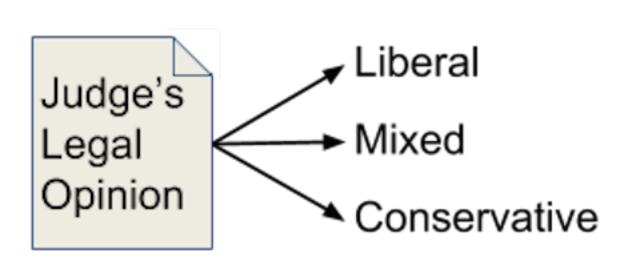
# Inferring Political Valence from Written Judicial Decisions

Charlie Guthrie and Alex Pine

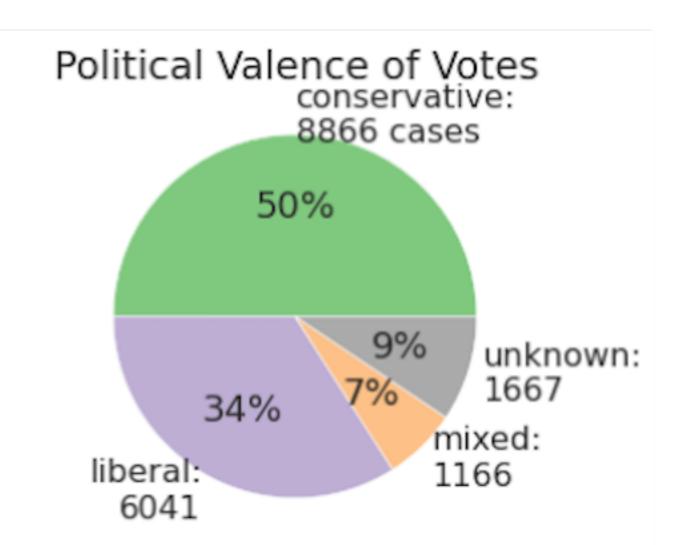
### Goal

Analyze a judge's legal opinion to determine its political valence.



#### Data

- 300,000 U.S. Appeals Court cases
  - Represented as 1-grams through 8-grams.
- 92 million n-grams total.
- 17,000 hand-coded cases
  - 412 variables per case
  - Includes political valence



Sample Decision Valances						
Case Type	Sub-Type	Liberal	Conservative	Unknown		
Criminal	Criminal	for the defendant	opposite	n/a		
Economic Activity and Regulation	Tax	for gov. tax claim	for taxpayer	n/a		
	Conflict over securities	for economic underdog	opposite	no clear underdog		
Labor	suit against mgmt.	for union or individual	for mgmt.	n/a		
	worker vs. union	for union	for individual	n/a		

# Pipeline

Data Prep Before we were given the data, researchers coded written opinions into two datasets: a list of bag-of-words representations and a csv of manually-coded, structured data

#### Pre-processing

- Matched n-gram features to labels
- Removed infrequent n-grams
- Converted to Sparse Matrix

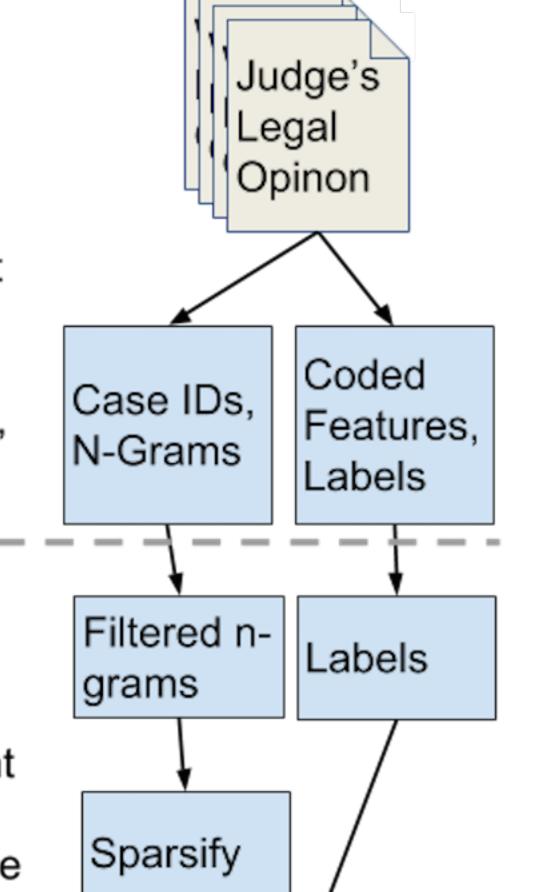
### Learning

Feature selection and cross-validation are done within a Grid Search.

Feature Selection

#### Majority Classifier:

Finds the most common class in the training set and predicts that class in the test set



# Grid Search + CV

# Chi-2, L1 SVM

#### Feature Selection

#### Models

- Majority (Baseline) Naive Bayes
- Bernoulli NB
- Logistic

## SVM

#### Initial Results

The best models, SVM and logistic regression, outperformed baseline by 1%.

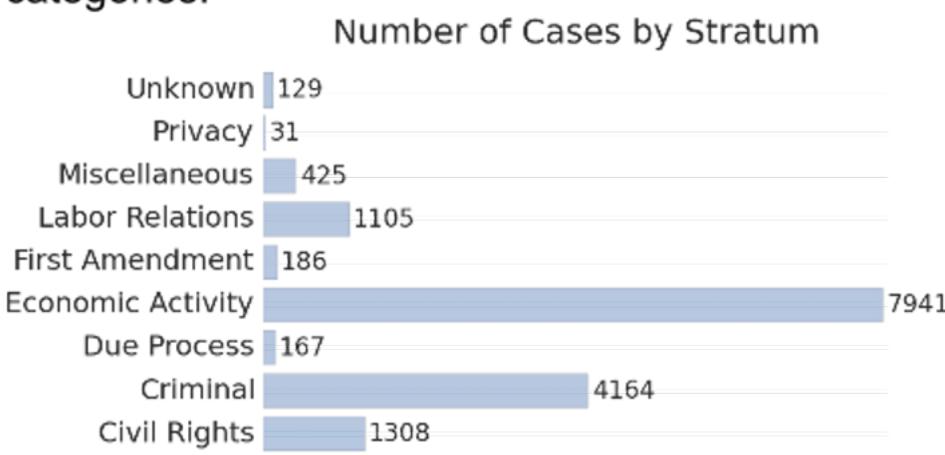
#### Optimized Test Accuracy of Each Model



# Experiments

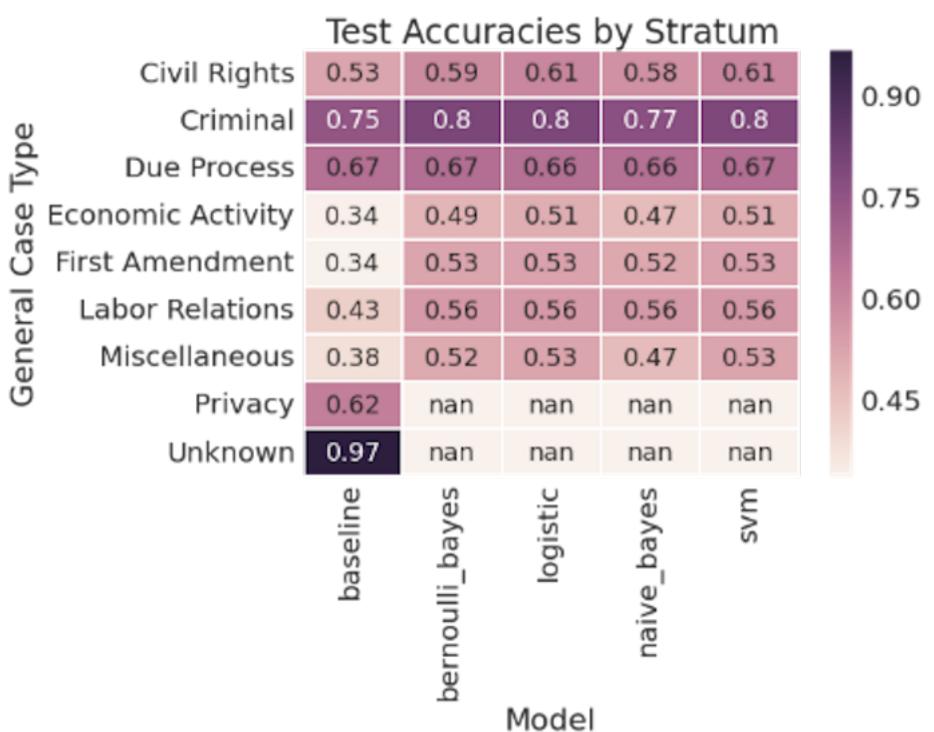
#### Using Case Category

Since definitions of voting valences depend on case types, we felt we could get better performance by using information about case categories.



Using case category as a feature did not improve accuracy.

Next, we built individual models for each stratum.



 Logistic and SVM outperformed baseline in most strata.

- Some strata had too little data for any model other than the majority classifier, and are labelled with "nan".
- To compare this technique to previous technique, we took a weighted average of model performance across case types. Here we saw the best improvement over baseline.

#### Weighted Test Accuracy Across Strata

_	_	
svm		0.56
logistic		0.55
bernoulli_bayes		0.53
naive_bayes		0.54
baseline	0.4	8

# Significant N-Grams

Partial list of n-grams that had the highest coefficients in our model

#### Original Data

#### Best model: Logistic Regression

Conservative	Mixed	Liberal
sentence	respect, bank, fault,	decision tax
dividend	appeal dismiss,	court, new trial,
indict	remand, count,	remedial,
convict	damage, contract	remand

#### Category-Stratified Data Best model: Logistic Regression on **Economic Activity**

Conservative	Mixed	Liberal
gravamen,	lower court, curiam,	recurrence,
dividend,	pray, bank,	defend, dismiss
infringe patent	commision intern	prejudice

# Conclusions

- Classifiers do not beat simple majority classifier
- Implies n-grams too simple to predict political valence
- Stratifying by category improved accuracy
- Implies political valence is very context dependant

# Next Steps

- Predict case categories from n-grams.
- Incorporate information about judges: age, political party, voting history (where available).
- Use more sophisticated modeling.