#### THE UGANDA NATIONAL PANEL SURVEY 2013/14



### Uganda Bureau of Statistics



#### COMMUNITY/FACILITY QUESTIONNAIRE

[To be answered by Community members and Heads of Selected facilities]

SECTION 1A: IDENTIFICATION PARTICULAR	s	
District name and code		
2. County/Municipality		
3. Sub-County/Division/Town Council		
4. Parish/Ward		
5. EA		

**Response Status by Sector** 

Sector	Title of Officer answering	Response Status 1=Completed 2=Partially Completed 3=Not filled
(1)	(2)	(3)
1. Service Availability in LC1		
2. Education		
3. Health		
4. Road Infrastructure		

This survey is being conducted by the Uganda Bureau of Statistics under the authority of the Uganda Bureau of Statistics Act, 1998.

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SECTION 1B: STAFF DETAILS AND SURVEY TIME												
1. NAME OF INTERVIEW	1. NAME OF INTERVIEWER:							COD	E:			
2. SERVICE AVAILIBITY IN LC1												
2A. Date of Interview (DD/MM	//YYYY):				/			/				
2B. Start Time (HH:MM):		•		2C. End Time:								
2D. Response Code (First Vis	sit):			1=Com	pleted ally Done							
2E. Response Code (Second					Done (Rea							
2F. GPS Coordinates (for cor N=1 S=2	nmunity centre):	М										
LAT N=1 S=2		IVI										
LONG												
3. EDUCATION												
3A. Date of Interview (DD/MM	//YYYY):				/			/				
3B. Start Time (HH:MM):		•			3C. Er	nd Time	:			:		
3D. Response Code (First Vis	sit):			1=Com								
3E. Response Code (Second	Visit):			3=Not I	ally Done Done (Rea	ason)						
3F. GPS Coordinates (for fac	_											
N=1 S=2	D	<u> </u>										
			1									
LONG												
4. HEALTH												
4A. Date of Interview (DD/MM	//YYYY):				/			/				
4B. Start Time (HH:MM):		:			4C. Er	nd Time	:			:		
4D. Response Code (First Vis	sit):			1=Com								
4E. Response Code (Second	Visit):				ally Done Done (Rea							
4F. GPS Coordinates (for fac												
N=1 S=2	D	<u> </u>										
			1									
LONG												
5. ROAD INFRASTRUCTU	5 ROAD INFRASTRUCTURE											
5A. Date of Interview (DD/MM					/			/				
5B. Start Time (HH:MM):		•			5C. Er	nd Time	:					
5D. Response Code (First Vis	5D. Response Code (First Visit): 1=Completed											
5E. Response Code (Second Visit):  2=Partially Done 3=Not Done (Reason)												
5F. GPS Coordinates (for sub-country office):												
N=1 S=2		<u> </u>										
LONG												

6. COMMUNITY ORGANISATIONS									
6A. Date of Interview (DD/MM/YYYY):									
6B. Start Time (HH:MM):	:	6C. End Time:							
6D. Response Code (First Visit):		1=Completed 2=Partially Done							
6E. Response Code (Second Visit):		3=Not Done (Reason)							
6F. GPS Coordinates (for sub-country office):									
N=1 <u>S=2</u> <b>D</b>	M								
LAT									
LONG									

#### **SECTION 2: SERVICE AVAILIBITY IN LC1**

#### To be answered by community officials

#### SECTION 2A :Availability of services in the LC 1 (most common)

Sr. No	Item	Is [SERVICE] available to members of the LC1 (even if they must travel to use it?) 1 = Yes, within the LC1 2 = Yes, outside the LC1 3= No >> NEXT ITEM	What is the name of the provider to which community members most often go to use [SERVICE]?	What is the distance from village center to [SERVICE] (i.e. geographical middle - in kms)?	What is the most common means of transport to [SERVICE]?  1= walking 2=Taxi(car) 3=Pickup/truck 4=Bus/Minibus 5=Boda-boda (bicycle) 6= Boda-boda (Motorcycle) 7=Own motorcycle 8=Own bicycle 9=Boat 10=Own car 96=Other(specify)	What is the time taken to get to [SERVICE] from village center using common means of transport (in minutes)?	How do you rate the quality of service offered by [SERVICE]?  1=Good 2=Average 3=Poor
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1	Government Primary School						
2	Private Primary School						
3	Government Secondary School						
4	Private Secondary School						
5	Technical/Vocational School						
6	Alternative Basic Education for Karamoja (ABEK)						
7	Functional Adult Literacy (FAL) Centre						
8	Government Health Centre						
9	Government Hospital						
10	Private (NGO) Clinic						
11	Private Hospital						
12	Pharmacy Bank/Financial						
14	Institution Post Office						
15	Market Selling Agricultural Inputs						
16	Market Selling Agricultural Produce						
17	Market Selling Non-Agricultural Produce						
18	Primary Market for Livestock						
19	Trunk Road (Tarmac)						
20	Trunk Road (Murram)						
21	Feeder/District Road			-			
22	Community Road						
23	Traditional Healer Agricultural Extension						
25	Services Veterinary Services						
26	Slaughter Slabs						
27	Dip Tank						
28	Crushes						
29	Charcos Dam						
30	Hides & Skins Bandas						
31	Fisheries Extension Services						
32	Police Station/Police post			4			
33	Army Detach/Barracks						

SECTION 2B:Client Satisfaction with Health facilities( with reference to the health facility above)									
Are patients well received in the health facility?  1=Yes 2=No					How are patients normally handled/ treated by the health staff?  A=With Respect B=Easing of fear and anxiety C=Privacy and confidentiality D=Client's expectations are met by provider E=Disrespectful F=Negligence Z=Other (specify)  (RECORDALL MENTIONED)				
	Reception	Information and Instructions	Flow of care	Sign posts					
10	11A	11B	11C	11D	12				
	Are patients well received in the health facility?  1=Yes 2=No	Are patients well received in the health facility?  1=Yes 2=No  Reception	Are patients well received in the health facility?  1=Yes 2=No  Reception Information and Instructions	Are patients well received in the health facility?  1=Yes 2=No  Reception Information and Instructions  Is it easy for patients to find [SERVICE]?  1=Yes 2=No  Reception Information and Instructions	Are patients well received in the health facility?  1=Yes 2=No  Reception Information and Instructions Instructions  Is it easy for patients to find [SERVICE]?  1=Yes 2=No  Reception Information and Instructions Flow of care Sign posts				

What are the major concerns you have regarding accessing services at the health facility?	How can these concerns be minimized?
A=Long distance B=No means of transport available C=Open hours not convenient D=Long waiting time E=Medicines/supplies not available F=Expensive/not affordable G=Culture related issues H=Limited range of services Z=Other (specify)	A=Increase local access to government health services / maternity care B=Increase hours of operation at night C=Increase staff levels at local facilities D=Government subsidies for private medical care E=Government subsidies for medicines/supplies F=Increased community involvement in maintaining supplies G=Increase availability / functioning of ambulance services H=Sensitization campaigns related to health services Z=Other (specify)
(Recode all mentioned)	
13	14

		SECTION 2C : WA	TER AND SANITATION							
1= Imp 2=Sam	oility of atter ed in the vears?	Have you undertaken any activities to protect water catchment areas in your community?  1=Yes 2=No (>>18)	What activities have you undertaken to protect these areas?  1=Tree Planting 2=Preventing Wetland Encroachment 3=Preventing Forrest Encroachment 8=Other (specify)	Do people in your community pay for using water?  1=Yes 2=No (>>23)	What is the purpose of these payments?  1=User fees/tariffs 2=Maintenance	How much?  AMOUNT IN		UNITS	How much money, on average, does a typical household pay per month for the water?	Is this fee affordable to poor house holds? 1=Yes 2=No
water in period					costs >>21 8=Other (specify)	SHILLINGS	QUANTITY	1=Litre 2=Jerry Can (20L) 8=Other (specify)	SHILLINGS	
	15	16	17	18	20	19A	19B	19C	21	22
		Which of the followi	ng types of toilet facilities	are used in your c  1=Yes 2=No (>>NEXT F	·	What percentage each of the lister		ds use	IF YES IN COL 23( TOILET/BUSH': What is the major re incomplete latrine/to	eason for
		23(a)		23(	(b)		24		25	
1	Flush T			1	2				1=Low Income 2=Negative Attitude	
2	VIP Lat			1	2				3=Poor Landscape 4=Ignorance	/ Terrain
3		d Pit latrine with slab	h	1	2				5=Poor Soil Type No land	6- Tenants 7-
4				1	2				8=Other (Specify)	
5		red Pit latrine with slat		1	2					
6	Uncove	red Pit latrine without	slap	1	2					
7		(compost toilet)		1	2					
8	bags/Bu			1	2					
9	96= Oth	er (specify)		1	2					
						Total : ENSURE TOTAL	AL= 100%			

## SECTION 3.0: EDUCATION (PRIMARY EDUCATION) 1 What is the name of this school? 2 What is the school's EMIS number? 3 What is the name of the respondent? What is the function of the respondent? 1=Headmaster Who manages this school? A SCHOOL DAY OR WEEKEND/HOLIDAY:

What is the function of the respondent?  1=Headmaster 2=Deputy Headmaster 3=Teacher 4=Teacher Assistant 8=Other (specify)	How long has the respondent been at the school?	Who manages this school?  1=Government 2=Private 3=NGO/Religious Association 8=Other (specify)	INTERVIEWER: IS IT A SCHOOL DAY OR WEEKEND/HOLIDAY?  1=School Day 2=Weekend/Holiday
4	5	6	7

SE	SECTION 3A: Availability of facilities at the school									
Facility		Are [FACILITIES] available at the school? 1=Yes	Is the [FACILITY] adequate?	What type of buildings does the school have?  1=Permanent 2=Semi-permanent 3=Both permanent &	What is the condition of the [FACILITY]?	How many of the [FACILITY] were constructed in the last 3				
		2=No (>>NEXT)	2=No	Semi-permanent 4=Temporary 8=Other (Specify)	2=Fair 3=Poor	years?				
	8	9	10	11	12	13				
1	Classrooms									
2	Teachers' houses									
3	Library									
4	Toilets/Latrines									
5	Others(specify)									

SECTION	SECTION 3B: Condition of Toilet facilities										
How many	latrine	Are there any	Are there	How mar	ny latrine		Do the	Are there	Who mainly		
stances/toi	ilets	hand washing	separate			hool	toilet/latrine	First Aid	administers First		
does the so	chool	facilities with soap	toilet/latrine	have for	teachers?		facilities cater	facilities at	Aid at the school?		
have for		for the pupils at	facilities for				for the	the school			
students?		the	teachers?				physically	premises?			
		toilets/latrines?					impaired		1=School Nurse		
							children?		2=Teachers		
		1=Yes, water only	1=Yes						3=School Matron		
		2=Water and	2=No						8=Other (specify)		
		soap	(>>18)				1=Yes				
		3=None					2=No	1=Yes			
								2=No			
		(SPOT CHECK						(>>21)			
		TOILET/									
		LATRINES)									
BOYS (	GIRLS			MEN	WOMEN	BOTH					
14A	14B	15	16	17A	17B	17C	18	19	20		

SECTIO	N 3C:Water facilities at the school			
	What is the <b>MAIN</b> source of drinking	RANK UP TO 3	What is the	How reliable are these
	water at the school?	SOURCES BY	distance to each	important water sources?
		IMPORTANCE	important	
			source (KM)?	1=Available throughout the
		1=Main source		year
		2=2 <sup>nd</sup> alternative	(IF WITHIN	2=Not Reliable (Seasonal)
		3=3 <sup>rd</sup> Alternative	PREMISES,	8=Other (specify)
			RECORD 00.0)	
	21	22	23	24
1	Piped water at school			
2	Piped water outside school			
3	Bore hole at school			
4	Bore hole outside school			
5	Rain water			
6	Protected spring/well			
7	Lake/river/stream/dam/pond			
8	Other (specify)			

SEC	CTION 3D: Payment for se	ervices by p	oarents/guardian	s at the school	
	Item	Does this school provide [ITEM] to students?	Does the school charge for [ITEM]?	What is the average amount charged per child for [ITEM]?	What is the frequency of payment for [ITEM]?  1=Annual 2=Per term 3=Monthly
		1=Yes	1=Yes		4=When required
		2=No (>>NEXT)	2=No (>> <b>NEXT</b> )		8=Other (specify)
		(>>NEXT)		SHILLINGS	
	25	26	27	28	29
1	Development/building fees				
2	Lunch				
3	School uniform				
4	Exercise books				
5	Text books				
6	Pens and Pencils				
7	Geometry sets				
8	Rulers				
9	Coaching Services				
10	Others (specify)				

**FACILITIES** 

AND

SE	CTION 3E:	Academic	performar	ce of the p	upils in PLE	(SKIP IF P	7 NOT AVAII	LABLE)	
Ye	ar	Total Num candidates	ber of s examined	Number who		Number who with grade t		Number wh with grade	
ĎΟΙ	IS IS A DROP WN IN RVEYBE)	BOYS	GIRLS	BOYS	GIRLS	BOYS	GIRLS	BOYS	GIRLS
		30A	30B	31A	31B	32A	32B	33A	33B
1	2012								
2	2011								

SEC	CTION 3F:	Incidence of leaving sc	hool pre-ma	aturely			
Yea	r IS A DROP	Are there any pupils who left school before completing P.7 in	What was to number in		What is the	eason for	CODES FOR 36A, 36B
DOW		[YEAR]?  1=Yes 2= No (>>NEXT YEAR)			USE CODE		1=Harassment at home 2=Harassment at school 3=Traditions/culture 4=Pregnancies 5=Marriages
			BOYS	GIRLS	BOYS	GIRLS	6=Search for jobs 7=Orphan hood
		34	35A	35B	36A	36B	8=Transfer to another school 9=Lack of interest by pupil
1	2012						10=Indiscipline and expelled 11=Parental decision
2	2011						12=Insecurity 96=Other(specify)
3	2010						

SEC	TION 3G: School Meetings					
Турє	e of Meeting	Does the school hold [MEETING]?  1=Yes 2=No (>>NEXT)	Which mo year was meeting h	the last	Where minutes kept from this meeting?  1=Yes 2=No	How often are [MEETING] held?  1=Weekly 2=Monthly 3=Once a term 4=Half Yearly 5=Yearly 6=Ad hoc 8=Other (specify)
			MONTH	YEAR		(1 )/
	37	38	39A	39B	40	41
1	Staff meeting					
2	PTA					
3	School Management Committees					
4	One-to-one: Parent-Class teacher					
5	Student leader-staff meetings					

0	D '''	111 1122 17 1 1 1 1	4.1 1 14 44 4 21 0
Starri	ng Position	How many additional teachers are required in	n this school to meet the government ceilings?
		NUMBER OF TEACHERS	ADDITIONAL NUMBER REQUIRED
	42	43(a)	43(b)
1	Grade V and above		
2	Grade III		
3	Untrained / unlicensed		

#### SECTION 3I: Supervision/Monitoring of the school during the last 12 months

Supe	ervisor/Monitor	Did [SUPERVISOR] supervise/ Monitor this school?  1=Yes 2= No (>>NEXT)	Which mont was the last		What was the frequency of supervision/monitoring by [SUPERVISOR]?  1=Monthly 2=Quarterly 3=Twice a year 4=Annually 8=Other(specify)
		45	MONTH	YEAR	
	44	45	46A	46B	47
1	District Education Officer (DEO)				
2	District Inspector of Schools (DIS)				
3	Center Coordinating Tutors (CCT)				
4	Other 1 (Specify)				
5	Other 2 (Specify)				

SEC	CTION 3J: Problems/Constraints faced by the School		
	What are the MAJOR constraints/problems faced by the	RANK UP TO 3 SOURCES	How has the situation
	school?	BY IMPORTANCE	changed in the last 2 years?
	(SHOULD NOT BE READ TO RESPONDENT)	1=Most serious	1=Improved
		2=Serious	2=Same
		3=Least serious	3=Worsened
	48	49	50
1	Delayed remittance of funds		
2	Inadequate buildings		
3	Inadequate number of staff		
4	Inadequate number of qualified teachers		
5	Insufficiency of funds		
6	Long distances covered by pupils		
7	Pupils are hungry		
8	Bad behavior/strikes by pupils		
9	Irregular attendance by pupils		
10	Inadequate/lack of teachers accommodation		
11	Teacher absenteeism		
12	Bad behavior/strikes by teachers		
13	Lack of parental interest in school affairs		
14	Insecurity		
15	Other (specify)		

SECTION 3k: Learner Attendance, Teacher Presence and Qualifications and Other Classroom Elements

INTERVIEWER: THIS SECTION MAPS EACH CLASS IN THE SCHOOL. SOME ELEMENTS WILL BE COMPLETED BASED ON CONVERSATIONS WITH THE SECTION RESPONDENT AND SOME BASED ON YOUR OWN OBSERVATIONS. IT IS IMPORTANT THAT YOU COMPLETE EACH SECTION WITH INFORMATION FROM THE APPROPRIATE SOURCE.SELECT A STREAM PER CLASS AT RANDOM

RI	PORT	ED BY R	ESPO	NDENT					BASED C	ON INTE	RVIEWE	R OBSERVAT	ION				
	Clas	Teacher	Sex	Grade	What is	the	Are there	What	ls a	Was	lf	If teacher's	Is the	How	What	What	Does the
	S	Name	1=M 2=F	1=Grade V and above 2=Grade III 3=Untrained, unlicensed	official enrollme the stream		arrangement s for pupils in this stream to borrow text books for use outside the classroom, including at home? 1=Yes 2=No	percentage of pupils have access to text books in the class-room?  USE CODES BELOW	teacher present in the classroom ? 1=yes (>> 62) 2=no	the teache r's absen ce formall y approv ed?  1=yes 2=no (>>61)	teacher's absence was approve d: Why was the teacher absent? Use codes below	absence was not formally approved: why do you think the teacher is absent? Use codes below	teacher officially registered on the governme nt pay roll?  1=yes 2=no 3=don't know	many pupils are actually in attend- ance today?	percent- age of the pupils are seated in desks? Use codes below	percent- age of pupils have scholastic materials (pens, pencils, exercise books, etc.) Use codes below	class- room display materials on the walls or in corners of the class- room? 1=yes 2=no
					BOYS	GIRLS											
	51	52	53	54	55A	55B	56	57	58	59	60	61	62	63	64	65	63
1	P1																
2	P2																
3	P3																
4	P4																
5	P5																
6	P6																
7	P7																

CODES FOR 57, 64 and 65

#### CODES FOR 60 and 61

<sup>1=</sup>All have access 2=75% have access 3=50% have access 4=25% have access 5=none have access

<sup>1=</sup>Sick 2=Being trained 3=At workshop 4=Picking up salary 5=Working at another job 6=Study leave 7=Annual/maternity leave 8=On school errand 9=Exams 10=Poor pay 11= Weak supervision 12=Lack of interest in job 13=Absent without reason 14=Lack of accommodation 15=Lack of transport 96=Other (specify)

SEC	CTION 3L: Accountability in the schoo			
SN	What is the major mode of ensuring accountability in this school?	Have there been any cases of misuse of funds in last financial year?	Who was implicated? School management	What action was taken on culprits?
	Auditor 1		Committee member1	Interdicted/suspended 1
	School management/Board of Governors 2		Head teacher2	Dismissed 2
	PTA 3	Yes 1	Other, specify9	Deprimended /
	Head teacher rules 4	No >> NEXT SECTION 2		Reprimanded / Recovered 3
	Other, specify9			None 4
				Other 9
(1)	(2)	(3)	(4)	(5)
	1 2 3 4 6	1 2	1 2 3	1 2 3 4 6

**3M:** THIS SECTION IS TO BE ANSWERED BY THE ADMINSTRATOR OR HUMAN RESOURCE OFFICER AT THE FACILITY

How has Management of [NAME OF FACILITY] responded to curbing absenteeism in your organization?

No.	Initiative	√ Tick the appropriate box if this initiative is being practised in your work place	Of those initiatives being practiced in your work place, which control(s) is/was most effective in reducing absenteeism (Rank the first three starting with most effective using letters A, B and C in that order)
1	Clearly written attendance policy		
2	Disciplinary action – stern warning		
3	Disciplinary action – dismissal/termination		
4	Increase in allowances		
5	Salary increment		
6	Provision of housing		
7	Provision of transport		
8	Introduction of daily attendance registers		
9	Improving the work environment through ICT		
10	Improving the work environment through Favorable policies and work safety improvements		
11	Close supervision		
12	More staff recruited		
13	Capacity built in leadership , Mgt/ attendance control		
14	Flexible work schedule		
15	Inclusion of absenteeism rate on job performance appraisal		
16	Recognise staff with outstanding attendance record (employee bonus, award)		
17	Job enrichment/enlargement/rotation to reduce absenteeism		
18	Others, please specify		
19	Others please specify		
20	Others please specify		

#### PLEASE RECORD END TIME IN 1B ABOVESECTION 4.0: HEALTH SERVICES

1	What is the name of this facility?	
2	What is the code of this facility?	
3	What is the name of the respondent?	

4=Midwife 5=Administrator 8=Other (specify)	YEARS	8=Other (specify)	3=Health Center IV 8=Other (specify)
4	5	6	7

Do you work during the night in this health facility? 1=Yes (>>10) 2=No	Why not?  1=No night services provided by facility 2=Live far away 3=Insecurity in the night 8=Others (Specify)  [>>15]	Did you work during the night yesterday? 1=Yes 2=No (>>14)	For how long?	Were you on call or night duty? 1=Yes(>>14) 2=No	Why did you work?  1=Too few staff 2=Patient came at night 3=Was only one around 4=Live near health facility 8=Others (Specify)	How many times do you work at night in the last 7 days?
			HOURS			DAYS
8	9	10	11	12	13	14

SECTION 4B : Availability of equipment/services at the facility									
What is the total number of inpatient medical beds at this facility?  IF NONE, ENTER	wailability of What is the number of inpatient maternity this facility:  IF NONE, I	facility have electricity from the grid?  ty?)  1=Yes Hydro 2=Yes Thermo 3=No (>>19)		this y have icity from rid? s Hydro s Thermo	How many days last week did this facility experience power shortages that lasted longer than 30 minutes?		factorial factor	pes the health cility have a nctioning enerator?	Does the health facility have a solar panel?  1=Yes 2=No
15	16		3=110	17	NUMBER 18			19	20
Not in the data									
Does the health facility have a functioning ambulance?	Is an ambulanc e available for use by this facility?	What is distance from thi health for the nearest ambula	e s acility	Does the health facility provide food for staff?	Does the health facility provide food for inpatients?	What is the distance from this facility to the nearest bank/micronance	ne	What is the distance from this facility to the District Health Office/Health Sub District	What is the distance from this facility to the nearest trading centre?
1=Yes (>>24) 2=No	1=Yes 2=No (>> <b>24</b> )	KILOME	TERS	1=Yes 2=No	1=Yes 2=No	institution?		Office? KILOMETERS	KILOMETERS
21	22	23		24	25	26		27	28

Does this facility h	nave the followi	ng items?					
functioning computer for general use by the health centre or only for a specific project?	official telephone (land line or mobile phone)?	functioning radio call for communication?	laboratory?	CD - 4 machines ? (for HC IV and above)	working refrigerator for vaccines?	an icebox for transporting vaccines?	a working microscope ?
1=Yes 2=No	1=Yes, landline 2=Yes, mobile 3=No	1=Yes 2=No 3=NA	1=Yes 2=No	1=Yes 2=No	1=Yes 2=No	1=Yes 2=No	1=Yes 2=No
29	30	31	32	33	34	35	36

37	38	39	40	41	42	43	44
2=No	2=No	2=No	2=No	2=No	2=No	2=No	2=No
1=Yes	1=Yes	1=Yes	1=Yes	1=Yes	1=Yes	1=Yes	1=Yes
					scales?	scales?	ment equipment?
delivery bed?	machine?	equipment?	functioning bicycle?	functioning motorcycle?	standing weighing	hanging weighing	height measure-
a	a BP	sterilization	a	a	functioning	functioning	functioning

SE	SECTION 4C:Services offered by this health facility						
Ser	vice	Is [SERVICE] offered at this health facility?	Do patients have to pay for [SERVICE]?				
		1=Yes 2=No (>NEXT SERVICE)	1=Yes 2=No				
	45	46	47				
1	General outpatient						
2	Immunization for children						
3	Antenatal care						
4	Family planning						
5	Tuberculosis treatment						
6	STIs treatment						
7	Community and Outreach Services						
8	Laboratory						
9	Dental services						
10	General inpatient-medical						
11	General inpatient-surgical						
12	Deliveries						

48. How has the quality of [SERVICE] offered in this facility changed of	48. How has the quality of [SERVICE] offered in this facility changed compared to the year 2011?						
1=Improved							
2=Same							
3=Worsened							
4=Not Applicable							

<b>SECTION 4</b>	SECTION 4D: Common diseases reported at health facility									
How do you	How do you rate the frequency of the following diseases at your health facility during the last 12 months?									
49	50	51	52	53	54	55				
Malaria?	TB?	Injuries?	Measles?	Birth related?	Diarrhea?	Acute Respiratory Infections ?				
1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None	1=High 2=Average 3=Low 4=None				

How do you rate	How do you rate the frequency of the following diseases at your health facility during the last 12 months?								
56	57	58	59A	59B	59C				
STI/HIV/AIDS?	Diabetes?	Cardiovascular diseases?	Other (specify)?	Other (specify)?	Other (specify)?				
1=High	1=High	1=High	1=High	1=High	1=High				
2=Average	2=Average	2=Average	2=Average	2=Average	2=Average				
3=Low	3=Low	3=Low	3=Low	3=Low	3=Low				
4=None	4=None	4=None	4=None	4=None	4=None				

SE	CTION 4E: Common stock outs reported at hea	alth facility		
Has drug	the health facility experienced stock outs of the foll gs/supplies in the last 2 months?	How often have you had stock outs of [DRUG/SUPPLY] in the last two months?	Is drug available today?	
Dru	gs/Supplies	1=Yes 2=No (>>NEXT DRUG/SUPPLY)	1=Frequently 2=Occasionally	1=Yes 2=No
	60	61	62	63
1	Artmether/lumefentrine			
2	Sulfadoxine Pyrimethamine tab			
3	Cotrimoxazole 480mg tab			
4	Oral Rehydration Salts (sachet)			
5	Medroxyprogesterone inj ("Depo")			
6	Phenyton 100mg			
7	Metronidazole			
8	Measles vaccine			
9	Examination gloves			
10	Ferrous/folic			
11	HIV testing kits			
12	Other (specify)			

	CODES	S AT RIGHT									
Syring needl		Drip/IV fluids?	Glove	es?	Exercise by writing prescription		r Cotton wool?	Paraffin?	1= \	DES FOR 6 <sup>2</sup> Yes, always 'es, when ite	I-79 m is out of stocl
6	64	65		66	67		68	69	3=No 4=Not Applicable		
Soap	2	Medicines	(e a	Other	(specify)		Other (spec	rify)	COI	DES FOR 65	5-78
Medicines (e.g. ergometrin)?		Cuioi	(ороспу)		Outlot (opoc	, y <i>)</i>	1= \	Yes, always			
								2=Y 3=N		m is out of stocl	
7	70	71			72			73	-	lot Applicabl	e
		•							•		
							DELIVERIES				
		who come	or deliv	ery ha	ve to bring	the follo	owing items to	the health fa	acility?	?	
= Y ! = !											
	-	0 IF NO DE	LIVERI	ES AT	THIS FAC	ILITY					
,, <b>.</b> , .	, 0 0	0 11 110 02	_,,,_,,,		77770						
		S AT RIGHT						T =			
Slov	es?	Cotton wo	ool?	Jik?			Razor blade?	Plastic sh	eet?	? CODES FOR 64-78 1= Yes, always	
											ways en item is out o
7	74		75		76		77	78		stock	
										3=No 4=Not App	dicable
										1–1101710	modelio
	TION	411 37 11 1 71									
EC	HON 4	4H: Validation	on of Hi	/115							
Data	Valida	tion tool - He	alth Fac	ility Lev	el						
Distr	ict Nam	ne:						HSD Nam	ne:		
leal	th Facil	ity:			Code:			Date:			
				1	01	92	83	84		0.5	86
lo.	Data e	80 element		Pé	<b>81</b> eriod	<b>82</b> HMIS	Database		Va	85 ariance/	Comments
	Data	Jonione		( d	rop down in RVEYBE with	105	/facility	rtogiotoi	mi	ismatch	Commonto
				арі	olicable months	district	t report		(Y	es/No)***	
	OPD			an	d years) Mar-12						
1											
1					Jun-12						
1											
1 2		ries in Health	Units		Mar-12						
		ries in Health	Units								
2	Delive				Jun-12						
	Delive	Given to Ch									
2	Delive	Given to Ch			Jun-12						
2	Delive DPT 3 Under	Given to Ch 1yr	ildren		Jun-12 Mar-12 Jun-12			adiate IV- II			
2	Delive DPT 3 Under	Given to Ch 1yr	ildren	port, da	Jun-12 Mar-12 Jun-12	egister a	are different in	ndicate "Yes"			
2	Delive DPT 3 Under	Given to Ch 1yr	ildren	port, da	Jun-12 Mar-12 Jun-12		are different in	ndicate "Yes"			

SECTION 4I:Epidemic Reporting		
Did any member of this community report any epidemic outbreak since 2010?	Did you notify the district within 24hrs?	How long did it take for the district to respond?
1=Yes 2=No (Not reported) (>>90) 3=NA (no epidemic )(>>90)	1=Within 24 hours 2=Within 7 days 3=After 7 days	1=Within 24 hours 2=Within 7 days 3=After 7 days
87	88	89

SECTION 4J: General Ope	rations	
On average, for how many hours is the facility open to	Has the facility been faced with absenteeism of its staff in the last 12	What is the major cause of absenteeism?
the public in a week?	months?	1=Lack or morale due to poor payment
		2=Delays in payment of salaries
	1=Yes	3=Not much work
	2=No(>>93)	4=Too much work
		5=Lack of equipment
		6=Lack of accommodation
HOURS		8=Other (specify)
90	91	92

SECTION 4K :Sanitary faci	SECTION 4K :Sanitary facilities available at the health facility							
Does the health facility have public toilets/ latrines?  1=Yes 2=No (>>100)	What is the main type of toilet available?  1=Flush water 2=VIP pit latrine 3=Ordinary pit latrine 8=Other (specify)	What is the condition of the toilet?  1=Good 2=Fair 3=Poor 4=Not in Use	Are the toilets/ latrines adequate?  1=Yes 2=No 3= Not Applicable	be used facility?  1=Prese 2=Prese soap	facilities to	Does the facility have separate sanitary facilities for women and men?  1=Yes 2=No (>>100)	How man toilet/latri stances a females a males?	ne are for
				TOILETS	BATHROOMS		MALE	FEM ALE
93	94	95	96	97A	97B	98	99A	99B

Are there garbage or medical was	ste disposal facilities?	What is the type of facility used?	
1=Yes 2=No (>>102)		1=Pit 2=Skip	
			3=Bush 8=Other (specify)
GARBAGE WASTE	MEDICAL WASTE	вотн	
100A	100B	100C	101

SECTION 4K_	SECTION 4K_1: Access to water at the health facility					
	What is the <b>MAIN</b> source of water at the facility?	RANK UP TO 3 SOURCES BY IMPORTANCE	What is the distance to each important source	How reliable are these important water sources?		
		1=Main source 2=2 <sup>nd</sup> alternative 3=3 <sup>rd</sup> alternative	(KM)? (IF WITHIN PREMISES, RECORD 00.0)	1=Available throughout the year 2=Not Reliable (Seasonal) 8=Other (specify)		
	102	103	104	105		
1	Piped water					
2	Bore hole					
3	Protected spring/well					
4	Rain water					
5	Lake/river/stream/dam/pond					
6	Spring					
7	Other (specify)					

SECTION 4M: Fact	ors limiting provision of health services		
	limiting factors faced by the health facility?  OUT TO RESPONDENTS)	RANK UP TO 3 SOURCES BY IMPORTANCE	For those important limiting factors, how do you rate the change in the situation compared to 12 months ago?
		1=Most serious 2=2 <sup>nd</sup> most serious 3=3 <sup>rd</sup> most serious	1=Improved 2=Same 3=Worsened 4=Not applicable
	106	107	108
1	Delayed remittance of funds		
2	Inadequate funding		
3	Inadequate facilities		
4	Inadequate drugs		
5	Inadequate clinical equipment		
6	Inadequate number of staff		
7	Inadequate staff skills		
8	Long distances from service users		
9	Negative attitudes of some service users		
10	Low pay to staff		
11	Insecurity		
12	Low staff morale		
13	Other (specify)		

SECTI	ON 4N: Supervision/I	Monitoring of Health Facility			
Supervisor/Monitor Level from which the supervisor came		Did the supervisor from [LEVEL] supervise/ monitor this health facility in the last 3 months?  1=Yes 2=No 3=N/A(>>next level)	Which month and year did supervisor from [LEVEL] last visit?  MONTH YEAR		What is the <b>annual</b> frequency of supervision/monitoring by [SUPERVISOR]?  1=Monthly 2=Quarterly 3=Twice a year 4=Annually
	109	110	111A	111B	112
1	Health Sub-District (HC IV/hospital)				
2	District				
3	Ministry of Health				

SECTION 40: Village Health Teams	
<b>113.</b> Do the villages in the catchment area of this facility have established (selected and trained) Village Health Teams (VHT)?	
(coloring and manifes) rinage riseans (criti).	
1=Yes	
2=No (>>115)	
<b>114.</b> Are the Village Health Teams (VHT) functional?	
FUNCTIONALITY MEANS PROVIDING REPORTS TO THE HEALTH FACILITY AND HOLDING QUARTERLY MEETINGS	
1=Yes	
2=No	

SEC	TION 4P:Staffing at the facility			
Vaca	nnt positions in health facility			
	Positions	How many positions have not been filled/are vac (consider the official ceiling required)?		
		NU	JMBER	
		Filled	Vacant	
	115	116(a)	116(b)	
1	Doctors			
2	Nurses			
3	Allied medical workers			
4	Laboratory technicians			
5	Nursing assistants			
6	Support staff			
7	Midwives			
8	Dispenser/pharmacist			

SECTION 4P continued: List of all medical staff who work at this facility
If this is a HCII or HCIII ask to be shown around the health facility. While doing so, introduce yourself to each of the medical staff/support staff who are present, and

record the required information about them.

	Name of staff	Sex 1=Male 2=Femal e	Function  USE CODES AT	Was this health worker found working?	Was the staff's absence formally approved?	If the absence was approved:  Why is staff not	If the absence was <b>not</b> approved: Why is staff not present today?	Is the staff officially registered on the government pay roll?	CODES FOR 119 1=Clinical officer /medical assistant 2=Medical doctor 3=Enrolled midwife
			RIGHT	1=Yes (>>123) 2=No	1=Yes 2=No	present today?  USE CODES AT RIGHT	Use codes at right	1=Yes 2=No 3=Don't Know	4=Registered midwife 5=Comprehensive midwife-nurse 6=Enrolled nurse
	117	118	119	120	121	122A	122B	123	7=Registered nurse 8=Nursing aide/ assistant
1									9=Facility administrator
2									10=Accountant 11=Records clerk
3									12=Health assistant 13=Dentist/dental assistant 14=Laboratory technician/assistant
4									15=Pharmacist/dispenser 16=Cleaner/sweeper/etc
5									96=Other (specify)
6									CODES FOR 122A & 122B 1=Sick
7									2=Conducting outreach 3=At HSD/DHO/MOH
8									4=Being trained 5=At workshop
9									6=Picking up salary 7=Picking up drugs/supplies
10									8=Working at another job 9=Study leave
11									10=Annual/maternity leave 11=Off-duty/night duty
12									12=Exams 13=Absent without reason
13									14=Lack of accommodation 96=Other (specify)
14									
15									
16									
17									
18									
19									
20									

	HIS SECTION IS TO BE ANSWERED BY THE ADMINSTRATOR OR HI Management of [NAME OF FACILITY] responded to curbing absenteeis		
No.	Initiative	√ Tick the appropriate box if this initiative is being practised in your work place	Of those initiatives being practiced in your work place, which control(s) is/was most effective in reducing absenteeism (Rank the first three starting with most effective using letters A, B and C in that order)
1	Clearly written attendance policy		
2	Disciplinary action – stern warning		
3	Disciplinary action – dismissal/termination		
4	Increase in allowances		
5	Salary increment		
6	Provision of housing		
7	Provision of transport		
8	Introduction of daily attendance registers		
9	Improving the work environment through ICT		
10	Improving the work environment through Favorable policies and work safety improvements		
11	Close supervision		
12	More staff recruited		
13	Capacity built in leadership , Mgt/ attendance control		
14	Flexible work schedule		
15	Inclusion of absenteeism rate on job performance appraisal		
16	Recognise staff with outstanding attendance record (employee bonus, award)		
17	Job enrichment/enlargement/rotation to reduce absenteeism		
18	Others, please specify		
19	Others please specify		
20	Others please specify		

4	4R: Accountability in the Health facility					
SN	What are the <b>major</b> modes of ensuring accountability in this health facility?	Have there been any cases of misuse of funds	IF YES, how much money was involved in the most recent case? Ushs.	Who was implicated?	What action was taken on culprits?	
		in last financial year?		Health management Committee member 1	Interdicted/ suspended 1	
	Auditors 1			Head of facility 2	Dismissed 2	
	Health management/ committee 2 Other, specify. 6	Yes 1 No 2 >>NEXT SECTION		Other, specify 6	Reprimanded / Recovered 3 None. 4  Other. 6	
(1)	(2)	(3)	(4)	(5)	(6)	
	1 2 6	1 2		1 2 6	1 2 3 4 6	

PLEASE RECORD END TIME IN SECTION 1B.

#### **SECTION 5.0: WORKS AND TRANSPORT**

TO	BE ANSWERED BY SUB-COUNTY CHIEF
1	What is the name of the respondent?

2	What is the function of the respondent?	
_	Wildlig the fulletion of the respondent:	

3	How long has the respondent held this post?	YEARS     MONTHS
3	How long has the respondent held this post?	

	SECT	ION 5A:Infras	tructure (roads/bridges/	culverts) availability a	and condition		
CO	e of road/bridge/culvert  MPLETE COLUMN 5 FORE MOVING ON TO LLOW UP QUESTIONS	Does this sub-county have any [ROAD]?  1=Yes 2=No (>>NEXT ROAD)	What is the commonest mode of transport used to reach the nearest [ROAD]?  1= Walking 2= Taxi (car) 3= Boda-boda 4= Bus/minibus 5= Motorcycle 6= Bicycle 7= Boat 8= Other (Specify)	What is the total length of [ROAD] within sub-county (km)?  (FOR BRIDGES/ CULVERTS RECORD NUMBER)	INTERVIEWER: IS THIS NUMBER BASED ON RECORDS OR SUB- COUNTY CHIEF ESTIMATIONS?  1=Based on written records 2=Based on respondent estimation	What is the current state of the [ROADS]/bridges?  1=Good (>>NEXT) 2=Usable (>>NEXT) 3=Poor	In your opinion, what is the main reason for the poor roads?  1=Bad weather 2=Lack of equipment 3=Poor maintenance 4=Lack of engineers 8=Other
	4	5	5.1	6	7	8	9
1	Trunk roads (Tarmac)			.			
2	Trunk roads (Murram)			_ _ .			
3	Feeder roads			_ _ -  -  -			
4	Community roads						
5	Bridges/culvert crossings						

SE	CTION 5B : Maintenance a	nd Repair of Infrastructu	ıre						
FC	OR THOSE ROADS	Who is the responsible for maintaining [ROAD]/bridge?	Number of km of [ROAD] maintained	Do you contract maintenance of [ROAD] to	How do you rate the quality of work for	What is the frequency of repairs for [ROAD]?  1=Routine-manual	Have there been any new [ROAD] constructed in	What is the length in km of [ROAD] constructed?	What are the reasons?  1=No need
INI	DICATED IN COLUMN 5	A=Sub-county B=District C= Ministry of Works (>> NEXT ROAD) D=Other	(KM EXECUTED) (FOR BRIDGES/ CULVERTS RECORD NUMBER)	the private sector? 1=Yes 2=No	[ROAD]? 1=Good 2=Average 3=Poor	2=Routine-mechanized 3=Regular-manual 4=Regular-mechanized 8=Other (specify)	sub-county in the last 2 years? 1=Yes 2=No (>>17)	(FOR BRIDGES/ CULVERTS RECORD NUMBER) [>>18]	2=Lack of funds 3=Lack of equipment 4=Insecurity 8=Other (specify)
		10	11	12	13	14	15	16	17
1	Trunk roads (Tarmac)								1 2 3 4 8
2	Trunk roads (Murram)								1 2 3 4 8
3	Feeder roads								1 2 3 4 8
4	Community roads								1 2 3 4 8
5	Bridges/culvert crossings								1 2 3 4 8

SE	CTION 5C : Funding for main	tenance of Roads/Br	ridges/Culverts		
	Category of road	On average, How much was <b>required</b> to	How much was actually released to	Were the	e funds
	FOR THOSE ROADS INDICATED IN COLUMN 5	maintain [ROAD] in the last financial year?	maintain [ROAD] in the last financial year?	requeste received time?	
			SHILLINGS	1=Yes 2=No	
		SHILLINGS			
				1=Yes 2=No	
		18	19	2	0
1	Trunk roads (Tarmac)			1	2
2	Trunk roads (Murram)			1	2
3	Feeder roads			1	2
4	Community roads			1	2
5	Bridges/culvert crossings			1	2

#### PLEASE RECORD END TIME IN SECTION 1B

SECTI	ON 5D: Constraints faced in the	e Maintenance and Re	epairs of Roads
sub-cour repair of	e the MAJOR constraints faced by this nty in terms of the maintenance and roads?  read out these factors to respondent)	RANK UP TO 3 SOURCES BY IMPORTANCE 1=Most serious 2=2 <sup>nd</sup> most serious 3=3 <sup>rd</sup> most serious	How has the situation changed in the last 2 years?  1=Improved 2=Same 3=Worsened
	21	22	23
1	Delayed remittance of funds		
2	Inadequate facilities		
3	Inadequate staff		
4	Wide road network		
5	Lack of peoples' interest		
6	Inadequate funding		
7	Low pay to staff		
8	Insecurity		
9	Other		

5E: Accountability in the sub county and rating of overall performance of the sub count

		Have there been	IF YES, how much money		
SN	What is the <b>major</b> mode of ensuring account-	any cases of	was involved in the most	Who was implicated?	What action was taken on
J	ability in this sub-county?	misuse of funds in	recent case?	Wile was implicated.	culprits?
		last financial year?	Ushs.		
	Internal auditors 1			Committee	Interdicted/ 1
	External auditors 2	Yes 1		member 1	Interdicted/ 1 Suspended
	Technical Planning committee 3			Head of dept 2	Dismissed 2
	Chairperson rules 4	No 2 >> NEXT SECTION		Accounting officer 3	Reprimande d / recovered
	Finance office rules 5			Other Specify. 6	None 4
	Other, specify 6				
(1)	(2)	(3)	(4)	(5)	(6)
	1 2 3 4 5 6	1 2		1 2 3 6	1 2 3 4

# 1. How many households reside in this community? 2. How much of the land in the community is used for... SHARE 1 Cultivation by villagers 2 Agro-business/plantation farming by outsiders 3 Forest 4 Pasture (common access) 5 Wetland 6 Residential 7 Other (Specify)

OFOTION OF COM	VIIIVILA	V OBOURO				
SECTION 6B: COM	MUNII		0	0	4	-
		Which of the following organizations exist in the community?  ASK FOR EACH GROUP BEFORE ASKING THE FOLLOWING QUESTIONS ON THOSE THAT EXIST IN THE COMMUNITY.  1=Yes 2=No >> NEXT ROW	How many [GROUP]s are there in the community?	When did the [GROUP] begin?  IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.	How often do the members of the [GROUP] meet?  IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.  READ RESPONSES.  1=Weekly 2=Monthly 3=Quarterly 4=Semi-Annually 5=Annually 9=Other (Specify)	How many female members does the [GROUP] have?  REFERS NOT ONLY TO EXECUTIVE MEMBERS BUT OVERALL MEMBERSHIP, WHENEVER INFORMATION PROVISION IS FEASIBLE.  IF MORE THAN ONE GROUP EXISTS, ASK ABOUT THE ONE WITH THE LARGEST FOLLOWING IN THE COMMUNITY.
GROUP	CODE		NUMBER	YEAR [4-DIGIT]		NUMBER
Agricultural Coop	301					
Farmers' Group	302					
Livestock Assoc.	303					
Savings & Credit Coop	304					
Business Assoc.	305					
Women's Group	306					
Youth Group	307					
Cultural Group	308					
Sports Group	309					
Community Police/Watch Group	310					
Disabled Assoc.	311					
Other (Specify)	312					
Other (Specify)	313					

SECTION 6C: NGO	S IN THE COMMU	JNITY			
	1	2	3	4	5
PLEASE RECORD THE NAMES OF ALL NON- GOVERNMENTAL ORGANIZATIONS (NGOs) THAT ARE CURRENTLY OPERATING IN THE COMMUNITY, INCLUDING FARMER FIELD SCHOOLS.  ASK QUESTIONS 1-5 ONE ROW AT A TIME.	What is the type of [NGO]?  READ RESPONSES.  1=Local 2=National 3=International 9=Other (Specify)	When did the [NGO] begin?	What is the main purpose of the [NGO]?  1=Health/Sanitation 2=Domestic Water Sources 3=Maternal/Child Nutrition 4=Education 5= Crop Production (Gen'l) 6=Irrigation 7=Sustainable Land Mgmt 8=Forestry/Agro-Forestry 9=Livestock Production (Gen'l) 10=Livestock Health 11=Fishing 96=Other (Specify)	How many members does the [NGO] have?  REFERS NOT ONLY TO EXECUTIVE MEMBERS BUT OVERALL MEMBERSHIP, WHENEVER INFORMATION PROVISION IS FEASIBLE.	How many female members does the [GROUP] have?  REFERS NOT ONLY TO EXECUTIVE MEMBERS BUT OVERALL MEMBERSHIP, WHENEVER INFORMATION PROVISION IS FEASIBLE.
NGO		YEAR [4-DIGIT]	co outer (openin)	NUMBER	NUMBER

#### SECTION 6D: COMMUNITY NEEDS, ACTIONS & ACHIEVEMENTS

		1		2	3	4	5	6	7
		'				<u> </u>	_	<u> </u>	_ ′
		years, has any of the community members ever approached the LC1, other village leaders or political leaders about expressing a need for [ITEM] in the community?			ENUMERATOR: IS THE ANSWER "YES" TO EITHER	During the past 5 years, even if there was no communal or	During the past 5 years, did the community members	Under whose leadership was the	Was the meeting(s) successful in
		years, has any of the community members ever approached the LC1, other village leaders or political leaders about expressing a need for [ITEM] in the	years other politic organ comm discu- a nee	g the past 5 , has the LC1 or village leader or cal leaders nized the nunity members to ss how to address of for [ITEM] in the nunity?	QUESTION 1 OR QUESTION 2? YES1>> 5 No2	leadership action to express/discuss the need for [ITEM], were there any investment projects that addressed the need for [ITEM]?	(besides LC1 and other local/political leaders) meet to find ways to address the need for [ITEM]?  YES1 NO2>>8	meeting(s) organized? SEE THE CODEBOOK.	determining the steps that were needed to be taken by the community to address the need for [ITEM]?
		YES1 NO2		YES1 NO2		YES1>> 11 NO2>> NEXT ROW			YES1 NO2
ITEM	CODE	NO2		NOZ					
Road: Construction/Improvement	101								
Bridge: Construction/Improvement	102								
Primary School: Construction/Improvement	103								
Secondary School: Construction/Improvement	104								
Health Center/ Clinic/ Dispensary: Construction/Improvement	105								
Piped Water/ Boreholes/ Wells: Construction/Improvement	106								
Maize Mill: Construction/Improvement	107								
Public Transportation: Initiation/Improvement	108								
Agricultural/ Fishery/ Livestock Extension Services: Initiation/Improvement	109								
Law Enforcement: Initiation/ Improvement	110								
Other (Specify)	111								

			8		9	10	11		12		13	14	15	16		17	
		for [ITI actions common READ POSS FROM CODE UP TO ORDE	IBLE AC' I THE BOOK. L I THREE	t e? TIONS LIST IN	ENUMERATOR: DID THE COMMUNITY TAKE ANY ACTION TO ADDRESS NEEL FOR [ITEM], AS REPORTED IN QUESTION 8, COLUMNS A THROUGH C?  YES1	Was the need for [ITEM] addressed?  READ RESPONSE S FROM THE CODEBOOK . SKIP TO NEXT ROW IF THE RESPONSE IS 3, 4 OR 5.	When was the need for the [ITEM] addressed?	source for [ITE READ RESPO FROM CODEI UP TO	POTENT ONSES	TIAL LIST RDER	What was/will be the total funding for [ITEM]?  INCLUDE ALL SOURCES FROM OUTSIDE AND WITHIN THE COMMUNITY	What was/will be the total amount of money contributed by community members? IF NO MONEY WAS RAISED, RECORD ZERO AND >> 16.	How were/will be the contributions raised? READ RESPONSE S  1=Voluntary 2=Compulso ry 3=other (specify)	To address the need for [ITEM], did the community members contribute in kind?  YES1 NO2>> NEXT ROW	comr mem contr kind? REAI POS: CON S FR COD LIST THRI ORD	ibute in	ΓΙΟΝ E K.
		a.	b.	C.	NO2		YEAR	1ST	2ND	3RD	USHS	USHS			a.	b.	C.
ITEM	CODE						[4-DIGIT]										<del></del>
Road: Construction/Improvement	101																
Bridge: Construction/Improvement	102																
Primary School: Construction/Improvement	103																
Secondary School: Construction/Improvement	104																
Health Center/ Clinic/ Dispensary: Construction/Improvement	105																
Piped Water/ Boreholes/ Wells: Construction/Improvement	106																
Maize Mill: Construction/Improvement	107																
Public Transportation: Initiation/Improvement	108																
Agricultural/ Fishery/ Livestock Extension Services: Initiation/Improvement	109																
Law Enforcement: Initiation/ Improvement	110																
Other (Specify)	111																

SECTION 6E: COMMUNAL RE	SOURC	E MANAGE	MENT									
		1	2	3	4	5	6		7			
	CODE	Does the community own any communal [RESOUR-CE]?  YES1 NO2>> NEXT ROW	Is the community able to determine independently the rules of access and use of its	nmunity communal [RESOURCE] recognized by the district council?		Is the communal [RESOURCE] sometimes used by outsiders or neighbouring villagers	Does the community have any specific exclusion mechanism targeted at keeping	exclude o [RESOUR READ RES	w does the community manage to lude outsiders from using communal SOURCE] without consulting LC1? AD RESPONSES. LIST UP TO 3 IN DER OF IMPORTANCE.			
		NO2>>	communal [RESOUR- CE]? YES1 NO2	YES1 NO2	estates?  YES1 NO2	without consulting the LC1?  YES1 NO2	outsiders from using communal [RESOURCE]?	USE TH Fine Religi Physic	Use guards			
RESOURCE								1ST	2ND	3RD		
Crop Land	101											
Forest	102											
Pasture	103											
Water Body: Specify	104											
Other (Specify)	105											

			8			9		10	11	12	13	14
		community regarding a [RESOURO READ RES	SPÓNSES. O 3 IN ORDEI	nembers e of communa	its members compliance with use rules for communal [RESOURCE]? READ RESPONSES.		In general, how effective are community policies to ensure compliance concerning use rules for communal [RESOURCE]? READ RESPONSES 1=Not	In the past 5 years, has government taken over any communal [RESOUR-CE]? 1=YES 2=NO >>13	What was the reason for the government takeover?  1= Initiate agricultural scheme 2= Construct public facilities 3= Convert into forest	In the past 5 years, has a neigh-boring village taken over any communal [RESOUR- CE]?	Did the community lose rights to previously communal [RESOUR-CE] following a mediated dispute?	
		1=Time res			1=Fine			Effective		reserve 4= Convert into	2=NO >>	1=YES 2=NO
			ns on number a given time		2=Confisca	2=Confiscation of tools/ products		2=Somewhat		national park land 5= Convert into wildlife	NEXT ROW	Z=NO (THEN >>
		1	among group	s of	products			Effective		park land		NEXT ROW)
		families			· ·	way [RESOU	RCE]	3=Fully Effective		9= Other (specify)		NEXT ROW)
		4= No restri 9= Other (S			use rights	· ·						
		0-011101 (0	ppoony		4=Religious							
					5=Social sa							
		1			•	punishment						
		ļ			7=Discrimin							
					future hand							
					8=Do nothi	•						
					9=Other (S	pecify						
		1ST	2ND	3RD	1ST	2ND	3RD					
RESOURCE	101											
Unallocated Arable Land												
Forest	102											
Pasture	103											
Water Body: Specify	104											
Other (Specify)	105											

PLEASE RECORD END TIME IN SECTION 1B.

CODES FOR SECTION 6D, QUESTION 6:
LC1
GROUP VILLAGE HEADMAN2
SCHOOL COMMITTEE3
HEALTH COMMITTEE4
AGRICULTURAL COOP/FARMERS' GROUP5
AGRICULTURAL EXTENSION OFFICER6
NGO/RELIGIOUS OR INTERNATIONAL ORG7
MP8
DISTRICT ASSEMBLY9
OTHER (SPECIFY)96

CODES FROM SECTION 6D, QUESTION 8:
MOBILIZE MANPOWER AMONG COMMUNITY MEMBERS
MOBILIZE FINANCIAL RESOURCES AMONG COMMUNITY MEMBERS2
SEEK RESOURCES THROUGH THE LOCAL FUNDING AGENCY
SEEK RESOURCES THROUGH THE CITY/DISTRICT ASSEMBLY/COMMISSIONER4
SEEK RESOURCES THROUGH THE RELEVANT MP
SEEK RESOURCES THROUGH OTHER INFLUENTIAL PERSON6
SEEK RESOURCES THROUGH AN NGO OR RELIGIOUS/INTERNATIONAL ORG7
OTHER (SPECIFY)9

CODES FOR SECTION 6D, QUESTION 10:			
ADDRESSED/BEING ADDRESSED1			
TO BE ADDRESSED/RESPONSE POSITIVE2			
PROMISED BUT NOT ADDRESSED3	>>	NEXT	ROW
NOT ADDRESSED, RESPONSE PENDING4	>>	NEXT	ROW
NOT ADDRESSED, ATTEMPTS FAILED5	>>	NEXT	ROW

CODES FOR SECTION 6D, QUESTION 12:
COMMUNITY MEMBERS
LOCAL FUNDING AGENCY2
DISTRICT/CITY ASSEMBLY3
CENTRAL GOVERNMENT4
NGO5
RELIGIOUS ORGANIZATION/INSTITUTION6
INTERNATIONAL ORGANIZATION7
OTHER (SPECIFY)9

CODES FOR SECTION	6D, QUESTION	17:
SUPPLY MANUAL LA	30R	<del></del>
SERVE IN THE PROJ	JECT COMMITTEE.	
PROVIDE MATERIAL	INPUT SUCH AS	BRICKS AND SAND3
SUPPLY ANY OTHER	IN-KIND CONTR	IBUTION (SPECIFY).9