutation  $a \in S_d$ .

#### for the arguments 1, d, a

Returns: the automorphism  $\gamma^l(a) \in \text{Aut}(B_{d,l})$  all of whose 1-local actions are given by a.

The arguments of this method are a radius  $1 \in \mathbb{N}$ , a degree  $d \in \mathbb{N}_{\geq 3}$  and a permutation  $a \in S_d$ .

#### for the arguments 1, d, s, addr

Returns: the automorphism of  $B_{d,l}$  whose 1-local actions are given by s at vertices whose address has addr as a prefix and are trivial elsewhere.

The arguments of this method are a radius  $1 \in \mathbb{N}$ , a degree  $d \in \mathbb{N}_{\geq 3}$ , a permutation  $s \in S_d$  and an address addr of a vertex in  $B_{d,l}$  whose last entry is fixed by s.

#### for the arguments d, k, aut, z

```
Returns: the automorphism \gamma_z(\mathsf{aut}) = (\mathsf{aut}, (z(\mathsf{aut}, \omega))_{\omega \in \Omega}) \in \mathsf{Aut}(B_{d,k+1}).
```

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , an automorphism aut of  $B_{d,k}$ , and an involutive compatibility cocycle z of a subgroup of  $Aut(B_{d,k})$  that contains aut (see InvolutiveCompatibilityCocycle (5.3.1)).

```
gap> LocalActionElement(3,(1,2));
(1,3)(2,4)(5,6)
```

```
gap> LocalActionElement(2,3,(1,2));
(1,3)(2,4)(5,6)
gap> LocalActionElement(3,3,(1,2));
(1,5)(2,6)(3,8)(4,7)(9,11)(10,12)
```

```
Example

gap> LocalActionElement(3,3,(1,2),[1,3]);
(3,4)

gap> LocalActionElement(3,3,(1,2),[]);
(1,5)(2,6)(3,8)(4,7)(9,11)(10,12)
```

```
gap> S3:=LocalAction(3,1,SymmetricGroup(3));;
gap> z1:=AllInvolutiveCompatibilityCocycles(S3)[1];;
gap> LocalActionElement(3,1,(1,2),z1);
(1,4)(2,3)(5,6)
gap> z3:=AllInvolutiveCompatibilityCocycles(S3)[3];;
gap> LocalActionElement(3,1,(1,2),z3);
(1,3)(2,4)(5,6)
```

#### 4.1.2 LocalActionGamma

#### for the arguments d, F

```
Returns: the local action \Gamma(F) = \{(a, (a)_{\omega}) \mid a \in F\} \leq \operatorname{Aut}(B_{d,2}).
```

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , and a subgroup F of  $S_d$ .

#### for the arguments 1, d, F

```
Returns: the group \Gamma^l(F) \leq \operatorname{Aut}(B_{d,l}).
```

The arguments of this method are a radius  $1 \in \mathbb{N}$ , a degree  $d \in \mathbb{N}_{>3}$ , and a subgroup F of  $S_d$ .

#### for the arguments F, z

```
Returns: the group \Gamma_z(F) = \{(a, (z(a, \omega))_{\omega \in \Omega}) \mid a \in F\} \leq \operatorname{Aut}(B_{d,k+1}).
```

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$  and an involutive compatibility cocycle z of F (see InvolutiveCompatibilityCocycle (5.3.1)).

```
Example

gap> F:=TransitiveGroup(4,3);;

gap> LocalActionGamma(4,F);

Group([ (1,5,9,10)(2,6,7,11)(3,4,8,12), (1,8)(2,7)(3,9)(4,5)(10,12) ])
```

```
Example

gap> LocalActionGamma(3,SymmetricGroup(3));

Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ])

gap> LocalActionGamma(2,3,SymmetricGroup(3));

Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ])

gap> LocalActionGamma(3,3,SymmetricGroup(3));

Group([ (1,8,10)(2,7,9)(3,5,12)(4,6,11), (1,5)(2,6)(3,8)(4,7)(9,11)(10,12) ])
```

```
gap> F:=SymmetricGroup(3);;
gap> rho:=SignHomomorphism(F);;
gap> H:=LocalActionPi(2,3,F,rho,[1]);;
gap> z:=InvolutiveCompatibilityCocycle(H);;
gap> g:=LocalActionGamma(H,z);;
gap> [NrMovedPoints(g),TransitiveIdentification(g)];
[ 12, 8 ]
```

#### 4.1.3 LocalActionDelta

```
ightharpoonup LocalActionDelta(d, F) (operation)

ightharpoonup LocalActionDelta(d, F, C) (operation)
```

#### for the arguments d, F

Returns: the group  $\Delta(F) \leq \operatorname{Aut}(B_{d,2})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{>3}$ , and a *transitive* subgroup F of  $S_d$ .

#### for the arguments d, F, C

Returns: the group  $\Delta(F,C) \leq \operatorname{Aut}(B_{d,2})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a *transitive* subgroup F of  $S_d$ , and a central subgroup C of the stabilizer  $F_1$  of 1 in F.

```
gap> F:=SymmetricGroup(3);;
gap> D:=LocalActionDelta(3,F);
Group([ (1,3,6)(2,4,5), (1,3)(2,4), (1,2)(3,4)(5,6) ])
gap> F1:=Stabilizer(F,1);;
gap> D1:=LocalActionDelta(3,F,F1);
Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6), (1,2)(3,4)(5,6) ])
gap> D=D1;
false
gap> G:=AutBall(3,2);;
gap> D^G=D1^G;
true
```

```
gap> F:=PrimitiveGroup(5,3);
AGL(1, 5)
```

```
gap> F1:=Stabilizer(F,1);
Group([ (2,3,4,5) ])
gap> C:=Group((2,4)(3,5));
Group([ (2,4)(3,5) ])
gap> Index(F1,C);
2
gap> Index(LocalActionDelta(5,F,F1),LocalActionDelta(5,F,C));
2
```

#### 4.2 Maximal extensions

For any  $F \leq \operatorname{Aut}(B_{d,k})$  that satisfies (C), the group  $\Phi(F) \leq \operatorname{Aut}(B_{d,k+1})$  is the maximal extension of F that satisfies (C) as well. It stems from the action of  $\operatorname{U}_k(F)$  on balls of radius k+1 in  $T_d$ .

#### 4.2.1 LocalActionPhi

```
▷ LocalActionPhi(F) (operation)
▷ LocalActionPhi(1, F) (operation)
```

#### for the argument F

```
Returns: the group \Phi_k(F) = \{(a, (a_{\omega})_{\omega}) \mid a \in F, \forall \omega \in \Omega : a_{\omega} \in C_F(a, \omega)\} \leq \operatorname{Aut}(B_{d,k+1}). The argument of this method is a local action F \leq \operatorname{Aut}(B_{d,k}).
```

#### for the arguments 1, F

```
Returns: the group \Phi^l(F) = \Phi_{l-1} \circ \cdots \circ \Phi_{k+1} \circ \Phi_k(F) \leq \operatorname{Aut}(B_{d,l}).
```

The arguments of this method are a radius  $1 \in \mathbb{N}$  and a local action  $F \leq \operatorname{Aut}(B_{d,k})$ .

```
Example

gap> S3:=LocalAction(3,1,SymmetricGroup(3));;
gap> LocalActionPhi(S3);
Group([(), (1,4,5)(2,3,6), (1,3)(2,4)(5,6), (1,2), (3,4), (5,6)])
gap> last=AutBall(3,2);
true
gap> A3:=LocalAction(3,1,AlternatingGroup(3));;
gap> LocalActionPhi(A3);
Group([(), (1,4,5)(2,3,6)])
gap> last=LocalActionGamma(3,AlternatingGroup(3));
true
```

```
Example

gap> S3:=LocalAction(3,1,SymmetricGroup(3));;

gap> groups:=ConjugacyClassRepsCompatibleGroupsWithProjection(2,S3);

[ Group([ (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),

    Group([ (1,2)(3,4)(5,6), (1,2)(3,5)(4,6), (1,4,5)(2,3,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5,4,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (3,5)(4,6) ]),

    Group([ (3,4)(5,6), (1,2)(3,4), (1,4,5)(2,3,6), (5,6), (3,5,4,6) ]) ]

gap> for G in groups do Print(Size(G),",",Size(LocalActionPhi(G)),"\n"); od;

6,6

12,12
```

```
24,192
24,192
48,3072
```

```
gap> LocalActionPhi(3,LocalAction(4,1,SymmetricGroup(4)));
<permutation group with 34 generators>
gap> last=AutBall(4,3);
true
```

```
gap> rho:=SignHomomorphism(SymmetricGroup(3));;
gap> F:=LocalActionPi(2,3,SymmetricGroup(3),rho,[1]);; Size(F);
24
gap> P:=LocalActionPhi(4,F);; Size(P);
12288
gap> IsSubgroup(AutBall(3,4),P);
true
gap> SatisfiesC(P);
true
```

### 4.3 Normal subgroups and partitions

When point stabilizers in  $F \leq S_d$  are not simple, or F preserves a partition, more universal groups can be constructed as follows.

#### 4.3.1 LocalActionPhi

```
ightharpoonup LocalActionPhi(d, F, N) (operation)

ightharpoonup LocalActionPhi(d, F, P) (operation)

ightharpoonup LocalActionPhi(F, P) (operation)
```

#### for the arguments d, F, N

Returns: the group  $\Phi(F,N) \leq \operatorname{Aut}(B_{d,2})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a *transitive* permutation group  $F \leq S_d$  and a normal subgroup N of the stabilizer  $F_1$  of 1 in F.

#### for the arguments d, F, P

```
Returns: the group \Phi(F,P) = \{(a,(a_{\omega})_{\omega}) \mid a \in F, a_{\omega} \in C_F(a,\omega) \text{ constant w.r.t. } P\} \leq \operatorname{Aut}(B_{d,2}).
```

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$  and a permutation group  $F \leq S_d$  and a partition P of [1..d] preserved by F.

#### for the arguments F, P

```
Returns: the group \Phi_k(F,P) = \{(\alpha,(\alpha_{\omega})_{\omega}) \mid \alpha \in F, \ \alpha_{\omega} \in C_F(\alpha,\omega) \text{ constant w.r.t. } P\} \leq \operatorname{Aut}(B_{d,k+1}).
```

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$  and a partition P of [1..d] preserverd by  $\pi F \leq S_d$ . This method assumes that all compatibility sets with respect to a partition element are non-empty and that all compatibility sets of the identity with respect to a partition element are non-trivial.

```
gap> F:=SymmetricGroup(4);;
gap> F1:=Stabilizer(F,1);
Sym( [ 2 .. 4 ] )
gap> grps:=NormalSubgroups(F1);
[ Sym( [ 2 .. 4 ] ), Alt( [ 2 .. 4 ] ), Group(()) ]
gap> N:=grps[2];
Alt( [ 2 .. 4 ] )
gap> LocalActionPhi(4,F,N);
Group([ (1,5,9,10)(2,6,7,11)(3,4,8,12), (1,4)(2,5)(3,6)(7,8)(10,11), (1,2,3) ])
gap> Index(F1,N);
2
gap> Index(LocalActionPhi(4,F,F1),LocalActionPhi(4,F,N));
16
```

```
\_ Example _{	extstyle}
gap> F:=TransitiveGroup(4,3);
D(4)
gap> P:=Blocks(F,[1..4]);
[[1,3],[2,4]]
gap> G:=LocalActionPhi(4,F,P);
Group([(1,5,9,10)(2,6,7,11)(3,4,8,12), (1,8)(2,7)(3,9)(4,5)(10,12), (1,3))
  (8,9), (4,5)(10,12)
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> aut:=Random(mt,G);
(1,3)(4,12)(5,10)(6,11)(8,9)
gap> LocalAction(1,4,2,aut,[1]); LocalAction(1,4,2,aut,[3]);
(2,4)
(2,4)
gap> LocalAction(1,4,2,aut,[2]); LocalAction(1,4,2,aut,[4]);
(1,3)(2,4)
(1,3)(2,4)
```

```
gap> H:=TransitiveGroup(4,3);
D(4)
gap> P:=Blocks(H,[1..4]);
[ [ 1, 3 ], [ 2, 4 ] ]
gap> F:=LocalActionPhi(4,H,P);;
gap> G:=LocalActionPhi(F,P);;
gap> SatisfiesC(G);
true
```

# 4.4 Abelian quotients

When a permutation group  $F \leq S_d$  is not perfect, i.e. it admits an abelian quotient  $\rho : F \to A$ , more universal groups can be constructed by imposing restrictions of the form  $\prod_{r \in R} \prod_{x \in S(b,r)} \rho(\sigma_1(\alpha,x)) = 1$  on elements  $\alpha \in \Phi^k(F) \leq \operatorname{Aut}(B_{d,k})$ .

#### 4.4.1 SignHomomorphism

```
▷ SignHomomorphism(F)
```

(function)

**Returns:** the sign homomorphism from F to  $S_2$ .

The argument of this method is a permutation group  $F \leq S_d$ . This method can be used as an example for the argument *rho* in the methods SpheresProduct (4.4.3) and LocalActionPi (4.4.4).

```
gap> F:=SymmetricGroup(3);;
gap> sign:=SignHomomorphism(F);
MappingByFunction( Sym([1 .. 3]), Sym([1 .. 2]), function(g) ... end )
gap> Image(sign,(2,3));
(1,2)
gap> Image(sign,(1,2,3));
()
```

#### 4.4.2 AbelianizationHomomorphism

▷ AbelianizationHomomorphism(F)

(function)

**Returns:** the homomorphism from F to F/[F,F].

The argument of this method is a permutation group  $F \leq S_d$ . This method can be used as an example for the argument *rho* in the methods SpheresProduct (4.4.3) and LocalActionPi (4.4.4).

```
gap> F:=PrimitiveGroup(5,3);
AGL(1, 5)
gap> ab:=AbelianizationHomomorphism(PrimitiveGroup(5,3));
[ (2,3,4,5), (1,2,3,5,4) ] -> [ f1, <identity> of ... ]
gap> Elements(Range(ab));
[ <identity> of ..., f1, f2, f1*f2 ]
gap> StructureDescription(Range(ab));
"C4"
```

#### 4.4.3 SpheresProduct

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a radius  $k \in \mathbb{N}$ , an automorphism aut of  $B_{d,k}$  all of whose 1-local actions are in the domain of the homomorphism rho from a subgroup of  $S_d$  to an abelian group, and a sublist R of [0..k-1]. This method is used in the implementation of LocalActionPi (4.4.4).

```
gap> rho:=SignHomomorphism(SymmetricGroup(3));;
gap> SpheresProduct(3,2,LocalActionElement(2,3,(1,2)),rho,[0]);
(1,2)
gap> SpheresProduct(3,2,LocalActionElement(2,3,(1,2)),rho,[0,1]);
()
```

```
gap> F:=PrimitiveGroup(5,3);
AGL(1, 5)
gap> rho:=AbelianizationHomomorphism(F);;
```

```
gap> Elements(Range(rho));
[ <identity> of ..., f1, f2, f1*f2 ]
gap> StructureDescription(Range(rho));
"C4"
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> aut:=Random(mt,F);
(1,4,3,5)
gap> SpheresProduct(5,3,LocalActionElement(3,5,aut),rho,[2]);
<identity> of ...
gap> SpheresProduct(5,3,LocalActionElement(3,5,aut),rho,[1,2]);
f1
gap> SpheresProduct(5,3,LocalActionElement(3,5,aut),rho,[0,1,2]);
f2
```

#### 4.4.4 LocalActionPi

```
LocalActionPi(1, d, F, rho, R) (function) 

Returns: the group \Pi^l(F, rho, R) = \{\alpha \in \Phi^l(F) \mid \prod_{r \in R} \prod_{x \in S(b,r)} rho(\sigma_1(\alpha, x)) = 1\} \le \operatorname{Aut}(B_{d,l}). The arguments of this method are a degree 1 \in \mathbb{N}_{\geq 2}, a radius d \in \mathbb{N}_{\geq 3}, a permutation group F \le S_d, a homomorphism \rho from F to an abelian group that is surjective on every point stabilizer in F, and a non-empty, non-zero subset R of [0..1-1] that contains l-1.
```

```
gap> F:=LocalAction(5,1,PrimitiveGroup(5,3));
AGL(1, 5)
gap> rho1:=AbelianizationHomomorphism(F);;
gap> rho2:=SignHomomorphism(F);;
gap> LocalActionPi(3,5,F,rho1,[0,1,2]);
<permutation group with 4 generators>
gap> Index(LocalActionPhi(3,F),last);
4
gap> LocalActionPi(3,5,F,rho2,[0,1,2]);
<permutation group with 5 generators>
gap> Index(LocalActionPhi(3,F),last);
2
```

### 4.5 Semidirect products

When a subgroup  $F \leq \operatorname{Aut}(B_{d,k})$  satisfies (C) and admits an involutive compatibility cocycle z (which is automatic when k=1) one can characterise the kernels  $K \leq \Phi_k(F) \cap \ker(\pi_k)$  that fit into a z-split exact sequence  $1 \to K \to \Sigma(F,K) \to F \to 1$  for some subgroup  $\Sigma(F,K) \leq \operatorname{Aut}(B_{d,k+1})$  that satisfies (C). This characterisation is implemented in this section.

#### 4.5.1 CompatibleKernels

```
ightharpoonup CompatibleKernels(d, F) (operation)

ightharpoonup CompatibleKernels(F, z) (operation)
```

#### for the arguments d, F

Returns: the list of kernels  $K \leq \prod_{\omega \in \Omega} F_{\omega} \cong \ker \pi \leq \operatorname{Aut}(B_{d,2})$  that are preserved by the action  $F \curvearrowright \prod_{\omega \in \Omega} F_{\omega}$ ,  $a \cdot (a_{\omega})_{\omega} := (aa_{a^{-1}\omega}a^{-1})_{\omega}$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , and a permutation group  $F \leq S_d$ . The kernels output by this method are compatible with F with respect to the standard cocycle (see InvolutiveCompatibilityCocycle (5.3.1)) and can be used in the method LocalActionSigma (4.5.2).

#### for the arguments F, z

Returns: the list of kernels  $K \leq \Phi_k(F) \cap \ker(\pi_k) \leq \operatorname{Aut}(B_{d,k+1})$  that are normalized by  $\Gamma_z(F)$  and such that for all  $k \in K$  and  $\omega \in \Omega$  there is  $k_\omega \in K$  with  $\operatorname{pr}_\omega k_\omega = z(\operatorname{pr}_\omega k, \omega)^{-1}$ .

The arguments of this method are a local action  $F \leq \operatorname{Aut}(B_{d,k})$  that satisfies (C) and an involutive compatibility cocycle z of F (see InvolutiveCompatibilityCocycle (5.3.1)). It can be used in the method LocalActionSigma (4.5.2).

```
gap> P:=SymmetricGroup(3);;
gap> rho:=SignHomomorphism(P);;
gap> F:=LocalActionPi(2,3,P,rho,[1]);;
gap> z:=InvolutiveCompatibilityCocycle(F);;
gap> CompatibleKernels(F,z);
[ Group(()), Group([ (1,2)(3,4)(5,6)(7,8)(9,10)(11,12) ]),
    Group([ (1,2)(3,4)(5,6)(7,8), (5,6)(7,8)(9,10)(11,12) ]),
    Group([ (5,6)(7,8), (1,2)(3,4), (9,10)(11,12) ]) ]
```

#### 4.5.2 LocalActionSigma

```
ightharpoonup LocalActionSigma(d, F, K) (operation)

ightharpoonup LocalActionSigma(F, K, Z) (operation)
```

#### for the arguments d, F, K

Returns: the semidirect product  $\Sigma(F,K) \leq \operatorname{Aut}(B_{d,2})$ .

The arguments of this method are a degree  $d \in \mathbb{N}_{\geq 3}$ , a subgroup F of  $S_d$  and a compatible kernel K for F (see CompatibleKernels (4.5.1)).

#### for the arguments F, K, z

Returns: the semidirect product  $\Sigma_z(F,K) \leq \operatorname{Aut}(B_{d,k+1})$ .

The arguments of this method are a local action F of  $Aut(B_{d,k})$  that satisfies (C) and a kernel K that is compatible for F with respect to the involutive compatibility cocycle z (see InvolutiveCompatibilityCocycle (5.3.1) and CompatibleKernels (4.5.1)) of F.

```
gap> S3:=SymmetricGroup(3);;
gap> kernels:=CompatibleKernels(3,S3);
```

```
[ Group(()), Group([ (1,2)(3,4)(5,6) ]), Group([ (3,4)(5,6), (1,2)(5,6) ]),
    Group([ (5,6), (3,4), (1,2) ]) ]
gap> for K in kernels do Print(Size(LocalActionSigma(3,S3,K)),"\n"); od;
6
12
24
48
```

# Chapter 5

# **Discreteness**

This chapter contains functions that are related to the discreteness property (D) presented in Proposition 3.12 of [Tor20].

### 5.1 The discreteness condition (D)

Said proposition shows that for a given  $F \leq \operatorname{Aut}(B_{d,k})$  the group  $\operatorname{U}_k(F)$  is discrete if and only if the maximal compatible subgroup C(F) of F satisfies condition (D):

$$\forall \omega \in \Omega : F_{T_{\omega}} = \{id\},\$$

where  $T_{\omega}$  is the k-1-neighbourhood of the edge  $(b,b_{\omega})$  inside  $B_{d,k}$ . In other words, F satisfies (D) if and only if the compatibility set  $C_F(\mathrm{id},\omega)=\{\mathrm{id}\}$ . We distinguish between F satisfying condition (D) and  $U_k(F)$  being discrete with the methods SatisfiesD (5.2.1) and YieldsDiscreteUniversalGroup (5.2.2) below.

#### 5.2 Discreteness

#### **5.2.1** SatisfiesD (for IsLocalAction)

**Returns:** true if *F* satisfies the discreteness condition (D), and false otherwise.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
Example

gap> G:=LocalActionGamma(3,SymmetricGroup(3));

Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ])

gap> SatisfiesD(G);

true
```

#### 5.2.2 YieldsDiscreteUniversalGroup (for IsLocalAction)

▷ YieldsDiscreteUniversalGroup(F)

(property)

**Returns:** true if  $U_k(F)$  is discrete, and false otherwise.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)).

```
gap> G:=LocalActionGamma(3,SymmetricGroup(3));
Group([ (1,4,5)(2,3,6), (1,3)(2,4)(5,6) ])
gap> YieldsDiscreteUniversalGroup(G);
true
```

```
gap> F:=LocalAction(3,2,Group((1,2)));
Group([ (1,2) ])
gap> YieldsDiscreteUniversalGroup(F);
true
gap> SatisfiesD(F);
false
gap> C:=MaximalCompatibleSubgroup(F);
Group(())
gap> SatisfiesD(C);
true
```

### 5.3 Cocycles

Subgroups  $F \leq \operatorname{Aut}(B_{d,k})$  that satisfy both (C) and (D) admit an involutive compatibility cocycle, i.e. a map  $z: F \times \{1, \ldots, d\} \to F$  that satisfies certain properties, see [Tor20, Section 3.2.2]. When F satisfies just (C), it may still admit an involutive compatibility cocycle. In this case, F admits an extension  $\Gamma_z(F) \leq \operatorname{Aut}(B_{d,k})$  that satisfies both (C) and (D). Involutive compatibility cocycles can be searched for using InvolutiveCompatibilityCocycle (5.3.1) and AllInvolutiveCompatibilityCocycles (5.3.2) below.

#### 5.3.1 InvolutiveCompatibilityCocycle (for IsLocalAction)

```
▷ InvolutiveCompatibilityCocycle(F)
```

(attribute)

**Returns:** an involutive compatibility cocycle of F, which is a mapping  $F \times [1..d] \rightarrow F$  with certain properties, if it exists, and fail otherwise. When k = 1, the standard cocycle is returned.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)), which is compatible (see SatisfiesC (3.3.2)).

```
gap> F:=LocalAction(3,1,AlternatingGroup(3));;
gap> z:=InvolutiveCompatibilityCocycle(F);;
gap> mt:=RandomSource(IsMersenneTwister,1);;
gap> a:=Random(mt,F);; dir:=Random(mt,[1..3]);;
gap> a; Image(z,[a,dir]);
(1,2,3)
(1,2,3)
```

```
gap> G:=LocalActionGamma(3,AlternatingGroup(3));
Group([ (1,4,5)(2,3,6) ])
gap> InvolutiveCompatibilityCocycle(G) <> fail;
true
gap> InvolutiveCompatibilityCocycle(AutBall(3,2));
fail
```

### **5.3.2** AllInvolutiveCompatibilityCocycles (for IsLocalAction)

▷ AllInvolutiveCompatibilityCocycles(F)

(attribute)

**Returns:** the list of all involutive compatibility cocycles of F.

The argument of this attribute is a local action  $F \leq \operatorname{Aut}(B_{d,k})$  (see IsLocalAction (2.1.1)), which is compatible (see SatisfiesC (3.3.2)).

```
gap> S3:=LocalAction(3,1,SymmetricGroup(3));;
gap> Size(AllInvolutiveCompatibilityCocycles(S3));
4
gap> Size(AllInvolutiveCompatibilityCocycles(LocalActionGamma(3,SymmetricGroup(3))));
1
```

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