

# Lecture 5 - Dielectrics

# Lecture Summary

- Origin of ionic polarisation
- Capacitor operation and definitions
- Impedance spectroscopy
  - definitions
  - ideal responses
- piezoelectricity
- ferroelectricity

# Introduction

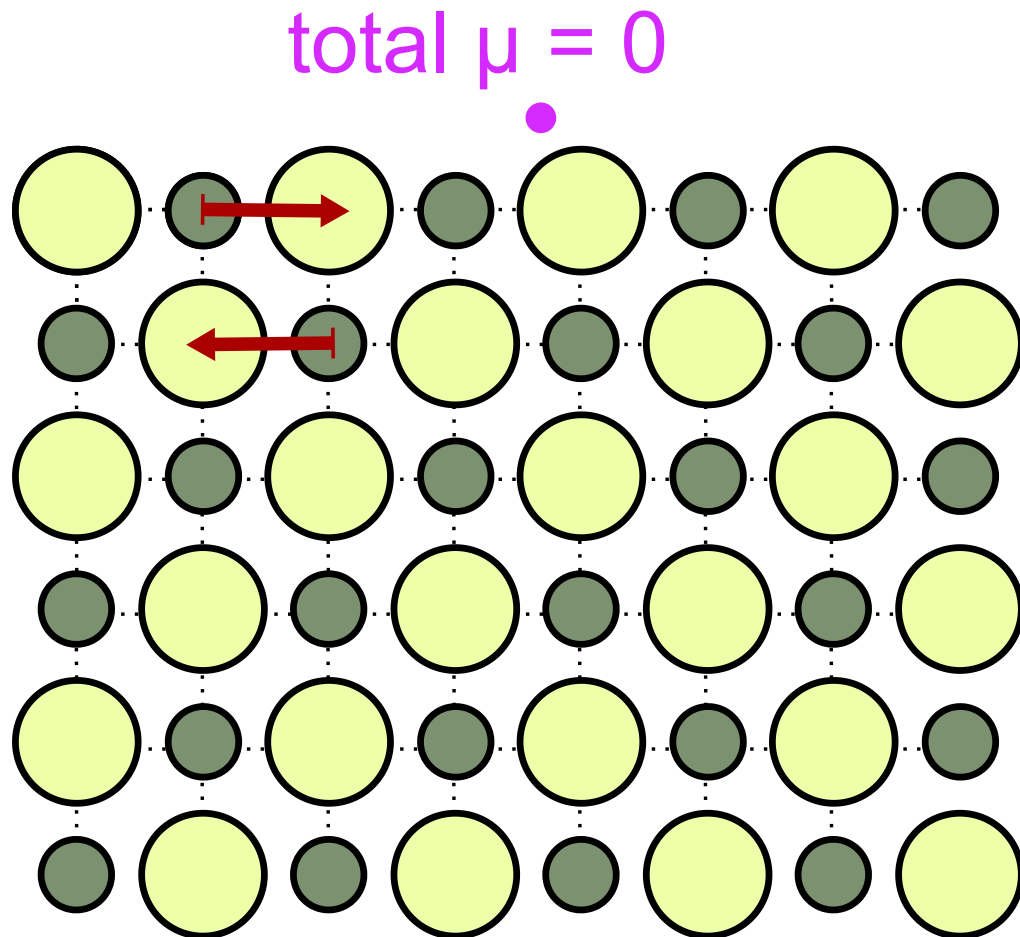
- Ionic conduction is a long-range effect
  - Important in batteries, fuel cells etc.
- In some situations, a highly insulating material is preferred
- Remember, ions are not static with time (e.g. phonons)
  - Short-range atomic motion is important for electrical properties

# Polarisation

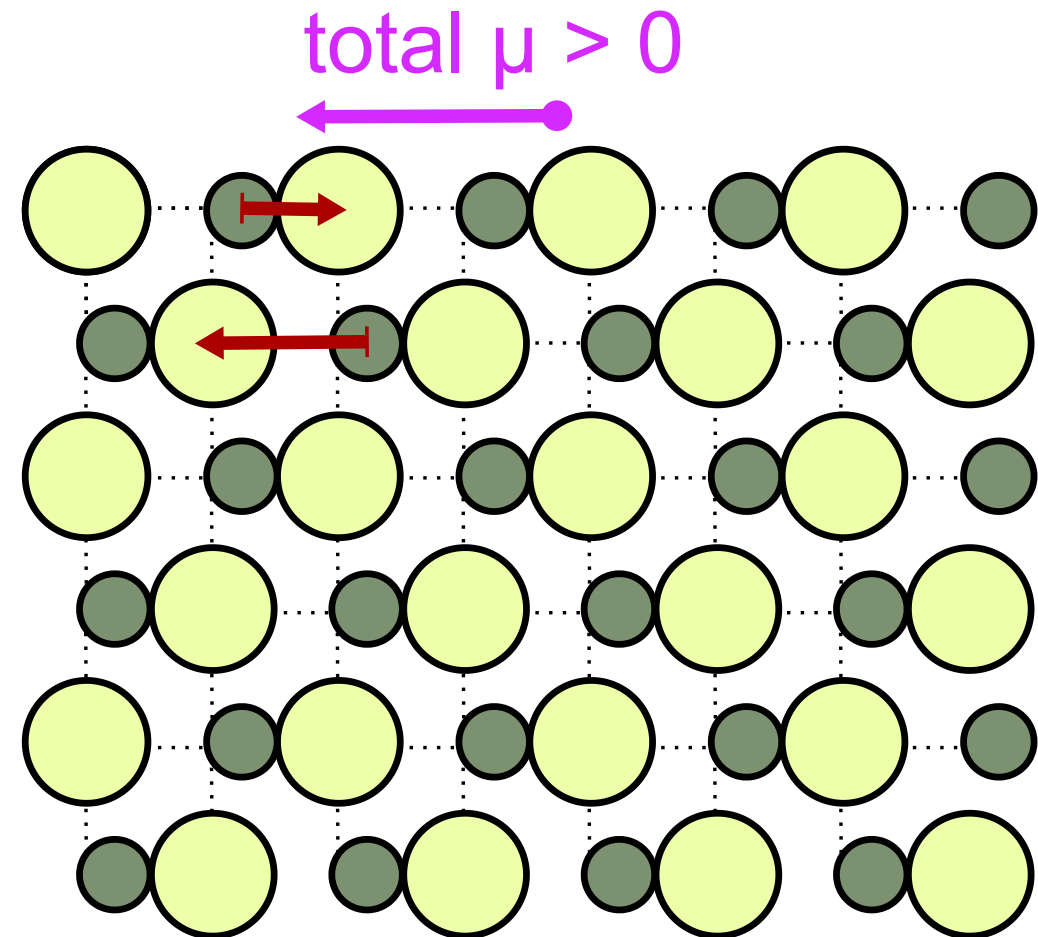
Ionic solids are made up of cations and anions

- locally, this creates dipoles ( $\mu$ )
- across a whole crystal at equilibrium, these dipoles normally cancel

Under an applied electric field ( $E$ ), ions displace from equilibrium



$$E = 0$$



$$E > 0$$

# Is this useful?

If the dipoles do not cancel under an applied field, the crystal will develop an overall dipole moment

- can occur if e.g. number of cations  $\neq$  number of anions

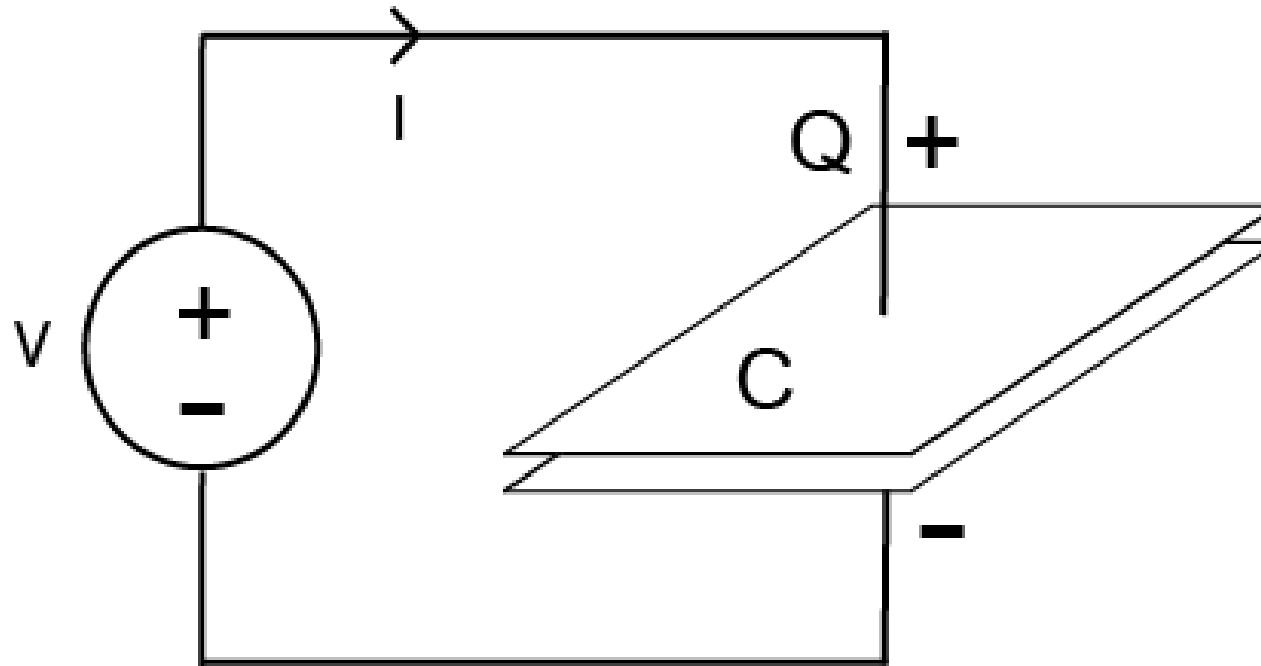
Can use this to screen electric fields

- Useful in e.g. wireless communication filters, sensor devices, transformers, and **capacitors**

# Capacitors

- Vital component of most electronic devices
  - Used to store charge, smooth signals, filter, etc...
  - \$20bn per year industry

Essentially, a capacitor is an arrangement of two electrodes of area  $A$ , separated by a distance  $d$ .



The maximum charge stored,  $Q = CV$  where  $C$  is the capacitance (in Farads).

# Capacitance

Two electrodes separated by vacuum have a capacitance  $C$ ;

$$C = \frac{\epsilon_0 A}{d}$$

where  $\epsilon_0$  is the permittivity of free space =  $8.854 \times 10^{-12} \text{ C}^2 \text{ J}^{-1} \text{ m}^{-1}$

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To increase  $C$  (and therefore  $Q$ ):

- decrease  $d$  or increase  $A$ , **but**
- electrons will tunnel from one plate to the other if  $d$  gets too small.



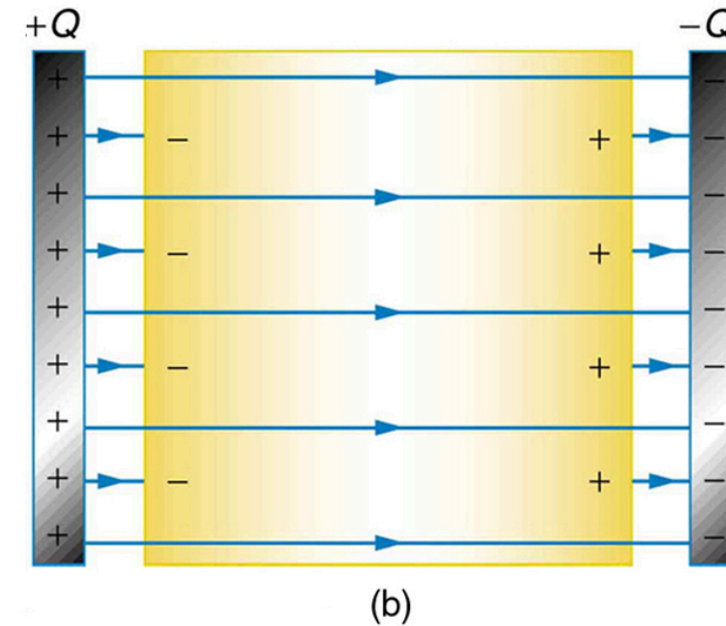
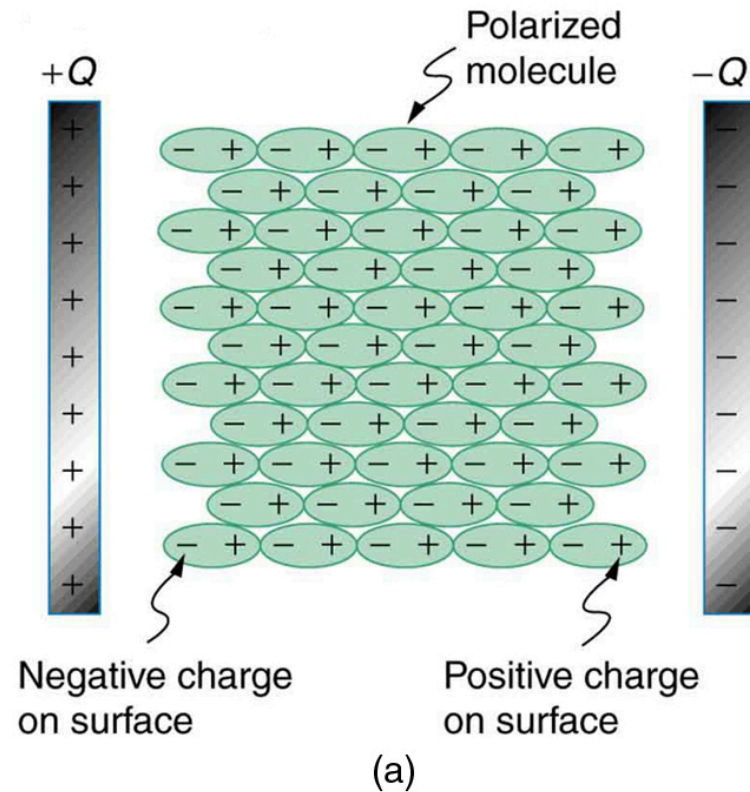
# Improving charge stored

Alternatively, we can use a **dielectric**

- opposing electric field stabilises charge on capacitor plates

$$C_{\text{dielec}} = \frac{\epsilon_r \epsilon_0 A}{d}$$

where  $\epsilon_r$  is the relative permittivity of the dielectric ( $\epsilon_r = \epsilon / \epsilon_0$ ) and  $\epsilon_r > \epsilon_0$



# Example permittivities

Material	Relative Permittivity, $\epsilon_r$
Vacuum	1
Paper	2.0 - 6.0
Polymers	2.0 - 6.0
Silicon oil	2.7 - 2.8
Quartz	3.8 - 4.4
Glass	4 - 15
Al <sub>2</sub> O <sub>3</sub>	10
Ta <sub>2</sub> O <sub>5</sub>	26
TiO <sub>2</sub>	100
CaTiO <sub>3</sub>	130
SrTiO <sub>3</sub>	285
BaTiO <sub>3</sub>	1000 - 10000

# Characterising dielectrics

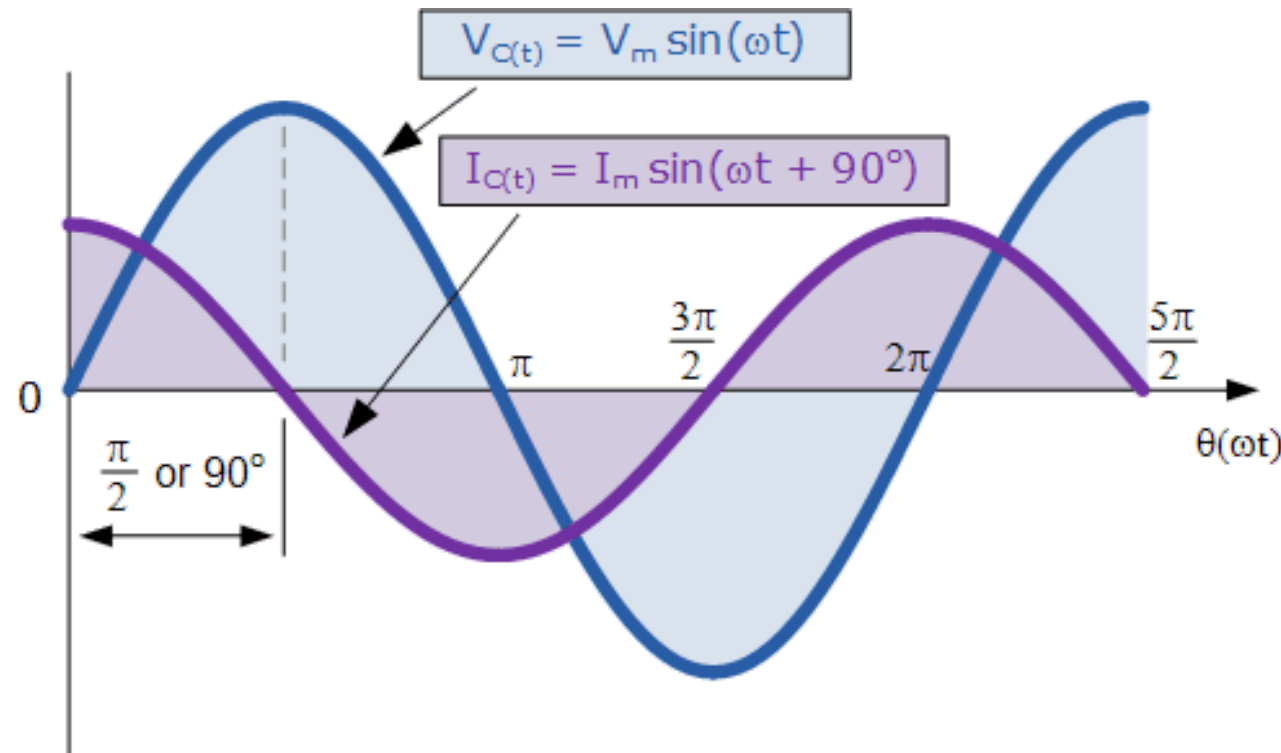
- Because dielectrics are insulating, conductivity measurements are not very useful
- Alternatively, oscillate between +ve and -ve potentials to change polarisation direction

# Characterising dielectrics

- Because dielectrics are insulating, conductivity measurements are not very useful
- Alternatively, oscillate between +ve and -ve potentials to change polarisation direction

**Impedance spectroscopy** applies an alternating (sinusoidal) field at different frequencies  $f$ , and measures the resulting current

- Applied field,  $E_t = E_0 \sin(\omega t)$ , where  $\omega = 2\pi f$
- Response current,  $I_t = I_0 \sin(\omega t + \phi)$



# Impedance

Similar to Ohm's law ( $R = \frac{V}{I}$ ) for constant voltages, we can define *impedance* as the 'resistance' to an alternating voltage

$$Z(\omega) = \frac{E_t}{I_t}$$

# Impedance

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$$Z(\omega) = \frac{E_t}{I_t}$$

The total impedance can be represented as a complex number:

$$Z(\omega) = Z_0 e^{i\phi} = Z_0 (\cos \phi + i \sin \phi)$$

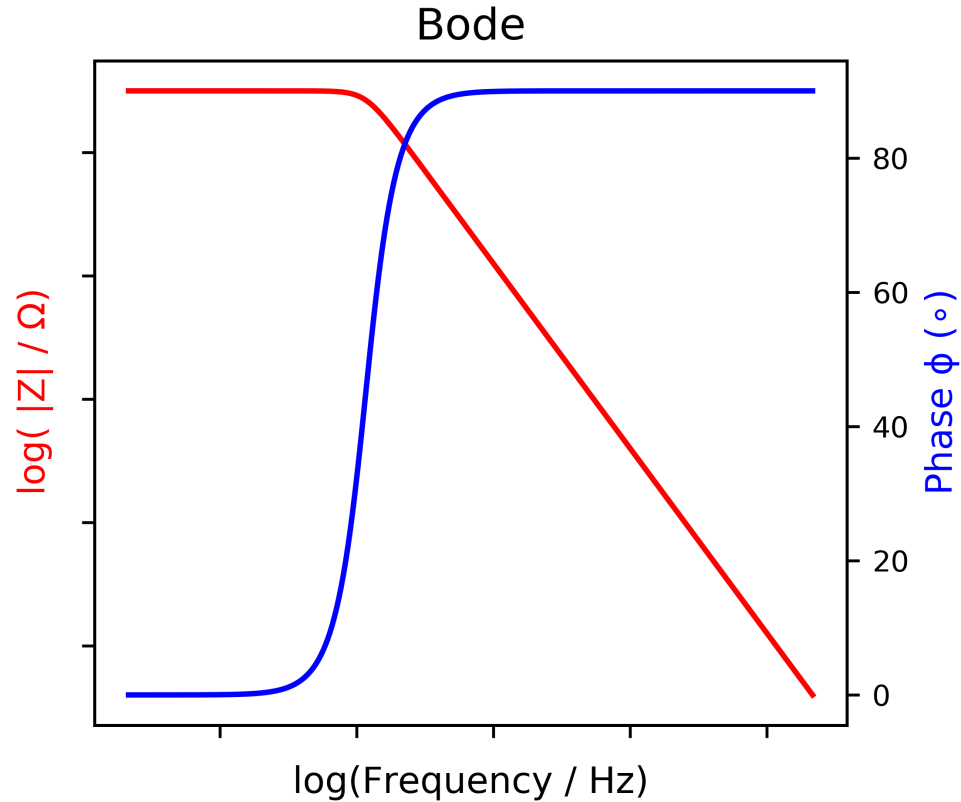
- $\phi$  is the 'phase-shift' between voltage and current.

# Impedance analysis

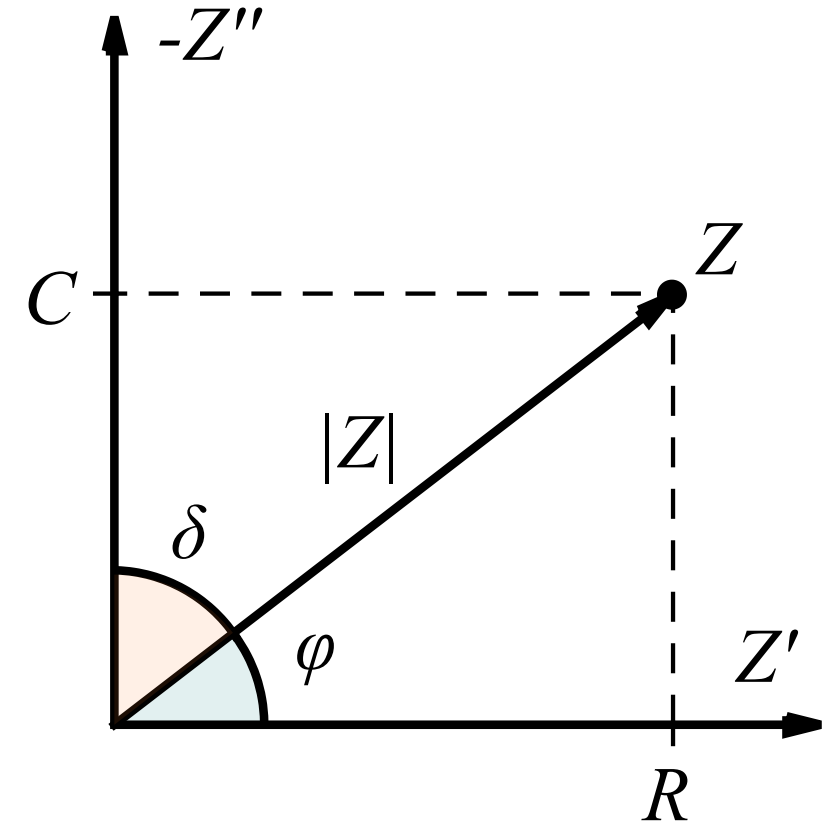
$\phi$ ,  $Z$  and  $\omega$  (or  $f$ ) are all important features of impedance.

Two 'standard' ways to display data:

**Bode plot:**  $|Z|$  and  $\phi$  plotted vs frequency



**Nyquist plot:**  $Z$  plotted in a 2D plane

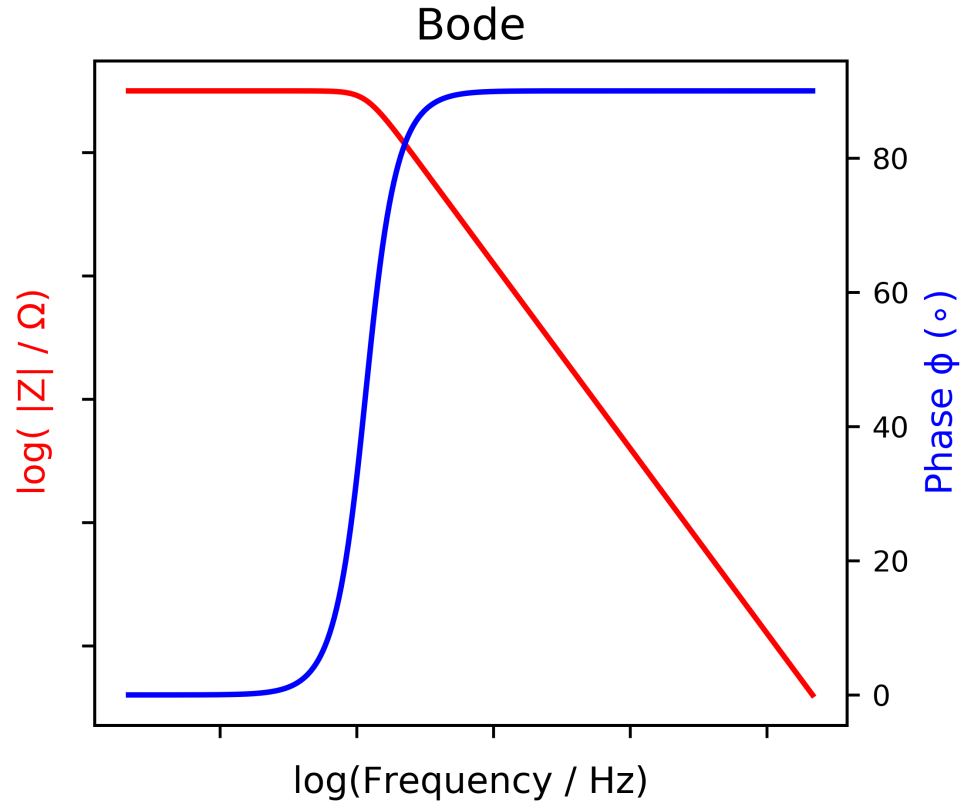


# Impedance analysis

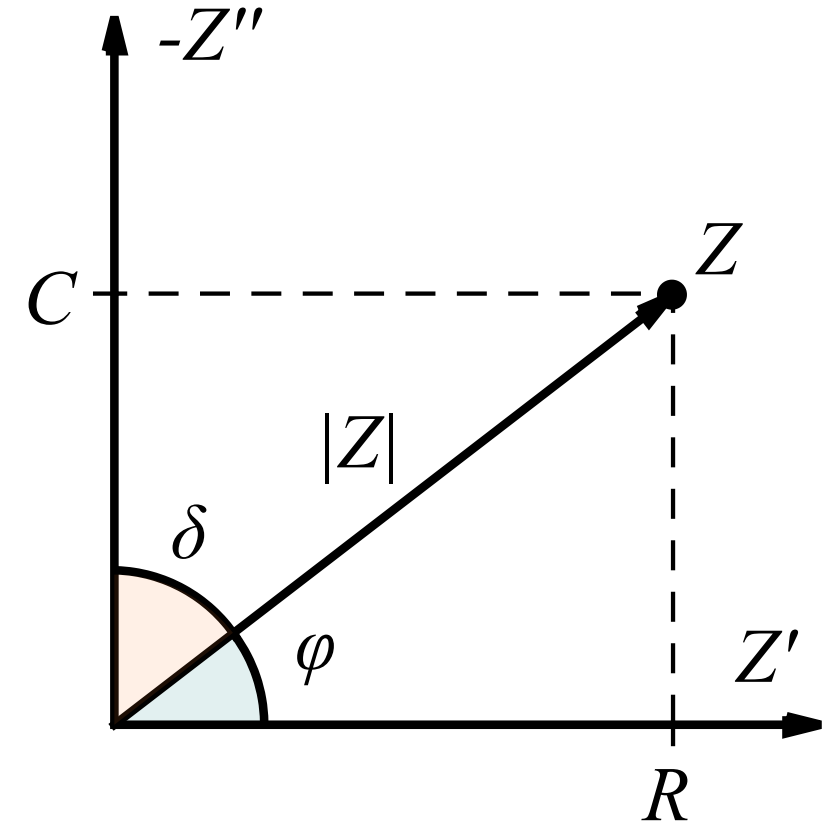
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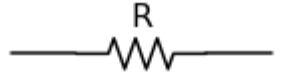
**Nyquist plot:**  $Z$  plotted in a 2D plane



In order to analyse these data, it is useful to fit an electrical circuit that gives the same behaviour

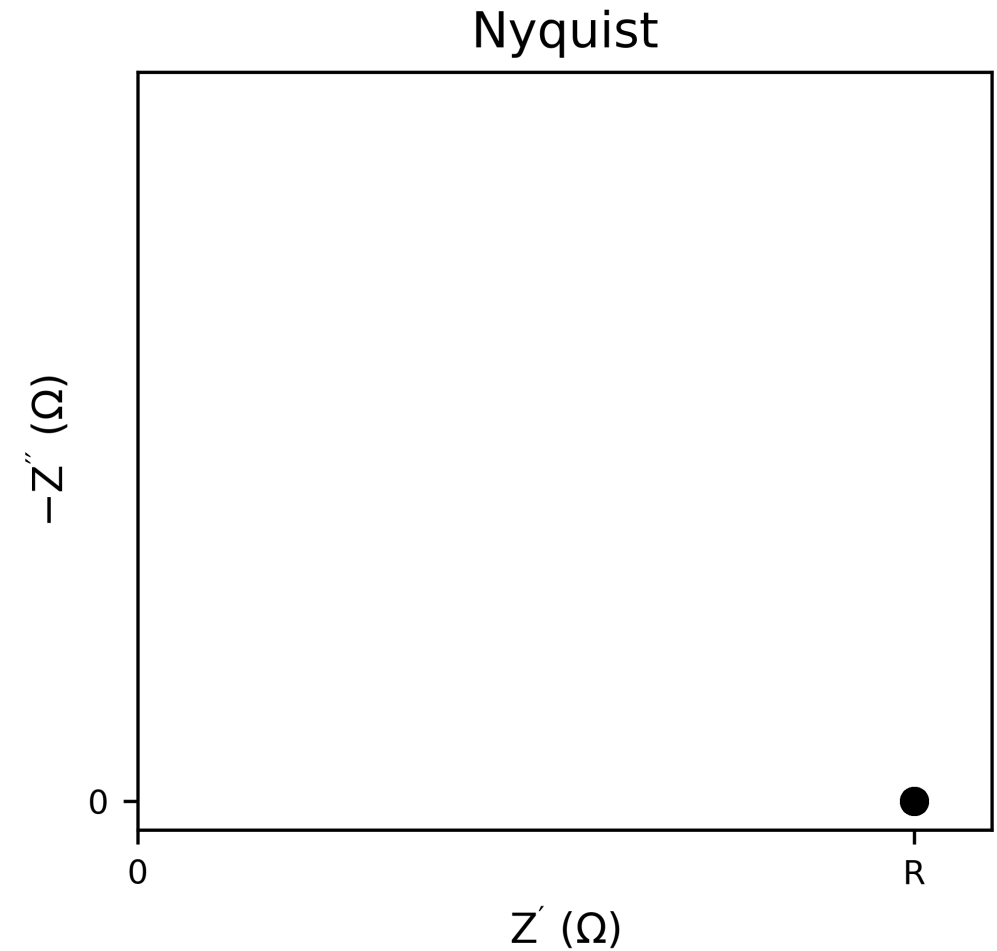
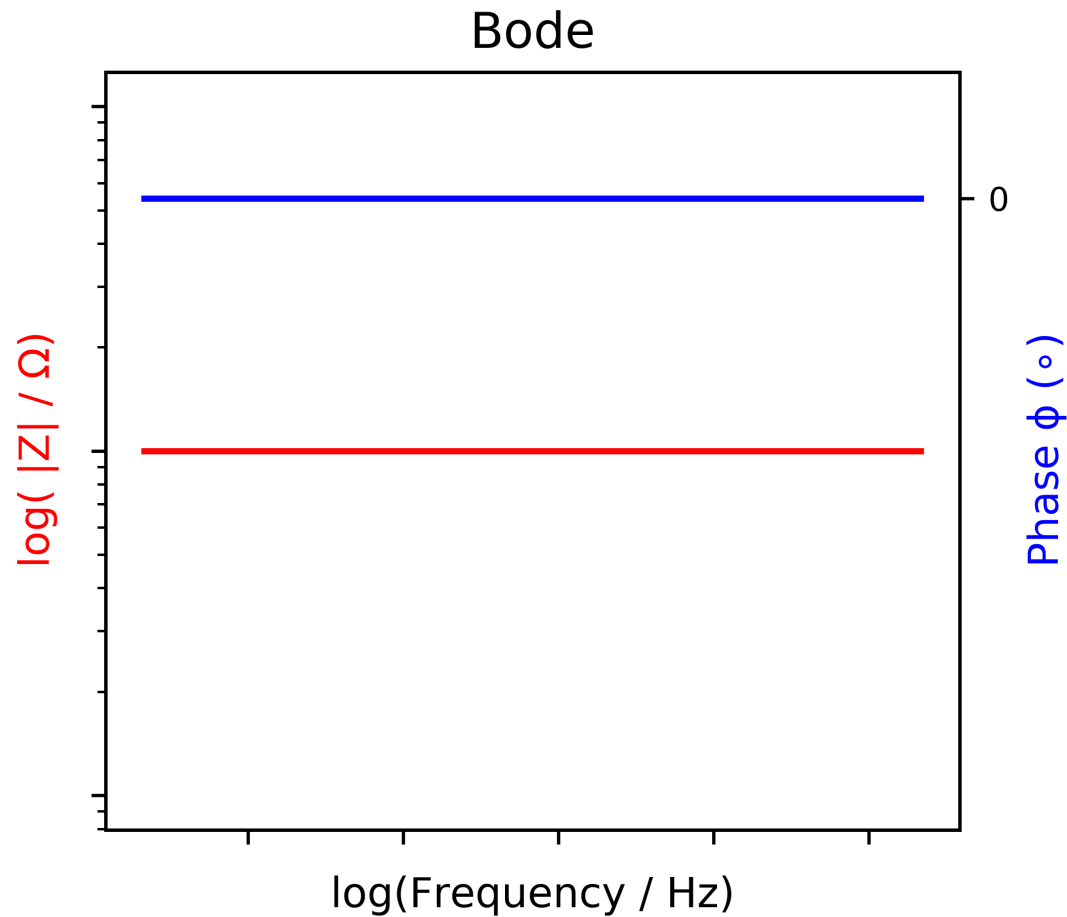


# Ideal resistor response

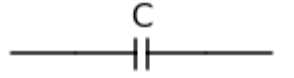


Ideal resistor has no dependence on  $\omega$

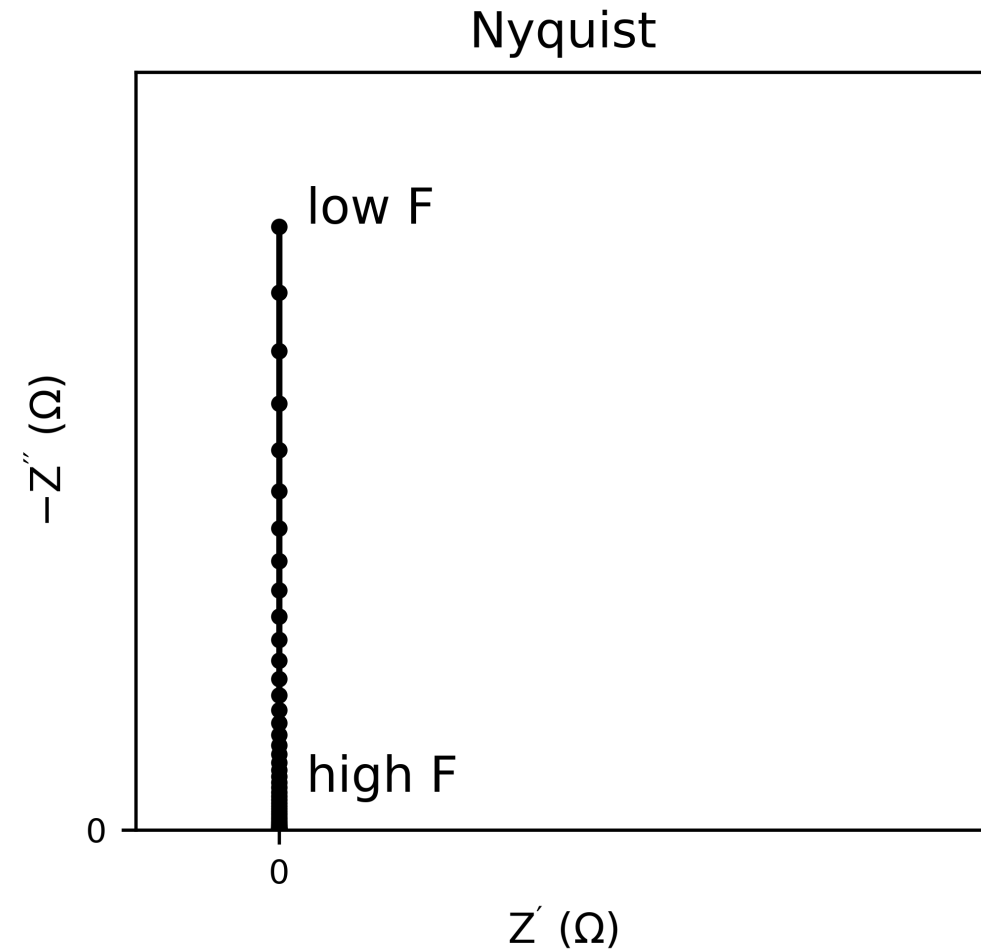
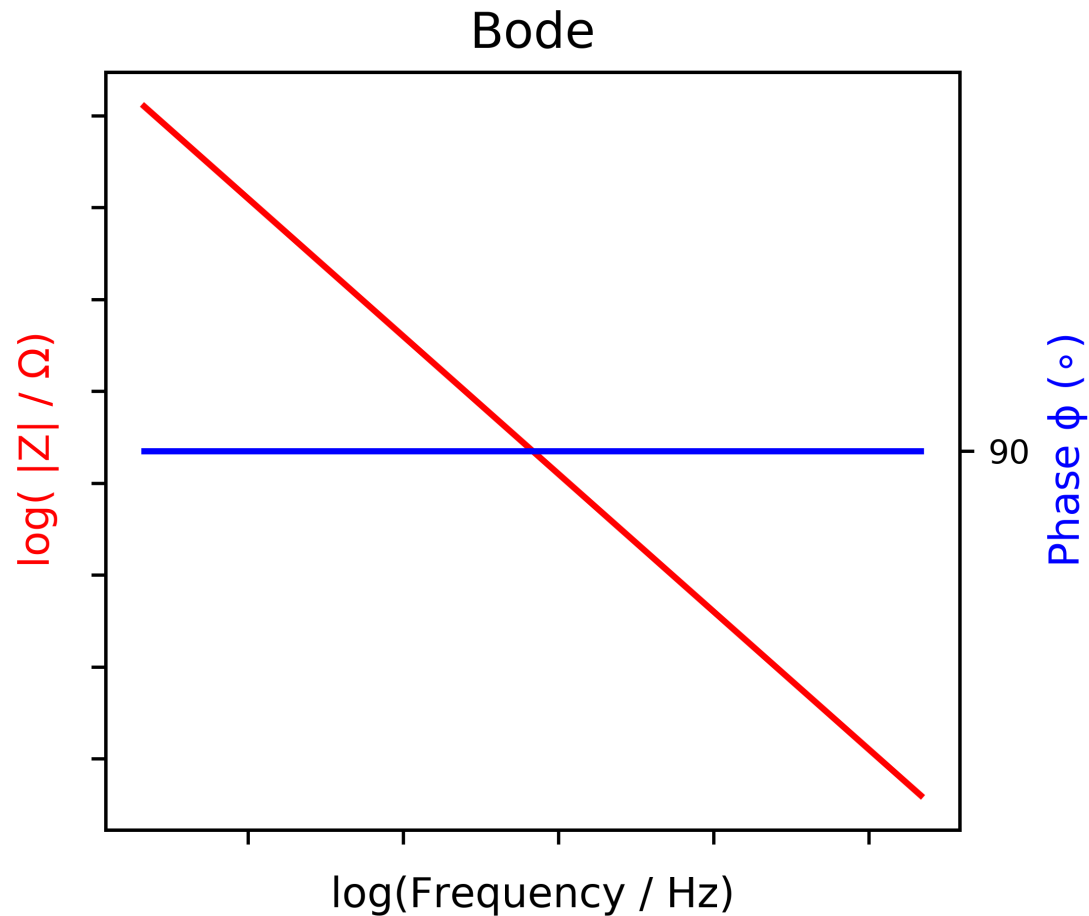
- Current is instantaneous on applying potential  $E$
- e.g. ions moving with a constant "drag" due to interactions between them



# 'Ideal' capacitor response

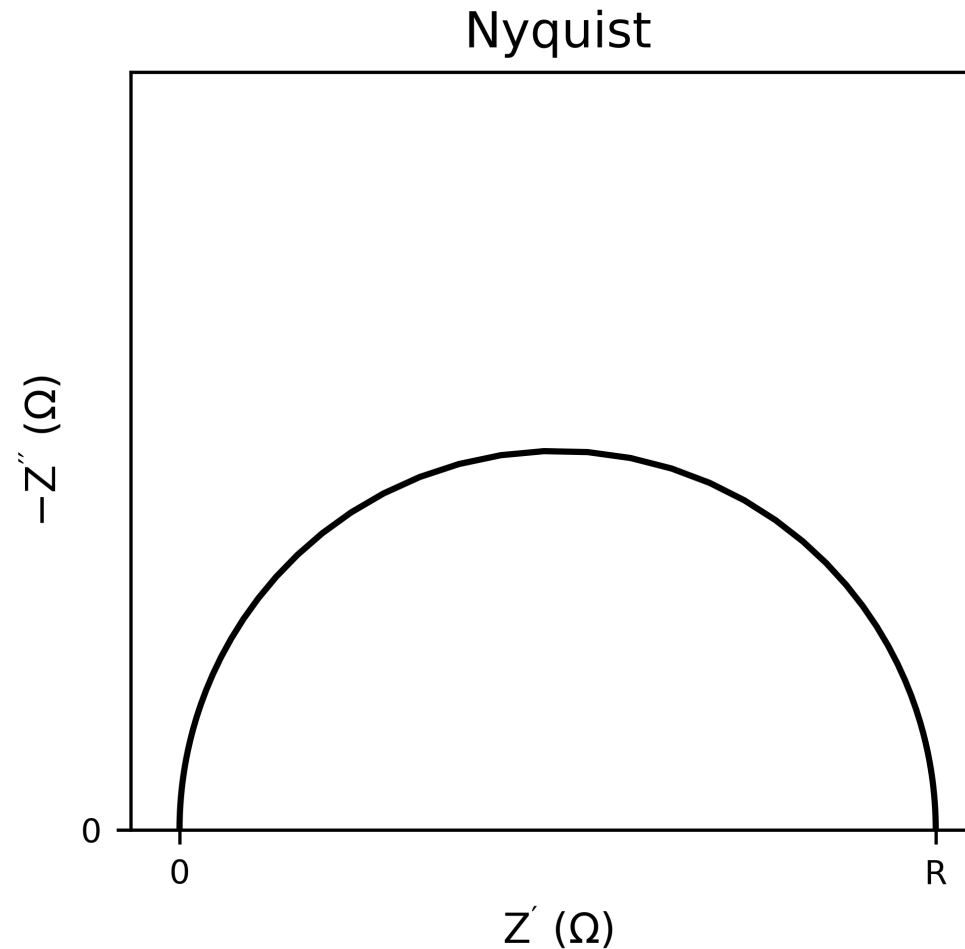
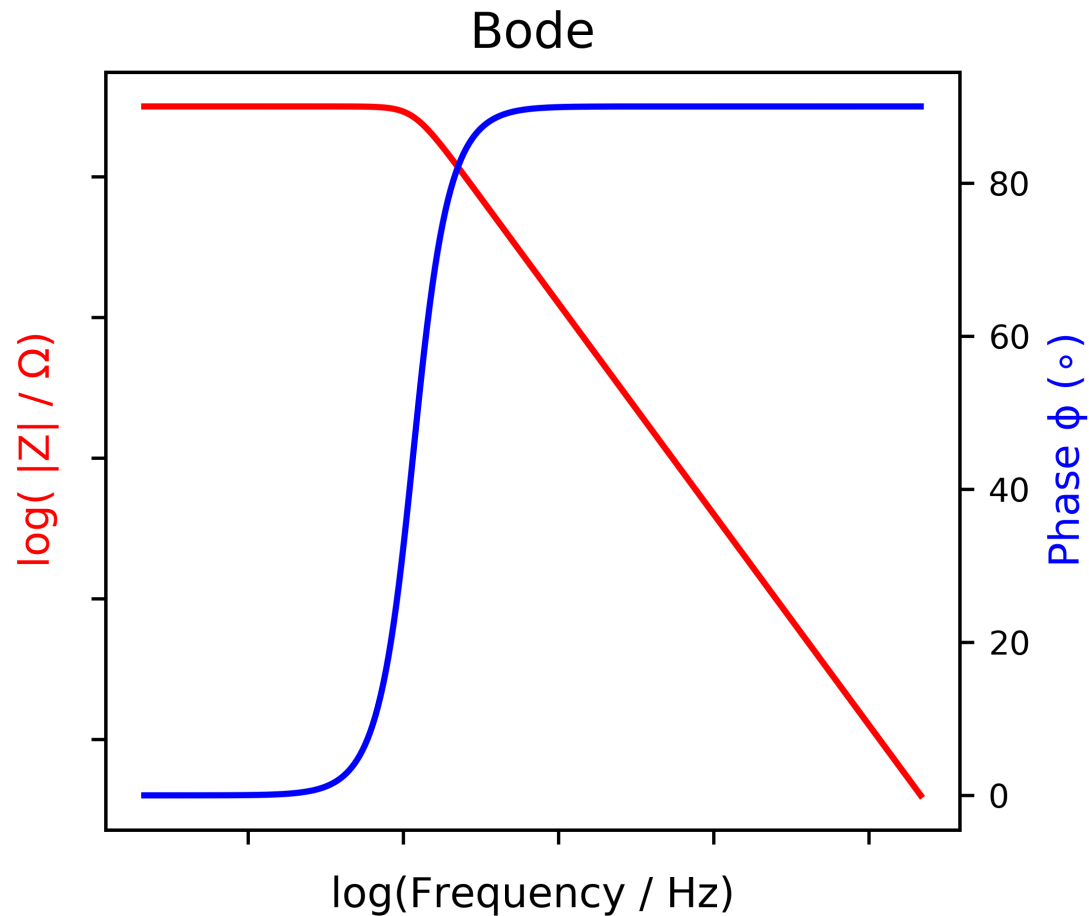
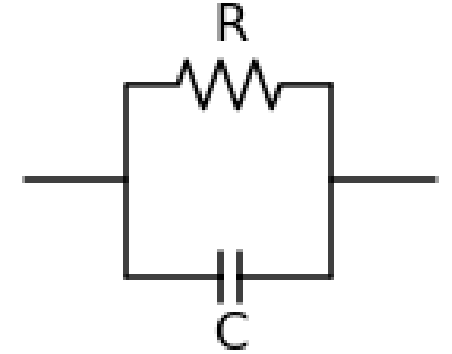


- Current  $I$  increases with  $\omega$ , approaching 0
- $I$  is always out-of-phase with  $E$  by  $\phi = 90^\circ$ 
  - The maximum  $I(t)$  occurs when  $E(t) = 0$
- Represents stored charge building up, for instance ions accumulating on a surface



# 'Real' Impedance

- Many materials behave like a parallel RC circuit:
  - Ions moving due to  $E$ , but motion is limited to a maximum displacement
  - Ionic conduction in a ceramic forming a charge gradient on the electrode surface

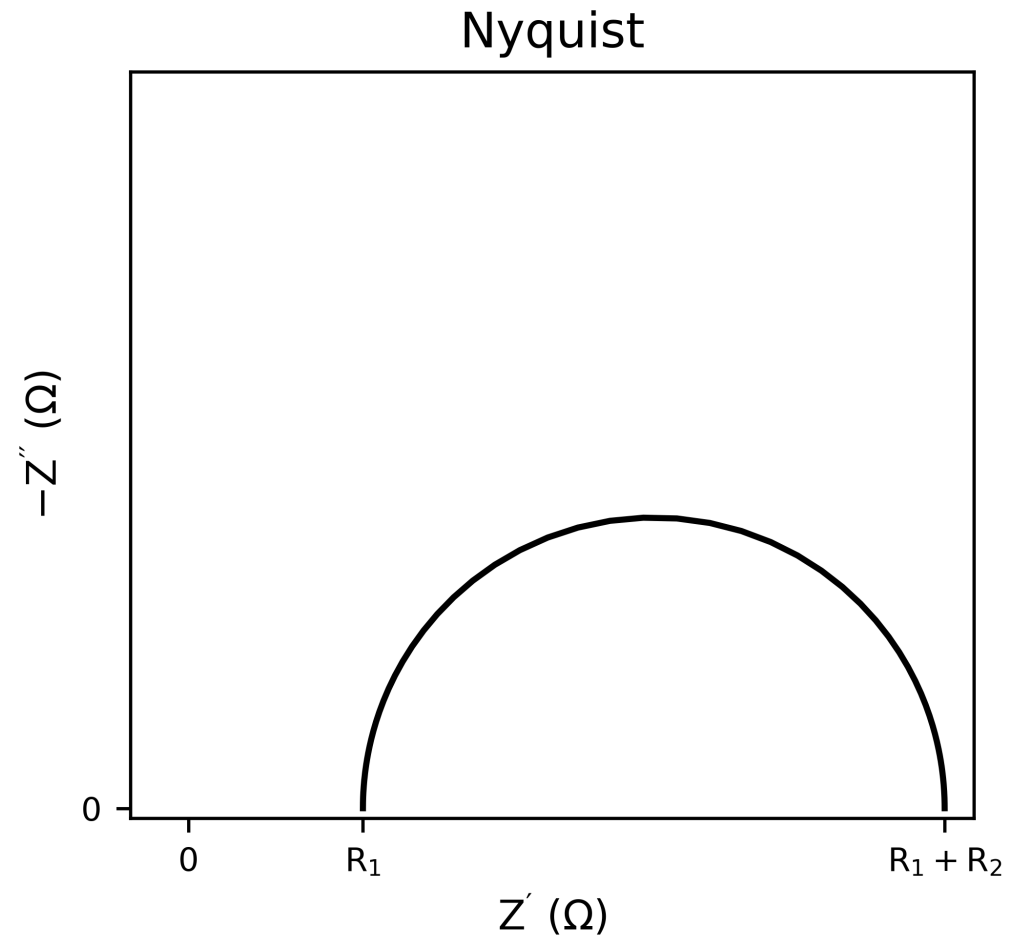
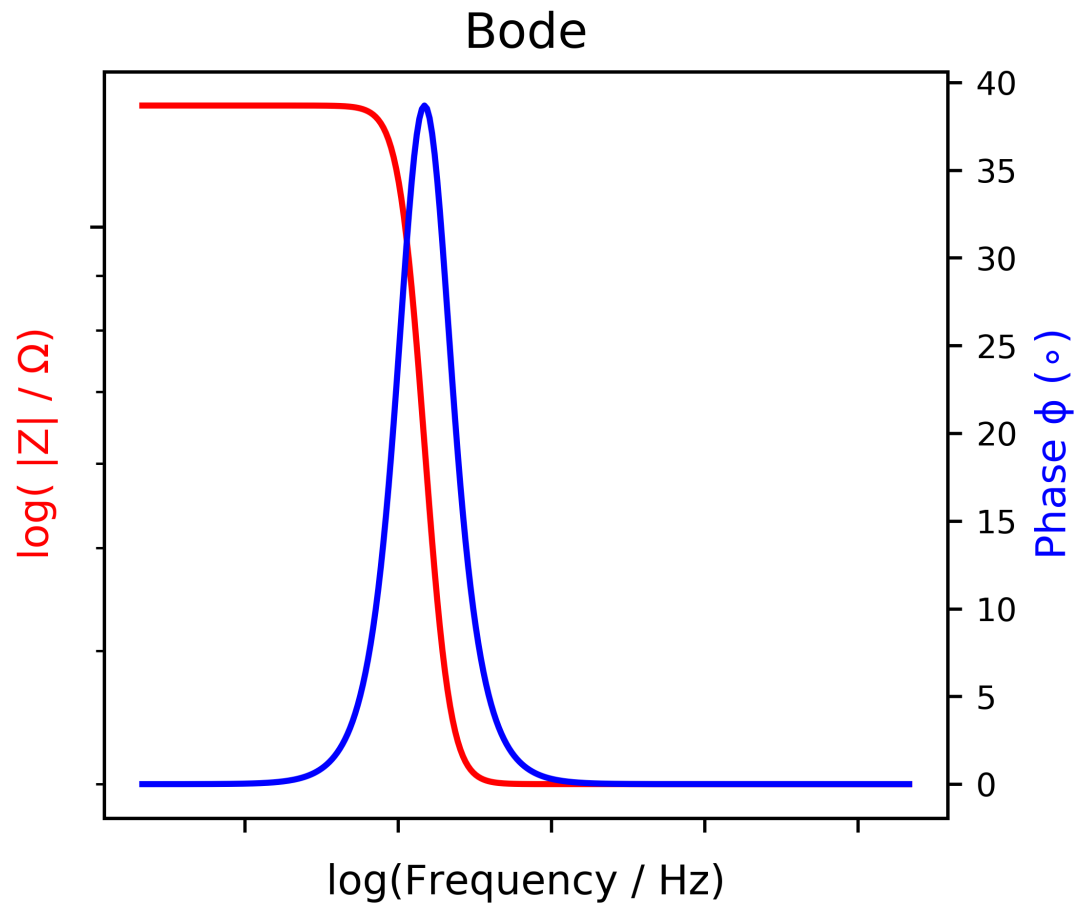
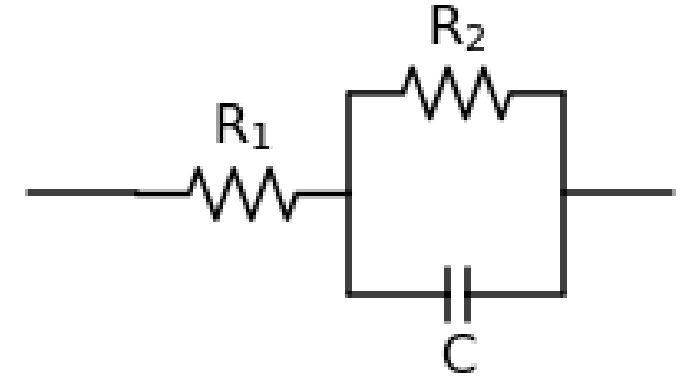


More complex behaviour is often observed and can be modelled using *equivalent circuits*

# Real dielectric response

Dielectrics are not ideal-they leak!

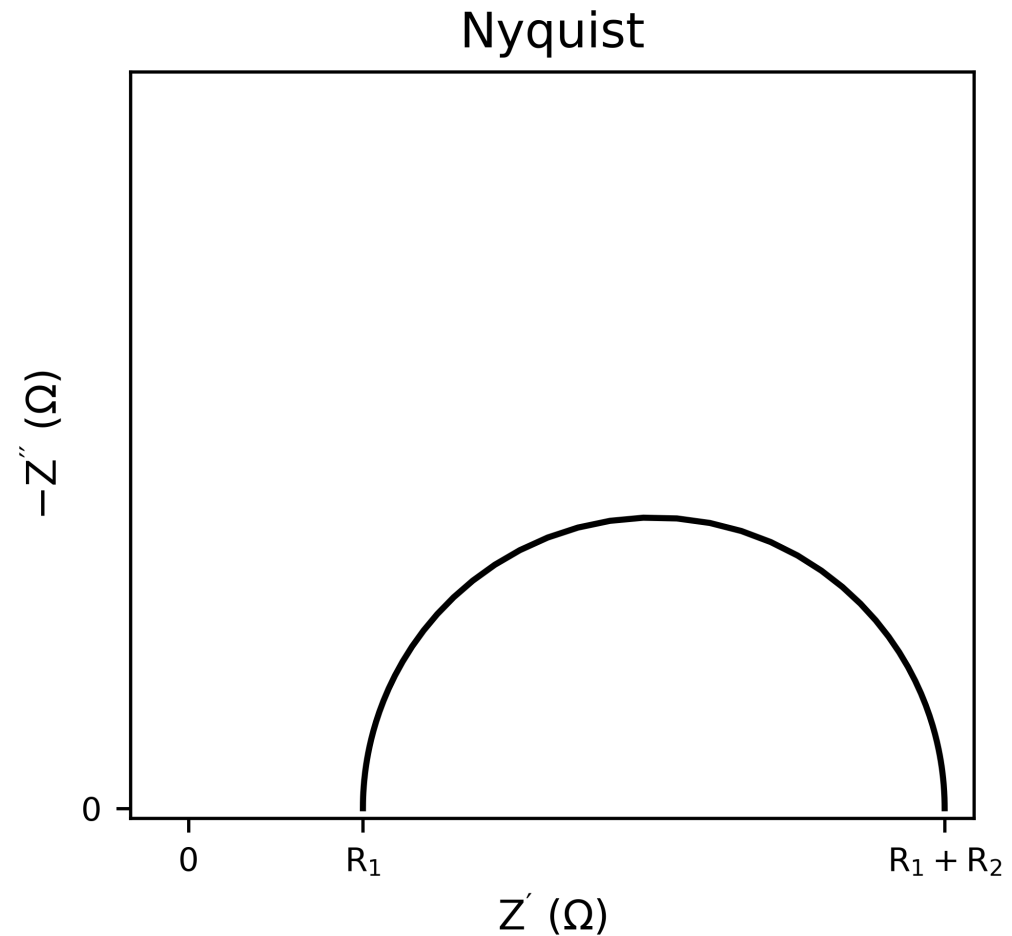
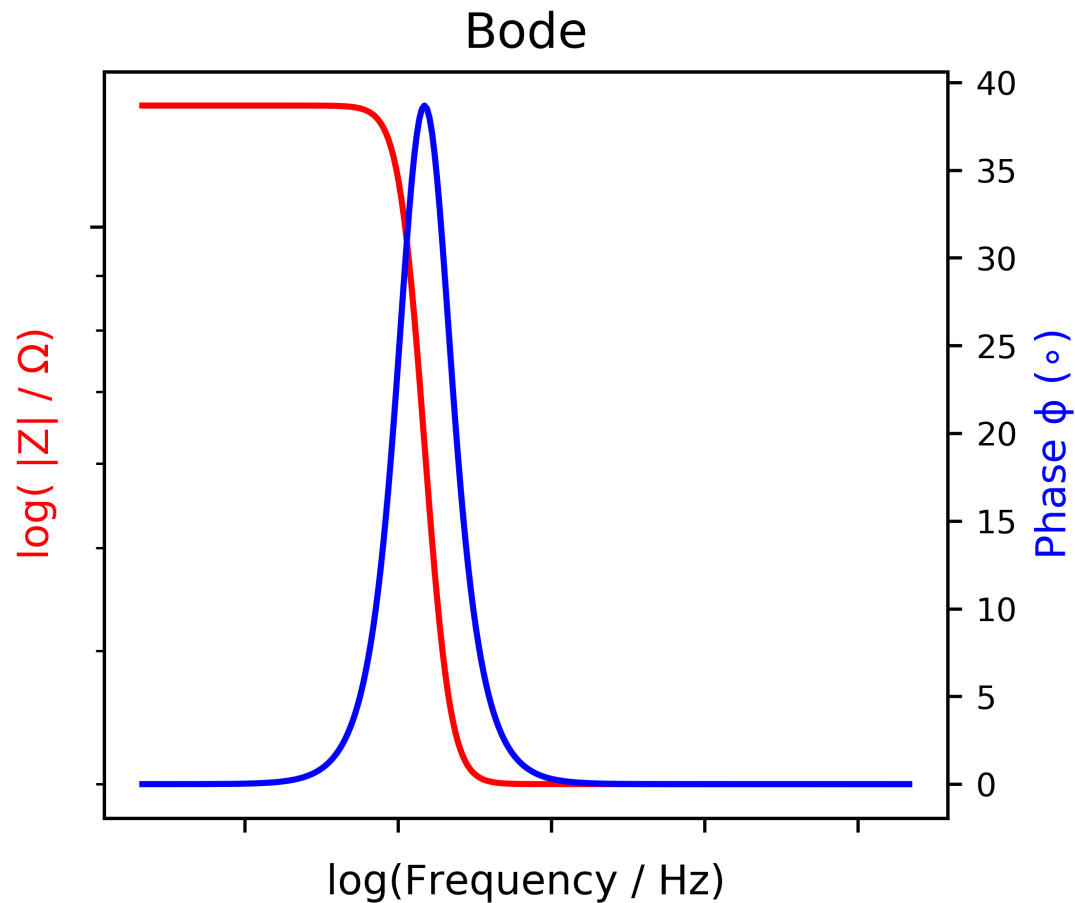
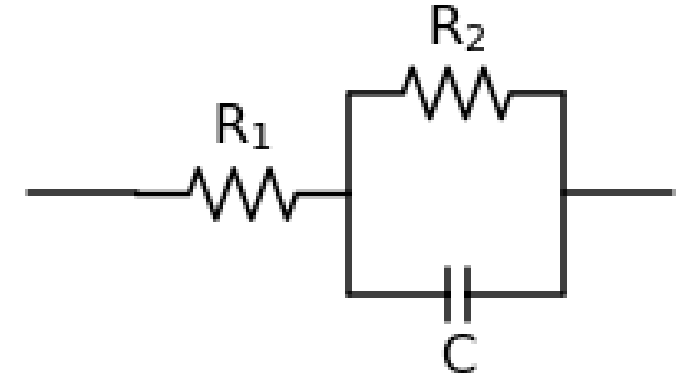
- Ions have mass, so cannot move instantly
- At high  $\omega$ , some resistance remains
- peak in  $\phi$  vs  $\omega$  corresponds to the maximum energy loss.



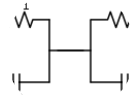
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# Question



**What impedance response might you expect from the equivalent circuit shown?**



Submit

Results

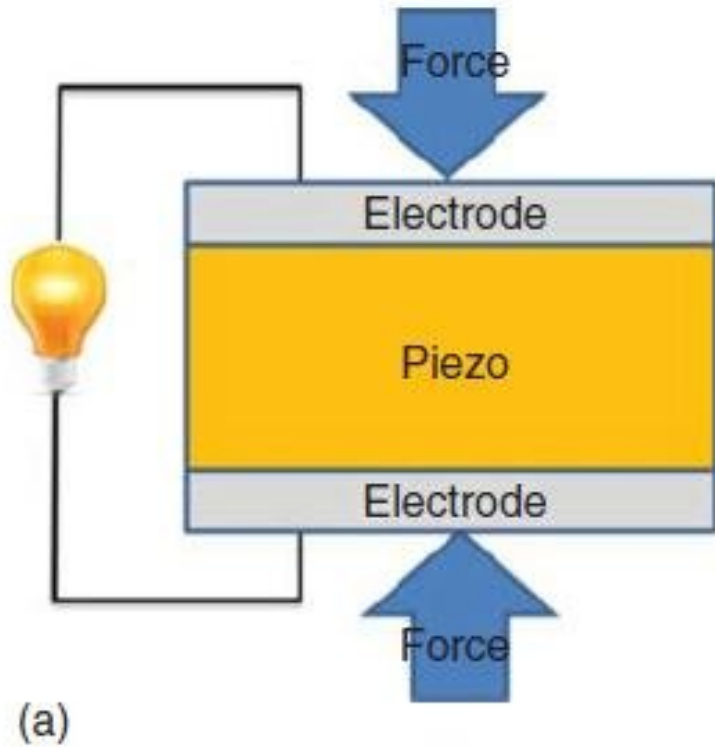
**wooclap**

Quiz results will be available here  
after the lecture

# Piezoelectricity

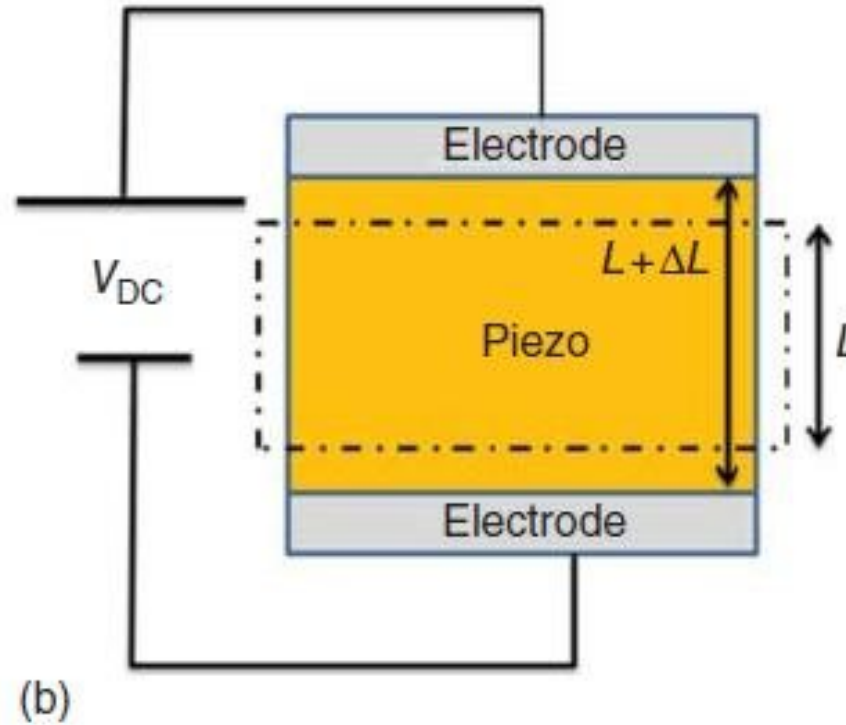
In some dielectric materials, applying  $E$  can result in a mechanical stress (or *vice versa*)

- Stress = change in lattice parameters



## Direct effect

- pressure sensors
- ultrasonic imaging



## Converse effect

- Actuators/motors
- crystal oscillator (watches)

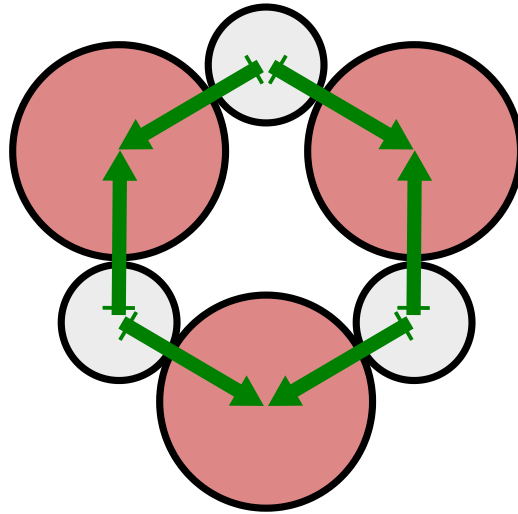


# Structural Aspects

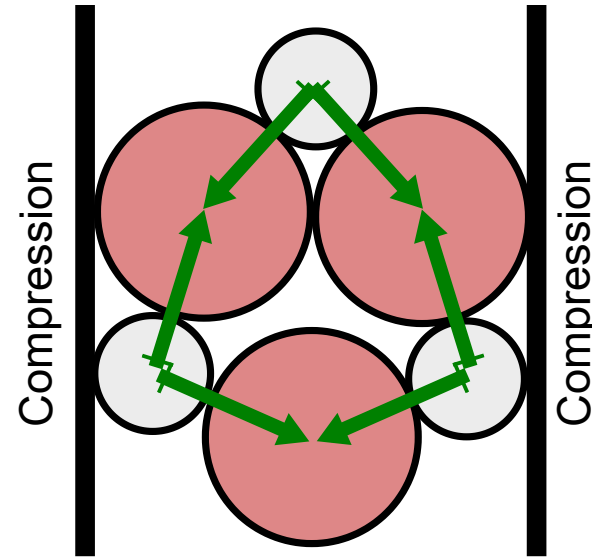
Stresses arise due to unbalanced dipoles

- Can only occur if the structure is **non-centrosymmetric**

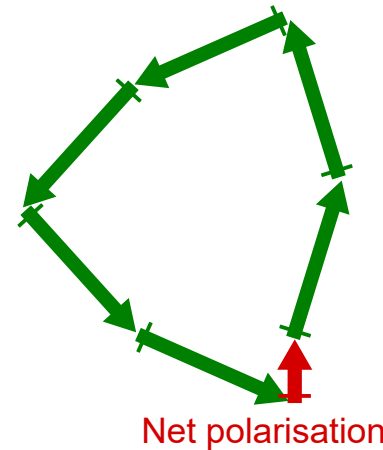
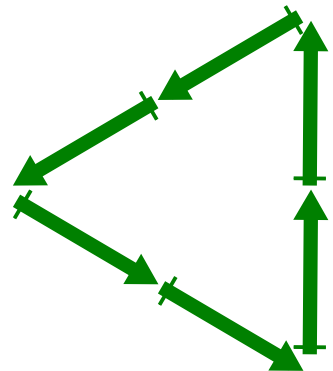
Example: Quartz ( $\text{SiO}_2$ )



Structural  
Changes



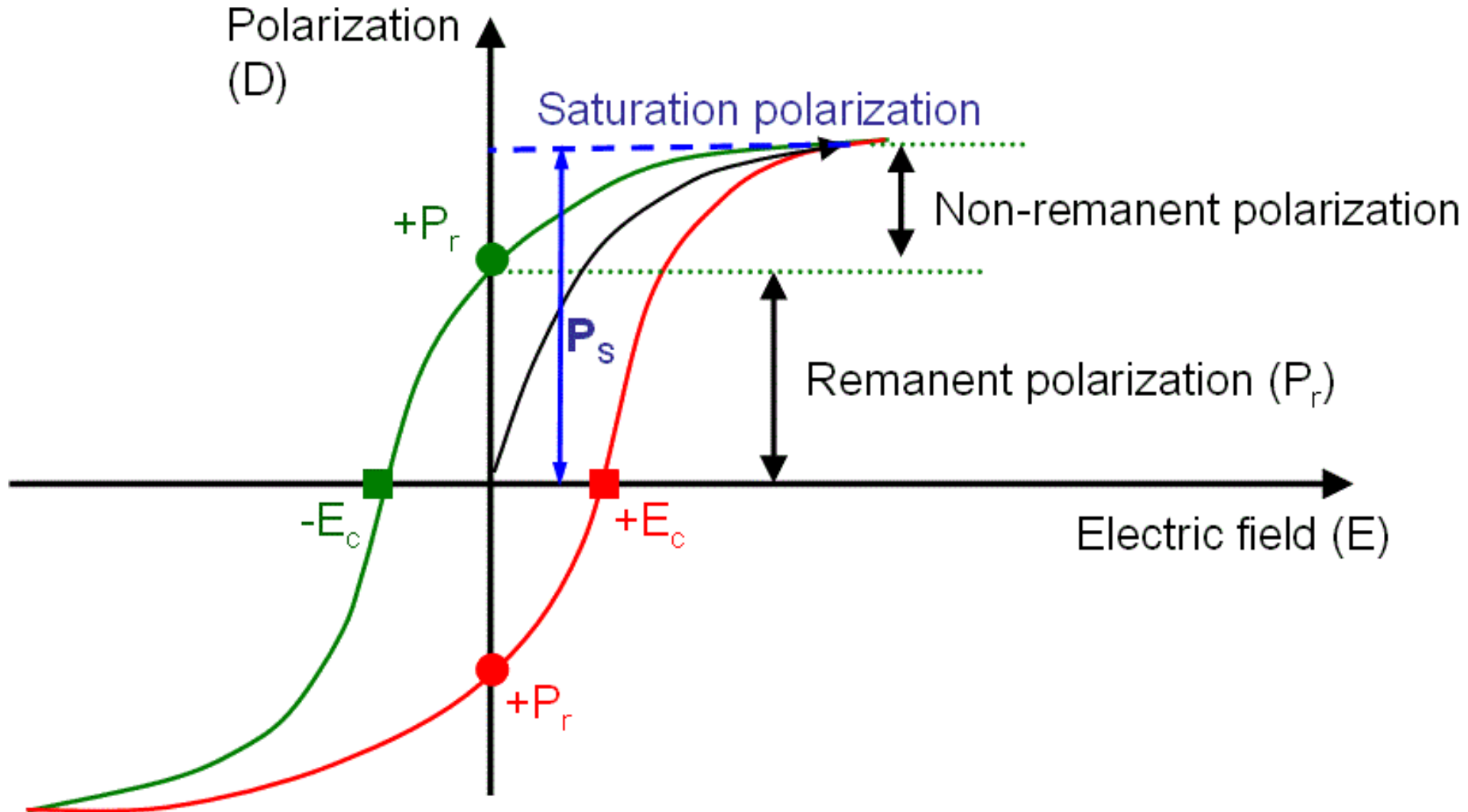
Overall  
Dipole



# Spontaneous polarisation

Some materials exhibit a net dipole *without* an applied electric field (**pyroelectric**)

If the polarisation can be switched with an electric field - **Ferroelectric**

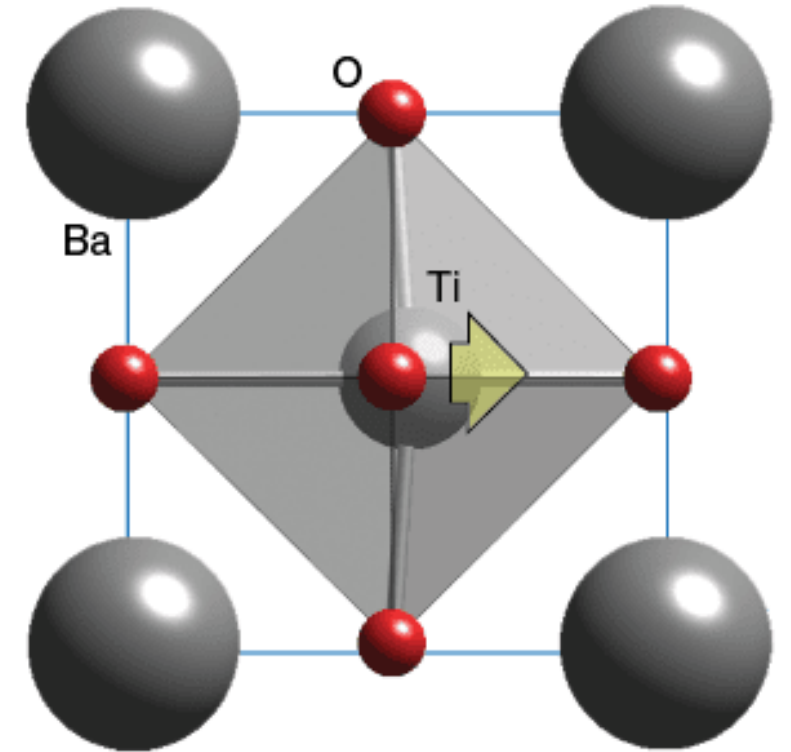
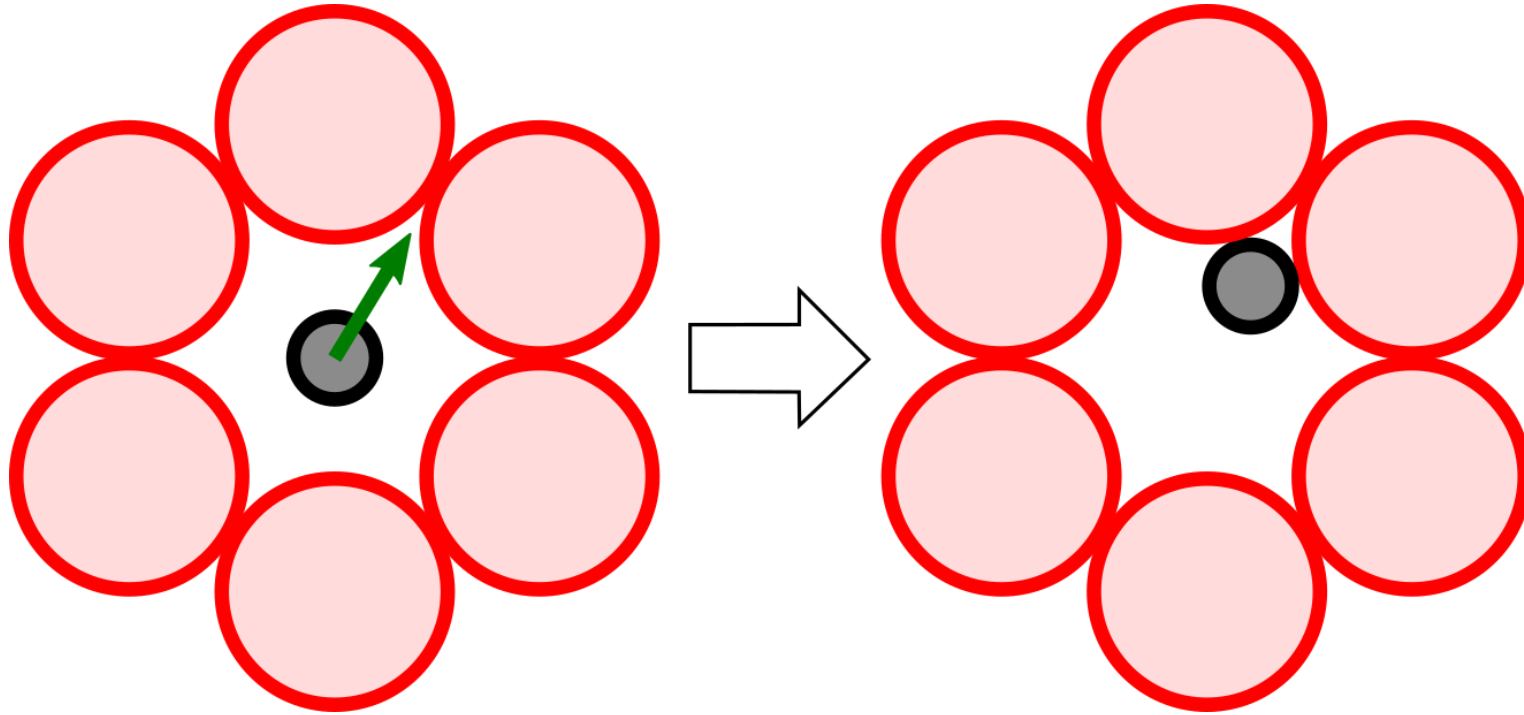


# Structural origin

Precise origin of ferroelectricity is unknown!

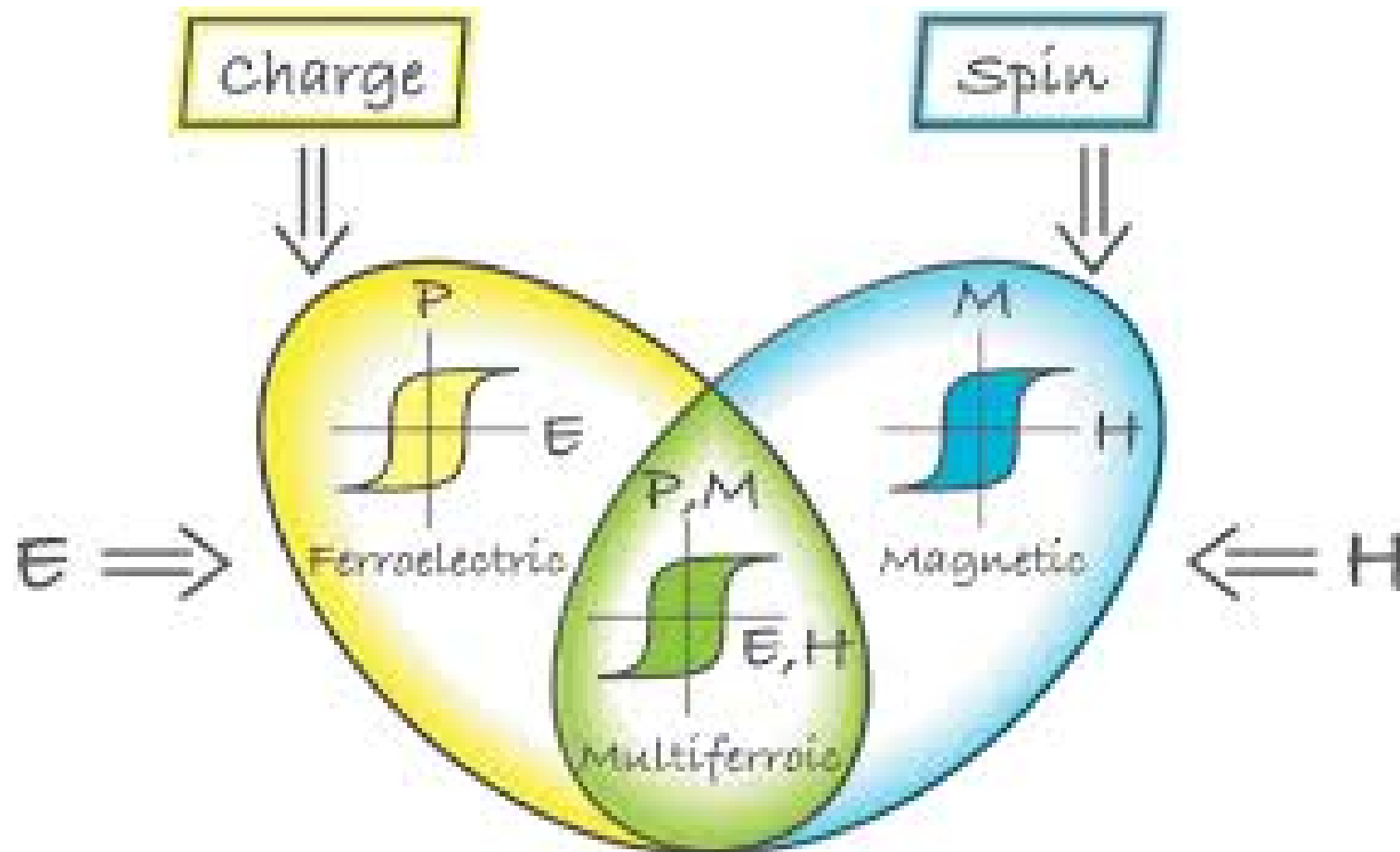
Often, displacement of small ion in a large 'cavity' is partly responsible

- Driven by anharmonicity or pseudo-Jahn-Teller effects
- *sometimes* this results in long-range order of dipoles...
- Many perovskites are ferroelectric (e.g.  $\text{BaTiO}_3$ )



# Applications

- Ferroelectrics often have the largest  $\epsilon_r$ 
  - important for high- $C$  capacitors
- Could be coupled with e.g. ferromagnetism
  - **Multiferroics** are currently popular for electrical control of magnetic fields (e.g. in hard drives)



# Hierarchy of dielectrics

## **Dielectric**

Insulators that may change polarisation under an applied electric field

Polar or non-polar

## **Piezoelectric**

Change in polarisation is proportional to mechanical stress

Non-centrosymmetric, polar or non-polar

## **Pyroelectric**

Show spontaneous polarisation

Polar material

## **Ferroelectric**

Exhibit polarisation  
switchable by electric field

# Lecture recap

- Polarisation arises from cation-anion dipoles
  - Can be modified by external electric field
- Important in capacitors
  - charge stored increased by high  $\epsilon_r$  dielectric
- Impedance spectroscopy can characterise ionic motion
  - Oscillating potential generates oscillating current
  - Impedance  $Z(\omega)$  has both phase ( $\phi$ ) and magnitude ( $|Z|$ )
  - Many materials behave like parallel RC circuits
- Piezoelectricity is the linear relationship between polarisation and mechanical stress
  - requires non-centrosymmetric structures
- Pyro- and ferro-electrics exhibit spontaneous polarisation without applied electric fields
  - used where high permittivity is needed (e.g. capacitors)
  - current interest in multiferroics

# Feedback

**What did you like or dislike about lecture 5?**

Write your answer...

**Submit**

