

# THE SOFTWARE PROCESS

---

## Chapter 2

**Software Engineering**

Computer Science Engineering School

DSIC – UPV

# Goals

- Define term "Software Process"
- Present main development process models that have been proposed
- Introduce the notion of methodology, presenting RUP and the main features of agile methodologies.

# Contents

## 1. Introduction. The Software Process








## 2. LifeCycles

- Classic or Waterfall
- Classic with Prototyping
- Automatic Code Generation
- Incremental
- Spiral




## 3. Methodologies

- RUP
- Agile Methodologies

# References

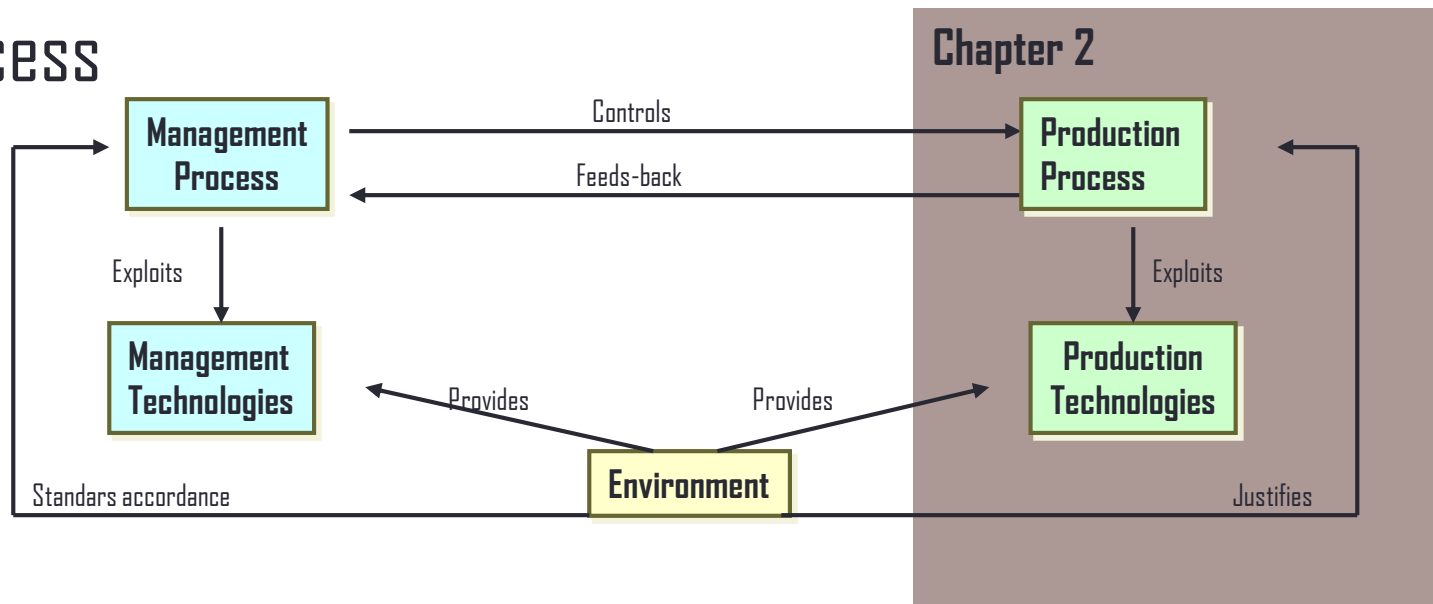
-  Sommerville, I. Ingeniería del Software. (8ª ed.). Addison-Wesley, 2008
-  Presman, R.S., Ingeniería del Software: un enfoque práctico (6ª ed.), McGraw-Hill, 2005
-  Royce, W.W., Managing the Development of Large Software Systems: Concepts and Techniques. Proc WESCON, 1970
-  Agresti, W.W. Tutorial: New Paradigms for Software Development. IEEE Computer Society Press, 1986
-  Balzer, R., Cheatman, T.E. and Green, C., Software Technology in the 1990's: Using a New Paradigm, IEEE Computer, Nov. 1983, pp. 39-45
-  McDermid, J. And Rook, P., Software Development Process Models. Software Engineer's Reference Book, CRC Press, 1993
-  Boehm, B.W.. A Spiral Model of Software Development and Enhancement, IEEE Computer, pages 61-72, May 1988.

# References

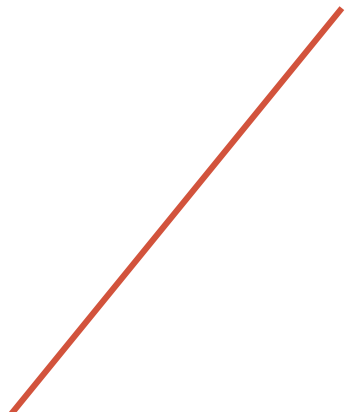
-  Krutchen, P., *The Rational Unified Process- An Introduction*. Addison –Wesley, 1998
-  Jacobson, G. Booch and J. Rumbaugh., *The Unified Software Development Process*, Addison-Wesley, 1999
-  Beck, K., *Extreme Programming Explained: Embrace Change*. The XP Series. Addison-Wesley, 2000

# The Software process

- It is a framework for the development of software
- In general the term "Software Process" is associated to the production process... but it includes the management process



# The Development Process

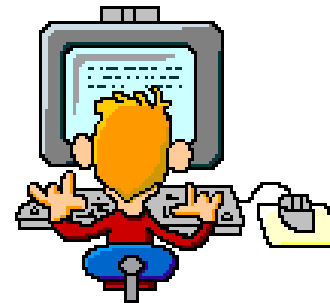
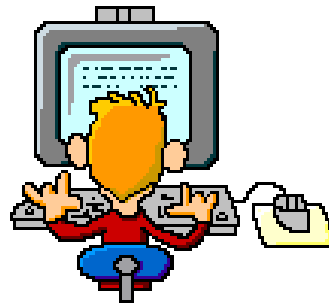
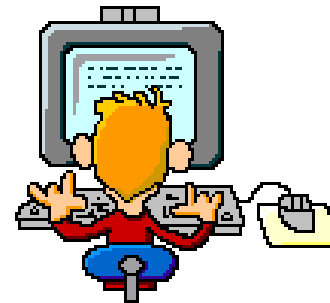
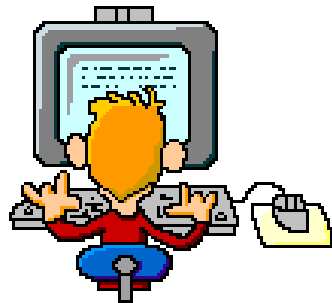
- Collection of activities towards the development or evolution of software
  - Also known as **Lifecycle**
  - **Generic Activities** that are always carried out:
    - Specification
    - Development
    - Validation
    - Evolution
- 
- Analysis
  - Design
  - Implementation
  - Testing
  - Maintenance

# Lifecycle Models

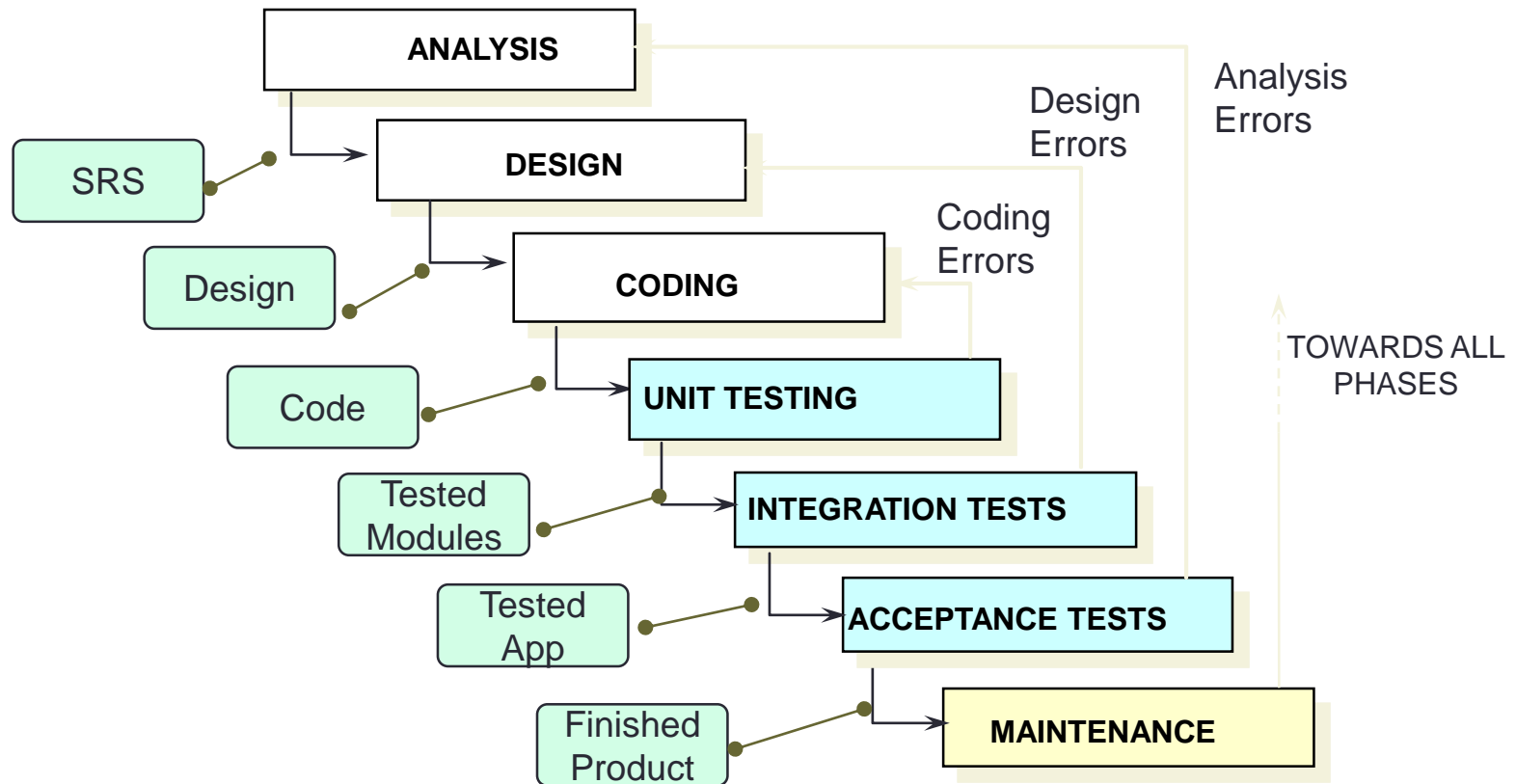
- *Code-and-fix*
- Classic or Waterfall
- Classic with prototyping
- Automatic Code Generation
- Evolutionary Models:
  - Incremental
  - Spiral



# Code and Fix

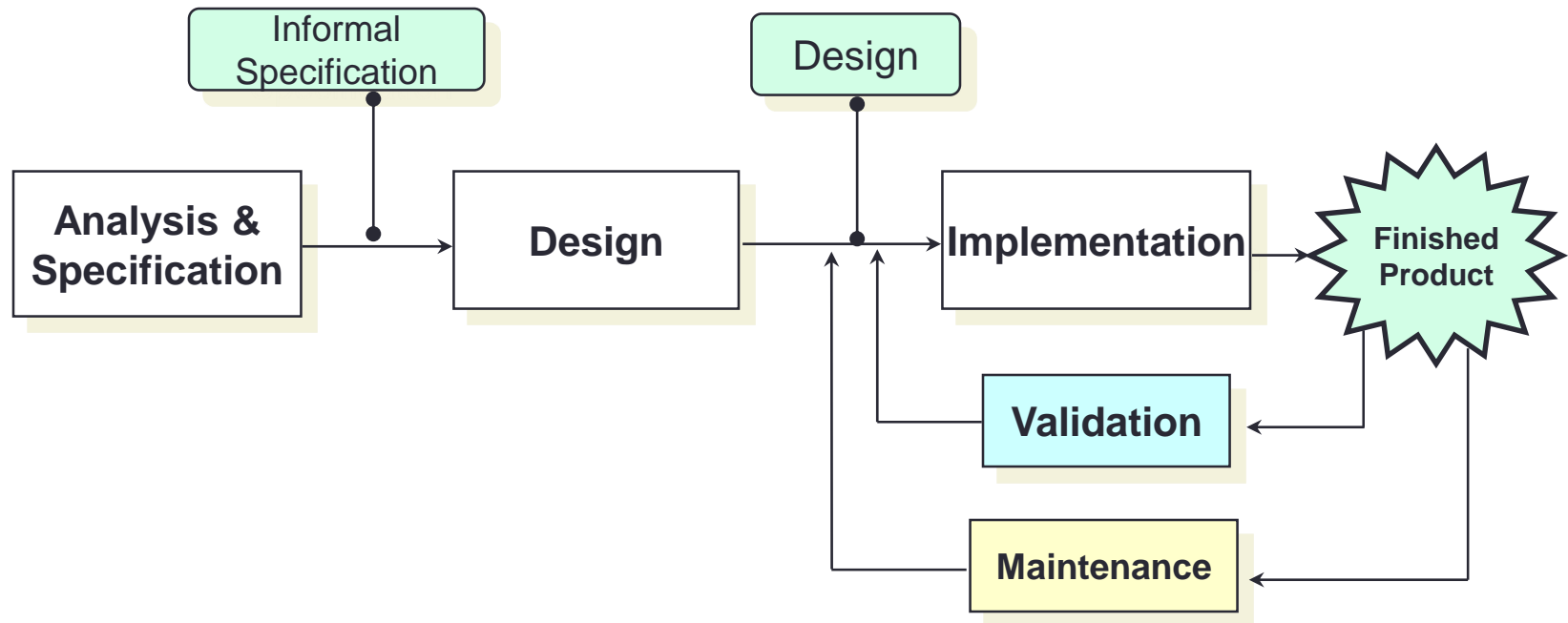


# Classic or Waterfall



# Classic or Waterfall

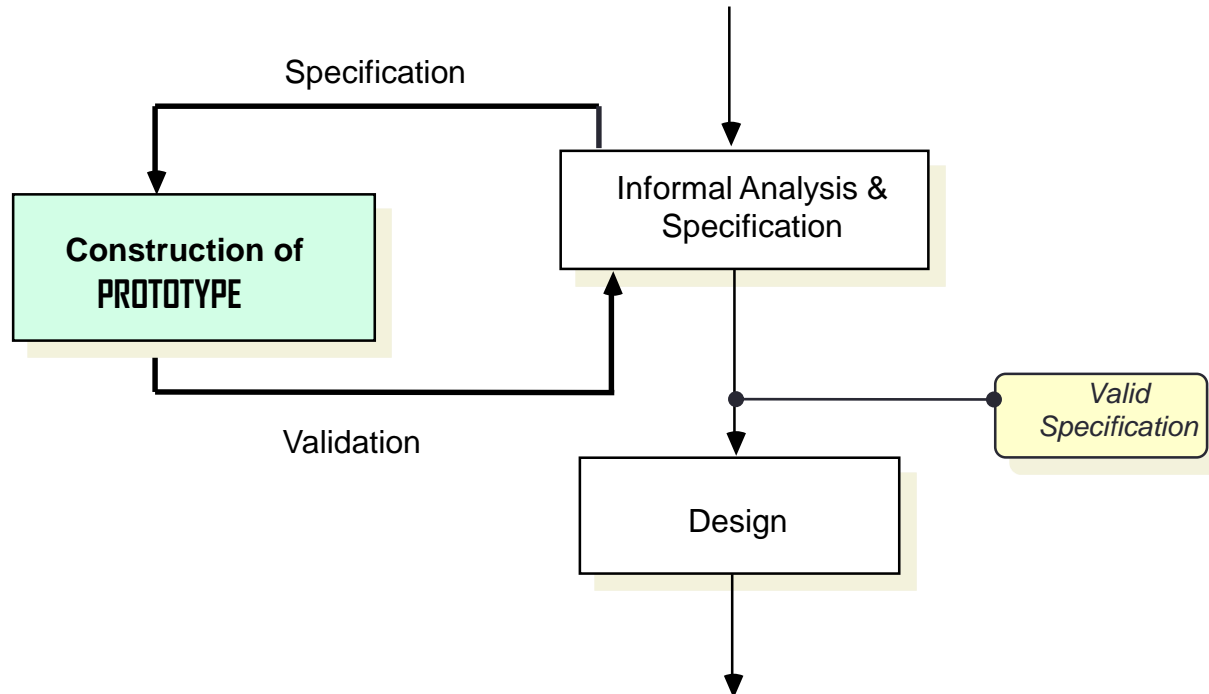
- In practice this model is “distorted” and all the validation and maintenance is performed on the source code.



# Classic Model with Prototyping

- **Prototype:** First version of a product in which only some features are integrated or all of them are featured but unfinished
- Types of prototypes:
  - Vertical: some functionality of the system is fully developed.
  - Horizontal: all views of the system are shown (simulated)

# Classic Model with Prototyping



- It helps customers to clearly establish the requirements
- It helps developers to improve their products

# Classic Model with Prototyping

- Criticism:

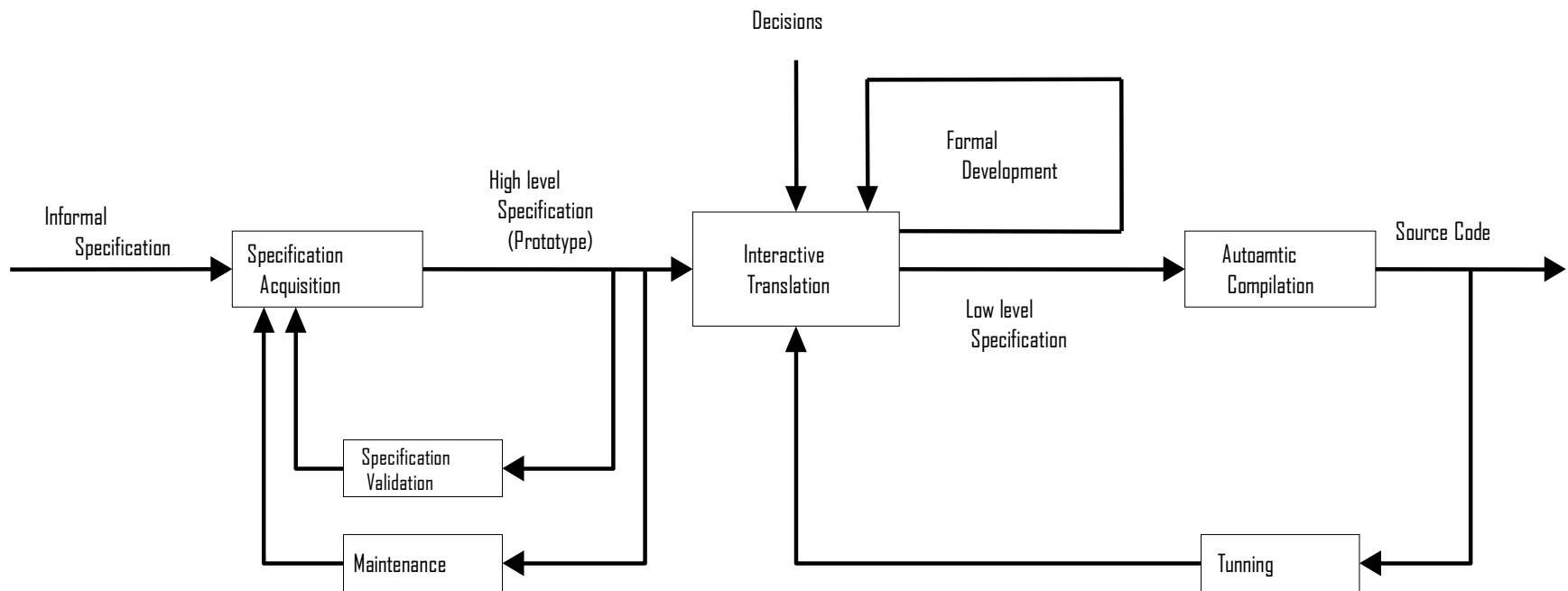
- ☺ It reduces the risk of patching on the final product (code maintenance is not avoided)
- ☺ It helps both customers and developers to understand the requirements
- ☹ The customer sees a version of the final product (not assuming it is not robust and incomplete)
- ☹ It requires an additional investment (the invested time may result in losing market opportunity)
- ☹ Bad decisions taken during a rapid development of the prototype are usually transferred to the final product

# Automatic Code Generation

*(R. Balzer, 1983)*

- Goal
  - Automatize the software development process
- Basic Features:
  - ✓ Use of formal specification languages
  - ✓ The specification is a prototype of the product
  - ✓ The requirements are discussed by running the specification
  - ✓ The application is derived semi-automatically

# Automatic Code Generation Model





# Automatic Code Generation Model

- Comparison

## ***CLASSIC Prototyping***

- Informal Specification
- Non standard prototype
- Prototype manually built
- Prototype discarded
- Manual implementation
- Code must be tested
- Maintenance on the code

## ***AUTOMATIC GENERAT.***

- Formal specification
- Standard prototype
- The specification is the prototype
- It evolves towards the final product
- Automatic Implementation
- No testing
- Maintenance on the specification

# Automatic Code Generation

- Criticism

- ☺ It helps reducing human errors

- ☺ It reduces development costs

- ☹ It is difficult to use formal languages

➡ *It is the predecessor of MDE/MDA*

# Evolutionary Development

- Adaptable to changing requirements
- More elaborated versions are built at each iteration

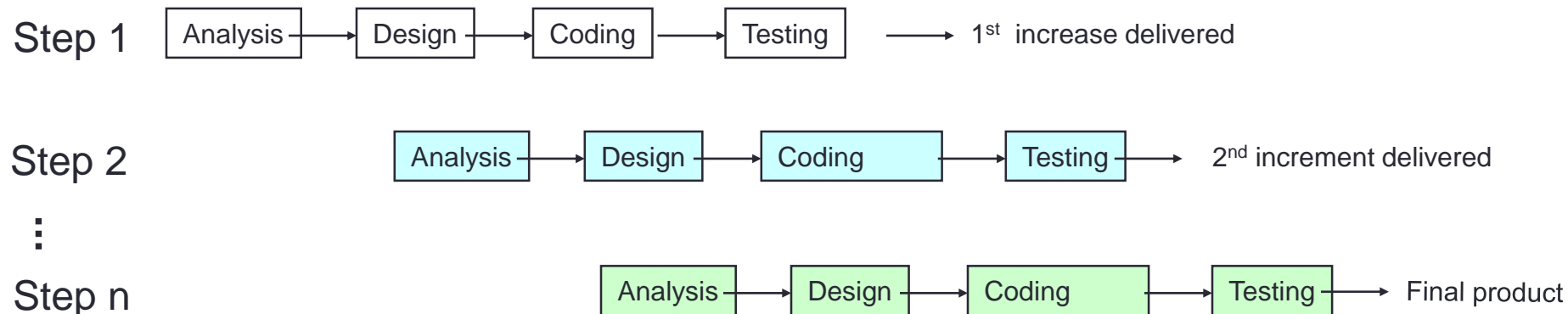
➡ Incremental Model

➡ Spiral Model

# Incremental Model

*(McDermond, 1983)*

- Sequence of applications of the classical model
- Each iteration produces a delta of the product
- It ends when the final product is delivered



# Incremental Model

- Criticism

😊 Useful when not enough human resources for a complete deliverable

😊 Each deliverable may be evaluated by the customer → highly interactive

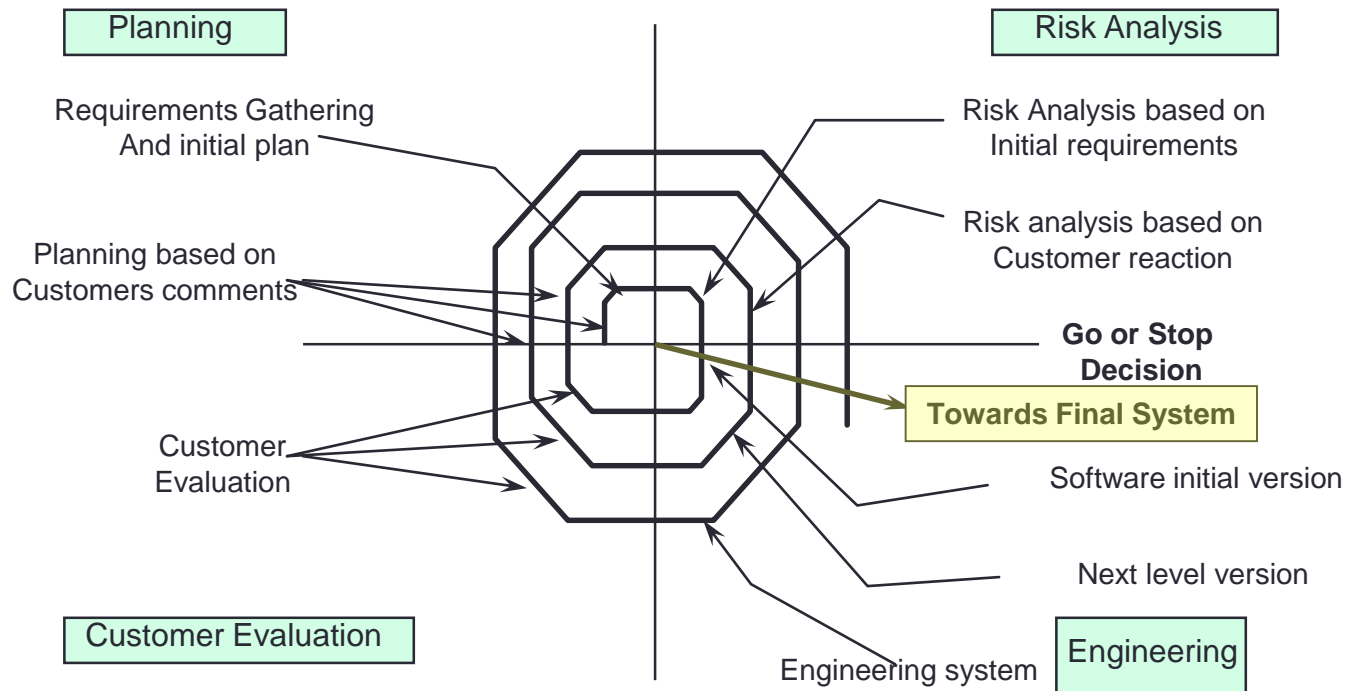
😞 Difficult to know the required increase for each iteration

# Spiral Model

*(B. Boehm, 1988)*

- Approach:
  - Iterative.
  - Interactive.
  - Evolutive
- It introduces risks analysis in the development process

# Spiral Model



# Spiral Model

- Criticism

- ☺ Each time more complete versions of the product are obtained.

- ☺ Each version is evaluated by the customer ➔ Highly interactive

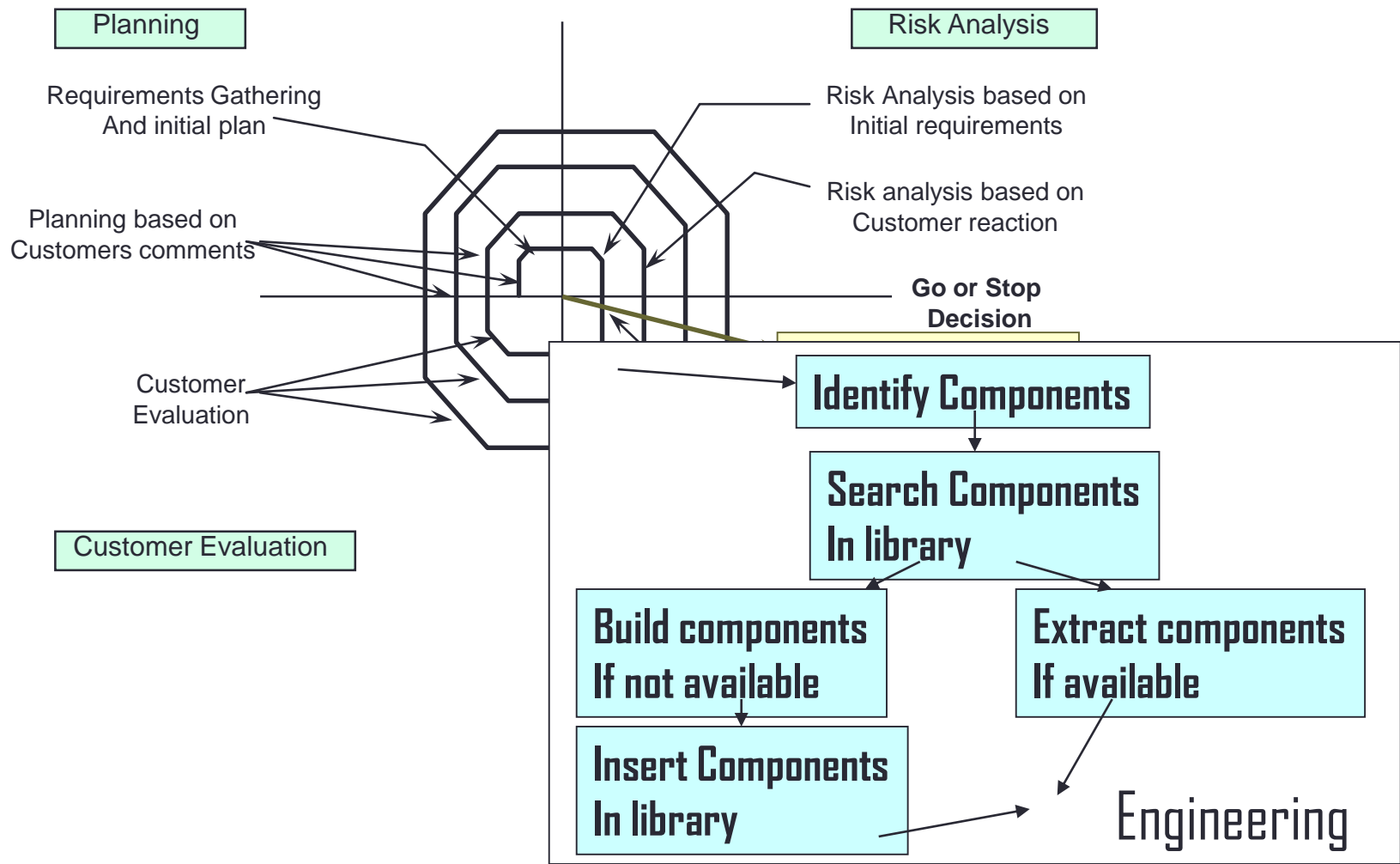
- ☹ It is difficult to assess risks

- ☹ Hard to guarantee path towards the final product



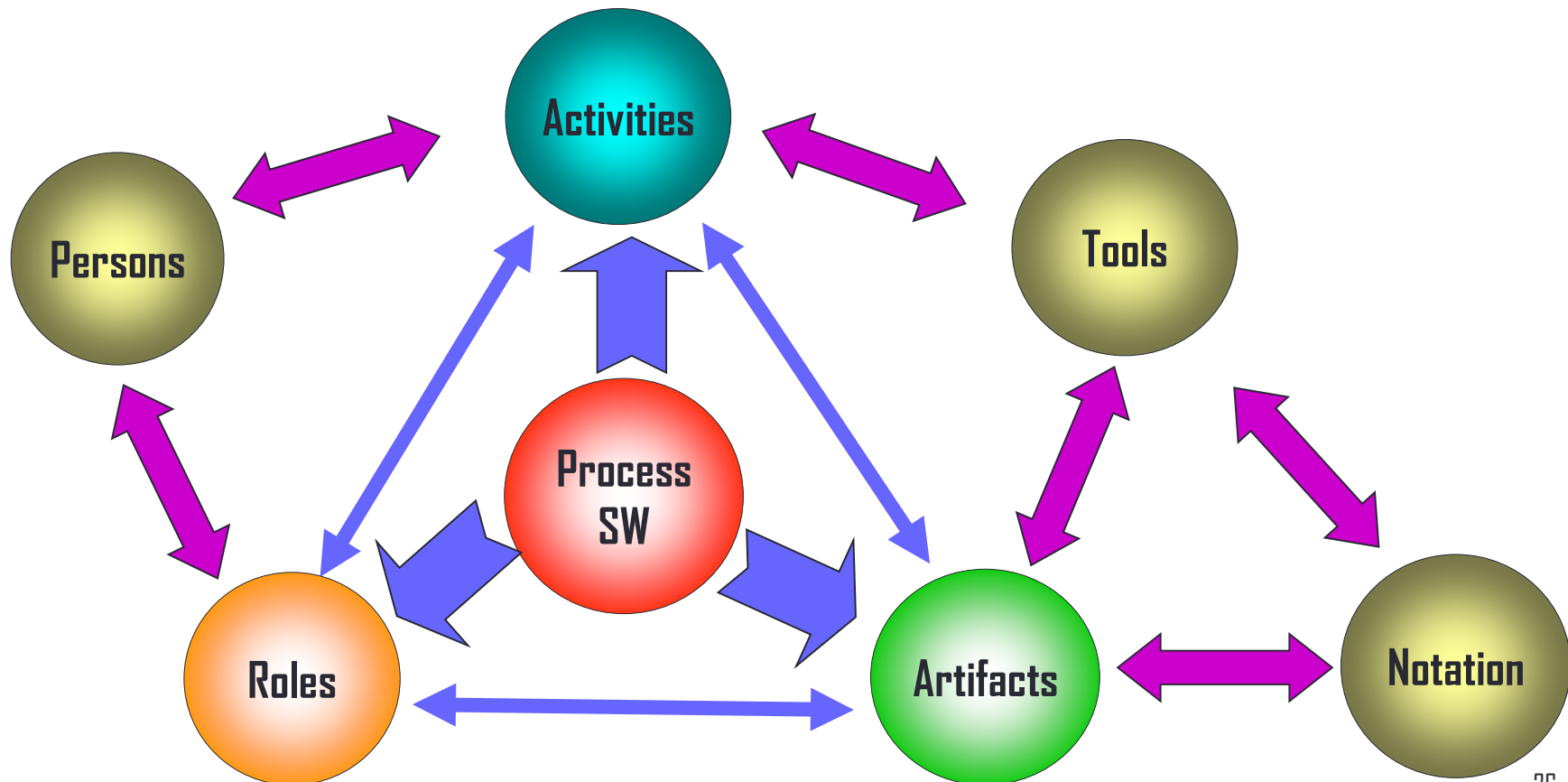
# Components Assembly Model

- The engineering phase may be adapted to new requirements



# Methodology

- In a software development project, the methodology defines: **Who** / **What** / **How** / **When**



# Methodology

- Defines an explicit process of software development  
*(its goal is the formalization of activities related with the elaboration of information systems)*
  
- This process must be:
  - Reproducible
  - Defined
  - Measurable with respect to performance
  - Subject to Optimizations
  - ...

# Methodology

There is no universal software methodology.

Structured methodologies

Object oriented methodologies

**RUP**

---

*Traditional methodologies* **vs.** *Agile methodologies*

**RUP**

**XP**

# Agile Methodologies

## Agile Methodologies appreciate:

- The individual and the interactions within the development team more than the activities and the tools
- The development of software that works rather than obtaining a good documentation  $\Rightarrow$  Minimalistic approach wrt modelling and documentation of the system
- The collaboration with the customer rather than the negotiation of a contract
- The fast response to changes rather than following a strict planning

<http://www.agilealliance.com>

# Agile Methodologies

## Principles of Agile Methodologies (1/2)

- 1.- The main priority is to satisfy the customer with early and continuous releases of usable software.
- 2.- Welcome changes. Agile processes apply updates for the customer to remain competitive.
- 3.- Release the developed software frequently and with the shortest possible interval of time between releases
- 4.- Business people and developers work together as a team in a project
- 5.- Build project driven by personal motivations. Provide the environment that people need and trust them.

# Agile Methodologies

## Principles of Agile Methodologies (2/2)

- 6.- Face to face dialogue is the most efficient and effective method to communicate information within a development team
- 7.- Developed software is the first metric of progress
- 8.- Agile processes promote a bearable development. Funding entities, developers and users are capable of keeping a peaceful ambient
- 9.- The continuous attention to technical quality and good design increases agility
- 10.- Simplicity is key
- 11.- The best architectures, requirements and designs arise from the organization of the team
- 12.- At regular intervals, the team reflects about how to be more effective and how to synchronize and adjust their work.

# Agile Methodologies

- Comparative

| Agile Methodology   | Non Agile Methodology  |
|---|--|
| The customer is part of the Development team ( <i>on-site</i> ) | The customer interacts with the team<br>By means of meetings |
| Small teams (< 10 members)<br>Working at the same place         | Large teams  |
| Few artifacts   | More artifacts   |
| Few roles   | More roles   |
| Less emphasis on the architecture                               | The architecture is essential                                |



# Agile Methodologies

- Comparative

| Agile Methodology                                    | Non Agile Methodology                                     |
|--|---|
| Heuristics   | Rigorous  |
| Tolerant with updates                                | Resistant to updates                                      |
| Internally imposed<br>(by the team)                  | Externally imposed  |
| Less controlled process, with<br>Few principles      | Highly controlled process with many<br>Policies and norms |
| No traditional contract or at least very<br>flexible | There is a prefixed contract                              |

# Main Agile methodologies

- ⇒ Extreme Programming (XP) <http://www.extremeprogramming.org>
- ⇒ SCRUM <http://www.controlchaos.com>
- ⇒ Crystal Methods <http://alistair.cockburn.us/Crystal+methodologies>
- ⇒ Adaptive Development Software (ADS) <http://www.adaptivesd.com>
- ⇒ Dynamic Systems Development Method (DSDM) <http://www.dsdm.org>
- ⇒ Feature-Driven Development (FDD) <http://www.featuredrivendevelopment.com>
- ⇒ Lean Development (LD) <http://www.poppendieck.com>

# Extreme Programming (XP)



Kent Beck, Ward Cunningham y Ron Jeffries

[www.extremeprogramming.org](http://www.extremeprogramming.org)

[www.xprogramming.com](http://www.xprogramming.com)

- Design for dynamic environments
- Ideal for small teams ( $\leq 10$  coders)
- Strongly oriented towards coding
- Emphasis on informal and verbal communication
- Other values: simplicity, feedback and courage

## XP

## Development Cycle

Stories, Iterations, Versions,  
Tasks and test cases

- ✓ The customer selects the **next version** to be built, choosing the **functional features** that he considers more valuable (known as **Stories**) from a set of possible stories, being informed about *costs* and the required *time* of their implementation.
- ✓ Coders **convert stories** into **tasks to be done** and then convert **tasks** into a **set of test cases** to demonstrate that the tasks have been completed.
- ✓ Working with a teammate, the coder **runs the test cases** and **updates the design (evolution)** trying to keep it simple.

# XP

# Laboratory

Planning

tests

Collective ownership

Small deliverables

Metaphore

40 hours weeks

Refactoring

Simple design

The customer always with the coder

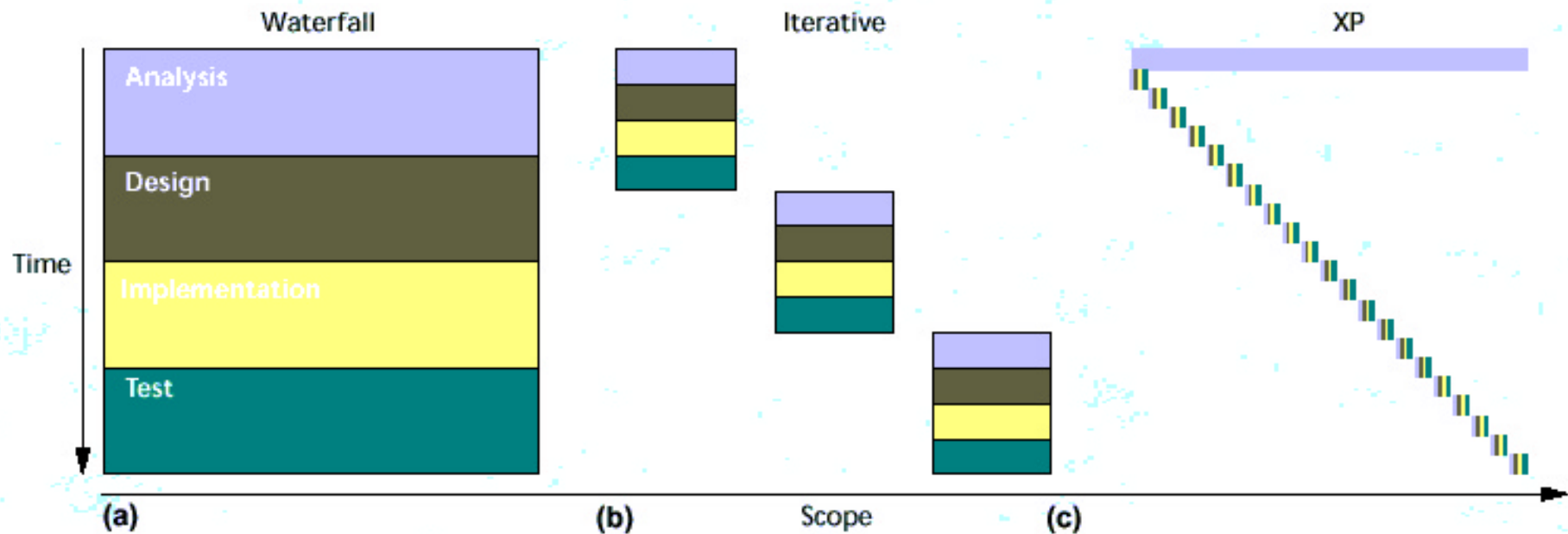
Coding in pairs

Continuous integration

Coding standards

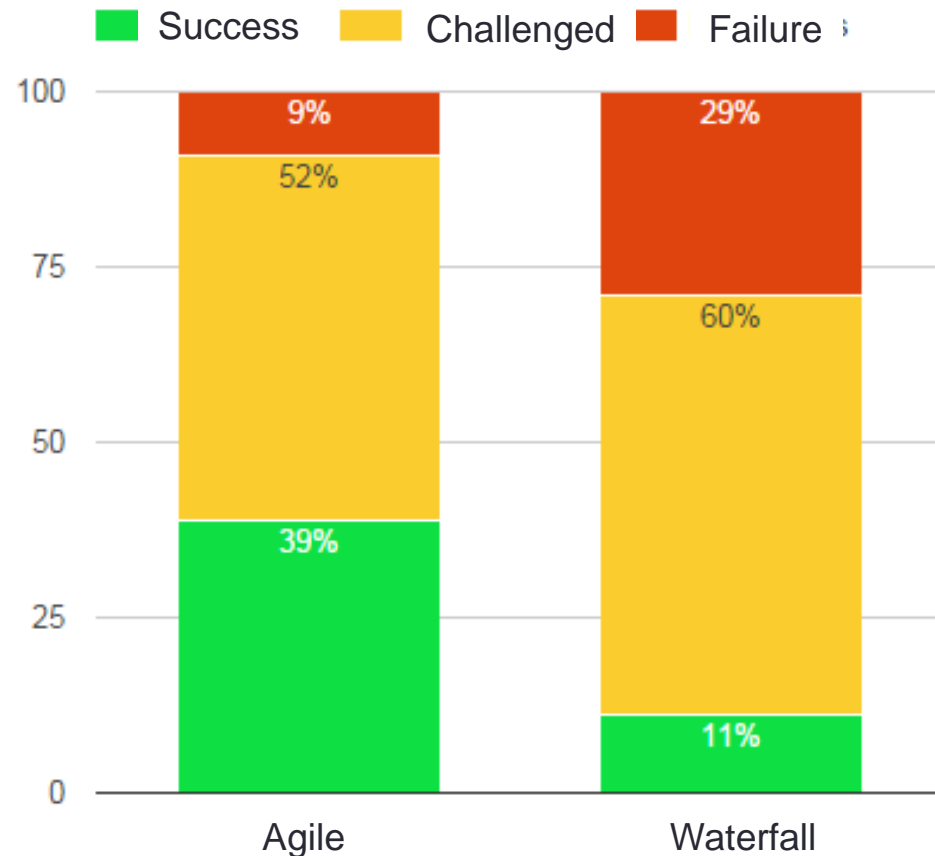
XP

## Comparative

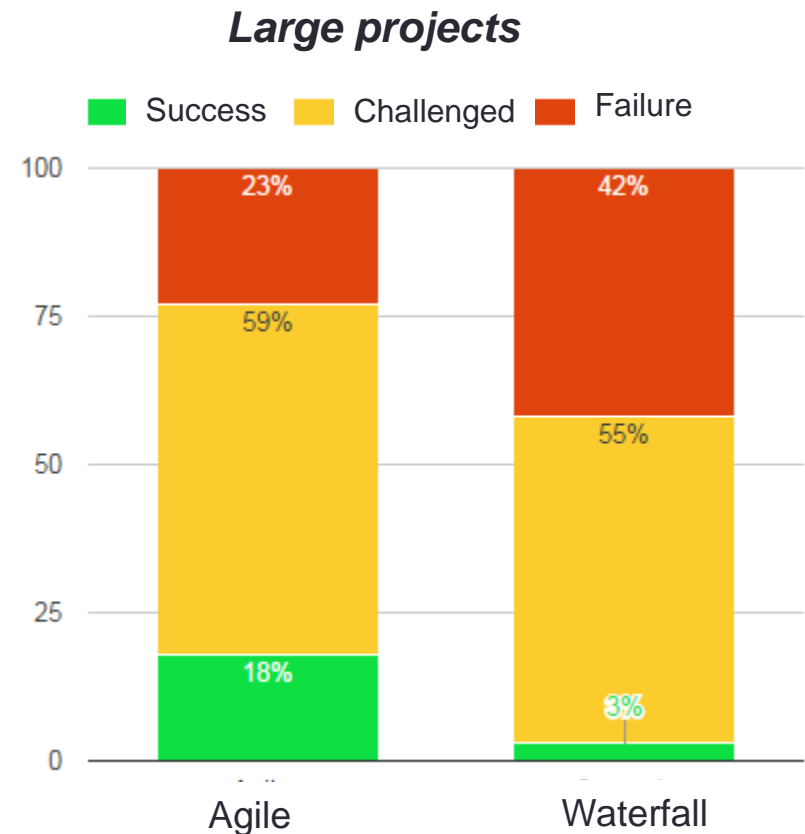
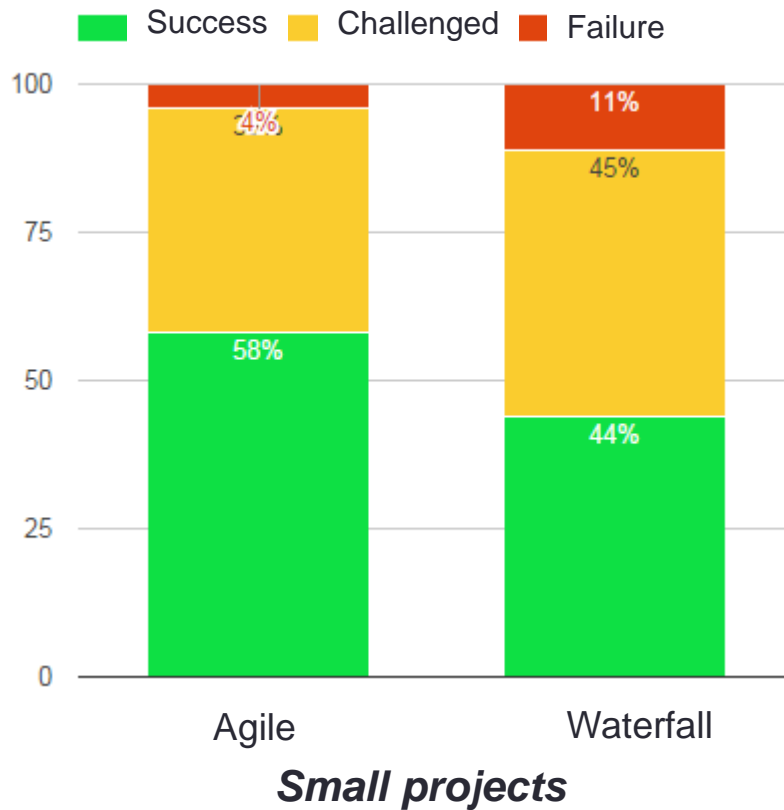


# Agile vs. Waterfall

Success based on methodology  
2011-2015



# Agile vs. Waterfall





# ANNEX - Rational Unified Process (RUP)



Software development process  
(Rational – IBM)

Uses UML as modelling language

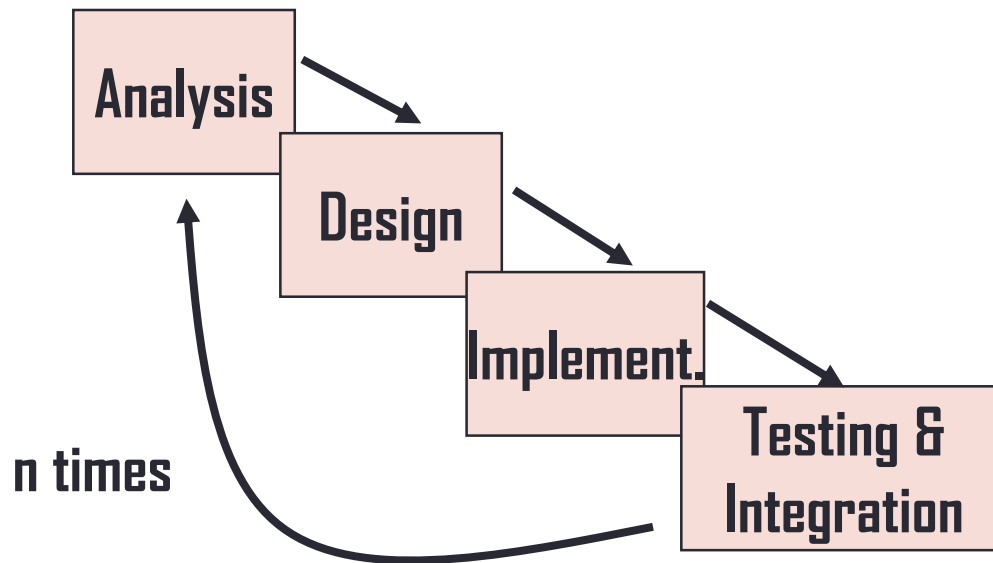
Features:

- *Use cases driven process*: from specification to maintenance
- *Iterative and incremental process*: iterations depending on the importance of use cases and the study of risks.
- *Architecture centered process*: reusable and serving as a guide towards the solution

# RUP

- Iterative and Incremental

Activities are performed in a mini-fall with a limited scope (the goals of the iteration)

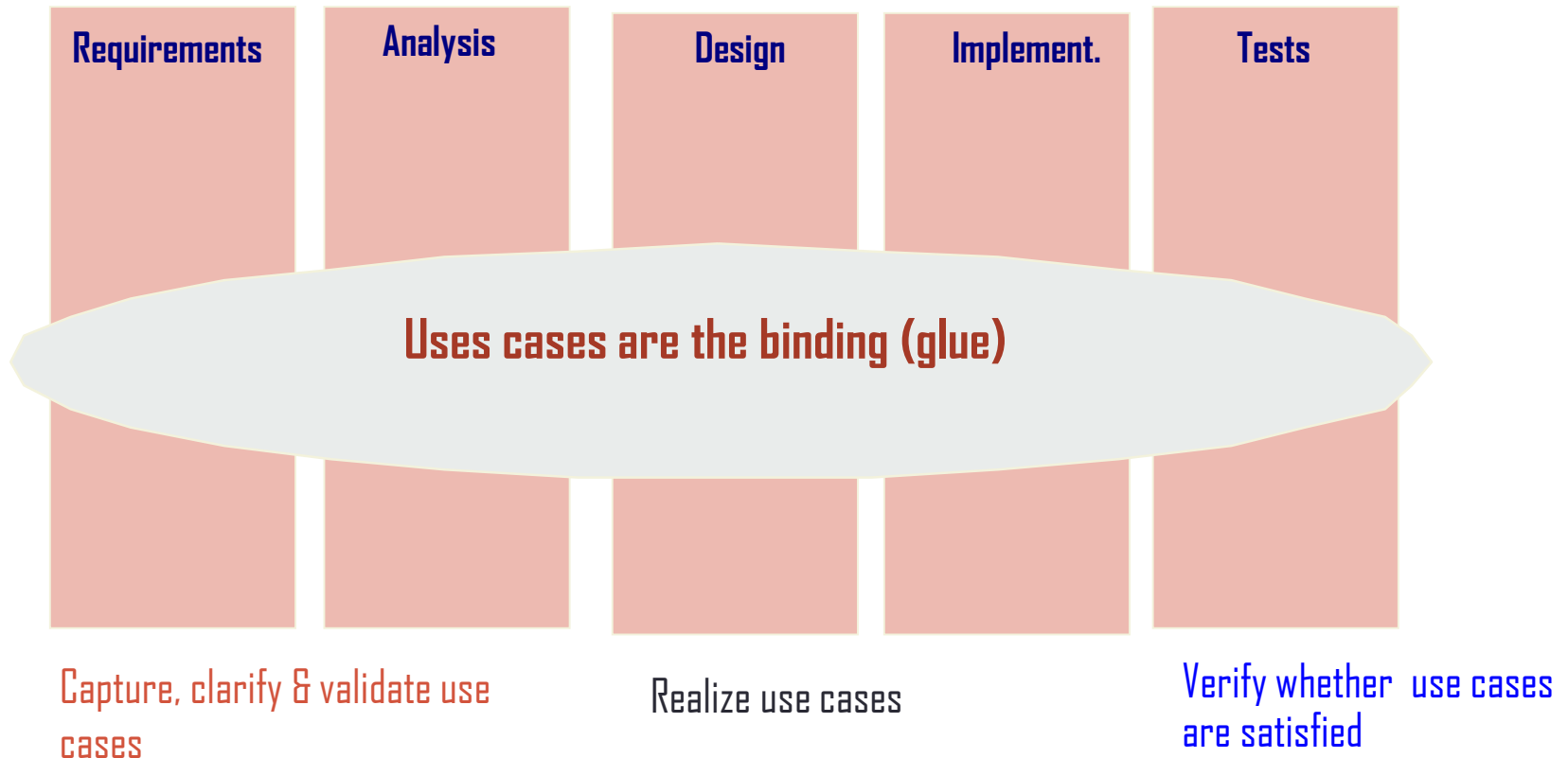


## ACTIVITIES OF THE ITERATION

- Plan iteration (risks)
- Analysis of Use cases and Scenarios
- Design of Architectural choices
- Implementation
- Tests
- Integration
- Evaluation of release
- Preparation of release

# RUP

- Use cases driven



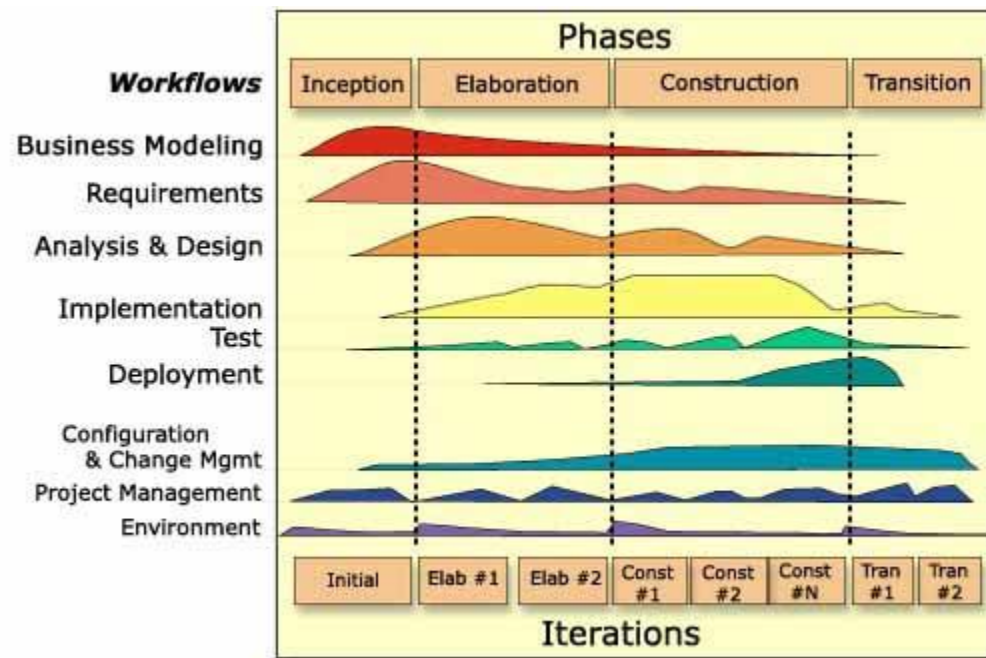
# RUP

Dynamic View

Horizontal Axis: Time oriented organization

Static View

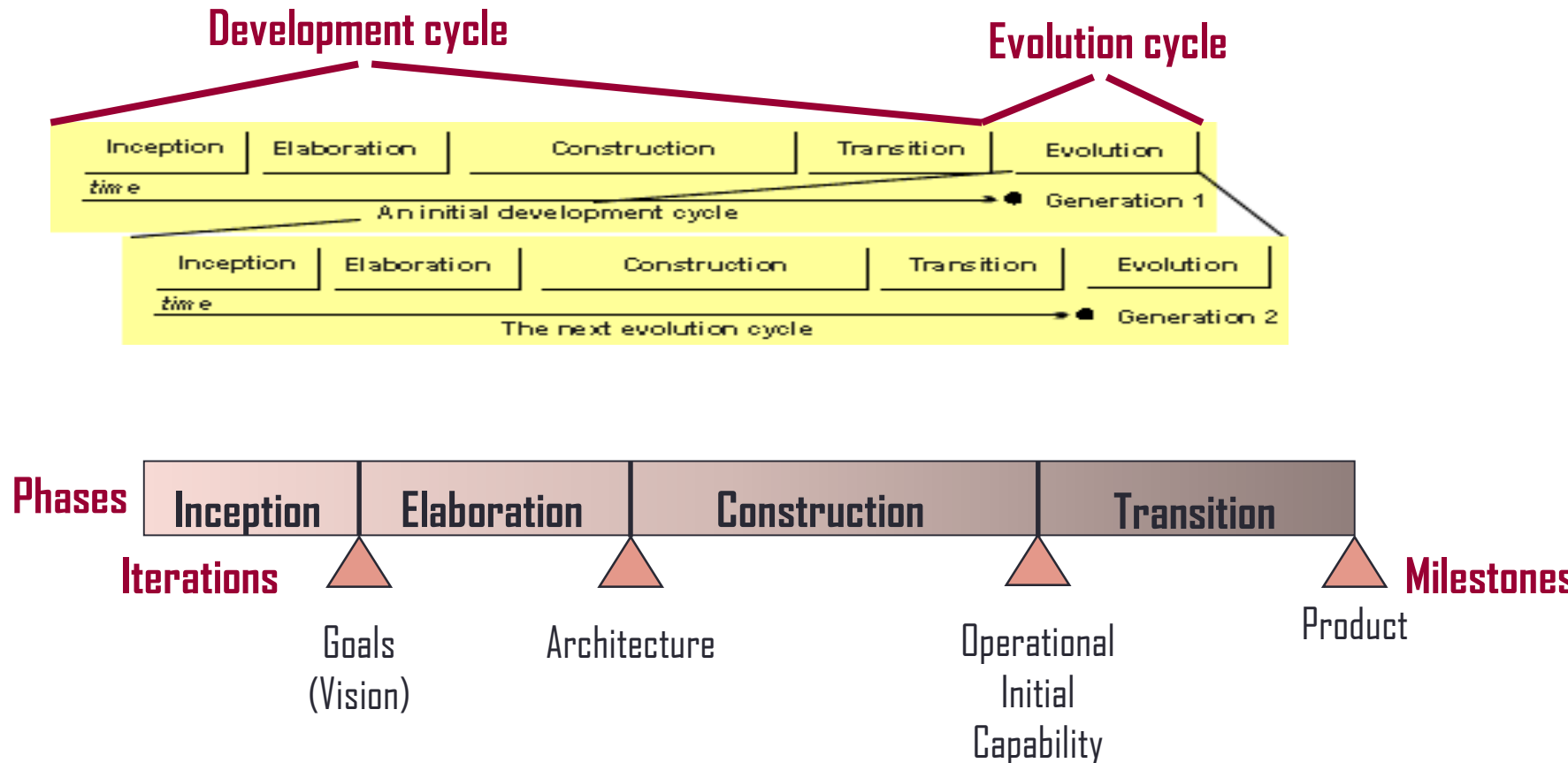
Vertical Axis:  
Content oriented  
organization



# RUP

## Dynamic View

- Cycles, Phases, Iterations and Milestones



# RUP

## Dynamic View

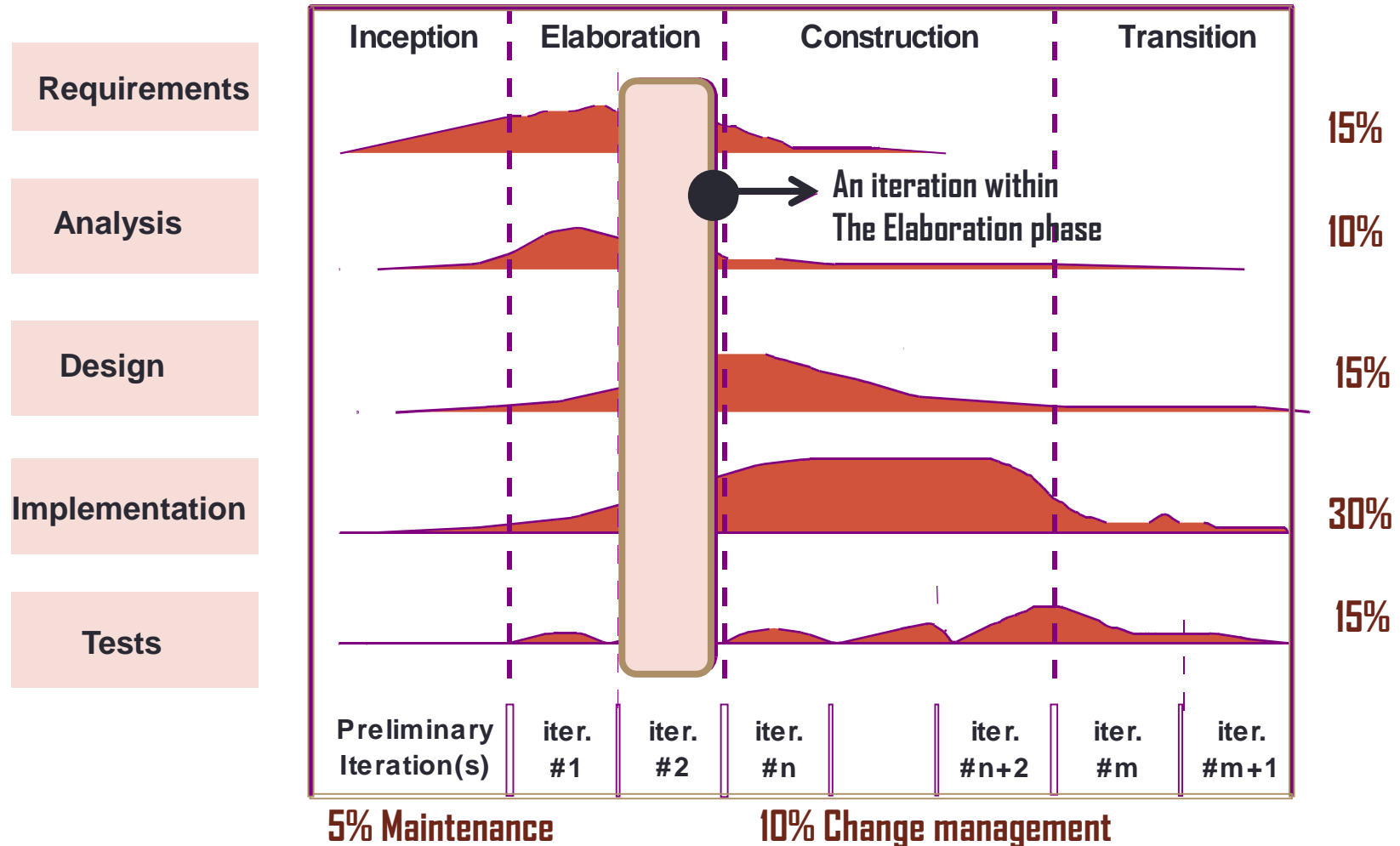
- Phases
  - *Inception(Opportunities Study)*
    - The scope and goals of the project are defined
    - The functionality and capabilities of the product are defined
  - *Elaboration*
    - The problem domain and the desired functionality are studied in depth
    - The basic architecture is defined
    - The project plan is defined according to the available resources

# RUP

## Dynamic View

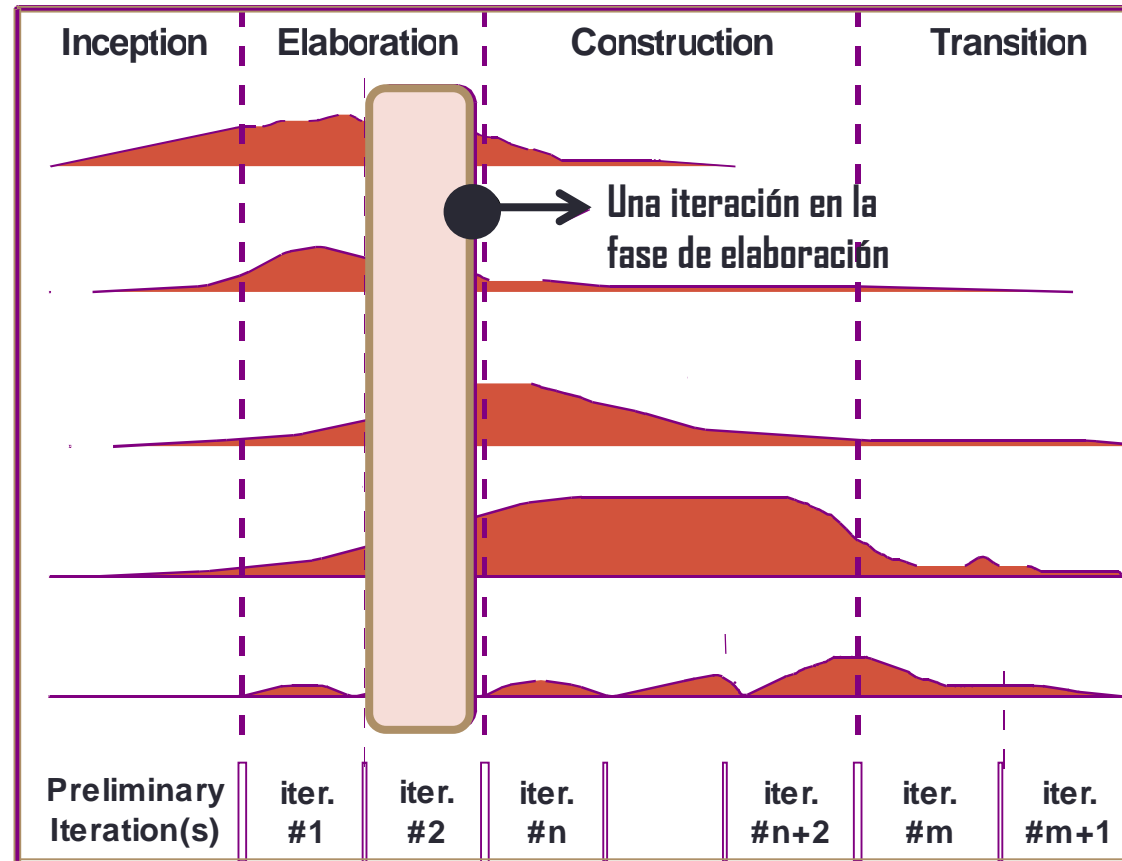
- *Construction*
  - On each iteration analysis, design and implementation tasks are performed
  - The architecture is refined
  - An important part of the work is dedicated to coding and testing
  - The system and its use is documented
  - This phase provides a built product and a documentation
- *Transition*
  - The product is delivered to the user for its use
  - Marketing, packaging, installation, configuration, training, support and maintenance, ...
  - User, installation,... guides are completed and refined

# RUP - *Distribution of effort with respect to activities*





# RUP - *Distribution of effort wrt phases*



Effort:  
Duration:

5%  
10%

20%  
30%

65%  
50%

10%  
10%

# RUP

## Static View

- Workflows

| <i>Workflow</i>              | <i>Description</i>   |
|------------------------------|--|
| <b>Business Modelling</b>    | Business processes are modelled using business use cases   |
| <b>Requirements</b>          | Actors are defined that interact with the system and use cases are developed to model the requirements of the system   |
| <b>Analysis &amp; Design</b> | A design model is created using architectural models, component models, object models and interaction models.  |
| <b>Implementation</b>        | The different components of the system are structured and implemented. The automatic generation of code helps to speed up this process.                              |
| <b>Tests</b>                 | Testing is an iterative process that takes place simultaneously with the implementation. As soon as the implementation is finished the integration tests take place. |
| <b>Deployment</b>            | A <i>release</i> (version) of the product is created, distributed to the users and installed in their workplace.   |

# RUP

## Static View

- Workflows

| <i>Workflow</i>                            | <i>Description</i>   |
|--|--|
| <b>Configuration and Change Management</b> | To manage changes in the system  |
| <b>Project Management</b>                  | To manage the development of the system                                      |
| <b>Environments</b>                        | Development of appropriate software development tools for development teams. |