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Stack Queue tree

# Linked List & stack & Queue

Bubble Sort:  $T(n) = \frac{(n-1)^2}{2} + 1$

Merge Sort:  $T(n) = (n+2) \cdot \log(2, n) / 2$

- 公式:  $T(n) \leq K \cdot f(n)$   $K = \text{constant}$

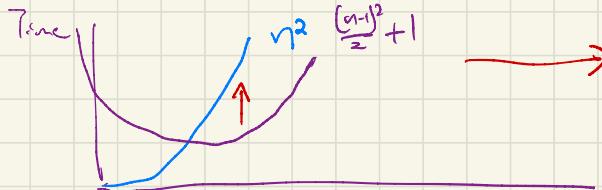
$$T(n) = \frac{(n-1)^2}{2} + 1$$

$$T(n) \leq k \cdot (n^2)$$

$$\begin{aligned} f(n) &= n^2 && \leftarrow \\ T(n) &= O(f(n)) = O(n^2) && \end{aligned}$$

$\Theta(n)$

$\Rightarrow$  upper bound



1.  $n$  取足够大  
2. 相同增加速率

$\Theta(n)$  取最高界

$\Theta(1) \rightarrow \Theta(\log N) \leq \Theta(N) \leq \Theta(N \log N) \leq \Theta(N^2) \leq \Theta(2^n)$

↓  
Constant

↓  
Linear

$$\begin{aligned} &\leq O(n!) \\ &\leq O(n^n) \end{aligned}$$

Big O  
↓  
Worst Case  
upper bound

Omega  
↓  
Best Case  
lower bound

Theta

## o Linear Search:

```
for (int i=0 ; i<size ; i++) {  
    if (arr[i] == target)  
        return true  
}  
return false
```

$$T(N) = O(N)$$

对于某些算法，运行效率不确定

1. Best Case:  $O(1)$

2. Worst Case:  $O(N)$

3. Ave Case:  $O(\frac{1+N}{2}) \Rightarrow O(N)$        $\frac{1+N}{2} \leq N$

## Linked List

Pros: 1. 分散式 2. Size 3. 插入操作快

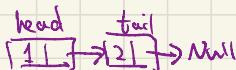
Cons: 1. 无 index, 2. 访问某一下位, 3. 和 Array, Vector 更多时间 / 空间

## o 两个 Class:

①  $\rightarrow$  class Node <T> {

1. data

2. Address



②  $\rightarrow$  class LinkedList <T> {

# Public Class Linked List

```
Public class Node {
    public int data;
    public Node next;
    public Node (int data) {
        this.data = data;
        this.next = null;
    }
}
```

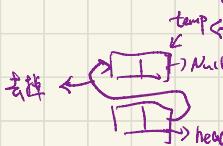
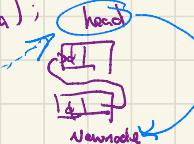
最前/后的 Node.

```
Public void prepend (int data) {
```

```
if (head == null) {
    Node NewNode = new Node (data);
    head = NewNode;
    NewNode.next = null;
```

```
} else {
    Node NewNode = new Node (data);
    NewNode.next = head;
    head = NewNode;
```

```
}
```



```
Public int removeHead () {
    int result = head.data;
    Node temp = head.next;
    head.next = null; // 断连接
    head = temp;
    return result;
}
```

断连头:

```
if (head == null)
    throw new ...
```

Prepend

remove Head /

append

remove Tail

$O(N)$

if while ( $Current \neq$  Num)

## Linked List:

1. 方向: 不可往回走, Singly LL  
可往前走, double LL

2. 是否有尾部 (tail): 没有尾巴: Singly -> head  
有尾巴: double -> head

Head / tail

↓  
标记点

4 types Linked List



fail Like  $\approx$  Last head.

1. Singly S-headed LL:  head  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  Null

2. Singly D-headed LL:  head  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  Null

3. Doubly S-headed LL:  head  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  Null

4. Doubly D-headed LL:  head  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  [ ]  $\rightarrow$  Null

	①	②	③	④
Prepend	O(1)	O(1)	O(1)	O(1)
Append	O(N)	O(1)	O(N)	O(1)
rem H	O(1)	O(1)	O(1)	O(1)
remo T	O(N)	O(N)	O(N)	O(1)

{ Node : Node, Prev, Node.Next, T data  
 { Linked List: Head, tail

Linked list

get ( )  
↳ O(N)

Best: O(1)

Worst: O(N)  $\Rightarrow$   $O\left(\frac{N}{2}\right)$

Ave: O(N)

Prepend (int data)  
O(1)

VS

Array

get (int index)

↳ O(1)

return arr[index]

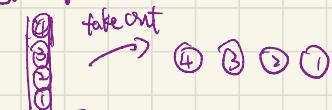
prepend (int data)

↳ O(N)

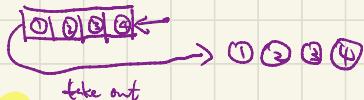


# Stack & Queue.

Stack.



Queue.



push

pop

enqueue

dequeue

- ADT = abstract data type.

Stack S: (create stack S)

Add data → operation: add  
take  
search  
⋮

) ADT basic operation.

- Stack:

First-in Last out

→ ADT: (array / linkedList) 定义 push, pop, → Stack is ADT

- DLL is Stack:

Head



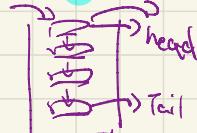
1. Append
2. prepend
3. rem
4. rem T

push():

①

pop():

②



Which one?

① + ④      ② + ③

↓

Append + rem T    Prepend + rem H

DDLL            S SLL

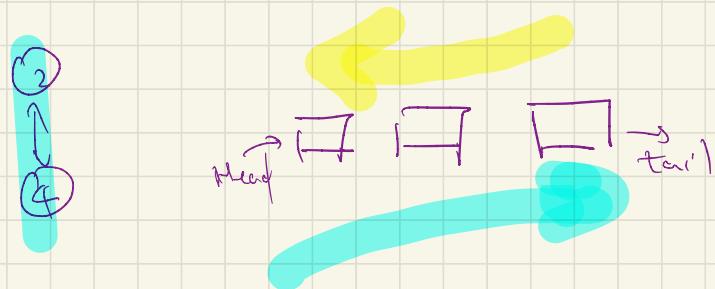
Stack:

Array	LL
push	$O(1)$
pop	$O(1)$

Queue:

LL:

1. Append, 2. prepend, 3. remove Head, 4. remove Tail



(1)+③  $\Rightarrow$  Append remove Head  $\rightarrow$  S DLL  $O(1)$

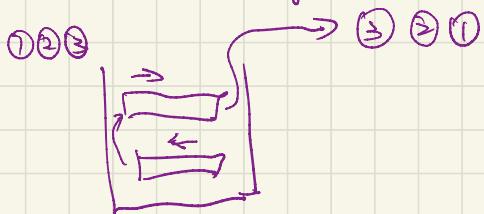
(2)+④  $\Rightarrow$  prepend remove Tail  $\rightarrow$  D DLL  $O(1)$

Ex.

2 stack implement 1. Queue in  $O(1)$



2 Queue implement 1 stack in  $O(n)$



Stack by Array

Capacity  $x_2 = O(1)$  ✓

Capacity  $f + = O(N)$

Cap X'



Push worst cases,  
 $O(N)$  ↘

Push  $\leftarrow \text{size}++$

Pop  $\leftarrow \begin{array}{|c|}\hline \text{size}-1 \\ \hline\end{array}$

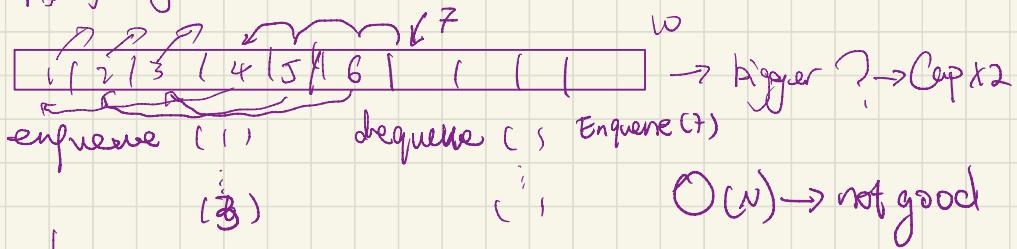
$\xrightarrow{\text{size}} (\text{size}-1)$

Queue by Array

- Enqueue()
- Dequeue()

→ ↗ Linked list Enqueue, Dequeue  $O(1)$  ✓ proved above

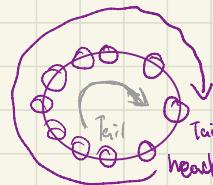
→ ↗ Array



$O(1)$   
( $\approx \text{Cap} \times 2$ )

↳ Circular array

⇒ Need int head, tail



↳ Linked List detail

Enqueue & Tail change ( $f_n$ )

$$\star : (\text{head}+1) \% \text{Capacity}$$

$$(\text{tail}+1) \% \text{Capacity}$$

eng(1)  $\Rightarrow (0+1) \% \text{Cap}$

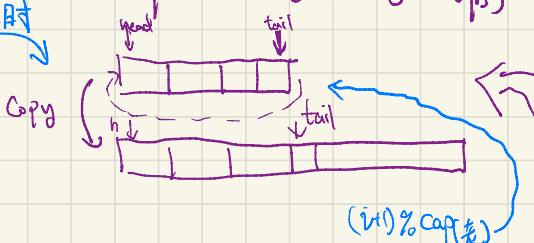
(2)  $\Rightarrow (1+1) \% \text{Cap}$

dep C  $\Rightarrow (6+1) \% \text{Cap}$

(6)  $\Rightarrow (5+1) \% \text{Cap}$

Dequeue : Head change ( $f_d$ )

需扩容时



enqueue : arr[head] = data

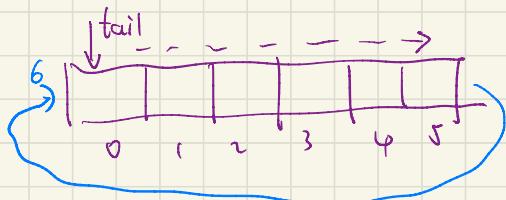
tail = [tail + 1] % cap

size++

dequeue : int result = arr[head]

head = (head + 1) % cap

$O(1)$



original: tail: index: 0  
 original: head: index: 0  
 enqueue(1) # [0-4] is empty + 1 is available.  
 L1: 2 → 1 & copy → 1  
 L2: 2 → 0 → 1 → 2 → 3 → 4 → 5  
 head: 1 → 0 → 1 → 2 → 3 → 4 → 5  
 L3: 4  
 L4: 5  
 L5: 6 → 1 → 2 → 3 → 4 → 5  
 L6: 6 → 1 → 2 → 3 → 4 → 5 → 6

Ex.

Size+1 in array stack, while it is full

Push  $\rightarrow O(n)$  Pop  $\rightarrow O(1)$

TIPS: ~~刪除其實不在~~  
 $\hookrightarrow$  不在有效 range.



Ex.

Size x 2 in array Queue, while its full

enqueue:  $O(1)$  deg.:  $O(n)$

$\leftarrow \leftarrow \leftarrow$  向左移, when delete one

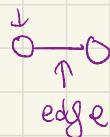
# Tree

(we cover array, LL, Tree)

- graph before tree

- graph  $\rightarrow$  

vertex(node)

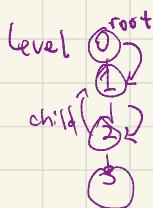


connected graph  
cycle

## Tree

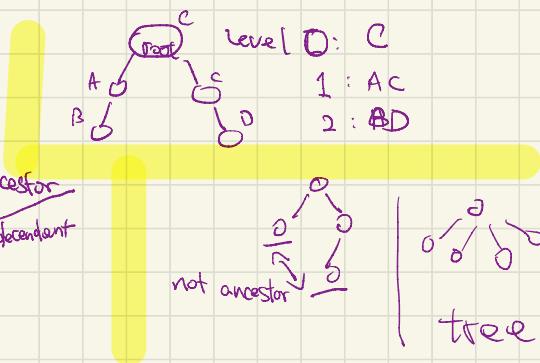
$\hookrightarrow$  graph Connected without cycle

- Tree 在哪里  $\rightarrow$  root.

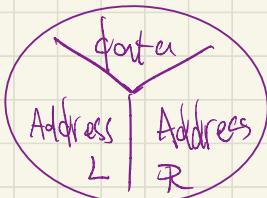


ancestor  
descendant

ancestor  
descendant



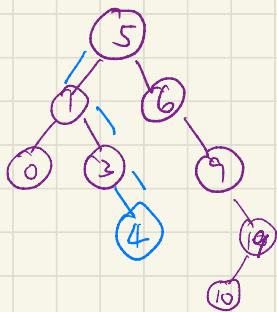
However, It's hard to get node,  
SO, restraint  $\rightarrow$  binary tree



Class Node {

int data  
Node left  
Node right }

# Binary Search Tree



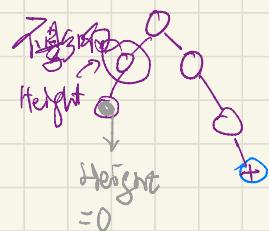
- In(4)  
对树3次  $\rightarrow$  3次 recursion
- Search(10)  $\rightarrow$  4次

Path: 点到点

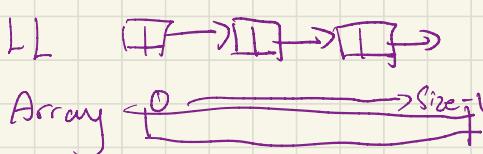
depth: 当前节点  $\rightarrow$  root

Height: 当前节点  $\rightarrow$  最下面 (level最大的节点)

Height of the tree = depth of the tree (从root)

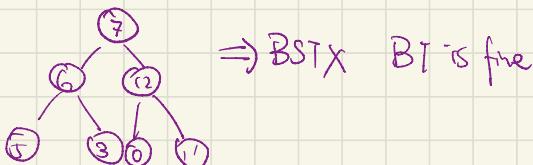


○ Traversal: 怎么样把Tree走遍



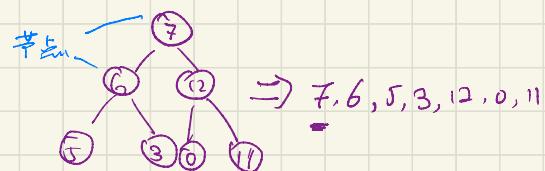
curr = head  
curr = curr.next

$\rightarrow$  Tree:



# How traversal?

Pre-order : 先自己，左，右 subtree



In-order : 左，自己，右

5, 6, 3, 7, 0, 12, 11  
=

Post-order traversal : 左，右，自己 5, 3, 6, 0, 11, 12, 7  
=

## Recurrence:

4↑ Step:

1. 大问题

2. 小问题

3. 连接

4. Base Case

base Case

Eg. fib Question

1. fib(n)

2. fib(n-1), fib(n-2)

3. fib(n) = fib(n-1) + fib(n-2)

4. fib(1) || fib(2)  $\Rightarrow 1$

Eg. int exp (int m, int n) {       $m^n$

```
public int exp (int m,int n) {
    if (n==0) {
        return 1;
    } else if (n==1) {
        return m;
    } else {
        return m * exp(m,n-1);
    }
}
```

大:  $m^n$     exp (m,n)

小:  $m^{n-1}$     exp (m,n-1)

连: ~~exp(m,n)~~ = exp(m,n-1)  $\times m$

B: n==0  $\Rightarrow$  return 1.

Eg. int Mul (int m, int n)      -2    3

```
public int Mul (int m, int n) {
    if (m==0 || n==0) {
        return 0;
    } else if (m<0 && n<0) {
        return (mul(m)*n);
    } else {
        return mul(m,n-1)+m;
    }
}
```

不用  $m \underline{\times} n$  "x"

Eg. int Sum (int[] arr, int size)

```
if (size == 0) {
    return 0
} else {
    return arr[size-1] + sum(arr, size-1)
}
```

A: arr[~~size~~] array is  
B: arr[~~size-1~~] array is  
C: arr[~~size-1~~] +  $\downarrow$   
D: size == 0  $\Rightarrow 0$

Eg.

```
{ int sum (Node head) {
    if (head == null) {
        return 0
    }
    return head.data + sum (head.next)
}
```

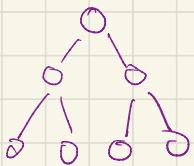
Eg.

```
boolean search(int[] arr, int size, int target) {
    if (size == 0) {
        return false;
    } else if (arr[size-1] == target) {
        return true;
    } else {
        return search(arr, size-1, target);
    }
}

boolean lSearch(Node head, int target) {
    if (head == null) {
        return false;
    } else if (head.data == target) {
        return true;
    } else {
        return lSearch(head.next, target);
    }
}
```

boolean lSearch(int[] arr, target size)
if (size == 0) {
 return false
}
if (~~arr~~[~~size-1~~] arr == target) {
 return true
}
else if (~~arr~~[~~size-1~~] arr
size ->
return boolean lSearch(int[] arr,

# Tree → Recurrence



Eg. int height (Node root)

```

if (root == null) {
    return -1;
}
int LH = height (root.left);
int RH = height (root.right);
if (LH > RH) {
    return LH + 1;
} else {
    return RH + 1;
}
  
```

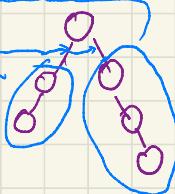
$\text{O}_0 \Rightarrow$

L: 1 R: 2

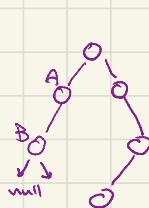
$\therefore R > L, R + 1 = 3 = \text{height}$

A: all height  
 L: ① L height ② R height  
 连: 左右高度 + 1

B: root == null return -1



Suspicious?



$\Rightarrow$  ① B:

② C:

③ Null:

④ Null:

⑤ Null:

A:  $\begin{cases} LH = 0 = -1 + B \\ RH = -1 = null \end{cases}$

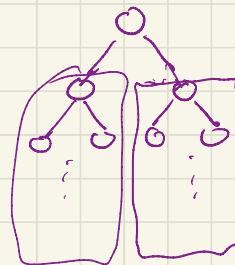
Eg. BT 有多少 Nodes?

int nodes (Node<T> root)

```
if (root == null) {  
    return 0;  
}
```

```
int left = nodes (root, getleftchild());  
int right = nodes (root, getrightchild());  
return left + right + 1;
```

}



大: Preorder 遍历量

小: O<sub>1</sub> Subtree O<sub>2</sub> Subtree

递: O<sub>1</sub> O<sub>2</sub> + 1, root

B: root == null  $\Rightarrow$  return 0

Eg. 穿过 root 的最长 Path

int LongPathPassingRoot (Node<T> root)

```
if (root == null) {  
    return 0;  
}
```

```
return int heightleft + int heightright + 2
```



Tips:

Big O: assume Tree has N nodes

Height: O(N)

Nodes: O(N)

LongPathPassingRoot: O(N)

## o BST analysis

1. 左右最多个节点

2. 自己比左大, 比右小

3. BST + inorder print  $\Rightarrow$  result: Sort by ascending (从左到右)

- Traversal: Same BT

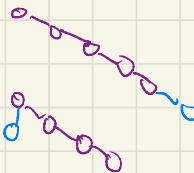
## o BST . Insert

↳ 已加入的节点，位置不会变

↳ 对于加入的新node，只能加在一个位置上。

↳ Insert 运行效率

↳ Worst Case :  $O(N)$



↳ Best Case :  $O(1)$



↳ Ave Case :  $O(\log N)$

(三分四分... $\rightarrow \log N$ )

↳ 平均情况 :  $O(\text{height})$

## o Code

```
BST Node<T> insert (BST Node<T> root, T data) {
```

```
    if (root == null) {  
        return new BTNode<T> ( , )  
    }
```

① 往左

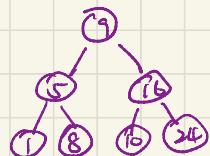
```
    if (data <= root.getData()) {  
        insert (root.getleftchild(), data);  
    }
```

our private

```
    else {② 往右  
        insert (root.getrightchild(), data);  
    }  
    return root;
```

Recurrence

## Remove BST



1. 找到要删除的节点 Search / traversal.

2. 直接修改 ① parent null (child  $\leq 1$ )

② pointer change

& parent = 5 parent

single  
or  
double

{ Remove (1): no child }

{ Remove (5): one child }

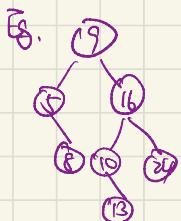
{ Remove (10): two children (find one child to replace) }

1.5 parent 需要同时处理 child nodes.  
(当删除的节点，有子节点 = 1)

## Predecessor and Successor

在 all tree, 比移 node 大的最小的 和 比 node 小的最大的 (相邻 node)

↓  
inorder



remove 16  
⇒ inorder

$\Rightarrow 5, 8, 9, 10, 13, [16], 24$

predecessor of 16 = inorder 前一个: 13

successor of 16

后: 24

(Pred for Succ 至多两个 child (左 or 右))

13 替 16

24 替 24 } 2选1

找 succ

```

BFS(BST):
    succ = None
    while not succ:
        if node.left != null:
            succ = node.left
        else:
            while node.parent != null:
                if node.parent.left == node:
                    succ = node.parent
                else:
                    node = node.parent
    return succ
  
```



?

iterative  
function

1. 先用前序遍历方法

2. 找到, 先前的父节点与当前节点

Parent 的左孩子或右孩子

如果等于右孩子

继续前序遍历直到找到第一个

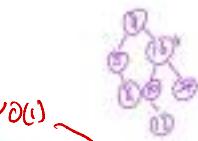
满足条件, 该节点就是前序遍历的前一个

如果等于左孩子, 重新前序遍历直到找到第一个

满足条件, 该节点就是前序遍历的前一个

如果都不满足, 重新前序遍历直到找到第一个

满足条件, 该节点就是前序遍历的前一个



0(n)

0(h)

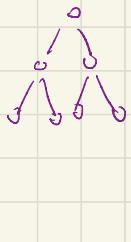
↓

↓

• Search:  $O(h)$

## • Perfect Tree

每个节点和节点都是满的，叶子节点在同一 level



$$\begin{aligned} \Rightarrow 1 &= 2^{\text{level}} \\ \Rightarrow 2 &= 2^1 \\ \Rightarrow 4 &= 2^2 \\ &\vdots \\ &2^k \end{aligned}$$

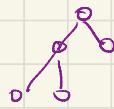
perfect tree nodes How many in total?

$$\sum_{k=0}^{\text{level}} 2^k = 2^{k+1} - 1$$

一共从上到下

## • Complete Tree

- 除了最下面的 level 之外，上面 level 全部被填
- 最下面 level，left 有节点，right 无故



Tips: Perfect tree 也是 Complete Tree

Q1: Complete tree 一定是 BST 吗？

A1: False, data

Q2: BST 一定 Complete tree

A2: False



# Balanced Tree



假如插入、删除、查找的运行时间是  $O(\log N)$  的话，它就是一个 balanced tree.

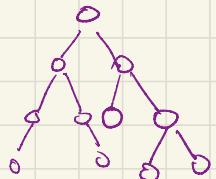
但是你忘了啊，前面有说过方法：队列 STACK 和 QUEUE

LIFO FIFO

## AVL Tree: Balanced Tree

1. 是一个 BST

2. HT 可能是左 tree Height > 右 tree Height 不超过 1 相差



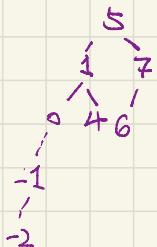
# of nodes: 11  
height: 3

insert:  $O(h)$   
search:  $O(h)$   
remove:  $O(h)$

$\Rightarrow O(\log n)$

o Insert:

→ 用 BST 的 insert 放入？



insert(6) OK

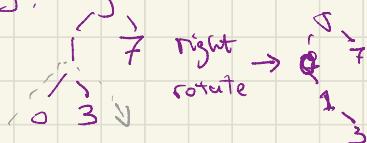
insert(-1)

insert(-2)  $\Rightarrow$  not ok  $\Rightarrow$  fix  $\Rightarrow$  (height fix)

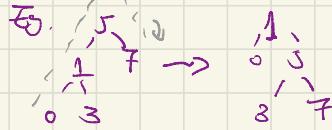
# Fix → Rotate

对于AVL rotation，对于某个节点的rotate，可左可右

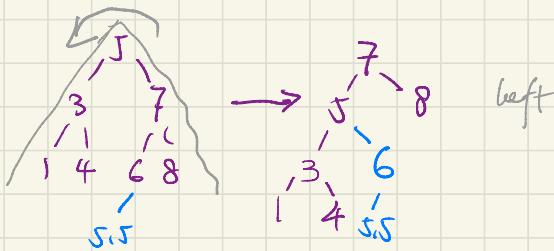
Eg.



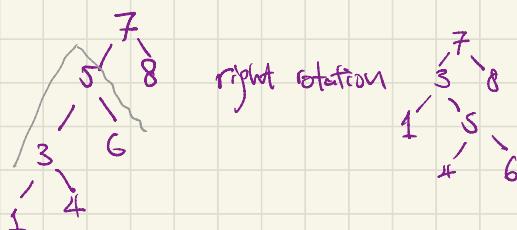
Eg.



tips: 想象成一条链条，往去的方向一拉，然后重新定义



BST旋转，rotate不改变BST特征

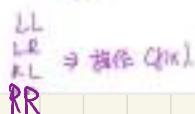
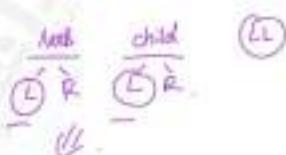
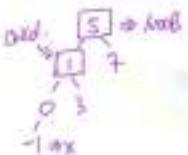


?

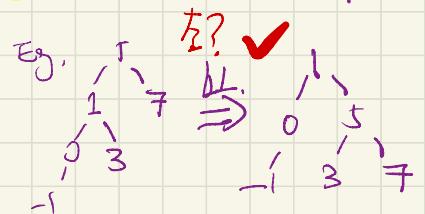
## Fix



- 平衡树的插入（插入操作，平衡方法，删除操作）
- 判断这个是否是AVL树：condition of balanced
- 对NooBT进行一次子节点，直到找到的第一次平衡的节点
- 判定子树的旋转方向：NooBT的四种情况



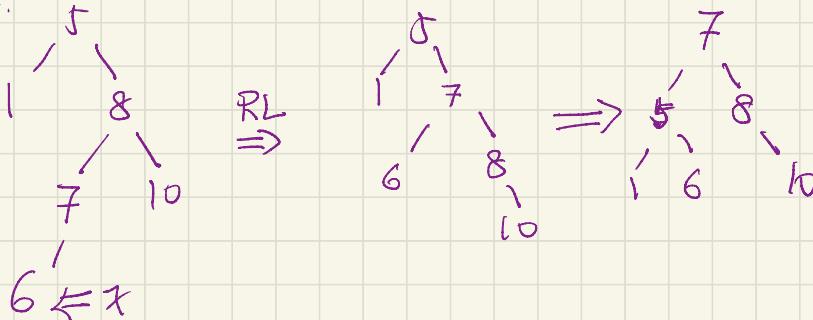
- LL: 是NooBT在rotate次，fixed then
- RR: 是NooBT在rotate次，fixed then



RL: 沿 child 向右转一次, 然后沿 Node 向左转一次, 然后 fixed

LR: 沿 child 向左转一次, 然后沿 Node 向右转一次, 然后 fixed

Eg.



AVL insert:

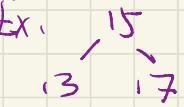
- ① 用 BST insert  
② fix Height

By O:

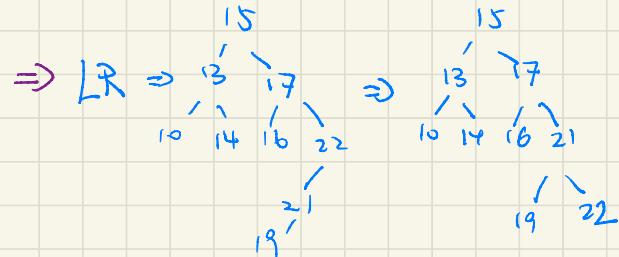
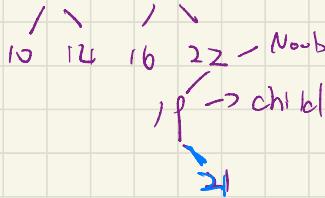
①:  $O(\log N)/O(h)$

②:

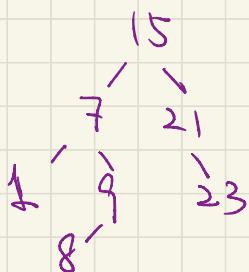
Ex.



After insert 21, what should AVL tree looks like?



# Remove:



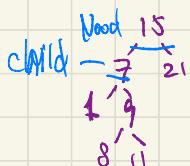
AVL:  
remove(23)

1. 先套用BST删除

2. 左右超过1?

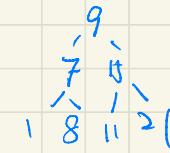
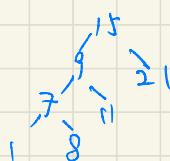
∴ fix

对于删除节点开始，一直到Root，进行修复(rebalanced)



remove 23  
右-1 = 左+1

L.R:



任何不 balanced node，把高度当成多个高度，进行 insert to fix

$\Rightarrow O(h)$

+ 1. BST remove:  $O(h) \Rightarrow \log(n)$   
+ 2. 手工 reob  $\Rightarrow O(h)$   
rebalanced (rotation)  $\Rightarrow O(1)$

$\} \Rightarrow O(h) \Rightarrow O(\log N)$

如果有一个 AVL tree 的高度是 4。请问这个 AVL tree 的最小的节点数量是多少？



#=12.

如果有一个 AVL tree 的高度是 h。请问这个 AVL tree 的最小的节点数量是多少？

min:  $N(h) \Rightarrow N(h-1) + N(h-2) + 1$ . recurrence

max: (perfect)  $\Rightarrow N(h) = 2^{h+1} - 1$

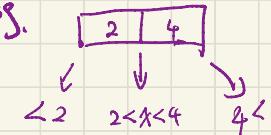
# 2-3 Tree

## 2-3 TREE

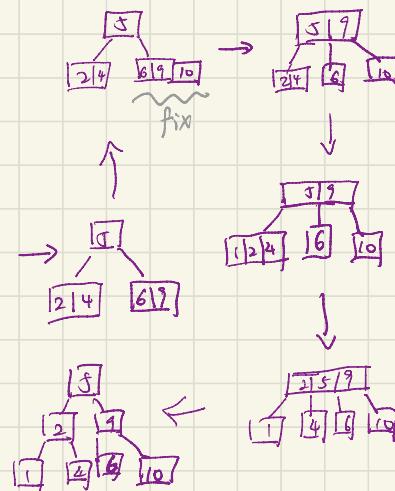
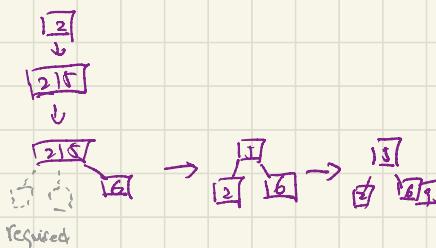
Properties of 2-3 tree:

- 1. Every non-leaf vertex has 2 or 3 children.
- 2. Every vertex stores 1 or 2 values (as opposed to standard BSTs)
- 3. The values in a node are more than everything on the right, and less than everything on the left.
- 4. All leaves has the same depth.
- 5. Nodes with two children are called 2-nodes. The 2-nodes have one data value and two children.
- 6. Nodes with three children are called 3-nodes. The 3-nodes have two data values and three children.
- 7. Always insertion is done at leaf.

Eg.



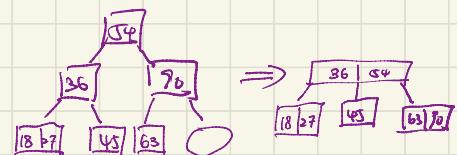
o Insert: 2, 5, 6, 9, 4, 10, 1



o Delete

Delete:

1. To delete a value, it is replaced by its in-order successor and then removed.
2. If a node is left with less than one data value then two nodes must be merged together.
3. If a node becomes empty after deleting a value, it is then merged with another node.



0

## Search :

Similar:

## 0 B Tree

### Properties of B-Tree

1. Root has between 2 and  $m$  children.
2. Every non-leaf non-root has between  $m/2$  and  $m$  children.
3. Every vertex stores a value between every two children.
4. The values in a node are more than everything on the right, and less than everything on the left. "S A G E"
5. All leaves have the same depth.
6. Every node except the root must contain at least  $\lceil \frac{m}{2} \rceil - 1$  keys. The root may contain a minimum of 1 key.
7. All nodes (including root) may contain at most  $(\lceil \frac{m}{2} \rceil + 1)$  keys.
8. Number of children of a node is equal to the number of keys in it plus 1.



The minimum height of the B-Tree that can exist with  $n$  number of nodes and  $m$  is the maximum number of children of a node can have

$$= h_{\min} = \lceil \log_m(n+1) \rceil - 1$$

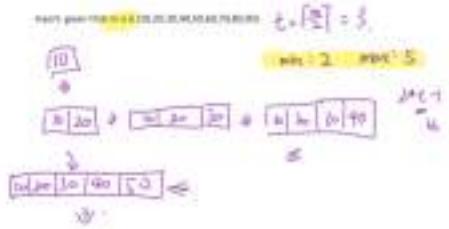
The maximum height of the B-Tree that can exist with  $n$  number of nodes and  $t$  is the minimum number of children that a non-root node can have

$$= h_{\max} = \lceil \log_t \frac{n+1}{2} \rceil \quad t = \lceil \frac{m}{2} \rceil$$

key : node 中的值  
节点中的值

### Insertion

- 1) Initialize x as root.
- 2) While x is not leaf, do following:
  - a) Find the child of x that is going to be traversed next. Let the child be y.
  - b) If y is full, change x to point to one of the two parts of y. Else second part of y. When we split y, we move a key from y to its parent x.
- 3) The loop in step 2 stops when x is leaf. x must have space for 1 extra key as we have been splitting all nodes in advance. So simply insert k to x.



## B-Tree delete

### BST

When deleting a node from a leaf, the leaf can become empty  
This sometimes called "underflow"

In this case we need to rebalance the node

Rebalancing:

If possible, borrow from the right sibling

Otherwise, if possible, borrow from the left sibling

(i.e., all siblings have only one value)

Merge the parent with the right sibling, if possible

Otherwise merge the parent with the left sibling

\*\* Fix the parent if needed (e.g., using recursion)

## B-Tree Search

### BST

# Priority Queue - (Binary) Heap

- Priority Queue:

带有优先级的排队

- operation:

insert() / add()

remove Highest Priority () 优先级

- Property: 优先级最高的先出来，优先级具体情况具体分析

Tips: 不需要 index 在 PQ

- Design PQ:

class Priority Queue {

ArrayList<T> arr

public void insert (int data);  $\rightarrow$  curr.add (data);

public int removeMax();  $\rightarrow$  找到最大的 (O(N))  
2. delete

Tips: insert  $\rightarrow$  O(1)

remove  $\rightarrow$  O(N)  $\Rightarrow$  not good

LL  $\Rightarrow$  O(N)

Consider:

如果用 array 写，insert (从尾写) + 每次找最大值

→ insert  $\times N$  次然后 remove  $N$  次

$$\hookrightarrow \begin{array}{l} \text{insert: } O(1) \times N \Rightarrow O(N) \\ \text{Remove: } O(N) \times N \Rightarrow O(N^2) \end{array} \left. \begin{array}{l} \\ \end{array} \right\} O(N)$$

$O(N^2)$  is not good, so: change insert/remove  
to  $O(\log N)$  in some way.

$$\rightarrow O(\log N) \times N + O(\log N) \times N \Rightarrow O(N/\log N)$$

desired

↓ How?

Complete tree (binary heap)  $\Leftarrow$  PQ logic of this



使用: 概念  $\Downarrow$  使用写法  
Binary heap

编写者: Complete binary tree  $\rightarrow$  选择

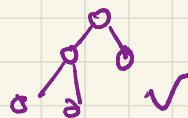
↓  
Array / LL

∴ PQ 没有规定用什么完成 (array, LL, arraylist, complete tree)

∴ Binary heap 是 PQ 的一种

⇒ Binary Heap: ① max heap  $\Rightarrow$  remove Max  
 ② min heap  $\Rightarrow$  Remove Min

TIPS: Complete Binary Tree:



⇒ Binary Heap 遵循上:

1. 必定是 Complete binary Tree

"上大下小"

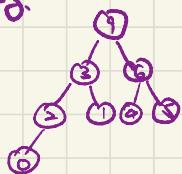
2.  $\Rightarrow$  remove Max  $\Rightarrow$  Max heap  $\Rightarrow$  对于任一节点来说,  
 它比其它 child 更大.

$\Rightarrow$  remove Min  $\Rightarrow$  Min heap  $\Rightarrow$



"上小下大"

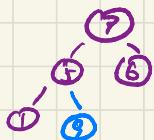
Eg.



Maxheap ✓

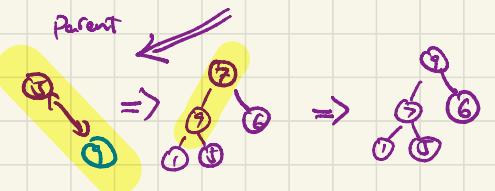
max值  $\rightarrow$  root  
 min值  $\rightarrow$  root  $\Rightarrow$  ROOT

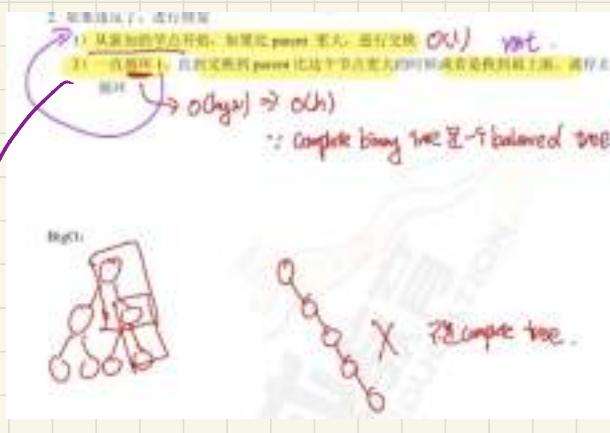
⇒ Insert:



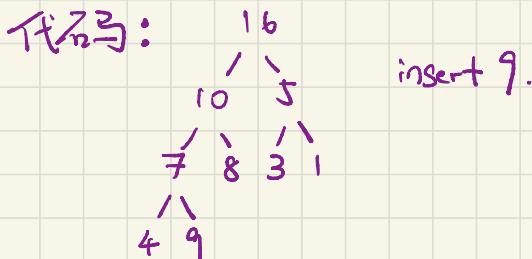
insert 9  $\Rightarrow$  fix order needed

Parent

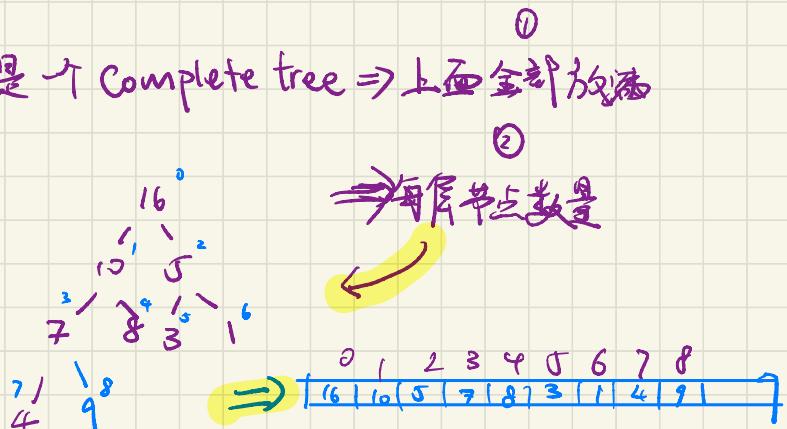




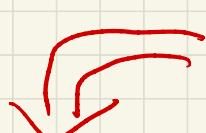
$\Rightarrow$  Bubble up (fix)



因为 binary heap 是一个 Complete tree  $\Rightarrow$  上面全部放满



$+9 \Rightarrow \text{arrayList}$  最后一位



tree node  $\Rightarrow$  index

找 index 规律

对树父节点怎么找?  $\rightarrow$  tree  $\Rightarrow$  array

Q: array 的 index  $\Rightarrow$  parent index?

3 的 parent  $\Rightarrow$   $\frac{index}{2}$  parent index

8	10	4	1
4	7	7	3
9	7	8	3
	i	parent	

$$\Rightarrow i \Rightarrow \left\lceil \frac{(i-1)}{2} \right\rceil$$

X

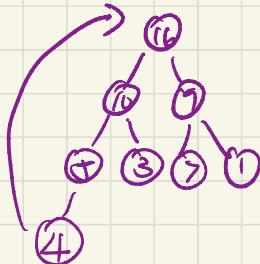
Code:

```
public class Myarray {
    int[] arr;
    public void push_back(int index) {
    }
```

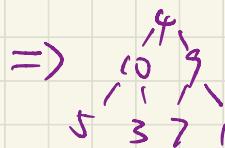
```
public class Myarray {
    Myarray arr;
    public Myarray() {
        arr = new Myarray();
    }
    public void insert(int data) {
        arr.push_back(data);
        bubble_up(arr.size()-1);
    }
    public void bubble_up(int index) {
        int parentIndex = (index-1)/2;
        if(index > 0) {
            if(arr[index] < arr[parentIndex]) {
                Swap {
                    index {
                        arr[index] < arr[parentIndex];
                        arr[index] = arr[parentIndex];
                        arr[parentIndex] = temp;
                        parentIndex = (index-1)/2;
                    }
                }
            }
        }
    }
}
```

classin

# Remove Max/min



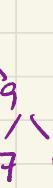
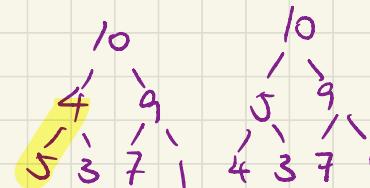
① CBT ② heap 方法



1. 先把最后一层提到root上,  
假設第一條

2. fix

Ex:

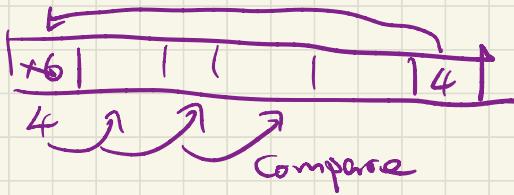


2. 从root开始一直到最下面, 找left child & right child

(三个值比较)

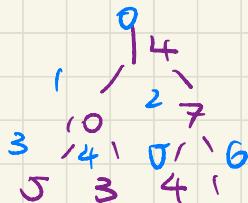
1) 最大值是自己, 不换

2) 不是自己, 要换, 循环这个过程



$O(\log N)$   $\approx \infty$   
 $O(n)$   $\approx \infty$

Bubble down:



index  $i \Rightarrow i$  left child

0  $\Rightarrow$  1, 2  
1  $\Rightarrow$  3, 4  
2  $\Rightarrow$  3, 4  
3  $\Rightarrow$  7, 8

index  $i$  left child:

$2i+1$

right child:

$2i+2$

→ arraylist

```
public int removeMin(){
    int result = arr[0];
    arr[0] = arr[arr.size()-1];
    arr.pop();
    bubble_down(0);
    return result;
}
```

```
public void bubble_down(int i){
    int left = 2 * i + 1;
    int right = 2 * i + 2;
    int min = i;
    if(arr[left] < arr[min] && left < arr.size()){
        min = left;
    }
    if(arr[right] < arr[min] && right < arr.size()){
        min = right;
    }
    if(min == i){
        return;
    }
    else{
        int temp = arr[i];
        arr[i] = arr[min];
        arr[min] = temp;
        bubble_down(min);
    }
}
```

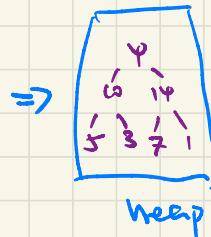
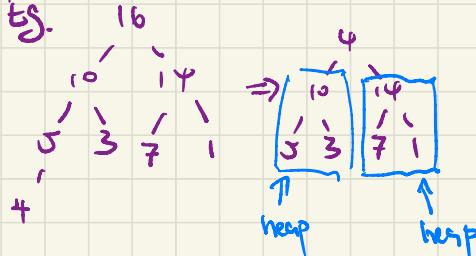
Swap {  
Rec → }

bt

# Heapify:

bubble-down (index) → H. indexify 往下 fix

Eg.



⇒ Bubble-down: 把两个 heap  
+ 来的 element  
= 大的 heap

## 1. Heap:

2个属性:

1. Complete binary tree ↗快

1. Complete binary tree ↗快

2. 对于所有 node, its priority > child's priority (优先级)

Heap 是 PQ 的一种

(Ex)

boolean isMinHeap (ArrayList<int> arr) {

1. // check root 以下所有节点

2. // 0 ~ size() - 1

3. // check i ⇒ parentIndex : Parent < i , childIndex: i > children.

$\frac{(i-1)}{2}$

2i+1  
and  
2i+2

2. Heapify: 把不是 heap 的 array 变成 heap array (有值先填)

方法1: 把 array 的所有 element, insert by heap 里

得到的 heap 里的 array 就是 heap array.

$O(N \log N)$

$n^i$  elements insert

insert

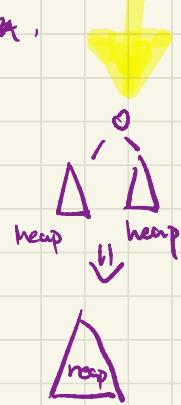
方法2: (变成  $O(N)$  time)

本质

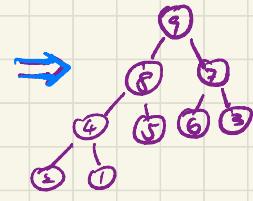
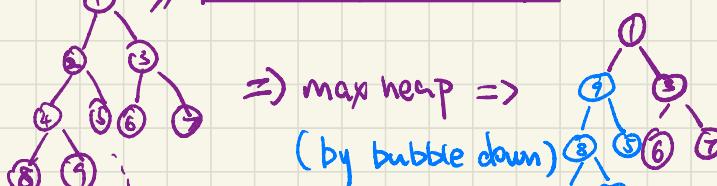
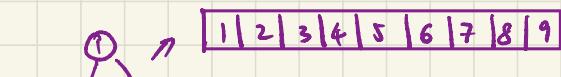
Bubble down: 找出 current & its children 最大是谁, 跟 current 比较,  
再 → 最大那个也继续 bubble down.

出现在 heap 的 remove 的 for 中

for: 把不是 heap 的 array 变成一个 heap.



\* 把两个 heap 合并成一个元素全填一个大的 heap



9  
8 4  
7 4  
6 4

9 8 7 6 5 4 3 2 1

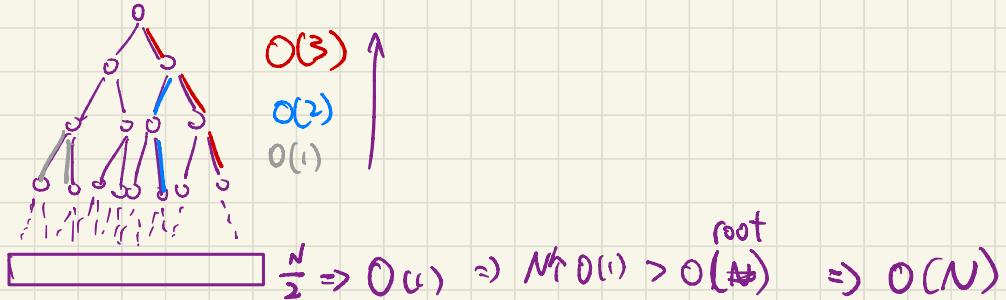
Q:

Bubble down 从哪个开始? 最后一个 Parent  $\Rightarrow (\frac{n}{2} - 1)$

从哪个停? 到 root 结束 (parent index = 0)

Eg. 4 → 7 → 8 → 9

Big O:  $O(N)$  Why?



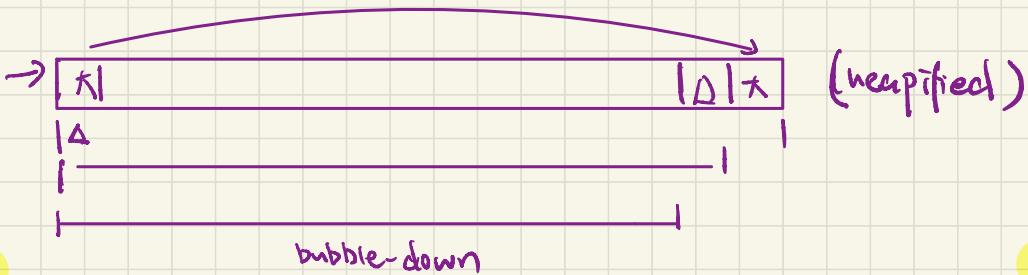
### 3. Heap Sort.

学过的：bubble - selection - insertion - merge - heap ( $\frac{1}{2}k-1$ )

1. Heapify  $O(N)$

bubble-down  
不一定排序

2.



把最后一位和 root 交换，

$O(1)$

$O(N)$  from 1

$O(n \log n)$

执行 bubble-down, 把 heap 修好

$O(\log N)$

循环这个 logic, 直到 heap 只剩一位为止  $O(\log N)$

任何对比较的 sort 算法, 运行效率一定不快  $O(N \log N)$

## 4. Hash tables:

Previous: AVL : insert()  
remove()  $\Rightarrow O(\log n)$   
Search()

→ 空间与时间 trade off : ① AVL Space complexity is  $O(1)$   
不需要额外空间

(空间 < 时间) weight  
空间换时间

② 为什 Previous 数据结构 都是最快  $O(\log(n))$   
A: Comparison.

How to get rid of this Comparison?  $\Rightarrow$  Hash (不是比)

Hash Function : (根据本身决定它放在什么位置)

把数据 (key)  $\Rightarrow$  array's index  
 $f(\pi)$

(Hash function 是给定的) Tips: 不在 perfect hash function

Eg.

$$\text{hash}(n) = \underline{n^2} \rightarrow \text{位置}$$

insert: 根据 data 算出位置,  $(\text{hash}(n) \% \text{array.size})$   $\rightarrow$  位置  
 $\xrightarrow{\quad}$   $O(1)$

remove: 算出位置, delete, 返回  $O(1)$

Search: 算出位置, 返回  $O(1)$

↳ Eg.  $\text{hash}(n) = n^2$

insert(100)

insert(11)

0	1	2	3	4
100	1	1	1	1

Q: array's size 太大?

Array Size 和数据 N 位应存在什么关系? (小 = 大)

两个数据  $\rightarrow \text{hash}(n) \% \text{array.size} \Rightarrow \text{index}$  是一样的 ↓

Array Size 应远大于 N.

$\gg \underline{(N^2)}$  (standard)

size ↑ 重复位置 ↓

如果两个数据一样:

Hash Collision: 1. Open addressing      2. Chaining (LinkedList)

Chaining:

a[5]

--	--	--	--	--	--	--

↓

10
100

a[0].append(10);

↓

null

把每个array格子变成一个LL, 如果有 collision, 把元素 append 到 LL 里,  
Search 也可做到, 把每一层 LL 走一遍

# Open addressing (开放地址法)

假设哈希  $h=100 \Rightarrow \text{index} = 0 \rightarrow \text{arr}[0]$  已有值

## 1. Probing: (向后顺序探查)

i) linear probing: if index 放不了, 看  $\text{index} + 1$   
if  $\text{index} + 1$  放不了, 看  $\text{index} + 2, \dots$  etc

ii) quadratic Probing: if index 放不了, 看  $\text{index} + 1^2$   
if index 放不了, 看  $\text{index} + 2^2, \dots$  etc

iii) Cube Probing: Same

$$\begin{matrix} 1^3 \\ 2^3 \end{matrix}$$

## 2. double hashing: (F. 沿续)

hash 2 给定的

if index 放不了, 看  $\text{index} + \text{hash2}(n)$

if  $\text{index} + \text{hash2}(n) \dots$ , 看  $\text{index} + 2 \text{hash2}(n)$

分析一题:

real world: chaining V. open address

如果出现碰撞: 链表平均长度  $(\text{chain length} = O(1))$

回答: 将处理碰撞的效率 (控制在常数范围内)

① hash function 处理 index 分布数据均匀时: 避免机率好。

②  $\text{array size} = 2^n$

load factor: Collision 后不是 O(1)

= 已经放入 hash table 的元素数量 / hash Table array. size  
(占用比例)

Eg. 放 10 个, array. Size = 16

$$\text{load factor} = \frac{10}{16} = 0.625 \Rightarrow 62.5\%$$

Q1: 对于 hash table 来说, load factor 有没有可能大于 1 (100%)

A1: 举例

- ↓      ① chaining ✓  
      ↓      ② open addressing ✗

if 使用 open addressing, 一般 load factor > 0.6, → 搞容

Chaining → 0.9      ↳ 公给定

搞容后需重新位置?

需要, 位置 ( $\text{hash}(n) \% \text{array size}$ ), 全部新算位置

why O(1)

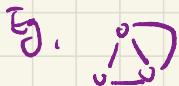
若有 collision, 需 solve (collision  $\neq O(1)$ ), 但 load factor 在较小范围,  
hash function 有效  $\Rightarrow \Pr(\text{碰撞}) \downarrow$

$\therefore O(1)$  is average.

# Graph:

In tree / LL 最基本的单元：node edge .  $\square \rightarrow \square$

所有的 graph 都是由 node 节点和 edge 边构成的



tree: no cycle , 所有 tree 都是 graph

(只要由点和边构成的都是图) Graph

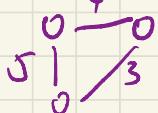


Connected graph:

Directed / undirected



weighted / unweighted graph (距离)



# How Code $\leftrightarrow$ graph Connected?

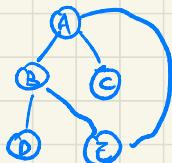
## 1. adjacency list:

不好找 element

```
public class Node{
```

T data;

ArrayList<Node>;  $\Rightarrow$  Neighbors.



A :



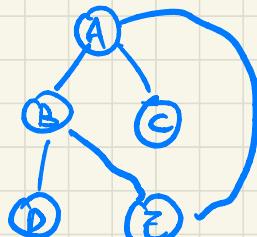
LL  $\Rightarrow$  tree

V : # of vertices (节点数)

E : # of edges (边数)

Adjlist memory space:  $O(V+E)$

## 2. adjacency Matrix



	A	B	C	D	E
A	1	1	0	1	
B	1	0	1	1	
C	1	0	0	0	
D	0	1	0	0	
E	1	1	0	0	

1 連  
0 不連

memory Space:  $O(V^2)$

# Adj list VS Adj matrix

1. E, V 是否存在数量上的关系

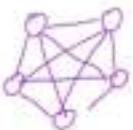
List  $O(V+E)$  Matrix  $O(V^2)$

$$E \geq V \quad E \leq V \quad E = V$$

Q: connected graph:  $\frac{1}{2}(V-1) \cdot V$ ,  $\Rightarrow O(V^2)$

$$V=4 \quad V=1$$

5个顶点，最多  $(V-1) \cdot V$  条边！  $\Rightarrow O(4 \cdot 3 \cdot 2 \cdot 1)$



$$V^2 - 1 + 2 + 3 + \dots + V-1$$
$$\frac{(V-1)V}{2}$$

当 graph E 很多时 (dense graph) Matrix

当 graph E 少时 (sparse graph) List,

We have done: insert remove

Now Search

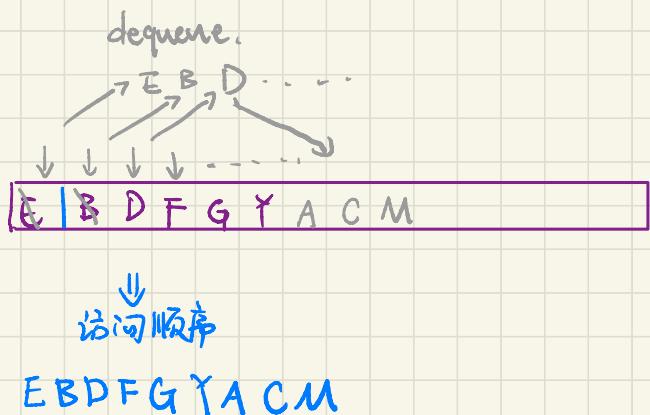
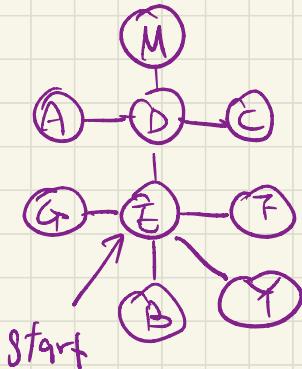


Traversal : Graph traversal  $\Rightarrow$  BFS/DFS

DFS: Stack 来存, 遍历节点

BFS: Queue

# BFS



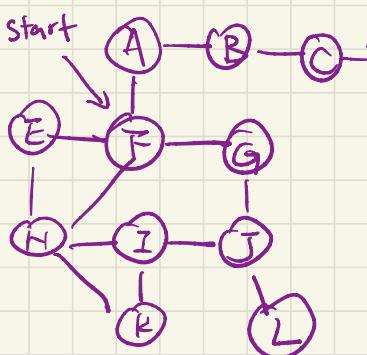
1. 创建一个 queue，把起点标记为已走过 (visited) 并加进 queue 里。

2. While queue is not empty:

- Peek queue's top node (看一眼 queue 头上的元素)
- 把所有跟这个元素相连的并且没有被标记过的元素进行标记，并加进 queue 里。
- 从 queue 里进行 dequeue (刚刚这个元素去掉)

# DFS

谁先走谁



Pop:

DCBA

Pop:

GLJKIHEF

↓  
Visit:

F A B C D E H I J G L K

1. 创建一个 stack。把起点标记为已走过 (visited) 并加进 stack 里。

2. While stack is not empty:

- 看一眼 (peek) stack 头上的元素

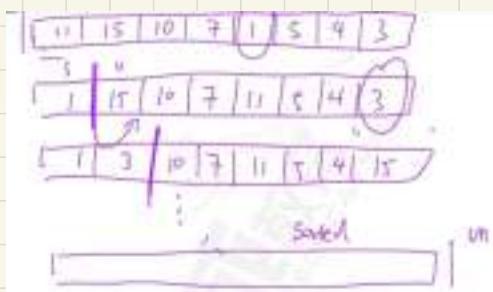
If 如果有一个相邻的节点没有被标记，对它进行标记并加进 stack 里

Else 如果所有的相邻的节点都被标记了，就 stack pop

Selection Sort:  $O(N^2)$

把 array 分为两个部分, Sorted (空的), Unsorted

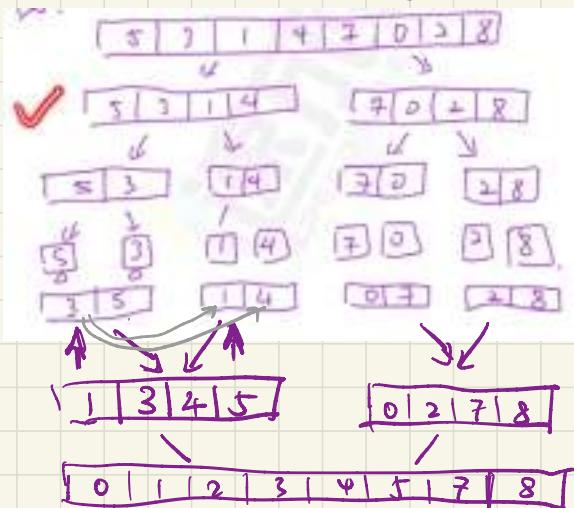
从 unsorted 找出最小值, 放到 sorted, 重复, 直到 unsorted 是没有 elements



```
void selectionSort(int arr[], int n)
{
    int i, j, min_idx;
    for (i = 0; i < n; i++)
    {
        min_idx = i;
        for (j = i+1; j < n; j++)
        if (arr[j] < arr[min_idx])
            min_idx = j;
        int temp = arr[min_idx];
        arr[min_idx] = arr[i];
        arr[i] = temp;
    }
}
```

Merge Sort :

核心思想:  $O(N \log N)$



Cons: 需要额外空间

Space Complexity  $O(N)$

Big O:  $O(N \log N)$

Merge Sort 不是 inPlace Sort

InPlace Sorting

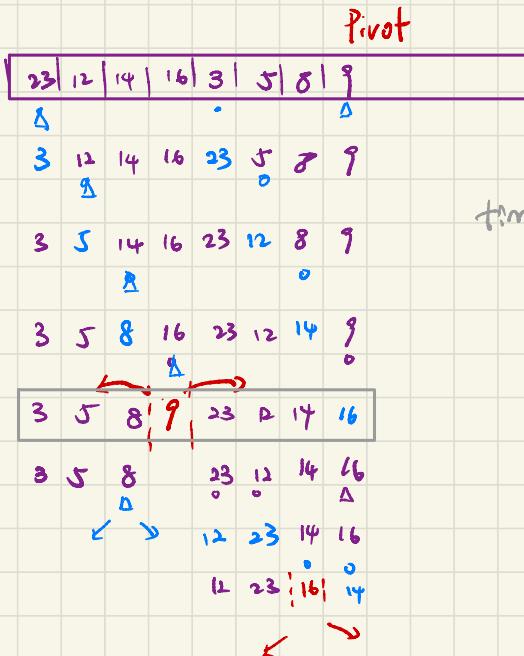
Sort 算法

# Quick Sort: Pivot

灵感来自: Partition ()

→ 分区, 站队 (array 分成两个部分, 左边比某数↑, 右边大)

Eg.



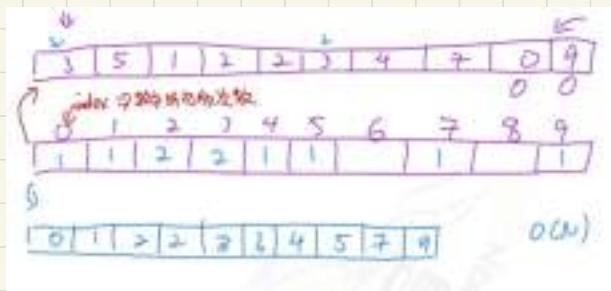
1. 找第一个比 "9" 大 的数 → 换
2. 找第一个比 "9" 小 的数

time:  $O(n \log(n)) \Rightarrow O(n^2)$  (worst)

1 2 3 4 5 6 7 8 9 10 以排好情况

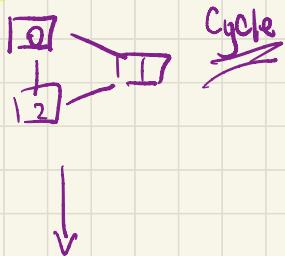


Counting / Bucket Sort  $\Rightarrow O(N)$  not normal sort



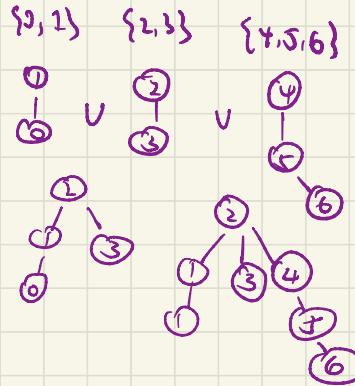
— radix sorting —  
|

# Union-Find Algorithm



$0: \{0\}$      $1: \{1\}$

子集                  父集



We assume: 0 是 1 parent

$0: \{0, 1\}$   
or

→ 1 是 0 的 parent

$1: \{0, 1\}$



$\{1, 1, 2\}$

$\Downarrow$

0

1-2

$1: \{0, 1\}$      $2: \{2\}$ .

→ We assume: 2 是 1 的 parent

$2: \{0, 1, 2\}$ ,

$\{2, 2, 2\} = \{2\}$

↓              ↓  
0              1

子集

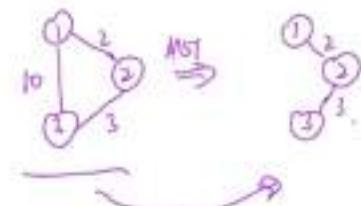
# MST

## 3. Minimum Spanning Tree (MST)

A minimum spanning tree (MST) is defined as a spanning tree that has the minimum weight among all the possible spanning trees.

### Properties of a Spanning Tree:

- 1) The spanning tree holds the below-mentioned principles: **VSEPR**
- 2) The number of vertices ( $V$ ) in the graph and the spanning tree is the same.
- 3) There is a fixed number of edges in the spanning tree which is equal to one less than the total number of vertices ( $|E| = V - 1$ )
- 4) The spanning tree should not be disconnected, as it there should only be a single source of component, not more than that.
- 5) The spanning tree should be acyclic, which means there would not be any cycle in the tree.
- 6) The total cost (or weight) of the spanning tree is defined as the sum of the edge weights of all the edges of the spanning tree. **Weighted**.
- 7) There can be many possible spanning trees for a graph.



## Kruskal's Algorithm ↳ leading to

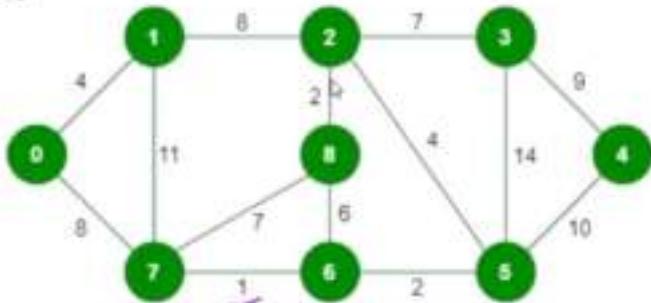
### 4. Kruskal's Algorithm

给出一个图的 MST  
步骤:

1. Sort all the edges in non-decreasing order of their weight.
2. Pick the smallest edge. Check if it forms a cycle with the spanning tree formed so far. If the cycle is not formed, include this edge. Else, discard it.
3. Repeat step 2 until there are  $|V|-1$  edges in the spanning tree.

对于 2 的判断使用 UFA 算图

Eg:



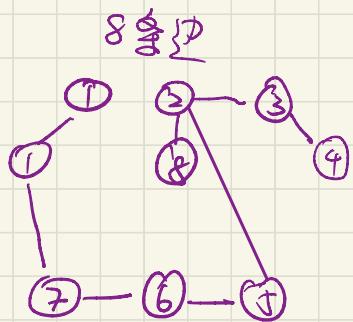
MST

Weight

Source

Destination

1	7	6	✓
2	2	8	✓
2	6	5	✓
4	0	1	✓
4	2	5	✓
6	8	6	✗ *
7	2	3	✓
7	7	8	✗ *
8	0	7	✓
8	1	2	✗
9	3	4	○
10	5	4	✓
11	1	7	
14	3	5	



# Review



o Hash table (Java 自带 ) O(n)

↳ time  $\Theta(n)$ , space  $\Theta(n)$   
不稳定性

- hash Function: key  $\rightarrow$  Array Index

$$\text{hash}(n) = n^2$$

插入排序:  $n \log(n)$

Heap / Merge /

more space

bucket Sort  $\rightarrow O(n)$

O(1) - insert: 根据 key 计算位置, ( $\text{hash}(n) \% \text{array size}$ )

O(1) - remove: [将 key 放回原位]

O(1) - Search, [取出位置, 返回]

eg. index + 1

original index +  $\text{hash}(n) \% \text{array size}$

- [ Open Addressing  $\rightarrow$  Probing  $\rightarrow$  Double hashing  
Chaining (DLL 也不适用,  $\Rightarrow$  无 index) ]

→ avoid collision { hash Function  
more space }

- load factor }  $\frac{\text{actual HashTable size}}{\text{array size}} / \text{array size}$   
}  $\frac{10}{16} = 60\%$

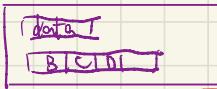
↳ tips: LL 该元素的 # > array size

↳ Resize  $\rightarrow$  重新算位置 (hash(n) % array size)  
Array

# Graph.

Adjacency list:

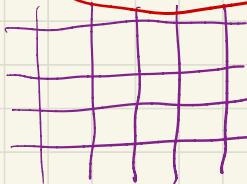
↳ Array A:



Space:  $O(V+E)$

Adjacency Matrix:

Space:  $O(V^2)$



tips: E多, Matrix进  
E少, List进

DFS { List:  $O(V+E)$   
BFS { Matrix:  $O(V^2)$   
Time

Space -  $O(V)$

DFS / BFS

(从大走大)

DFS

