An Analysis of Missing Transverse Momentum Triggers for Improving Efficiency at the ATLAS Experiment at CERN

by

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Thesis Submitted in Partial Fulfillment of the Requirements for the Degree of Bachelors with Honors

> in the Department of Physics Faculty of NYU Physics

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Degree: Bachelors with Honors (Physics)

Title: An Analysis of Missing Transverse Momentum

Triggers for Improving Efficiency at the ATLAS

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Date Defended: May 20th, 2019

Abstract

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Keywords:

Dedication

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Acknowledgements

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Table of Contents

A	ppro	val	ii
A	bstra	ict	iii
D	edica	ation	iv
A	cknov	wledgements	v
Ta	able (of Contents	vi
Li	st of	Tables	viii
Li	st of	Figures	ix
1	Intr	roduction	1
	1.1	The Large Hadron Collider	1
	1.2	ATLAS Experiment	1
	1.3	Trigger System	2
	1.4	Missing Transverse Momentum	2
	1.5	Efficiency Curves	2
2	Imp	proving Efficiency Using Combined Algorithms	4
	2.1	Transverse Mass Cut	5
	2.2	Results	6
		2.2.1 Algorithms that did not do better combined	6
		2.2.2 Algorithms that did do better combined	7
		2.2.3 Best Combined Algorithms and Best Individual Algorithms	9
3	Predicting the unbiased MET Distribution at Higher Luminosity		
	3.1	Objective	10
	3.2	Efficiency Fits	11
	3.3	Error Propagation	14
	3.4	Relative Normalization	15

Bibliography	16
Appendix A Code	16

List of Tables

List of Figures

Figure 2.1	Efficiencies of METTOPOCL and METTOPOCLPUC	6
Figure 2.2	Efficiencies of METCELL and METMHT	7
Figure 2.3	Efficiencies of METCELL and METTOPOCL	7
Figure 2.4	Efficiencies of METCELL and METMHT	8
Figure 2.5	Efficiencies of METCELL and METTOPOCL	8
Figure 2.6	Best Combined Efficiency Algorithms Versus Best Individual Algo-	
	rithms	6
Figure 3.1	Efficiency Curves of L1>30 on the HLT_noalg_L1ZB data \dots	12
Figure 3.2	Efficiency Curves of L1> 30 on the HLT_noalg_L1ZB data \dots	12
Figure 3.3	Efficiency Curves of L1>50 on the <code>HLT_noalg_L1XE30</code> data	13
Figure 3.4	Efficiency Fits of L1>50 on the HLT_noalg_L1XE30 data	13

Chapter 1

Introduction

1.1 The Large Hadron Collider

The Large Hadron Collider is the most powerful particle accelerator in the world, located in CERN on the France-Swiss border. The circumference of the LHC is 27km, and is located 100m underground. The maximum energy possible to accelerate the particles to in the LHC is directly dependent on the size of the LHC and the strength of the magnets used to accelerate the particles. In order to achieve the design energy of 7 TeV per proton, it was necessary to have a circumference of 27km, and to use some of the most powerful dipoles and radiofrequency cavities in existence. Inside the pipes where the protons travel, a very strong vacuum is required, and so the pressure in some parts is over $10^{-9}Pa$. The beams are made up of cylinder-like bunches. Using these bunches, the expected number of collisions is 10^{34} per cm^2 per second. The time between bunches is about 25ns, so in the entire circumference of the LHC, there are about 3550 bunches.

1.2 ATLAS Experiment

ATLAS (A Toroidal LHC ApparatuS) is one of seven particle detector experiments constructed at the Large Hadron Collider, a particle accelerator at CERN. When the LHC runs at full energy and intensity, about 600 million proton-proton collisions take place every second inside the ATLAS detector. The amount of data collected for each event is around 1MB, which means that there is approximately:

$$10^9 Hz(1Mb) = 1Pb/s$$

of data produced. Because this is significantly larger than any practical system can handle, there are **triggers** that are designed to reject uninteresting events and keep the interesting ones. For ATLAS, the trigger system is designed to collect about 200 events per second. This means that ATLAS collects about 4 petabytes of data per year. There are 10^{11} protons in a bunch. The proton-proton interaction cross section is approximately 100mB.

1.3 Trigger System

In particle physics, a trigger is a system that uses simple criteria to rapidly decide which events in a particle detector to keep when only a small fraction of the total can be recorded. The trigger system is necessary because of limitations in terms of data storage capacity and rates. In general, the experiments typically search for "interesting" events (decays of rare particles) that occur at relatively low rates, so we need to have trigger systems that identify events that should be recorded for later analysis. The Large Hadron Collider has an event rate of approximately 1 GHz. The triggers are divided into levels so that each level selects the data that becomes an input for the next level, which has more time available and more information to make better decisions. There is the **Level-1 (L1)** system, which is based on custom electronics, and the **High Level Trigger (HLT)** system, that relies on commercial processors. The L1 system uses only coarsely segmented data from the calorimeter and muon detectors, while holding all the high-resolution data in pipeline memories in the electronics.

1.4 Missing Transverse Momentum

The transverse momentum is defined to be the momentum in the transverse plane to the beam axis. Because the protons in the beam pipe collide approximately head-on in opposite directions, we expect the produced particles to have approximately zero momentum. As a result, we know we must conserve transverse momentum. The total transverse momentum is defined to be the vector sum of the transverse momenta of the particles produced in the beam pipe. Missing transverse momentum is defined as the vector sum of the transverse momenta of all invisible particles. The missing transverse momentum can be understood as the amount by which produced particles are failing to obey conservation of the three-momentum. Therefore, by only using muon-triggered events that passed a W transverse mass cut enriches the W and therefore real MET content.

1.5 Efficiency Curves

An efficiency curve illustrates the probability of an algorithm to classify the MET of an event as above or below a threshold value as a function of the MET as determined by another, "true" algorithm. So we can ask, what is the efficiency of L1 > 30 as a function of CELL MET. What this is means is we are taking the MET as determined by CELL to be the true MET, and we want to know how well (what the probability is) L1 does at classifying events as having MET above or below 30 at each value of the MET determined by CELL. The way one would read a plot of this efficiency is to pick a value of CELL MET (on the x-axis) and ask "of the number of events CELL determined had this MET, what fraction did L1 determine had MET greater than the threshold [30 GeV]". The fraction [of the total amount of events CELL determined was in that MET bin] that L1 determined was

greater than the threshold would be the height of the efficiency curve at that value of CELL MET. A perfect efficiency curve would look like a step function centered at the threshold around which one is trying to classify the MET of events. The fact that efficiency curves in reality do not look like step functions can be understood in terms of Type I and Type II error. The step function for the efficiency curve would be centered on the threshold one is asking for the efficiency about. The fact that the efficiency curve immediately to the left of the threshold is not zero means that, of the events CELL determined had MET lower than the threshold, L1 determined some fraction of those events had an MET higher than the threshold. The fact that the efficiency curve immediately to the right of the threshold is not one means that, of the events CELL said had MET larger than the threshold, L1 said some fraction of those events had MET lower than the threshold. In this case, the fraction of events L1 determined had an MET higher than the threshold, given that CELL said the MET was higher than the threshold, is less than one.

Chapter 2

Improving Efficiency Using Combined Algorithms

In this assignment, we wanted to see if we could obtain an increase in efficiency by combining uncorrelated algorithms together subject to the constraint of the trigger rate. The issue is that there are many pairs of thresholds that when combine will keep the proper trigger rate. In the parameter space of all possible combinations of combined thresholds, we have two degrees of freedom in determining what the appropriate thresholds on a pair of algorithms should be. In order to simplify the problem, I imposed the constraint that the two trigger rates for the algorithms had to be the same. Therefore, I removed a degree of freedom in the parameter space by constraining my solution space to pairs of thresholds that satisfy the trigger rate and lie on the line y = x. The monotonicty of the fraction of events kept as you change either of the thresholds on the algorithms guarantees that there will be a unique solution to both of these constraints on the parameter space.

Once we added the constraint of keeping the same fraction individually, the problem essentially became one-dimensional, and I used the popular bisection root-finding method in order to compute the solution to the optimal pair of thresholds.

This consisted of guessing an individual fraction for each of the algorithms to keep, and then computing what the combined fraction of events kept was. We iterated this process until the combined fraction kept matched the trigger rate to within one bin. The level curve describing the set of pairs of thresholds such that the trigger rate constraint is satisfied is given by the constraint:

$$f(\tau_{\alpha}, \tau_{\beta}) = C$$

for some C. Here, f is the function representing the fraction of events kept when the algorithms are used together at the same time. In order to compute C, we used the fraction of passnoal data that passed an L1 MET cut of 50 GeV and a CELL MET cut of 100 GeV. For our analysis, C turned out to be 0.0059. So we needed to solve the equation $f(\tau_{\alpha}, \tau_{\beta}) = 0.0059$. However, because the parameter space is two-dimensional, and the evaluation of f takes a long time (fraction of events kept by both algorithms, and by each

one individually), we introduced the constraint that the two individual fractions kept needed to be the same.

2.1 Transverse Mass Cut

In addition to the cuts on the various algorithms, we also needed to introduce a cut on the transverse mass that is detected to ensure we only keep events with a transverse mass close to that of the W boson $(80.379 \pm 0.012 GeV/c^2)$. We compute the transverse mass using:

$$m_T = \sqrt{2P_\mu P_\nu (1 + \cos(\phi))}$$

In addition to the previously mentioned cuts, we also added a cut on the transverse mass for the range $40 \le m_T \le 100$.

2.2 Results

2.2.1 Algorithms that did not do better combined

For most pairs of algorithms, we found that combining algorithms such that they keep the correct trigger rate did not yield any gain in efficiency. As in figure ??, we see that the red curve lies underneath the green curve.

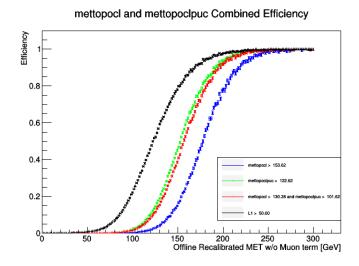


Figure 2.1: Efficiencies of METTOPOCL and METTOPOCLPUC

2.2.2 Algorithms that did do better combined

We found that we were able to achieve an increase in the overall efficiency for some of the pairs of algorithms considered.

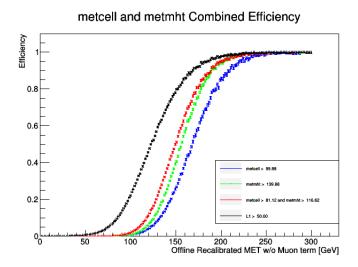


Figure 2.2: Efficiencies of METCELL and METMHT

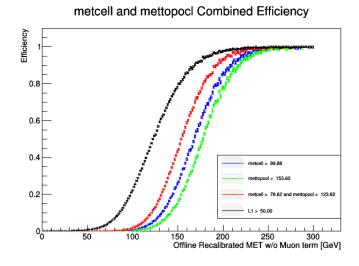


Figure 2.3: Efficiencies of METCELL and METTOPOCL

metcell and mettopoclps Combined Efficiency 0.8 0.6 0.4 metcell > 99.88 metcopoclps > 145.88 metcopoclps > 121.88

Figure 2.4: Efficiencies of METCELL and METMHT

100

150 200 250 300 Offline Recalibrated MET w/o Muon term [GeV]

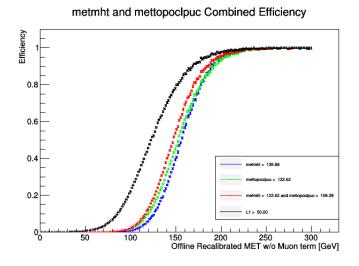


Figure 2.5: Efficiencies of METCELL and METTOPOCL

2.2.3 Best Combined Algorithms and Best Individual Algorithms

7 Efficiencies Comparison 0.8 0.6 0.4 0.2 metcell metmbt mettopoclpuc cell and mbt cell and topocl cell and topocl cell and topoclpus mbt and topoclpus mbt and topoclpus cell and topoclpus cell and topoclpus mbt and topoclpus cell and topoclpus mbt and topocl

Figure 2.6: Best Combined Efficiency Algorithms Versus Best Individual Algorithms

Chapter 3

Predicting the unbiased MET Distribution at Higher Luminosity

3.1 Objective

Our goal is to empirically reconstruct the unbiased CELL MET distribution using ZeroBias HLT noalg L1XE30 and HLT noalg L1XE50 data. We want to use this data to determine the CELL MET distribution as a function of μ . Because the ZeroBias events only allow us to go up to about 80 GeV, we use the HLTnoalg_L1XExx triggered events in order to extend to higher MET. We correct the HLTnoalg_L1XExx data using the efficiency curves determined from lower threshold triggers. While performing this correction, it was important to propagate the errors due to the determination in the efficiency, and those due to statistical uncertainties. Performing the reconstruction involved several steps:

- 1. compute the efficiency of L1 > 30 GeV for HLT_ZB_L1ZB data as a function of CELL MET
- 2. correct the HLT_ZB_L1XE30 data back to the HLT_ZB_L1ZB distribution by multiplying by the prescale and dividing by the efficiency.
- 3. compute the efficiency of L1 > 50 GeV for HLT_ZB_L1XE30 data as a function of CELL MET
- 4. correct the HLT_ZB_L1XE50 data back to the HLT_ZB_L1ZB distribution by multiplying by the corresponding prescale, and dividing by both of the previously computed efficiencies.

For this project, we used the 2015, 2016 and 2017 combined <code>HLTnoalg_L1ZB</code>, <code>HLTnoalg_L1XE30</code> and <code>HLTnoalg_L1XE50</code> data produced by Jonathan Burr on 11/17/2017 from the zerobias and <code>JETM10</code> trees. In addition, we removed the events from runs 330203, 331975 and 334487 because these events had large MET events without jets and the logbook says there were calorimeter noise problems in these runs.

3.2 Efficiency Fits

It was necessary to come up with a model that could be used to fit to our efficiency data. To create this model, we assumed that the conditional distribution of the value of MET as determined by L1, given the value of MET as determined by CELL was a gaussian:

$$\mathbb{P}(X=x|Y) = \frac{1}{\sigma\sqrt{2\pi}}e^{-z^2/2\sigma^2} \tag{3.1}$$

Where we have defined:

$$z = y - (ax + b) \tag{3.2}$$

Then, in order to derive the expression for the model for the efficiency curves, we compute the probability that L1 determines as MET value higher than the value of the threshold. In order to do this, we integrate from the value of the threshold (T) to infinity:

$$\varepsilon(x) = \frac{1}{\sigma\sqrt{2\pi}} \int_{T}^{\infty} e^{-z^2/2\sigma^2} dz \tag{3.3}$$

One may manipulate this expression using properties of integration, probability density functions and gaussians in order to write an expression for the efficiency in terms of the error function:

$$\operatorname{Erf}(z) = \frac{2}{\sqrt{\pi}} \int_0^z e^{-t^2} dt \tag{3.4}$$

Finally, the expression we get is:

$$\varepsilon(x) = \frac{1}{2} \left(1 + \operatorname{Erf}\left(\frac{ax + b - T}{\sigma\sqrt{2}}\right) \right) \tag{3.5}$$

We performed this fit for the distribution of MET in μ bins of $0-10,\ldots,60-70$

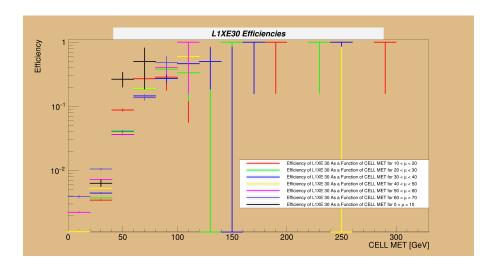


Figure 3.1: Efficiency Curves of L1> 30 on the $\mathtt{HLT_noalg_L1ZB}$ data

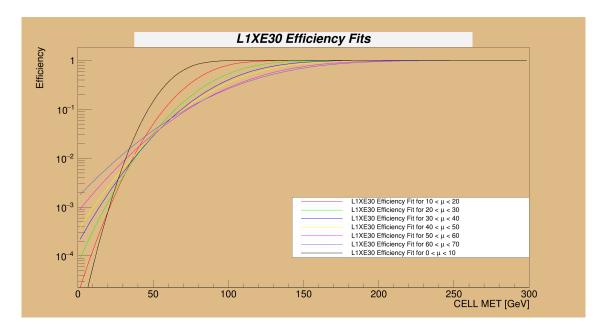


Figure 3.2: Efficiency Curves of L1> 30 on the <code>HLT_noalg_L1ZB</code> data

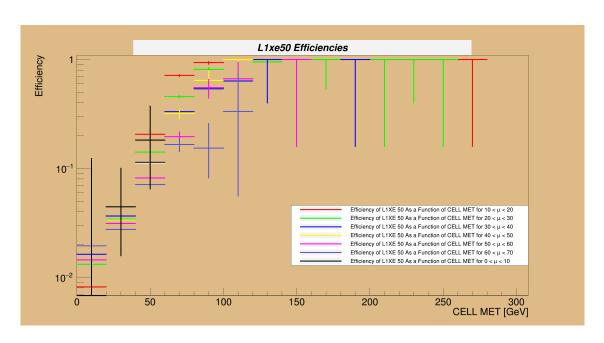


Figure 3.3: Efficiency Curves of L1> 50 on the <code>HLT_noalg_L1XE30</code> data

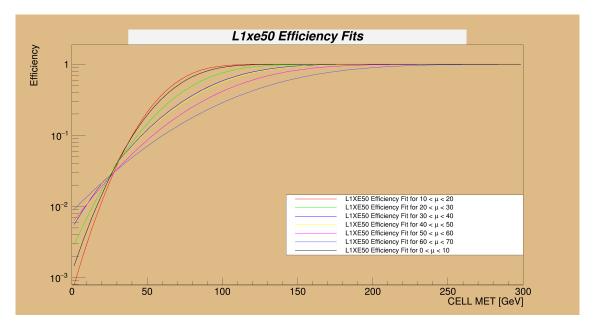


Figure 3.4: Efficiency Fits of L1> 50 on the <code>HLT_noalg_L1XE30</code> data

3.3 Error Propagation

In order to obtain accurate error bars on the bins of our reconstructed distribution, it was necessary to appropriately propagate errors due to the determination of the efficiency and the statistical error. Because the value of the prescale varies within a given bin, it was necessary to keep track of the error accumulated on a particular bin manually. In order to do this, the formula for propagation of fractional uncertainties was used. We have the fact that the expression for what the contribution from a single event to the current bin should look like is given by:

$$n_{ZB}^{30} = \frac{P_{30}}{\varepsilon_{ZB}^{30}} \cdot n \tag{3.6}$$

$$\left(\frac{\delta n_{ZB}^{30}}{n_{ZB}^{30}}\right)^2 = \left(\frac{\delta P_{30}}{P_{30}}\right)^2 + \left(\frac{\delta \varepsilon_{ZB}^{30}}{\varepsilon_{ZB}^{30}}\right)^2 + \left(\frac{\delta n}{n}\right)^2 \tag{3.7}$$

$$= \left(\frac{\delta \varepsilon_{ZB}^{30}}{\varepsilon_{ZB}^{30}}\right)^2 + 1\tag{3.8}$$

Because we know the prescale exactly, we have that $\delta P_{30}=0$ and $\delta P_{50}=0$, so those terms drop out. Because this is a single event, we have that n=1 and by the standard deviation of a poisson process $\delta n=\sqrt{n}$, which means that $\delta n=1$. So the term due to statistical uncertainty contributes unity on the right hand side. In addition to computing the uncertainty on the contribution of a single event with given prescale and efficiency during the correction of the HLT_noalg_L1XE30 data to the unbiased distribution, we need to also know the uncertainty on the correction of a given event from the HLT_noalg_L1XE50 data to the unbiased distribution. In order to compute the unbiased distribution from the HLT_noalg_L1XE50 events, it was necessary to multiply a given event by its recorded prescale (P_{50}) , as well as divide by both the efficiency of the HLT_noalg_L1XE30 data relative to the HLT_noalg_L1XE30 data, and the efficiency of the HLT_noalg_L1XE30 data to the HLT_noalg_L1ZE data.

$$n_{ZB}^{50} = \frac{P_{50}}{\varepsilon_{ZB}^{30} \varepsilon_{ZB}^{50}} \cdot n \tag{3.9}$$

$$\left(\frac{\delta n_{ZB}^{50}}{n_{ZB}^{50}}\right)^2 = \left(\frac{\delta P_{50}}{P_{50}}\right)^2 + \left(\frac{\delta \varepsilon_{ZB}^{30}}{\varepsilon_{ZB}^{30}}\right)^2 + \left(\frac{\delta \varepsilon_{ZB}^{50}}{\varepsilon_{ZB}^{50}}\right)^2 + 1$$
(3.10)

$$= \left(\frac{\delta \varepsilon_{ZB}^{30}}{\varepsilon_{ZB}^{30}}\right)^2 + \left(\frac{\delta \varepsilon_{ZB}^{50}}{\varepsilon_{ZB}^{50}}\right)^2 + 1 \tag{3.11}$$

I track of these uncertainties by incrementing the elements of an array that corresponded to the square error of that bin of the histogram, and taking the square root at the end to get the numeric value for the uncertainty on that bin for both of the corrected distributions. In order to compute the factors ε_{ZB}^{30} and ε_{ZB}^{50} , it was necessary to propagate errors in quadrature. The expression for this computation is given by:

$$(\delta \varepsilon)^2 = \sum_{i=1}^k \left(\delta \theta_i \frac{\partial f}{\partial \theta_i} \right)^2 \tag{3.12}$$

where k is the number of parameters in the fitting function we used, f is the fitting function we used, and θ_i is the *i*th parameter. After some manipulation with partial derivatives and exponential integrals, one can derive that the expression for $\delta \varepsilon_{ZB}^{30}$ is given by:

$$\delta \varepsilon_{ZB}^{30} = \sqrt{\frac{1}{2\pi\sigma^2}} \exp\left(-\left(\frac{ax+b-30}{\sqrt{2}\sigma}\right)^2\right) \left[\delta a^2 x^2 + \delta b^2 + \delta \sigma^2 \left(\frac{ax+b-30}{\sigma}\right)^2\right]^{1/2}$$
(3.13)

3.4 Relative Normalization

Because the error bars are larger at lower values of MET, it is necessary to also perform an overall relative normalization between the HLT_noalg_L1XExx data and the HLT_noalg_L1ZB data in addition to the normalization to unity. This was done in order to compare the shapes of the curves more easily. The relative normalization factor was computed by taking a weighted average of ratios sampled at various points in the tail of the distribution where the slopes of the distributions were approximately parallel. The expression for the relative normalization factor is given by:

$$\hat{f}_{MLE} = \frac{\sum_{i=1}^{k} \frac{1}{\sigma_i^2} f_i}{\sum_{i=1}^{k} \frac{1}{\sigma_i^2}}$$
(3.14)

where k is the number of samples taken from the tail of the distribution in the region where the slopes were approximately parallel. Using the expression for the propagation of fractional uncertainty, one can calculate the error in this expression for the relative normalization factor:

$$\sigma_i(f_i) = f_i \sqrt{\left(\frac{\sigma_{ZB}^{50}}{N_{ZB}^{50}}\right)^2 + \left(\frac{\sigma_{ZB}}{N_{ZB}}\right)^2}$$
(3.15)

Note that in this case, we are not propagating the error on a single event, but on a given ratio of bin values. The variables are: σ_{ZB}^{50} is the error on the given bin from the HLT_noalg_L1XE50 distribution after being corrected to the unbiased distribution, the N_{ZB}^{50} is the value of that bin, and the σ_{ZB} is the error on the corresponding bin from the HLT_noalg_L1ZB distribution and N_{ZB} is the number of events in that bin.

Appendix A

\mathbf{Code}