

THE UGANDA NATIONAL PANEL SURVEY 2009/10



Uganda Bureau of Statistics

COMMUNITY/FACILITY QUESTIONNAIRE

[To be answered by Community members and Heads of Selected facilities]

| SECTION 1A: IDENTIFICATION PARTICULARS | | | | |
|---|--|--|--|--|
| 1. District name and code | | | | |
| 2. County/Municipality | | | | |
| 3. Sub-County/Division/Town Council | | | | |
| 4. Parish/Ward | | | | |
| 5. EA | | | | |

Response Status by Sector

| Sector | Title of Officer answering | Response Status 1=Completed 2=Partially Completed 3=Not filled |
|--------------------------------|----------------------------|---|
| (1) | (2) | (3) |
| 1. Service Availability in LC1 | | |
| 2. Education | | |
| 3. Health | | |
| 4. Road Infrastructure | | |

**This survey is being conducted by the Uganda Bureau of Statistics
under the authority of the Uganda Bureau of Statistics Act, 1998.**

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PUBLIC DISCLOSURE AUTHORIZED

SECTION 1B: STAFF DETAILS AND SURVEY TIME

| | | | | | | | | | | | | | |
|---|--|-----|-----|----------|--|----------|--|--|--|---|--|--|--|
| 1. NAME OF INTERVIEWER: | | | | | | | | | | CODE: | | | |
| 2. SERVICE AVAILABILITY IN LC1 | | | | | | | | | | | | | |
| 2A. Date of Interview (DD/MM/YYYY): | | | | | | | | | | | | | |
| 2B. Start Time (HH:MM): | | | | | | : | | | | 2C. End Time: | | | |
| 2D. Response Code (First Visit): | | | | | | | | | | 1=Completed 2=Partially Done 3=Not Done | | | |
| 2E. Response Code (Second Visit): | | | | | | | | | | | | | |
| 2F. GPS Coordinates (for community centre): | | | | | | | | | | | | | |
| | | N=1 | S=2 | D | | M | | | | | | | |
| LAT | | | | | | | | | | | | | |
| LONG | | | | | | | | | | | | | |

| | | | | | | | | | | | | | |
|-------------------------------------|--|-----|-----|----------|--|----------|--|--|--|---|--|--|--|
| 3. EDUCATION | | | | | | | | | | | | | |
| 3A. Date of Interview (DD/MM/YYYY): | | | | | | | | | | | | | |
| 3B. Start Time (HH:MM): | | | | | | : | | | | 3C. End Time: | | | |
| 3D. Response Code (First Visit): | | | | | | | | | | 1=Completed 2=Partially Done 3=Not Done | | | |
| 3E. Response Code (Second Visit): | | | | | | | | | | | | | |
| 3F. GPS Coordinates (for facility): | | | | | | | | | | | | | |
| | | N=1 | S=2 | D | | M | | | | | | | |
| LAT | | | | | | | | | | | | | |
| LONG | | | | | | | | | | | | | |

| | | | | | | | | | | | | | |
|-------------------------------------|--|-----|-----|----------|--|----------|--|--|--|---|--|--|--|
| 4. HEALTH | | | | | | | | | | | | | |
| 4A. Date of Interview (DD/MM/YYYY): | | | | | | | | | | | | | |
| 4B. Start Time (HH:MM): | | | | | | : | | | | 4C. End Time: | | | |
| 4D. Response Code (First Visit): | | | | | | | | | | 1=Completed 2=Partially Done 3=Not Done | | | |
| 4E. Response Code (Second Visit): | | | | | | | | | | | | | |
| 4F. GPS Coordinates (for facility): | | | | | | | | | | | | | |
| | | N=1 | S=2 | D | | M | | | | | | | |
| LAT | | | | | | | | | | | | | |
| LONG | | | | | | | | | | | | | |

| | | | | | | | | | | | | | |
|---|--|-----|-----|----------|--|----------|--|--|--|---|--|--|--|
| 5. ROAD INFRASTRUCTURE | | | | | | | | | | | | | |
| 5A. Date of Interview (DD/MM/YYYY): | | | | | | | | | | | | | |
| 5B. Start Time (HH:MM): | | | | | | : | | | | 5C. End Time: | | | |
| 5D. Response Code (First Visit): | | | | | | | | | | 1=Completed 2=Partially Done 3=Not Done | | | |
| 5E. Response Code (Second Visit): | | | | | | | | | | | | | |
| 5F. GPS Coordinates (for sub-country office): | | | | | | | | | | | | | |
| | | N=1 | S=2 | D | | M | | | | | | | |
| LAT | | | | | | | | | | | | | |
| LONG | | | | | | | | | | | | | |

| 6. COMMUNITY ORGANISATIONS | | | | | | | | | | | | |
|---|-----|-----|---|---|---------------|----------|--|---|---|--|--|--|
| 6A. Date of Interview (DD/MM/YYYY): | | | | | | / | | | / | | | |
| 6B. Start Time (HH:MM): | | | : | | 6C. End Time: | | | : | | | | |
| 6D. Response Code (First Visit): | | | | 1=Completed 2=Partially Done 3=Not Done | | | | | | | | |
| 6E. Response Code (Second Visit): | | | | | | | | | | | | |
| 6F. GPS Coordinates (for sub-country office): | | | | | | | | | | | | |
| | N=1 | S=2 | | D | | M | | | | | | |
| LAT | | | | | | | | | | | | |
| LONG | | | | | | | | | | | | |

SECTION 2: SERVICE AVAILABILITY IN LC1

To be answered by community officials

SECTION 2A :Availability of services in the LC 1 (most common)

| Sr. No | Item | Is [SERVICE] available to members of the LC1 (even if they must travel to use it?) 1=Yes, within the LC1 2=Yes, outside the LC1 3=No>> NEXT ITEM | What is the name of the provider to which community members most often go to use [SERVICE]? NAME | What is the distance from village center to [SERVICE] (i.e. geographical middle - in kms)? | What is the most common means of transport to [SERVICE]? 1=Walking 2=Taxi (car) 3=Pickup/truck 4=Bus/Minibus 5=Boda-boda (bicycle) 6=Boda- boda (Motorcycle) 7=Own motorcycle 8=Own bicycle 9=Boat 10=Own car 96=Other (specify) | What is the time taken to get to [SERVICE] from village center using common means of transport (in minutes)? | How do you rate the quality of service offered by [SERVICE]? 1=Good 2=Average 3=Poor |
|--------|---|---|--|--|---|--|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Government Primary School | | | | | | |
| 2 | Private Primary School | | | | | | |
| 3 | Government Secondary School | | | | | | |
| 4 | Private Secondary School | | | | | | |
| 5 | Technical/Vocational School | | | | | | |
| 6 | Alternative Basic Education for Karamoja (ABEK) | | | | | | |
| 7 | Functional Adult Literacy (FAL) Centre | | | | | | |
| 8 | Government Health Centre | | | | | | |
| 9 | Government Hospital | | | | | | |
| 10 | Private (NGO) Clinic | | | | | | |
| 11 | Private Hospital | | | | | | |
| 12 | Pharmacy | | | | | | |
| 13 | Bank/Financial Institution | | | | | | |
| 14 | Post Office | | | | | | |
| 15 | Market Selling Agricultural Inputs | | | | | | |
| 16 | Market Selling Agricultural Produce | | | | | | |
| 17 | Market Selling Non-Agricultural Produce | | | | | | |
| 18 | Primary Market for Livestock | | | | | | |
| 19 | Trunk Road (Tarmac) | | | | | | |
| 20 | Trunk Road (Murrum) | | | | | | |
| 21 | Feeder/District Road | | | | | | |
| 22 | Community Road | | | | | | |
| 23 | Traditional Healer | | | | | | |
| 24 | Agricultural Extension Services | | | | | | |
| 25 | Veterinary Services | | | | | | |
| 26 | Slaughter Slabs | | | | | | |
| 27 | Dip Tank | | | | | | |
| 28 | Crushes | | | | | | |
| 29 | Charcos Dam | | | | | | |
| 30 | Hides & Skins Bandas | | | | | | |
| 31 | Fisheries Extension Services | | | | | | |
| 32 | Police Station/Police post | | | 4 | | | |
| 33 | Army Detach/Barracks | | | | | | |

| SECTION 2B: Client Satisfaction with Health facilities(with reference to the health facility above) | | | | | | |
|--|---|---|------------------------------|--------------|------------|--|
| What is the most commonly used type of health facility? 1=Gov't Health Centre 2=Gov't Hospital 3=Private (NGO) clinic 4=Private hospital 5=Pharmacy | Are patients well received in the health facility? 1=Yes 2=No | Is it easy for patients to find [SERVICE]? 1=Yes 2=No | | | | How are patients normally handled/ treated by the health staff? A=With Respect B=Easing of fear and anxiety C=Privacy and confidentiality D=Client's expectations are met by provider E=Disrespectful F=Negligence Z=Other (specify) (RECORD ALL MENTIONED) |
| | | Reception | Information and Instructions | Flow of care | Sign posts | |
| 9 | 10 | 11A | 11B | 11C | 11D | 12 |
| | | | | | | |

| | |
|--|--|
| What are the major concerns you have regarding accessing services at the health facility? A=Long distance B=No means of transport available C=Open hours not convenient D=Long waiting time E=Medicines/supplies not available F=Expensive/not affordable G=Culture related issues H=Limited range of services Z=Other (specify) (Recode all mentioned) | How can these concerns be minimized? A=Increase local access to government health services / maternity care B=Increase hours of operation at night C=Increase staff levels at local facilities D=Government subsidies for private medical care E=Government subsidies for medicines/supplies F=Increased community involvement in maintaining supplies G=Increase availability / functioning of ambulance services H=Sensitization campaigns related to health services Z=Other (specify) |
| 13 | 14 |
| | |

| SECTION 2C : WATER AND SANITATION | | | | | | | | | |
|--|--|---|--|--|-----|--|---|--|--|
| How has the availability of safe water changed in the last 2 years? 1= Improved 2=Same 3=Deteriorated 4=No safe water in this period | Have you undertaken any activities to protect water catchment areas in your community? 1=Yes 2=No (>>18) | What activities have you undertaken to protect these areas? 1=Tree Planting 2=Preventing Wetland Encroachment 3=Preventing Forrest Encroachment 8=Other (specify) | Do people in your community pay for using water? 1=Yes 2=No (>>23) | How much? AMOUNT IN SHILLINGS QUANTITY UNITS 1=Litre 2=Jerry Can (20L) 8=Other (specify) | | | What is the purpose of these payments? 1=User fees/tariffs 2=Maintenance costs 8=Other (specify) | How much money, on average, does a typical household pay per month for the water? SHILLINGS | Is this fee affordable to poor house holds? 1=Yes 2=No |
| 15 | 16 | 17 | 18 | 19A | 19B | 19C | 20 | 21 | 22 |
| | | | | | | | | | |
| Which of the following types of toilet facilities are used in your community? 1=Yes 2=No (>>NEXT ROW) | | | | What percentage of households use each of the listed facilities? | | IF YES IN COL 23(a) FOR 'NO TOILET/BUSH' : What is the major reason for incomplete latrine/toilet coverage? 25 1=Low Income 2=Negative Attitude 3=Poor Landscape / Terrain 4=Ignorance 5=Poor Soil Type 6- Tenants 7- No land 8=Other (Specify) | | | |
| | 23(a) | 23(b) | 24 | | | | | | |
| 1 | Covered Latrine Private | 1 2 | | | | | | | |
| 2 | Covered Latrine Shared | 1 2 | | | | | | | |
| 3 | VIP Latrine Private | 1 2 | | | | | | | |
| 4 | VIP Latrine Shared | 1 2 | | | | | | | |
| 5 | Uncovered Pit Latrine | 1 2 | | | | | | | |
| 6 | Flush Toilet Private | 1 2 | | | | | | | |
| 7 | Flush Toilet Shared | 1 2 | | | | | | | |
| 8 | Ecosan Toilet | 1 2 | | | | | | | |
| 9 | No Toilet / Bush | 1 2 | | | | | | | |
| | | | | Total : ENSURE TOTAL= 100% | | PLEASE RECORD END TIME IN SECTION 1B. | | | |

SECTION 3.0: EDUCATION (PRIMARY EDUCATION)

1 What is the name of this school? _____

2 What is the school's EMIS number? _____

3 What is the name of the respondent? _____

| | | | |
|---|--|---|---|
| What is the function of the respondent? 1=Headmaster 2=Deputy Headmaster 3=Teacher 4=Teacher Assistant 8=Other (specify) | How long has the respondent been at the school? YEARS | Who manages this school? 1=Government 2=Private 3=NGO/Religious Association 8=Other (specify) | INTERVIEWER: IS IT A SCHOOL DAY OR WEEKEND/HOLIDAY? 1=School Day 2=Weekend/Holiday |
| 4 | 5 | 6 | 7 |
| | | | |

SECTION 3A: Availability of facilities at the school

| Facility | Are [FACILITIES] available at the school? 1=Yes 2=No (>>NEXT) | Is the [FACILITY] adequate? 1=Yes 2=No | What type of buildings does the school have? 1=Permanent 2=Semi-permanent 3=Both permanent & Semi-permanent 4=Temporary 8=Other (Specify) | What is the condition of the [FACILITY]? 1=Good 2=Fair 3=Poor | How many of the [FACILITY] were constructed in the last 3 years? |
|--------------------|---|--|--|--|--|
| 8 | 9 | 10 | 11 | 12 | 13 |
| 1 Classrooms | | | | | |
| 2 Teachers' houses | | | | | |
| 3 Library | | | | | |
| 4 Toilets/Latrines | | | | | |
| 5 Others(specify) | | | | | |

SECTION 3B: Condition of Toilet facilities

| How many latrine stances/toilets does the school have for students? | Are there any hand washing facilities with soap for the pupils at the toilets/latrines? 1=Yes, water only 2=Water and soap 3=None (SPOT CHECK TOILET/LATRINES) | Are there separate toilet/latrine facilities for teachers? 1=Yes 2=No (>>18) | How many latrine stances does the school have for teachers? | Do the toilet/latrine facilities cater for the physically impaired children? 1=Yes 2=No | Are there First Aid facilities at the school premises? 1=Yes 2=No (>>21) | Who mainly administers First Aid at the school? 1=School Nurse 2=Teachers 3=School Matron 8=Other (specify) |
|---|---|--|---|---|--|---|
| BOYS 14A | GIRLS 14B | | MEN 17A | WOMEN 17B | 18 | 19 |
| | | 15 | 16 | | | 20 |
| | | | | | | |

| SECTION 3C: Water facilities at the school | | | | |
|---|---|--|---|--|
| | What is the MAIN source of drinking water at the school? | RANK UP TO 3 SOURCES BY IMPORTANCE 1=Main source 2=2 nd alternative 3=3 rd Alternative | What is the distance to each important source (KM)? (IF WITHIN PREMISES, RECORD 00.0) | How reliable are these important water sources? 1=Available throughout the year 2=Not Reliable (Seasonal) 8=Other (specify) |
| | 21 | 22 | 23 | 24 |
| 1 | Piped water at school | | | |
| 2 | Piped water outside school | | | |
| 3 | Bore hole at school | | | |
| 4 | Bore hole outside school | | | |
| 5 | Rain water | | | |
| 6 | Protected spring/well | | | |
| 7 | Lake/river/stream/dam/pond | | | |
| 8 | None | | | |
| 9 | Other (specify) | | | |

| SECTION 3D: Payment for services by parents/guardians at the school | | | | | |
|--|---------------------------|--|---|---|---|
| | Item | Does this school provide [ITEM] to students? 1=Yes 2=No (>>NEXT) | Does the school charge for [ITEM]? 1=Yes 2=No (>>NEXT) | What is the average amount charged per child for [ITEM]? SHILLINGS | What is the frequency of payment for [ITEM]? 1=Annual 2=Per term 3=Monthly 4=When required 8=Other (specify) |
| | 25 | 26 | 27 | 28 | 29 |
| 1 | Development/building fees | | | | |
| 2 | Lunch | | | | |
| 3 | School uniform | | | | |
| 4 | Exercise books | | | | |
| 5 | Text books | | | | |
| 6 | Pens and Pencils | | | | |
| 7 | Geometry sets | | | | |
| 8 | Rulers | | | | |
| 9 | Coaching Services | | | | |
| 10 | Others (specify) | | | | |

| SECTION 3E: Academic performance of the pupils in PLE (SKIP IF P7 NOT AVAILABLE) | | | | | | | | | |
|--|------|-------------------------------------|-------|--------------------------------------|-------|--------------------------------------|-------|--|-------|
| Year | | Total Number of candidates examined | | Number who passed with grade one (1) | | Number who passed with grade two (2) | | Number who passed with grade three (3) | |
| | | BOYS | GIRLS | BOYS | GIRLS | BOYS | GIRLS | BOYS | GIRLS |
| | | 30A | 30B | 31A | 31B | 32A | 32B | 33A | 33B |
| 1 | 2008 | | | | | | | | |
| 2 | 2007 | | | | | | | | |

| SECTION 3F: Incidence of leaving school pre-maturely | | | | | | |
|--|--|---|---|--|--|--|
| Year | | Are there any pupils who left school before completing P.7 in [YEAR]? 1=Yes 2= No (>>NEXT YEAR) | What was the number in [YEAR]? < | | | |

| SECTION 3G: School Meetings | | | | | | |
|-----------------------------|----------------------------------|--|---|------|--|---|
| Type of Meeting | | Does the school hold [MEETING]? 1=Yes 2=No (>>NEXT) | Which month and year was the last meeting held? | | Where minutes kept from this meeting? 1=Yes 2=No | How often are [MEETING] held? 1=Weekly 2=Monthly 3=Once a term 4=Half Yearly 5=Yearly 6=Ad hoc 8=Other (specify) |
| 37 | | 38 | MONTH | YEAR | 40 | 41 |
| 1 | Staff meeting | | | | | |
| 2 | PTA | | | | | |
| 3 | School Management Committees | | | | | |
| 4 | One-to-one: Parent-Class teacher | | | | | |
| 5 | Student leader-staff meetings | | | | | |

| SECTION 3H: Staffing position of the school | | |
|--|------------------------|---|
| Staffing Position | | How many additional teachers are required in this school to meet the government ceilings? |
| | | NUMBER OF TEACHERS |
| | | ADDITIONAL NUMBER REQUIRED |
| | | 42 |
| | | 43(a) |
| | | 43(b) |
| 1 | Grade V and above | |
| 2 | Grade III | |
| 3 | Untrained / unlicensed | |

| SECTION 3I: Supervision/Monitoring of the school during the last 12 months | | | | | |
|---|-------------------------------------|---|--|-----|---|
| Supervisor/Monitor | | Did [SUPERVISOR] supervise/ Monitor this school? 1=Yes 2= No (>>NEXT) | Which month and year was the last visit? MONTH YEAR | | What was the frequency of supervision/monitoring by [SUPERVISOR]? 1=Monthly 2=Quarterly 3=Twice a year 4=Annually 8=Other(specify) |
| 44 | | 45 | 46A | 46B | 47 |
| 1 | District Education Officer (DEO) | | | | |
| 2 | District Inspector of Schools (DIS) | | | | |
| 3 | Center Coordinating Tutors (CCT) | | | | |
| 4 | Other 1 (Specify) | | | | |
| 5 | Other 2 (Specify) | | | | |

| SECTION 3J: Problems/Constraints faced by the School | | | |
|---|---|---|---|
| | What are the MAJOR constraints/problems faced by the school? <i>(SHOULD NOT BE READ TO RESPONDENT)</i> | RANK UP TO 3 SOURCES BY IMPORTANCE 1=Most serious 2=Serious 3=Least serious | How has the situation changed in the last 2 years? 1=Improved 2=Same 3=Worsened |
| | 48 | 49 | 50 |
| 1 | Delayed remittance of funds | | |
| 2 | Inadequate buildings | | |
| 3 | Inadequate number of staff | | |
| 4 | Inadequate number of qualified teachers | | |
| 5 | Insufficiency of funds | | |
| 6 | Long distances covered by pupils | | |
| 7 | Pupils are hungry | | |
| 8 | Bad behaviour/strikes by pupils | | |
| 9 | Irregular attendance by pupils | | |
| 10 | Inadequate/lack of teachers accommodation | | |
| 11 | Teacher absenteeism | | |
| 12 | Bad behaviour/strikes by teachers | | |
| 13 | Lack of parental interest in school affairs | | |
| 14 | Insecurity | | |
| 15 | Other (specify) | | |

| SECTION 3k : Learner Attendance, Teacher Presence and Qualifications and Other Classroom Elements | | | | | | | | | | | | | | |
|--|-------|------------------------|-------------------|--|--|--------------|--|---|---|--|---|---|--|--|
| INTERVIEWER: THIS SECTION MAPS EACH CLASS IN THE SCHOOL. SOME ELEMENTS WILL BE COMPLETED BASED ON CONVERSATIONS WITH THE SECTION RESPONDENT AND SOME BASED ON YOUR OWN OBSERVATIONS. IT IS IMPORTANT THAT YOU COMPLETE EACH SECTION WITH INFORMATION FROM THE APPROPRIATE SOURCE.SELECT A STREAM PER CLASS AT RANDOM | | | | | | | | | | | | | | |
| | | REPORTED BY RESPONDENT | | | | | | | BASED ON INTERVIEWER OBSERVATION | | | | | |
| | Class | Teacher Name | Sex 1=M 2=F | Grade 1=Grade V and above 2=Grade III 3=Untrained, unlicensed | What is the official enrollment in the stream? | | Are there arrangements for pupils in this stream to borrow text books for use outside the classroom, including at home? 1=Yes 2=No | What percentage of pupils have access to text books in the class-room? USE CODES BELOW | WAS THIS TEACHER FOUND TEACHING TODAY? 1=Yes (>> 60) 2=No | WHY WAS THE TEACHER ABSENT? USE CODES BELOW | HOW MANY PUPILS ARE ACTUALLY IN ATTENDANCE TODAY? | WHAT PERCENTAGE OF THE PUPILS ARE SEATED IN DESKS? USE CODES BELOW | WHAT PERCENTAGE OF PUPILS HAVE SCHOLASTIC MATERIALS (PENS, PENCILS, EXERCISE BOOKS, ETC.) USE CODES BELOW | DOES THE CLASS-ROOM DISPLAY MATERIALS ON THE WALLS OR IN CORNERS OF THE CLASS-ROOM? 1=Yes 2=No |
| | 51 | 52 | 53 | 54 | BOYS 55A | GIRLS 55B | 56 | 57 | 58 | 59 | 60 | 61 | 62 | 63 |
| 1 | P1 | | | | | | | | | | | | | |
| 2 | P2 | | | | | | | | | | | | | |
| 3 | P3 | | | | | | | | | | | | | |
| 4 | P4 | | | | | | | | | | | | | |
| 5 | P5 | | | | | | | | | | | | | |
| 6 | P6 | | | | | | | | | | | | | |
| 7 | P7 | | | | | | | | | | | | | |

CODES FOR 56, 60 and 61

1=All have access 2=75% have access 3=50% have access 4=25% have access 5=none have access

CODES FOR 58

1=Training 2=Sick 3=Annual Leave 4=Collecting Salary 5=On school errand 6=Absent without reason 7=Teacher at school premises 8=Maternity Leave 96=Other, specify

CODES FOR 56, 60 and 61

1=All have access 2=75% have access 3=50% have access 4=25% have access 5=none have access

CODES FOR 58

1=Training 2=Sick 3=Annual Leave 4=Collecting Salary 5=On school errand 6=Absent without reason 7=Teacher at school premises 8=Maternity Leave 96=Other, specify

SECTION 3L: Accountability in the school

| SN | What are the major mode of ensuring accountability in this school? | Have there been any cases of misuse of funds in last financial year? | Who was implicated? | What action was taken on culprits? |
|-----|---|--|--|--|
| | Auditor 1 School management/Board of Governors 2 PTA..... 3 Head teacher rules..... 4 Other, specify..... 9 | Yes 1 No 2 | School management Committee member..... 1 Head teacher..... 2 Other, specify..... 9 | Interdicted/suspended 1 Dismissed 2 Reprimanded / Recovered 3 None 4 Other 9 |
| (1) | (2) | (3) | (4) | (5) |
| | 1 2 3 4 6 | 1 2 | 1 2 3 | 1 2 3 4 6 |

PLEASE RECORD END TIME IN SECTION 1B.

SECTION 4.0: HEALTH SERVICES

1 What is the name of this facility? _____

2 What is the code of this facility? _____

3 What is the name of the respondent? _____

| | | | |
|--|--|--|--|
| What is the function of the respondent? 1=In Charge 2=Medical Doctor 3=Nurse 4=Midwife 5=Administrator 8=Other (specify) | How long has the respondent been at the facility? YEARS | Who owns this facility? 1=Government 2=Private 3=NGO/Religious Association 8=Other (specify) | What is the level of this health facility? 1=Health Center II 2=Health Center III 3=Health Center IV 8=Other (specify) |
| 4 | 5 | 6 | 7 |
| | | | |

SECTION 4A: Work at Night

| | | | | | | |
|---|--|--|----------------------------|--|---|---|
| Do you work during the night in this health facility? 1=Yes (>>10) 2=No | Why not? 1=No night services provided by facility 2=Live far away 3=Insecurity in the night 8=Others (Specify) [>>15] | Did you work during the night yesterday? 1=Yes 2=No (>>14) | For how long? HOURS | Were you on call or night duty? 1=Yes(>>14) 2=No | Why did you work? 1=Too few staff 2=Patient came at night 3=Was only one around 4=Live near health facility 8=Others (Specify) | How many times do you work at night in the last 7 days? DAYS |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | | | | | | |

SECTION 4B :Availability of equipment/services at the facility

| | | | | | |
|--|--|--|--|---|---|
| What is the total number of in-patient medical beds at this facility? IF NONE, ENTER '0' | What is the total number of inpatient maternity beds at this facility?) IF NONE, ENTER '0' | Does this facility have electricity from the grid? 1=Yes Hydro 2=Yes Thermo 3=No (>>19) | How many days last week did this facility experience power shortages that lasted longer than 30 minutes? NUMBER | Does the health facility have a functioning generator? 1=Yes 2=No | Does the health facility have a solar panel? 1=Yes 2=No |
| 15 | 16 | 17 | 18 | 19 | 20 |
| | | | | | |

| | | | | | | | |
|--|---|--|---|--|---|--|--|
| Does the health facility have a functioning ambulance? 1=Yes (>>24) 2=No | Is an ambulance available for use by this facility? 1=Yes 2=No (>>24) | What is the distance from this health facility to the nearest ambulance? KILOMETERS | Does the health facility provide food for staff? 1=Yes 2=No | Does the health facility provide food for inpatients? 1=Yes 2=No | What is the distance from this facility to the nearest bank/microfinance institution? KILOMETERS | What is the distance from this facility to the District Health Office/ Health Sub District Office? KILOMETERS | What is the distance from this facility to the nearest trading centre? KILOMETERS |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| | | | | | | | |

| Does this facility have the following items...? | | | | | | | |
|---|---|---|--------------------------------------|--|--|--|--|
| ...functioning computer for general use by the health centre or only for a specific project? 1=Yes 2=No | ... official telephone (land line or mobile phone)? 1=Yes, landline 2=Yes, mobile 3=No | ...functioning radio call for communication? 1=Yes 2=No 3=NA | ... laboratory? 1=Yes 2=No | ... CD - 4 machines ? (for HC IV and above) 1=Yes 2=No | ...working refrigerator for vaccines? 1=Yes 2=No | ...an icebox for transporting vaccines? 1=Yes 2=No | ...a working microscope ? 1=Yes 2=No |
| 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 |
| | | | | | | | |

| Does this facility have the following items...? | | | | | | | |
|---|---------------------------------------|--|--|---|---|--|--|
| ...a delivery bed? 1=Yes 2=No | ...a BP machine? 1=Yes 2=No | ...sterilization equipment? 1=Yes 2=No | ...a functioning bicycle? 1=Yes 2=No | ...a functioning motorcycle? 1=Yes 2=No | ...functioning standing weighing scales? 1=Yes 2=No | ...functioning hanging weighing scales? 1=Yes 2=No | ... functioning height measurement equipment? 1=Yes 2=No |
| 37 | 38 | 39 | 40 | 41 | 42 | 43 | 44 |
| | | | | | | | |

| SECTION 4C:Services offered by this health facility | | |
|---|---------------------------------|--|
| Service | | Is [SERVICE] offered at this health facility? 1=Yes 2=No (>NEXT SERVICE) |
| | | Do patients have to pay for [SERVICE]? 1=Yes 2=No |
| 45 | | 46 |
| 1 | General outpatient | |
| 2 | Immunization for children | |
| 3 | Antenatal care | |
| 4 | Family planning | |
| 5 | Tuberculosis treatment | |
| 6 | STIs treatment | |
| 7 | Community and Outreach Services | |
| 8 | Laboratory | |
| 9 | Dental services | |
| 10 | General inpatient-medical | |
| 11 | General inpatient-surgical | |
| 12 | Deliveries | |

| 48. How has the quality of [SERVICE] offered in this facility changed compared to the year 2008? | |
|--|---|
| | <div> 1=Improved 2=Same 3=Worsened 4=Not Applicable </div> <div></div> |

| SECTION 4D: Common diseases reported at health facility | | | | | | |
|--|--|--|--|--|--|--|
| How do you rate the frequency of the following diseases at your health facility during the last 12 months? | | | | | | |
| 49 | 50 | 51 | 52 | 53 | 54 | 55 |
| Malaria? | TB? | Injuries? | Measles? | Birth related? | Diarrhea? | Acute Respiratory Infections ? |
| 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None |
| | | | | | | |

| How do you rate the frequency of the following diseases at your health facility during the last 12 months? | | | | | |
|--|--|--|--|--|--|
| 56 | 57 | 58 | 59A | 59B | 59C |
| STI/HIV/AIDS? | Diabetes? | Cardiovascular diseases? | Other (specify)? | Other (specify)? | Other (specify)? |
| 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None | 1=High 2=Average 3=Low 4=None |
| | | | | | |

| SECTION 4E: Common stock outs reported at health facility | | | | |
|--|----------------------------------|------------------------------------|--|--------------------------|
| Has the health facility experienced stock outs of the following drugs/supplies in the last 2 months? | | | How often have you had stock outs of [DRUG/SUPPLY] in the last two months? | Is drug available today? |
| Drugs/Supplies | | 1=Yes 2=No (>>NEXT DRUG/SUPPLY) | 1=Frequently 2=Occasionally | 1=Yes 2=No |
| | 60 | 61 | 62 | 63 |
| 1 | Artemether/lumefantrine | | | |
| 2 | Sulfadoxine Pyrimethamine tab | | | |
| 3 | Cotrimoxazole 480mg tab | | | |
| 4 | Oral Rehydration Salts (sachet) | | | |
| 5 | Medroxyprogesterone inj ("Depo") | | | |
| 6 | Phenyton 100mg | | | |
| 7 | Metronidazole | | | |
| 8 | Measles vaccine | | | |
| 9 | Examination gloves | | | |
| 10 | Ferrous/folic | | | |
| 11 | HIV testing kits | | | |
| 12 | Other (specify) | | | |

| | |
|---|---|
| 64. How long on average does the health facility take to receive drugs from the time they are requested? | <div style="border: 1px solid black; width: 80px; height: 40px; display: flex; align-items: center; justify-content: center;"> <div style="width: 60px; height: 30px; border: 1px solid black;"></div> </div> WEEKS |
|---|---|

| | | | | | | |
|---|--------------------|-----------|---|-----------------|-----------|---|
| SECTION 4F: Items brought by patients visiting the Health facility | | | | | | |
| Do patients have to bring any of the following items for a visit to the health facility, including when the item is out of stock? | | | | | | |
| USE CODES AT RIGHT | | | | | | |
| Syringes/ needles? | Drip/IV fluids? | Gloves? | Exercise books for writing prescriptions? | Cotton wool? | Paraffin? | CODES FOR 65-79 1= Yes, always 2=Yes, when item is out of stock 3=No 4=Not Applicable |
| 65 | 66 | 67 | 68 | 69 | 70 | |
| | | | | | | |

| | | | | |
|-----------|---------------------------------|--------------------------|--------------------------|---|
| Soap? | Medicines (e.g. ergometrin)? | Other (specify) _____ | Other (specify) _____ | CODES FOR 65-79 1= Yes, always 2=Yes, when item is out of stock 3=No 4=Not Applicable |
| 71 | 72 | 73 | 74 | |
| | | | | |

| | | | | | |
|--|--------------|-----------|--------------|----------------|--|
| SECTION 4G: Deliveries at this facility | | | | | |
| Do women who come for delivery have to bring the following items to the health facility? | | | | | |
| SKIP TO 80 IF NO DELIVERIES AT THIS FACILITY | | | | | |
| USE CODES AT RIGHT | | | | | |
| Gloves? | Cotton wool? | Jik? | Razor blade? | Plastic sheet? | CODES FOR 67-79 1= Yes, always 2=Yes, when item is out of stock 3=No 4=Not Applicable |
| 75 | 76 | 77 | 78 | 79 | |
| | | | | | |

| | | | | | | | |
|--|--------------------------------------|-----------|----------------------|---------------------------------|-----------|--------------------------------------|-----------|
| SECTION 4H: Validation of HMIS | | | | | | | |
| Data Validation tool - Health Facility Level | | | | | | | |
| District Name: | | | | | HSD Name: | | |
| Health Facility : | | | Code: | | Date: | | |
| No. | 80 | 81 | 82 | 83 | 84 | 85 | 86 |
| | Data element | Period | HMIS 105 district | Database /facility report | Register | Variance/ mismatch (Yes/No)*** | Comments |
| 1 | OPD | Mar-09 | | | | | |
| | | Jun-09 | | | | | |
| 2 | Deliveries in Health Units | Mar-09 | | | | | |
| | | Jun-09 | | | | | |
| 3 | DPT 3 Given to Children Under 1yr | Mar-09 | | | | | |
| | | Jun-09 | | | | | |
| *** If the figures in the report, database, or register are different indicate "Yes" | | | | | | | |
| Other Comments | | | | | | | |
| | | | | | | | |

SECTION 4I:Epidemic Reporting

| | | |
|---|--|--|
| <p>Did any member of this community report any epidemic outbreak since 2008?</p> <p>1=Yes 2=No (>>90) 3=NA (no epidemic (>>90))</p> | <p>Did you notify the district within 24hrs?</p> <p>1=Within 24 hours 2=Within 7 days 3=After 7 days</p> | <p>How long did it take for the district to respond?</p> <p>1=Within 24 hours 2=Within 7 days 3=After 7 days</p> |
| 87 | 88 | 89 |
| | | |

SECTION 4J: General Operations

| | | |
|--|---|---|
| On average, for how many hours is the facility open to the public in a week? | Has the facility been faced with absenteeism of its staff in the last 12 months? 1=Yes 2=No(>>93) | What is the major cause of absenteeism? 1=Lack of morale due to poor payment 2=Delays in payment of salaries 3=Not much work 4=Too much work 5=Lack of equipment 6=Lack of accommodation 8=Other (specify) |
| HOURS | | |
| 90 | 91 | 92 |
| | | |

SECTION 4K :Sanitary facilities available at the health facility[illegible]

| Are there garbage or medical waste disposal facilities? | | | What is the type of facility used? |
|---|---------------|-------------|--|
| 1=Yes 2=No (>>102) | | | |
| GARBAGE WASTE | MEDICAL WASTE | BOTH | 1=Pit 2=Skip 3=Bush 8=Other (specify) |
| 100A | 100B | 100C | |
| | | | |

| Access to water at the health facility | | | | |
|---|--|--|---|--|
| | What is the MAIN source of water at the facility? | RANK UP TO 3 SOURCES BY IMPORTANCE 1=Main source 2=2 nd alternative 3=3 rd alternative | What is the distance to each important source (KM)? (IF WITHIN PREMISES, RECORD 00.0) | How reliable are these important water sources? 1=Available throughout the year 2=Not Reliable (Seasonal) 8=Other (specify) |
| | 102 | 103 | 104 | 105 |
| 1 | Piped water | | | |
| 2 | Bore hole | | | |
| 3 | Protected spring/well | | | |
| 4 | Rain water | | | |
| 5 | Lake/river/stream/dam/pond | | | |
| 6 | Spring | | | |
| 7 | Other (specify) | | | |

| SECTION 4L: Factors limiting provision of health services | | | |
|--|--|---|--|
| What are the MAJOR limiting factors faced by the health facility? (DO NOT READ LIST OUT TO RESPONDENTS) | | RANK UP TO 3 SOURCES BY IMPORTANCE 1=Most serious 2=2 nd most serious 3=3 rd most serious | For those important limiting factors, how do you rate the change in the situation compared to 12 months ago? 1=Improved 2=Same 3=Worsened 4=Not applicable |
| 106 | | 107 | 108 |
| 1 | Delayed remittance of funds | | |
| 2 | Inadequate funding | | |
| 3 | Inadequate facilities | | |
| 4 | Inadequate drugs | | |
| 5 | Inadequate clinical equipment | | |
| 6 | Inadequate number of staff | | |
| 7 | Inadequate staff skills | | |
| 8 | Long distances from service users | | |
| 9 | Negative attitudes of some service users | | |
| 10 | Low pay to staff | | |
| 11 | Insecurity | | |
| 12 | Low staff morale | | |
| 13 | Other (specify) | | |

| SECTION 4M: Supervision/Monitoring of Health Facility | | | | | |
|---|---|--|---|-------------|--|
| Supervisor/Monitor Level from which the supervisor came | | Did the supervisor from [LEVEL] supervise/ monitor this health facility in the last 3 months? 1=Yes 2=No 3=N/A(>>next level) | Which month and year did supervisor from [LEVEL] last visit? MONTH YEAR | | What is the annual frequency of supervision/monitoring by [SUPERVISOR]? 1=Monthly 2=Quarterly 3=Twice a year 4=Annually |
| | 109 | 110 | 111A | 111B | 112 |
| 1 | Health Sub-District (HC IV/hospital) | | | | |
| 2 | District | | | | |
| 3 | Ministry of Health | | | | |

| SECTION 4N: Village Health Teams | |
|---|--|
| 113. Do the villages in the catchment area of this facility have established (selected and trained) Village Health Teams (VHT)? 1=Yes 2=No (>>115) | |
| 114. Are the Village Health Teams (VHT) functional? FUNCTIONALITY MEANS PROVIDING REPORTS TO THE HEALTH FACILITY AND HOLDING QUARTERLY MEETINGS 1=Yes 2=No | |

| SECTION 4O: Staffing at the facility | | | |
|---|------------------------|---|-------------------------|
| Vacant positions in health facility | | | |
| | Positions | How many positions have not been filled/are vacant (consider the official ceiling required)? | |
| | | NUMBER | |
| | 115 | Filled 116(a) | Vacant 116(b) |
| 1 | Doctors | | |
| 2 | Nurses | | |
| 3 | Allied medical workers | | |
| 4 | Laboratory technicians | | |
| 5 | Nursing assistants | | |
| 6 | Support staff | | |
| 7 | Midwives | | |
| 8 | Dispenser/pharmacist | | |

List of all medical staff who work at this facility

If this is a HCII or HCIII ask to be shown around the health facility. While doing so, introduce yourself to each of the medical staff/support staff **who are present**, and record the required information about them.

| | Name of staff | Sex 1=Male 2=Female | Function USE CODES AT RIGHT | Was this health worker found working? 1=Yes (>>NEXT) 2=No | Why is staff not present today? USE CODES AT RIGHT | CODES FOR 120 1=Clinical officer /medical assistant 2=Medical doctor 3=Enrolled midwife 4=Registered midwife 5=Comprehensive midwife-nurse 6=Enrolled nurse 7=Registered nurse 8=Nursing aide/ assistant 9=Facility administrator 10=Accountant 11=Records clerk 12=Health assistant 13=Dentist/dental assistant 14=Laboratory technician/assistant 15=Pharmacist/dispenser 16=Cleaner/sweeper/etc 96=Other (specify) CODES FOR 122 1=Sick 2=Conducting outreach 3=At HSD/DHO/MOH 4=Being trained 5=At workshop 6=Picking up salary 7=Picking up drugs/supplies 8=Working at another job 9=Study leave 10=Annual/maternity leave 11=Off-duty/night duty 12=Exams 13=Absent without reason 14=Lack of accommodation 96=Other (specify) |
|----|---------------|---------------------------|---------------------------------------|---|--|--|
| | 117 | 118 | 119 | 120 | 121 | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| 13 | | | | | | |
| 14 | | | | | | |
| 15 | | | | | | |
| 16 | | | | | | |
| 17 | | | | | | |
| 18 | | | | | | |
| 19 | | | | | | |
| 20 | | | | | | |

| 4P: Accountability in the Health facility | | | | | | | | | | | | | | |
|---|---|--|--|--|---|--|--|--|--|--|--|--|-------|-----------|
| S N | What are the major modes of ensuring accountability in this health facility? | Have there been any cases of misuse of funds in last financial year? | IF YES, how much money was involved in the most recent case? Ushs. | Who was implicated? | What action was taken on culprits? | | | | | | | | | |
| | Auditors 1 Health management/ committee 2 Other, specify. 6 | Yes.... 1 No 2 | | Health management Committee member 1 Head of facility 2 Other, specify 6 | Interdicted/ suspended 1 Dismissed 2 Reprimanded / Recovered 3 None. 4 Other. 6 | | | | | | | | | |
| (1) | (2) | (3) | (4) | (5) | (6) | | | | | | | | | |
| | 1 2 6 | 1 2 | <table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table> | | | | | | | | | | 1 2 6 | 1 2 3 4 6 |
| | | | | | | | | | | | | | | |

PLEASE RECORD END TIME IN SECTION 1B.

SECTION 5.0: WORKS AND TRANSPORT

TO BE ANSWERED BY SUB-COUNTY CHIEF

- 1 What is the name of the respondent? _____
- 2 What is the function of the respondent? _____
- 3 How long has the respondent held this post? |_|_| YEARS |_|_| MONTHS

| SECTION 5A:Infrastructure (roads/bridges/culverts) availability and condition | | | | | | |
|---|---------------------------|--|---|---|--|---|
| Type of road/bridge/culvert COMPLETE COLUMN 5 BEFORE MOVING ON TO FOLLOW UP QUESTIONS | | Does this sub- county have any [ROAD]? 1=Yes 2=No (>>NEXT ROAD) | What is the total length of [ROAD] within sub-county (km)? (FOR BRIDGES/ CULVERTS RECORD NUMBER) | INTERVIEWER: IS THIS NUMBER BASED ON RECORDS OR SUB-COUNTY CHIEF ESTIMATIONS? 1=Based on written records 2=Based on respondent estimation | What is the current state of the [ROADS]/bridges? 1=Good (>>NEXT) 2=Usable (>>NEXT) 3=Poor | In your opinion, what is the main reason for the poor roads? 1=Bad weather 2=Lack of equipment 3=Poor maintenance 4=Lack of engineers 8=Other |
| 4 | | 5 | 6 | 7 | 8 | 9 |
| 1 | Trunk roads (Tarmac) | | _ _ _ . _ _ | | | |
| 2 | Trunk roads (Murram) | | _ _ _ . _ _ | | | |
| 3 | Feeder roads | | _ _ _ . _ _ | | | |
| 4 | Community roads | | _ _ _ . _ _ | | | |
| 5 | Bridges/culvert crossings | | _ _ _ _ _ | | | |

| SECTION 5B : Maintenance and Repair of Infrastructure | | | | | | | | | |
|---|---------------------------|--|--|---|--|---|---|---|---|
| FOR THOSE ROADS INDICATED IN COLUMN 5 | | Who is the responsible for maintaining [ROAD]/bridge? A=Sub-county B=District C= Ministry of Works D=Other | Number of km of [ROAD] maintained (KM EXECUTED) (FOR BRIDGES/ CULVERTS RECORD NUMBER) | Do you contract maintenance of [ROAD] to the private sector? 1=Yes 2=No | How do you rate the quality of work for [ROAD]? 1=Good 2=Average 3=Poor | What is the frequency of repairs for [ROAD]? 1=Routine-manual 2=Routine-mechanized 3=Regular-manual 4=Regular-mechanized 8=Other (specify) | Have there been any new [ROAD] constructed in sub-county in the last 2 years? 1=Yes 2=No (>>17) | What is the length in km of [ROAD] constructed? (FOR BRIDGES/ CULVERTS RECORD NUMBER) [>>18] | What are the reasons? 1=No need 2=Lack of funds 3=Lack of equipment 4=Insecurity 8=Other (specify) |
| | | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 1 | Trunk roads (Tarmac) | | _ _ _ _ _ _ _ | | | | | _ _ _ _ _ _ _ | 1 2 3 4 8 |
| 2 | Trunk roads (Murrum) | | _ _ _ _ _ _ _ | | | | | _ _ _ _ _ _ _ | 1 2 3 4 8 |
| 3 | Feeder roads | | _ _ _ _ _ _ _ | | | | | _ _ _ _ _ _ _ | 1 2 3 4 8 |
| 4 | Community roads | | _ _ _ _ _ _ _ | | | | | _ _ _ _ _ _ _ | 1 2 3 4 8 |
| 5 | Bridges/culvert crossings | | _ _ _ _ _ _ _ | | | | | _ _ _ _ _ _ _ | 1 2 3 4 8 |

| SECTION 5C : Funding for maintenance of Roads/Bridges/Culverts | | | | |
|--|---------------------------|---|--|--|
| FOR THOSE ROADS INDICATED IN COLUMN 5 | | On average, How much was required to maintain [ROAD] in the last financial year? SHILLINGS | How much was actually released to maintain [ROAD] in the last financial year? SHILLINGS | Were the funds that you requested for received in time? 1=Yes 2=No |
| | | 18 | 19 | 20 |
| 1 | Trunk roads (Tarmac) | | | 1 2 |
| 2 | Trunk roads (Murrum) | | | 1 2 |
| 3 | Feeder roads | | | 1 2 |
| 4 | Community roads | | | 1 2 |
| 5 | Bridges/culvert crossings | | | 1 2 |

PLEASE RECORD END TIME IN SECTION 1B

| SECTION 5D: Constraints faced in the Maintenance and Repairs of Roads | | | |
|---|-----------------------------|--|--|
| What are the MAJOR constraints faced by this sub-county in terms of the maintenance and repair of roads? (Do not read out these factors to respondent) | | RANK UP TO 3 SOURCES BY IMPORTANCE 1=Most serious 2=2 nd most serious 3=3 rd most serious | How has the situation changed in the last 2 years? 1=Improved 2=Same 3=Worsened |
| | 21 | 22 | 23 |
| 1 | Delayed remittance of funds | | |
| 2 | Inadequate facilities | | |
| 3 | Inadequate staff | | |
| 4 | Wide road network | | |
| 5 | Lack of peoples' interest | | |
| 6 | Inadequate funding | | |
| 7 | Low pay to staff | | |
| 8 | Insecurity | | |
| 9 | Other | | |

SECTION 6A: COMMUNITY CHARACTERISTICS

1. How many households reside in this community?

| | | 2. How much of the land in the community is used for... |
|---|---|---|
| | | SHARE |
| 1 | Cultivation by villagers | <input type="text"/> |
| 2 | Agro-business/plantation farming by outsiders | <input type="text"/> |
| 3 | Forest | <input type="text"/> |
| 4 | Pasture (common access) | <input type="text"/> |
| 5 | Wetland | <input type="text"/> |
| 6 | Residential | <input type="text"/> |
| 7 | Other (Specify) | <input type="text"/> |

SECTION 6B: COMMUNITY GROUPS

| GROUP | CODE | 1 | 2 | 3 | 4 | 5 |
|------------------------------|------|---|--|---|---|--|
| | | <p>Which of the following organizations exist in the community?</p> <p>ASK FOR EACH GROUP BEFORE ASKING THE FOLLOWING QUESTIONS ON THOSE THAT EXIST IN THE COMMUNITY.</p> <p>1=Yes 2=No >> NEXT ROW</p> | <p>How many [GROUP]s are there in the community?</p> | <p>When did the [GROUP] begin?</p> <p>IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.</p> | <p>How often do the members of the [GROUP] meet?</p> <p>IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.</p> <p>READ RESPONSES.</p> <p>1=Weekly 2=Monthly 3=Quarterly 4=Semi-Annually 5=Annually 9=Other (Specify)</p> | <p>How many female members does the [GROUP] have?</p> <p>REFERS NOT ONLY TO EXECUTIVE MEMBERS BUT OVERALL MEMBERSHIP, WHENEVER INFORMATION PROVISION IS FEASIBLE.</p> <p>IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.</p> |
| | | | NUMBER | YEAR [4-DIGIT] | | NUMBER |
| Agricultural Coop | 301 | | | | | |
| Farmers' Group | 302 | | | | | |
| Livestock Assoc. | 303 | | | | | |
| Savings & Credit Coop | 304 | | | | | |
| Business Assoc. | 305 | | | | | |
| Women's Group | 306 | | | | | |
| Youth Group | 307 | | | | | |
| Cultural Group | 308 | | | | | |
| Sports Group | 309 | | | | | |
| Community Police/Watch Group | 310 | | | | | |
| Disabled Assoc. | 311 | | | | | |
| Other (Specify) _____ | 312 | | | | | |
| Other (Specify) _____ | 313 | | | | | |

[illegible]

SECTION 6E: COMMUNITY NEEDS, ACTIONS & ACHIEVEMENTS

| ITEM | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|------|---|--|---|---|--|---|---|
| | | ENUMERATOR: ASK 1 AND 2 FOR ALL ITEMS ON THE LIST BEFORE MOVING ON TO 3 | | ENUMERATOR: IS THE ANSWER "YES" TO EITHER QUESTION 1 OR QUESTION 2? YES...1>> 5 NO...2 | During the past 5 years, even if there was no communal or leadership action to express/discuss the need for [ITEM], were there any investment projects that addressed the need for [ITEM]? YES...1>> 11 NO...2>> NEXT ROW | During the past 5 years, did the community members (besides LC1 and other local/political leaders) meet to find ways to address the need for [ITEM]? YES...1 NO...2>>8 | Under whose leadership was the meeting(s) organized? SEE THE CODEBOOK. | Was the meeting(s) successful in determining the steps that were needed to be taken by the community to address the need for [ITEM]? YES...1 NO...2 |
| | | During the past 5 years, has any of the community members ever approached the LC1, other village leaders or political leaders about expressing a need for [ITEM] in the community? YES...1 NO...2 | During the past 5 years, has the LC1 or other village leader or political leaders organized the community members to discuss how to address a need for [ITEM] in the community? YES...1 NO...2 | | | | | |
| Road: Construction/Improvement | 101 | | | | | | | |
| Bridge: Construction/Improvement | 102 | | | | | | | |
| Primary School: Construction/Improvement | 103 | | | | | | | |
| Secondary School: Construction/Improvement | 104 | | | | | | | |
| Health Center/ Clinic/ Dispensary: Construction/Improvement | 105 | | | | | | | |
| Piped Water/ Boreholes/ Wells: Construction/Improvement | 106 | | | | | | | |
| Maize Mill: Construction/Improvement | 107 | | | | | | | |
| Public Transportation: Initiation/Improvement | 108 | | | | | | | |
| Agricultural/ Fishery/ Livestock Extension Services: Initiation/Improvement | 109 | | | | | | | |
| Law Enforcement: Initiation/ Improvement | 110 | | | | | | | |
| Other (Specify)_____ | 111 | | | | | | | |

| ITEM | CODE | 8 To address the need for [ITEM], what actions did the community take? READ ALL POSSIBLE ACTIONS FROM THE CODEBOOK. LIST UP TO THREE IN ORDER OF IMPORTANCE. | | | 9 ENUMERATOR: DID THE COMMUNITY TAKE <u>ANY</u> ACTION TO ADDRESS NEED FOR [ITEM], AS REPORTED IN QUESTION 8, COLUMNS A THROUGH C? YES...1 NO...2 | 10 Was the need for [ITEM] addressed? READ RESPONSES FROM THE CODEBOOK. SKIP TO NEXT ROW IF THE RESPONSE IS 3, 4 OR 5. | 11 When was the need for the [ITEM] addressed? | 12 What was/will be the source of the funds for [ITEM]? READ POTENTIAL RESPONSES FROM THE CODEBOOK. LIST UP TO 3 IN ORDER OF IMPORTANCE. | | | 13 What was/will be the total funding for [ITEM]? INCLUDE ALL SOURCES FROM OUTSIDE AND WITHIN THE COMMUNITY | 14 What was/will be the total amount of money contributed by community members? IF NO MONEY WAS RAISED, RECORD ZERO AND >> 16. | 15 How were/will be the contributions raised? READ RESPONSES 1=Voluntary 2=Compulsory 3=other (specify) | 16 To address the need for [ITEM], did the community members contribute in kind? YES...1 NO...2>> NEXT ROW | 17 How did the community members contribute in kind? READ POSSIBLE CONTRIBUTIONS FROM THE CODEBOOK. LIST UP TO THREE IN ORDER OF IMPORTANCE. | | |
|---|------|--|----|----|--|---|---|--|-----|-----|---|--|--|--|--|------|------|
| | | a. | b. | c. | | | | YEAR [4-DIGIT] | 1ST | 2ND | | | | | 3RD | USHS | USHS |
| Road: Construction/Improvement | 101 | | | | | | | | | | | | | | | | |
| Bridge: Construction/Improvement | 102 | | | | | | | | | | | | | | | | |
| Primary School: Construction/Improvement | 103 | | | | | | | | | | | | | | | | |
| Secondary School: Construction/Improvement | 104 | | | | | | | | | | | | | | | | |
| Health Center/ Clinic/ Dispensary: Construction/Improvement | 105 | | | | | | | | | | | | | | | | |
| Piped Water/ Boreholes/ Wells: Construction/Improvement | 106 | | | | | | | | | | | | | | | | |
| Maize Mill: Construction/Improvement | 107 | | | | | | | | | | | | | | | | |
| Public Transportation: Initiation/Improvement | 108 | | | | | | | | | | | | | | | | |
| Agricultural/ Fishery/ Livestock Extension Services: Initiation/Improvement | 109 | | | | | | | | | | | | | | | | |
| Law Enforcement: Initiation/ Improvement | 110 | | | | | | | | | | | | | | | | |
| Other (Specify) _____ | 111 | | | | | | | | | | | | | | | | |

SECTION 6F: COMMUNAL RESOURCE MANAGEMENT

| | CODE | 1 Does the community own any communal [RESOURCE]? YES...1 NO...2>> NEXT ROW | 2 Is the community able to determine independently the rules of access and use of its communal [RESOURCE]? YES...1 NO...2 | 3 Is the communal [RESOURCE] recognized by the district council ? YES...1 NO...2 | 4 Is the communal [RESOURCE] challenged or disputed by neighbouring villages or estates? YES...1 NO...2 | 5 Is the communal [RESOURCE] sometimes used by outsiders or neighbouring villagers without consulting the LC1? YES...1 NO...2 | 6 Does the community have any specific exclusion mechanism targeted at keeping outsiders from using communal [RESOURCE]? YES...1 NO...2>>8 | 7 How does the community manage to exclude outsiders from using communal [RESOURCE] without consulting LC1? READ RESPONSES. LIST UP TO 3 IN ORDER OF IMPORTANCE. Use guards.....1 USE THE THREAT OF... Fine.....2 Religious sanctions..3 Physical punishment..4 Other (Specify).....9 | | |
|---------------------------|------|--|--|--|--|--|---|---|-----|-----|
| RESOURCE | | | | | | | | 1ST | 2ND | 3RD |
| Crop Land | 101 | | | | | | | | | |
| Forest | 102 | | | | | | | | | |
| Pasture | 103 | | | | | | | | | |
| Water Body: Specify _____ | 104 | | | | | | | | | |
| Other (Specify) _____ | 105 | | | | | | | | | |

| RESOURCE | CODE | 8 | | | 9 | | | 10 | 11 | 12 | 13 | 14 |
|---------------------------|------|---|-----|-----|---|-----|-----|---|---|---|--|--|
| | | 1ST | 2ND | 3RD | 1ST | 2ND | 3RD | | | | | |
| | | What forms of restriction does the community place on its members regarding access and use of communal [RESOURCE]? READ RESPONSES. LIST UP TO 3 IN ORDER OF IMPORTANCE. | | | How does community ensure among its members compliance with use rules for communal [RESOURCE]? READ RESPONSES. LIST UP TO 3 IN ORDER OF IMPORTANCE. | | | In general, how effective are community policies to ensure compliance concerning use rules for communal [RESOURCE]? READ RESPONSES | In the past 5 years, has government taken over any communal [RESOUR-CE] ? 1=YES 2=NO >>13 | What was the reason for the government takeover? 1= Initiate agricultural scheme 2= Construct public facilities 3= Convert into forest reserve 4= Convert into national park land 5= Convert into wildlife park land 9= Other (specify) | In the past 5 years, has a neigh-boring village taken over any communal [RESOUR-CE]? 1=YES 2=NO >> NEXT ROW | Did the community lose rights to previously communal [RESOUR-CE] following a mediated dispute? 1=YES 2=NO (THEN >> NEXT ROW) |
| | | 1=Time restrictions 2=Limitations on number of users at a given time 3=Rotation among groups of families 4= No restrictions 9= Other (Specify) | | | USE THREAT OF... 1=Fine 2=Confiscation of tools/products 3=Taking away [RESOURCE] use rights 4=Religious sanctions 5=Social sanctions 6=Physical punishment 7=Discrimination at future handouts 8=Do nothing 9=Other (Specify) | | | 1=Not Effective 2=Somewhat Effective 3=Fully Effective | | | | |
| Unallocated Arable Land | 101 | | | | | | | | | | | |
| Forest | 102 | | | | | | | | | | | |
| Pasture | 103 | | | | | | | | | | | |
| Water Body: Specify _____ | 104 | | | | | | | | | | | |
| Other (Specify) _____ | 105 | | | | | | | | | | | |

6G: Accountability in the subcounty and rating of overall performance of the subcounty administration

| S N | What is the major mode of ensuring account-ability in this subcounty? | Have there been any cases of misuse of funds in last financial year? | IF YES, how much money was involved in the most recent case? Ushs. | Who was implicated? | What action was taken on culprits? |
|--------|---|--|---|--|---|
| | Internal auditors..... 1 External auditors..... 2 Technical Planning committee..... 3 Chairperson rules..... 4 Finance office rules..... 5 Other, specify..... 6 | Yes... 1 No..... 2 | | Committee member..... 1 Head of dept..... 2 Accounting officer..... 3 Other Specify.....6 | Interdicted/ Suspended..... 1 Dismissed..... 2 Reprimanded/ recovered..... 3 None..... 4 |
| (1) | (2) | (3) | (4) | (5) | (6) |
| | 1 2 3 4 5 6 | 1 2 | <div style="border: 1px solid black; display: flex; flex-direction: row;"> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> <div style="border: 1px solid black; width: 20px; height: 20px;"></div> </div> | 1 2 3 6 | 1 2 3 4 |

PLEASE RECORD END TIME IN SECTION 1B.

CODES FOR SECTION 6D, QUESTION 6:

LC1.....1
 GROUP VILLAGE HEADMAN.....2
 SCHOOL COMMITTEE.....3
 HEALTH COMMITTEE.....4
 AGRICULTURAL COOP/FARMERS' GROUP....5
 AGRICULTURAL EXTENSION OFFICER.....6
 NGO/RELIGIOUS OR INTERNATIONAL ORG..7
 MP.....8
 DISTRICT ASSEMBLY.....9
 OTHER (SPECIFY).....96

CODES FROM SECTION 6D, QUESTION 8:

MOBILIZE MANPOWER AMONG COMMUNITY MEMBERS.....1
 MOBILIZE FINANCIAL RESOURCES AMONG COMMUNITY MEMBERS.....2
 SEEK RESOURCES THROUGH THE LOCAL FUNDING AGENCY.....3
 SEEK RESOURCES THROUGH THE CITY/DISTRICT ASSEMBLY/COMMISSIONER....4
 SEEK RESOURCES THROUGH THE RELEVANT MP.....5
 SEEK RESOURCES THROUGH OTHER INFLUENTIAL PERSON.....6
 SEEK RESOURCES THROUGH AN NGO OR RELIGIOUS/INTERNATIONAL ORG.....7
 OTHER (SPECIFY).....9

CODES FOR SECTION 6D, QUESTION 10:

ADDRESSED/BEING ADDRESSED.....1
 TO BE ADDRESSED/RESPONSE POSITIVE..2
 PROMISED BUT NOT ADDRESSED.....3 >> NEXT ROW
 NOT ADDRESSED, RESPONSE PENDING....4 >> NEXT ROW
 NOT ADDRESSED, ATTEMPTS FAILED.....5 >> NEXT ROW

CODES FOR SECTION 6D, QUESTION 12:

COMMUNITY MEMBERS.....1
 LOCAL FUNDING AGENCY.....2
 DISTRICT/CITY ASSEMBLY.....3
 CENTRAL GOVERNMENT.....4
 NGO.....5
 RELIGIOUS ORGANIZATION/INSTITUTION..6
 INTERNATIONAL ORGANIZATION.....7
 OTHER (SPECIFY).....9

CODES FOR SECTION 6D, QUESTION 17:

SUPPLY MANUAL LABOR.....1
 SERVE IN THE PROJECT COMMITTEE.....2
 PROVIDE MATERIAL INPUT SUCH AS BRICKS AND SAND..3
 SUPPLY ANY OTHER IN-KIND CONTRIBUTION (SPECIFY).9