

UNIVERSITY OF ABERTAY

HONOURS DISSERTATION

Adaptive User Interfaces For Virtual Reality

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1 ABSTRACT

Virtual reality allows users to interact with three-dimensional environments and interface in an intuitive way, looking around with the head-mounted display and reaching out with wireless tracked controllers. Although VR interfaces often take advantage of the new space and depth afforded by the stereoscopic 3D inherent in a head-mounted display, they are generally still static like the 2D interfaces prevalent on other devices.

This project expands on research made in the area of adaptive user interfaces which focused on 2D interaction, applying techniques in the 3D, motion-controlled environment of VR. Adaptive user interfaces aim to improve usability with dynamic elements and input analysis. The two techniques focused on in this project are error analysis and adaptive scaling. To implement an application for evaluating these techniques C++ and Unreal Engine 4 were used.

One of the most common and difficult-to-use interaction models in virtual reality is the laser pointer. Interacting with far away elements can be cumbersome using a pointer and is an area in which adaptive techniques can easily be applied. As such the application used for testing during this project has the user perform simple selection and deselection interactions on a series of balls using a laser pointer to sort them in to baskets. The user testing is also timed to encourage rapid interaction and increase the number of data points.

To evaluate the benefits of the adaptive techniques used in the application, both quantitative questionnaire data and qualitative data have been gathered and analysed. To measure the usability of the adaptive techniques the process used a static control interface. Combining the two sets of data and comparing gives a clear representation of the effect the adaptive techniques have on usability.

The results gathered show that adaptive user interface techniques can improve the usability of a 3D interface in virtual reality especially when using the errors of the user to identify their intentions.

Keywords: Virtual Reality, 3D User-Interfaces, Adaptive Interfaces, Interface Plasticity

2 INTRODUCTION

2.1 THE FALL AND RISE OF VIRTUAL REALITY

Virtual reality (VR) head-mounted displays first started appearing in the commercial market in the 1990s with Nintendo's Virtual Boy as the face of what was sold as a new era in entertainment technology. The virtual boy and its ilk failed miserably due to severe motion sickness and its utilisation of displays far worse than those available for the standard consoles at the time (Vincent 2016). Despite this rough start, two decades later VR has begun to secure its place in mainstream entertainment especially in the world of video games, although Nintendo is nowhere to be seen (Gordon 2015).

Sony's PlayStation VR headset was more successful than predicted (Seeto 2016) and the fears surrounding the motion sickness that doomed the previous wave of VR are dissipating (Pino 2016). Alongside Sony's offering are HTC's Vive and Facebook's Oculus not mentioning the vast number of headsets designed for smartphones. These three headsets all provide similar experiences: high resolution displays for each eye providing stereoscopic depth, six degrees of freedom head tracking and hand tracking using wireless controllers.

There have been some stand out applications and games from these early years of the new VR platforms. Job Simulator (see figure 1a) somehow turns the mundane tasks of everyday life into fun, captivating play and would not be the same experience without the head and controller tracking that comes with the HTC Vive. Tilt Brush (see figure 1b) was the first breakthrough success on the creation front with users creating thousands of sculptures using the signature 'light ribbons'. It showed that the intuitive 3D of VR could be used to great effect in productivity applications.

Even before the first release of the main VR platforms as consumer products the major Game Engine creators, Unity and Unreal Engine, had begun implementing VR support not just for applications but for the editor used to create applications. This allows not just VR developers but all developers to utilise the precision that comes with the spacial awareness to edit 3D environments with ease (Jarvis 2016).



(a) Job Simulator (Owlchemy Labs 2016)



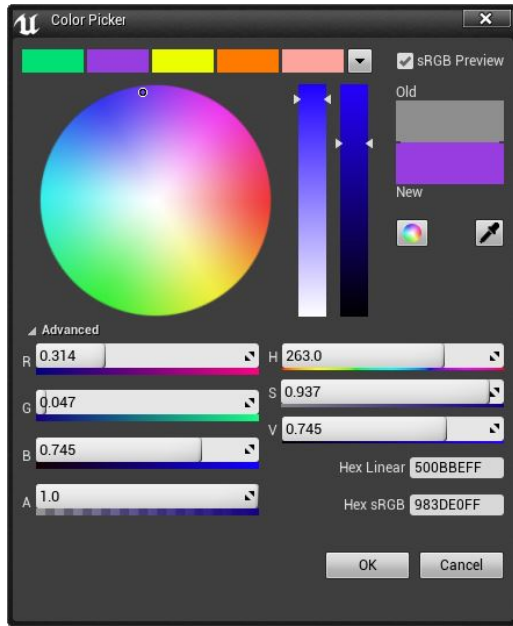
(b) Tilt Brush (Google 2016)

Figure 1: Examples of Virtual Reality applications

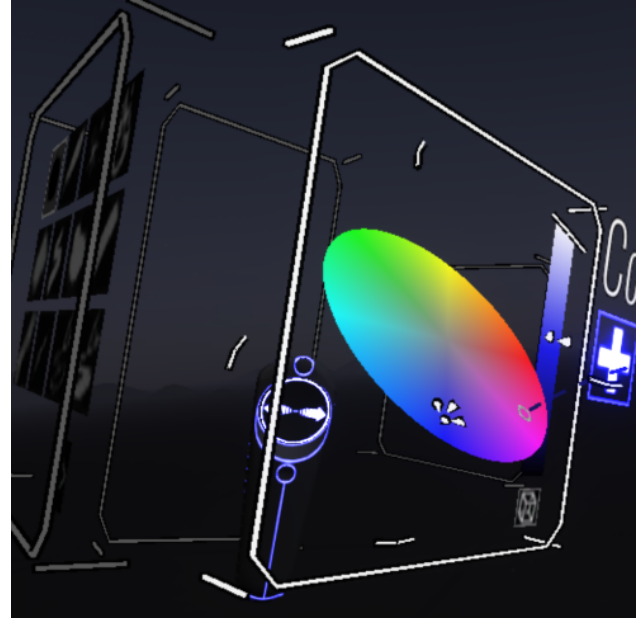
2.2 THE FUTURE OF IMMERSIVE TECHNOLOGIES

The features of the forerunning virtual reality headsets combine to create a sense of immersion or 'presence' rarely achieved in traditional 2D applications and games. Even though this new wave of VR has only been around for a few years a new technology is rapidly approaching. On the horizon is the next step in immersive technology, augmented reality (AR). This will allow a wireless experience and build upon the work in mobile virtual reality by Google and Samsung. Microsoft's HoloLens provides a glimpse at this future, one of seamless multi-user interaction overlaid onto reality (Bromley 2016).

Something often associated with VR is the isolation wearing a headset implies. AR reverses this by allowing the user to still see and interact with the real world while experiencing an immersive experience. The HoloLens, even in its primitive first form, demonstrates how this can introduce new forms of interaction not possible in any other way. The ability for two people to interact and collaborate with the same interface while still seeing their surroundings with no constraint on location is revolutionary.



(a) 2D picker (UnrealEngine4 2012)



(b) 3D colour picker (Tilt Brush 2016)

Figure 2: Comparison between 2D and 3D interface

2.3 INTERFACES IN 3D

Although everything studied and evaluated in this project can apply to any 3D interface, the testing and implementation focus on virtual reality as a platform, specifically the HTC Vive. Current virtual reality interfaces focus on interaction using the controller itself which constrains elements to being within arms reach.

A common solution is a 3D laser pointer used to select and manipulate distant elements. As in the real world using a laser pointer at a distance can be imprecise and slow (Malaika 2015) when under pressure. It is however an intuitive and unobtrusive mechanism and easily translates from the traditional desktop input of a mouse cursor.

This project evaluates how the usability of 3D interfaces in VR, specifically those utilising a 3D pointer system, can benefit from being dynamic and adaptive. It references concepts and techniques such as error analysis, adaptive scaling and user modelling explored previously by other researchers in 2D and 3D. Figure 2 shows a comparison between a traditional 2D interface and a 3D virtual reality interface.

2.4 RESEARCH QUESTION

The project focuses on a single point: *"How can the usability of virtual reality interfaces benefit from adaptive techniques?"*. In order to begin implementing the application and prepare for evaluation this research question was separated into several aims and objectives as follows:

Aims:

- Apply adaptive techniques to a static virtual reality user interface.
- Gather quantitative data through user testing and error analysis.
- Gather qualitative data using questionnaires.
- Evaluate the overall effect of adaptive techniques on the usability of a virtual reality interface using the data gathered.

Objectives:

- Research adaptive user interface techniques for 2D and 3D interfaces.
- Implement a 3D user interface for use in virtual reality.
- Employ the researched techniques for assessment.
- Create a system for error analysis to gather qualitative evaluation data.
- Have users test the iterations of the interface and feedback on their usability.
- Compare the various techniques using the qualitative and quantitative data gathered to evaluate their effectiveness.
- Using the comparisons, evaluate the overall impact of adaptive user interfaces on usability in virtual reality.

3 LITERATURE REVIEW

3.1 ADAPTIVE USER INTERFACES

Dynamic user interfaces fall under a few categories in the area of research sometimes referred to as interface plasticity. 'A Survey of Plasticity in 3D User Interfaces' (Jeremy 2014) gives an overview of the different types of dynamic user interface classifying them using two parameters, the adaptation time and the controller. Within these parameters an adaptive user interface is defined as being adapted by the system at run-time. This project focuses on implementing systems that fall under this classification of adaptation.

Many researchers have explored various ways to assist the user in digital environments since the early days of personal computing (Morgan 1998). One strategy known as intelligent user interfaces, seeks to actively learn how a user interacts with an interface and suggest or highlight relevant information accordingly. Although this can be effective, it takes time to model the user in a meaningful way and can seem obstructive as demonstrated by Microsoft's Clippy (Meyer 2015).

"User Control in Adaptive User Interfaces for Accessibility" (Peissner 2013), discusses the balance between cost and benefit when creating adaptive user interfaces. They focus on personalised interfaces which use various algorithms to model the user and adapt the interface in response. The cost they describe is of the user losing control over the interface if the adaptive techniques cause unpredictable behaviour. The benefits they describe are for users with disabilities to have access to interfaces which would otherwise be difficult to use. They conclude by stating that their study strongly supports "Automatic adaptation with implicit confirmation".

A similar approach, the one this project focuses on, is to adapt the interface itself in direct response to the user's actions. One of these techniques covered by 'Modeling error-based Adaptive User Interfaces' (Kathik 2011) monitors the user's failures while navigating and interacting with an application to dynamically interpret their desired interaction. 'Adaptive Hypermedia and Adaptive Web-Based Systems' (Peter 2000) discusses the benefits of adapting a 3D environment as the user interacts with it to assist their actions.

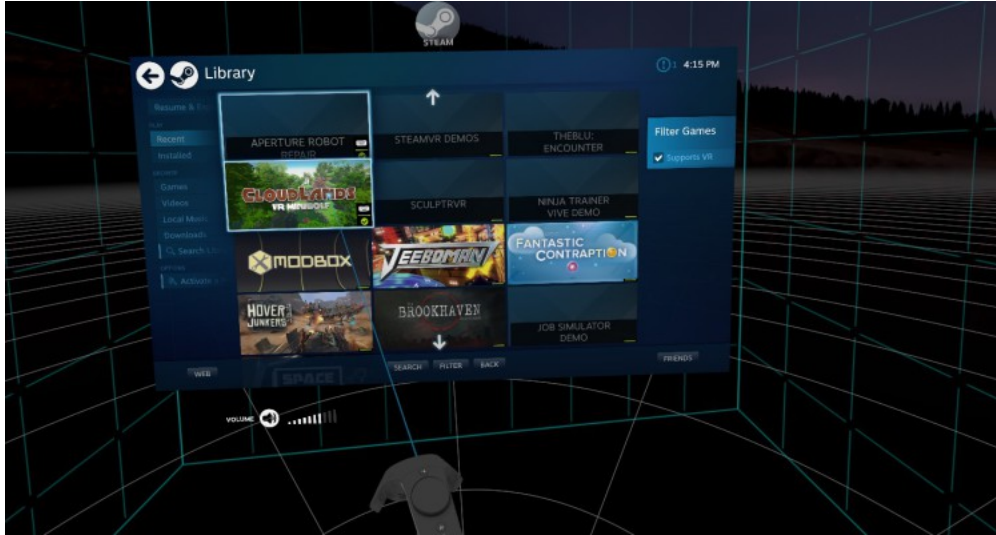


Figure 3: Pointer based interaction (Steam VR 2016)

Most user interfaces in our digital lives are static with adaptive interfaces reserved for improving accessibility for atypical users or use-cases (Stephandis 1998). VR provides a new platform for innovation and does not have a direct counterpart to the universal language of 2D interfaces’ windows and pointers. This uncharted territory provides an opportunity to experiment with dynamic 3D interfaces in new ways.

3.2 VIRTUAL REALITY USER INTERFACES

When considering the current landscape of virtual reality applications and games there are a large number using motion controllers as opposed to traditional input methods such as console controllers and keyboard/mouse. The HTC Vive’s lighthouse tracking system provides accurate motion control walking around a large space up to 15 ft square. The Oculus’ and PSVR’s use of optical tracking lends itself to a seated experience but still allows full 360 degrees of movement (IGN 2016).

Considering that most content on VR platforms is not exclusive to a single device experiences focus on interaction at arms length to accommodate for seated users. Interactions often consist of selecting 3D objects or interface elements by moving a controller to their position and pressing a button. Any interaction beyond arms length generally utilises a 3D pointer system as seen in the



(a) Fantastic Contraption (2016)



(b) First Contact (2016)



(c) Brookhaven Experiment (2016)

Figure 4: Examples of Virtual Reality Interfaces

Steam VR interface (Figure 3) used to enter applications for the HTC Vive.

3D object hand-based interfaces can feel natural to use like selecting a tiny version of a level in *Fantastic Contraption* (see figure 4a). Having the user interact with something that is a visual representation of its function is intuitive although similar approaches in other games can be confusing. In *First Contact* (see figure 4b) the floppy disks used to activate various mini-games are not obviously a vital component of the interface. Pointer interfaces are less prone to these issues as the nature of a traditional user interface is to be interacted with. As soon as the user is given a pointer and there is an element displayed it becomes a point of focus. Pointer interfaces can be brought into the context of an application to help sustain immersion as in the *Brookhaven Experiment* (see figure 3c) in which the user fires their gun at the menus; a similar approach is taken in *Until Dawn: Rush of Blood* (Super Massive Games 2016).

Pointer interfaces can also be far faster to use and more complex than hand-based object interfaces much in the way that a desktop cursor is often more efficient than its touch equivalent. Unfortunately these pointer interfaces become more cumbersome to use the further away, smaller and more complex they become (Johnson 2015). Despite this there are few interfaces using dynamic or adaptive elements to improve their usability. By simply assisting the user in their interaction great improvements could be made to bring VR closer to replacing desktop PCs and traditional 2D displays for everyday tasks like word processing and file management.

3.3 USABILITY IN VIRTUAL REALITY

The companies and developers at the forefront of the new wave of virtual reality hardware and software have faced many challenges in comfort and usability. Issues with nausea and motion sickness plagued early iterations of head-mounted displays. The addition of positional tracking and a greater understanding of significant design choices like locomotion have overcome most of these growing pains.

Now the focus of research is around usability of interfaces in VR. Valve, a driving force in desktop VR, presented some factors of usability at the Game Developer's Conference 2015 in San Francisco. During this presentation the speaker discussed interactions outside the user's area of reach, citing Fitt's law (Malaika 2015). This law has been extended from one-dimensional interfaces into 2D interfaces and now into 3D and states that the speed at which a user acquires a target is exponentially proportionate to the size of the target at a given distance (Atsuo 2001). When using a pointer-based system, small interface elements at a distance away become very slow to interact with.

Another notable aspect of ease-of-use regards the placement of interface elements around the user. Due to the 360 degree nature of a 3D interface if the user is constantly required to turn around in order to perform actions it will become fatiguing. Owlchemy labs, developers of Job Simulator and strong proponents of diegetic interfaces build scenarios in such a way that users do not have to turn frequently and generally have direct access to everything they may need at a given time (Schwartz 2015).

3.4 TESTING VIRTUAL REALITY APPLICATIONS

Testing virtual reality interfaces is something that has little research published about it. There are many articles that cover the preparation of and caution required around motion sickness but they tend to ignore the practicalities of collecting data during testing. One transcript of a presentation (Bromley 2016) mentions the issues surrounding communication with a user during tests as they

are wearing a headset while participating. One solution the researcher poses is to ask questions between short play sessions. Another is to have a direct line to the player so they can hear you while wearing a headset however this can be distracting and require extra set up for each user.

The emphasis on avoiding any nauseating situations usually focuses on movement of the user's view outwith their control. As users may be standing during testing it is also advised that the moderator watch them carefully. (Wales 2016)

4 METHODOLOGY

4.1 APPLICATION

4.1.1 OVERVIEW AND DESIGN

Before being able to apply the two adaptive techniques, dynamic scaling and error analysis, an interface needed to be implemented. The design of the interface and the application as a whole was conceived with user testing and data gathering in mind. In order to better understand what the interface would need to provide, several points were devised to outline the core features and constraints:

- The interface must feature a minimal number of clear interactions for easy learning.
- The interface must use a laser pointer to interact with elements outwith arms reach.
- A pressurised environment should be used to encourage users to interact with the interface.

Each of these points was taken into consideration and a simple virtual reality application was developed. The interactions considered were those common to many applications but a drag and drop interface was decided upon. This would be intuitive for the users who would only have a few minutes to use the application. Many pieces of existing virtual reality software show that moving and throwing objects in virtual reality is a gratifying action. Having decided upon the interaction the next step was to select objects to be interacted with.

To maintain the simplicity of the application and to try and avoid users becoming wrapped up in the details of the objects they were interacting with a simple ball was chosen. Initially a more complex object was implemented but as the focus needed to be on interacting and not the objects being interacted with the coloured ball lent itself to the testing. A ball also has associations with grabbing and throwing which reinforced the core interaction.

The choice to use a laser pointer was made early on as there is inherent difficulty in the task of selecting something in 3D space using a pointer and it is a common method for VR interaction. The use of a pressurised environment was executed in the form of a timed and scored interface. At first a kind of tutorial in which the user would be prompted to perform different actions was considered. The simple timer system was chosen instead because it does not rely on the speed at which the user reads or the interpretation of instructions. By having a single task repeated as many times as possible the user interacts more often providing more varied data and a larger data set.

The final design of the application took into account the outlined points and consisted of a simple space with a table to focus the user's gaze. On this table balls of two colours appear and roll toward the participant. A timer is visible on the table and is counting down to zero, some text instructs the user to place the balls into a basket of corresponding colour next to the table. Each time the user successfully selects and places a ball a score readout above the basket increases.

4.1.2 IMPLEMENTATION AND ITERATION

The initial design was implemented (as seen in Figure 5a) in Unreal Engine 4 using C++ to create the core interaction system and Blueprints (visual scripting) to create the gameplay. By separating the code of the gameplay from that of the interaction it allowed easy iteration and tweaking of the surface features while having a strong, performant foundation in C++.

Once the implementation was finished an early user test was performed which revealed several issues with the design. Initially two controllers, one in each hand, were used to interact. Both had laser pointers but only one would be used in the dominant hand while the other would occasionally obstruct the user's actions. The first implementation also asked the user to place blue balls into one

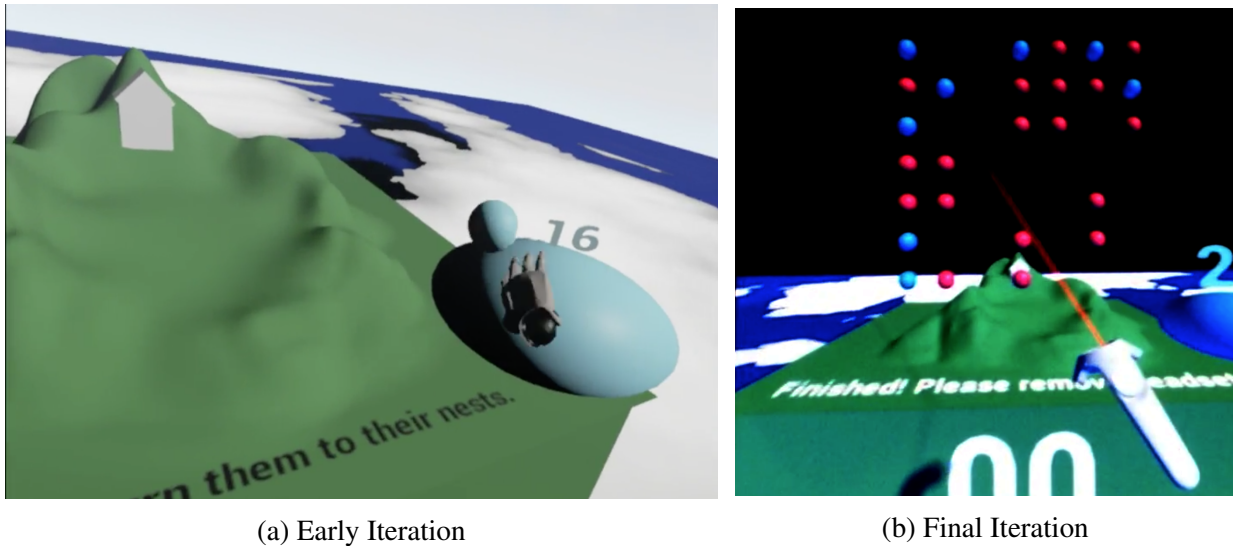


Figure 5: Implemented Application

basket and red into another, this meant as the balls appeared the user was constantly interacting and had no time to break.

Although the pressure on the user was intended it quickly became tiring for them to interact and the decision was made to have only one basket so that users would interact with only one colour and need to avoid the other. This would also give more data points for when the user did select a non-basket coloured ball the system could tell it was a failed action.

One change made before the final round of user testing expanded upon the interface itself. The applications in a real piece of software for selecting moving objects like the balls rolling toward the user are difficult to imagine. To improve the evaluation and provide a more realistic scenario the interface switches half way through the application's timer from the rolling balls to a grid of static balls suspended away from the user (seen in Figure 5b). This also tests the ability to select tightly-packed objects something the moving interface of the rolling balls did not do effectively.

4.2 ADAPTIVE TECHNIQUES

4.2.1 OVERVIEW

The research done into adaptive user interfaces and the techniques behind them focused mainly on implementations in 2D scenarios. To apply all of these techniques to 3D would be outside the scope of this project and have made it very difficult to complete the user testing. As such two techniques were chosen and altered for virtual reality. The first is error analysis. With the application requiring only one button for interaction the room for error is small and so easily tracked. The concept of error analysis is to detect when a user has incorrectly pressed a button and somehow react to it.

The second technique chosen was dynamic scaling although in a different form to that found in most of the previous research done on adaptive techniques. Generally the scaling of user interface elements would be done in response to an error, improving a user's ability to select it. By relating the scaling of every element to the predicted selection of the user it can constantly accommodate and assist the interactions with the interface, highlighting areas of focus.

4.2.2 ERROR ANALYSIS

To understand how to know when a user has made an error the underlying interaction system in C++ has to be explained. When designing the interaction system the input is bound to a set of functions. This means that when the user presses the trigger in an attempt to select an element it will run through a series of steps to complete the action:

- The user presses the trigger.
- The system runs the grab function.
- A raycast is sent along the laser pointer.
- If the raycast intersects any number of elements, the first is selected.
- If the raycast intersects no elements, nothing happens.

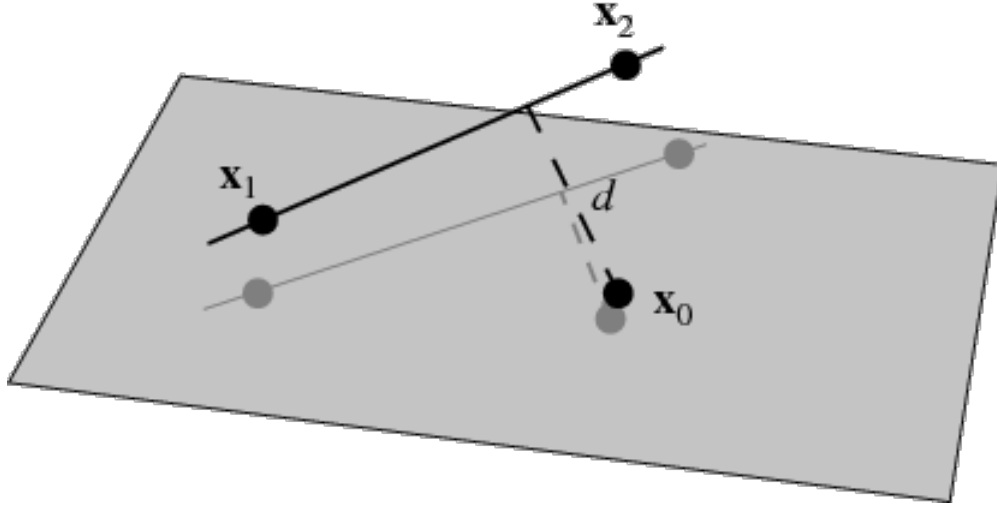


Figure 6: Visualisation of the shortest distance between a vector and a point

It can be assumed to a certain probability that if the final step is true and no elements were found, the user made an error. The user could simply have pressed the trigger with no intention to select something but this is something that cannot easily be predicted. But in the case that the user was trying to make a selection and failed error analysis can allow the system to make an educated guess at what the desired element was and take action on it.

Although this assisted selection would be easily accomplished in 2D by simply choosing the closest element to the cursor, in 3D with a continuous 'beam' cursor going into infinite it is more complex to solve. The implementation uses the shortest distance formula to find which element along the line of the laser pointer is the closest taking the magnitude of the vector forming a right angle to the direction.

By using a threshold distance beyond which elements are ignored the instant the user makes an error the system can search for any that are not overlapping but close enough to select and find the nearest. The basic form of this formula is as follows:

$$d = |(\mathbf{a} - \mathbf{p}) - ((\mathbf{a} - \mathbf{p}) \cdot \mathbf{n})\mathbf{n}|$$

in which d is the shortest distance between point p and the vector with position a and direction n . A visualisation of the formula can be seen in Figure 6. This implementation immediately proved to make selecting moving elements and densely-packed elements faster and less cumbersome dur-

ing basic testing. It could break down when a user is selecting objects very far away through a grouping of close objects in which case the formula could be modified to take into account distance of the perpendicular point along the laser.

4.2.3 DYNAMIC SCALING

The second technique adapts the interface visually in an attempt to improve the usability of smaller or tightly-packed elements such as the grid of balls presented in the latter half of the application. By using the shortest distance formula again to determine how far away an element is from the user's laser it can be scaled by some amount to create the effect of it becoming larger as the user goes to select it. The effectiveness of this technique relies on the theory laid out in Fitt's Law (Atsuo, 2001) stating that the time to select a user interface element is proportionate to its size and the distance from it. By scaling the elements as the distance to it decreases the speed of the selection should increase.

Initially a more complex algorithm was used to scale the elements exponentially and with a slight downsizing at the start. This was intended to make a kind of focus bubble inside which elements would be larger and on the edge of which elements would be smaller. This concept made selection confusing especially when approaching a group of elements from far away as they would all shrink on approach before getting larger at varying rates.

Something that became apparent during the implementation of the adaptive scaling was the importance of consistency. Early testing made it seem that if the user expects something to happen to the interface dynamically that becomes something they will compensate for in the interaction with the interface. As soon as something breaks the consensus it is no longer reliable and could hinder usability.

The scaling of the object was applied to the mesh itself but not the collider as this would cause issues where the mesh would begin clipping into nearby static, unelectable meshes and would sometimes seem like a property of the element and not the interface in general. By simply adding a kind of highlight replica of the mesh with transparency and scaling this instead, each element

could be seen at its native scale at all times yet the dynamic scaling could still be applied. This highlight mesh was also used for the static interface to show when an object was in range to be selected by becoming visible.

4.2.4 FURTHER CONSIDERATIONS

When implementing these adaptive techniques there were several other approaches considered. Stabilisation of the laser pointer could be used to snap to nearby elements like a pre-emptive error analysis so that if the user would have slightly missed an element the system snaps the laser to it before they even select it. This was not used as the constant change of direction and lack of fine control over the laser could be disorientating and nauseating.

Another way to adapt the interface would be by modelling the user and adjusting the environment to them. This could be done in a number of ways, for example taking the user's height and scaling the entire interface to be proportionate to them. As the focus of the research and implementation was on an experience that could be accessed and used in only a few minutes the calibration required for this technique went against the project design.

Other than the input of the laser pointer and the button for selection the direction the user is facing could perhaps be used to improve the error analysis. This approach would take not only the closest element to the laser into account but also the closest element to the gaze of the user. As the user testing would already require the control test alongside the two techniques adding a third test for this iteration on error analysis did not seem beneficial.

4.3 EVALUATION

4.3.1 OVERVIEW

To evaluate the effectiveness of the two adaptive interface techniques a single virtual reality interface was constructed as described in 4.1.2 and the basic version of this was used as the static

control. Alongside this interface, which users would interact with for a minute under a timer, the same interface was used but with the adaptive techniques applied individually. This would give the data to compare and evaluate the techniques' impact on usability.

4.3.2 DATA GATHERING

By gathering data from the application in the background the performance of the user could be analysed and compared for the static control and each technique. Alongside the questionnaire data this would give a solid understanding of how the user perceived the usability and how their interaction with the interface was actually affected.

The data-gathering system was a simple messaging model that wrote out an xml-formatted file which could then be read back into the Unreal Engine Editor and played back in 3D. This proved to be useful when looking for errors in the development as well as for analysing a user's performance.

A single object, the data-gatherer, writes to a file at a specified tick while the application is running. At every tick it stores the name, position and rotation of every object it is currently tracking. In order for an object to be tracked it must have a specific data-generator component used to communicate with the data-gatherer. When initialising this component the data-gatherer adds the object's name to its list to keep track of it. The name can then be used to request the required information.

Although this data alone is enough to reconstruct a user's interactions with the interface visually it does not keep track of the results of their interactions. To do this a second event layer was added to the communication between data-generator components and the data-gatherer. When the component creates an event the data-gatherer stores it at the tick during which it occurred along with the name of the object that generated it and some description. This allows every error, success and other non-visual interactions to be stored and replayed easily.

At first the data-gathering system would output large amounts of data every frame causing performance loss and discomfort when using the application. To account for this a simple distance

threshold was added so that each tracked object's information is only updated when it has moved a certain amount. When replaying data in the editor a simple interpolation can smooth out this loss of data.

4.3.3 QUESTIONNAIRE

To allow the users to feed back on the application and provide a counterpoint to the data gathered by the application which may not fully represent the user experience, a series of questions was devised and given to the user between the testing of each technique and the control (See Appendix A). The questions aimed to provide a variety of both negative and positive feedback while being vague enough to allow users to focus on the experience and not be caught up in their interpretation of each question.

The final form of the questions is an iteration on the System Usability Scale (Brooke 1986) which is widely used as a tool for measuring usability of a system. The decision to use the term 'system' instead of 'interface' would allow the user to consider not just the physical interface but the interaction with the interface as well. The scale uses a five-option system ranging from 'strongly agree' to 'strongly disagree'. This range gives enough room for comparison without overwhelming the tester with choices.

As in the standard Scale 10 questions were asked although slightly adapted from the ones given. The main addition was the final question regarding comfort. A vital component of usability in virtual reality specifically is user comfort. As there is a tendency for users to associate their comfort with the experience itself by using this question to weigh the answers of the testers any especially bad experiences and the answers associated could be offset.

When user testing the order of the tests for the static control interface and two adaptive techniques was randomised. The user would enter the room, be briefed and then asked to complete the consent form. Once they were ready they were placed in the headset and left to interact with the minute-long timed interface. When the timer expired the headset was removed and they completed the questionnaire. This was repeated until each iteration of the interface had been tested in a ran-

dom order. To ensure that each user tested each interface in each order a balanced number of times a simple list of the interface iteration to be tested was devised and followed rather than letting the system randomise the techniques applied.

5 RESULTS AND DISCUSSION

5.1 QUALITATIVE DATA

5.1.1 OVERVIEW OF RESULTS

The data from the ten questionnaire responses gathered throughout the testing process were collected in a spreadsheet and analysed by assigning a value of 1 - strongly disagree to 5 - strongly agree to each answer. Certain answers were weighted negatively based on the phrasing of the question i.e. "7. I found the system very cumbersome to use.", others were weighted positively i.e. "3. I thought the system was easy to use.". Each questionnaire is split into three sections A, B and C, corresponding to the iterations of the interface tested by each user.

Each section consisted of the same ten questions (see Appendix A). Section A corresponds to the 'static' interface which does not implement any adaptive techniques. Section B corresponds to the 'error analysis' interface which adapts based on user error. Section C corresponds to the 'dynamic scaling' interface which adapts the size of each element as the user approaches them. These questions focus on the user's experience interacting with the system, by averaging the responses received over the ten tests and comparing each section's results it gives an idea of which interface iteration users collectively preferred.

Although the users were not asked to directly compare the experience of the different iterations it can be assumed, to some degree, that their responses are scaled based on their impression of the previous interface. Also note that users were given the three interface iterations in a shuffled order without knowing which was the control. This means a user who experienced the 'static' control interface first may have responded to the adaptive iterations differently to a user experiencing the

adaptive iterations before the control.

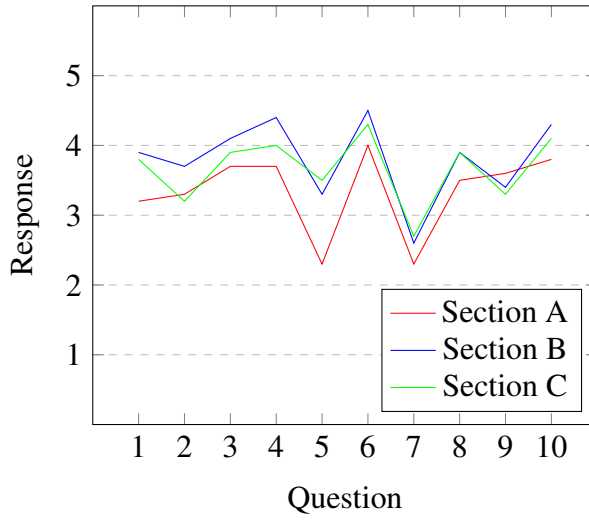
5.1.2 BREAKDOWN OF RESPONSES

Table 1 shows the average of each response taken for the ten responses across all three sections of the questionnaire. As explained in 5.1.1 certain questions are weighted negatively based on the phrasing given. These are questions 2, 5, 7 and 9 all have been inverted on the 1-5 scale in Table 1 to maintain a total out of 50. In order to visualise the data in a more understandable and comparable way the negatively-weighted answers were translated onto a reverse scale with 1 being strongly agree and 5 being strongly disagree. This means an answer to a negative question of agree - 4 on the normal scale becomes disagree - 2 on the reverse scale essentially taking the positive version of it. These translated negative answers alongside the other positive answers were then compiled into graphs as shown in Figure 7. Both the line graph and bar chart use the same data and provide some insight into the user experience for each iteration of the interface.

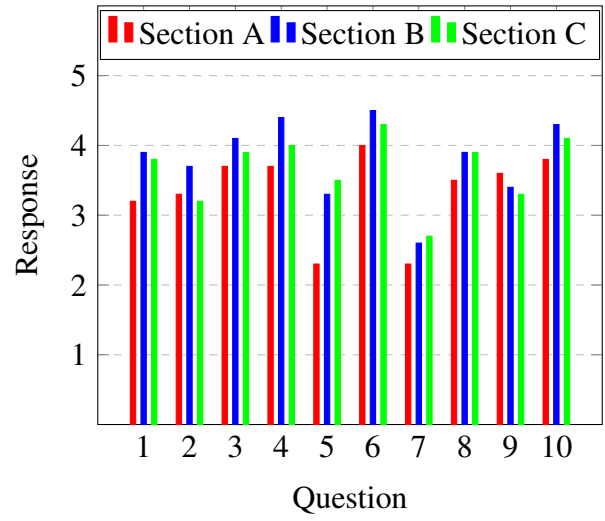
Looking at the line graph it is clear that the responses to Section B, the error-based adaptive technique, are the most positive in almost every question. Section A, the static control interface, is the most negative in almost every question. Finally Section C, the dynamic scaling technique, is the midground in almost every question. The primary exceptions to these outcomes are question 5 and question 9. Question 5: "I thought there was too much inconsistency in this system.", received slightly more positive answers in Section C than Section B. This could be that although the adaptive error-analysis was perceived as easier to use when it failed to act as the user expected it caused more issues than the dynamic scaling. Question 9 on the other hand: "I needed to learn a lot of things before I could get going with this system." received more positive answers in Section A, the static control interface by a small margin. This could be attributed to the need to grasp how the interface is adapting when the dynamic scaling and error-analysis adaptive techniques are applied. Even though they may make the interface easier to use, they create slightly more of a learning curve than a static interface.

Question	1	2	3	4	5	6	7	8	9	10	Total
Section A	3.2	3.3	3.7	3.7	2.3	4.0	2.3	3.5	3.6	3.8	29.7
Section B	3.9	3.7	4.1	4.4	3.3	4.5	2.6	3.9	3.4	4.3	38.1
Section C	3.8	3.2	3.9	4.0	3.5	4.3	2.7	3.9	3.3	4.1	36.7

Table 1: Averaged responses over ten users



(a) Graph of averaged responses



(b) Chart of averaged responses

Figure 7: Visualisation of response data

5.1.3 ANALYSIS

Taking the data and its representations into account it is clear that users found the adaptive techniques to be beneficial to the user experience and usability of the interfaces. The static interface is consistently deemed harder to use, more cumbersome and users felt less confident when using it. In comparison the two adaptive techniques were almost equally regarded by users and only by a tiny fraction was the error-analysis interface (Section B) more positively received. This is considering that the results shown are averages of all ten responses. In some individual cases the adaptive techniques are equally usable but very rarely less usable than the static control. The full results are in Appendix B.

Something to note about the two exceptions mentioned in 5.1.2, Question 5 and Question 9, is that they were two of the four negatively-weighted questions along with 2 and 7. This could also have affected the responses given as there was a tendency to give answers that always provided positive feedback. On average no user gave an answer of 'disagree' or 'strongly disagree' to the positively-weighted answers or 'agree'/'strongly agree' to the negatively-weighted answers. This shows that the interfaces in general were found to be usable despite the static interface being less well received than the adaptive interfaces.

5.2 QUANTITATIVE DATA

5.2.1 OVERVIEW OF RESULTS

During user testing all sessions were recorded using the data-gathering system described in 4.3.2. The output data for each user session was categorised by the section being tested i.e. A, B or C. By using a simple python script to analyse these output .xml files some useful data points were extracted. The application focuses on the single user interaction of grabbing and so to measure the effectiveness and usability of an interface the ability of the user to grab the elements should correspond to their ability to use the interface as a whole. Every time a user attempts to grab an object an event is sent to the data-gatherer and output along with whether the grab was successful

Phase	Phase 1 and 2			Phase 1			Phase 2		
Section	A	B	C	A	B	C	A	B	C
Successful Grabs	29.9	45.5	34.1	18.8	26.5	23.5	11.1	19	10.6
Failed Grabs	22	1.9	16.3	9.2	0.1	7.2	12.8	1.8	9.1
Incorrect Grabs	24.5	37	28.5	18.8	26.4	23.2	5.7	10.6	5.3

Table 2: Average grab counts for Phase 1 and 2

and if the object grabbed is correct. To analyse the data, the number of occurrences of each of these three 'grab types' was counted and gathered into a table (see Appendix C).

The count of how many times a user has performed a 'successful', 'failed' or 'incorrect' grab varies greatly between users as they each interact at different rates and with different outcomes. However by averaging the counts across all participants an idea of the overall ratio between the grab types was attained as seen in Table 2 and Figure 8. Each iteration of the interface tested by the users was split into two 'phases' as explained in 4.1.2. Phase 1 has the user grab rolling balls and place them in the basket whereas Phase 2 has users grab the balls from a large static grid in front of them. In Figure 8 the line on each bar represents the point during testing when the interface switched from Phase 1 to Phase 2. For example in Section A the average number of total successful grabs was around 30 but only 10 of those occurred during Phase 1.

5.2.2 BREAKDOWN OF DATA GATHERED

To understand the data fully each grab type needs to be explained in greater detail. A success occurs when a user presses the button to grab and is able to grab an object with the pointer. A failure occurs when the user grabs but there is no object within range or within the parameters of the error-based technique used in Section B. An incorrect grab corresponds to a successful grab on a ball that is not the correct colour to be scored in the basket. One note is that an incorrect grab might be made deliberately in the case of users grabbing an incorrect ball in order to remove it from the focus area and make it easier to grab the correctly coloured ones. Another point is that a failure could also occur deliberately if the user is randomly pressing the button to grab without the

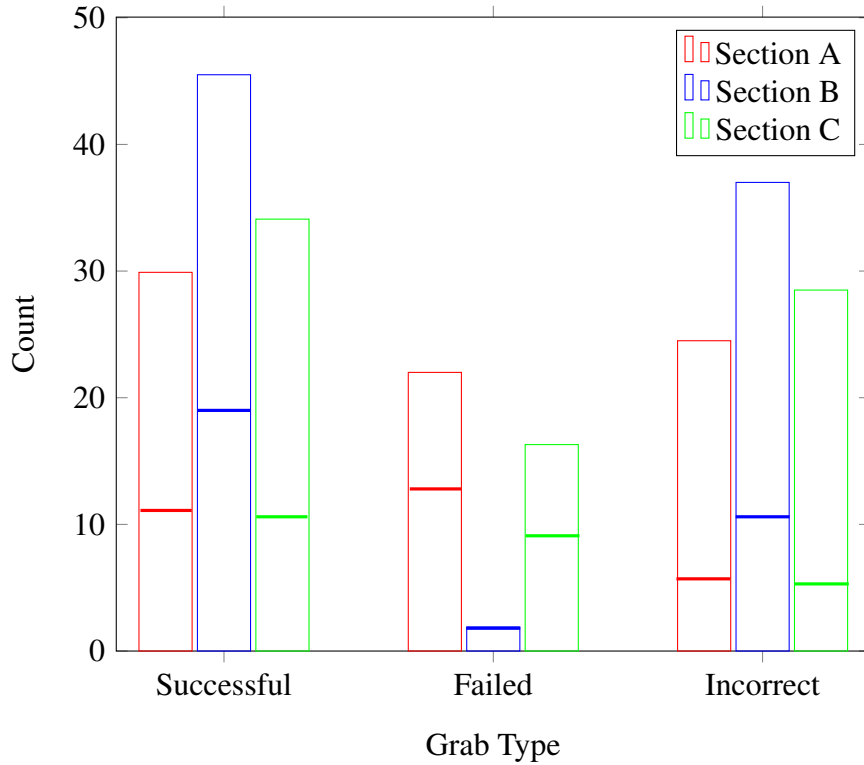


Figure 8: Chart of average grab counts

intention of grabbing a ball.

The usability of the interface over the averages of each test can be interpreted by comparing each individual grab type between the three sections. Section A being the static interface, B the error-based adaptive technique and C the dynamic scaling. Although the count itself for each section does not give much information, the ratio between them within each type gives a clear idea of which section users struggled most in and which section users excelled in.

5.2.3 SUCCESSFUL GRABS

Successful grabs during the testing of Section B occurred more than one and a half times as often as during Section A. Section C shows an improvement over A but does not come close to B. Looking at the individual phases for Section B, successful grabs occurred about evenly across the dynamic rolling balls and the static grid of balls. Section A and C showed similar comparison between Phase 1 and 2 but the number of successful grabs on average was slightly less during Phase 1 of

Section C in comparison to Phase 1 of Section A.

5.2.4 FAILED GRABS

Taking into account the fact that a high number of failed grabs represents the user struggling to select an element of the interface, Section B once again seems to be the most usable of the three iterations. On average nearly all of the failed grabs occur in Phase 1, the dynamic rolling interface, for Section B. Section A has the highest number of failed grabs with Section C tending toward A during Phase 1 and 2 but undercutting it by a fair margin. Overall significantly fewer failed grabs happened during Phase 2, the static interface, which is assumed to be because selecting the rolling balls requires more dexterity and precision.

5.2.5 INCORRECT GRABS

In comparison to the Successful and Failed grab data, the number of incorrect grabs across the sections is almost the inverse. More incorrect grabs occurred in Section B than Section A and Section C was somewhere between. This means users were more likely to grab a ball that could not be scored in both of the adaptive interfaces compared to the static control. It is also worth noting that during Phase 2 across the board far more incorrect grabs occurred in every Section. With the number of incorrect grabs during Section A and C in Phase 1 being about even.

5.2.6 ANALYSIS

Taking into account the three sets of data for each grab type and how they compare as laid out above, Section B appears to be the most usable of the three iterations. Section C is a slight improvement over A in terms of the static interface during Phase 2 but only seems to have reduced the number of failed grabs during Phase 1. It could be interpreted that during Phase 1 of Section A the user found the interface so cumbersome that they continually tried to grab, often unsuccessfully whereas in Section C, with the dynamic scaling, they were more cautious. Although they generally

still successfully grabbed the same number of balls in Phase 1 of Sections A and C they failed more times in Section A.

The most interesting data point is the incorrect grab type. It proves to be found far less often in Section A, the static control, that the users grabbed the wrong type of ball especially in Phase 2. But when this data is combined with the data for the number of failed grabs it could be assumed that users deliberately grabbed incorrect balls during all three sections but were more successful in Sections B and to some extent section C. As the users are experimenting and beginning to learn the interface they may be likely to grab the incorrect balls even though they know they are not beneficial and cannot be scored.

5.3 COMPARISON OF RESULTS

Using the analysis of feedback gathered from the questionnaires outlined in 5.1.3 and data gathered during testing discussed in 5.2.6, it is very clear that Section B, the error-based adaptive interface was far more usable from both a quantitative and qualitative view point. Section C, the dynamic scaling adaptive interface, was slightly less usable but still more so than Section A, the static control interface. It is worth noting that individuals may have found Section A or C was the most usable but on average this was not the case.

6 CONCLUSION

6.1 ADAPTIVE TECHNIQUES IN 3D

Having analysed the data gathered during the testing of the two adaptive techniques it is clear that having some level of error-based adaptivity in 3D can greatly improve the confidence with which users interact as well as the general usability of the interface. The dynamic scaling technique also benefited the interface and could perhaps be altered to better effect. In general the simple implementations outlined in the Methodology could be applied to almost any user interface in 3D

and according to these results, would help to improve the user experience.

Returning to the research question outlined in 2.4: *"How can the usability of virtual reality interfaces benefit from adaptive techniques"* now that the results have been gathered and analysed an answer can be formed. The research carried out shows that, especially when using the laser pointer interaction, these adaptive techniques and others like them can allow 3D interfaces, especially for VR, to become more complex and improve the speed of interaction. Users were found to prefer interacting with the interfaces using adaptive techniques which is a direct benefit and shows an improvement to the user experience. The data gathered and analysed makes it apparent that the error-based adaptivity was particularly beneficial to user accuracy as well as interaction speed. The dynamic scaling technique also showed improvements although not as great as the error-based approach when compared against the static control interface.

Comparing the implementation and results executed during this project with the research on previous adaptive interface techniques it is clear that concepts conceived by others for 2D interfaces also translate into 3D interfaces. Although the data does not show the exact time it takes for a user to select an object in the interface by looking at the number of successful selections the adaptive techniques improved the ability to quickly acquire targets. When it comes to the dynamic scaling technique being implemented this ability to rapidly select a larger target supports the research around Fitt's Law in 3D as discussed in section 3.3.

The various research sources discussed in the Literature Review generally concluded by saying that 3D interfaces need to be tested more thoroughly before an evaluation of adaptive techniques can be made, "[it] is not really well explored for 3D applications" (Lacoche 2014). However with Virtual Reality development at an all-time high it may not be long before new research is available in line with the conclusions made here. Although these adaptive techniques improved the user experience in a pointer-based interface they may be harder to apply to the common VR interaction of grabbing objects with a virtual hand. However the limitations of an interaction that relies on proximity to the user in 3D space will perhaps shift the standard approach toward pointers for more complex interfaces like those used in traditional 2D environments. Whether 3D interfaces will replace the tools we use on a day-to-day basis is yet to be seen.

6.2 FURTHER DEVELOPMENT

This project has underlined the value of challenging ideas and assumptions in user interface design and implementation. With the rise of 3D interfaces both in VR and AR, it will be vital to look beyond the traditional interactions and the static nature of 2D interfaces. Taking the user's input and applying it to make every aspect of the interface adaptive and dynamic could lead to a new level of efficiency and productivity.

This project has demonstrated the benefits of two adaptive techniques in a simple interaction situation with a complex interface. These techniques could be expanded by developing their awareness of the user. Currently the techniques do not take into account past data only present data. By extending their implementation to take into account previous errors and habits formed by the user they could differentiate more easily between situations where the user has made an error which needs to be corrected and those when the user has performed an action interpreted as an error.

During the development of this application many other techniques were conceived that could not be implemented due to the scope of the testing. These included the use of Markov chain analysis for user modelling, stabilisation and pointer snapping. Markov chains could be used to store situations in which the user tends to make errors and gauge how they recover from those errors. This could then allow the system to recover for the user. Stabilisation would take into account small repeated and random movements made by the user and compensate to smooth out the pointer movements, especially beneficial to users with a tremor. Pointer snapping may prevent error by always having a target selected and interpreting which target the user intends to select based on both their gaze direction and pointer direction.

6.3 THE FUTURE OF INTERACTION

It is important to begin developing and iterating on 3D interfaces before the standard is set. If the counterpart to the window and mouse system of desktop computers is settled upon too quickly for VR and AR experiments and improvements will be much harder to integrate into every day

use. By pushing the boundaries of what is accepted new levels of productivity and new interaction possibilities will be discovered.

Although Virtual Reality is becoming more visible in the world of mainstream technology it is likely that the arrival of lightweight and affordable Augmented Reality will truly usher in the next generation of 3D interaction. The ability to stay within the world while using a device is clearly something we desire as evidenced by the ubiquity of smartphone devices. Augmented Reality provides the ability to stay in your surroundings while interacting naturally within 3D. This causes multi-user interaction to become incredibly intuitive, something only briefly touched on in traditional 2D environments by applications like Google Documents.

If Augmented Reality does become a replacement for the smartphone, 3D interfaces will need to be ready to evolve. Hopefully the adaptive techniques touched on in this project will be a part of that evolution, because it is clear that a small number of improvements can provide vast returns for usability and efficiency.

7 APPENDICES

7.1 APPENDIX A

1. I think that I would like to use this system frequently.
2. I found the system unnecessarily complex.
3. I thought the system was easy to use.
4. I found the various functions in this system were well integrated.
5. I thought there was too much inconsistency in this system.
6. I would imagine that most people would learn to use this system very quickly.
7. I found the system very cumbersome to use.
8. I felt very confident using the system.
9. I needed to learn a lot of things before I could get going with this system.
10. I felt comfortable while using this system.

7.2 APPENDIX B

User	Section A (Questions)										Section B (Questions)										Section C (Questions)									
	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	7	8	9	10
1	4	1	5	5	2	5	1	5	1	5	5	1	5	5	1	5	1	5	1	5	5	1	5	5	1	5	1	5	1	5
2	2	2	2	1	5	4	2	1	1	3	3	1	4	4	2	5	1	3	1	4	3	1	3	3	4	5	2	3	1	4
3	5	1	5	5	2	5	2	5	1	5	3	1	3	4	1	3	4	2	2	3	4	1	4	5	1	4	3	3	2	4
4	3	1	2	3	4	3	4	3	1	3	4	1	4	5	1	5	2	5	1	5	4	2	3	4	1	5	3	5	1	4
5	3	2	5	5	1	4	3	4	1	4	5	1	5	5	4	5	4	4	1	5	5	1	5	4	1	5	1	5	1	5
6	4	1	4	4	2	5	2	4	1	5	5	1	5	5	1	5	1	5	1	5	5	1	5	5	1	5	1	5	1	5
7	3	2	4	3	3	4	4	3	1	4	4	2	4	4	1	5	2	4	3	4	4	1	4	4	1	5	2	4	2	4
8	2	3	3	2	5	1	4	2	4	2	4	2	3	3	3	3	4	2	3	3	2	4	2	2	3	2	4	2	3	2
9	4	1	4	5	1	5	2	5	1	4	3	1	4	5	1	5	3	5	1	5	3	2	5	4	1	5	4	4	1	5
10	2	3	3	4	2	4	3	3	2	3	3	2	4	4	2	4	2	4	2	4	3	4	3	4	1	2	2	3	4	3

Table 3: Full results from questionnaire

7.3 APPENDIX C

Participant	1			2			3			4			5		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	27	47	35	34	40	45	32	45	32	20	39	17	38	61	36
Failed Grabs	13	0	8	18	2	21	17	0	18	20	1	13	45	6	32
Incorrect Grabs	24	38	28	28	33	40	25	32	24	19	31	14	29	49	30

Participant	6			7			8			9			10		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	17	39	35	27	51	34	38	46	39	32	39	34	34	48	34
Failed Grabs	18	3	10	36	1	18	27	4	16	16	2	18	10	0	9
Incorrect Grabs	13	29	30	21	46	30	32	39	32	25	30	28	29	43	29

Table 4: Full results from data gathered

Participant	1			2			3			4			5		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	10	18	12	13	14	13	13	24	14	2	17	4	17	29	14
Failed Grabs	6	0	3	7	2	13	14	0	14	11	1	8	30	6	21
Incorrect Grabs	7	10	5	7	7	9	6	11	6	1	9	1	8	17	9

Participant	6			7			8			9			10		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	8	14	9	8	16	9	13	22	12	13	19	10	14	17	9
Failed Grabs	9	2	3	22	1	10	15	4	8	9	2	8	5	0	3
Incorrect Grabs	4	4	4	2	11	5	7	15	6	6	10	4	9	12	4

Table 5: Phase 1 results from data gathered

Participant	1			2			3			4			5		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	17	29	23	21	26	32	19	21	18	18	22	13	21	32	22
Failed Grabs	7	0	5	11	0	8	3	0	4	9	0	5	15	0	11
Incorrect Grabs	17	28	23	21	26	31	19	21	18	18	22	13	21	32	21

Participant	6			7			8			9			10		
Section	A	B	C	A	B	C	A	B	C	A	B	C	A	B	C
Successful Grabs	9	25	26	19	35	25	25	24	27	19	20	24	20	31	25
Failed Grabs	9	1	7	14	0	8	12	0	8	7	0	10	5	0	6
Incorrect Grabs	9	25	26	19	35	25	25	24	26	19	20	24	20	31	25

Table 6: Phase 2 results from data gathered

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