

# Unit 1: Relational Databases

- 1.1. Fundamentals
- 1.2. The Relational Data Model
- 1.3. Interpretation of a Relational Database



Bases de Datos y Sistemas de información Departamento de Sistemas Informáticos y Computación / Universidad Politécnica de Valencia

V. 16.4

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
- 3 The relational data model
- 4 Constraints and transactions

# Historical milestones about the relational data model

70's: Proposed by E. Codd in 1970

80's: Becomes popular in practice (Oracle, ...). ANSI defines the SQL standard.

90's: Generalisation and standardisation (SQL'92) and extensions.

#### Reasons of success:

Simplicity: a database is a "set of tables".

3

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
  - 2.1 Informal view of a relational database
  - 2.2 Relational database goals
- 3 The relational data model
- 4 Constraints and transactions

The information is organized in tables, with columns and rows:

- Entities are represented as tables (a.k.a. relations).
- Objects (entity instances) correspond to table rows.
- Object's features are represented by attributes. These attributes correspond to the columns of the tables, and are also known as fields.
- Attributes in the same column must have the same datatype (domain).

5

### 2.1 Informal view of a relational database

#### Teaching:

Lecturer code (cod\_pro)
Subject code (cod\_asg)
Lecture groups (GT)
Labs groups (GP)

#### Lecturer:

Code (cod\_pro)
Name (nombre)
Telephone (telefono)
Category (categoría)

#### Subject:

Code (cod\_asg)
Name (nombre)
semester in which is offered (semester)
lecture credits (T)
lab credits (P)

		Lecture	er		
cod_pro	no	ombre	teléj	fono	categoría
JCP	Juana C	erdá Pérez	3222	2	Titular
PMG	Pedro N	/lartí García	3412	2	Titular
LPB	Luisa Bo	os Pérez			Titular
ERA	Elisa Ro	jo Amando	7859	9	Catedrático

Row (*Tuple*) = lecturer instances Column (*Attribute*) = property → with a *name* and an associated *type* 

DEPARTMENT(cod\_pro:string(5), nombre:string(40), telefono:string(9), categoria:string(30))

8

### 2.1 Informal view of a relational database

		Subject			
cod_asg	nombre	e	semestre	T	P
11545	Análisis Matemático		1A	4,5	1,5
11547	Matemática Discreta		<b>1</b> A	4,5	1,5
11546	Álgebra		1B	4,5	1,5

Subject

Row (*Tuple*) = subject instances Column (*Attribute*) = property → with a *name* and an associated *type* 

SUBJECT (cod\_asg:string(5), nombre:string(40), semester:string(2), T:integer, P:integer)

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11548

4,5

1,5

	Teaching	J	
cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

Row (*Tuple*) = teaching instances Column (*Attribute*) = property → with a *name* and an associated *type* 

TEACHING (cod\_pro:string(5), cod\_asg:string(5), GT:integer, GP:integer)

10

### 2.1 Informal view of a relational database

			Lecture	r			
1	cod_pro		nombre	telé	fono	categoría	
I	JCP	Juana	a Cerdá Pérez	3222		Titular	
l	PMG	Pedro	Martí García	341	2	Titular	
١	LPB	Luisa	Luisa Bos Pérez			Titular	
١	ERA	Elisa	Rojo Amando	7859		Catedrático	

There are attributes which identify the tuples of a relation:

cod\_pro in LECTURER, cod\_asg in SUBJECT.

			Subject					
/	cod_asg	nombi	е	semestre	T	P	GT	GP
/	11545	Análisis Matemático		<b>1</b> A	4,5	1,5	2	4
	11547	Matemática Discreta	<b>1</b> A	4,5	1,5	2	4	
\	11546	Álgebra		1B	4,5	1,5	1	3
\	11548	Bases de Datos y Sistem	as de Información	3A	4,5	1,5	1	2
					•			

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

There are attributes which associate two relations:

cod\_pro in TEACHING which associates the teaching arrangement with the lecturer (cod\_pro) and the subject (cod\_asg)

	Teaching					
cod_pr	0	cod_asg	GT	GP		
JCP		11545	1	2		
JCP		11547	1	2		
LBP		11547	1	2		
PMG		11545	1	2		
ERΔ		115/12	1	2		

Subject

cod_asg	nombre	?	semestre	T	P	GT	GP
11545	Análisis Matemático		<b>1</b> A	4,5	1,5	2	4
11547	Matemática Discreta		1A	4,5	1,5	2	4
11546	Álgebra		1B	4,5	1,5	1	3
11548	Bases de Datos y Sistema	as de Información	3A	4,5	1,5	1	2

12

### 2.1 Informal view of a relational database

#### Lecturer

cod_pro	1	nombre	telé	fono	categoría	
JCP	Juana	Cerdá Pérez	322	2	Titular	
PMG	Pedro Martí García		341	2	Titular	
LPB	Luisa I	Bos Pérez	<u> </u>		Titular	
ERA	Elisa R	lojo Amando	785	9	Catedrático	
			T			

What is the telephone number of "LPB"?

#### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

Subject

cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

### **Unit 1.2 The Relational Data Model**

- 1 Introductions
- 2 Introduction to relational databases
  - 2.1 Informal view of a relational database
  - 2.2 Relational database goals
- 3 The relational data model
- 4 Constraints and transactions

14

### 2.2 Relational database goals

- The ultimate goal of a databse is that users and applications can:
  - 1. Store and modify the information of interest
    - INSERTION
    - DELETION
    - UPDATE
    - 2. Access and retrieve that information:
      - QUERY

# 2.2 Relational database goals: Modify

Add a new lecturer: INSERT a row

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Insert a new row:

- cod\_pro='VAR'
- nombre='Vicente Abad Real'

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático
VAR	Vicente Abad Real		

16

# 2.2 Relational database goals: Modify

Remove the groups of a lecturer: DELETE rows

#### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

#### Teaching

cod_pro	cod_asg	GT	GP
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

Delete rows where:

cod\_pro='JCP'

# 2.2 Relational database goals: Modify

Modify the information of a subject: UPDATE rows

		Jubject					
cod_asg	nombre		semestre	T	P	GT	GP
11545	Análisis Matemático		1A	4,5	1,5	2	4
11547	Matemática Discreta		1A	4,5	1,5	2	4
11546	Álgebra		1B	4,5	1,5	1	3
11548	Bases de Datos y Sistema	s de Información	3A	4,5	1,5	1	2

Change the row where:

- cod\_asg=11548 using:
- nombre='Bases de Datos Relacionales'

		Cabject						
cod_asg	nombre		ser	nestre	T	P	GT	GP
11545	Análisis Matemátic	co	1A		4,5	1,5	2	4
11547	Matemática Discre	eta	1A		4,5	1,5	2	4
11546	Álgebra		1B	·	4,5	1,5	1	3
11548	Bases de Datos Rel	lacionales	3Δ		45	15	1	2

Subject

18

# 2.2 Relational database goals: Queries

A relational query is a retrieval operation to a database which returns part of the information of the database, possibly combined and/or aggregated, in the form of a single table.

### **Example:**

"Give me the name of all the lecturers"

nombre
Juana Cerdá Pérez
Pedro Martí García
Luisa Bos Pérez
Elisa Rojo Amando

### 2.2 Relational database goals: Queries

- How can we express queries so that the DBMS can understand and process them automatically?
  - In natural language? → Still science fiction!
- Relational databases can be queried by different query languages.
  - Relational algebra (operational, based on set and relational operators)
  - Relational calculus (declarative, based on logic)
  - SQL: a standard computer language which integrates most of the two previous approaches and *looks like* natural language.

20

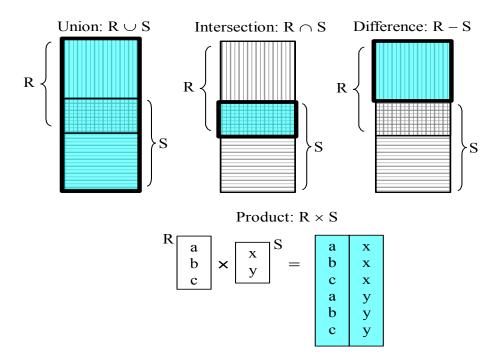
# 2.2 Relational database goals: Queries

#### **Set operators**

- UNION: : The union of two relations R and S defines a relation that contains all the tuples of R or S or both R and S, duplicate tuples being eliminated.
- DIFFERENCE: —: R S defines a relation consisting of the tuples that are in relation R, but not in S.
- PRODUCT: x : R x S defines a relation that is the concatenation of every tuple of relation R with every tuple of relation S

# 2.2 Relational database goals: Queries

#### **Set operators**



22

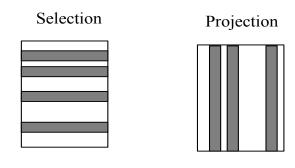
# 2.2 Relational database goals: Queries

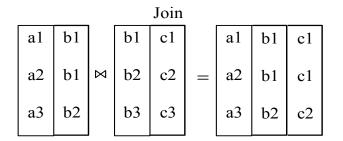
#### Relational operators.

- SELECTION: WHERE ...: selects the tuples that satisfy the specified condition (predicate)
- PROYECTION: [...]: extracts the specified attributes (columns) and eliminates duplicates.
- JOIN: ⊗...: defines a relation that contains tuples satisfying some condition from the cartesian product.
- RENAME: (old, new): changes the name of a column
- Logical operators, conditions and expressions (AND, OR, NOT),...

# 2.2 Relational database goals: Queries

### Relational operators.





24

# **Exercise 1.1: Relational Algebra**

Write expressions to obtain:

- 1. Name (nombre) of all the subjects.
- 2. Name (nombre) of the subjects with 4 lab groups (GP)
- 3. Name (nombre) of lecturers with categoria 'Titular' teaching the subject 11545
- 4. Name (nombre) of the lecturers with categoria "Titular" teaching a subject in the '1A' semester (semestre).
- 5. Name of lecturers teaching a subject with 2 GT groups

### 1. The name (nombre) of all the subjects.

Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Subject

cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

Subject [nombre]

**Exercise 1.1** 

### 2. Name (nombre) of the subjects with 4 lab groups (GP)

Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Subject

cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

(Subject WHERE GP=4) [nombre]

26

# 3. Name (nombre) of lecturers with categoria 'Titular' teaching the subject 11545

Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Subject

cod_asg	nombre	semestre	Τ	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

(Lecturer ⊗ Teaching WHERE categoria = 'Titular' AND cod\_asg='11545')[nombre]

28

### **Exercise 1.1**

4. Name (nombre) of the lecturers with categoria "Titular" teaching a subject in the '1A' semester (semestre).

Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Subject

cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

(((Lecturer(nombre, name\_I) WHERE categoria = 'Titular')  $\otimes$  Teaching)  $\otimes$  (Subject WHERE semestre = '1A')) [name\_I]

#### 5. Name of lecturers teaching a subject with 2 GT groups

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

#### Subject

	_					
cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	4,5	1,5	2	4
11547	Matemática Discreta	1A	4,5	1,5	2	4
11546	Álgebra	1B	4,5	1,5	1	3
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2

#### Teaching

cod_pro	cod_as	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

(((Teaching[cod\_pro,cod\_asg] ⊗ Subject)
WHERE GT=2) [cod\_pro] ⊗ Lecturer))[nombre]

33

### Queries using SQL

SELECT[ ALL | DISTINCT ]  $\{expression_1, expression_2, ... expression_n\} | *$ 

FROM table

[ WHERE condition ]

[ GROUP BY condition ] [ HAVING condition ]

[ORDER BY { $column_1$ ,  $column_2$ , ...  $column_m$ }]

FROM: Specifies the table/s to be used

WHERE: Filters the rows subject to some condition

GROUP BY: Forms groups of rows with the same column value

HAVING: Filter the groups subject to some condition

SELECT: Specifies which columns are to appear in the output

ORDER BY: Specifies the order of the output

### **Exercise 1.2: SQL**

#### Write queries in SQL to obtain:

- 1. Name (nombre) of the all the subjects.
- 2. Name (nombre) of the subjects with 4 lab groups (GP)
- 3. Name (nombre) of lecturers with categoria 'Titular' teaching the subject 11545
- 4. Name (nombre) of the lecturers with categoria "Titular" teaching a subject in the '1A' semester (semestre).
- 5. Name of lecturers teaching a subject with 2 GT groups

38

### **Exercise 1.2**

1. The name (nombre) of all the subjects.

Subject [nombre]

SQL:

SELECT nombre FROM subject

2. Name (nombre) of the subjects with 4 lab groups (GP)

(Subject WHERE GP=4) [nombre]

#### SQL:

SELECT nombre FROM subject WHERE GP = 4

40

### **Exercise 1.2**

3. Name (nombre) of lecturers with categoria 'Titular' teaching the subject 11545

(Lecturer ⊗ Teaching WHERE categoria = 'Titular' AND cod\_asg='11545')[nombre]

#### SQL:

SELECT nombre
FROM lecturer, teaching
WHERE categoria = 'Titular'
AND cod\_ag = '11545'
AND teaching.cod\_pro = lecturer.cod\_pro

4. Name (nombre) of the lecturers with categoria "Titular" teaching a subject in the '1A' semester (semestre).

```
(((Lecturer(nombre, name_I) WHERE categoria = 'Titular') \otimes Teaching) \otimes (Subject WHERE semestre = '1A')) [name_I]
```

#### SQL:

SELECT lecturer.nombre

FROM lecturer, teaching, subject

WHERE categoria = 'Titular'

AND semestre = '1A'

AND teaching.cod\_asg = subject.cod\_asg

AND teaching.cod\_pro = lecturer.cod\_pro

42

#### Exercise 1.2

5. Name of lecturers teaching a subject with 2 GT groups

```
( ( ((Teaching[cod_pro,cod_asg] ⊗ Subject) WHERE GT=2) [cod_pro] ⊗ Lecturer))[nombre]
```

#### SQL:

```
SELECT lecturer.nombre
FROM lecturer, teaching, subject
WHERE GT= 2
```

AND teaching.cod\_asg = subject.cod\_asg
AND teaching.cod pro = lecturer.cod pro

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
- 3 The relational data model
  - 3.1 Data types
  - 3.2 Tupla y Relación
  - 3.3 Información faltante: valor nulo
  - 3.4 Restricciones de Integridad
- 4 Constraints and transactions

44

# 3 The relational data model: Terminology

Common terminology	RDM
table	relation
row / record	tuple
column / field	attribute
data type	domain

But they are not exactly equivalent

### **UD 1-2 El Modelo Relacional De Datos**

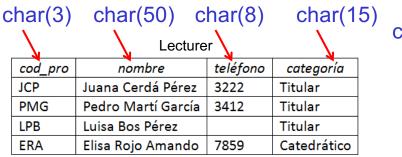
- 1 Introduction
- 2 Introduction to relational databases
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  - 3.1 Data types
  - 3.2 Tuple and relation
  - 3.3 Null value
  - 3.4 Constraints
- 4 Constraints and transactions

46

# 3.1 Data types

- Depend on the Relational Database Manager System
- Examples:
  - Numeric: integer, smallint, numeric, number, real, float.
  - Alfanumeric: strings: char, varchar,... i.e. 'Pepe'.
  - Date
  - ...

# 3.1 Data types



r	nar(3) \	har(5) Teaching		ma	llint
	cod_pro	cod_asg	GT	GP	
	JCP	11545	1	2	
	JCP	11547	1	2	
	LBP	11547	1	2	
	PMG	11545	1	2	
	ERA	11548	1	2	

char(5)	char(50)	char(2)	I	real	S	ma	llint
	Subject		1		¥		
cod_asg	nombre	semestre	T	Р	GT	GP	
11545	Análisis Matemático	1A	4,5	1,5	2	4	
11547	Matemática Discreta	1A	4,5	1,5	2	4	
11546	Álgebra	1B	4,5	1,5	1	3	
11548	Bases de Datos y Sistemas de Información	3A	4,5	1,5	1	2	

48

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
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- 3 The relational data model
  - 3.1 Data types
  - 3.2 Tuple and relation
  - 3.3 Null value
  - 3.4 Constraints
- 4 Constraints and transactions

 $\rightarrow$  A tuple schema  $\tau$  is a set of pairs of the form:

$$\tau = \{(A_1, D_1), (A_2, D_2), ..., (A_n, D_n)\}$$

#### Where:

 $\{A_1, A_2, ..., A_n\}$  (n>0) is the set of attribute names in the schema, necessarily different

 $\{D_1, D_2, ..., D_n\}$  are the domains associated with the abovementioned attributes.

50

### 3.2 Tuple and relation

#### Example of tuple schema

#### Person =

{(person\_id, integer), (name, string), (address, string)}

#### where:

{ person\_id, name, address } is the set of attribute names in the schema.

integer, string, string are the domains which are associated with the attributes.

tuple Row / Record

### **Tuple:**

A tuple t of tuple schema  $\tau = \{(A_1, D_1), (A_2, D_2), ..., (A_n, D_n)\}$ 

Is a set of pairs of the form::

$$t = \{(A_1, v_1), (A_2, v_2), ..., (A_n, v_n)\}$$

 $\forall i \ v_i \in D_i$ 

52

### 3.2 Tuple and relation

### Examples of tuples:

Given the following tuple schema:

Person = {(person\_id, integer), (name, string), (address, string)}

We have:

$$t_1$$
 = {(person\_id, 2544), (name, "Joan Roig"), (address, "Sueca 15")}

 $t_3 = \{ \text{ (name, "Pep Blau"), (person_id, 9525), (address, "dunno!")} \}$ 

A **relation** is a set of tuples of the same schema

A **relation schema** is the schema of the tuples composing the relation

#### **Notation:**

$$R (A_1:D_1, A_2: D_2, ..., A_n: D_n)$$

defines a relation R of schema

{ 
$$(A_1, D_1), (A_2, D_2), ..., (A_n, D_n)}$$

54

### 3.2 Tuple and relation

- Relation schema for the Teaching relation: {(cod\_pro, char(3)), (cod\_asg, char(5)), (GT, smallint), (GP, smallint)}
- Example of tuple of the Teaching relation:
   {(cod\_pro, 'JCP'), (cod\_asg, '11545'), (GT,1), (GP,2)}
   {(cod\_pro, 'JCP'), (cod\_asg, '11545'), (GT, X), (GP,2)}
- · Teaching relation:

```
{{(cod_pro, 'JCP'), (cod_asg, '11545'), (GT,1), (GP,2)}, {(cod_pro, 'JCP'), (GT,1), (cod_asg, '11547'), (GP,2)}, {(GT,1), (cod_pro, 'LBP'), (cod_asg, '11547'), (GP,2)}, {(cod_pro, 'PMG'), (cod_asg, '11545'), (GT,1), (GP,2)}, {(cod_asg, '11548'), (cod_pro, 'ERA'), (GT,1), (GP,2)}}
```

#### Properties of a relation

- Degree of a relation: Number of attributes of its schema
- Cardinality of a relation: Number of tuples that compose the relation
- Compatibility: Two relations R y S are compatible if their schemas are identical

57

### 3.2 Tuple and relation

#### Example:

Given the following tuple schema:

```
Person = {(person_id, integer), (name, string), (address, string)}
```

A relation of the *PERSON* schema might be as follows:

```
{ (person_id, 1234), (name, "Pepa Gómez"), (address, "Colón 15") }, 
 { (person_id, 2045), (name, "Juan Pérez"), (address, "Cuenca 20") }, 
 { (name, "José Abad"), (person_id, 1290), (address, "Blasco Ibáñez 35) }, 
 { (name, "María Gutiérrez"), (person_id, 35.784.843) (address, "Reina 7") } }
```

#### Degree:

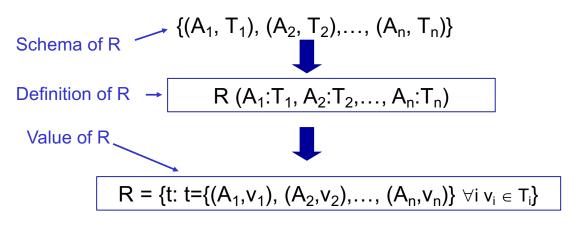
Cardinality:

Compatible with:

#### **Relation:**

A relation is set of tuples of the same schema, which is called *relation schema* 

relación R with a relation schema

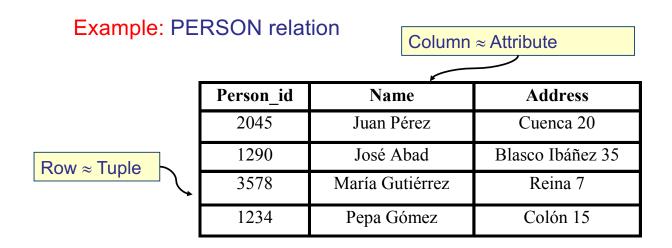


59

### 3.2 Tuple and relation

Representation of a relation → TABLE

- tuples are represented as rows
- attributes give name to the column headers

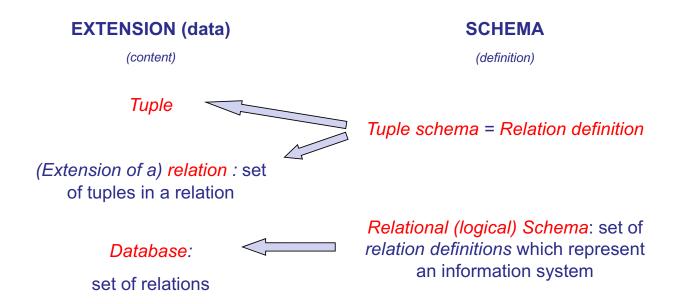


- The Table is only a Matrix Representation of a Relation
- Traits which distinguish a relation (derived from the definition of relation as a set of sets):
  - There can't be repeated tuples in a relation (a relation is a set).
  - There isn't a top-down order in the tuples (a relation is a set).
  - There isn't a left-to-right order in the attributes of a relation (a tuple is a set).

61

### 3.2 Tuple and relation

- The set of *relation definitions* which represent an information system is called *relational (logical) schema*.
- The content (set of tuples) of the relations of the relational schema is the database



Attention!: DBMSs understand a table as the definition of a relation and not as its content, which eventually changes by applying <u>operators</u>.

63

### 3.2 Tuple and relation

```
Relation of the schema Teaching:
```

```
{{(cod_pro, 'JCP'), (cod_asg, '11545'), (GT,1), (GP,2)}, {(cod_pro, 'JCP'), (GT,1), (cod_asg, '11547'), (GP,2)}, {(cod_asg, '11547'), (cod_pro, 'LBP'), (GT,1), (GP,2)}, {(cod_pro, 'PMG'), (GT,1), (cod_asg, '11545'), (GP,2)}, {(cod_pro, 'ERA'), (cod_asg, '11548'), (GT,1), (GP,2)}}
```

#### Matrix representation of the relation Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

65

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
- 3 The relational data model
  - 3.1 Data types
  - 3.2 Tuple and relation
  - 3.3 Null value
  - 3.4 Constraints
- 4 Constraints and transactions

66

### 3.3 Null value

What happens if we don't know the value a tuple takes in some of its attributes?

Solution in Programming Languages:

```
use of special or extreme values (-1, "Empty", " ", "We don't know", 0, "No address", "---", ...)
```

It is only a representation

Solution in the Relational Model: NULL VALUE (?)

A Domain is something more than a datatype: A domain is a set of elements which always includes the NULL value.

# 3.3 Null value

Given the domains:

```
id_dom: integer name dom, add dom: string(20)
```

Tuple schema:

```
Person = {(person_id, id_dom), (name, name_dom), (address, add_dom)}
```

**Tuples:** 

```
t_1 = { (person_id, 12345678), (name, "Pepa Gómez"), (address, "Paz 10") } t_2 = { (name, "Pep Blau"), (person id, 9525869), (address, ?) }
```

We say that t<sub>2</sub>.address is null,



We may use the operator "null" to check:

**null** (t<sub>2</sub>.address)

68

### 3.3 Null value

The null value represents that there is no known value, so

If t<sub>2</sub>.address is NULL, What is the result of t<sub>2</sub>.address= "Sesamo Street"?

It's neither true nor false because it is undefined

We need a tri-valued logic:

- True
- False
- Undefined

### 3.3 Null value

#### **Example:**

```
t = {(cod_pro, 'LBP'), (nombre, 'Luisa Bos Pérez'), (telefono, ?), (categor 1a, 'Titular')}
```

- t.cod\_pro= 'LBP' = true
- t.categoría <> 'Titular' = false
- t.telefono = '55544' = undefined

70

### 3.3 Null value

This applies to all the comparison operators

#### **Evaluation:**

A  $\alpha$  B (where  $\alpha$  is a comparison operator) is evaluated as undefined if at least one A or B is null; otherwise it is evaluated to the certainty value of the comparison A  $\alpha$  B

#### **Examples:**

2 < 5	$\rightarrow$	true	Null(3)	$\rightarrow$	false
3 < ?	$\rightarrow$	undefined	Null(?)	$\rightarrow$	true
? < ?	$\rightarrow$	undefined			

### 3.3 Null value

G	Н	$G \wedge H$	G ∨ H
false	false	false	false
false	true	false	true
true	false	false	true
true	true	true	true
undefined	undefined	undefined	undefined
undefined	false	false	undefined
undefined	true	undefined	true
false	undefined	false	undefined
true	undefined	undefined	true

G	¬G
false	true
undefined	undefined
true	false

72

### **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
- 3 The relational data model
  - 3.1 Data types
  - 3.2 Tuple and relation
  - 3.3 Null value
  - 3.4 Constraints
- 4 Constraints and transactions

### 3.4 Constraints

### Is this a valid representation of reality?

	Lecturer								
	cod_pro	nombre	teléfono	categoría					
	JCP Juana Cerdá Pérez		3222	Titular					
	PMG		3412	Titular					
	ERA	Luisa Bos Pérez		Titular					
V	ERA	Elisa <b>K</b> ojo Amando	7859	Catedrático					
	N								
	2	?							
		-							

	Teaching		
cod_pro	cod_ksg	GT	GP
JCP	77777	1	2
JCP	11547	1	2
ERA	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

?

Subject							
cod_asg	v no	semestre	T	Р	GT	GP	
11545 Análisis Matemático		1A	თ	ო	2	4	
11547	11547 Análisis Matemático		1A	3	3	2	4
11546 Álgebra		1B	3	3	1	3	
11548	Bases de	e Datos	2A	3	3	1	2

No, this is a non valid representation of reality

75

### 3.4 Constraints

#### **Solution**

- Definition of domains
- Uniqueness constraints.
- Not null constraints.
- Definition of *primary keys*.
- Definition of foreign keys.
- General integrity constraints.

They are specified together with the **database schema**.

The responsible for ensuring them is the **DBMS**.

### 3.4 Constraints

√ cod\_pro identifies lecturers

—→Primary key

- √ nombre is unique for each subject
  - Uniqueness
- √The name (nombre) of a lecturer must be known

──Not null value

√ cod\_asg in Teaching refers to an existing subject

Foreign key (referential integrity)

79

### 3.4 Constraints

Not null

NNV: 
$$\{A_1, ..., A_p\}$$

The definition of a **not null** constraint over a set of attributes  $K=\{A_1, A_2, ..., A_3\}$  of a relation R expresses the following property:

"There cannot be a tuple in R having the null value in some attribute of K"

$$\forall$$
 t ( t  $\in$  R  $\rightarrow \neg \exists$  Ai  $\in$  K  $\land$  Null(t.Ai))

Lecturer

cod_pro	nombre		teléfono	categoría
JCP	Juana Cerdá Pérez		3222	Titular
PMG			3412	Titular
ERA	Luisa Bos Pérez			Titular
ERA	Elisa Rojo Amando		7859	Catedrático
Not allowed				

"there cannot be a tuple in Lecturer which has the null value in the nombre attribute".

81

### 3.4 Constraints

Uniqueness

Uni:  $\{A_1, \ldots, A_p\}$ 

The definition of a uniqueness constraint over a set of attributes  $K=\{A_1, A_2, ..., A_3\}$  of a relation R expresses the following property:

"There cannot be two tuples in R having the same value in all the attributes of K"

$$\neg \exists t_1 \ \exists t_2 \ (t_1 \in \mathsf{R} \land t_2 \in \mathsf{R} \land t_1 \neq t_2 \land \forall \mathsf{A}_i \ (\mathsf{A}_i \in \mathsf{K} \rightarrow t_1.\mathsf{A}_i = t_2.\mathsf{A}_i))$$

Nlot	al	lowed
JOVI	aı	lowed

Subject							
cod_asg	1	nombre	semestre	T	P	GT	GP
11545	1545 Análisis Matemático		1A	3	3	2	4
11547	47 Análisis Matemático		1A	3	3	2	4
11546	Álgebra		1B	З	3	1	3
11548	Base	s de Datos	2A	3	3	1	2

**Subject** (cod\_asg: char(5), nombre: char(50), semestre: char(2), T: real, P: real,

GT: smallint, GP: smallint)

**UNI:**{nombre}

"There cannot be two tuples in Subject which have the same value for the attribute nombre".

84

#### 3.4 Constraints

Primary key

PK:  $\{A_1, ..., A_p\}$ 

Given a set of attributes  $K=\{A_1, A_2, ..., A_3\}$  which has been defined as primary key for R, we say that R satisfies the primary key constraint if the following properties are met:

- 1. R satisfies a not null constraint over PK
- 2. R satisfies the uniqueness constraints over PK

Note that PK must be minimal: There cannot be any proper subset that could also be primary key for R

	Lecturer				
	cod_pro	nombre	teléfono	categoría	
	JCP	Juana Cerdá Pérez	3222	Titular	
	PMG		3412	Titular	
	ERA	Luisa Bos Pérez		Titular	
	ERA	Elisa Rojo Amando	7859	Catedrático	
	$\uparrow$				
No	t allowed				

"cod pro is the primary key for Lecturer"

86

## 3.4 Constraints

Foreign key

- The use of foreign keys is the mechanism provided by the relational model to express associations between the objects in a database schema. This mechanism is defined such that these associations, if performed, would be carried out adequately.
  - With this goal, we can add to the schema of a relation S, a set of attributes which refer to a set of attributes of a relation R.
  - This set of attributes  $K = \{A_1, ..., A_p\}$  is called **foreign key** in relation S which refers to relation R.

$$FK: \{A_1, ..., A_p\} \rightarrow R$$

Given a foreign key FK in S which refers to R, this is defined as:

- 1. A set of attributes K={A<sub>1</sub>, A<sub>2</sub>,... A<sub>3</sub>} in the schema of S
- 2. A bijection f: K→ J such as:
  - J is a set of attributes in R
  - J has a uniqueness constraint
  - $\forall A_i \in K \rightarrow A_i$  and  $f(A_i)$  have the same domain
- 3. A type of referential integrity:
  - weak
  - partial
  - complete

88

## 3.4 Constraints

Foreign key

 $FK: \{A_1\} \rightarrow R$ 

If  $FK = \{A_1\}$  (contains **only one attribute**) the three types of referential integrity match:

S satisfies the referential integrity constraint if all tuple in S met:

- A<sub>1</sub> is NULL, or
- There is one tuple (and only one) in R with the same value in the f(A<sub>1</sub>) attribute than A<sub>1</sub> in S

	Subject					
cod_asg	nombre	semestre	T	P	GT	GP
11545	Análisis Matemático	1A	3	3	2	4
11547	Análisis Matemático	1A	3	3	2	4
11546	Álgebra	1B	3	3	1	3
11548	Bases de Datos	2A	3	3	1	2

Teaching			
cod_pro	cod_asg	GT	GP
JCP (	77777	1	2
JCP	11547	1	2
ERA	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

**Subject** (cod\_asg: char(5), nombre: char(50), semestre: char(2), T: real, P: real, GT: smallint, GP: smallint)

**Teaching** (cod\_pro: char(3), cod\_asg: char(5), GT: smallint, GP: smallint) FK:{cod\_asg} → Subject

"If there is a tuple in Teaching such that the value cod\_asg is not null, then there must be one (and only) one tuple in Subject such that the value of cod\_asg in Teaching matches the value cod asg in Subject"

90

## 3.4 Constraints

Foreign key

FK: 
$$\{A_1, ..., A_p\} \rightarrow R$$

If K has more than one attribute S satisfies the referential integrity if the following property is met:

## Weak R.I.:

"if in a tuple of *S* all the values for the attributes of *K* have a non null value, then there must exist a tuple in *R* taking the same values for the attributes of *J* that the values in the attributes of *K*"

$$\forall \ t \ (\ t \in S \rightarrow (\ \exists \ A_i \ (A_i \in K \land Null(t.A_i)) \\ \lor \\ \exists \ m \ (m \in R \land \forall A_i \ (\ A_i \in K \rightarrow t.A_i = m.f(A_i) \ ))))$$

$$FK: \{A_1, ..., A_p\} \rightarrow R$$

If K has more than one attribute S satisfies the referential integrity if the following property is met:

## **Partial R.I.:**

"if in a tuple of *S* one or more attributes of *K* have a non null value, then there must exist a tuple in *R* taking the same values for the attributes of *J* as the values in the non-null attributes of *K*."

$$\forall \ t \ (\ t \in S \rightarrow (\ \forall A_i \ (A_i \in K \rightarrow Null \ (t(A_i))) \\ \lor \\ \exists \ m \ (m \in R \land \forall A_i \ ((A_i \in K \land \neg nulo(t(A_i)) \ ) \rightarrow t(A_i) = m(f(A_i))) \ ))))$$

93

### 3.4 Constraints

Foreign key

$$FK: \{A_1, ..., A_p\} \rightarrow R$$

If K has more than one attribute S satisfies the referential integrity if the following property is met:

## Complete (or Full) R.I.:

"In a tuple of *S* all the values must have null value or none of them. In the latter case, there must exist a tuple in *R* taking the same values for the attributes in *J* as the values in the attributes of *K*."

$$\forall \ t \ (\ t \in S \rightarrow (\ \forall A_i \ (A_i \in K \rightarrow Null(t(A_i)))$$

$$\forall \ m \ (m \in R \land \forall A_i \ (A_i \in K \rightarrow (\neg nulo(t(A_i)) \land t.A_i = m(f(A_i)))))))$$

### Foreign key: Simplified Notation

- The bijection f: K→ J can be omitted when J is the primary key of
   S and we have one of the following two cases:
  - The set K has only one attribute, or
  - the bijection is defined by the syntactic **equality** between the attribute **names** in *K* and *J*.
- The type of referential integrity (weak, partial, complete) can be omitted in any of these cases:
  - The foreign key K has only one attribute, or
  - When all of them have a not null constraint,
- Since in these cases the three types of referential integrity match.

97

## 3.4 Constraints

## Foreign key

If code and building in Telephone has the NNV in Telephone:

NNV (code, building)

the three types of referential integrity are equivalent

# 3.4 Constraints

```
Office (code: dom1, building: dom2, capacity:dom3)
    PK: {code, building}

Telephone(number: dom4, code: dom1, building: dom2)
    PK: {number}
```

FK: {code, building} -> Office Weak R.I.

If there is a tuple in the Telephone table with some (at least one) of the two attributes (code or building) with a NULL value, the DBMS will not check anything in that tuple.

99

## 3.4 Constraints

Foreign key

If there is a tuple in the Telephone table with some (at least one) of the two attributes (code or building) with a NULL value, the DBMS will only check that there is one tuple in the Office table with the same value for the attributes that are not null in that tuple of Telephone.

If there is a tuple in the Telephone table with some of the two (not both) attributes (codeor building) with a NULL value, the DBMS will detect a violation of the integrity.

The referential integrity will not be violated if both attributes (code and building) of Telephone have the NULL value in that tuple.

101

## 3.4 Constraints

Foreign key

## Violation of the referential integrity

Given two relations R y S such that S has a foreign key K which refers to the atributes J in R, the only operations which may violate their referential integrity are:

- Operations over S:
  - –Insert a tuple in S
  - -Modify the value of K in a tuple of S
- Operations over R:
  - -Delete a tuple in R
  - -Modify the value of J in a tuple of R

If any of those operations attempts to break the referential integrity, the DBMS aborts the operations (by default behavior)

But there are other options that can be applied if the foreign key has been previously defined in that way:

Setting values to null

or

· Applying the operation in cascade

104

## 3.4 Constraints

Foreign key

The referential integrity defined by a foreign key is always preserved but can be done in different ways depending on the foreign key definition:

- Reject the operation (default option).
- Perform the operation but set some values to null to restore integrity.
- Perform the operation but propagate the action in cascade to restore integrity

## Options to ensure referential integrity

### **DELETE:**

- Restrictive deletion (default option in SQL)
- · On delete cascade
- · On delete set to nulls

### **UPDATE:**

- Restrictive update (default option in SQL)
- On update cascade
- On update set to nulls

106

## 3.4 Constraints

## Set to nulls

R		
Α	В	
1	а	
2	b	
3	С	

S		
Α		
1		
?		
1		
2		

R		
Α	В	
2	b	
3	С	

S		
С	Α	
11	?	
12	?	
13	?	
14	2	

 $RI:{A}\rightarrow R$ 

Delete tuples from R where A=1

# 3.4 Constraints

## On delete cascade

R		
Α	В	
1	а	
2	b	
3	С	

S		
С	Α	
11	1	
12	?	
13	1	
14	2	

R		
Α	В	
2	b	
3	С	

S		
С	Α	
12	?	
14	2	

Delete tuples from R where A=1

 $RI:{A}\rightarrow R$ 

108

## 3.4 Constraints

## On update set to nulls

R		
Α	В	
1	а	
2	b	
3	С	

S		
С	Α	
11	1	
12	?	
13	1	
14	2	

R		
Α	В	
4	а	
2	b	
3	С	

S		
С	Α	
11	?	
12	?	
13	?	
14	2	

$$RI:{A}\rightarrow R$$

Update the tuples in R where A=1 set A to 4

109

## 3.4 Constraints

## On update cascade

R		
Α	В	
1	а	
2	b	
3	С	

	5
С	Α
11	1
12	?
13	1
14	2

R		
Α	В	
4	а	
2	ь	
3	С	

Α
4
?
4
2

 $RI:\{A\} \rightarrow R$ 

Update the tuples in R where A=1 set A to 4

110

## 3.4 Constraints

General

## **General integrity constraints:**

Are those constraints which cannot be expressed with the predefined constraints seen before. They can be:

• Static integrity constraints:

Affecting one table: attribute or table constraints (usually represented with "CHECK")

Affecting several tables: can be expressed with "CREATE ASSERTION ..." or with triggers.

• Transition integrity constraints: triggers.

A database is **valid** (it is in a consistent state), if all the defined integrity constraints are satisfied.

The DBMS ensures that every update in the database generates a new extension which satisfies all the constraints.

## **Examples:**

- One atribute constraint.
  - The value of a semester must be in (1A, 2A, 3A, 4A, 1B, 2B, 3B, 4B)
- Constraints over more than one attribute of the same relation:
  - One subject can not have more lab credits (P) than theory credits (T).
- · General constraints.

Sometimes expressed in natural lenguage (English,...).

All lecturer must teach at least one subject.

112

# Example of relational (logical) schema

```
Lecturer (cod_pro: char(3), nombre: char(50), teléfono: char(8),
                categoría:char(15))
        PK:{cod_pro}
                                 NNV:{nombre}
Subject (cod_asg: char(5), nombre: char(50), semestre: char(2),
                T: real, P: real, GT: smallint, GP: smallint)
        PK:{cod asg}
                                 NNV:{nombre,semester,T,P}
        UNI:{nombre}
                                 RI_1: (T \le P)
        Rl_2: (semestre \in {'1A', '1B', '2A', '2B', '3A', '3B', '4A', '4B'})
Teaching (cod pro: char(3), cod asg: char(5), GT: smallint,
                 GP: smallint)
        PK:{cod pro,cod asg}
        FK:{cod_pro} → Lecturer
                 On delete cascade. On update cascade
         FK:{cod_asg} → Subject
                Restrictive deletion On update cascade
GC<sub>1</sub>: "All lecturer must teach at least one subject".
```

113

## **Unit 1.2 The Relational Data Model**

- 1 Introduction
- 2 Introduction to relational databases
- 3 The relational data model
- 4 Constraints and transactions

114

## 4 Constraints and transactions

## Add to the Database:

"There is a new lecturer who has the code 'ALA', named 'Armando Lacuesta Abad', with phone 8564, and with an unknown categoria. He will teach 1 Gt and 1 GP of the subject '11546'".



#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	JCP Juana Cerdá Pérez		Titular
PMG	PMG Pedro Martí García		Titular
LPB Luisa Bos Pérez			Titular
ERA	Elisa Rojo Amando	7859	Catedrático
ALA	Armando Lacuesta Abad	8564	

### Teaching

cod_asg	GT	GP
11545	1	2
11547	1	2
11547	1	2
11545	1	2
11548	1	2
11546	1	1
	11545 11547 11547 11545 11548	11545 1 11547 1 11547 1 11545 1 11548 1

How?

## 4 Constraints and transactions

Constraints must always be satisfied.

- Can we create the new lecturer?
  - A. Create the lecturer and then insert his teaching assignments (GC<sub>1</sub> is violated).
  - B. Insert his teaching assignment and then the lecturer (FK is violated).

116

## 4 Constraints and transactions

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

Lecturer cod pro nombre teléfono categoría Juana Cerdá Pérez **3**222 JCP Titular **PMG** Pedro Martí García 3412 Titular Luisa Bos Pérez LPB Titular **ERA** Elisa Rojo Amando 7859 Catedrático Armando Lacuesta Abad 8564 ALA

Insert the row:

- cod\_pro='ALA'
- nombre='Armando ...'
- teléfono: 8564

GC<sub>1</sub>.is violated. The DBMS rejects the insertion.

GC<sub>1</sub>: "All lecturer must teach at least one subject".

## 4 Constraints and transactions

### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

#### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2
ALA	11546	1	1

#### Insert the row:

- cod pro='ALA'
- cod asg: 11546
- GT: 1
- GP: 1

The referential integrity is violated.

The DBMS rejects the insertion.

 $\mathsf{FK}{:}\{\mathsf{cod\_pro}\} \to \mathsf{Lecturer}\; (\mathsf{cod\_pro}\}$ 

118

## 4 Constraints and transactions

A transaction is a sequence of [manipulation or query] operations which constitutes a logical execution unit

- We can put a batch of single operations into a transaction (by using appropriate commands).
- Constraints can be disabled during a transaction:
  - Some constraints are evaluated after every single atomic operation (immediate evaluation).
  - Some constraints are evaluated after the transaction is completed (deferred evaluation).
- The database designer or manager are responsible for determining the mode (immediate or deferred) of each constraint in the system.

## 4 Constraints and transactions

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	LPB Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático

### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2

#### **INIT TRANSACCTION**

**INSERT INTO Lecturer** 

{(cod\_pro, 'ALA'), (nombre, 'Armando Lacuesta Abad'),(teléfono, 8564), (categoría, ?)}; INSERT INTO Teaching

{(cod\_pro, 'ALA'), (cod\_asg, '11546'), (GT, 1), (GP, 1)}

**END TRANSACCTION** 

#### Lecturer

cod_pro	nombre	teléfono	categoría
JCP	Juana Cerdá Pérez	3222	Titular
PMG	Pedro Martí García	3412	Titular
LPB	Luisa Bos Pérez		Titular
ERA	Elisa Rojo Amando	7859	Catedrático
ALA	Armando Lacuesta Abad	8564	

### Teaching

cod_pro	cod_asg	GT	GP
JCP	11545	1	2
JCP	11547	1	2
LBP	11547	1	2
PMG	11545	1	2
ERA	11548	1	2
ALA	11546	1	1

20

### Exercise 1.3

Office (code: dom1, building: dom2, capacity: dom3)

PK: {code, building}

Telephone (number: dom4, code: dom1, building: dom2)

PK: {number}

FK: {code, building} -> Office Weak RI

### On delete set nulls On update cascade

#### Office

code	building	capacity
228	1F	1
010	1F	5
228	1G	1
234	2G	2

### **Telephone**

<u>-</u>		
number	code	building
3541	228	1F
3540	010	1F
3202	228	1G

- 1.- DELETE FROM Office WHERE capacity > 2
- 2.- UPDATE Office SET building = 1G WHERE capacity >=5
- 3.- UPDATE Office SET building = 1G WHERE building=1F
- 4.- DELETE FROM *Telephone* WHERE number = 3541